

**FACULTY OF MATHEMATICS, PHYSICS AND INFORMATICS
OF THE COMENIUS UNIVERSITY IN BRATISLAVA**

**OPTIMIZATION OF AN ABDUCTIVE REASONER FOR
DESCRIPTION LOGICS**

Master thesis

**FACULTY OF MATHEMATICS, PHYSICS AND INFORMATICS
OF THE COMENIUS UNIVERSITY IN BRATISLAVA**

**OPTIMIZATION OF AN ABDUCTIVE REASONER FOR
DESCRIPTION LOGICS**

Master thesis

Study program:	Applied informatics
Field of study:	2511 Applied informatics
School department:	Department of Applied Informatics
Adviser:	Mgr. Júlia Pukancová, PhD.
Consultant:	RNDr. Martin Homola, PhD.

Bratislava 2019

Katarína Fabianová

Acknowledgements

Abstract

Key words:

Abstrakt

Klíčové slova:

Contents

Introduction	7
1 Description logics	8
1.1 \mathcal{ALC} DL	8
1.1.1 \mathcal{ALC} Syntax	8
1.1.2 \mathcal{ALC} Semantics	11
1.2 DL Tableau algorithm	15
2 Abduction	20
2.1 ABox abduction	21
2.2 Minimal Hitting Set algorithm	23
2.3 Optimizations	26
2.4 ABox Abduction with Minimal Hitting Set algorithm	27
3 Reasoning	27
3.1 Elk reasoner	27
3.2 Other reasoners	27
4 Implementation	27
4.1 ABox Abduction algorithm	27
4.2 MergeXPlain algorithm	27
Conclusion	28
References	29
Appendices	30

List of Figures

1	Minimal Hitting Set	25
---	-------------------------------	----

Introduction

Description logics

Description logics (DLs) are a family of knowledge representation formalism. Each description logic has different expressivity. Every expressivity is expressed with a unique set of constructors. We are going to work with \mathcal{ALC} , \mathcal{EL} and $\mathcal{EL}++$ DL. Each description logic has its own syntax and semantics. In this chapter we will introduce syntax and semantics ([Rudolph, 2011](#)) for each DL that we will be working with.

\mathcal{ALC} DL

\mathcal{ALC} DL is a DL which is more expressive than \mathcal{EL} and $\mathcal{EL}++$ DL. \mathcal{ALC} is less expressive than many other DLs. \mathcal{ALC} stands for Attributive (Concept) Language with Complements. It means that not only complement of atomic concept is allowed but also a complement of complex concept is allowed.

\mathcal{ALC} Syntax

\mathcal{ALC} description logic consists of three mutually disjoint sets. These sets represent whole domain that is used by \mathcal{ALC} DL.

Definition 1.1.1 *DL vocabulary*

$$\textit{Set of individuals: } N_I = \{a, b, c, \dots\}$$

$$\textit{Set of concepts: } N_C = \{A, B, C, \dots\}$$

$$\textit{Set of roles: } N_R = \{R_1, R_2, R_3, \dots\}$$

Example 1.1.1 *DL vocabulary*

$$\textit{Individuals} = \{jack, john, jane\}$$

$$Concepts = \{Person, Mother, Father\}$$

$$Roles = \{hasChild, likes, owns\}$$

\mathcal{ALC} DL deals with individuals and concepts. An individual is a concrete instance of a concept. Concept is a class that defines some entity. Concept can have two forms, one is concept assertion and the second one is role assertion.

Concept can be atomic or complex. Atomic concept is not constructed with any constructor. On the contrary complex concept is created from constructors and other concepts.

Definition 1.1.2 Complex concept

Concepts are recursively constructed as the smallest set of expressions of the forms:

$$C, D ::= A | \neg C | C \sqcap D | C \sqcup D | \exists R.C | \forall R.C$$

where $A \in N_C$, $R \in N_R$, and C, D are concepts.

Example 1.1.2 Complex concept

$$\neg Mother$$

$$Mother \sqcup Father$$

$$\exists hasChild.Person$$

$$\forall likes.Food$$

Complex concept uses following constructors: \neg , \sqcup , \sqcap , \exists and \forall . Constructor \neg means negation, constructor \sqcup means or and constructor \sqcap means and. Constructors \exists is existential restriction and \forall is called value restriction.

There are two concepts that are always in ontology. \top (top) stays for everything. Each concept belongs under \top which means that each concept is on left side of subsumption if on right side is only \top . Second concept is \perp (bottom) and it stays for

nothing which means that each concept is on the right side of subsumption if there is only \perp on the left side. Formally these two concepts can be written as follows:

$$\top \equiv A \sqcup \neg A$$

$$\perp \equiv A \sqcap \neg A$$

\mathcal{ALC} description logic uses axioms in order to model some situation. There are two types of axioms, first is TBox and the second one is ABox. In TBox is used symbol \sqsubseteq . Let's explain this symbol on an example $Mother \sqsubseteq Parent$. *Mother* is always a *Parent* but *Parent* does not always have to be a *Mother*.

