

## Links

Github: <https://github.com/katjadellalibera/KD-tree-implementation> (<https://github.com/katjadellalibera/KD-tree-implementation>)

PyPI: <https://pypi.org/project/Katjas-kd-tree/> (<https://pypi.org/project/Katjas-kd-tree/>)

## The content of the read-me file:

### KD-tree-implementation

An implementation of kd-search trees with functions to find the nearest neighbor, an operation that would take a long time using linear search on large datasets. That is where kd-search trees come in, since they can exclude a larger part of the dataset at once.

This project was created as a final project for the course CS110/Computation: Solving Problems with algorithms.

### Installation guide

Open the Command center and paste the following

```
pip install Katjas-kd-tree
```

#1(professionalism)

### How to run

After installing the package import it by typing

```
import kd_tree as kd
```

You are now able to use the following functions

```

kd.build_tree(dict)
# this will build a kd-tree from a given dictionary of format key:[values]
kd.distance(lsta,lstb)
# returns the distance between two points a and b with coordinates given by lsta and lstb
kd.find_approx_nearest(tree,value)
# returns the approximate nearest neighbor for a given value
kd.find_exact_nearest(tree,value)
# returns the exact nearest element of the tree to the value

```

## Example use case

To find the closest color in a dataset of named colors in the LAB (or CIELAB) color space. This color space works similar to RGB colors, but is design to let make colors that look similar to huymans be closer to each other in the color space. The first dimesnions is a spectrum from light to dark, the other two describe the green-red and blue-yellow value going from negative to positive value. More on LAB colors: [https://en.wikipedia.org/wiki/CIELAB\\_color\\_space](https://en.wikipedia.org/wiki/CIELAB_color_space) ([https://en.wikipedia.org/wiki/CIELAB\\_color\\_space](https://en.wikipedia.org/wiki/CIELAB_color_space))

We cannot use our usual quick-search methods or binary search-trees, since the data has more than 1 dimension and cannot simply be ordered. Therefore, we can create a tree with 3 dimensions, where every new level is split along a new dimension, iterating through all of them as often as needed. This allows us to very quickly get an approximation of the nearest neighbor and with slightly more effort find the exact nearest neighbor quicker than with a linear search.

