# CSCI 5451 Assignment 1: Parallel Architecture and Communication

- Due: Thu 02-Feb-2023 by 11:59 pm
- Approximately 12.5% of total grade
- Submit to **Gradescope** in PDF format
- You may work in groups of 1 or 2 and submit one assignment per group.

Note: some of the math fonts used throughout the assignment may not display correctly. I have tested the display on Google Chrome and Mozilla Firefox and seen it work when connected to the network. Notify Prof Kauffman via email if it does not render properly.

**CHANGELOG: Empty** 

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# 1 (15pts) Problem 1: Store-and-forward Routing (Grama 2.26)

Consider the routing of messages in a parallel computer that uses store-and-forward routing. In such a network, the cost of sending a single message of size m from  $P_{source}$  to  $P_{destination}$  via a path of length d is  $t_s + t_w \times d \times m$ . An alternate way of sending a message of size m is as follows. The user breaks the message into k parts each of size m/k, and then sends these k distinct messages one by one from  $P_{source}$  to  $P_{destination}$ . For this new method, derive the expression for time to transfer a message of size m to a node d hops away under the following two cases:

- A. Assume that another message can be sent from  $P_{source}$  as soon as the previous message has reached the next node in the path.
- B. Assume that another message can be sent from  $P_{source}$  only after the previous message has reached  $P_{destination}$ .

For each case, comment on the value of this expression as the value of k varies between 1 and m. Also, what is the optimal value of k if  $t_s$  is very large, or if  $t_s = 0$ ?

**Clarification**: To start sending any message, a cost of  $t_s$  must be paid. For example sending m=10 words in its entirety costs

$$t_s + t_w \times d \times 10$$

Sending the same message broken into two 5-word chunks costs

$$(t_s + t_w \times d \times 5) + (t_s + t_w \times d \times 5)$$

if the sending does not overlap.

#### Example

To increase the clarity, below is a concrete example of the problem setting with communication according to both sets of assumptions. Studying it may help with visualizing the nature of the problem.

```
* ***** EXAMPLE PROBLEM PARAMETERS ***** *
m=10 : 10 words of data
k=2 : message in two parts, 5 words each [A5 B5]
d=3 : Source to Destination is 3 hops
* ***** A ASSUMPTIONS ***** *
After S sends A5, can immediately send B5 to "follow" it
TIME=0
S:0 ---- 1 ---- 2 ---- D:3
A5,B5
Initiate sending A5
TIME=1*5*t_w + t_s
S:0 ---- 1 ---- 2 ---- D:3
B5
      Α5
Initiate sending B5
TIME=2*5*t_w + t_s + t_s
S:0 ---- 1 ---- 2 ---- D:3
        B5
              Α5
TIME=3*5*t_w + t_s + t_s
S:0 ---- 1 ---- 2 ---- D:3
               В5
                       Α5
TIME=4*5*t_w + t_s + t_s
S:0 ---- 1 ---- 2 ---- D:3
                       A5,B5
* ***** B ASSUMPTIONS ***** *
After sending A5, S must wait until it arrives at D before send B5.
TIME=0
S:0 ---- 1 ---- 2 ---- D:3
A5, B5
Initiate sending A5
TIME=1*5*t_w + t_s
S:0 ---- 1 ---- 2 ---- D:3
B5
       Α5
TIME=2*5*t_w + t_s
S:0 ---- 1 ---- 2 ---- D:3
```

**B5 A5** TIME=3\*5\*t w + t sS:0 ---- 1 ---- 2 ---- D:3 **B5** Α5 A5 Arrived Initiate sending B5  $TIME=4*5*t_w + t_s + t_s$ S:0 ---- 1 ---- 2 ---- D:3 **B5** Α5  $TIME=5*5*t_w + t_s + t_s$ S:0 ---- 1 ---- 2 ---- D:3 B5 Α5  $TIME=6*5*t_w + t_s + t_s$ S:0 ---- 1 ---- 2 ---- D:3 A5, B5