Definition 1.1.3 TBox

A TBox \mathcal{T} is a finite set of GCI axioms ϕ of the form:

$$\phi ::= C \sqsubseteq D$$

where C, D are any concepts.

Example 1.1.3 TBox

$$\mathcal{T} = \left\{ \begin{array}{l} Professor \sqcup Scientist \sqsubseteq Academician \\ AssocProfessor \sqsubseteq Professor \end{array} \right\}$$

Definition 1.1.4 ABox

An ABox \mathcal{A} is a finite set of assertion axioms ϕ of the form:

$$\phi ::= a : C | a, b : R$$

where $a, b \in N_I$, $R \in N_R$ and C is any concept.

Example 1.1.4 ABox

$$\mathcal{A} = \left\{ \begin{array}{l} jack : Academician \\ jane : Scientist \\ john : Professor \end{array} \right\}$$

To have a better understanding of \mathcal{ALC} DL we can translate sentences into \mathcal{ALC} description logic.

Everybody who is sick, is not happy.

$$Sick \sqsubseteq \neg Happy$$

Cat and dogs are animals.

$$Cat \sqcup Dog \sqsubseteq Animal$$

Every person owns a house.

$$Person \sqsubseteq \exists owns.House$$

\mathcal{ALC} Semantics

Ontology ([Staab and Studer, 2010](#)) describes relationships between entities in a specific area. Difference between area and ontology is that ontology describes relationships between entities in a formal language.

Every ontology has its own knowledge base. Knowledge base is a set of TBox axioms and ABox axioms. Ontology is described by knowledge base. TBox represents axioms that model ontology. Each axiom explains the relationship between entities in this axiom. ABox on the other side does not model ontology but creates a database of facts. It contains set of assertion axioms that can be called facts. Fact is a direct assertion of an individual to a concept.

Definition 1.1.5 *Knowledge base*

Knowledge base (\mathcal{KB}) is an ordered pair of TBox \mathcal{T} and ABox \mathcal{A} .

Example 1.1.5 Knowledge base

$$\mathcal{KB} = \left\{ \begin{array}{l} Professor \sqcup Scientist \sqsubseteq Academician \\ AssocProfessor \sqsubseteq Professor \\ jack : Academician \\ jane : Scientist \\ john : Professor \end{array} \right\}$$

An interpretation is a pair of domain and interpretation function. Domain is a set of values that represents concepts in the interpretation. Result of interpretation function is different for individuals, concepts and roles. If we use interpretation function on individual the result is an element from domain. If we use it on concepts the result is a set of elements from domain. If we use it on roles the result is a pair of elements from domain.

Definition 1.1.6 Interpretation

An interpretation of a given knowledge base $\mathcal{KB} = (\mathcal{T}, \mathcal{A})$ is a pair $\mathcal{I} = (\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}})$ which contains a domain $\Delta^{\mathcal{I}}$ and an interpretation function $\cdot^{\mathcal{I}}$. Domain can not be empty. So it must be true that $\Delta^{\mathcal{I}} \neq \emptyset$.

The interpretation function is following:

$$a^{\mathcal{I}} \in \Delta^{\mathcal{I}} \quad \forall a \in N_I$$

$$A^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \quad \forall A \in N_C$$

$$R^{\mathcal{I}} \subseteq \Delta^{\mathcal{I}} \times \Delta^{\mathcal{I}} \quad \forall R \in N_R$$

The interpretation of complex concepts is recursively defined:

$$\neg C^{\mathcal{I}} = \Delta^{\mathcal{I}} \setminus C^{\mathcal{I}}$$

$$C \sqcap D^{\mathcal{I}} = C^{\mathcal{I}} \cap D^{\mathcal{I}}$$

$$C \sqcup D^{\mathcal{I}} = C^{\mathcal{I}} \cup D^{\mathcal{I}}$$

$$\exists R.C^{\mathcal{I}} = \{x \in \Delta^{\mathcal{I}} | \exists y \in \Delta^{\mathcal{I}} : \langle x, y \rangle \in R^{\mathcal{I}} \wedge y \in C^{\mathcal{I}}\}$$

$$\forall R.C^{\mathcal{I}} = \{x \in \Delta^{\mathcal{I}} | \forall y \in \Delta^{\mathcal{I}} : \langle x, y \rangle \in R^{\mathcal{I}} \rightarrow y \in C^{\mathcal{I}}\}$$

