# CSCI 2021: Memory Systems

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### Logistics

#### Reading Bryant/O'Hallaron

- ► Ch 4: Finish / Skim
- Ch 6: Memory

#### Goals

- Finish Arch
- 2D arrays
- ► Timing issues
- Memory efficient programs
- ► Permanent Storage

#### Assignments

- ► Lab 11: clock() function
- HW 11: Memory Optimization
- Both deal with memory layout affecting performance

#### Project 4

Later today

#### Architecture Performance

```
// LOOP 1
for(i=0; i<iters; i++){
  retA += delA;
  retB += delB;
*start = retA+retB;
// LOOP 2
for(i=0; i<iters; i++){</pre>
  retA += delA;
  retA += delB;
*start = retA;
```

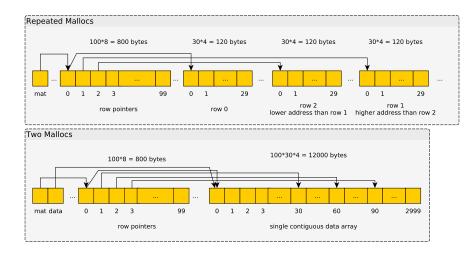
- ► LOOP1 or LOOP2 faster?
- ► Why?

### Exercise: 2D Arrays

- Several ways to construct "2D" arrays in C
- ▶ All must *embed* a 2D construct into 1-dimensional memory
- Consider the 2 styles below: how will the picture of memory look different?

```
// REPEATED MALLOC
                                          // TWO MALLOCs
// allocate
                                          // allocate
int rows=100, cols=30;
                                          int rows=100, cols=30;
                                          int **mat =
int **mat =
   malloc(rows * sizeof(int*)):
                                             malloc(rows * sizeof(int*)):
                                          int *data =
                                             malloc(rows*cols*sizeof(int));
for(int i=0: i<rows: i++){
                                          for(int i=0; i<rows; i++){
  mat[i] = malloc(cols*sizeof(int));
                                            mat[i] = data+i*cols;
// do work
                                          // do work
mat[i][i] = ...
                                          mat[i][j] = ...
// free memory
                                          // free memory
for(int i=0; i<rows; i++){</pre>
                                          free(data):
  free(mat[i]);
free(mat):
                                          free(mat):
```

# **Answer**: 2D Arrays



### Single Malloc Matrices

Somewhat common to use a 1D array as a 2D matrix as in

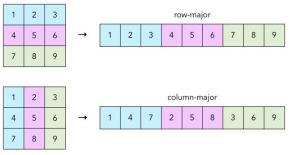
```
int *matrix =
    malloc(rows*cols*sizeof(int));
int i=5, j=20;
int elem_ij = matrix[ i*cols + j ]; // retrieve element i,j
```

P4 will use this technique along with some structs and macros to make it more readable:

```
matrix_t mat;
matrix_init(&mat, rows, cols);
int elij = MGET(mat,i,j);
// elij = mat.data[ mat.cols*i + j]
MSET(mat,i,j, 55);
// mat.data[ mat.cols*i + j ] = 55;
```

### Aside: Row-Major vs Col-Major Layout

- ► Many languages use **Row-Major** order for 2D arrays/lists
  - C, Java, Python, Ocaml,...
  - ▶ mat[i] is a contiguous row, mat[i][j] is an element
- ▶ Numerically-oriented languages use Column-Major order
  - ► Fortran, Matlab/Octave, R, Ocaml (?)...
  - mat[j] is a contiguous column, mat[i][j] is an element
- Being aware of language convention can increase efficiency



Source: The Craft of Coding

# Exercise: Matrix Summing

- ▶ How are the two codes below different?
- Are they doing the same number of operations?
- ▶ Which will run faster?