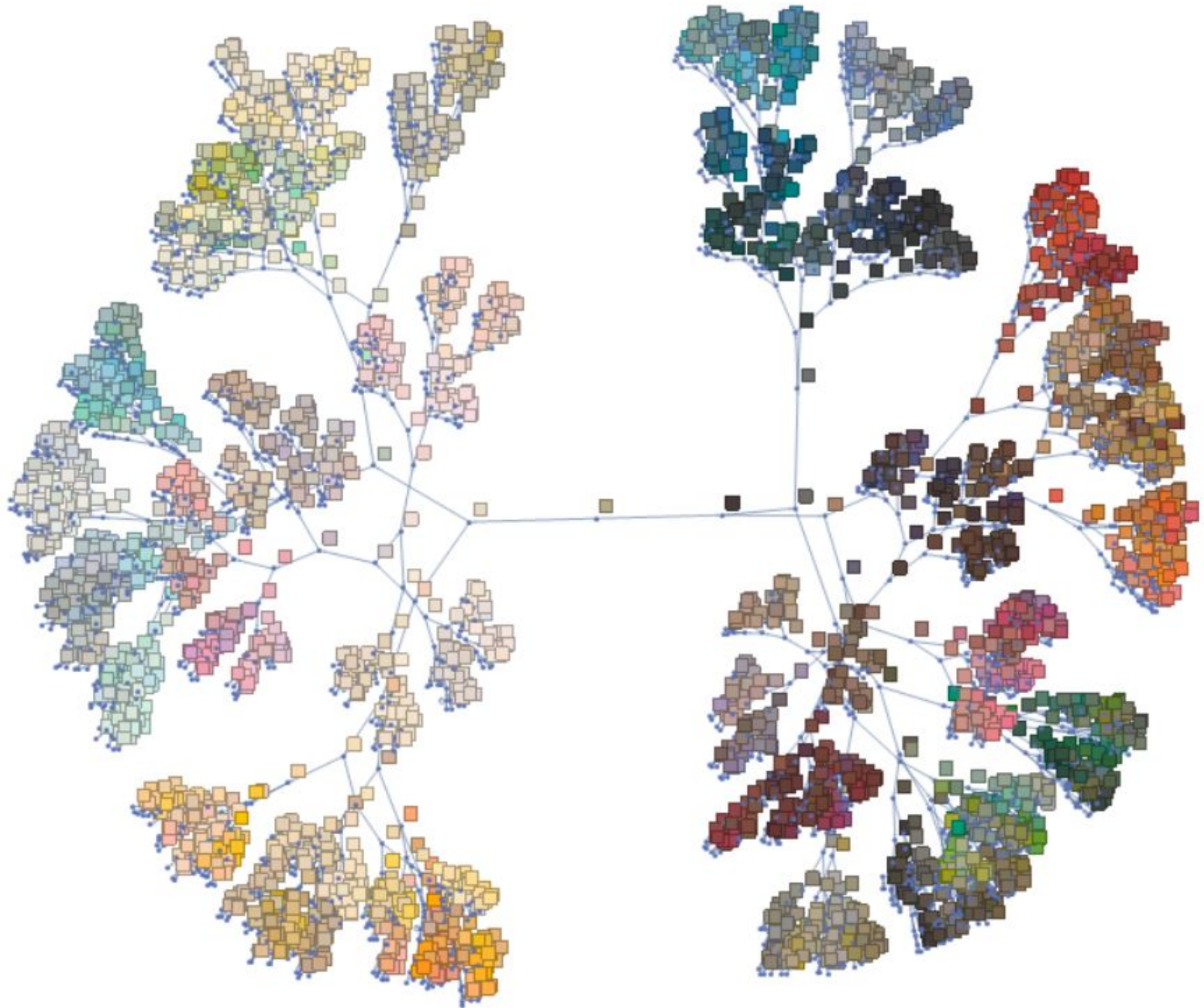
```

# importing a dataset of paint colors and their position in the LAB colorspace
with open ("paintcolors.json") as json_file:
    paintcolors=json.load(json_file)
# creating a tree out of the paintcolors
painttree=kd.build_tree(paintcolors)
# finding the approximate and exact nearest color to [0,0,0]
print((kd.distance(kd.find_approx_nearest(painttree,[0,0,0]).value,[0,0,0])),
      kd.find_approx_nearest(painttree,[0,0,0]).name,
      kd.find_approx_nearest(painttree,[0,0,0]).value))
print(kd.find_exact_nearest(painttree,[0,0,0]))

```

This will return the approximate and exact nearest color to [0,0,0]  
 (0.23327147726897515, 'UniversalBlack', [0.233007, 0.010686, -0.0030215])  
 (0.22615200000001437, 'TwilightZone', [0.226152, 5.54817e-08, 5.84874e-08])

The resulting kd-tree looks like this (nodes not above each other for clarity)



If you would like to run this code for yourself, please download the data from <https://github.com/katjadellalibera/KD-tree-implementation/blob/master/paintcolors.json> and

the code from <https://github.com/katjadellalibera/KD-tree-implementation/blob/master/example.py> (<https://github.com/katjadellalibera/KD-tree-implementation/blob/master/example.py>)

## Background

### Time-Complexity:

A linear search runs with  $O(n)$  complexity, since it has to check every value. `find_approx_nearest` runs with  $O(\log(n))$  complexity on average, because it just has to go down a binary tree with a depth of  $\log_2(n)$ . In the worst case we have a oddly shaped tree like one with only two nodes, where the worst-case runtime could be  $O(n)$ , because every node is visited. The `find_exact_nearest` function will exclude less of the tree at a time, but still run in  $O(\log(n))$ , just with a higher constant factor.