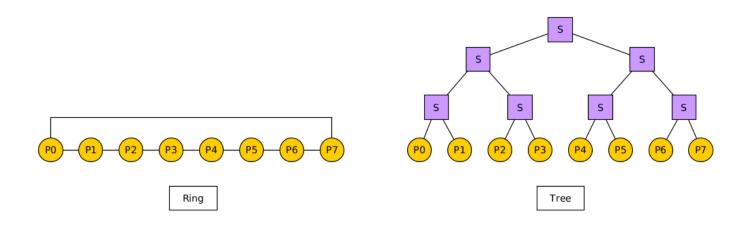
# 2 (10pts) Problem 2: Embedding a Ring Network in a Tree Network

Section 2.7 of Grama discusses a number techniques to evaluate how well one type of network interconnect may be embedded in another. Two important characteristics of such embedding are identified in Grama 2.7:

- Congestion: The maximum number of links in the source network mapped to a single link in the destination network.
- **Dilation**: The maximum number of links traversed in the destination network which correspond to a single link in the source network.

We briefly discussed this at a high level in lecture indicating that embedding a 2D Mesh into Hypercube is possible as the former has many fewer links than the latter. We were able to informally show that mapping a source 2D Mesh network to a destination Hypercube network would yield a Congestion of 1 (all links in the mesh had a unique link in the Hypercube) and a Dilation of 1 (adjacent procs in the Mesh were adjacent in the Hypercube).

Below is a diagram of an 8-node Ring network (linear array with wrap-around) and an 8-node Binary Tree network (with intermediary switches as squares).



Discuss how one can map/embed the Ring to the Tree. Be detailed, perhaps annotating the diagrams to show the specific mapping. Give the numeric values for Congestion and Dilation in this mapping.

Generalize your answer for a  $2^D$  node Ring mapped to a  $2^D$  node Tree. Provide an analytic expression for Congestion and Dilation. Informally justify your answers (no need for a formal proof).

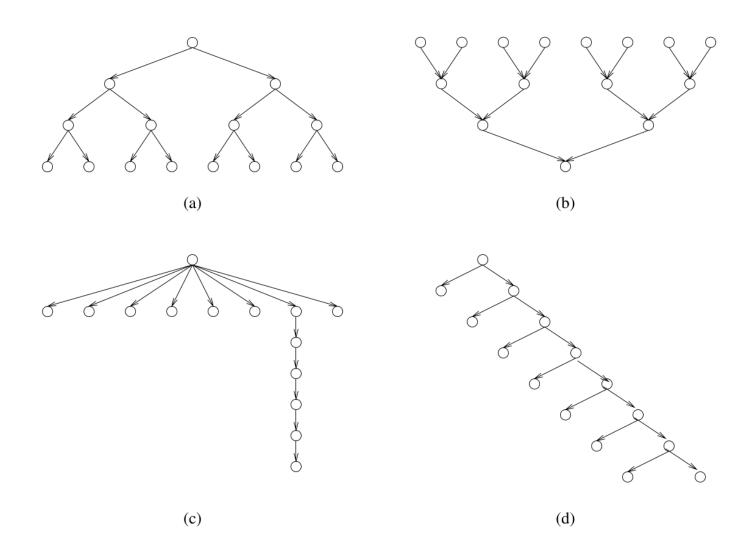
# 3 (10pts) Problem 3: Task-dependence Graphs (Grama 3.2)

For the task graphs given in Figure 3.42 (below), determine the following:

- A. Maximum degree of concurrency.
- B. Critical path length.
- C. Maximum achievable speedup over one process assuming that an arbitrarily large number of processors is available.
- D. The minimum number of processors needed to obtain the maximum possible speedup.
- E. The maximum achievable speedup if the number of processors is limited to 2, 4, and 8.

Assume that each task node takes an equal amount of time to execute.

Figure 3.42. Task-dependency graphs for this Problem.



# 4 (15pts) Problem 4: Parallel Histogram

This problem is a variant of Grama 3.19 + 3.20 which discusses Bucket Sort but focuses on the simpler task of computing Histograms.

Consider parallelizing a simple histogram algorithm on a **distributed memory computer**.