# **Answer**: Matrix Summing

- Show timing in matrix\_timing.c
- sumR faster the sumC: caching effects
- Discuss timing functions used to determine duration of runs

```
> gcc -Og matrix_timing.c
> a.out 50000 10000
sumR: 1711656320 row-wise CPU time: 0.265 sec, Wall time: 0.265
sumC: 1711656320 col-wise CPU time: 1.307 sec, Wall time: 1.307
```

- sumR runs about 6 times faster than sumC
- Understanding why requires knowledge of the memory hierarchy and cache behavior

### Measuring Time in Code

- Measure CPU time with the standard clock() function;
   measure time difference and convert to seconds
- Measure Wall (real) time with gettimeofday() or related functions; fills struct with info on time of day (duh)

#### **CPU Time**

```
#include <time.h>
clock_t begin, end;
begin = clock(); // current cpu moment
do_something();
end = clock(); // later moment
double cpu_time =
    ((double) (end-begin)) / CLOCKS_PER_SEC;
```

### Real (Wall) Time

```
#include <sys/time.h>
struct timeval tv1, tv2;
gettimeofday(&tv1, NULL); // early time
do_something();
gettimeofday(&tv2, NULL); // later time
double wall_time =
  ((tv2.tv_sec-tv1.tv_sec)) +
  ((tv2.tv_usec+tv1.tv_usec) / 1000000.0);
```

# Tools to Measure Performance: perf

- ► The Linux perf tool is useful to measure performance of an entire program
- Shows variety of statistics tracked by the kernel about things like memory performance
- ► Examine examples involving the matrix\_timing program: sumR vs sumC
- ▶ Determine statistics that explain the performance gap between these two?

### Exercise: perf stats for sumR vs sumC, what's striking?

```
> perf stat $perfopts ./matrix_timing 8000 4000 row ## RUN sumR ROW SUMMING
sumR: 1227611136 row-wise CPU time: 0.019 sec. Wall time: 0.019
Performance counter stats for './matrix timing 8000 4000 row':
                                                                     %SAMPLED
135,161,407
            cvcles:u
                                                                     (45.27\%)
417.889.646 instructions:u
                                                                     (56.22\%)
                                     # 3.09 insn per cycle
56.413.529 L1-dcache-loads:u
                                                                     (55.96\%)
 3,843,602
            L1-dcache-load-misses:u # 6.81% of all L1-dcache hits
                                                                     (50.41\%)
28,153,429 L1-dcache-stores:u
                                                                     (47.42\%)
        125
            L1-icache-load-misses:u
                                                                     (44.77\%)
 3,473,211
            cache-references:u
                                     # last level of cache
                                                                     (56.22\%)
 1,161,006
             cache-misses:u
                                     # 33.427 % of all cache refs
                                                                     (56.22\%)
> perf stat $perfopts ./matrix timing 8000 4000 col # RUN sumC COLUMN SUMMING
sumC: 1227611136 col-wise CPU time: 0.086 sec, Wall time: 0.086
Performance counter stats for './matrix timing 8000 4000 col':
                                                                      %SAMPLED
372,203,024
             cycles:u
                                                                      (40.60\%)
404,821,793
            instructions:u
                                      # 1.09
                                               insn per cycle
                                                                      (57.23\%)
61,990,626
            L1-dcache-loads:u
                                                                      (60.21\%)
39,281,370
            L1-dcache-load-misses:u # 63.37% of all L1-dcache hits
                                                                      (45.66\%)
23,886,332
             I.1-dcache-stores:u
                                                                      (43.24\%)
      2,486
             I.1-icache-load-misses:u
                                                                      (40.82\%)
32,582,656
                                      # last level of cache
                                                                      (59.38\%)
             cache-references:11
 1.894.514
             cache-misses:u
                                      # 5.814 % of all cache refs
                                                                      (60.38\%)
```

# **Answers**: perf stats for sumR vs sumC, what's striking?

#### **Observations**

- ► Similar number of instructions between row/col versions
- ▶ #cycles lower for row version → higher insn per cycle
- ► L1-dcache-misses: marked difference between row/col version
- ▶ Last Level Cache Refs : many, many more in col version
- Col version: much time spent waiting for memory system to feed in data to the processor

#### **Notes**

- ➤ The right-side percentages like (50.41%) indicate how much of how much of the time this feature is measured; some items can't be monitored all the time.
- Specific perf invocation is in 10-memory-systems-code/measure-cache.sh