### Space-Complexity:

Storing the data points as nodes rather than in a dictionary or array will still take  $O(n)$  space complexity. There may be a slightly higher constant term  $k$ , accounting for the split-dimension  $d$  and pointers to the left an right child, but the total complexity is  $O(n)$

#2(complexity) #3(optimalalgorithm)

## Dependencies

The implementation depends on a the pre-installed packages random, math and json as well as the numpy package.

## Implementation

#4(algorithms), #5(searchtrees),

```

In [1]: 1 import numpy as np
        2 import math
        3 import random as random
        4
        5 class Node:
        6
        7     def __init__(self,name,value,l_child,r_child,d):
        8         """
        9         definition of a node and its properties
       10         """
       11         self.name=name
       12         self.value=value
       13         self.l_child=l_child
       14         self.r_child=r_child
       15         self.d=d
       16
       17     def __str__(self):
       18         """
       19         how to display a tree: every new level is indented
       20         example input:
       21         print(build_tree({"a":[1,1,1],"b":[3,5,2],"c":[3,5,7],"d":[5,1,2]}))
       22         example output:
       23         name: c, value: [3, 5, 7], d: 0
       24             name: b, value: [3, 5, 2], d: 1
       25                 name: a, value: [1, 1, 1], d: 2
       26                     None
       27                     None
       28                     None
       29             name: d, value: [5, 1, 2], d: 1
       30                 None
       31                 None
       32         """
       33         return "\t".join(str("name: {}, value: {}, d: {} \n{} \n{} ".format(
       34             self.name,self.value,self.d,self.l_child,self.r_child))
       35             .splitlines(True))
       36
       37
       38     def build_tree(dictionary,d=0):
       39         """
       40         Function to build a tree from a dictionary of names and values
       41         """
       42         # make sure the dictionary is not empty

```

```

43     if len(dictionary)==0:
44         return None
45         # Base case: for a single node, we return the Node that is formed
46         # when both children are empty
47     if len(dictionary)==1:
48         return Node(list(dictionary.keys())[0],
49                     list(dictionary.values())[0],None,None,d)
50         # sort the dictionary indexes by the dimension specified by input d
51     sortedindexes=sorted(list(dictionary.keys()),
52                          key=(lambda x: dictionary[x][d]))
53         # decide the pivot point, the points below and above it
54     pivot=sortedindexes[len(sortedindexes)//2]
55     lower={i:dictionary[i] for i in sortedindexes[:len(sortedindexes)//2]}
56     upper={i:dictionary[i] for i in sortedindexes[len(sortedindexes)//2+1:]}
57         # the pivot is the parent node of the tree
58         # the children are the trees formed from recursively calling build_tree,
59         # updating d every iteration
60         # the dimension is the dimension from the input
61     return Node(pivot,dictionary[pivot],
62                build_tree(lower,(d+1)%len(list(dictionary.values())[0])),
63                build_tree(upper,(d+1)%len(list(dictionary.values())[0])),d)
64
65 def find_approx_nearest(tree,value):
66     """
67     function to very quickly find an approximation for the nearest neighbor
68     it may not be exact if the point is close to one of the pivot points,
69     because the nearest neighbor may be excluded prematurely
70     """
71     # base case: if we reach a node with no children, we return it
72     if tree.l_child==None and tree.r_child==None:
73         return tree
74     # if the value is below the tree parent in the sorting dimension,
75     # we return either the tree or the approximate nearest from the left child
76     elif tree.value[tree.d]>=value[tree.d]:
77         if tree.l_child!=None:
78             return find_approx_nearest(tree.l_child,value)
79         else:
80             return tree
81     # if the value is above, we return the tree or approximation from the right
82     # child
83     else:
84         if tree.r_child!=None:
85             return find_approx_nearest(tree.r_child,value)

