

# Modeling Medieval Romances

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This document explains and justifies the architecture of a data model whose objective is to help build knowledge about traditions of chivalric literature in the Middle Ages. The building blocks of this knowledge are Medieval works and their expressions in various text versions, as well as both extant and missing inscriptions of those versions on physical objects, including manuscripts and fragments. By structuring this information in a relational framework, linking abstract textual traditions and concrete archival evidence, the data model aims to let users curate large-scale collections of information from a diverse corpus, including Medieval French, Middle Dutch, and Old Norse.

The model needs to be able to execute the following user tasks:

- Return a collection of resources (manuscripts, works, etc.) according to search criteria.
  1. Ex. All the manuscripts that contain at least one witness of David Aubert’s 1462 prosified version of *Renaut de Montauban*.  $\rightarrow [\mathbf{Doc}_1, \mathbf{Doc}_2, \dots \mathbf{Doc}_i]$
  2. Ex. All works that are grouped within the cycle about the knight Renaut de Montauban.  $\rightarrow [\mathbf{Work}_1, \mathbf{Work}_2, \dots \mathbf{Work}_i]$
- Return a single resource according to search criteria.
  1. Ex. The five-volume witness of David Aubert’s version of *Renaut de Montauban* that is partly conserved in Paris and partly in Munich.  $\rightarrow [\mathbf{Work}_x]$
  2. Ex. The earliest known version of the work *Renaut de Montauban*.  $\rightarrow [\mathbf{Text}_y]$
- Return a tree of all the ancestors, descendents, and/or neighbors linked to a single resource or a collection of resources based on search criteria.
  1. Ex. All the witnesses of David Aubert’s version of *Renaut de Montauban*, along with all the witnesses’ page ranges as well as the bibliographic references to the physical documents that contain those pages.  $\rightarrow [(\mathbf{Wit}_1, [\mathbf{Pag}_a, \mathbf{Doc}_a]), (\mathbf{Wit}_2, [\mathbf{Pag}_b, \mathbf{Doc}_b]), [\mathbf{Pag}_c, \mathbf{Doc}_c]), \dots]$

## 1 Precedent Models

The data model must be adaptable yet consistent. Users should be able to request collections of linked resources regardless the genre or language of the works involved. Furthermore, they should be able to combine repertories through shared vocabularies and metadata fields. As such, we face an age-old dilemma. We are pulled between being specific and idiosyncratic, on the one hand, and being simple and generalizable on the other. To confront this issue, we first turn to other successful data models. From those precedents, we adopt a hierarchical approach and certain ontological distinctions, notably distinctions between a *Work* (the story), a *Text* (a telling of the story), and a *Witness* (a written version of that telling).

### 1.1 Functional Requirements for Bibliographic Records

One of the most robust bibliographic data models is the Functional Requirements for Bibliographic Records (FRBR), which slowly solidified during the last quarter of the twentieth century and is still today used to structure bibliographic databases.<sup>1</sup> In 1961, information scientists from around the world gathered in Paris to discuss best practices for cataloguing. Following their Paris meeting, the International Federation of Library Associations (IFLA) supported further discussions and members developed theoretical frameworks for organizing bibliographic data. Finally, in 1997, the IFLA approved the FRBR.<sup>2</sup> The FRBR articulates a generalizable hierarchy of four related entities: Works (**W**), Expressions (**E**), Manifestations (**M**), and Items (**I**). One of the examples in the 2009 corrected edition demonstrates how these entities relate to one another through the case of Johann Sebastian Bach’s Goldberg variations.<sup>3</sup>

<sup>1</sup>R. Bennet, B. F. Lavoie, and E. T. O’Neill. “The Concept of a Work in WorldCat: An Application of FRBR”. in: *Library Collections, Acquisitions, and Technical Services* 27.1 (2003).

<sup>2</sup>I. S. G. on the Functional Requirements for Bibliographic Records. *Functional Requirements for Bibliographic Records*. Tech. rep. International Federation of Library Associations and Institutions, 1998. URL: <https://repository.ifla.org/handle/123456789/830>.

<sup>3</sup>We have augmented the example by adding an Item. I. S. G. on the Functional Requirements for Bibliographic Records. *Functional Requirements for Bibliographic Records*. Tech. rep. International Federation of Library Associations and Institutions, 2009. URL: <https://repository.ifla.org/handle/123456789/811>, p. 58.

- **W<sub>1</sub>** J. S. Bach’s Goldberg variations
  - **E<sub>1</sub>** performances by Glenn Gould recorded in 1981
    - \* **M<sub>1</sub>** recording released on 33 1/3 rpm sound disc in 1982 by CBS Records
    - \* **M<sub>2</sub>** recording re-released on compact disc in 1993 by Sony
      - **I<sub>1</sub>** copy held at Cook Music Library, Bloomington, Indiana, USA (WOODWARD CD .B118 K1.988-35)

In the FRBR model, a *Work* is “a distinct intellectual artistic creation” and can have a title, a date, and a form or genre.<sup>4</sup> An *Expression* is an “intellectual or artistic realization” of the *Work* in some specific form, such as alphanumeric or musical notation, sound, image, or movement.<sup>5</sup> Manifestations are the concrete, “physical embodiment” of the *Expression* of a *Work*.<sup>6</sup> For instance, if an *Expression* of a *Work* is notated in Vedic Sanskrit around 900 BCE, a *Manifestation* of that literary *Expression* would be a physical manuscript. Finally, an Item is a “single exemplar of a manifestation,” which is a concept best suited for mass-produced resources, such as copies of an edited book or, as in the example above, copies of a CD-ROM.<sup>7</sup>

## 1.2 *Textrad*

More recently this century, Patrick Sahle has developed a set of entity relationships that have significantly impacted the fields of philology and textual criticism.<sup>8</sup> At the core of his wheel model (*Textrad*), which we illustrate as a triangle in Figure 1.1, Sahle deconstructs the idea of a text into three primary dimensions: text as a story’s ideal content [*Inhalt*], not mediated by anything (**I**); text as a story’s linguistic content [*sprachlichem Gehalt*], mediated through human language (**S**); and text as a physical document [*Dokument*] (**D**) on which a story’s content is materially represented.

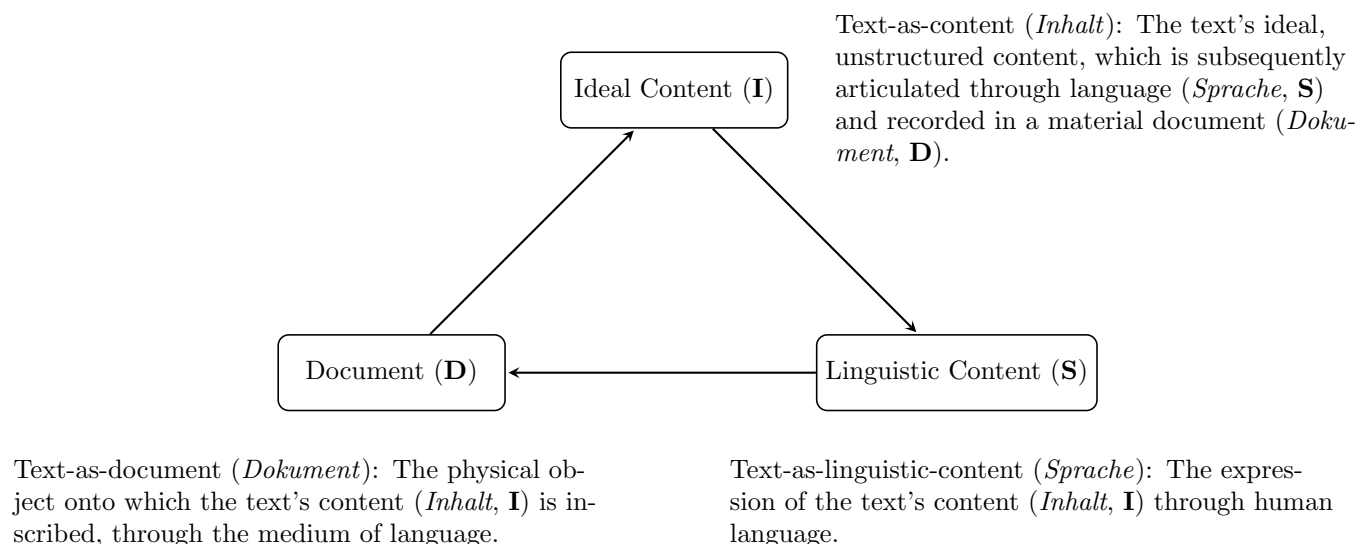


Figure 1.1: Main spokes of Sahle’s *Textrad*

Between the main spokes of the text-wheel (*Textrad*), as seen in Figure 1.2, Sahle includes three other dimensions: text as a set of signs (*Zeichen*), text as a structured sequence of content (*Werk*), and text as a version (*Fassung*). Sahle’s embedded dimensions make certain assertions about texts. For example, changes in a text’s linguistic content (**S**), such as translating *Beowulf* from Old English to contemporary Italian, will produce a new version (**F**) of the text, which will in turn produce a different physical document (**D**). However, changing *Beowulf* from Old English to contemporary Italian does not necessarily change the work (**W**) *Beowulf* itself, which still features the same organizing structure of the content of the *Beowulf* story (**I**).

