

# Inconsistency of incomplete pairwise comparisons matrices

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## Abstract

TODO: Abstract, should normally be not longer than 200 words.

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## 1. Introduction

People have made the decision for ages. Some of them are very simple and come easily, but others, more complicated ones, require deeper analysis. This happens when there are a lot of compared objects, they are complex and the selection criterion is hard to measure clearly. Fortunately, the development of mathematics brought an interesting tool - *The Pairwise Comparisons (PC) Method*. The first case of using the method (in a very simple version) is the election system described by *Ramond Llull* [1] in the 13th century. Its rules were based on the fact that the candidates were pairwise compared with each other, and the winner was the one who won in the largest number of direct comparisons. Another back to the method is until the eighteenth century and the voting system proposed by Condorcet and Bord [2]. In the twentieth century, the method found application in the theory of social choice, the main representatives of which were the Nobel prize winners *Keneth Arrow* [3] and *Amartya Sen* [4]. The current shape of the method was influenced by the changes introduced by *Fechner* [Fechner 1966] and then refined by *Thrustone* [5]. However, the breakthrough was the introduction to the method *The Analytic Hierarchy Process (AHP)* by *Saaty* [6], which allowed to compare many more complex objects and create a hierarchical structure.

The *PC* method is based on the assumption that it is not worth comparing all objects at once. It is better to compare them in pairs and then collect the results together. Such pairwise comparisons are much more intuitive and natural for a human. But how can you be sure that these judgements are consistent? Or what to do if some comparisons are missing? In such a case, is it worth taking the *PC* method at all?

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The answer to the first question is the concept of inconsistency introduced into the method. The purpose of this work is to answer the next two questions. So, to examine whether available methods for determining inconsistencies give reliable results when part of the comparisons are missing. The main aim of this paper is to check which method of calculating the inconsistency is in this case the best and if it makes sense at all. To do this, a series of tests was carried out on various known inconsistency indexes, taking into account many different parameters: matrix size, the amount of missing data, grade of inconsistency. The results of the research are included below.

## 2. Preliminaries

### 2.1. The Pairwise Comparisons Method

The *PC* method is used to choose the best alternative from a set of alternatives. However, this goal is achieved by comparing in pairs. Each pair is assigned a numerical value that not only determines which alternative is preferred, but also informs about the intensity of this preference. In this way, the finite set of alternatives  $C = \{c_1, \dots, c_n\}$  is transformed into a matrix *PC*  $M = (m_{ij})$ , where  $m_{i,j} \in R$  i  $i, j \in \{1, \dots, n\}$ . It is worth noting that the values  $m_{ij}$  and  $m_{ji}$  represent the same pair. Therefore, one should expected that  $m_{ji} = \frac{1}{m_{ij}}$ . If  $\forall i, j \in \{1, \dots, n\} : m_{ij} = \frac{1}{m_{ji}}$ , the matrix is called a *reciprocal*. The *PC* matrix is the basis for calculating the method. It is used in a function  $\mu : C \rightarrow R$ , that assigns a positive real number to each alternative in the set  $C$ . The vector  $\mu = [\mu(c_1), \dots, \mu(c_n)]$  formed in this way is called the weight vector (see Fig.1). It informs you which alternative has won.



There are many ways to calculate the vector  $\mu$ , among the popular is method uses the matrix's eigenvalues or the method based on geometric means [7].

### 2.2. Inconsistency

The second important parameter describing the matrix  $M$  is *consistency*. The matrix is consistent if for each variable  $i, j, k$ , where  $1 \leq i, j, k \leq n$  occurs:

$$m_{ik} = m_{ij}m_{jk} \quad \forall i, j, k. \quad (1)$$

Three numbers that should meet this assumption are called a *triad*.

If the matrix is consistent, then after the weight vector  $\mu$  is computed, then for each variable  $i, j$ , where  $1 \leq i, j \leq n$  meets the equation:

$$a_{ij} = \frac{\mu_i}{\mu_j} \quad (2)$$

In practice, it is very rare for a matrix  $M$  to be completely consistent. In the long history of the *PC* method, a lot of methods have been developed to

calculate inconsistencies. Many of them are based directly on the definition of consistency (1), some methods use the eigenvalues of the matrix, others are based on the assumption that each fully consistent matrix fulfills the condition (2).