```

```

86         else:
87             return tree
88
89     def distance(lsta, lstb):
90         """
91         Finds the distance between two coordinates in k dimensions with coordinates
92         described as lists
93         """
94         if len(lsta) != len(lstb):
95             return "Error: wrong dimensions"
96         return math.sqrt(sum([(lsta[i]-lstb[i])**2 for i in range(len(lsta))]))
97
98
99
100    def find_exact_nearest(tree,value):
101        """
102        finds the exact nearest neighbor by searching any node that is approximately
103        as close as the nearest neighbor
104        """
105        # define variables within the function that will be gradually updated
106        closest=find_approx_nearest(tree,value)
107        approx=closest.value
108        dist=distance(approx,value)
109
110        #define a helper function that loops through the tree
111        def find_exact_nearest_helper(tree,value):
112            """
113            helper function to find the exact nearest neighbor
114            """
115            # tell the function that the variables dist and closest are not local
116            # and therefore not reset every iteration
117            nonlocal dist
118            nonlocal closest
119            # if the distance to the current node is shorter than to the approximate
120            # nearest, updated the variables
121            if dist>distance(tree.value,value):
122                closest=Node(tree.name,tree.value,None,None,None)
123                dist=distance(tree.value,value)
124            # if the current distance could reach beyond the current node in the
125            # split dimension d, we need to check on both sides of the tree
126            if dist>abs(tree.value[tree.d]-value[tree.d]):
127                if tree.l_child!=None:
128                    find_exact_nearest_helper(tree.l_child,value)

```

```
129         if tree.r_child!=None:
130             find_exact_nearest_helper(tree.r_child,value)
131         # if the current distance does not reach beyond the current node in the
132         # split dimension d, we only need to check on either the left or right
133         # side of the tree, depending on the value
134         if dist<abs(tree.value[tree.d]-value[tree.d]):
135             if tree.value[tree.d]>=value[tree.d]:
136                 if tree.l_child!=None:
137                     find_exact_nearest_helper(tree.l_child,value)
138             else:
139                 if tree.r_child!=None:
140                     find_exact_nearest_helper(tree.r_child,value)
141
142         # call on the helper function in the main function to change the variables
143         find_exact_nearest_helper(tree,value)
144         # return the updated variables
145         return (dist,closest.name,closest.value)
146
```

## Content of setup.py file (with file path edited for desktop)

#6(novelapplication)



In [2]:

```

1  import numpy as np
2  import json
3  import kd_tree as kd
4
5  # generate 1000 random data points and building a tree from them
6  exampledictionary=dict(enumerate(np.random.rand(1000,4).tolist()))
7  exampletree=kd.build_tree(exampledictionary)
8  # finding the approximate nearest neighbor and its distance to a value
9  print(kd.distance(kd.find_approx_nearest(exampletree,[0.2,0.7,0.9,0.5]).value,
10             [0.2,0.7,0.9,0.5]),
11        kd.find_approx_nearest(exampletree,[0.2,0.7,0.9,0.5]).value)
12 # finding the exact nearest neighbor
13 print(kd.find_exact_nearest(exampletree,[0.2,0.7,0.9,0.5]))
14 # compare with a linear search
15 def linear_search(dict,value):
16     lowestdist=float("inf")
17     lowest={}
18     for key, item in dict.items():
19         if kd.distance(item,value)<lowestdist:
20             lowestdist=kd.distance(item,value)
21             lowest={key:item}
22     return (lowestdist,lowest)
23 print(linear_search(exampledictionary,[0.2,0.7,0.9,0.5]))
24
25 # importing a dataset of paint colors and their position in the LAB colorspace
26 with open ("C:\\Users\\rbc15\\Desktop\\Minerva\\second year\\first semester"
27            "\\CS110\\Assignments\\KD-tree-implementation\\paintcolors.json") as json_file:
28     paintcolors=json.load(json_file)
29 # creating a tree out of the paintcolors
30 painttree=kd.build_tree(paintcolors)
31 # finding the approximate and exact nearest color to [0,0,0]
32 print((kd.distance(kd.find_approx_nearest(painttree,[0,0,0]).value,[0,0,0]),
33        kd.find_approx_nearest(painttree,[0,0,0]).name,
34        kd.find_approx_nearest(painttree,[0,0,0]).value))
35 print(kd.find_exact_nearest(painttree,[0,0,0]))
36
0.2203529145985767 [0.16178652650261227, 0.5882990107017819, 0.9901572855738633, 0.6627565367753453]
(0.07683096638875365, 162, [0.1329039379644562, 0.7049195357644147, 0.8726062415683767, 0.4749700974351724])
(0.07683096638875365, {162: [0.1329039379644562, 0.7049195357644147, 0.8726062415683767, 0.4749700974351724]})
(0.23327147726897515, 'UniversalBlack', [0.233007, 0.010686, -0.0030215])
(0.22615200000001437, 'TwilightZone', [0.226152, 5.54817e-08, 5.84874e-08])