Sahle avoids ascribing the term “text” to any one entity within the text-wheel. However, as Frédéric Duval notes, many scholars in the fields of philology, textual criticism, and scholarly editions habitually rely on the term “text” as well as “work” and “document.” Attempting to make explicit many scholars’ and editors’ implied typologies, Duval summarizes the state of the field as such:

“[*W*]ork designates the author’s text, eventually the text corresponding to the author’s intention, and implies authenticity; *text* denotes the linguistic sequence, which is attested in the document that is transmitting the

<sup>4</sup>Functional Requirements for Bibliographic Records, *Functional Requirements for Bibliographic Records*, p. 17.

<sup>5</sup>Functional Requirements for Bibliographic Records, *Functional Requirements for Bibliographic Records*, p. 19.

<sup>6</sup>Functional Requirements for Bibliographic Records, *Functional Requirements for Bibliographic Records*, p. 21.

<sup>7</sup>Functional Requirements for Bibliographic Records, *Functional Requirements for Bibliographic Records*, p. 24.

<sup>8</sup>P. Sahle. *Digitale Editionsformen. Zum Umgang mit der Überlieferung unter den Bedingungen des Medienwandels. Teil 3: Textbegriffe und Recodierung. [Finale Print-Fassung]*. Vol. 9. Norderstedt: BoD, 2013. URL: <https://kups.ub.uni-koeln.de/5353/>.

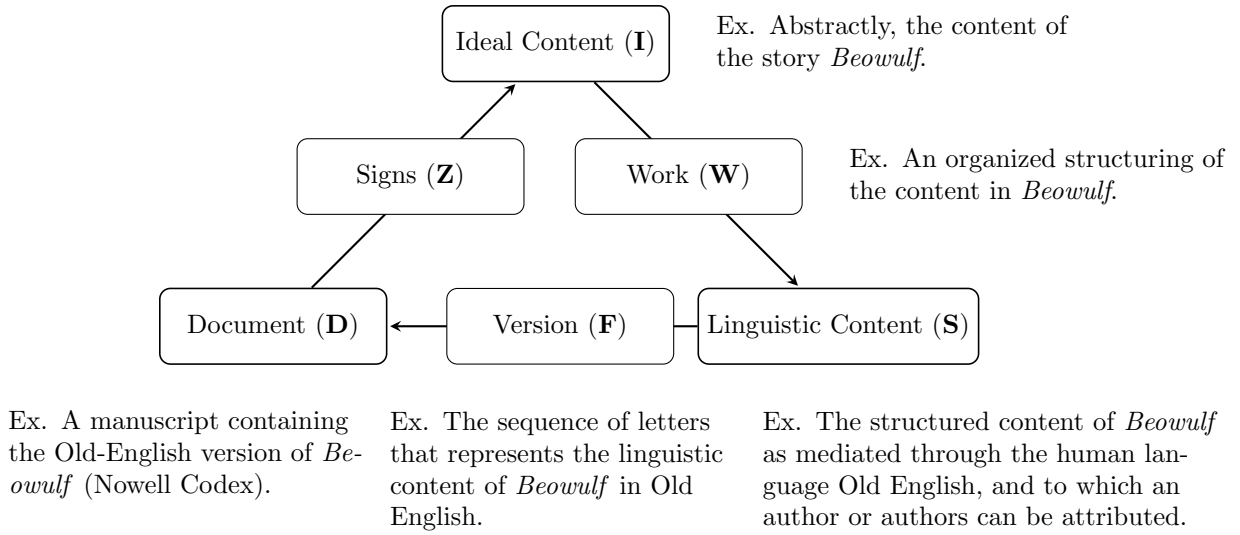


Figure 1.2: All of Sahle's *Textrad*

work; finally *document* is a physical manifestation of a text.”<sup>9</sup>

In Figure 1.3, we overlay the typologies of Sahle's text-wheel with Duval's summary of the mainstream typology used in textual criticism and scholarly editing.

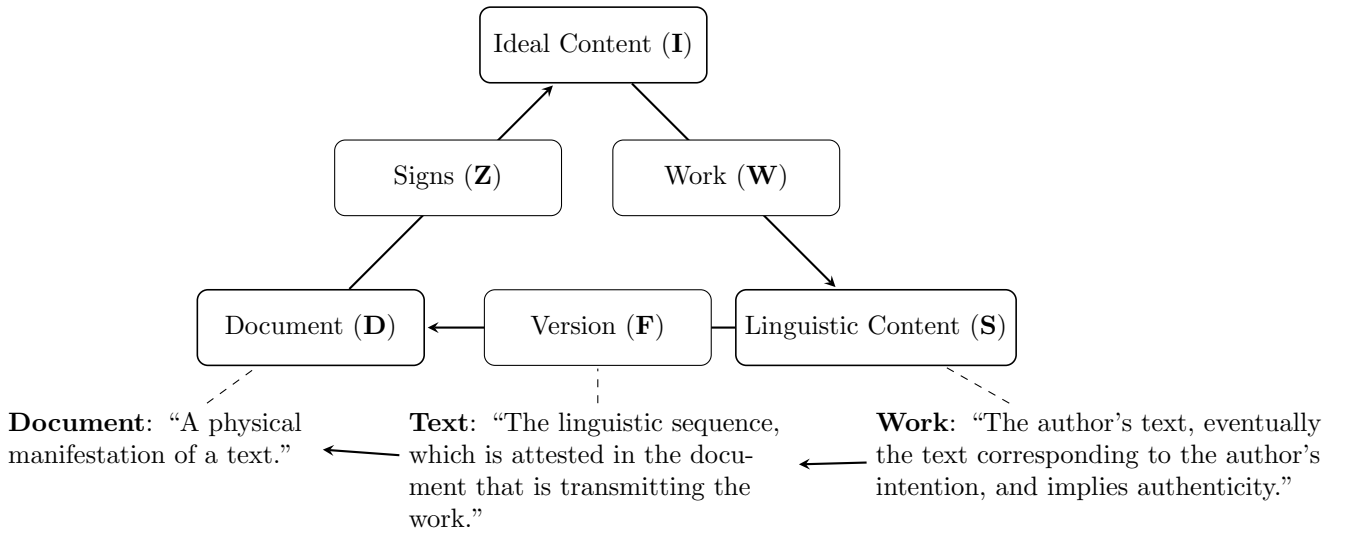


Figure 1.3: Overlap between Duval's summary and Sahle's *Textrad*.

In seeking to resolve terminological discrepancies between the fields of textual criticism and scholarly editing, Duval introduces a new discrepancy between his concept of *work* and Sahle's *Werke* concept. Given his focus on articulating the tripartite *work-text-document* concerns of textual criticism and scholarly editions, this is not a problem for Duval. Sahle's concept of a work (*Werke*), which is not yet mediated through any human language or literary style, is not typically the subject of scholarly editions or close textual readings. Such endeavors focus on what Sahle would call linguistic content (S).

## 2 Precedent Models' Limitations

To stress test the FRBR and *Textrad*, neither of which were specially designed for Medieval literature, we explore two cases. The first is a work about the knight Renaut de Montauban. In the 1460s, the work was recomposed in a multi-volume manuscript, which introduces some complexity in relating a text version (*Fassung*) to multiple documents. Second, we underscore the challenge of relating text versions to physical documents through the case of a lost manuscript, which, before being dismembered, allegedly transmitted a version of the *Chanson d'Otinel* and a version of the *Chanson*

<sup>9</sup> “*work* désigne le texte de l’auteur, éventuellement le texte correspondant à la volonté de l’auteur, et implique la notion d’authenticité ; *text* dénomme la séquence linguistique attestée dans un document transmettant l’œuvre ; enfin *document* est une manifestation physique d’un text” F. Duval. “Pour des éditions numériques critiques. L’exemple des textes français”. In: *Médiévales* 73 (2017), pp. 13–29. ISSN: 07512708, 17775892. URL: <http://www.jstor.org/stable/44988396> (visited on 06/04/2024), p. 16.

*d’Aspremont*. Parts of both are conserved today in two different nineteenth-century collections of Medieval fragments. Through the data model, we want to be able to recognize what scholars argue, which is that the fragments were once transmitted together in a now lost manuscript.

## 2.1 *Renaut de Montauban* and multi-volume witnesses

Let us start with the easier case, concerning a work about the legendary knight Renaut de Montauban. Sahle’s *Werke* concept is helpful here in that it defines a work as the ordering of a story’s abstract content (*Inhalt*) into a narrative structure. The sequence of events whose order defines the *Work Renaut de Montauban* begins with a backstory that contextualizes the main conflict.<sup>10</sup> The narrator explains that four brothers, Aymon, Beuves, Girart, and Doon, once fought together against the emperor Charlemagne. Beuves, who is duke of Aigremont and vassal of Charlemagne, refused some of the duties the emperor had imposed, which provokes the latter’s fury. The brothers came to Beuves’s aid and joined his conflict with Charlemagne. *Renaut de Montauban* begins with this history because the work’s main storyline focuses on how a new generation of brothers, Aymon’s sons Renaut, Alard, Guichard, and Richard, again push back against Charlemagne and how the powerful emperor pursues revenge.<sup>11</sup>

In various languages and forms, many people have composed and recomposed the *Work* known by its French title as *Renaut de Montauban*. The earliest instance of such a composition, what Sahle calls the text-as-linguistic-content [*sprachlichen Gehalt*] and the FRBR call an *Expression* of the *Work*, dates from the end of the twelfth century and was expressed in French alexandrine verse. Following the argument Duval makes to rely on familiar terminology, but with greater attention to precise definitions, we use the term *Text* to refer to the idea of the articulation of a *Work* in some language and form, Sahle’s text-as-linguistic-content.