# Exercise: Time and Throughput

Consider the following simple loop to sum elements of an array from stride throughput.c

```
int *data = ...; // global array
int sum_simple(int len, int stride){
  int sum = 0;
  for(int i=0; i<len; i+=stride)
  {
    sum += data[i];
  }
  return sum;
}</pre>
```

- Param stride controls step size through loop
- Interested in two features of the sum\_simple() function:
  - 1. Total Time to complete
  - 2. Throughput:

$$Throughput = \frac{\#Additions}{Second}$$

- How would one measure and calculate these two in a program?
- As stride increases, predict how Total Time and Throughput change

# Answers: Time and Throughput

### Measuring Time/Throughput

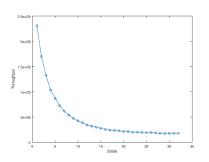
Most interested in CPU time so

### Time vs Throughput

As stride increases...

- Time decreases: doing fewer additions (duh)
- ► Throughput decreases

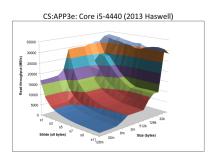
#### Plot of Stride vs Throughput

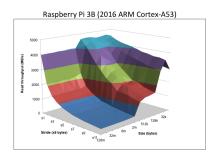


- Stride = 1: consecutive memory accesses
- ► Stride = 16: jumps through memory, more time

# Memory Mountains from Bryant/O'Hallaron

- Varying stride for a fixed length leads to decreasing performance, 2D plot
- ► Can also vary length for size of array to get a 3D plot
- ▶ Illustrates features of CPU/memory on a system
- ▶ The "Memory Mountain" on the cover of our textbook
- ▶ What **interesting structure** do you see?





## Increasing Efficiency

- Can increase the efficiency of loop summing with tricks
- B/O'H use multiple accumulators: multiple variables for summing
- Facilitates pipelining / superscalar processor
- Code is significantly faster BUT much trickier and less readable
- May be compiler options which enable this but not with defaults in gcc -03 (try searching optimization options, ~67 pages)

```
// From Bryant/O'Hallaron
int sum_add4(int elems, int stride){
  int i.
    sx1 = stride*1, sx2 = stride*2.
    sx3 = stride*3, sx4 = stride*4.
    acc0 = 0, acc1 = 0,
    acc2 = 0, acc3 = 0;
  int length = elems;
  int limit = length - sx4;
  /* Combine 4 elements at a time */
  for (i = 0; i < limit; i += sx4) {
    acc0 = acc0 + data[i];
    acc1 = acc1 + data[i+sx1];
    acc2 = acc2 + data[i+sx2];
    acc3 = acc3 + data[i+sx3];
  /* Finish any remaining elements */
  for (; i < length; i += stride) {
    acc0 = acc0 + data[i]:
  return acc0+acc1+acc2+acc3;
```

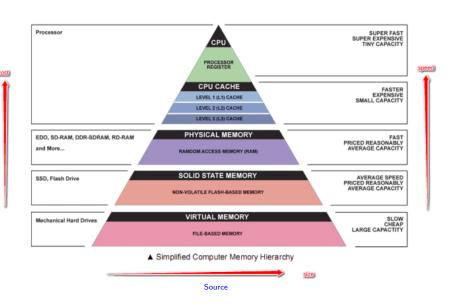
## Temporal and Spatial Locality

- ▶ In the beginning, there was only CPU and Memory
- ▶ Both ran at about the same speed (same clock frequency)
- CPUs were easier to make faster, began outpacing speed of memory
- ► Hardware folks noticed programmers often write loops like

```
for(int i=0; i<0; i++){
   sum += array[i];
}</pre>
```

- Led to development of faster memories exploit Locality
- ► **Temporal Locality**: memory recently used likely to be used again soon
- ➤ **Spatial Locality**: memory near to recently used memory likely to be used
- Register file and Cache were developed to exploit this: faster memory that is automatically managed

## The Memory Pyramid



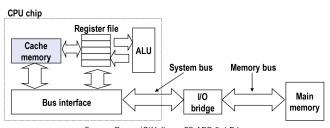
# Numbers Everyone Should Know

Edited Excerpt of Jeff Dean's talk on data centers.