```

## Visualization of the color tree

To visualize the color tree, I used an implementation of the same tree in Mathematica and used their graphic function to make a visualization, we can easily see the split between light and dark and after that between the different colors.#7(professionalism)

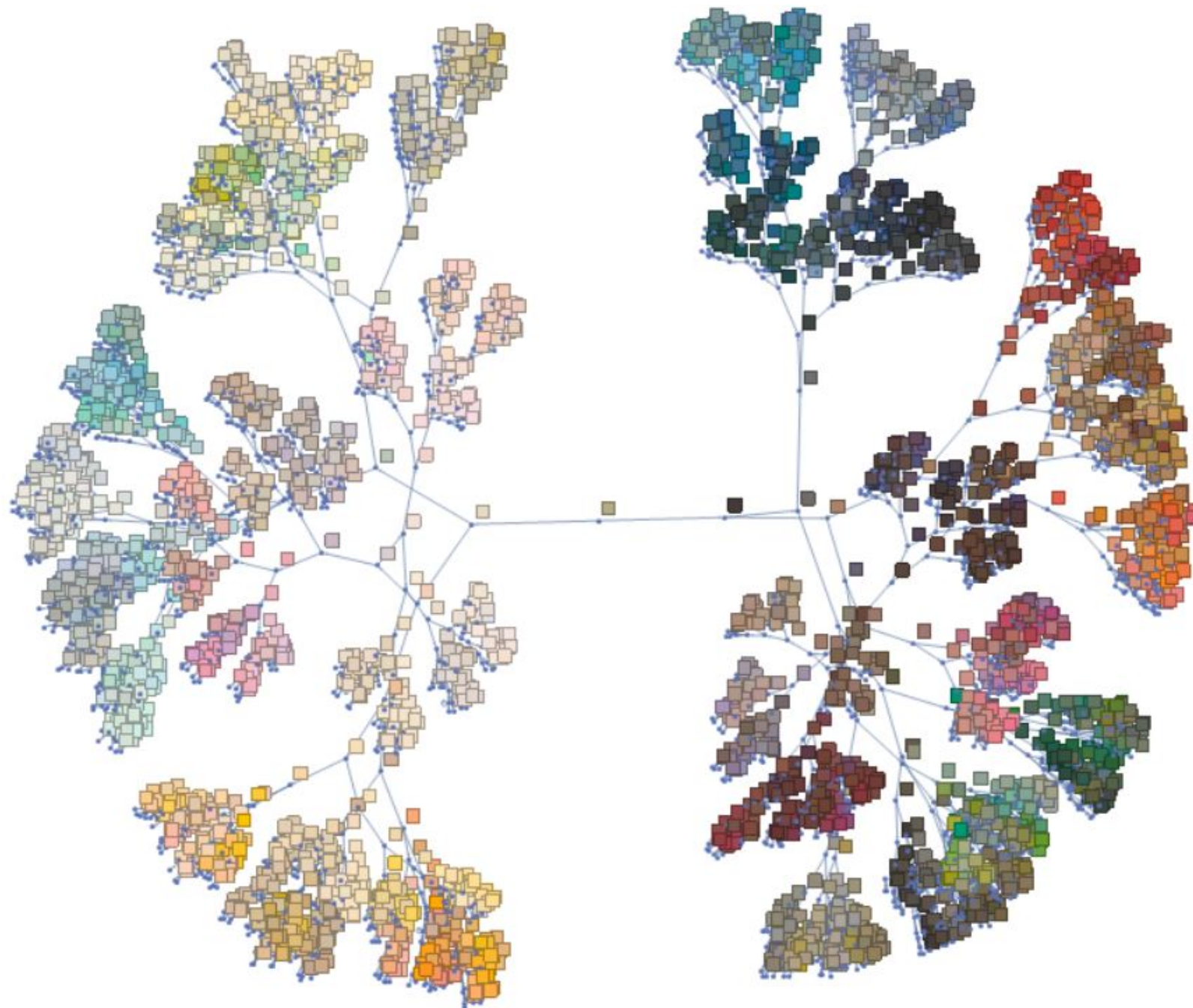
```
colors =
```

```
Import[
  "C:\\Users\\rbc15\\Desktop\\Minerva\\second year\\first
  semester\\CS110\\Assignments\\KD-tree-implementation\\paintcolors.json", "RawJSON"];
```

```
buildTree[points_, d_:1] :=
Module[{sorted, pivot},
  If[points == {},
    Missing[],
    sorted = SortBy[points, #[[d]] &];
    pivot = Quotient[Length[points], 2] + 1;
    <|"Left" -> buildTree[sorted[;; UpTo[pivot - 1]], Mod[d + 1, Length[points[[1]]], 1]],
    "Right" -> buildTree[sorted[[pivot + 1;;]], Mod[d + 1, Length[points[[1]]], 1]],
    "Pivot" -> sorted[[pivot]] |>
  ]
]
```

```
colortree = buildTree[Values@colors];
```

```
Image[
  Graph[
    Join[Cases[colortree, KeyValuePattern[{"Left" -> KeyValuePattern[{"Pivot" -> l_}], "Pivot" -> p_}] ->
      (LABColor[p] -> LABColor[l]), {0, Infinity}],
    Cases[colortree, KeyValuePattern[{"Right" -> KeyValuePattern[{"Pivot" -> l_}], "Pivot" -> p_}] ->
      (LABColor[p] -> LABColor[l]), {0, Infinity}]], VertexLabels -> Automatic, ImageSize -> 700]]
```