Our test case concerns a *Text* of *Renaut de Montauban* that was written down about three centuries after the first known *Text* was inscribed. While working for the Burgundian duke Philippe le Bon between 1459 and 1465, David Aubert adapted *Renaut de Montauban* into contemporary prose. He structured his text’s linguistic content inside evenly distributed chapters, each about 8 to 12 leaves long, and in five manuscript volumes. Each volume was about the same length, between 350 to 399 leaves, and featured nearly the same number of illuminations, between 47 and 53.<sup>12</sup> Copies of those volumes exist in Paris and Munich; the first four are in the Bibliothèque nationale de France and the fifth volume is in the Bayerische Staatsbibliothek. Crucially, in the terminology of the *Textrad*, this particular *Version* ([*Fassung*]) of Aubert’s *Text* was intentionally produced in five physical documents; it does not exist today in five manuscripts because of some process of deconstruction after its production.

Both the FRBR and Sahle’s *Textrad* are capable of modeling some of what we have described thus far. As Table 2.1 demonstrates, both the FRBR and Sahle find that the term *Work* appropriately describes the ordered series of episodes that define Renaut’s story or revolt. We also use the term *Work*. Regarding instances of the *Work*, the FRBR and Sahle each prefer terms that point to a comparable idea of human language, *Expression* and *Sprache*, respectively. In our test case, this concept maps to the French-language content that is Aubert’s version of the *Work*. However, again in line with Duval, we hold that the term *Text* is better suited to indicate Aubert’s specific telling, articulated in a specific human language and literary style, of the *Work*. Finally, the text version or *Fassung*, as Sahle puts it, is what we and many scholars of Medieval literature call a *Witness* to the *Text* that someone composed. In addition to adopting the term *Text*, as Duval encourages, we also borrow the word *Witness*, which is common in codicology, philology, and Medieval studies.

Aspect	FRBR	<i>Textrad</i>	LostMa
The subject matter of <i>Renaut de Montauban</i> .	NA	text-as-content ( <i>Inhalt</i> , <b>I</b> )	NA
The ordered series of episodes about Renaut’s revolt, starting with the backstory of the older generation.	<i>Work</i>	text-as-work ( <i>Werke</i> , <b>W</b> )	<i>Work</i>
David Aubert’s prosified French-language version.	<i>Expression</i>	text-as-linguistic-content ( <i>Sprache</i> , <b>S</b> )	<i>Text</i>
A five-volume copy of the prose version.	<i>Manifestation</i>	text-as-version ( <i>Fassung</i> , <b>F</b> )	<i>Witness</i>

Table 2.1: FRBR and *Textrad* modeling *Renaut de Montauban*.

<sup>10</sup>Whether this opening section constitutes a prologue, in alignment with the generic expectations of a prologue for *chansons de geste*, is the subject of scholarly debate. P. Leverage. “Unrolling Memories : Audience Inscription in the Renaut de Montauban Prologue”. In: *Romania* 118.469 (2000), pp. 206–218. DOI: 10.3406/roma.2000.1526. URL: [https://www.persee.fr/doc/roma\\_0035-8029\\_2000\\_num\\_118\\_469\\_1526](https://www.persee.fr/doc/roma_0035-8029_2000_num_118_469_1526).

<sup>11</sup>Having made peace, duke Aymon brings his four sons, Renaut, Alard, Guichard, et Richard, with him to meet emperor Charlemagne in Paris. After the meeting, Renaut plays chess with Charlemagne’s nephew, but the game devolves into a dispute. The knight ultimately slays the nephew. Fearing the emperor’s vengeful wrath, Renaut and his brothers flee Paris on the back of a magical horse, Bayard. Adventures ensue.

<sup>12</sup>D. Quérue. “Formules narratives rubriques et enluminures: l’exemple de l’histoire de Renaut de Montauban de David Aubert (XVe siècle)”. In: ed. by C. Heck. 2007, pp. 131–145.

### 2.1.1 *Text* v. *Witness*

What is the difference between the *Text* and the *Witness*, or the text-as-linguistic-content and the text-as-version, as Sahle puts it? The former is indifferent to versions of spelling and formatting, much in the way the *Work* is indifferent to language and form. By transcribing here the first line of Aubert’s *Text*, we would either be choosing which *Witness* to copy, as is the case with critical editions, or creating our own text version. Variations at the minute level of linguistic expression and dialect distinguish one text-as-version (*Witness*) from another. For example, the second volume of two different multi-volume *Witnesses* of Aubert’s *Text* each present the same linguistic content, as seen in Tabel 2.2, but each one has its own way of transforming linguistic content into written language.

Manuscript shelfmark	First line
BNF Arsenal 5073	Qui a veu l’istoire de Maugis d’Aigremont poeut avoir leu comment Vivien.
BNF français 19174	Qui a veue l’istoire de Maugis d’Aigremont bien au long, peult avoir veu comment Vivien.

Table 2.2: First lines of the second volume of two *Witnesses* to Aubert’s *Text*, *Renaut de Montauban*.

Both transcribed sequences of characters in Table 2.2 are each part of a different text-as-version or *Witness*, both of which are part of the same text-as-linguistic-content or *Text*, in this case, Aubert’s *Renaut de Montauban*.

### 2.1.2 *Cycle*

Neither the FRBR nor the *Textrad* have a concept well suited for describing a group of related *Works*. Both models’ ontological categories reach only to the extent of a single *Work*. Yet when treating similar content, such as the adventures of Renaut de Montauban and his family, sometimes *Works* of chivalric literature cohere into a collection known as a *Cycle*. While the earliest instance of *Renaut de Montauban* appeared in the twelfth century, other *Works* were created in the centuries that followed, which revisited characters central in *Renaut de Montauban*. As Gaëtan Augustine notes in his dissertation, the later *Works* do not all return to the core *Work*’s central themes, namely revolt and imperial tyranny, but they nevertheless form a *Cycle* by building a world around figures central to the *Work* *Renaut de Montauban*.<sup>13</sup> The precedents, FRBR and *Textrad*, are not equipped to model such metadata.

### 2.1.3 The *Archival Item* and its *Pages*

A more significant incomptability between the *Renaut de Montauban* test case and the existing data models arises when we introduce archival evidence. The five-volume *Witness* to Aubert’s *Text* that is partly preserved in Paris and partly in Munich does not directly relate to what Sahle calls the text-as-document (*Dokument*) and what the FRBR call the *Item*. Both concepts, especially in the FRBR, are meant to fully describe a uniquely produced object. However, the written *Witness* to an author’s *Text* does not always share the same boundaries as an *Item* in the archive.

We need an intervening entity to connect the multi-volume *Witness* to the five physical documents that substantiate it. Moreover, that intervening entity needs to have a discrete beginning and an end, representing one continuous set of leafs or pages in the *Archival Item*. Let us call the intervening entity *Pages*, by which we mean one continuous set of pages or leafs in a physical document. Figure 2.1 illustrates how we would model the multi-volume *Witness* of Aubert’s *Text*, taking into account the fact that the beginning and end of an *Item* in the archive is not always synchronous with the beginning and end of a *Witness*.

It is necessary to introduce the intervening *Pages* entity between the *Witness* and the *Item*. The reason is that an archival *Item* may contain more than one *Witness*. This happens to not be the case with *Renaut de Montauban*. Each of the *Items* contains nothing but its part of the *Witness*. However, our second test case does involve manuscripts that transmit *Witness* of more than one *Work*, which will demonstrate the need for further development of the preexisting models.

## 2.2 *Chanson d’Aspremont* and the lost manuscript

In 1586, a notary named François Daunys certified an agreement among a group of people that reasserted who owned which properties in the French villages of Fournels, Le Mazet, and La Vachellerie. In the years that followed, notaries and others in the region kept track of the legal agreement, which eventually made its way into the Archives départementales de la Lozère. Around 1883, archivist Ferdinand André noticed that two old pieces of parchment, which were being used to cover the 1586 contract, were in fact fragments of *chansons de geste*, which he presumed dated from the thirteenth-century. André communicated his discovery to his colleagues and the fragments, being separated from the legal document, were sent to the Bibliothèque nationale de France (BNF), where they are conserved today in a nineteenth-century collection of Medieval fragments.

The two pieces of parchment that André discovered make up the seventh and eighth folios of BNF, nouvelles acquisitions français (NAF) 5094. They are not of the same *chanson*, though they do come from the same original manuscript. Thus,

<sup>13</sup>G. Augustine. “Le cycle de Renaut de Montauban, un exemple de l’écriture cyclique au Moyen Âge”. 2020ORLE3174. PhD thesis. 2020. URL: <http://www.theses.fr/2020ORLE3174/document>.

