

KATHERINE SALAZAR, CISA

PROFESSIONAL EXPERIENCE

MOODY'S CORPORATION, NEW YORK, NY

May 2019 - December 2020

Financial Controls Manager & IT Risk and Controls Manager

- Designed, implemented and documented ITGC/financial controls within the Financial Transformation Program
- Onboarded and provided process improvement advisory for JIRA, GitHub, SAP BW and SAP SAC solutions to be SOX compliant
- Conducted the onboarding and post-implementation project audits: data conversion, outstanding project risks and BAU testing
- Tested controls for SOX ITGC, ITACs, financial controls, cyber security controls and performed report integrity testing
- Identified risks within existing technology processes, communicated risks and aligned risk appetite with business control owners
- Coordinated with stakeholders to facilitate annual and quarterly User Access Review (UAR) and SOC report review process

BDO USA LLP, NEW YORK, NY

January 2018 - May 2019

Senior Associate, Information Systems Assurance and Third-Party Attestations

- Performed assurance engagements including SOC 1 & 2 type 2, SOX 404, ITGC and internal audit advisory
- Consulted advisory stakeholders on governance, risk and compliance best practices for respective industries
- Evaluated risks, vulnerabilities and reported the effectiveness of control design and operating practices to senior stakeholders

COTY INC, NEW YORK, NY

November 2015 - January 2018

Internal IT Auditor

- Planned, scoped and performed IT general, application controls and business process controls testing in support of SOX compliance for in-scope and residual entities including Australia, Brazil, Ireland, United Arab Emirates, United Kingdom and US
- Conducted onboarding of controls, regulations and data conversion testing for global acquisitions
- Performed internal investigations using data analytics to identify policy compliance issues
- Internally developed an automated risk and control self-assessment SharePoint portal resulting in streamline efficiencies
- Collaborated with external auditors and business process owners to remediate issues identified in audit findings

ERNST & YOUNG LLP, NEW YORK, NY

July 2014 - November 2015

IT Auditor, Financial Services Office

- Participated in multiple audits including SOX and SOC 1, type 2 reports and testing to improve audit process
- Assisted clients with technology risk auditing and assessment to manage risk and improve operational performance
- Led meetings and walkthroughs with executive clients and senior management to discuss status and potential audit exceptions

EDUCATION

• BBA in Information Systems Management, St. Mary's University, San Antonio, TX

Information Systems Management Student of the Year, McNair Scholar and Presidential Award Recipient

• Codeup, Data Science Program, expected completion September 2021

Fully immersive, project based 22-week Data Science career accelerator covering SQL, Python and storytelling

TECHNICAL SKILLS

- **ERP, OS & Tools:** PeopleSoft ERP, SAP ERP, Mac OS, Windows OS, Google Workspace and Microsoft Office
- **Best Practices:** AICPA Trust Principles, SSAE 18: SOC 1 & 2 Type 2 and SOX 404
- **Skills:** IT operational audit, IT general controls, business process controls, technology risk, compliance frameworks, vendor risk assessments, pre- and post-implementation audits & advisory, data conversion audit & advisory, program management and SDLC
- **Exposure:** GitHub, Python, SQL, GDPR, PCI DSS, NIST and ISO 27000

CERTIFICATIONS AND MEMBERSHIP

- **ISACA**, Information Systems Audit and Control Association - Certified Information Systems Auditor
- **WiCyS**, Women in Cyber Security - Central Texas, Social Media Chair