### 3. Inconsistency indexes

This section presents sixteen common inconsistency indexes. Their detailed description, including the formulas that describe them, is necessary to modify them in the next step so that they also work for incomplete matrices. Many of them have been described and tested numerically in [8]. In all methods, it is assumed that the *PC* matrix is reciprocal.

#### 3.1. Saaty index

This is one of the most important and popular factors. Introduced by *Saaty* [9]. In order to determine inconsistency, the matrix's eigenvalue should be computed. The author has used the dependence that the largest eigenvalue of the matrix is equal to its dimension if and only if the given matrix is completely consistent. On this assumption, he based his thoughts and proposed the formula:

$$CI(A) = \frac{\lambda_{max} - n}{n - 1}, \quad (3)$$

where  $\lambda_{max}$  is the principal eigenvalue of the *PC* matrix and  $n$  is its dimension.

#### 3.2. Geometric consistency index

This index on the assumption (2) was proposed by *Craford* and *Williams* [10] and then refined by *Aguaròn* and *Moreno-Jiménez* [11]. In this case the priority vector should be calculated using the geometric mean method. Consider (2) one can create a matrix:

$$E = \left[ e_{ij} \mid e_{ij} = a_{ij} \frac{w_j}{w_i} \right], \quad i, j = 1, \dots, n. \quad (4)$$

The inconsistency index is calculated as follows:

$$GCI = \frac{2}{(n-1)(n-2)} \sum_{i=1}^n \sum_{j=i+1}^n \ln^2 e_{ij}. \quad (5)$$

#### 3.3. Koczkodaj index

One of the most popular inconsistency indexes was proposed by *Koczkodaj* [12]. It is based directly on the definition of consistency (1). The value of the inconsistency index for one triad (2.2) was defined as:

$$K_{i,j,k} = \min \left\{ \frac{1}{a_{ij}} \mid a_{ij} - \frac{a_{ik}}{a_{jk}} \mid, \frac{1}{a_{ij}} \mid a_{ik} - a_{ij}a_{jk} \mid, \frac{1}{a_{jk}} \mid a_{jk} - \frac{a_{ik}}{a_{ij}} \mid \right\}. \quad (6)$$

This formula has been simplified by *Duszak* and *Koczkodaj* [13] and is given as:

$$K(\alpha, \beta, \gamma) = \min\{ |1 - \frac{\beta}{\alpha\gamma}|, |1 - \frac{\alpha\gamma}{\beta}| \}, \quad \text{gdzie } \alpha = a_{ij}, \beta = a_{ik}, \gamma = a_{jk} \quad (7)$$

Then it was generalized [13] for  $n > 2$ . Finally, the inconsistency index has the following form:

$$K = \max\{K(\alpha, \beta, \gamma) | 1 \leq i < j < k \leq n\} \quad (8)$$

It is worth noting that not only does the coefficient find the greatest inconsistency but also indicates the place in which it occurs.

#### 3.4. Kazibudzki indexes

Based on the Koczkodaj inconsistency index and observation that  $\ln(\frac{\alpha\gamma}{\beta}) = -\ln(\frac{\beta}{\alpha\gamma})$ , *Kazibudzki* proposed several additional inconsistency indexes [14]. Instead of the formula for inconsistency of the triad (7), he introduced two new formulas:

$$LTI(\alpha, \beta\gamma) = | \ln(\frac{\alpha\gamma}{\beta}) |, \quad (9)$$

$$LTI * (\alpha, \beta\gamma) = \ln^2(\frac{\alpha\gamma}{\beta}). \quad (10)$$

Based on the above equations, *Kazibudzki* proposed new indexes. The simplest ones use the geometric mean of the triads. Thus, new indexes could be written in the form:

$$MLTI(LTI) = \frac{1}{n} \sum_{i=1}^n [LTI_i(\alpha, \beta\gamma)], \quad (11)$$

$$MLTI(LTI*) = \frac{1}{n} \sum_{i=1}^n [LTI * _i (\alpha, \beta\gamma)]. \quad (12)$$

