

# CSCB63 WINTER 2021

## WEEK 6 LECTURE 2 - DIRECTED GRAPHS AND STRONGLY CONNECTED COMPONENTS

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# TODAY

Quick Review DFS

Strongly Connected Components

Kosaraju's Algorithm

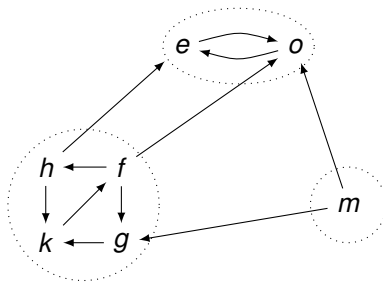
# RECURSIVE DFS

```
time = 0
start = any vertex
new stack order
dfs(start)

dfs(v)
    v.state = visited          # ie, label v green
    v.start = time
    time += 1
    for each neighbour w of v
        if w.state == visited      # ie, green
            # we have a cycle
        else if w.state == not_visited # ie, black
            add edge vw to tree T
            dfs(w)
    v.finish = time
    v.state = finished
    order.push(v)               <== new
    time += 1
```

# STRONGLY CONNECTED COMPONENTS

*Strongly connected component* (SCC): is *maximal subset* of *vertices* reachable from each other in a *directed* graph.



Three *strongly connected components*:  $\{e, o\}$ ,  $\{f, g, h, k\}$ ,  $\{m\}$ .

# MOTIVATION

**Q.** What is an application that may want to find *strongly connected components*?

**A.**



**Q.** How do we find *strongly connected components* in a *directed graph*?

**A.** Two common algorithms, we will look at one - *Kosaraju's* algorithm.

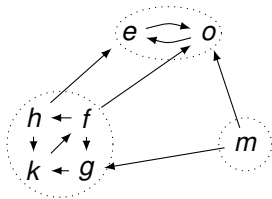
Makes an observation about  $G$  and its *transpose*,  $G^T$ .

# TRANSPOSE OF $G$

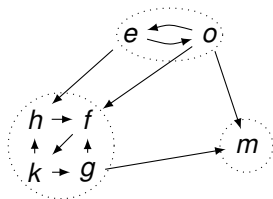
The *transpose of  $G$*  (notation  $G^T$ ) means a graph with the same vertices as  $G$  and the edges are the *reverse* of  $G$ 's.

Not to be confused with the *complement  $G^C$  of  $G$* .

$G$ :



$G^T$ :



**Observation:**  $G^T$  has the *same* strongly connected components as  $G$ 's.

**Q.** What is the *complexity* to compute adjacency lists of  $G^T$ :

**A.**

# KOSARAJU'S SCC ALGORITHM

## Overview.

- ▶ *DFS* on  $G$ , be sure to visit all vertices, note finish times, accumulate vertices in reverse finishing order (i.e., as we finish with them *push* them to a *stack*.)
- ▶ *Compute* adjacency lists of  $G^T$ .
- ▶ *DFS* on  $G^T$ , pop vertices from the *stack* to pick *start/restart vertices*.
- ▶ Each *tree* found has the vertices of *one strongly connected component*.

Total  $O(|V| + |E|)$  time.

Let's see it in [action](#)...

# PROOF OF KOSARAJU'S ALGORITHM

## Notation

- ▶ We denote by  $f(v)$  the time at which node  $v$  is finished (all outgoing neighbours have been visited).
- ▶  $f(u) < f(v)$  means “node  $u$  was *finished* before node  $v$ .”
- ▶ Note that every node is eventually *finished*, so this notation is *well-defined*.
- ▶ Let  $C$  be an *SCC*. Define  $f(C)$  as the time at which *the last node* in  $C$  is finished.

$$f(C) = \max_{v \in C} f(v)$$



# PROOF OVERVIEW

**Lemma.** If  $s$  is the first node in  $SCC\ C$  visited by DFS, then  $f(C) = f(s)$ .

**Theorem** Suppose we run  $DFS$  in  $G$ , restarting as needed. Let  $C_1$  and  $C_2$  be  $SCCs$  in  $G$ . If  $(u, v)$  is an edge in  $G$  where  $u \in C_1$  and  $v \in C_2$ , then  $f(C_2) < f(C_1)$ .