Example 1.1.6 *Interpretation*

$$\mathcal{KB} = \left\{ \begin{array}{l} Professor \sqcup Scientist \sqsubseteq Academician \\ AssocProfessor \sqsubseteq Professor \\ jack : Academician \end{array} \right\}$$

$$\mathcal{I} = (\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}})$$

$$\Delta^{\mathcal{I}} = \{P, S, A, AP\}$$

$$Professor^{\mathcal{I}} = \{P\}$$

$$Scientist^{\mathcal{I}} = \{S\}$$

$$Academician^{\mathcal{I}} = \{A\}$$

$$AssocProfessor^{\mathcal{I}} = \{AP\}$$

$$jack^{\mathcal{I}} = A$$

The interpretation satisfies an axiom according to its type. We know three types of axioms. First is an axiom from TBox, second is assertion axiom to a concept and the third one is an assertion to a role.

Definition 1.1.7 *Satisfaction* \models

Given an axiom ϕ , an interpretation $\mathcal{I} = (\Delta^{\mathcal{I}}, \cdot^{\mathcal{I}})$ satisfies $\phi(\mathcal{I} \models \phi)$ depending on its type:

$$C \sqsubseteq D : \mathcal{I} \models C \sqsubseteq D \text{ iff } C^{\mathcal{I}} \subseteq D^{\mathcal{I}}$$

$$a : C : \mathcal{I} \models a : C \text{ iff } a^{\mathcal{I}} \in C^{\mathcal{I}}$$

$$a, b : R : \mathcal{I} \models a, b : R \text{ iff } \langle a^{\mathcal{I}}, b^{\mathcal{I}} \rangle \in R^{\mathcal{I}}$$

Finding a model is crucial for consistency checking. If we find at least one model knowledge base \mathcal{KB} is consistent. We can have more models for one knowledge base. In Example 1.1.7 there are two showed models but there can be more models.

Definition 1.1.8 Model

An interpretation $\mathcal{I} = \langle \Delta^{\mathcal{I}}, \cdot^{\mathcal{I}} \rangle$ is a model of a DL knowledge base $\mathcal{KB} = (\mathcal{T}, \mathcal{A})$ iff \mathcal{I} satisfies every axiom in TBox \mathcal{T} and ABox \mathcal{A} .

Example 1.1.7 Model

$$\mathcal{KB} = \left\{ \begin{array}{l} Professor \sqcup Scientist \sqsubseteq Academician \\ AssocProfessor \sqsubseteq Professor \\ jack : Academician \end{array} \right\}$$

$$\mathcal{M}_1 = \{\Delta^{\mathcal{I}} = \{P, S, A, AP\},$$

$$Professor^{\mathcal{I}} = \{P\}, Scientist^{\mathcal{I}} = \{S\}, Academician^{\mathcal{I}} = \{A\}, AssocProfessor^{\mathcal{I}} = \{AP\}$$

$$jack^{\mathcal{I}} = A\}$$

$$\mathcal{M}_2 = \{\Delta^{\mathcal{I}} = \{A\},$$

$$Professor^{\mathcal{I}} = \{A\}, Scientist^{\mathcal{I}} = \{A\}, Academician^{\mathcal{I}} = \{A\}, AssocProfessor^{\mathcal{I}} = \{A\}$$

$$jack^{\mathcal{I}} = A\}$$

Definition 1.1.9 Consistency

A knowledge base \mathcal{KB} is consistent iff \mathcal{KB} has at least one model \mathcal{M} .

We are familiar with four decision problems, satisfiability, subsumption (\sqsubseteq), equivalence (\equiv) and disjointness. Satisfiability means that concept is satisfiable in regard to \mathcal{KB} if we can find such a model of knowledge base for which holds that interpretation of that concept is not empty. Subsumption between two concepts means that it must

hold that interpretation of left-sided concept is a proper subset of interpretation of right-sided concept in each possible model of knowledge base. Equivalence is similar to subsumption but the difference is that the interpretations of both concepts must be equal in each possible model of knowledge base. Disjointness means that intersection of the interpretations of both concepts must be empty set in each possible model of knowledge base.