After further research *Kazibudzki* introduces another inconsistency index [15], again based on (10). It was defined as  $CM(LTI*) = \frac{MEAN[LTI*(\alpha, \beta, \gamma)]}{1 + MAX[LTI*(\alpha, \beta, \gamma)]}$ . Hence,

$$CM(LTI*) = \frac{\frac{1}{n} \sum_{i=1}^n [LTI * _i (\alpha, \beta, \gamma)]}{1 + \max\{LTI * _i (\alpha, \beta, \gamma)\}}. \quad (13)$$

#### 3.5. Index of determinants

This index was proposed by *Pelaez* and *Lamata* [16] and is also based on the concept of triad. The authors noticed that *PCM* matrices can be constructed on the basis of triads. Their determinant is closely related to the consistency of the matrix.

For every triad  $(a_{ik}, a_{ij}, a_{jk})$  one can build a matrix in the form:

$$T_{ijk} = \begin{pmatrix} 1 & a_{ij} & a_{ik} \\ \frac{1}{a_{ij}} & 1 & a_{jk} \\ \frac{1}{a_{ik}} & \frac{1}{a_{jk}} & 1 \end{pmatrix}, \quad \text{gdzie } i < j < k. \quad (14)$$

The determinant of this matrix is:

$$\det(A) = \frac{a_{ik}}{a_{ij}a_{jk}} + \frac{a_{ij}a_{jk}}{a_{ik}} - 2. \quad (15)$$

If the matrix is fully consistent, then  $\det(A) = 0$ , else  $\det(A) > 0$ . Based on the above considerations, the authors introduced the new inconsistency index that can be formulated as follows:

$$CI^* = \frac{1}{n} \sum_{i=1}^n \left( \frac{a_{ik}}{a_{ij}a_{jk}} + \frac{a_{ij}a_{jk}}{a_{ik}} - 2 \right). \quad (16)$$

### 3.6. Kułakowski and Szybowski indexes

Kułakowski and Szybowski proposed two further inconsistency indexes [17], which are also based on triads. They use the fact that the number of triads that can be found in a *PCM* matrix is

$$\binom{n}{3} = \frac{n!}{(n-3)!3!} = \frac{n(n-1)(n-2)}{6}. \quad (17)$$

The index is formulated as follows:

$$I_1 = \frac{6 \sum_{t \in T} K(t)}{n(n-1)(n-2)}, \quad (18)$$

where  $K(t)$  is the *Koczkodaj* index for triad  $t = (\alpha, \beta, \gamma)$  of the set of all triads  $T$ .

The second inconsistency index is similar:

$$I_2 = \frac{6 \sqrt{\sum_{t \in T} K^2(t)}}{n(n-1)(n-2)}. \quad (19)$$

indexes can be combined with each other to create new coefficients. In this way Kułakowski and Szybowski proposed two new indexes. The first one is based on (8) and (18). This index allows to choose what effect on the result should the greatest inconsistency found have and what the average inconsistency of all triads. The new inconsistency index looks as follows:

$$I_\alpha = \alpha K + (1 - \alpha) I_1, \quad (20)$$

where  $0 \leq \alpha \leq 1$ .

The second index expands the first one by (19):

$$I_{\alpha, \beta} = \alpha K + \beta I_1 + (1 - \alpha - \beta) I_2. \quad (21)$$

### 3.7. Harmonic consistency index

Index introduced by *Stein* and *Mizzi* and it presents a completely new method of inconsistency counting [18]. At the beginning it requires the creation of an auxiliary vector  $s = (s_1, \dots, s_n)^T$ , where  $n$  is the dimension of the matrix  $A$ , for which the index will be calculated. Each element of the vector  $s$  is the sum of values in one column of the matrix  $A$ . Hence,

$$s_j = \sum_{i=1}^n a_{ji} \quad \forall j. \quad (22)$$

The authors proved that if the matrix  $A$  is consistent, then  $\sum_{j=1}^n s_j^{-1} = 1$ . The formula for the mean harmonic looks as follows [19]:

$$HM = \frac{n}{\sum_{j=1}^n \frac{1}{s_j}}. \quad (23)$$

The final formula for inconsistency index was obtained by normalizing the above equation (23):