**Corollary.** Let  $C_1$  and  $C_2$  be distinct  $SCCs$  in  $G = (V, E)$ . Suppose there is an edge  $(u, v)$  in  $E^T$  where  $u \in C_1$  and  $v \in C_2$ . Then  $f(C_1) < f(C_2)$ .

**Corollary.** Let  $C_1$  and  $C_2$  be distinct  $SCCs$  in  $G = (V, E)$ , and suppose that  $f(C_1) > f(C_2)$ . Then there cannot be an edge from  $C_1$  to  $C_2$  in  $G^T$ .

**Corollary.** Let  $C_1$  and  $C_2$  be distinct  $SCC$ 's in  $G = (V, E)$ , and suppose that  $f(C_1) > f(C_2)$ . Then there cannot be an edge from  $C_1$  to  $C_2$  in  $G^T$ .

**Q.** What does this mean?

**A.**



**Corollary.** Let  $C_1$  and  $C_2$  be distinct  $SCC$ 's in  $G = (V, E)$ , and suppose that  $f(C_1) > f(C_2)$ . Then there cannot be an edge from  $C_1$  to  $C_2$  in  $G^T$ .

**Q.** What does this mean?

**A.**



# PROOFS

**Lemma.** If  $s$  is the first node in  $SCC\ C$  visited by DFS, then  $f(C) = f(s)$ .

**Proof.** At the time  $DFS(s)$  is called,

- ▶ since  $s$  is the first node in  $C$  visited by the DFS, all nodes in  $C$  have *not been discovered* (colour black).
- ▶ Since  $C$  is a  $SCC$ , every node  $v \in C$  is reachable from  $s$ .
- ▶ This means there is a path from  $s$  to  $v$  for every  $v \in C$ .
- ▶ Thus every node  $v \in C$  will be *finished* when  $DFS(s)$  returns.
- ▶ Since the last step of the  $DFS(s)$  is to finish  $s$ , this means that  $s$  is finished only *after all other nodes* in  $C$  are finished.
- ▶ Therefore,  $f(s) > f(v)$  for any  $v \in C$ . Since by definition  $f(C) = \max_{v \in C} f(v)$ , this means  $f(C) = f(s)$ .

**Theorem** Suppose we run *DFS* starting at each node in  $G$ .

Let  $C_1$  and  $C_2$  be *SCCs* in  $G$ . If  $(u, v)$  is an edge in  $G$  where  $u \in C_1$  and  $v \in C_2$ , then  $f(C_2) < f(C_1)$ .

**Proof.**

- ▶ Let  $x_1$  and  $x_2$  be the first nodes DFS visits in  $C_1$  and  $C_2$ , respectively.
- ▶ By our lemma,  $f(C_1) = f(x_1)$  and  $f(C_2) = f(x_2)$ . Therefore, we will show  $f(x_2) < f(x_1)$ .
- ▶ Note  $x_2$  is reachable from  $x_1$ , since there is a path from  $x_1$  to  $u$  in  $C_1$ , across  $(u, v)$ , and there exists a path in  $C_2$  from  $v$  to  $x_2$ .
- ▶ However,  $x_1$  is not reachable from  $x_2$ , since then  $x_1$  and  $x_2$  would be *strongly connected*, contradicting that they belong to different *SCCs*.

## TWO CASES...

**Case 1.**  $DFS(x_2)$  is called before  $DFS(x_1)$ :

- ▶ Since  $x_1$  is not reachable from  $x_2$ ,  $x_1$  will not be finished (white) when  $DFS(x_2)$  returns.
- ▶ Thus  $x_1$  is finished after  $x_2$ , so  $f(x_2) < f(x_1)$ .