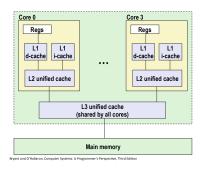
Reference	Time	Analogy
Register	-	Your brain
L1 cache reference	0.5 ns	Your desk
L2 cache reference	7 ns	Neighbor's Desk
Main memory reference	100 ns	This Room
Disk seek	10,000,000 ns	Salt Lake City

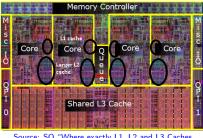
Big-O Analysis does NOT capture these; proficient programmers do

# Diagrams of Memory Interface and Cache Levels



Source: Bryant/O'Hallaron CS:APP 3rd Ed.





Source: SO "Where exactly L1, L2 and L3 Caches located in computer?"

# Why isn't Everything Cache?

Metric	1985	1990	1995	2000	2005	2010	2015	2015/1985
SRAM \$/MB	2,900	320	256	100	75	60	25	116
SRAM access (ns)	150	35	15	3	2	1.5	1.3	115
DRAM \$/MB	880	100	30	1	0.1	0.06	0.02	44,000
DRAM access (ns)	200	100	70	60	50	40	20	10

Source: Bryant/O'Hallaron CS:APP 3rd Ed., Fig 6.15, pg 603

1 bit SRAM = 6 transistors

1 bit DRAM = 1 transistor + 1 capacitor

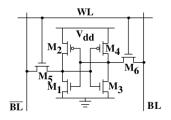


Figure 2.4: 6-T Static RAM



Figure 2.5: 1-T Dynamic RAM

"What Every Programmer Should Know About Memory" by Ulrich Drepper, Red Hat, Inc.

# Cache Principles: Hits and Misses

#### CPU-Memory is a Client-Server

- CPU makes requests
- Memory system services request as fast as possible

#### Cache Hit

- CPU requests memory at address 0xFFFF1234 be loaded into register %rax
- Finds valid data for 0xFFFF1234 in L1 Cache: L1 Hit
- ► Loads into register fast

#### Cache Miss

- CPU requests memory at address 0xFFFF7890 be loaded into register %rax
- OxFFFF7890 not in L1 Cache: L1 Miss
- ➤ Search L2: if found move into L1, then %rax
- Search L3: if found move into L2, L1, %rax
- Search main memory: if found, move into caches, if not...

Wait, how could 0xFFFF7890 not be in main memory...?

### Types of Cache Misses

### Compulsory "Cold" Miss: Getting Started

- First time accessing an element in a program
- After the cache "warms up" hopefully doesn't happen much

#### Capacity Miss: Too Big to Fit

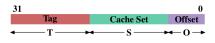
- Working set is set of memory being frequently accessed in a phase of a program
- Large working set may exceed the size of a cache

#### Conflict Miss: This Stall Occupied

- ▶ Internal placement policies of cache lead to a conflict
- Two pieces of memory in working set both want to reside at the same location but cannot
- Makes more sense after discussing placement policies

### Memory Address Determines Location in a Cache

- Cache specified by
  - ▶ # of **Sets** *S*
  - ▶ # of **Lines** per Set *E*
  - # of bytes in a Block B
- Each Line in a Set has a Tag
- Combination of (tag+set) uniquely identifies a Block in memory
- ► To determine whether memory address A is in cache, check each line in associated Set for its Tag
- Specific byte will be at an Offset in cache block



Address Bits to Cache Location

- Bits from address determine location for memory in cache
- Direct-Mapped cache, 4 sets and 16 byte blocks/lines
- ► Load address 0x28