$$HCI = \frac{(HM(s) - n)(n + 1)}{n(n - 1)}. \quad (24)$$

### 3.8. Golden and Wang index

This index was introduced by *Golden* and *Wang* [20]. It assumes that the priority vector was calculated using the geometric mean method, then normalized to add up to 1. In this way vector  $g^* = [g_1^*, \dots, g_n^*]$  was obtained, where  $n$  is the dimension of the matrix  $A$ . The next step is to normalize each column of the matrix  $A$ . After this, the sum of the elements of each column in matrix  $A$  is 1. The obtained matrix is marked with the symbol  $A^*$ . The inconsistency index is defined as follows:

$$GW = \frac{1}{n} \sum_{i=1}^n \sum_{j=1}^n |a_{ij}^* - g_i^*|. \quad (25)$$

### 3.9. Salo and Hamalainen index

The index proposed by *Salo* and *Hamalainen* [21, 14] uses the definition of inconsistency (1), however it requires the creation of an auxiliary matrix, in which each element is the smallest and largest discrepancy from consistency based on formula (1). The index takes all triads into account:

$$R = (r_{ij})_{n \times n} = \begin{pmatrix} [r_{11}, \bar{r}_{11}] & \dots & [r_{1n}, \bar{r}_{1n}] \\ \vdots & \ddots & \vdots \\ [r_{n1}, \bar{r}_{n1}] & \dots & [r_{nn}, \bar{r}_{nn}] \end{pmatrix}, \quad (26)$$

where  $r_{ij} = \min \{a_{ik}a_{kj} \mid k = 1, \dots, n\}$ ,  $\bar{r}_{ij} = \max \{a_{ik}a_{kj} \mid k = 1, \dots, n\}$  and  $n$  is the dimension of the tested matrix  $A$ . A numerical example was presented

in [19]. Based on the resulting matrix  $R$ , the authors proposed the following inconsistency index:

$$CM = \frac{2}{n(n-1)} \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{\bar{r}_{ij} - r_{ij}}{(1 + \bar{r}_{ij})(1 + r_{ij})}. \quad (27)$$

### 3.10. Cavallo and D'Apuzzo index

The authors of Cavallo and D'Apuzzo based their index on triads, but they conducted studies on a new path, generalizing them for linear, ordered abelian groups [22, 23]. Thanks to this the index can be used also with other relations [8]. Index for relation *max* can be presented in the form of a formula:

$$I_{CD} = \prod_{i=1}^{n-2} \prod_{j=i+1}^{n-2} \prod_{k=j+1}^n \left( \max \left\{ \frac{a_{ik}}{a_{ij}a_{jk}}, \frac{a_{ij}a_{jk}}{a_{ik}} \right\} \right)^{\frac{1}{\binom{n}{3}}}. \quad (28)$$

### 3.11. Relative error

This index, proposed by Barzaili [24], requires calculation of the weight vector using the arithmetic mean method for each row and creation of two additional matrices. Thus, the weight vector is  $w_i = \frac{1}{n} \sum_{j=1}^n a_{ij}$ , where  $n$  is the dimension of the matrix. The two auxiliary matrices are calculated according to the formulas:

$$C = (c_{ij}) = (w_i - w_j) \quad (29)$$

$$E = (e_{ij}) = (a_{ij} - c_{ij}) \quad (30)$$

Ultimately, the formula for the Relative error is following:

$$RE(A) = \frac{\sum_{ij} e_{ij}^2}{\sum_{ij} a_{ij}^2}. \quad (31)$$

## 4. Inconsistency indexes for incomplete matrices

There are no inconsistency indexes for incomplete matrices. Those presented in chapter (3) could be use in such cases. Usually it requires a slight modification of the index definition or calculation only for selected data. Below are presented the ways in which the examined ratios have been adjusted to be able to deal with incomplete matrices.

**Saaty index:** The input matrix is modified using the method proposed by Harker [25]. It means that on the diagonal are places values  $c + 1$ , where  $c$  is the number of non-empty elements in a given row.

