

**Problem 1:**

CBOW objective:

$$L(A, B) = -\log p(w_c | w_{o-m}, \dots, w_{o-1}, w_{o+1}, \dots, w_{o+m})$$

$$L(A, B) = -\log p(w_c | a_{avg})$$

$$L(A, B) = -\log \frac{\exp b_{wc}^T a_{avg}}{\sum_{w \in V} \exp b_w^T a_{avg}}$$

Use the following *negative sampling* approximation:

$$\sum_{w \in V} \exp b_w^T a_{avg} \sim \left( \frac{1}{1 + \exp - b_{wc}^T a_{avg}} \right) E_{w_k \sim P_{sample}(w)} \left( \prod_{k=1}^K \left( \frac{1}{1 + \exp b_{wk}^T a_{avg}} \right) \right)$$

$$\log \sum_{w \in V} \exp b_w^T a_{avg} \sim \log \left( \frac{1}{1 + \exp - b_{wc}^T a_{avg}} \right) + E_{w_k \sim P_{sample}(w)} \left( \sum_{k=1}^K \log \left( \frac{1}{1 + \exp b_{wk}^T a_{avg}} \right) \right)$$

$$-\log \sum_{w \in V} \exp b_w^T a_{avg} \sim -\log(\sigma(b_{wc}^T a_{avg})) - E_{w_k \sim P_{sample}(w)} \left( \sum_{k=1}^K \log(\sigma(-b_{wk}^T a_{avg})) \right)$$

Objective using the approximation:

$$L(A, B) = -\log \frac{\exp b_{wc}^T a_{avg}}{\sum_{w \in V} \exp b_w^T a_{avg}}$$

$$L(A, B) = -b_{wc}^T a_{avg} + \log \sum_{w \in V} \exp b_w^T a_{avg}$$

$$L(A, B) = -b_{wc}^T a_{avg} - \log(\sigma(b_{wc}^T a_{avg})) - E_{w_k \sim P_{sample}(w)} \left( \sum_{k=1}^K \log(\sigma(-b_{wk}^T a_{avg})) \right)$$

$$L(A, B) = -b_{wc}^T a_{avg} - \log \left( \frac{1}{1 + \exp - b_{wc}^T a_{avg}} \right) - E_{w_k \sim P_{sample}(w)} \left( \sum_{k=1}^K \log \left( \frac{1}{1 + \exp b_{wk}^T a_{avg}} \right) \right)$$

$$L(A, B) = -b_{wc}^T a_{avg} - \log(1) + \log(1 + \exp - b_{wc}^T a_{avg}) - \left( \sum_{k=1}^K \log(1) \right) + \sum_{k=1}^K \log(1 + \exp b_{wk}^T a_{avg})$$

$$L(A, B) = -b_{wc}^T a_{avg} + \log(1 + \exp - b_{wc}^T a_{avg}) + \sum_{k=1, w_k \sim P_{sample}(w)}^K \log(1 + \exp b_{wk}^T a_{avg})$$

Associated vectors for validation words:

```
32496it [09:54, 60.75it/s] | epoch 10 | 32500/32580 batches | loss 1.331
32509it [09:55, 34.93it/s] money: work, much, them, it, even, result, use, support, what, god
lion: convention, measured, consists, statement, navy, euro, succeeded, hills, beer, punishment
africa: europe, america, india, china, germany, france, asia, east, north, south
musician: writer, singer, actor, author, actress, poet, march, kingdom, january, addition
dance: music, history, able, country, whole, same, view, idea, style, subject
```

Some vectors make more sense than others. Clearly, the word "africa" returns a list of all locationally related words, like countries and directions like "north" and "south". Likewise, "musician" returns similar words like "writer", "singer", "actor" and other performance/artistic words. However, the vectors for "lion" and "money" don't really return logical words in relation.

## Mathematical Problems

**Problem 1** Let  $w$  be some word in the vocabulary  $\mathcal{V}$  and let  $e_w$  be it's one-hot encoding (pretend the word is actually integer  $w$ , we might have  $itos[w] = \text{"cat"}$  for example depending on how we set up the hash map between words and integers). Explain why  $B^T e_w = b_w \in \mathbb{R}^d$  and why this multiplication selects the  $w^{th}$  column of  $B^T$ . Remember, if  $B \in \mathbb{R}^{|\mathcal{V}| \times d}$  then  $B^T \in \mathbb{R}^{d \times |\mathcal{V}|}$ .

A one hot encoded vector is a representation where only one value is one and the rest are zero.

For example:

$V = \{\text{"cat"}, \text{"dog"}, \text{"frog"}\}$

Represented by a  $V \times V$  one hot encoding matrix  $e$

	Dog	Cat	Frog
Dog	1	0	0
Cat	0	1	0
Frog	0	0	1

Picking out the word "cat" from  $e$  would result in the column  $e_w \in \mathbb{R}^{|\mathcal{V}| \times 1} = e_{\text{cat}} = [0, 1, 0]$ . Crucially, only one dimension will be non-zero. This dimension represents the word "cat".

