

Computer Science Department

CSCI 352 Unix Software Development Spring 2015 Assignment 1

Submitting Your Work

This assignment is worth 15% of the grade for the course. Save your program files (including header files) in the zipped tar file WnnnnnnnAssg1.tar.gz (where Wnnnnnnn is your WWU W-number) and submit the file via the **Assignment 1 Submission** item on the course web site. You must submit your assignment by 9:00am on Monday, April 27.

Your assignments will be evaluated on correct functionality and conformance to the coding standards described at the end of this assignment specification.

Heap Management

Your task for this assignment is to write alternatives to the standard library functions malloc() and free(), used for heap management. Your functions malloc352() and free352(), declared in the supplied header file heap352.h, completely replace malloc() and free() and are to be completely responsible for heap management. You may not use malloc() and free(), but you may use sbrk() to request the kernel to allocate heap space, as required by malloc352().

```
void *malloc352(int nbytes);
```

requests nbytes bytes of heap-space. If successful, malloc352() returns the address of the allocated space; if unsuccessful (not able to allocate the space), malloc352() returns NULL.

```
void free352(void *ptr);
```

releases the previously-allocated heap-space at the address specified by ptr. The heap manager must enable the freed space to be used for subsequent calls to malloc352().

Your heap management functions will be tested by a supplied module HeapTestEngine.o, which you must link in with the object file from your heap management routines. HeapTestEngine.o provides one function for use by your program:

```
void heap_test();
```

This function is to be called by your main() function. It will make many calls to malloc352() and free352() to exercise your heap management. You may be assured that heap_test() will never make a call to free352() with an address that was not previously allocated by malloc352(). Parameters for the heap test engine are specified in the supplied data file config.txt, described below.

Since the calls from the heap test engine to malloc352() and free352() will be randomly interspersed, your heap management software needs to keep track of available heap-space. This should be done with a linked list of blocks, each having a size and a pointer to the next block in the list. When a request for space is received, through a call to malloc352(), your heap manager must first attempt to satisfy the request from its areas of available heap-space. If there is no block of sufficient free-space, malloc352() is to call sbrk() to request an increase in the available heap space. For this assignment, no call to sbrk() may request more than 1024 bytes.

There are 4 common algorithms for allocating space from the free-list:

- First-fit: store the blocks of free space in order of memory address and use the first block in the list that satisfies the request. If that block has more space than requested, break it into two areas: one being the same block of free space, but reduced in size, and the other returned in response to the request.
- Best-fit: use the block of free space which is large enough for the request but leaves minimal space left over.
- Worst-fit: use the largest block of free space, thus leaving the maximum space left over.
- Quick-fit: an alternative algorithm, developed by Weinstock and Wulf, described below and in their paper, available on the course web site.

Your task is to compare the performance of **three** of these methods, one of which must be quick-fit. The comparison will be in terms of CPU time required for identical sized sample runs of the heap test engine.

Kernighan & Ritchie, "The C Programming Language", describes a way of implementing the heap management functions for the first-fit method. That section of their book is available on the course web site for your information. However, please note that this is copyrighted material and must not be used outside this class. Also, please feel free to study their algorithm, but do not submit it as a solution for the assignment – to do so would be considered plagiarism.

Challenges in Heap Management

There are three main challenges in heap management:

- 1. Aligning allocated memory with memory word boundaries appropriate for the data objects to be stored in the allocated space
- 2. Memory fragmentation.
- 3. Memory leakage.

Memory Alignment

As described by K&R, one problem to be faced in heap-space management is to ensure that storage allocated by malloc352() is aligned properly with memory word boundaries appropriate for the data objects that will be stored in it. This alignment must be done for the worst case which, on a 64-bit system, is for types double, long and any pointer type, each of which requires 8 bytes. Given the block header format suggested by K&R, in using their method on a 64-bit system, we should allocate space in 16-byte units. So, for example, a request for

100 bytes would require one 16-byte unit for the header plus seven 16-byte units for the allocation: a total of eight 16-byte units or 128 bytes.

Memory Fragmentation

With random interspersing of calls to malloc352() and free352(), the available heap-space will soon become fragmented – broken into small pieces, none of which may be large enough to satisfy a subsequent request to malloc352(). Therefore, your heap management routines must look for opportunities to coalesce neighboring blocks of free space whenever a call to free352() releases a block of heap-space.

Memory Leakage

Your heap management functions must avoid accidental memory leakage. Every byte allocated by sbrk() must be accounted for at all times, either in space allocated by malloc352() or on the list of free blocks.

Output from the Heap Management Functions

Normally, the heap management functions would produce no output. However, you are to provide output, as a debug option (using preprocessor directives) to demonstrate that your heap management functions are operating correctly. You must display the contents of the free space list after every call to malloc352() or free352(). Each line of output must describe one block in the free-list, for example:

```
Block at 0x262d940, size 4, next free at 0x262da40, next block at 0x262d980
```

This shows the memory address of the block (0x262d940), its size in 16-byte units (4), the address of the next block in the free-list (0x262da40), and the address of the next block of memory - allocated or free (0x262d980).