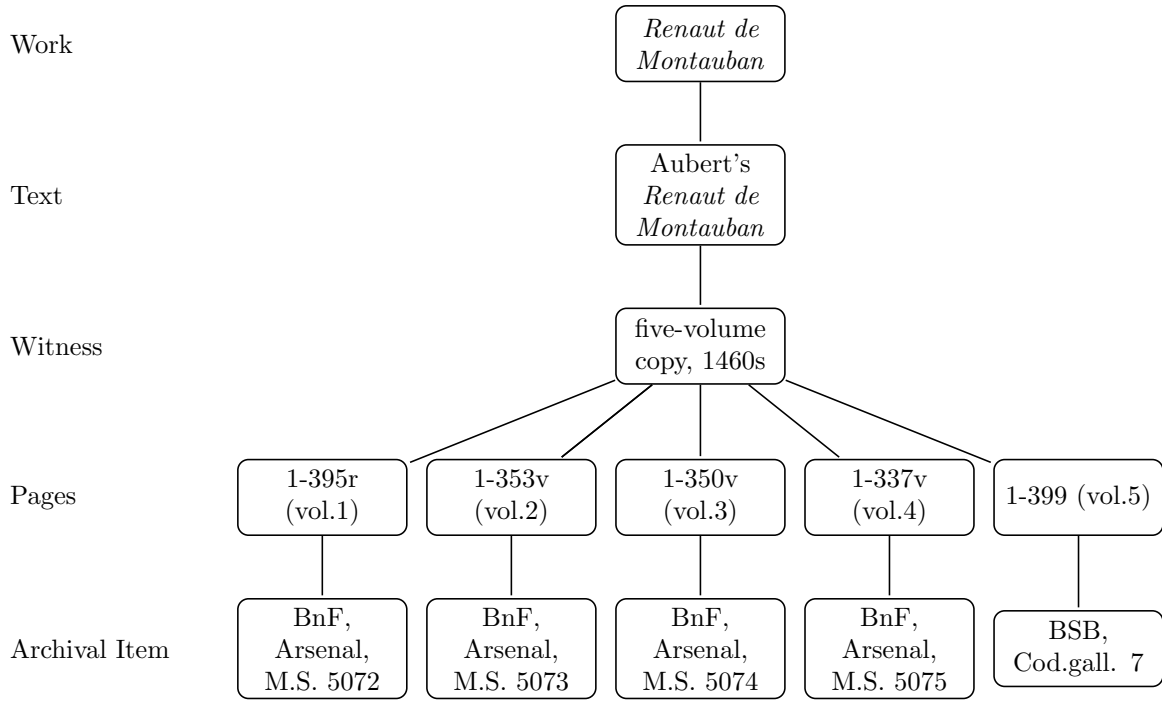


Figure 2.1: Provisionary model of *Renaut de Montauban* case.

in our adapted version of the FRBR data model, informed by Sahle's *Textrad*, the composite BNF NAF 5094 manuscript would upwardly relate to two *Pages* entities, one which begins on 7r and ends on 7v, and the other which begins on 8r and ends on 8v. Each *Pages* entity would then relate to its own *Witness*, because each folio is the fragment of a different *Text* of a different *Work*. Figure 2.2 illustrates these relationships.

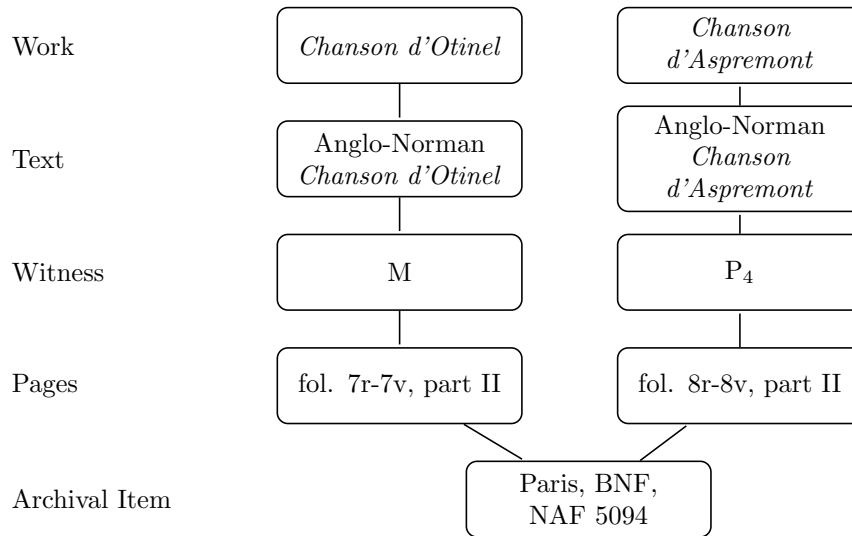


Figure 2.2: Provisionary model of *chansons de geste* in BNF NAF 5094.

### 2.2.1 The Attested Document

There is a glaring problem with the modeling in Figure 2.2. On the one hand, we know the Anglo-Norman *Witnesses* of the two *chansons de geste* are contained today in the same nineteenth-century collection of fragments, BNF NAF 5094, part II. This information is needed in order to locate digitisations of the *Pages*' text, so it is good that the model includes it. On the other hand, however, we miss what scholars have compellingly argued, which is that these two *chansons* were originally part of one composite manuscript. It is merely a coincidence that the two fragments (*Witnesses* M and *P<sub>4</sub>*) are today in the same *Archival Item*, BNF NAF 5094.

We need another entity, which we call *Attested Document* and through which the two fragments will be reunited by virtue of scholarly argumentation and research that claim they were initially transmitted together. More detail about our test case of the *Chanson d'Aspremont* reveals why an *Attested Document* entity is so crucial. In the 1880s, while François André was sharing his discovery about the *Chanson d'Otinel* and *Chanson d'Aspremont* fragments in the

Archives départementales de la Lozère, archivist Paul Meyer noted that the archives of a nearby French *département*, the Puy-de-Dôme, also had a fragment of the *Chanson d'Aspremont* that was being used to cover some records in their collection. Unlike the Lozère archives, the Puy-de-Dôme archives did not give the Bibliothèque nationale de France their fragment of the *Chanson d'Aspremont*. Today, one can consult it at the Archives départementales de Clermont-Ferrand under the shelfmark I F2.

The provisional data model illustrated Figure 2.3 allows us to recognize the attested coexistence of the three fragments in a now-lost manuscript. Because the missing document is not conserved as such anywhere, it lacks a clear identifier like the *Archival Items* that have shelfmarks. To compensate, we generate a name that concatenates the *Attested Document's* alleged parts. The known copies of the two *chansons de geste*, *Witnesses* M and P<sub>4</sub>, bypass their *Pages* entities and meet one another directly in the *Attested Document*.

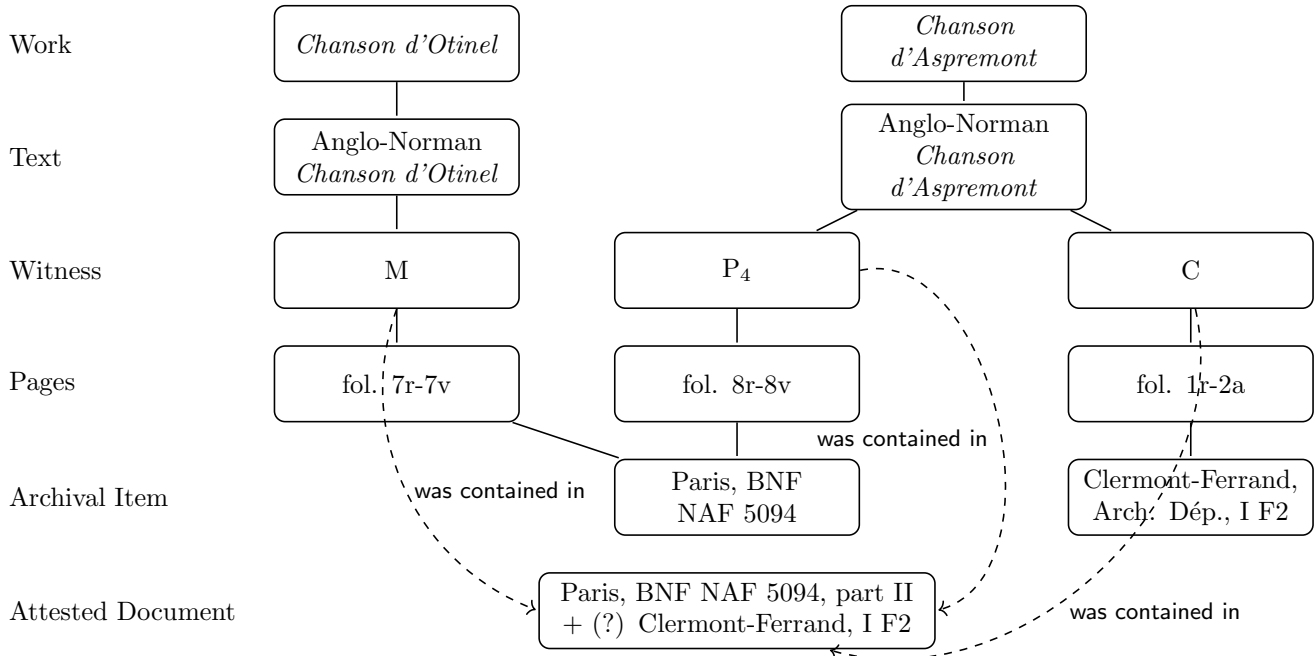


Figure 2.3: Provisionary model of *chansons de geste* in BNF, NAF 5094 and Clermont-Ferrand I F2.

The model shown in Figure 2.3 perpetuates sigla (P<sub>4</sub>, C) that philologists have historically given to each fragment and communicates that both *Witnesses* were likely transmitted together in the same manuscript (the *Attested Document*). It does not, however, document the hypothesis that P<sub>4</sub> and C are in fact two parts of the same *Witness*. While it is good to demonstrate that a manuscript contained copies of both the *Chanson d'Otinél* and the *Chanson d'Aspremont*, this is not sufficient. We do not want to lose the related theory that the verses of the Anglo-Norman *Chanson d'Aspremont*, which are preserved today in two different fragments, are in fact different parts of one copy. Put another way, the model as is risks suggesting that the *Attested Document* transmitted one copy of the Anglo-Norman *Chanson d'Otinél* and two copies of the Anglo-Norman *Chanson d'Aspremont*. The evidence does not suggest this latter claim.