Definition 1.1.10 *Decision problems*

Given a DL $\mathcal{KB} = (\mathcal{T}, \mathcal{A})$, and two concepts C, D , we say that:

- *C is satisfiable w.r.t. \mathcal{KB} iff there is such a model \mathcal{I} of \mathcal{KB} for which holds that $C^{\mathcal{I}} \neq \emptyset$;*
- *C is subsumed by D w.r.t. \mathcal{KB} (denoted $\mathcal{KB} \models C \sqsubseteq D$) iff $C^{\mathcal{I}} \subseteq D^{\mathcal{I}}$ in every model \mathcal{I} of \mathcal{KB} ;*
- *C and D are equivalent w.r.t. \mathcal{KB} (denoted $\mathcal{KB} \models C \equiv D$) iff $C^{\mathcal{I}} = D^{\mathcal{I}}$ in every model \mathcal{I} of \mathcal{KB} ;*
- *C and D are disjoint w.r.t. \mathcal{KB} iff $C^{\mathcal{I}} \cap D^{\mathcal{I}} = \emptyset$ in every model \mathcal{I} of \mathcal{KB} .*

If $\mathcal{KB} = \emptyset$ then we say that decision problems (satisfiability, subsumption, equivalence and disjointness) of concepts are defined in general by definition and we use notation such as we omit $\mathcal{KB} \models$ from the notation.

DL Tableau algorithm

DL Tableau algorithm proves satisfiability if input is concept C and TBox \mathcal{T} . But if input is knowledge base \mathcal{KB} then this algorithm is modified to check consistency of given knowledge base. In both versions of this algorithm holds that each concept must

be in NNF (negation normal form). Negation normal form is such a form that each negation is pushed in front of atomic concept inside a complex concept. DL Tableau algorithm creates a CTree (Completion tree). At first it initializes with input concept if it proves concept satisfiability otherwise it creates a named node and continue with input concept and then applies tableau rules for given DL. If the algorithm finds a clash in some node, created CTree is not clash-free and the result is that C is unsatisfiable w.r.t. \mathcal{T} . If the algorithm applies each rule until any rule can be applied and it finds no clash then result is that concept C is satisfiable w.r.t. \mathcal{T} . Following definitions (Baader et al., 2003) are describing rules and terms that are used by this algorithm.

Definition 1.2.1 NNF

A concept C is in NNF (negation normal form) iff the complement constructor \neg only occurs in front of atomic concept symbols inside C.

Example 1.2.1 NNF

$$\neg(C \sqcap D) \equiv \neg C \sqcup \neg D$$

$$\neg(C \sqcup D) \equiv \neg C \sqcap \neg D$$

$$\neg\exists R.C \equiv \forall R.\neg C$$

$$\neg\forall R.C \equiv \exists R.\neg C$$

Definition 1.2.2 Completion tree

A completion tree (CTree) is a triple $T = (V, E, \mathcal{L})$ where (V, E) is a tree and \mathcal{L} is a labeling function which means that: $\mathcal{L}(x)$ is a set of concepts $\forall x \in V$ and $\mathcal{L}(\langle x, y \rangle)$ is a set of roles $\forall \langle x, y \rangle \in E$.

Definition 1.2.3 Successor, R-successor

Given a CTree $T = (V, E, \mathcal{L})$ and $x, y \in V$ we say that:

- *y is a successor of x iff $\langle x, y \rangle \in E$*

- y is an R -successor of x iff $\langle x, y \rangle \in E$ and $R \in \mathcal{L}(\langle x, y \rangle)$.

Definition 1.2.4 Clash

There is a clash in a CTree $T = (V, E, \mathcal{L})$ if and only iff for some $x \in V$ and for some concept C both $C \in \mathcal{L}(x)$ and $\neg C \in \mathcal{L}(x)$.

Example 1.2.2 Clash

$$\mathcal{L}(s_0) = \{C, D, \neg D\}$$

Definition 1.2.5 Clash-free CTree

A CTree $T = (V, E, \mathcal{L})$ is clash-free iff none of the nodes in V contains a clash.

Definition 1.2.6 Blocking

Given a CTree $T = (V, E, \mathcal{L})$ a node $x \in V$ is blocked if it has an ancestor y such that: either $\mathcal{L}(x) \subseteq \mathcal{L}(y)$ or y is blocked.

DL Tableau algorithm proves concept satisfiability in regard of TBox. Input for DL Tableau algorithm is concept C and TBox \mathcal{T} . Output is a boolean value which is true if concept C is satisfiable w.r.t. \mathcal{T} , false otherwise.

Definition 1.2.7 Algorithm - Concept satisfiability

Input: concept C and \mathcal{T} in NNF

Output: answers if concept C is satisfiable w.r.t. \mathcal{T} or not

Steps:

1. Initialize a new CTree $T := (\{s_0\}, \emptyset, \{s_0 \rightarrow \{C\}\})$;
2. Apply tableau rules for TBoxes while at least one rule is applicable;
3. Answer " C is satisfiable w.r.t. \mathcal{T} " if T is clash-free. Otherwise answer " C is unsatisfiable w.r.t. \mathcal{T} ".

