# Parallel Sorting

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# Logistics

## Today

► Parallel Sorting: Quicksort

### Reading: Grama Ch 9

- Sorting
- ► Focus on 9.4: Quicksort

# Sorting

- Much loved computation problem
- What is the best complexity of general purpose (comparison-based) sorting algorithms?
- What are some algorithms which have this complexity?
- What are some other sorting algorithms which aren't so hot?
- What issues need to be addressed to parallelize any sorting algorithm?

# Parallel Sorting Base algorithm

Prospects of parallelizing standard  $O(N\log N)$  sorting algorithms...

### Heap Sort

- Manipulates a global array
- Very serial in nature: repeatedly percolate array elements up heap, swap to end of heap, repeat
- Random access to entire array is a must, not good for distributed memory

### Merge Sort

- Has a nice recursive decomposition, but...
- Merging two sorted arrays on separate processors to produce a larger array would involve prohibitive communication
- Will look later at Odd-Even sort which has a similar flavor

This leaves the king of sorting for a parallel implementation...

4

## Partition and Quicksort

- ▶ Quicksort has  $O(N \log N)$  average complexity
- ▶ In-place, low overhead sorting, recursive

#### **Partition**

- Select a pivot value
- Rearrange elements so
  - ▶ Left array is ≤ pivot
  - Right array is > pivot
  - pivot is in "middle"

```
# A is an array, lo/hi are
# inclusive boundaries
algorithm partition(A, lo, hi):
  pivot := A[hi]
  boundary := lo
  for j = lo to hi do
    if A[j] <= pivot then
      swap A[boundary], A[j]
    boundary++
  swap A[boundary], A[hi]
  return boundary</pre>
```

#### Quicksort

- Partition into two parts
- Recurse on both halves
- Bail out when boundaries lo/hi cross

```
algorithm quicksort(A, lo, hi):
  if lo < hi then
   p = partition(A, lo, hi)
   quicksort(A, lo, p - 1)
   quicksort(A, p + 1, hi)</pre>
```

# Practical Parallel Sorting Setup

 Input array A of size N is already spread across P processors (no need to scatter)

```
P0: A[] = { 84 31 21 28 }
P1: A[] = { 17 20 24 84 }
P2: A[] = { 24 11 31 99 }
P3: A[] = { 13 32 26 75 }
```

► Goal: Numbers sorted across processors. Smallest on P0, next smallest on P1, etc.

```
P0: A[] = { 11 13 17 20 }
P1: A[] = { 21 24 24 26 }
P2: A[] = { 28 31 32 33 }
P3: A[] = { 75 84 84 99 }
```

- Want to use P processors as effectively as possible
- Favor bulk communication over many small messages

### Exercise: Parallel Quicksort

- Find a way to parallelize quicksort
- ► Hint: The last step is each processor sorting its own data using a serial algorithm. Try to arrange data so this is possible.

```
START:
                                             SERTAL ALGORITHM
P0: A[] = \{ 84 \ 32 \ 21 \ 28 \}
                                             algorithm quicksort(A, lo, hi) is
P1: A[] = \{ 17 20 25 85 \}
                                              if lo < hi then
P2: A[] = \{ 24 \ 11 \ 31 \ 99 \}
                                                p := partition(A, lo, hi)
                                                quicksort(A, lo, p - 1)
P3: A[] = { 13 32 26 75 }
                                                quicksort(A, p + 1, hi)
GOAL
P0: A[] = \{ 11 \ 13 \ 17 \ 20 \}
                                             algorithm partition(A, lo, hi) is
P1: A[] = \{ 21 \ 24 \ 25 \ 26 \}
                                               pivot := A[hi]
P2: A[] = \{ 28 \ 31 \ 32 \ 33 \}
                                               boundary := lo
P3: A[] = \{ 75 84 85 99 \}
                                               for j := lo to hi - 1 do
                                                 if A[j] <= pivot then
                                                   swap A[boundary] with A[j]
                                                   boundary++
                                               swap A[boundary] with A[hi]
                                               return boundary
```

# **Answers**: Parallel Quicksort Ideas 1 / 3

- Select a global shared Pivot value and broadcast to all procs
- Select pivot so that half data elements got to lower processors, half got to higher processors
- ▶ Redistribute low data to low procs, high data to high procs
- Split procs into low / high group, and recurse
- When each proc is on its own, sort locally

# **Answers**: Parallel Quicksort Ideas 2 / 3

```
A [] = \{ 84 \ 32 \ 21 \ 11 \ | \ 17 \ 20 \ 25 \ 85 \ | \ 24 \ 28 \ 31 \ 99 \ | \ 13 \ 33 \ 26 \ 75 \ \}
         PΩ
                        P1
                                        P2
                                                        P.3
Partition(pivot=26) on each processor
A[] = { 21 11 84 32 | 17 20 25 85 | 24 28 31 99 | 13 26 33 75 }
Boundary:
Counts: P0: 2
                     P1: 3
                                       P2: 1
                                                       P3: 2
Calculate which data goes where
A[] = { 21 11 84 32 | 17 20 25 85 | 24 28 31 99 | 13 26 33 75 }
         PO PO P2 P2 PO PO P1 P2 P1 P2 P3 P3 P1 P1 P3 P3
Re-arrange so values <= 26 on PO and P1, > 26 on P2 and P3
A[] = { 21 11 17 20 | 25 24 13 26 | 84 32 85 28 | 31 99 33 75 }
         PΩ
                        P1
                                        P2
                                                        P.3
Split the world: 2 groups
A [] = \{ 21 \ 11 \ 17 \ 20 \ | \ 25 \ 24 \ 13 \ 26 \} | \{ 84 \ 32 \ 85 \ 28 \ | \ 31 \ 99 \ 33 \ 75 \ \}
         P0
                        P1
                                                        Р3
```