▶ 0x20 in the same line, will also be loaded int set #2

# Exercises: Anatomy of a Simple CPU Cache

М	AIN M	ΕM	ORY								CACHE
-	Addr	- [	Add	r Bi	ts	$\mathbf{I}$	Value	Tag	Set	Off	
- 1		-+				-+-	+		+	+	Set   V   Tag   0-7 8-15
- 1	00	- 1	00	00	0000	1	331	00	0	0	
-	80	- 1	00	00	1000	-	332	00	0	8	00   0   -   -
- 1	10	-	00	01	0000	1	333	00	1	0	01   1   00   333   334
-	18	-	00	01	1000	1	334	00	1	8	10   1   11   555 556
-	20	-	00	10	0000	1	335	00	1 2	0	11   1   00   337 338
-	28	- 1	00	10	1000	-	336	00	2	8	
-	30	- 1	00	11	0000	-	337	00	3	1 0	0-7 8-15
-	38	- 1	00	11	1000	-	338	00	3	8	DIRECT-MAPPED Cache
-		- 1				-				1	- Direct-mapped: 1 line per set
-	CO	- 1	11	00	0000	-	551	11	0	1 0	- 16-byte lines = 4-bit offset
-	C8	- 1	11	00	1000	-	552	11	0	8	- 4 Sets = 2-bit index
-	DO	- 1	11	01	0000	-	553	11	1	1 0	- 8-bit Address = 2-bit tag
-	D8	- 1	11	01	1000	-	554	11	1	8	- Total Cache Size = 64 bytes
-	EO	- 1	11	10	0000	-	555	11	2	1 0	4 sets * 16 bytes
-	E8	- 1	11	10	1000	-	556	11	2	8	
-	FO	- 1	11	11	0000	-	557	11	3	1 0	HITS OR MISSES? Show effects
	F8	- 1	11	11	1000	-	558	11	3	8	1. Load 0x08
-		-+				-+-	+		+	+	2. Load 0xF0
-		-	Tag	Set	Offset		I		I	I	3. Load 0x18

# **Answers**: Anatomy of a Simple CPU Cache

M	AIN MEN	10RY					CACHE							
- 1	Addr	Add:	r Bi	ts	Value	Tag	Set	Off		1	l	l	Block	s/Line
-		+			+				·	Set	l V	Tag	0-7	8-15
-	00	00	00	0000	331	00	0	0	1		+	+	+	
-	80	00	00	1000	l 332	00	0	8		00	1	<b> </b> *00	331	332
-	10	00	01	0000	333	00	1	0	1	01	1	00	333	334
-	18	00	01	1000	l 334	00	1	8	1	10	1	11	555	556
-	20	00	10	0000	335	00	2	0	1	11	1	*11	557	558
	28	00	10	1000	l 336	00	2	8	1		+	+	+	
- 1	30	00	11	0000	337	00	3	0	1	1		l	0-7	8-15
	38	00	11	1000	l 338	00	3	8	1	DIRECT-	-MAPI	PED Ca	che	
		١				l			1	- Dire	ct-ma	apped:	1 line	per set
- 1	CO	11	00	0000	551	11	0 1	0	1	- 16-b	yte :	lines	= 4-bit	offset
- 1	C8	11	00	1000	552	11	0 1	8	1	- 4 Set	ts =	2-bit	index	
- 1	DO	11	01	0000	553	11	1	0	1	- 8-bi	t Ado	dress	= 2-bit	tag
- 1	D8	11	01	1000	554	11	1	8	1	- Tota	l Ca	che Si	ze = 64	bytes
١	EO	11	10	0000	555	11	2	0	1	4 :	sets	* 16	bytes	•
١	E8	11	10	1000	556	11	2	8	1				•	
١	FO	11	11	0000	557	11	3	0	1	HITS O	R MIS	SSES?	Show ef	fects
- 1	F8	11	11	1000	558	11	3	8	1	1. Load	1 0x0	08: MI	SS to s	et 00
- 1					+	+	+		-	2. Load	lx0 f	FO: MI	SS over	write
- 1		Tag	Set	Offset		I			1			se	t 11	
		Ŭ								3. Load	x0 f	18: HI	T in s	et 01
												no	change	2.