# HC-Tags:

#1: Professionalism- For this assignment, I mastered a new format of coding for me, using an editor and py files rather than jupyter notebooks. I learned a lot I can use in the future and successfully followed readme guidelines, how to present code in them and uploaded my package to PyPI.

#2: Complexity- I use Big-O notation to analyse the time and space complexity of the solution and how it is an improvement to the only other option we had before, a linear search. I briefly explain WHY the time-complexity is logarithmic and space complexity order  $O(n)$  and stays so on average in both cases.

#3: optimalalgorithm- By making my algorithm efficiently and by explaining the complexity, I found a more efficient way of finding the nearest fit to a value in a mutli-dimesnional dataset.

#4: algorithms- I successfully devised algorithms that build a kd-tree, find the nearest and approximate nearest.

#5: searchtrees- I was inspired to this implementation by the binary search tree unit of the course and my class of nodes is built on the implementation for one-dimensional search trees. I explain why a search tree is the best option for searching a mutli-dimensional space and how this solution improves upon the linear search otherwise available to us.

#6: Novelapplication- After finding a relevant problem about colors, I implemented a quick solution, documented it well and justified why it is a great solution to the problem. I even added some more visualizations about the color-space

#7: professionalism- I went the extra mile to visualize my result into a beautiful tree structure.