## 2.2.2 Inter-*Witness* relationships

It is not enough to fuse the *Witnesses* P<sub>4</sub> and C into one. On the one hand, we want the data model to preserve the status of existing *Witnesses* as well as operate with the sigla philologists have historically given to archival fragments. In other words, we want to keep the *Witness* entities seen in Figure 2.3. On the other hand, the data model needs to be able to reveal that the two fragmentary *Witnesses*, P<sub>4</sub> and C, are presumably parts of one fragmented *Witness*.

The P<sub>4</sub> *Witness* in BNF NAF 5094 does not start at the beginning of the *Text*, meaning the Anglo-Norman version of the *Chanson d'Aspremont*. As Jean-Baptiste Camps writes in his dissertation, the Paris fragment is likely missing about 85 verses of the beginning, after which it presents 395 verses of the *Text*.<sup>14</sup> The Clermont-Ferrand (C) fragment presents the end of the *Text*; it would total 384 verses if not for edges of its pages being cut. In putting the two fragments together, they miss about 85 verses at the beginning and 9606 in the middle. Their content does not overlap and, based on handwriting and language, there is reason to believe they were produced as one copy (*Witness*) of the Anglo-Norman *Chanson d'Aspremont*.

Why not create another entity, the *Attested Witness*? An example of such an entity is suggested in the first row of Table 2.3, bearing the name “P<sub>4</sub> + C.” There is an ontological difference between the *Archival Item* and the *Attested Document* that justifies the latter’s creation. The *Archival Item* is a physical object in the world, which can be photographed and damaged. The *Attested Document* is an historical claim asserting that a text object was once produced and existed in the

<sup>14</sup>J.-B. Camps. “La Chanson d’Otinél. Édition complète du corpus manuscrit et prolegomènes à l’édition critique”. 2016PA040173. PhD thesis. 2016. URL: <http://www.theses.fr/2016PA040173>, p. xcvi.

<i>Witness</i>	initial lacuna	$P_4$ text	middle lacuna	C text
$P_4 + C$		395		377 [384]
Ch	84	415	9606	388

Table 2.3: Reproduction of the comparison between the number of verses in the complete *Witness* Ch and the fragments  $P_4$  and C, originally published in the dissertation of Jean-Baptiste Camps<sup>15</sup>

world, but has not been conserved as such. An *Attested Witness* and a regular *Witness* are ontologically similar in that they are both philological claims that the sequence of characters inscribed on the pages of some document intentionally represents the linguistic content of a *Text*, or of a text-as-linguistic-content as Sahle puts it. The difference between the alleged *Witness* “ $P_4 + C$ ” and the *Witnesses*  $P_4$  and C is not categorical; it does not justify the creation of a new entity.

The more efficient way to make legible in the data model the theoretical *Witness* “ $P_4 + C$ ” is to build that information into attributes of the *Witnesses* that constitute it. A *Witness* relates to one *Text* and either no *Pages*, as in the case of hypothetical nodes in a stemma, or one or more *Pages* entities. In the case of the *Chanson d’Aspremont*, each *Witness* entity relates to one *Pages* entity. This is in contrast to the *Witness* in the case of *Renaut de Montauban*, which relates to more than one *Pages* entities because it is a multi-volume *Witness*.

The provisional model in Figure 2.4 shows how a *Witness* can relate to another *Witness* via the attribute “is preceded by.” In the *Chanson d’Aspremont* case, the *Witness*  $P_4$  would not have any value assigned to the attribute “is preceded by” because it is the root of a sequence of *Witness* fragments; it is not preceded by anything. The *Witness* C, on the other hand, would refer to  $P_4$  in its field “is preceded by.” The fact that the  $P_4$  *Witness* would not have any value in its “is preceded by” attribute, yet it has the status of “fragment,” could mean one of two things: (a) it is the only known fragment of that *Witness*, or (b) that it is the root in a sequence of fragments that constitute one attested *Witness*. To determine which is the case, one would need to identify roots of sequences by grouping all the *Witnesses* based on other fragment *Witnesses* referenced in the attribute “is preceded by.”

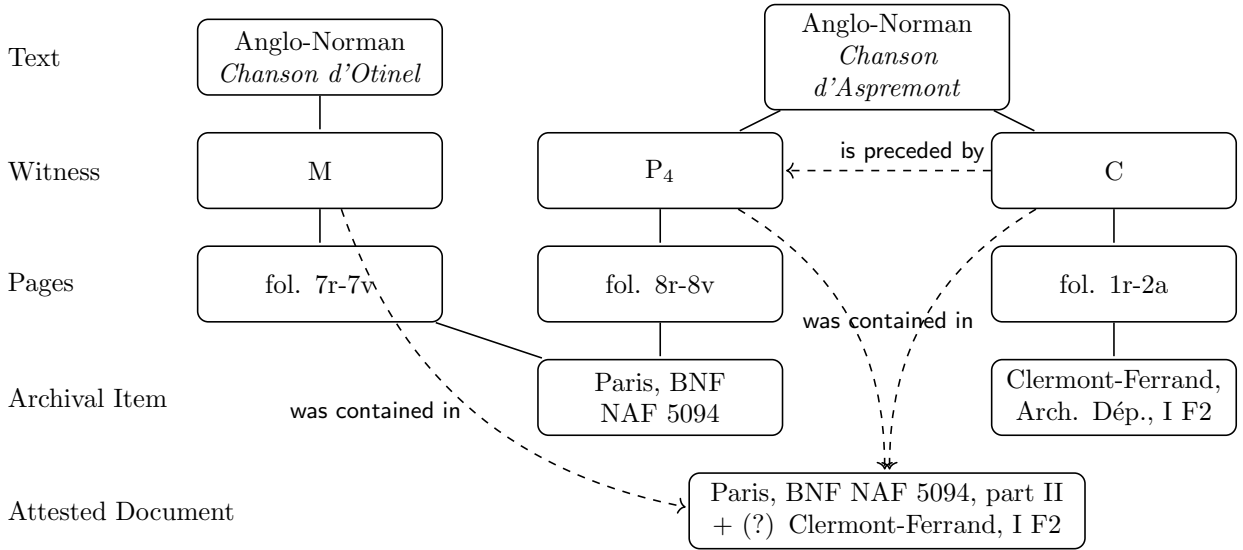


Figure 2.4: Relationships between *Witnesses* and neighboring entities in provisional model.

### 3 Our Model

Having explored existing data models and tested their limitations against several case studies from the Middle Ages, we propose a model with the entities and relationships represented in Figure 3.1. To begin, we present all the entities we believe necessary for a data model tasked with organizing and delivering information about texts and traditions in Medieval literature, particularly texts about chivalric tales and legends. Then, we list all the attributes each entity features. Compared to the former, this latter section is more likely to need renegotiation based on the idiosyncratic qualities of different literary corpora.

#### 3.1 Entity relationships

In Section 3.1.1, we start by simply introducing the entities’ names and the nature of their relationships to one another. Subsequently, we present a technical schematic of the entities’ relationships to one another.



### 3.1.1 Nature of the relationships between entities

In Figure 3.1, we see that one *Cycle* can be a part of another *Cycle* via the foreign-key relationship “is part of.” This is the case when one the subject of one *Cycle*, such as *Works* about Charlemagne’s knight Agolant, can also be interpreted as being a part of a larger *Cycle*, such as a *Cycle* about Charlemagne broadly. Thus, due to *Cycles*’ potential inter-entity connections, the same relationship “is part of” applies to *Cycles* and to *Works*. In our proposed model, a *Cycle* can have only one parent *Cycle* and a *Work* belongs to the tradition of only one *Cycle*. Both of these relationships are optional.