Take for example matrix  $B \in \mathbb{R}^{|\mathcal{V}| \times d}$

	1	2	3	4
Dog	7	5.2	2	3
Cat	4.1	1	8	5
Frog	3	5	0	2.1

Multiplying matrix  $B^T \in \mathbb{R}^{d \times |\mathcal{V}|}$  by vector  $e_{\text{cat}}$  results in a new vector of dimension  $d \times 1$  (Recall:  $d \times V \otimes V \times 1 = d \times 1$ ). This will just be the full column of  $B$  (with all its dimensions),  $b_{\text{cat}}$ , for only the word "cat" because all the other multiplications will be zero due to the other zero values in  $e_{\text{cat}}$ .

$B^T$				$e_{\text{cat}}$				$B^T e_{\text{cat}}$			
	Dog	Cat	Frog								
1	7	4.1	3	0	Dog	$7 \times 0$	$4.1 \times 1$	$3 \times 0$	=	<b>4.1,</b>	
2	5.2	1	5	1	Cat	$5.2 \times 0$	$1 \times 1$	$5 \times 0$	=	<b>1,</b>	
3	2	8	0	0	Frog	$2 \times 0$	$8 \times 1$	$0 \times 0$	=	<b>8,</b>	
4	3	5	2.1			$3 \times 0$	$5 \times 1$	$2.1 \times 0$	=	<b>5]</b>	
										=	<b><math>b_{\text{cat}}</math></b>

Problem 2 Assume you do CBOW and Skip-Gram with negative sampling. Assume  $m = 1$ . Which method, on average, will get more training samples? Suppose there are 3 sentences with 7, 8, and 11 tokens. How many training sampling (positive training samples), will each method get. Draw a picture of a sentence with token counts and think about the number of samples each method gives. This is why Skip-Gram is used more often. It is more "sample efficient": you get more training data per Corpus.

**On average there will be more training samples for Skip –Gram.**

Skip –Gram:

Training data: Get pairs of the center word and all other words in a fixed window

**sentence1** = (token1,token2, token3, token4, token5, token6, token7)

**data1**=(token2,token1),(token2,token3),(token3,token2),(token3,token4),(token4,token3),(token4,token5),(token5,token4),(token5,token6),(token6,token5),(token6,token7)

= 10 training samples

**sentence2** = (token1,token2, token3, token4, token5, token6, token7,token8)

**data2**=(token2,token1),(token2,token3),(token3,token2),(token3,token4),(token4,token3),(token4,token5),(token5,token4),(token5,token6),(token6,token5),(token6,token7),(token7,token6),(token7,token8),(token8,token7)

= 12 training samples

**sentence3** = (token1,token2, token3, token4, token5, token6, token7,token8,token9, token10, token11)

**data3**=(token2,token1),(token2,token3),(token3,token2),(token3,token4),(token4,token3),(token4,token5),(token5,token4),(token5,token6),(token6,token5),(token6,token7),(token7,token6),(token7,token8),(token8,token7),(token8,token9),(token9,token8),(token9,token10),(token10,token9),(token10,token11)

=18 training samples

Positive samples are drawn:

$$p(w_o | w_c) \sim \sigma(b_{wo}^T a_{wc}) = \frac{1}{1 + \exp(-b_{wo}^T a_{wc})}$$

Sample K words  $w_k$  that are not in context and we know these have a negative label.

They are predicted with probability:  $p(w_k | w_c) \sim 1 - \sigma(b_{wk}^T a_{wc}) = \sigma(-b_{wk}^T a_{wc}) = \frac{1}{1 + \exp(b_{wk}^T a_{wc})}$

Denominator becomes:

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$$- \log \sum_{w \in \mathcal{V}} \exp b_w^T a_{wc} \sim - \log(\sigma(b_{wo}^T a_{wc})) - E_{w_k \sim P_{\text{sample}}(w)} \left( \sum_{k=1}^K \log(\sigma(-b_{wk}^T a_{wc})) \right)$$

### CBOW:

Training data: Get pairs of the center word and all other words in a fixed window

**sentence1** = (token1, token2, token3, token4, token5, token6, token7)

**data1** = (token1, token3, token2), (token2, token4, token3), (token3, token5, token4), (token4, token6, token5), (token5, token7, token6)

=5 training samples

**sentence2** = (token1, token2, token3, token4, token5, token6, token7, token8)

**data2** = (token1, token3, token2), (token2, token4, token3), (token3, token5, token4), (token4, token6, token5), (token5, token7, token6), (token6, token8, token7)