#### Direct vs Associative Caches

#### Direct Mapped

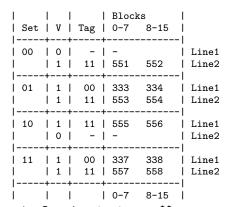
#### One line per set

- Simple circuitry
- ► Conflict misses may result: 1 slot for many possible tags
- ► Thrashing: need memory with overlapping tags

```
0x10 = 00 01 0000 : in cache
0xD8 = 11 01 1000 : conflict
```

#### N-Way Associative Cache

Ex: 2-way = 2 lines per set



- ightharpoonup Complex circuitry ightharpoonup \$\$
- Requires an eviction policy, usually least recently used

### How big is your cache? Check Linux System special Files

#### 1scpu Utility

Handy Linux program that summarizes info on CPU(s)

> lscpu

Architecture: x86 64

CPU op-mode(s): 32-bit, 64-bit

Byte Order: Little Endian Address sizes: 36 bits physical,

48 bits virtual

CPU(s):

Vendor ID: GenuineIntel

CPU family: Model: 58

Model name:

Intel(R) Core(TM) i7-3667U CPU @ 2.00GHz

I.1d cache: 64 KiB I.1i cache: 64 KiB L2 cache: 512 KiB 4 MiB L3 cache:

Vulnerability Meltdown: Mitigation; ...

Vulnerability Spectre v1: Mitigation ... . . .

#### Detailed Hardware Info

Files under /sys/devices/... show hardware info (caches)

> cd /sys/devices/system/cpu/cpu0/cache/ > 1s

index0 index1 index2 index3 ...

> ls index0/

number\_of\_sets type level size

ways of associativity ...

> cd index0

> cat level type number\_\* ways\_\* size 1 Data 64 8 32K

> cd ../index1

> cat level type number \* ways \* size 1 Instruction 64 8 32K

> cd ../index3

> cat level type number\_\* ways\_\* size

3 Unified 8192 20 10240K

29

### Disks: Persistent Block Storage

- Have discussed a variety of fast memories which are small
- At the bottom of the pyramid are disks: slow but large memories
- ► These are **persistent**: when powered off, they retain information

#### Using Disk as Main Memory

- Operating Systems can create the illusion that main memory is larger than it is in reality
- ightharpoonup Ex: 2 GB DRAM + 6 GB of disk space = 8 GB Main Memory
- Disk file is called swap or a swap file
- ▶ Naturally much slower than RAM so OS will try to limit its use
- ▶ A Virtual Memory system manages RAM/Disk as main memory, will discuss later in the course

## Flavors of Permanent Storage

- ▶ Permanent storage often referred to as a "drive"
- ► Comes in many variants but these 3 are worth knowing about in the modern era
  - 1. Rotating Disk Drive
  - 2. Solid State Drive
  - 3. Magnetic Tape Drive
- Surveyed in the slides that follow

## Ye Olde Rotating Disk

- Store bits "permanently" as magnetized areas on special platters
- Magnetic disks: moving parts → slow
- ► Cheap per GB of space

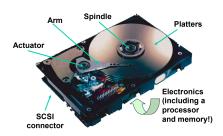
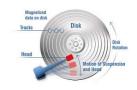


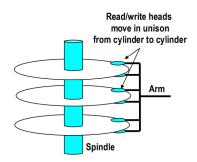
Image courtesy of Seagate Technology

Source: CS:APP Slides

### HARD DRIVE DATA READ & WRITE OPERATION MOTION DIAGRAM



Source: Realtechs.net



### Rotating Disk Drive Features of Interest

#### Measures of Quality

- Capacity: bigger is usually better
- ► Seek Time: delay before a head assembly reaches an arbitrary track of the disk that contains data
- ▶ Rotational Latency: time for disk to spin around to correct position; faster rotation → lower Latency
- Transfer Rate: once correct read/write position is found, how fast data moves between disk and RAM

#### Sequential vs Random Access

Due to the rotational nature of Magnetic Disks...