Definition 1.2.8 ALC tableau rules for TBoxes

- \sqcap – rule : if $C_1 \sqcap C_2 \in \mathcal{L}(x)$ and $x \in V$ and $\{C_1, C_2\} \not\subseteq \mathcal{L}(x)$ and x is not blocked then $\mathcal{L}(x) = \mathcal{L}(x) \cup \{C_1, C_2\}$
- \sqcup – rule : if $C_1 \sqcup C_2 \in \mathcal{L}(x)$ and $x \in V$ and $\{C_1, C_2\} \cap \mathcal{L}(x) = \emptyset$ and x is not blocked then either $\mathcal{L}(x) = \mathcal{L}(x) \cup \{C_1\}$ or $\mathcal{L}(x) = \mathcal{L}(x) \cup \{C_2\}$
- \forall – rule : if $\forall R.C \in \mathcal{L}(x)$ and $x, y \in V$ and y is R -successor of x and $C \notin \mathcal{L}(y)$ and x is not blocked then $\mathcal{L}(y) = \mathcal{L}(y) \cup \{C\}$
- \exists – rule : if $\exists R.C \in \mathcal{L}(x)$ and $x \in V$ with no R -successor y and $C \in \mathcal{L}(y)$ and x is not blocked then $\mathcal{V} = \mathcal{V} \cup \{z\}$, $\mathcal{L}(z) = \{C\}$ and $\mathcal{L}(\langle x, z \rangle) = \{R\}$
- \mathcal{T} – rule : if $C_1 \sqsubseteq C_2 \in \mathcal{T}$ and $x \in V$ and $\text{nnf}(\neg C_1 \sqcup C_2) \notin \mathcal{L}(x)$ and x is not blocked then $\mathcal{L}(x) = \mathcal{L}(x) \cup \{\text{nnf}(\neg C_1 \sqcup C_2)\}$

Knowledge base is a pair of a TBox and an ABox and yet only a TBox was mentioned in connection with DL Tableau algorithm. If we also have a given ABox the algorithm must be modified. The algorithm no longer checks concept satisfiability w.r.t. \mathcal{T} but checks consistency of given \mathcal{KB} . For result holds the same condition as in proving concept satisfiability. If there is a found clash knowledge base is not consistent otherwise knowledge base is consistent. Difference between the first version of this algorithm is that here are used named nodes. Name of the node is an instance of a concept that comes from ABox.

Definition 1.2.9 Algorithm - Consistency checking

Input: $\mathcal{KB} = (\mathcal{T}, \mathcal{A})$ in NNF

Output: answers if \mathcal{KB} is consistent or not

Steps:

1. Initialize a CTree as follows:

- $V := \{a \mid \text{constant } a \text{ occurs in } \mathcal{A}\};$

- $E := \{\langle a, b \rangle \mid a, b : R \in \mathcal{A} \text{ for some role } R\};$
- $\mathcal{L}(a) := \{nnf(E) \mid a : E \in \mathcal{A}\} \text{ for all } a \in V;$
- $\mathcal{L}(\langle a, b \rangle) := \{R \mid a, b : R \in \mathcal{A}\} \text{ for all } \langle a, b \rangle \in E;$

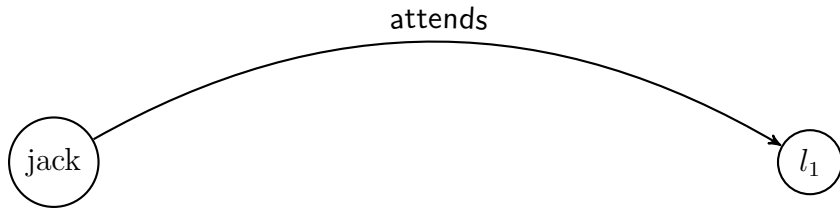
2. Apply tableau rules for TBoxes while at least one rule is applicable;

3. Answer " \mathcal{KB} is consistent" if T is clash-free. Otherwise answer " \mathcal{KB} is inconsistent".

Let's introduce an example where we know a knowledge base \mathcal{KB} . DL Tableau algorithm checks if knowledge base is consistent or not.

Example 1.2.3 (DL Tableau algorithm)

$$\mathcal{KB} = \left\{ \begin{array}{l} Student \sqsubseteq \exists attends.Logic \\ Logic \sqsubseteq Course \\ jack : Student \end{array} \right\}$$



$$\begin{aligned} \mathcal{L}(jack) = & \\ & \{Student, \neg Student \sqcup \\ & \exists attends.Logic, \exists attends.Logic, \neg Logic \sqcup \\ & Course, \neg Logic\} \end{aligned}$$

$$\begin{aligned} \mathcal{L}(l_1) = & \{Logic, \neg Student \sqcup \\ & \exists attends.Logic, \neg Student, \neg Logic \sqcup \\ & Course, Course\} \end{aligned}$$