**Geometric consistency index:** During calculating the weight vector by the geometric mean, empty values are omitted. Additionally in the formula (5) only non-empty elements  $e_{ij}$  are used. The reason for this is that the domain of the logarithmic function is the set  $R^+$ .

**Koczkodaj index, Kazibudzki indexes, Index of determinants:** Only those triads that do not contain empty values are taken into account.

**Kułakowski and Szybowski indexes:** Only those triads that do not contain empty values are taken into account. In addition, the number of triads is no longer calculated according to the formula (17), but determined directly by counting the number of triads.

**Harmonic consistency index:** No modification.

**Golden and Wang index:** During calculating the weight vector by the geometric mean, empty values are omitted.

**Salo and Hamalainen:** No modification.

**Cavallo and D'Appuzzo:** During calculating the product (28), empty values are omitted.

**Relative index:** No modification.

## 5. Discussion

The presented inconsistency indexes have been tested. Their aim was to select those indexes that will give reliable results for incomplete matrices. Therefore, it was decided that the measure of the indexes' quality will be a relative error (expressed as a percentage), which takes into account the value of the index for a full, inconsistent matrix and the value of the coefficient for the same matrix after partial decomposition. To be sure that the results are correct, all indexes were tested on the same matrices. The different sizes of the matrixes, the level of incompleteness and the level of inconsistency were taken into account. Then, in order to easily compare the coefficients and select the best ones, the results were averaged using the arithmetic mean. While building the algorithm to solve the problem used [15].

*Algorytm badań współczynników niespójności:*

1. Randomly generate a vector  $w = [w_1, \dots, w_n]$  and a consistent *PCM* matrix associated with it  $PCM = [m_{ij}]$ , where  $m_{ij} = \frac{w_i}{w_j}$ .
2. Disrupt the matrix by multiplying its elements (excluding the diagonal) by the value of  $d$ , randomly selected from the range  $(\frac{1}{x}, x)$ .
3. Replace values  $m_{ij}$ , where  $i < j$  by values  $m_{ji}$ .
4. Calculate values of inconsistencies with all methods for the created matrix.
5. Decompose the matrix by removing some of the comparison values. The degree of incompleteness is to be elevated  $g\%$ .



6. Calculate the values of inconsistencies by all methods for the decomposed matrix.
7. Calculate the relative error for each index.
8. Repeat steps 1 to 10  $X_1$  times.
9. Calculate the average relative error for each inconsistency index for the *PCM* matrix.
10. Repeat steps 1 to 10  $X_2$  times.
11. Calculate the average relative error for each index by averaging the values obtained in step 9.

The above algorithm was carried out for values  $X_1 = 100$ ,  $X_2 = 100$ . It was started for values  $d$  in the range  $(1.1, 1.2, \dots, 4)$ , and the results were then averaged. This means that the average relative error of one factor was calculated on the basis 4000 matrixes, each of them decomposed randomly 100 times, which together gives 400000 tests how good the index is.

In addition, tests for various sizes of matrices were carried out. The results are divided into two parts:

1. A constant degree of incompleteness, different size of the matrix.
2. Different degrees of incompleteness, constant size of matrices.

The aim of such a division is to pay attention to how the inconsistency indexes behave together with the change in the size or the degree of incompleteness. The results of the research are presented below.

*Results: Relative error of inconsistency indexes for incomplete matrices with constant degrees of incompleteness  $g = 15\%$  and variable matrix size.*

Index	$n=4$	$n=7$	$n=8$	$n=10$	$n=15$	mean
saaty	33,41	19,82	18,78	19,16	17,37	21,71
geometric	616,68	124,73	77,94	68,62	39,13	185,42
koczkodaj	13,86	3,69	2,14	1,62	0,80	<b>4,42</b>
kazibudzukiLTI1	24,80	10,21	6,62	4,97	2,73	9,87
kazibudzukiLTI2	42,31	17,93	11,88	9,03	5,03	17,24
kazibudzukiCMLTI2	35,40	17,07	13,26	11,20	6,81	16,75
pelaeLamata	44,65	19,90	13,46	10,36	5,84	18,84
kulakowskiSzybowski	20,34	7,68	4,88	3,63	1,96	7,70
kulakowskiSzybowski2	44,61	26,05	27,12	29,64	28,46	31,18
kulakowskiSzybowskiIa	16,47	5,18	3,09	2,27	1,16	<u>5,63</u>
kulakowskiSzybowskiIab	17,40	4,89	2,81	2,04	1,01	<u>5,63</u>
harmonic	9 573,02	1 577,49	1 127,33	1 066,35	866,00	2 842,04
goldenWang	115,92	54,37	43,90	43,16	36,26	58,72
saloHamalainen	381,57	205,06	176,11	160,06	136,55	211,87
cavalloDapuzzo	16,94	6,85	4,46	3,36	1,87	<u>6,70</u>
relativeError	1 792,64	226 313,60	746,21	100,87	20,42	45 794,75