In order to demonstrate correct coalescing of adjacent blocks on the free-list, you must display the free-list before and after coalescing and flag any block where the address of the next block in the free-list is the same as the address of the next block in memory. For example:

```
Block at 0x262d940, size 4, next free at 0x262da40, next block at 0x262d980
Block at 0x262da40, size 4, next free at 0x262db20, next block at 0x262da80
Block at 0x262db20, size 10, next free at 0x262dbc0, next block at 0x262dbc0*****
Block at 0x262dbc0, size 5, next free at 0x262dc10, next block at 0x262dc10*****
Block at 0x262dc10, size 8, next free at 0x262e080, next block at 0x262dc90
Block at 0x262e080, size 1, next free at 0x262e0c0, next block at 0x262e090
```

In this output, the 3rd and 4th blocks have been flagged with "******" since for each of them the next block of memory is the next block on the free-list.

The output for that same sequence of blocks after coalescing should be:

```
Block at 0x262d940, size 4, next free at 0x262da40, next block at 0x262d980 Block at 0x262da40, size 4, next free at 0x262db20, next block at 0x262da80 Block at 0x262db20, size 23, next free at 0x262e080, next block at 0x262dc90 Block at 0x262e080, size 1, next free at 0x262e0c0, next block at 0x262e090
```

Notice that the 3rd, 4th and 5th blocks from the previous list have been coalesced into a single block with 23 units.

Your output must also demonstrate that there has been no memory leakage. This can be done by providing totals of the allocated and free blocks after each list of the free blocks. For example:

```
Blocks allocated: 5093, free: 10971, total: 16064
```

Heap Test Engine Configuration File

The size of allocation requests made by the heap test engine in its calls to malloc352() are generated at random, but determined by parameters in the configuration file. The configuration is influenced by an observation by Weinstock and Wulf that in the vast majority of application programs:

- There only a few data types for which space is requested on the heap.
- The most frequently allocated types involve relatively small, fixed amounts of storage.

The heap test engine regards those majority small allocation requests as being its "regular" requests. The parameters provided to it through the configuration file specify:

- The minimum and maximum size of those *regular* requests.
- The maximum size of the other, larger (*irregular*?) requests.
- The percentage of requests that will be regular.
- The total number of samples (calls to malloc352() or free352()) that will be generated.

Here is an example of the configuration file:

```
regular minimum (bytes)
regular maximum (bytes)
irregular maximum (bytes)
percent of requests that are regular
total number of samples
```

Quick-Fit

The basis of Quick-fit is an observation by Weinstock and Wulf that in the vast majority of application programs:

- There only a few data types for which space is requested on the heap.
- The most frequently allocated types involve relatively small, fixed amounts of storage.

The algorithm uses multiple free-lists: one for each of the *regular* size blocks and one for all other *irregular* size blocks. For the purpose of this assignment, if we consider heap-space in 16-byte units and assume that the *regular* size blocks will range from 2 to 10 units each, this allows for *regular* space allocations ranging from 16 to 144 bytes (plus the overheads of the header).

If a request to malloc352() falls within the range of 2 to 10 units, we can index to the free-list of the correct size – if it is non-empty, just use the first block on that list. If the regular size free-list is empty or the request is for other than a regular size, just use first-fit from the general free-list of *irregular* size blocks.

Calls to free352() can simply add *regular* size blocks to the appropriate list. *Irregular* size blocks are added to the other list, but looking for opportunities for coalescing.

Saving your files in a zipped tar file

You need to submit all your C and header files. First you need to bundle them up into a single *tar* file. The term "tar" is an abbreviation of "tape archive" and goes back to the days when people would save a back-up copy of their files on magnetic tape. Nowadays, with the price of large disk drives so low, nobody uses tape anymore, but the concept of tar files survives.

1. Use the command:

```
tar -cf WnnnnnnnAssg1.tar *.c *.h
```

(where Wnnnnnnn is your W-number).

The -cf specifies two options for the tar command: 'c' means create and 'f' means that the name of the resulting tar file comes next in the command.

Following the name of the tar file, we list the files to be included in the tar file. In this case, we want all the files in your current directory whose names end with ".c" or ".h".

- 2. If you now use the command 1s you should now see the file WnnnnnnnAssg1.tar in your directory.
- 3. If you use the command

```
tar -tf WnnnnnnnAssgl.tar
```

(where Wnnnnnnn is your W-number), it will list the files within the tar file.

4. Now compress the tar file using the gzip program:

```
gzip WnnnnnnnAssgl.tar
```

5. By using the 1s command again, you should see the file WnnnnnnnAssg1.tar.gz in your directory. This is the file that you need to submit through the **Assignment 1 Submission** link in the course web site.

Coding Standards

- 1. Use meaningful names that give the reader a clue as to the purpose of the thing being named.
- 2. Avoid the repeated use of numeric constants. For any numeric constants used in your program, use #define preprocessor directives to define a macro name and then use that name wherever the value is needed.
- 3. Use comments at the start of the program to identify the purpose of the program, the author and the date written.
- 4. Use comments at the start of each function to describe the purpose of the function, the purpose of each parameter to the function, and the return value from the function.
- 5. Use comments at the start of each section of the program to explain what that part of the program does.
- 6. Use consistent indentation.