## TWO CASES...

**Case 2**  $DFS(x_1)$  was called before  $DFS(x_2)$ .

- ▶ When  $DFS(x_1)$  is called, all nodes in  $C_1$  and  $C_2$  have not been visited, so there will be a *DFS path* from  $x_1$  to  $x_2$ .
- ▶ Thus when  $DFS(x_1)$  returns,  $x_2$  will be white or finished.
- ▶ Since  $DFS(x_1)$  finishes with  $x_1$  just before it returns, this means that  $x_1$  was finished after  $x_2$ , so  $f(x_2) < f(x_1)$ .

We proved...

**Theorem.** Suppose we run *DFS* starting at each node in  $G$ . Let  $C_1$  and  $C_2$  be *SCCs* in  $G$ . If  $(u, v)$  is an edge in  $G$  where  $u \in C_1$  and  $v \in C_2$ , then  $f(C_2) < f(C_1)$ .

Now, we can show:

**Corollary.** Let  $C_1$  and  $C_2$  be distinct *SCC*'s in  $G = (V, E)$ . Suppose there is an edge  $(u, v)$  in  $E^T$  where  $u \in C_1$  and  $v \in C_2$ . Then  $f(C_1) < f(C_2)$ .

**Proof.**

- ▶ Edge  $(u, v) \in E^T$  implies  $(v, u) \in E$ .
- ▶ Since *SCC*'s of  $G$  and  $G^T$  are the same,  $f(C_2) > f(C_1)$ .
- ▶ This completes the proof.



## USING THE COROLLARY

**Corollary.** Let  $C_1$  and  $C_2$  be distinct *SCC*'s in  $G = (V, E)$ .

Exists  $(u, v) \in E^T$  where  $u \in C_1$  and  $v \in C_2 \longrightarrow f(C_1) < f(C_2)$ .

What does the *contrapositive* of this corollary tell us?

**Contrapositive.**

$f(C_1) > f(C_2) \longrightarrow$  not exists  $(u, v) \in E^T$  where  $u \in C_1$  and  $v \in C_2$

**Corollary.**

Let  $C_1$  and  $C_2$  be distinct *SCC*'s in  $G = (V, E)$ , and suppose that  $f(C_1) > f(C_2)$ , then there cannot be an edge from  $C_1$  to  $C_2$  in  $G^T$ .

# TYING IT ALL TOGETHER

**Corollary.** Let  $C_1$  and  $C_2$  be distinct *SCC*'s in  $G = (V, E)$ , and suppose that  $f(C_1) > f(C_2)$ . Then there cannot be an edge from  $C_1$  to  $C_2$  in  $G^T$ .

This means...

- ▶ If we start the *DFS* on  $G^T$  at the *SCC*  $C_1$  with *maximum* finish time  $f(C_1)$  in the first *DFS* on  $G$ ,
- ▶ then, since  $f(C_1) > f(C_2)$  for all  $C_2 \neq C_1$ , there are no edges from  $C_1$  to  $C_2$  in  $G^T$ .
- ▶ therefore, *DFS* will only visit vertices from  $C_1$ .
- ▶ if the start vertex in  $C_1$  is  $x$ , then  $DFS(x)$  returns a *DFS tree* that contains only vertices from  $C_1$ .

## TYING IT ALL TOGETHER

- ▶ The next vertex chosen as *starting vertex* in the second *DFS* is in *SCC*  $C_2$  such that  $f(C_2)$  is *maximum* over all *SCC*'s other than  $C_1$ .
- ▶ The *DFS* visits all vertices in  $C_2$ . What do we know about but the *edges out* of  $C_2$ ?
- ▶ They only go to  $C_1$ , which we've *already visited*.
- ▶ Therefore, the only *tree edges* will be to vertices in  $C_2$ .
- ▶ Continue for all remaining *SCCs*