=6 training samples

**sentence3** = (token1, token2, token3, token4, token5, token6, token7, token8, token9, token10, token11)

**data3** = (token1, token3, token2), (token2, token4, token3), (token3, token5, token4), (token4, token6, token5), (token5, token7, token6), (token6, token8, token7), (token7, token9, token8), (token8, token10, token9), (token9, token11, token10)

=9 training samples

Positive samples are drawn:

$$p(w_o | w_c) \sim \sigma(b_{wo}^T a_{avg}) = \frac{1}{1 + \exp(-b_{wo}^T a_{avg})}$$

Negative samples are drawn:

$$p(w_k | w_c) \sim 1 - \sigma(b_{wk}^T a_{avg}) = \sigma(-b_{wk}^T a_{avg}) = \frac{1}{1 + \exp(b_{wk}^T a_{avg})}$$

Denominator becomes:

$$- \log \sum_{w \in \mathcal{V}} \exp b_w^T a_{avg} \sim - \log(\sigma(b_{wo}^T a_{avg})) - E_{w_k \sim P_{\text{sample}}(w)} \left( \sum_{k=1}^K \log(\sigma(-b_{wk}^T a_{avg})) \right)$$

Problem 3 In class we looked at the formula for the Skip-Gram for 1 sample ( $w_c$ ,  $w_o$ ) and got

$$\mathcal{L}(A, B) = -\log p(b_{w_o} | a_{w_c}) = -b_{w_o}^T a_{w_c} + \log \sum_{w \in \mathcal{V}} \exp b_w^T a_{w_c}$$

Then, we said that the gradients were as below. Prove this. Also, explain why  $\frac{\partial \mathcal{L}}{\partial a_{w_c}}$  can be interpreted as a difference between a hard guess and an expected value.

**Skip-Gram Objective:**

$$L(A, B) = -\log p(b_{w_o} | a_{w_c})$$

$$L(A, B) = -\log \frac{\exp b_{w_o}^T a_{w_c}}{\sum_{w \in \mathcal{V}} \exp b_w^T a_{w_c}}$$

$$L(A, B) = -b_{w_o}^T a_{w_c} + \log \sum_{w \in \mathcal{V}} \exp b_w^T a_{w_c}$$

**$b_{w_o}$ :**

Recall:

$$\frac{d}{dx} [f(g(x))] = f'(g(x))g'(x)$$

$$\frac{\exp b_w^T a_{w_c}}{\partial b_{w_o}} = 0 \text{ for all } b_w \text{ except for } b_{w_o}$$

Thus:

$$\frac{\partial L(A, B)}{\partial b_{w_o}} = -a_{w_c} + \left( \frac{1}{\sum_{w \in \mathcal{V}} \exp b_w^T a_{w_c}} \right) (a_{w_c} \exp b_{w_o}^T a_{w_c})$$

$$\frac{\partial L(A, B)}{\partial b_{w_o}} = -a_{w_c} + \frac{a_{w_c} \exp b_{w_o}^T a_{w_c}}{\sum_{w \in \mathcal{V}} \exp b_w^T a_{w_c}}$$

**$a_{w_c}$ :**

$$\frac{\partial L(A, B)}{\partial a_{w_c}} = -b_{w_o} + \left( \frac{1}{\sum_{u \in \mathcal{V}} \exp b_u^T a_{w_c}} \right) \left( \sum_{w \in \mathcal{V}} b_w \exp b_w^T a_{w_c} \right)$$

$$\frac{\partial L(A, B)}{\partial a_{w_c}} = -b_{w_o} + \frac{\sum_{w \in \mathcal{V}} b_w \exp b_w^T a_{w_c}}{\sum_{u \in \mathcal{V}} \exp b_u^T a_{w_c}}$$

$$\frac{L(A, B)}{\partial a_{wc}} = -b_{w0} + \sum_{w \in \mathcal{V}} b_w \frac{\exp b_w^T a_{wc}}{\sum_{u \in \mathcal{V}} \exp b_u^T a_{wc}}$$

This is  $E[b_w] - b_{w0}$ :

$\frac{L(A, B)}{\partial a_{wc}}$  can be interpreted as the difference between a hard guess and an expected value. This is because the expected value of a random variable is equal to the value of that variable times the probability of observing that variable. In this case the probability  $p(w) = \frac{\exp b_w^T a_{wc}}{\sum_{u \in \mathcal{V}} \exp b_u^T a_{wc}}$  and this is

multiplied by the actual observed value  $b_w$ , for every possible value of  $w$ , resulting in the expectation of  $b_w$ ,  $E[b_w]$ . This is the value that would be theoretically achieved on average given a large number of trials. Subtract from that the actual value for  $b_{w0}$  and the gradient equals the expected value of  $b_w$  minus a hard guess of the value  $b_w$ , it's actual value.