*Results: Relative error of inconsistency indexes for incomplete matrices with varying degrees of incompleteness and constant matrix size  $n = 8$ .*

Index	$g=4\%$	$g=7\%$	$g=14\%$	$g=25\%$	$g=50\%$	mean
saaty	4,71	9,40	18,78	32,89	65,56	26,27
geometric	23,60	48,44	86,61	135,68	207,99	100,46
koczkodaj	0,48	0,99	2,17	4,52	16,41	<b>4,92</b>
kazibudzkiLTI1	2,90	4,31	6,64	10,05	23,09	9,40
kazibudzkiLTI2	5,12	7,71	11,91	18,08	40,77	16,72
kazibudzkiCMLTI2	5,16	8,05	13,34	22,03	61,16	21,95
pelaeLamata	5,73	8,72	13,52	20,54	45,64	18,83
kulakowskiSzybowski	2,17	3,18	4,91	7,43	17,30	7,00
kulakowskiSzybowski2	5,90	12,22	27,12	56,71	202,27	60,84
kulakowskiSzybowskiIa	1,20	1,88	3,12	5,13	13,97	5,06
kulakowskiSzybowskiIab	1,00	1,65	2,84	4,74	12,97	4,64
harmonic	291,74	544,60	1 152,26	1 962,25	3 995,58	1 589,29
goldenWang	14,23	25,23	46,18	68,83	98,24	50,54
saloHamalainen	88,40	137,70	180,17	182,54	148,74	147,51
cavalloDapuzzo	1,95	2,91	4,46	6,81	16,11	6,45
relativeError	18,99	20,81	206,74	68,98	1 056,96	274,50

*Analyzing the above results, one can draw several conclusions.*

It was possible to show that the error increases with incompleteness, which should not be a big surprise. At the same time, it decreases with the size increase of the matrix. The most important question, however, was about which index coped well with incomplete matrices. The Koczkodaj index (3.3) proved to be the best. It wins in 9 out of 10 tests, and its average error in both cases turns out to be the best (below 5%). The next places are occupied by two indexes of Kułakowski and Szybowski (3.6) and Cavallo and D'Apuzzo index (3.10). It is worth noting that all of these coefficients are based on triads.

A question may arise, which makes the Koczkodaj index gives such good results and is it worth using. It is worth returning to the definition of this index (8) and note that it is equal to the value of the most incoherent triad. So if the incompleteness of the matrix is small, there is a good chance that after completing the matrix and recalculating the inconsistencies, the value of the index will not change at all. It will only change if the element included in the most index triad is removed. So in many cases, the examination of the full matrix and after incompleteness gives exactly the same (the error is 0%). This is the only factor of this kind among those presented in this article.

If you use the Koczkodaj Factor, you may be worried that the removed item belonged to the most inconsistent triad. It is difficult to predict then what error will contain the ratio of an incomplete matrix. It seems that the index proposed by Kułakowski and Szybowski, which pay particular attention to, come to the rescue. First of them (18) averages the inconsistencies of the triads. So it is safe and gives the good results (in both tests he took 6th place and achieved the error below 8%. From this perspective, another index

suggested by the same authors (20) turns out to be very interesting. In the tests it took the third place. They have the parameter  $\alpha$  allowing to determine the effect of the greatest inconsistency of the triad ( $\alpha$ ), and the average  $(1 - \alpha)$ . In the tests carried out, the parameter  $\alpha$  was 0.4.

## 6. Summary

TODO

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