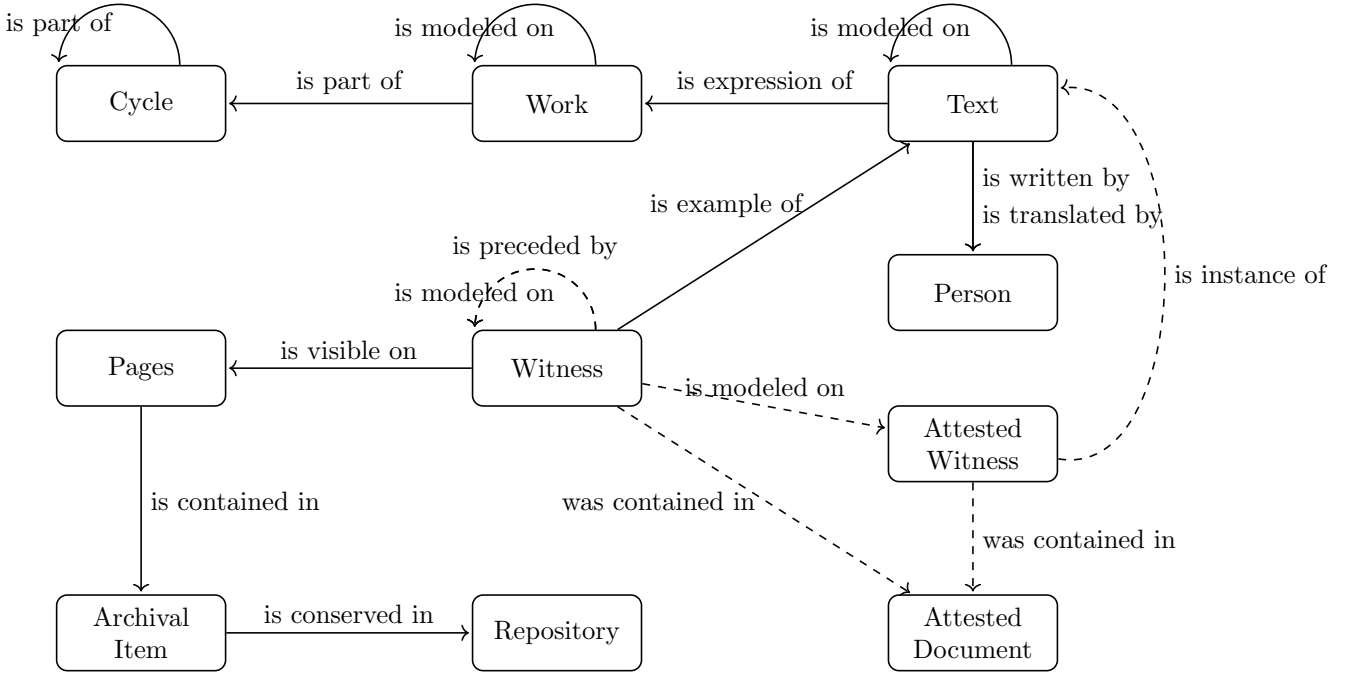


Figure 3.1: Proposed Entities

The *Text* entity can have five relationships, three of which originate from it, as seen in Figure 3.1. First, a *Text* must relate to one and only one *Work*. According to our definition, the *Text* is the expression in a certain human language and literary style of a *Work*. One *Text* can be modeled on or the translation of an anterior *Text*. Furthermore, a *Text* can optionally be related to one or more *Person* entities. The latter can be responsible either for authoring the *Text* or for translating it. Finally, a *Text* should have at least one *Witness* or *Attested Witness* relate to it; otherwise, we would have no evidence of the abstract *Text* entity’s existence. However, the relationship between a *Text* and either of the two types of witnesses (extant *Witness* and lost *Attested Witness*) stems from the latter and is not a requirement for the *Text* entity.

Originating from the extant *Witness* are potentially five types of entity relationships. First and foremost, a *Witness* must relate to one and only one *Text*. By definition, a *Witness* is a version of the text’s linguistic content, spelled out in a sequence of characters, as demonstrated in Table 2.2. Second, the *Witness*, by virtue of being extant and not a lost *Attested Witness*, must be visible on the *Pages* of an *Archival Item*. When it has survived through fragments, one *Witness* can relate to another through the attribute “is preceded by.” This is the case when one fragment is presumed to have come after another fragment, as demonstrated in the Table 2.3.

Finally, and again optionally, a *Witness* can have formerly been contained in a document which has not survived but to whose historical existence scholars attest based on philological and codicological evidence. The latter document is the entity *Attested Document* and it receives a relationship from one or more *Witnesses* that it is alleged to have transmitted together. The *Attested Document* can also have contained a lost *Attested Witness*. As sometimes mentioned in archival catalogues, an extant *Witness* might have been modeled on a presumed version of a *Text* that has since been lost, an *Attested Witness*. As such, a *Witness* can have a relationship to an *Attested Witness*.

*Pages* represents an uninterrupted set of pages and, when digitized, images of an *Archival Item* on which the text content of a *Witness* can be read. In our data model, a *Pages* entity, which depends on an extant *Witness*, must be contained in an *Archival Item*. The *Archival Item* can relate to multiple *Pages* entities. However, the first folio of one *Pages* entity must not come before the last folio of another *Pages* entity in the same *Archival Item*. In other words, *Pages* entities cannot overlap. They represent a unique set of leafs or pages in an *Archival Item*, and they present the content of only one *Witness*. Lastly, the *Archival Item*, being an object one can consult and which continues to persist, contrary to the *Attested Document*, must be conserved in a *Repository*.

## 3.2 Cycle

Definition: General theme that a group of *Works* can share.

Attributes:

- **title** (text, req., uniq.): Received name of the *Cycle*, either in the language of the first known *Text* to treat the matter or in the language most used in scholarship.
- **is part of** (foreign key, **Cycle**, opt., uniq.): The meta-*Cycle*, of which the *Cycle* is a part.

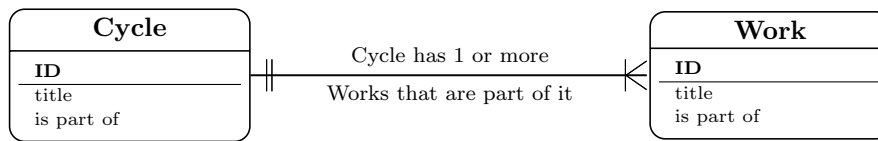


Figure 3.2: *Cycle* entity relationships.

### 3.3 Work

Definition: Content of a story, which has a recognizable structure and can be recounted in different ways while remaining the same story.

Attributes:

- **title** (text, req., uniq.): Received name of the *Work*, either in the language of the first known *Text* to treat the matter or in the language most used in scholarship.
- **is part of** (foreign key, **Cycle**, opt., uniq.): The *Cycle*, of which the *Work* is a part.
- **is modeled on** (foreign key, **Work**, opt., uniq.): If a reworking of an anterior *Work*, the *Work* on which it is modeled.

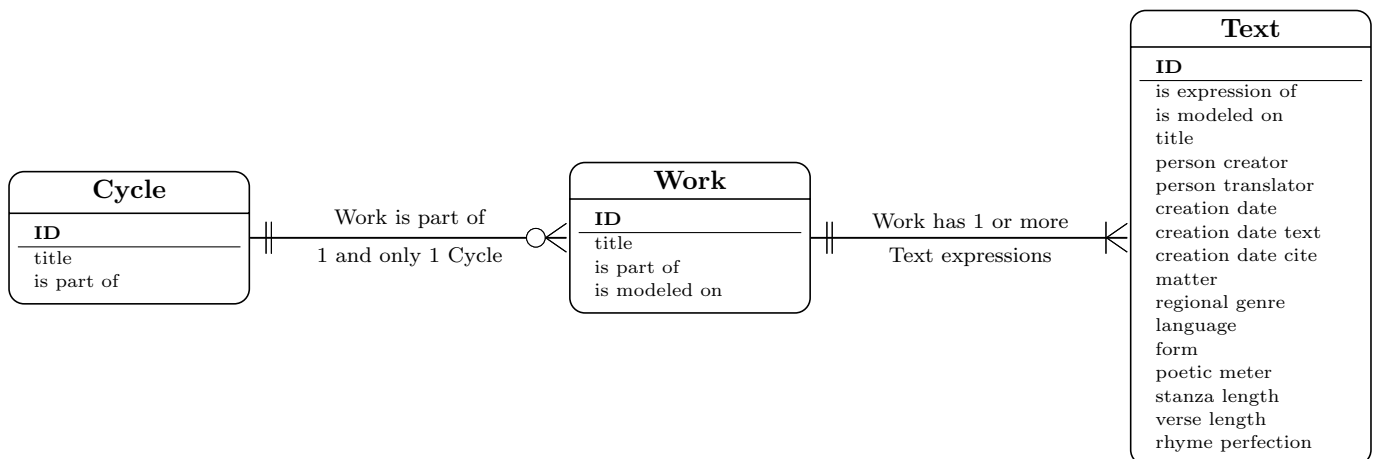


Figure 3.3: *Work* entity relationships.

### 3.4 Text

Definition: Formulation of a *Work* in human language, whose literary form and style can be detected and whose creation can be attributed to one or more individuals.

Attributes:

- **is expression of** (foreign key [**Work**], req., uniq.): The *Work* that the *Text* articulates.
- **is modeled on** (foreign key, [**Text**], opt., uniq.): If the *Text* is derived from another *Text*, a reference to the model *Text*.
- **title** (text, req., uniq.): Either the given title of the *Text*, as provided by the creator, or the standardized title most used in scholarship to refer to the *Text*.
- **person creator** (foreign key [**Person**], opt., repeat.): The individual accredited with composing the *Text*.
- **person translator** (foreign key [**Person**], opt., repeat.): When the *Text* is a translation of another *Text*, the individual accredited with creating the translation.

- **creation date** (list[date], opt., uniq.): A list of two or one dates; the first date is either the earliest or the only date associated with the *Text*'s creation, and the second date is the latest date associated with the creation.
- **creation date text** (text, opt., uniq.): The date associated with the *Text*'s creation as it is written in a scholarly source.
- **creation date cite** (text, opt., uniq.): A citation of the source that provided the date of creation.
- **matter** (terms, opt., repeat.): The matter treated in the *Text*, as defined by Jean Bodel.
  - **Breton**: Matter of Breton, which includes stories about Tristan and King Arthur.
  - **France**: Matter of France, which includes stories about Charlemagne.
  - **Rome**: Matter of Rome, which includes stories about Troy, Rome, Alexander, and antiquity.
- **regional genre** (terms, req., uniq.): Literary genre attributed to the *Text*.
 