- Input consists of an array A[] of N random integers in the range {0...(R-1)}.
- The algorithm should compute the array of counts H[] where element H[i] is the total number of times integer i appeared in input array A[].
- All P processors on the parallel computer are assumed to have access to the entire array A[] which can be loaded from
  permanent storage. However if the size N gets large enough, only parts of A[] can be held in memory for an individual
  processor.
- At the end of the algorithm execution, at least one processor must contain the entire array H[] which may involve some communication.

#### **EXAMPLE:**

- Input: R=4 and A[] of size N=10 is A[] = {0, 2, 1, 3, 2, 1, 1, 0, 0, 1}
- Output: H[] of size R=4 is H[] = {3, 4, 2, 1} as H[i] is the number of occurrences of i throughout A[]

Describe two ways to decompose this problem into a parallel program. Compare these two.

- A. Describe a decomposition based on **partitioning the input data** (i.e., the array A[]) and an appropriate mapping onto p processors. Describe briefly how the resulting parallel algorithm would work.
- B. Describe a decomposition based on **partitioning the output data** (i.e., the array H[]) and an appropriate mapping onto p processors. Describe briefly how the resulting parallel algorithm would work.
- C. Discuss the advantages and disadvantages of these two parallel formulations. Describe circumstances under which each is preferred. Such circumstances should consider
  - P the number of processors
  - R the number of different data possibilities (size of H[])
  - A[] the input array and n its size
  - Potentially also the cost to communicate between the processors

# 5 (15pts) Problem 5: Parallel One-to-All Broadcast in 2D Torus

Assume processor 0 has a message that must be sent to all other processors in a distributed memory parallel computer. Describe an efficient algorithm to do this in a 2D Torus (wrap-around mesh) with R rows and C columns.

Account for the following in your answers.

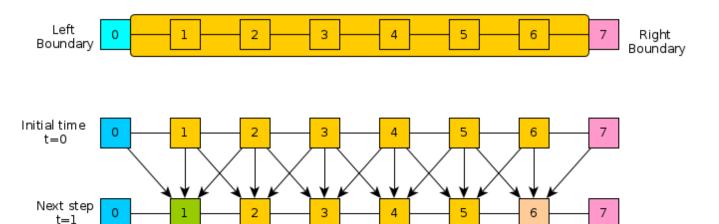
- A processor can send a message to any other processor. Any processor between the source and destination does not receive the
  message, only forwards it along the path. However, once a processor has actually received the message, it can subsequently be a
  source and send it to other processors.
- Processors can be indexed with 2D coordinates as in processor (0,2) sends a message to processor (5,4)
- Give rough pseudocode for how this algorithm will look
- Give a cost estimate of this communication in terms of the number or rows R and columns C in the network. The cost of a single communication between nodes that are *d* hops away is

$$t_{single} = t_s + t_w \times m \times d$$

You may assume that R and C are powers of two in your analysis to simplify the expression for the total communication time  $t_{comm}$ .

# 6 (15pts) Problem 6: Bring the Heat

Consider a simple physical simulation of heat transfer. A rod of material is insulated except at its ends to which are attached two constant sources of heat/cold.



The rod is broken into discrete chunks, sometimes referred to as finite elements, and it is assumed that throughout each element the temperature is uniform. The reservoir elements of heat/cold at the left and right remain at a constant temperature. For the other elements, the temperature is updated at each time step by examining the temperature of its neighbors compared to itself. This dependence is indicated in the lower part of the diagram and the exact update for an element is given in the code below.