The algorithm starts with ABox assertion axioms. It selects axiom $jack : Student$. At first it creates a node $jack$ with its label $\mathcal{L}(jack)$. From the assertion axiom

jack : *Student* algorithm knows that *jack* is a *Student* and add to the label $\mathcal{L}(jack)$ concept *Student*. Then the algorithm is choosing another axiom from knowledge base. There are no more assertion axioms, so it starts with axioms from TBox. It will take $Student \sqsubseteq \exists attends.Logic$. It must convert it into negation normal form which will be $\neg Student \sqcup \exists attends.Logic$. The algorithm is applying $\sqcup - rule$. According this rule it must choose $\exists attends.Logic$, otherwise there would be a clash. Now it has to apply $\exists - rule$ so a new node l_1 will be created with its label $\mathcal{L}(l_1)$. Into this label $\mathcal{L}(l_1)$ will be added concept *Logic*. Let's go back to *jack* node. The algorithm has to finish adding all axioms of TBox form. It will add the second axiom from knowledge base in negation normal form as $\neg Logic \sqcup Course$. Now it does not matter which one algorithm chooses if *Course* or $\neg Logic$ because any of these two does not cause a clash. Now the algorithm goes back to l_1 node and continues to add axioms from knowledge base similarly as in node *jack*. It starts with axiom $\neg Student \sqcup \exists attends.Logic$, it will choose the first one $\neg Student$. Then continues with axiom $\neg Logic \sqcup Course$. It can not choose $\neg Logic$ because there would be immediately a clash. So it chooses *Course*.

The created tree contains two nodes (*jack* and l_1) and one edge between them. The edge represents that *jack* attends some Logic l_1 . There is not any instance of a concept *Logic* so node l_1 does not represent a concrete instance of concept *Logic* but represents some instance. There is no clash in both nodes so the answer of DL Tableau algorithm is that given knowledge base \mathcal{KB} is consistent.

Abduction

Generally in logic we are familiar with three ways of thinking. Deduction, induction and abduction. The most known and natural for humans is probably deduction. All three ways are dealing with following parts: theory, data and effect. In description

logic we can translate theory as knowledge base, data as explanations and effect as observation.

Deduction knows knowledge base and explanations, observation is missing and the goal is to deduce the missing observation. Induction knows observation and explanation but does not know knowledge base. Abduction knows knowledge base and observation but explanation is a subject of searching. All definitions are from article by (Pukancová and Homola).

ABox abduction

In description logic abduction is used when we are not familiar with explanation \mathcal{E} but we know knowledge base \mathcal{KB} and observation \mathcal{O} . It is important to know that we are looking for minimal explanations. Minimal explanation is such an explanation that it does not exist any other explanation that would be a subset of this minimal explanation.

Definition 2.1.1 (*Abduction*)

Given knowledge base \mathcal{KB} and observation \mathcal{O} , an abductive explanation is such explanation \mathcal{E} that satisfies $\mathcal{KB} \cup \mathcal{E} \models \mathcal{O}$.

Definition 2.1.2 (*Correct explanation*)

\mathcal{E} is consistent if $\mathcal{E} \cup \mathcal{KB} \not\models \perp$;

\mathcal{E} is relevant if $\mathcal{E} \not\models \mathcal{O}$;

\mathcal{E} is explanatory if $\mathcal{KB} \not\models \mathcal{O}$

Definition 2.1.3 (*Minimal explanation*)

Minimal explanation is such an explanation that it does not exist any other explanation that would be a subset of this explanation.

For better understanding of what ABox abduction is let's introduce a few examples. First example is easy, searched explanation is obvious but it will demonstrate the problem. Second example is not so obvious that's why we have to use an algorithm to compute the solution. For computing the solution we use **Minimal Hitting Set algorithm**.

Example 2.1.1 (*ABox Abduction - Emotion*)

$$\mathcal{KB} = \left\{ Sick \sqsubseteq \neg Happy \right\}$$

$$\mathcal{O} = \left\{ mary : \neg Happy \right\}$$

In this example we are searching for explanations. In this easy assignment is obvious that what we are looking for is that Mary must be sick. If Mary is not happy she must be sick. Formally written solution to this abduction problem is following:

$$\mathcal{E} = \left\{ mary : Sick \right\}$$

Example 2.1.2 (*ABox Abduction - Academy*)

$$\mathcal{KB} = \left\{ \begin{array}{l} Professor \sqcup Scientist \sqsubseteq Academician \\ AssocProfessor \sqsubseteq Professor \end{array} \right\}$$