<ul style="list-style-type: none"> <li>– Relevant to French tradition               <ul style="list-style-type: none"> <li>* <b>chanson de geste</b><sup>16</sup></li> <li>* <b>roman</b><sup>17</sup></li> </ul> </li> <li>– Relevant to Middle Dutch tradition               <ul style="list-style-type: none"> <li>* <b>ridderepiek</b><sup>18</sup></li> <li>* <b>ridderroman</b></li> </ul> </li> <li>– Relevant to Islandic tradition               <ul style="list-style-type: none"> <li>* <b>fornaldarsögur</b></li> <li>* <b>riddarasögur</b></li> <li>* <b>rímur</b></li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>* <b>fornaldarrímur</b></li> <li>* <b>riddararímur</b></li> <li>– Relevant to Iberian tradition</li> <li>– Relevant to Middle Irish tradition</li> <li>– Relevant to Middle English tradition</li> <li>– Relevant to Middle Welsh tradition</li> <li>– Relevant to Middle High German tradition</li> <li>– Relevant to Italian tradition               <ul style="list-style-type: none"> <li>* <b>cantare</b></li> <li>* <b>poema cavalleresche</b></li> </ul> </li> </ul>
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- **language** (terms, req., uniq.): ISO code of the primary language through which the *Text* expresses the *Work*.
 

<ul style="list-style-type: none"> <li>– <b>ang</b>: Old English (ca. 450-1100)</li> <li>– <b>cat</b>: Catalan</li> <li>– <b>dum</b>: Middle Dutch</li> <li>– <b>enm</b>: Middle English (1100-1500)</li> <li>– <b>frk</b>: Frankish</li> <li>– <b>frm</b>: Middle French (ca. 1400-1600)</li> <li>– <b>fro</b>: Old French (842-ca. 1400)</li> <li>– <b>fro_ENG</b>: Old French in English dialect</li> <li>– <b>fro_ITA</b>: Old French in Italian dialect</li> <li>– <b>fro_PRO</b>: Medieval French in Provençal dialect</li> <li>– <b>ghg</b>: Hiberno-Scottish Gaelic, Early Modern Irish</li> <li>– <b>glg</b>: Galician</li> <li>– <b>glg_POR</b>: Galician in Portuguese dialect</li> <li>– <b>gmh</b>: Middle High German (ca. 1050-1500)</li> <li>– <b>gml</b>: Middle Low German</li> <li>– <b>goh</b>: Old High German (750-1050)</li> </ul>	<ul style="list-style-type: none"> <li>– <b>isl</b>: Icelandic</li> <li>– <b>ita</b>: Italian</li> <li>– <b>mga</b>: Middle Irish (900-1200)</li> <li>– <b>non</b>: Old Norse</li> <li>– <b>non_DAN</b>: East Norse, Old Danish</li> <li>– <b>non_SWE</b>: West Norse, Old Swedish</li> <li>– <b>oco</b>: Old Cornish</li> <li>– <b>odt</b>: Old Dutch</li> <li>– <b>owl</b>: Old Welsh</li> <li>– <b>por</b>: Portuguese</li> <li>– <b>pro</b>: Old Occitan, Old Provençal (to 1500)</li> <li>– <b>spa</b>: Spanish or Castilian</li> <li>– <b>sga</b>: Old Irish (to 900)</li> <li>– <b>wlm</b>: Middle Welsh</li> <li>– <b>xno</b>: Anglo-Norman</li> </ul>
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- **form** (terms, req., uniq.): Whether the *Text* is formed in prose, verse, or a mix of both.
 

<ul style="list-style-type: none"> <li>– <b>prose</b></li> </ul>	<ul style="list-style-type: none"> <li>– <b>verse</b></li> </ul>	<ul style="list-style-type: none"> <li>– <b>mixed</b></li> </ul>
--	--	--
- **poetic meter** (terms, opt., uniq.): If the *Text* is in verse, the type of poetic meter.

<sup>16</sup>W. W. Comfort. "The Essential Difference between a Chanson de Geste and a Roman d'Aventure". In: *PMLA* 19.1 (1904), pp. 64–74. ISSN: 00308129. URL: <http://www.jstor.org/stable/456466> (visited on 06/19/2024).

<sup>17</sup>Comfort, "The Essential Difference between a Chanson de Geste and a Roman d'Aventure".

<sup>18</sup>H. Kienhorst. *De handschriften van de Middelnederlandse ridderepiek: Plates*. De handschriften van de Middelnederlandse ridderepiek: een codicologische beschrijving. Sub Rosa, 1988. ISBN: 9789070591274.

– alexandrines                      – decasyllables                      – hexasyllables                      – octosyllables

- **stanza length** (integer, opt., uniq.): If the *Text* is in verse, the typical length of a stanza.<sup>19</sup>
- **verse length** (integer, opt., uniq.): If the *Text* is in verse, the number of verses a complete version (*Witness*) of it should have.
- **rhyme perfection** (terms, opt., uniq.): If the *Text* is in verse, whether the rhyme is perfect or imperfect (assonance).
  - perfect rhyme                      – assonance

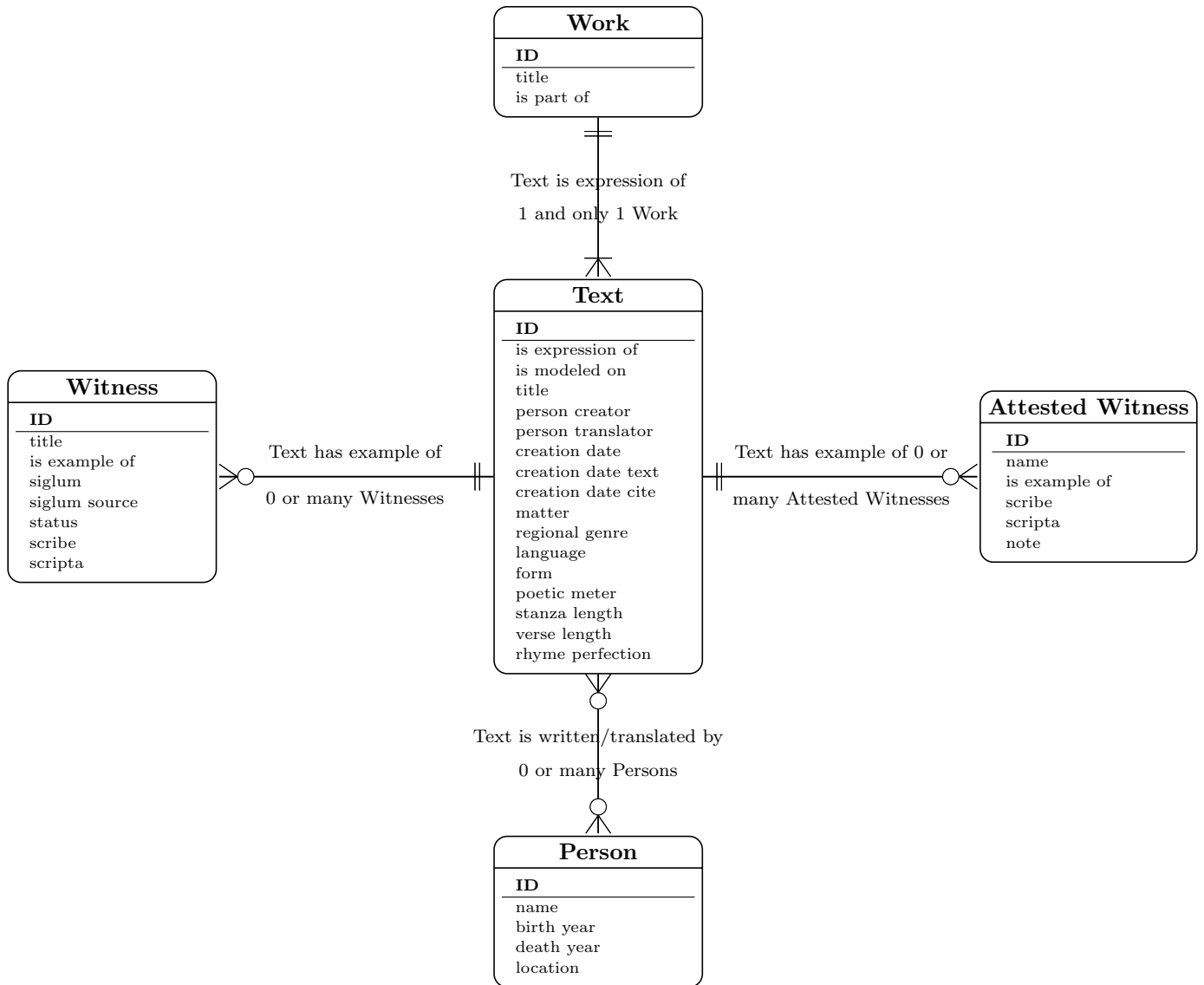


Figure 3.4: *Text* entity relationships.

### 3.5 Person

Definition:

Attributes:

- name
- birth year
- death year
- location

<sup>19</sup>For example, in the Icelandic tradition, *Texts* that are of the *ferskeytla* form are in four-line stanzas, *braghenda* is in three lines, and the *afhending* form is defined by the fact that they have two-line stanzas.

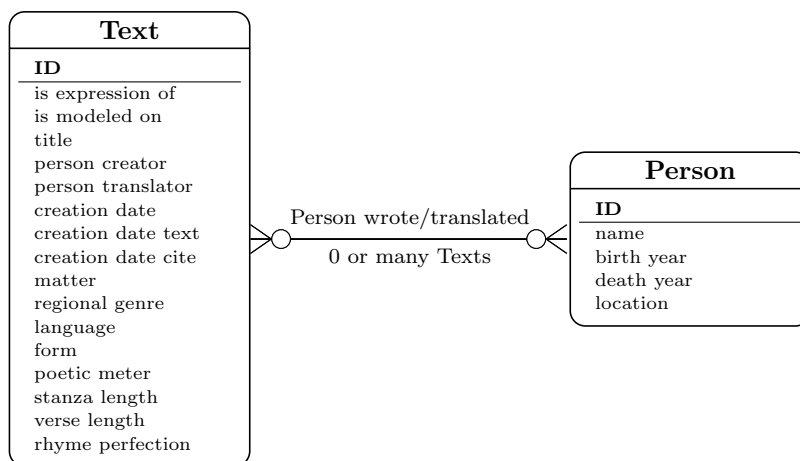


Figure 3.5: *Person* entity relationships.