The following C code implements a simple version of this simulation. A row of the matrix H contains the temperature of all elements in the rod at a time step with the leftmost and rightmost elements remaining constant. Ultimately, the program computes the entire matrix H which contains temperatures of all elements at all time steps. Examine this code carefully.

```
#include <stdio.h>
#include <stdlib.h>
//
   HEAT TRANSFER SIMULATION
//
    Simple physical simulation of a rod connected at the left and right
//
    ends to constant temperature heat/cold sources. All positions on
//
   the rod are set to an initial temperature. Each time step, that
//
    temperature is altered by computing the difference between a cells
//
    temperature and its left and right neighbors. A constant k
//
    (thermal conductivity) adjusts these differences before altering
//
//
   the heat at a cell. Use the following model to compute the heat
    for a position on the rod according to the finite difference
//
    method.
//
//
//
       left\_diff = H[t][p] - H[t][p-1];
//
       right_diff = H[t][p] - H[t][p+1];
//
       delta = -k*( left_diff + right_diff )
//
       H[t+1][p] = H[t][p] + delta
//
//
    Substituting the above, one can get the following
//
      H[t+1][p] = H[t][p] + k*H[t][p-1] - 2*k*H[t][p] + k*H[t][p+1]
//
//
    The matrix H is computed for all time steps and all positions on
//
    the rod and displayed after running the simulation. The simulation
```

```
// is run for a fixed number of time steps rather than until
// temperatures reach steady state.
int main(int argc, char **argv){
                       // Number of time steps to simulate
// Number of cells in the rod
  int max_time = 50;
                               // Number of cells in the rod
  int width = 20;
  double initial_temp = 50.0; // Initial temp of internal cells
  double L_bound_temp = 20.0; // Constant temp at Left end of rod
  double R_bound_temp = 10.0; // Constant temp at Right end of rod
  double k = 0.5;
                                // thermal conductivity constant
  double **H;
                                // 2D array of temps at times/locations
  // Allocate memory
  H = malloc(sizeof(double*)*max_time);
  int t,p;
  for(t=0;t<max_time;t++){</pre>
    H[t] = malloc(sizeof(double*)*width);
  }
  // Initialize constant left/right boundary temperatures
  for(t=0; t<max_time; t++){</pre>
    H[t][0] = L_bound_temp;
    H[t][width-1] = R_bound_temp;
  }
  // Initialize temperatures at time 0
  t = 0;
  for(p=1; p<width-1; p++){
    H[t][p] = initial_temp;
  }
  // Simulate the temperature changes for internal cells
  for(t=0; t<max_time-1; t++){</pre>
    for(p=1; p<width-1; p++){
      double left_diff = H[t][p] - H[t][p-1];
      double right_diff = H[t][p] - H[t][p+1];
      double delta = -k*( left_diff + right_diff );
      H[t+1][p] = H[t][p] + delta;
    }
  }
  // Print results
  printf("Temperature results for 1D rod\n");
  printf("Time step increases going down rows\n");
  printf("Position on rod changes going accross columns\n");
  // Column headers
  printf("%3s| ","");
  for(p=0; p<width; p++){
    printf("%5d ",p);
  }
  printf("\n");
  printf("%3s+-","---");
  for(p=0; p<width; p++){
```

```
printf("-----");
}
printf("\n");
// Row headers and data
for(t=0; t<max_time; t++){
    printf("%3d| ",t);
    for(p=0; p<width; p++){
        printf("%5.1f ",H[t][p]);
    }
    printf("\n");
}
return 0;
}</pre>
```

Answer the following questions about how to parallelize this code.

- A. A typical approach to parallelizing programs is to select a loop and split iterations of work between available processors. Describe how one might do this for the heat program. Make sure to indicate any loops for which this approach is not feasible and any which seem more viable to you.
- B. Describe how you would divide the data for the heat transfer problem among many processors in a distributed memory implementation to facilitate efficient communication and processing. Describe a network architecture that seems to fit this problem well and balances the cost of the network well.

# 7 (15pts) Problem 7: C Proficiency / K-Means Preparation

CODEPACK: kmeans-code.zip

FILE	DESCRIPTION
kmeans.py	Python kmeans clustering program to convert to C
python-demo.txt	Demo of running the Python version, C version should produce similar results
filestats.c	Parsing routine to help process data files in C
sample-mnist-data/	Directory with data files for clustering
kmeans_serial.c	CREATE this file with your C version

In the next Assignment, you will be responsible for coding a parallel implementation of K-Means clustering. This will be coded in the C language. Many students may be rusty or uncertain about their C coding skills. To prepare for the parallel coding exercise, convert the a Python program to a C version. The provided Python code is downloadable with associated data files in the codepack linked at the top of this problem.

## **Brief Overview of K-Means Clustering**

K-Means clustering will be discussed in lecture several times to introduce it and later to discuss various approaches to parallelizing the serial algorithm. For a additional details, examine the Wikipedia article on K-Means Clustering. Below is a brief overview of the central ideas.