$$\mathcal{O} = \left\{ jack : Academician \right\}$$

If *jack* is an Academician he must be Professor or Scientist. If he is an Professor he must be also an AssocProfessor. This is an oversimplified explanation how we can retrieve correct explanations. For better introduction into this algorithm we will explain it step by step. We know the observation so we know that jack is an Academician, that is a fact. In our first axiom in TBox is written that if somebody is an Academician he is also a Professor or Scientist. He can be both but at least one of them but that

we are not able to determine. That is why are both correct explanations. The second axiom claims that if somebody is a Professor he must be also an AssocProfessor. In this part we are not explaining the whole algorithm yet because it will be explained in our next chapter Minimal Hitting Set algorithm but we need to have at least an idea of how does it work. So as we already know the correct explanations are following:

$$\mathcal{E} = \left\{ \begin{array}{l} jack : Professor \\ jack : Scientist \\ jack : AssocProfessor \end{array} \right\}$$

Minimal Hitting Set algorithm

In this part we will find out about algorithm: Minimal hitting set. This algorithm is invented by Raymond [Reiter \(1986\)](#). At first we will declare terms that we will be using.

Definition 2.2.1 (Hitting set) *Hitting set for a collection of sets C is a set $H \subseteq \bigcup_{S \in C} S$ such that $H \cap S$ is not empty set for each $S \in C$.*

Definition 2.2.2 (HS-tree) *Let C be a collection of sets. An HS-tree T for C is a smallest edge-labeled and node-labeled tree with following properties:*

- *The root is labeled by \checkmark if C is empty. Otherwise the root is labeled by an arbitrary set of C .*
- *For each node n of T , let $H(n)$ be the set of edge labels on the path in T from the root to node n . The label for n is any set $\sigma \in C$ such that $\sigma \cap H(n) = \emptyset$, if such a set σ exists. Otherwise, the label for n is \checkmark . If n is labeled by the set σ , then for each $o \in \sigma$, n has a successor n_0 joined to n by an edge of labeled by o .*

Definition 2.2.3 (Generate pruned HS-tree)

- *Generate the pruned HS-tree breadth-first, generating all nodes at any fixed level in the tree before descending to generate the nodes at the next level.*
- *Reusing node labels: If node n has already been labeled by a set $S \in C$ and if n' is a new node such that $H(n') \cap S = \emptyset$, then label n' by S .*
- *Tree pruning:*
 - *If node n is labeled by \checkmark and node n' is such that $H(n) \subseteq H(n')$, then close the node n' . A label is not computed for n' nor are any successor nodes generated.*
 - *If node n has been generated and node n' is such that $H(n') = H(n)$, then close node n' .*
 - *If nodes n and n' have been labeled by sets S and S' of C , respectively and if S' is a proper subset of S , then for each $\alpha \in S - S'$ mark as redundant the edge from node n labeled by α . A redundant edge, together with the subtree beneath it, may be removed from the HS-tree while preserving the property that the resulting pruned HS-tree will yield all minimal hitting sets for C .*

Reiter's algorithm is used to compute a minimal hitting set. Input is a set of sets. The goal of Reiter's algorithm is to compute minimal hitting set from this input. It means that the result hitting set will have intersection with each set from input.

Let's show an example with F as input set and HS as result.

Example 2.2.1 (Minimal Hitting Set)

$$F = \{\{a, b\}, \{b, c\}, \{a, c\}, \{b, d\}, \{b\}\}$$

$$HS = \{\{a, b\}, \{b, c\}\}$$

As we can observe in this example the first result set has intersection with each set of F and the second result set also has intersection with each set of F . So we can determine that these result sets are definitely minimal hitting sets. They are both minimal because there is no other set that is smaller and at the same time has intersection with each set of F .

For better and easier understanding of this algorithm we can visualize it and explain.