### 3.6 Witness

Definition:

Attributes:

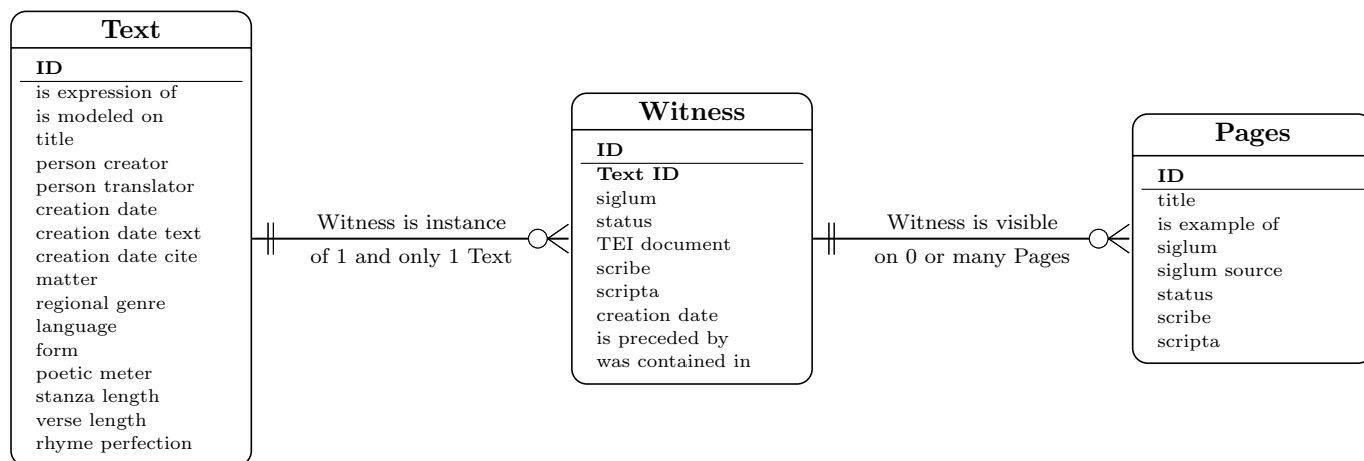


Figure 3.6: *Witness* entity relationships.

### 3.7 Pages

Definition:

Attributes:

### 3.8 Archival Item

Definition:

Attributes:

### 3.9 Repository

Definition:

Attributes:

### 3.10 Attested Document

Definition:

Attributes:

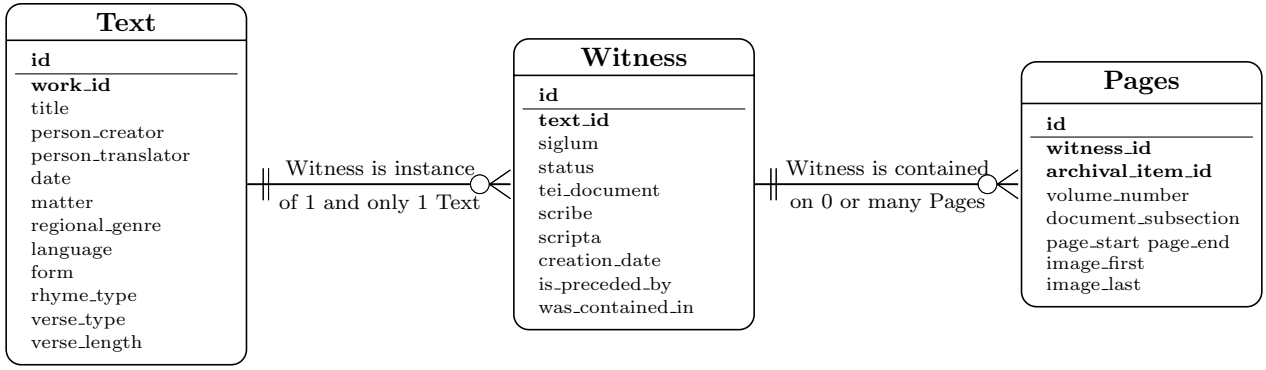


Figure 3.7: *Pages* entity relationships.

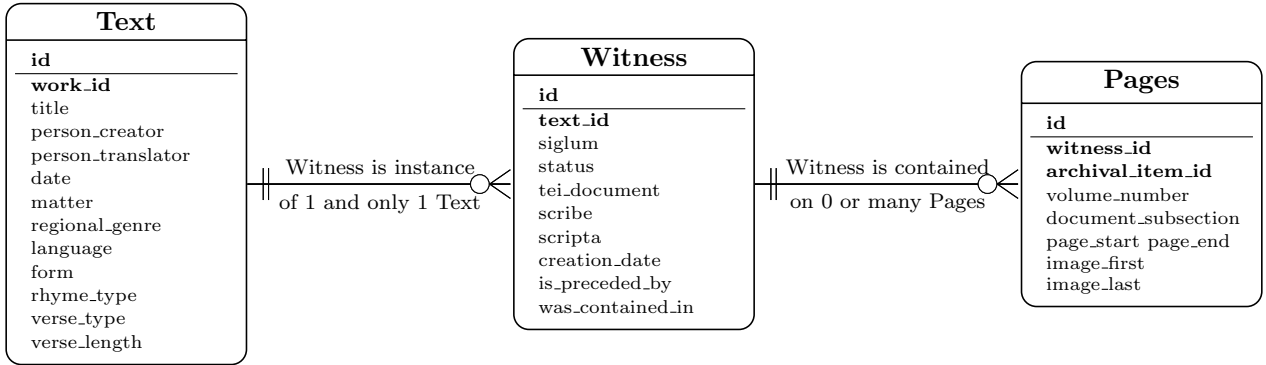


Figure 3.8: *Archival Item* entity relationships.

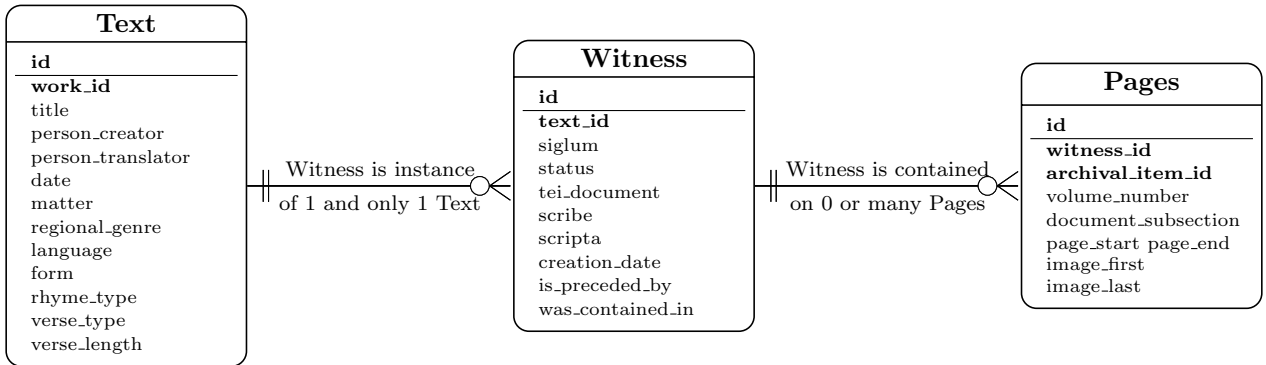


Figure 3.9: *Repository* entity relationships.

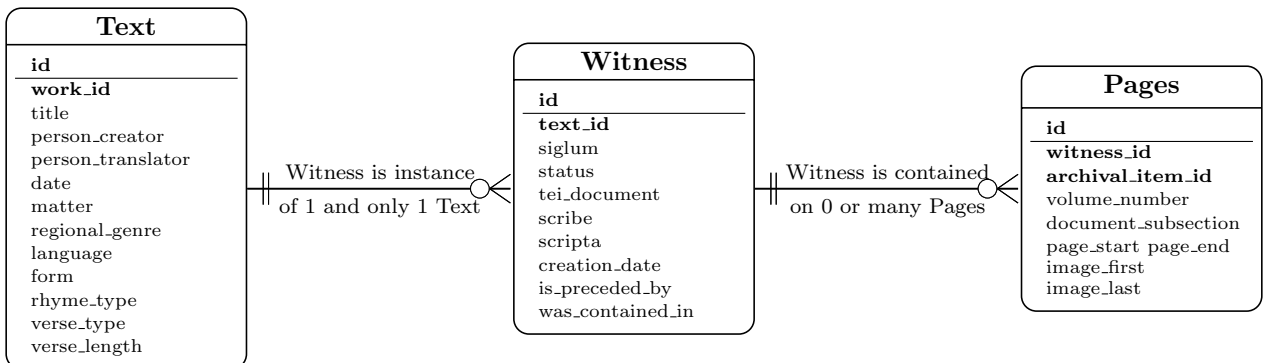


Figure 3.10: *Attested Document* entity relationships.