The algorithm can be summarized as follows:

```
Input: data + #of clusters desiredOutput: assignment of each data to a cluster + cluster centersAlgorithm: Iterates between
```

- 1. Calculate cluster centers
- Calculate cluster assignmentsUntil no data changes clusters

Each point to be clustered is described as a vector of numerical features. In the below sample picture, each data has 2 such features so can be easily plotted in 2 dimensions. The figure starts with two random cluster centers, then iterates above between assigning data to clusters, then recomputing cluster centers, then assigning, etc.

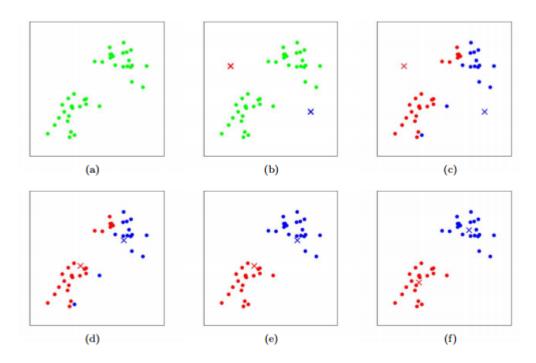


Figure 1: Example of progression of K-means clustering algorithm. <u>Source: K-Means by Chris Piech. Based on a handout by Andrew Ng.</u>

K-means requires one to specify how many clusters to group data into. Our K-means clustering initially assigns each data randomly to one cluster. Then the cluster centers are computed by summing the features of each data in a cluster and dividing by the number of data in the cluster. Finally, each data is compared against each cluster center and re-assigned to it if it is closer than its current cluster's center. The distance can be a variety of metrics but Euclidean distance is common.

The algorithm iterates between calculating new cluster centers and then re-assigning each data to new clusters. Under very mild conditions, cluster assignments will converge and this can be detected by counting how many data are assigned to different clusters than in the last iteration. When this count is 0, the algorithm has converged.

For our application, we will be clustering small images of hand-written digits so the features for the intensities of pixels in the image. A small image file like this one:

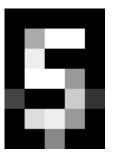


Figure 2: Sample of a small hand-written digit in graymap

may have pixel data in 2 dimensions like this (here written in the Portable Gray Map [PGM] format):

```
P2
      # file type
5 7
      # 5 columns by 7 rows
      # max intensity 15
15
          0
             0
   0
      0
0 10 15 15
             0
 14
      0
          9
 15 15
             0
      0
          12 3
  13
         9
```

Such a data point is written as a 5x7 = 35 element vector by concatenating the rows of pixels as in:

```
0 0 0 0 0 10 15 15 0 0 14 0 0 0 0 15 15 9 0 4 0 0 12 3 0 13 14 9
```

Our application will cluster such collections of such images. Each image appears as its own line in a text file starting with a label as a digit of 0, 1, 2, . . . 9. This is followed by a colon, then then image pixels:

```
0 10 15 15
                                  0 14
                                         0
                                                   0 15 15
                                                             9
                                                                               12 3
                                                                                     0 13
                                                             8
                                                                    3
          0
             0
                 1 12 15 13
                                  0 12
                                         0
                                            0
                                                0
                                                   0 14 14
                                                                 1
                                                                               15 6
                                                                                     0 12
             0
                 0 10 15 15
                                                      4 15 11
                                                                               12 3
                                                                                     0 13
0
                                         0 14
```

The data we will be clustering are images from the well-known MNIST Database which are 28x28 pixel graymap images with a range of 0 for black to 255 for white. The original binary formats have been converted to text formats like those about for somewhat easier interpretability and to processing.