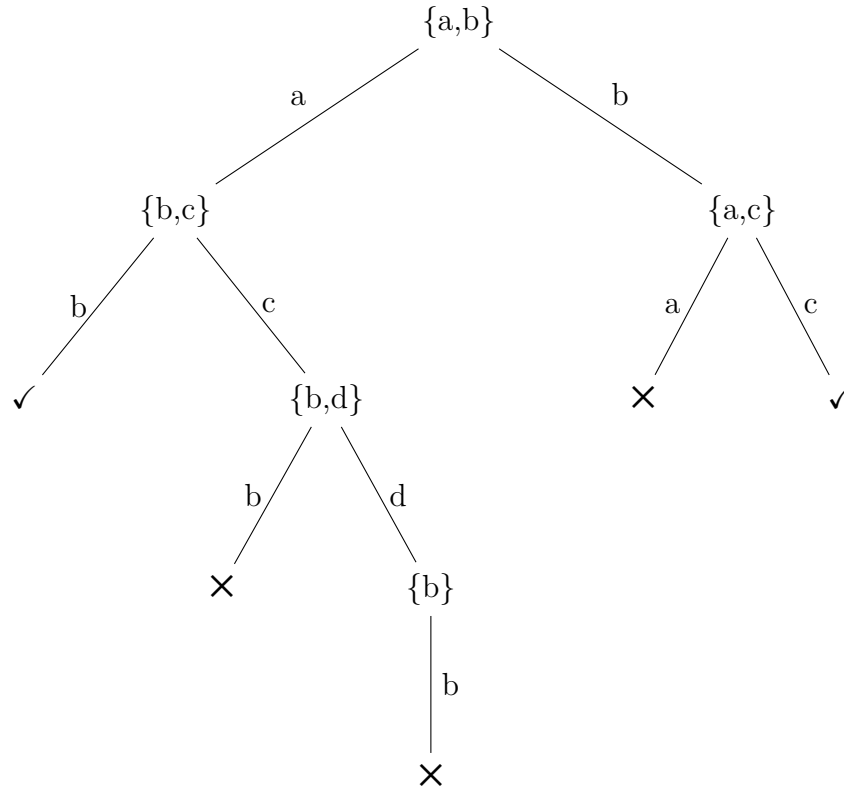


Figure 1: Minimal Hitting Set

Aim is to create a tree where nodes can have three types of value. Node can be set from F , check or cross. Each edge is labeled by one element of some set from F . At first we have to create root. Root node is first set $\{a, b\}$ from F . It will have exactly two children because the size of node is exactly two.

Now we have to decide what will be child's node. Let's check left child. We have to create a set from edges from root to our node. In this case this set contains only one element $\{a\}$. If $\{a\}$ has intersection with each sets from F we can add check as

node. But that is not our case so we add the second set as node. Let's continue with right child of root. Labeled path from this node to root is $\{b\}$. That has also not intersection with each set of F so we add third set as node. We continue breadth-first with $\{b, c\}$ node. Left child has labeled path $\{a, b\}$ which has intersection with each set of F and it fulfills condition to be a possible minimal hitting set. Now we have to check if this possible minimal hitting set is really minimal. It is definitely a hitting set but we are looking for only minimal hitting sets. If already exists checked node that is a proper subset of this possible hitting set we have to add cross as node because it is not a minimal hitting set, otherwise we add check as node. Similarly we continue in this algorithm and we get two minimal hitting sets: $\{a, b\}$ and $\{b, c\}$.

Optimizations

To Reiter's original algorithm were added optimizations by (Greiner et al., 1989) and (Wotawa, 2001) a few years later.

Greiner claims that Reiter's way of creating a tree handles some situations incorrect. The base algorithm is correct but pruning may lead to loose of minimal hitting sets. Proposed way of preventing this loose is use directed acyclic graph instead of a tree. We did not use this optimization because in our case wrong handling of situation can not happened.

The next optimization by Wotawa disclaim the proposed way by Greiner. He has returned to using a tree. He tries to save time and make the algorithm quicker. His idea is to create deterministic tree where nodes are sorted from left to right where left means the smallest size of edge label and right means the biggest size of edge label in one breadth level. In our case this optimization is also useless because in our tree in one breadth level the size of edge label is always equal.

ABox Abduction with Minimal Hitting Set algorithm

Reasoning

Elk reasoner

Other reasoners

Implementation

ABox Abduction algorithm

MergeXPlain algorithm

Conclusion

References

- Sebastian Rudolph. *Foundations of Description Logics*, pages 76–136. Springer Berlin Heidelberg, Berlin, Heidelberg, 2011. ISBN 978-3-642-23032-5. doi: 10.1007/978-3-642-23032-5_2. URL https://doi.org/10.1007/978-3-642-23032-5_2.
- Steffen Staab and Rudi Studer. *Handbook on ontologies*. Springer Science & Business Media, 2010.
- Franz Baader, Diego Calvanese, Deborah McGuinness, Peter Patel-Schneider, and Daniele Nardi. *The description logic handbook: Theory, implementation and applications*. Cambridge university press, 2003.
- Júlia Pukancová and Martin Homola. Tableau-Based ABox Abduction for the *ALCHO* Description Logic.
- R. Reiter. A theory of diagnosis from first principles. In Jörg H. Siekmann, editor, *8th International Conference on Automated Deduction*, pages 153–153, Berlin, Heidelberg, 1986. Springer Berlin Heidelberg. ISBN 978-3-540-39861-5.
- Russell Greiner, Barbara A Smith, and Ralph W Wilkerson. A correction to the algorithm in Reiter’s theory of diagnosis. *Artificial Intelligence*, 41(1):79–88, 1989.
- Franz Wotawa. A variant of Reiter’s hitting-set algorithm. *Information Processing Letters*, 79(1):45–51, 2001.

Appendices