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# Lecture 1: Perfect Marriage in the Court of King Arthur<sup>1</sup>

## 1 The Arthur-Merlin Story

In the land ruled by the legendary King Arthur, there existed one hundred knights and one hundred ladies. King Arthur proclaimed that all knights and ladies should get married. He soon asked each of the ladies to write down a list of which of the knights they would be willing to wed, and then hand him their preferences.

King Arthur took all of the ladies' preferences and went back to his castle. He called for his magician, the legendary Merlin, who had access to all the elves, faries, and other mystical creatures. Arthur ordered Merlin to find an marriage so that there would be a perfect matching of the one hundred knights to the one hundred ladies so that each lady is matched to a knight she finds acceptable. Soon enough by way of magic, Merlin obtained a result and handed the list of acceptable marriages to Arthur. However, Arthur wanted to check over Merlin's work and to make sure that each lady was married off acceptably. But how much time is required for Arthur to check each of the lady's list and match them with Merlin's list? The following is Arthur's algorithm to check if Merlin's list is legitimate:

Arthur's Algorithm

- Looks at each list
- Checks if knight that Merlin matches to lady is on lady's list

That works great if there is a marriage. But what if there isn't? Soon enough, Merlin stormed to Arthur and told him "Wait, it's impossible. It'll

 $<sup>^1\</sup>mathrm{These}$  notes were revised from scribe notes from Ming Chow in 2002

never work. The problem is that no matter what I do, some ladies will always be left out." Arthur was dumbfounded and told Merlin: "How is that possible. You need to find a way to marry every one off else you'll lose your head."

#### 1.1 The NP Decision Question

The decision question presented in the Arthur-Merlin story is: "Given a bipartite graph with n knights and n ladies does there exist a perfect marriage?"

Now let's go back to Arthur and his algorithm to check if that each lady is married off correctly according to Merlin's results and the preferences of each lady. Arthur is willing to sit through a polynomial time algorithm of  $O(n^c)$  where c is a constant.

**Definition 1.1.1 NP** is the set of decision problems for which Merlin can present a proof when, the answer is yes, that Arthur can verify in polynomial time.

**Definition 1.1.2 Co-NP** is the set of problems for which Merlin can present a proof of a no answer to Arthur.

**Definition 1.1.3** P is the set of problems Arthur can do without Merlin.

**Definition 1.1.4** A problem X is NP-Hard if  $X \in P \Rightarrow P = NP$ .

So NP-hard problems are the ones we can think of as being hard for Arthur.

**Definition 1.1.5** A problem X is **NP-Complete** if  $X \in NP$  and X is NP-Hard.

So NP-complete problems are the ones we think are hard for Arthur without Merlin's help, but easy with Merlin's help.

We do know as a fact that  $P \subseteq NP$  (anything Arthur can check on his own, he can check with Merlin's help). The infamous P-NP problem is: "Does or does not P=NP?" We suspect that  $P \neq NP$  but we cannot prove it.

### 1.2 Saving Merlin's Head

Merlin's head will be saved if he can prove to Arthur that there is no perfect matching, i.e., if perfect matching is in co-NP. In this section, we will use the terms "perfect matching" and "perfect marriage" interchangeably.

**Definition 1.2.1** For any set S of vertices in G, the **neighbor set** of G is all vertices adjacent to vertices in S, written  $N_G(S)$ .

So the neighbor set is, for example, the union of all knights liked by some lady

**Definition 1.2.2** A vertex is called **saturated** if it is matched and **unsaturated** otherwise.

**Theorem 1.2.3 (Hall's Theorem)** Let G be a bipartite graph with bipartition (X,Y). Then G contains a matching that saturates all the vertices of X if and only if  $|N(S)| \ge |S|$  for all  $S \subseteq X$ .

**Proof.** On direction is obvious. Clearly if there is a set of k ladies who only like k-1 knights between them, there can be no marriage. What is less obvious is the second half of Hall's theorem, which says if G contains no perfect matching, then there must exist a set S such that |S| > |N(S)|. We prove this now by contradiction.

Suppose G is a bipartite graph such that  $|N(S)| \ge |S|$  for all S, but G contains no matching saturating all the vertices in X. Let M\* be a maximum

matching in G. M\* isn't perfect, so there exists some  $\mu \in X$  that isn't matched.

Consider the set of alternating paths that originates from  $\mu$  where alternating paths are paths of edges in G that alternates between unmatched and matched (in M\*) edges. Let S be the set of all vertices in X (including  $\mu$ ) reached by any one of these paths and T be the set of all such vertices in Y.

#### Claim 1.2.4 M\* matches T perfectly with $S - \mu$ .

**Proof.** First observe that these alternating paths reach Y along edges not in M\* and reach  $\mu$  along the edges in M. In other words, every vertex of  $S-\mu$  is reached along an edge in M\* from a vertex in T such that  $S-\mu$  is all matched. But, is T all matched? Suppose not, i.e. there is some unsaturated vertex in T. But then we could have swapped along the alternating path and created a new matching M with one more edge than M\*. This proves the claim.

This claim implies that  $|T| = |S - \mu|$ . We also know that T = N(S) by definition, so  $|N(S)| = |T| = |S - \mu| \le |S|$  and we have found our bad set S. QED.