## **Notes On Implementation**

- The Python version has 2 "classes" at the top which represent the main datatype associated with the problem. These should become C structs in the C version as standard C does not support classes and they are unnecessary in this case anyway.
- All numerical calculations should be done in floating point format using C's 32-bit float type. It is recommended for performance reasons that the integer pixel data be read in as floats so that one can avoid doing unnecessary conversions.
- Python automatically allocates data and can extend its lists while C involves manual memory allocation. In this application, after setting up data from the input file, no further memory allocation is required so most of the application logic will be identical between the Python and the C versions.
- Mirror the functions and flow used in the Python version closely in your C version. Some Pythonesque function such as split() and append() methods are not available in C. Instead, pre-allocating appropriate amounts of memory and using standard input functions like fscanf() will suffice.
- When reading in data from the input files, it may useful to employ the following function which calculates the lines and total tokens in a data file. It is provided in the indicated source file in the problem codepack.
- Using this C function allows one to calculate how much memory must be allocated for the data structure that will hold the input file: each line of the input files starts with an integer then a colon then 784 integers (28x28 pixels).

## **Python Code**

The Python code version is provided below but is also in the codepack.

Click to Show Code

## Sample Runs of kmeans.py

A demo of the Python version is shown below.

Click to Show Demo

## **Timing Results to Provide**

Time your both the provided Python version and your C version of the K-means clustering. Provide timing on any Linux/Unix machine using the following commands.

```
## Time Python and C versions on with these parameters
## dataset: sample-mnist-data/digits_3x7_2e3.txt
## numclust: 4
## TIME PYTHON VERSION on digits_3x7_2e3.txt 4 clusters
>> time ./kmeans.py sample-mnist-data/digits_3x7_2e3.txt 4 outdir1
datafile: sample-mnist-data/digits_3x7_2e3.txt
nclust: 4
savedir: outdir1
ndata: 2000
dim: 784
==CLUSTERING: MAXITER 100==
ITER NCHANGE CLUST_COUNTS
 1: 1427 | 450 503 240 807
 2:
     521 | 247 535 247 971
TOT 465 518 450 567 2000
Saving cluster labels to file outdir/labels.txt
Saving cluster centers to outdir/cent_0000.pbm ...
real
      XXXXXX # include all output including these last timing numbers
      XXXXXX
user
      XXXXXX
sys
## TIME C VERSION on digits_3x7_2e3.txt 4 clusters
>> time ./kmeans_serial sample-mnist-data/digits_3x7_2e3.txt 4 outdir2
datafile: sample-mnist-data/digits_3x7_2e3.txt
nclust: 4
savedir: outdir2
ndata: 2000
dim: 784
==CLUSTERING: MAXITER 100==
ITER NCHANGE CLUST_COUNTS
```

```
807
  1:
      1427 |
              450
                   503
                         240
  2:
                    535
                              971
              247
T0T
     465 518
               450
                    567 2000
Saving cluster labels to file outdir2/labels.txt
Saving cluster centers to outdir2/cent_0000.pbm ...
                # include all output including these last timing numbers
real
        XXXXXX
        XXXXXX
user
        XXXXXX
sys
```

C is often used when speed is important for an application so expect a speed bump over the Python version. The size of the bump will vary but should be substantial in most cases.

#### **Submission Notes**

- Paste the entirety of your C code into the document that you have written your other answers in. If your code is spread across several files, include all of them.
- Also paste the timing results of your C version on the datasets indicated compared to the Python results.
- Your solution will not be run by graders, only manually inspected to determine if it appears sane.
- This serial version will serve as your starting point for A2 in which you must parallelize the code so failure to complete this problem now will put you at a disadvantage for the next Assignment. No serial C version will be provided to students for A2, only the Python version.

# 8 (5pts) Statement of Effort

Include the following Statement of Effort at the end of your document.

I / We affirm that all parties listed below have contributed to each solution presented in this document. All parties are capable of describing how the solutions were derived, how they apply to the problem, and that they were created in accordance with the course's PRIME DIRECTIVE.

Signed,

YOUR NAME(S)

Including this section will earn full credit. Failure to include this statement of effort may result in additional penalties aside from the loss of points indicated.