- Sequential reads/writes comparatively FAST
- Random reads/writes comparatively very SLOW

#### Solid State Drives

- ightharpoonup No moving parts ightharpoonup speed
- Most use "flash" memory, non-volatile circuitry
- Major drawback: limited number of writes, disk wears out eventually



- Reads faster than writes
- Sequential somewhat faster than random access

#### Expensive:

A 1TB internal 2.5-inch hard drive costs between \$40 and \$50, but as of this writing, an SSD of the same capacity and form factor starts at \$250. That translates into

- 4 to 5 cents/GB for HDD
- 25 cents/GB for the SSD.

PC Magazine, "SSD vs HDD" by Tom Brant and Joel Santo Domingo March 26, 2018

## Tape Drives

Slowest yet: store bits as magnetic field on a piece of "tape" a la 1980's cassette tape / video recorder

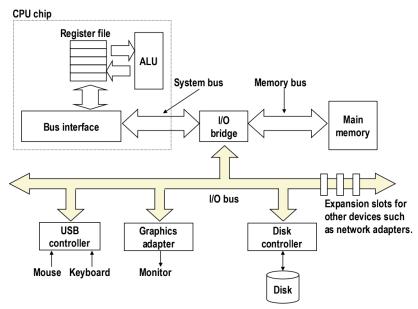


- Extremely cheap per GB so mostly used in backup systems
- Ex: CSELabs does nightly backups of home directories, recoverable from tape at request to Operator





# The I/O System Connects CPU and Peripherals

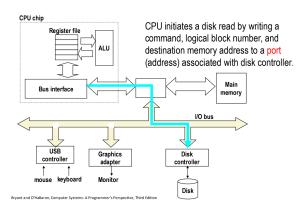


### **Terminology**

- Bus A collection of wires which allow communication between parts of the computer. May be serial (single wire) or parallel (several wires), must have a communication protocol over it.
- Bus Speed Frequency of the clock signal on a particular bus, usually different between components/buses requiring interface chips
  - CPU Frequency > Memory Bus > I/O Bus
- Interface/Bridge Computing chips that manage communications across the bus possibly routing signals to correct part of the computer and adapting to differing speeds of components
- Motherboard A printed circuit board connects to connect CPU to RAM chips and peripherals. Has buses present on it to allow communication between parts. Form factor dictates which components can be handled.

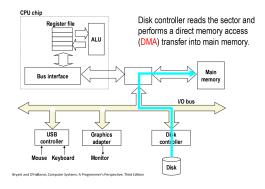
# Memory Mapped I/O

- Modern systems are a collection of devices and microprocessors
- CPU usually uses memory mapped I/O: read/write certain memory addresses translated to communication with devices on I/O bus



### **Direct Memory Access**

- Communication received by other microprocessors like a Disk Controller or Memory Management Unit (MMU)
- Other controllers may talk: Disk Controller loads data directly into Main Memory via direct memory access

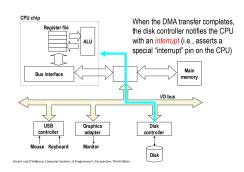


## Interrupts and I/O

#### Recall access times

Place	Time
L1 cache	0.5 ns
RAM	100 ns
Disk	10,000,000 ns

- While running Program X, CPU reads an int from disk into %rax
- Communicates to disk controller to read from file
- Rather than wait, OS puts Program X to "sleep", starts running program Y



- When disk controller completes read, signals the CPU via an interrupt, electrical signals indicating an event
- OS handles interrupt, schedules Program X as "ready to run"

### Interrupts from Outside and Inside

- Examples of events that generate interrupts
  - ► Integer divide by 0
  - ► I/O Operation complete
  - Memory address not in RAM (Page Fault)
  - User generated: x86 instruction int 80
- Interrupts are mainly the business of the Operating System
- Usually cause generating program to immediately transfer control to the OS for handling
- When building your own OS, must write "interrupt handlers" to deal with above situations
  - ▶ Divide by 0: **signal** program usually terminating it
  - I/O Complete: schedule requesting program to run
  - Page Fault: sleep program until page loaded
  - User generated: perform system call
- User-level programs will sometimes get a little access to interrupts via signals, a topic for CSCI 4061