## CS 4320, Question 1

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1. Assume  $X \to Y$  and  $X \to Y$ 

 $(X)^+ = \{X, Y\}$ 

 $X \in (X)^+$  by Armstrong's axiom of reflexivity (i.e. since  $X \subseteq X, X \to X$ )

 $Y \in (Y)^+$  by the first functional dependency.

 $(Y)^+ = \{X, Y\}$ 

 $Y \in (Y)^+$  by Armstrong's axiom of reflexivity (i.e. since  $Y \subseteq Y, Y \to Y$ )

 $X \in (Y)^+$  by the second functional dependency.

Therefore,  $(Y)^+ = \{X, Y\} = (X)^+$ 

For the other direction, assume  $(Y)^+ = (X)^+$ 

 $\forall x \in (X)^+, x \in (Y)^+$ 

Since the closure of  $(Y)^+$  is the set of all attributes k such that  $(Y \to k) \in F_y^+, Y \to x, \forall x \in (X)^+$ .

Hence,  $Y \to X$ .

 $\forall y \in (Y)^+, y \in (X)^+$ 

Since the closure of  $(X)^+$  is the set of all attributes k such that  $(X \to k) \in F_x^+, X \to y, \forall y \in (Y)^+$ .

Hence,  $X \to Y$ .

(b) Assume  $X \to Y$  and consider a relation R with attributes A.

Then  $X \to XY$  by Armstrong's axiom of reflexivity (i.e. since  $X \subseteq XY, X \to XY$ )

Since a superkey refers to any  $S \subseteq A$  such that  $S \to A$ , X is a superkey of XY, which is logically equivalent to the statement that X is a superkey of  $\pi_{XY}(R)$  since  $\pi_{XY}(R)$  is a relation with the attributes XY.

For the other direction, assume X is a superkey of  $\pi_{XY}(R)$ , which refers to a new relation with the attributes XY. This means that X is a superkey of XY.

Since a superkey refers to any  $S \subseteq A$  such that  $S \to A$ ,  $X \to XY$ . This can be broken into  $X \to X$  and  $X \to Y$ . Hence,  $X \to Y$  is proven.

(c) Assume  $Z \to Y$  holds on R.

To prove  $R = \pi_X(R) \bowtie \pi_Y(R)$ , we have to prove:

(i)  $R \subseteq \pi_X(R) \bowtie \pi_Y(R)$ 

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 \begin{split} &\text{(ii) } \pi_X(R) \bowtie \pi_Y(R) \subseteq R \\ &\text{To prove (i),} \\ &\forall t \in R, t[X] \in \pi_X(R) \\ &t[Y] \in \pi_Y(R) \\ &t = t[X] \cup t[Y] \end{split}
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Since  $Z \neq \emptyset$ , 2 projections of an attribute of t will appear in  $\pi_X(R)$  and  $\pi_Y(R)$ , and hence they will be matched in the join. Therefore,  $t \in \pi_X(R) \bowtie \pi_Y(R)$ .

To prove (ii),  $\forall t \in \pi_X(R) \bowtie \pi_Y(R), \exists t1, t2 \in R \text{ such that } t[Z] = t1[Z] \text{ and } t[Z] = t2[Z].$   $Z \to Y \text{ holds on R implies that if } t1[Z] = t2[Z] \Rightarrow t1[Y] = t2[Y].$  Since t1[Z] = t2[Z] and t1 and t2 are matched in a join to form t, this implies that t[Y] = t1[Y].  $\Rightarrow t = t1$ 

Since  $\forall t \in \pi_X(R) \bowtie \pi_Y(R) \exists t 1 \in R \text{ such that } t = t1, \pi_X(R) \bowtie \pi_Y(R) \subseteq R.$ 

Hence,  $R = \pi_X(R) \bowtie \pi_Y(R)$ .