## 9 Submission Instructions

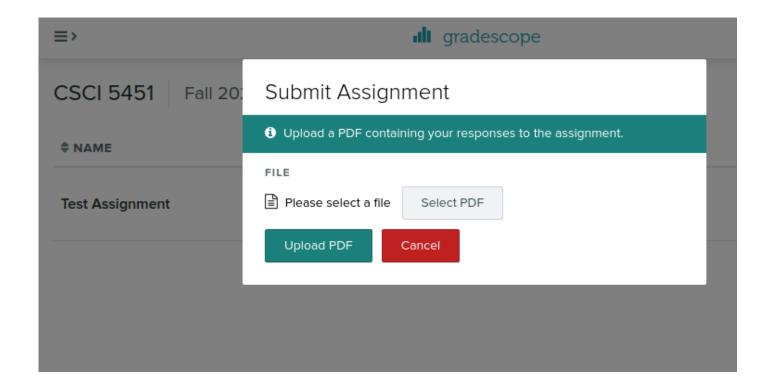
- Complete the problems in each section labelled **Problem**
- This is a **pair assignment:** you may select one partner to work with on this assignment as a group of 2. You may also opt to work alone as a group of 1.
- Only 1 member of your group should submit the HW Writeup on Gradescope. If you are working in a partnership, add
  your partner after submitting as described below.
- Make sure the Writeup has all group member's information in it:

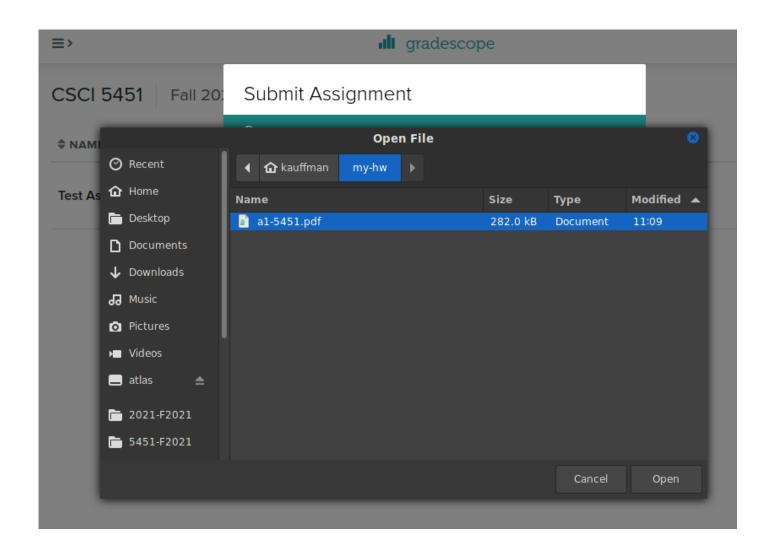
```
CS 5451 A1
Group of 2
Turanga Leela tleela4@umn.edu
Philip J Fry pfry99@umn.edu
```

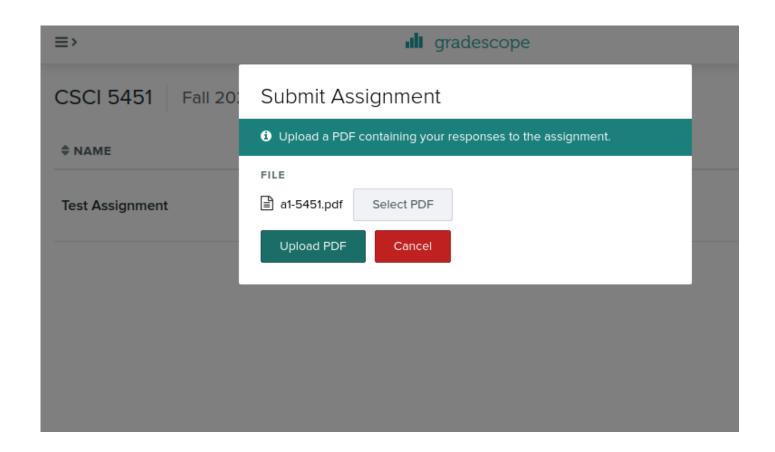
- **Submit a PDF (portable document format, .pdf)** of your work. Whatever program you write your answers in (Microsoft Word, Apple Words, Google Docs, Latex, etc.) make sure you can export or "save as" a PDF.
- **Submit** your work to Gradescope under Assignment 1
  - Log into Gradescope
  - Assignment 1
  - Select your PDF for upload
  - Use the Gradescope system to indicate which of your answers are on which page of your PDF submission this will make things easier on your grader (you want a happy grader).
  - After uploading, **add your partner as a group member** if you had one.

## Picture Sequence of Uploading an Assignment

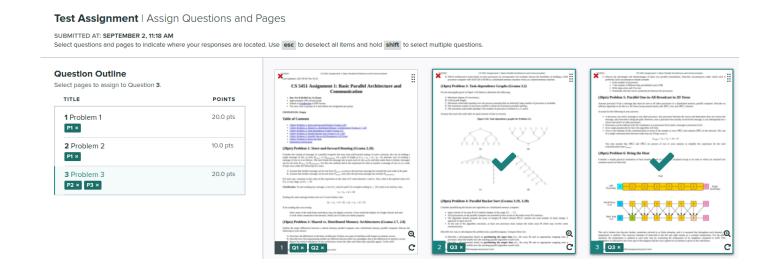
#### Selecting a PDF to upload





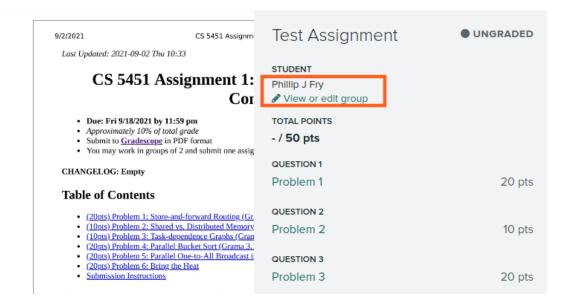


## Indicate problem answers on each page

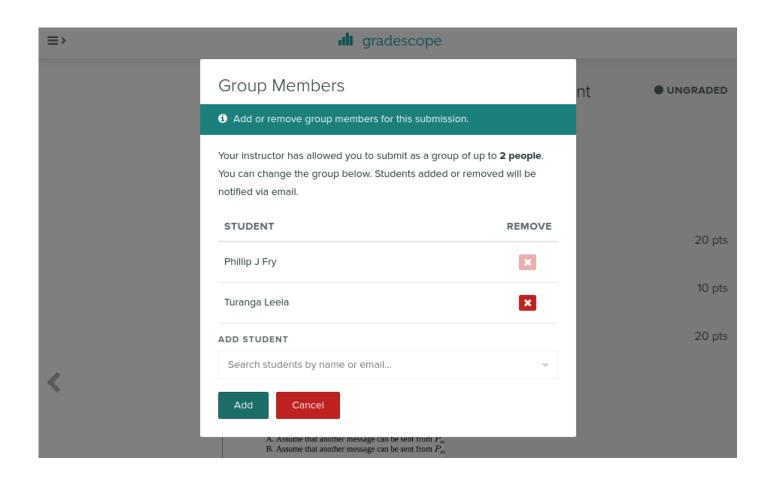


# Adding a Group Member on Gradescope

Submitter should edit the group associated with the submission

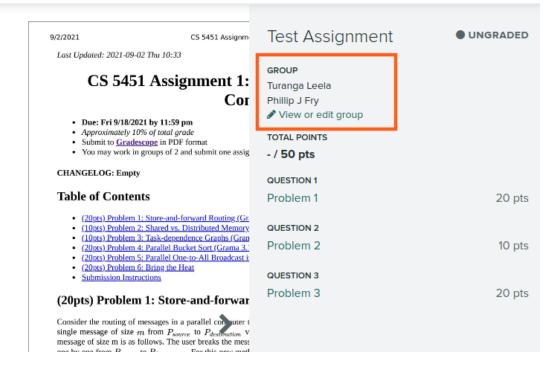


#### Find your partner in the search box



Ensure your partner is listed





#### **Late Submission**

As described in the Course Syllabus, late submissions are penalized as follows.

- On-time submissions receive no penalties
- Submitting 1-24 hours will result in the loss of 10% absolute credit
- Submitting 25-48 hours late will result in the loss 20% absolute credit
- No submissions will be accepted more than 48 hours after a deadline.

Author: Chris Kauffman (kauffman@umn.edu)

Date: 2023-01-24 Tue 16:27