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# **Answers**: Parallel Quicksort Ideas 3 / 3

```
Each half partitions on different pivot value
          PO-P1: Partition(pivot=20) P2-P3: Partition(pivot=33)
A[] = \{ 11 \ 17 \ 20 \ 21 \ | \ 13 \ 25 \ 24 \ 26 \} | \{ 28 \ 32 \ 84 \ 85 \ | \ 31 \ 33 \ 99 \ 75 \ \}
Boundary:
Counts: PO: 3
                          P1: 1
                                           P2: 2
                                                               P3: 2
Calculate which data goes where
A[] = \{ 11 \ 17 \ 20 \ 21 \ | \ 13 \ 25 \ 24 \ 26 \} | \{ 28 \ 32 \ 84 \ 85 \ | \ 31 \ 33 \ 99 \ 75 \ \}
          PO PO PO P1 PO P1 P1 P1 P2 P2 P3 P3 P2 P2 P3 P3
Re-arrange values to proper processors
A [] = \{ 11 \ 17 \ 20 \ 13 \ | \ 21 \ 25 \ 24 \ 25 \} | \{ 28 \ 32 \ 31 \ 33 \ | \ 84 \ 85 \ 99 \ 75 \ \}
          P0
                            P1
                                              P2
                                                                Р3
Split the world: 4 groups
A[] = \{ 11 \ 17 \ 20 \ 13\} | \{21 \ 25 \ 24 \ 25\} | \{28 \ 32 \ 31 \ 33\} | \{84 \ 85 \ 99 \ 75 \ \}
          PΩ
                            P1
                                              P2
                                                                P.3
4 groups == 4 processors, all processors sort locally
A[] = \{ 11 \ 13 \ 17 \ 20\} | \{21 \ 24 \ 25 \ 25\} | \{28 \ 31 \ 32 \ 33\} | \{75 \ 84 \ 85 \ 99 \ \}
          P0
                            P1
                                              P2
                                                                P3
```

## Quicksort Difficulties

#### Communication

- Determine which data go to which processors, how many send/receives are required
- Opportunity for all-to-all communications in MPI

### Recursing

- Recursive step of algorithm requires smaller "worlds"
- Use MPI's communicator splitting capability

#### Pivot Value Selection

- In example, pivot values were cherry-picked to get even distribution of data among processors
- A bad pivot splits data unevenly, is annoying for serial Quicksort, shaves off processors in parallel quicksort destroying efficiency

#### All-to-All Personalized Communication

All-to-all personalized communication: like every processor scattering to every other processor.

```
BEFORE

P0: send[] ={A0, B0, C0, D0} recv[] = { -, -, -, -, }

P1: send[] ={A1, B1, C1, D1} recv[] = { -, -, -, -, -, }

P2: send[] ={A2, B2, C2, D2} recv[] = { -, -, -, -, -, }

P3: send[] ={A3, B3, C3, D3} recv[] = { -, -, -, -, -, -, }

MPI_Alltoall(...);

AFTER

P0: send[] ={A0, B0, C0, D0} recv[] = {A0, A1, A2, A3}

P1: send[] ={A1, B1, C1, D1} recv[] = {B0, B1, B2, B3}

P2: send[] ={A2, B2, C2, D2} recv[] = {C0, C1, C2, C3}

P3: send[] ={A3, B3, C3, D3} recv[] = {D0, D1, D2, D3}
```

## MPI\_Alltoall

- Standard version: every processor gets a slice of sendbuf, same sized data
- Vector version allows different sized slices (appropriate for quicksort)

```
int MPI_Alltoall(
  void *sendbuf, int sendcount, MPI_Datatype sendtype,
  void *recvbuf, int recvcount, MPI_Datatype recvtype,
  MPI_Comm comm);

int MPI_Alltoallv(
  void *sendbuf, int sendcounts[], int sdispls[], MPI_Datatype sendtype,
  void *recvbuf, int recvcounts[], int rdispls[], MPI_Datatype recvtype,
  MPI_Comm comm);
```

## Exercise: Redistribution during Quicksort

- After partition, procs will redistribute data via all-to-all
- Perform All-Gather to get counts in table to the right

Element	; (	vs Pivot					
Proc		<=	>	1			
	+-	+		-			
PO		2	2	1			
P1	1	3	1	1			
P2	1	1	3	1			
P3	1	2	2	1			

#### Each Proc must calculate its own Count/Displ arrays for all-to-all:

- :	P#	•				P3						P#	
		+										:	
- 1	P0	RecvCount	2	2	1 0	0	SendCount	2	0	2	0	P0	
	P1		1 0	1	1	2		2	1	1	0	P1	
- 1	P2	l	2	1	1	0		0	1	1	2	P2	
- 1	P3	l	1 0	1 0	1 2	2		0	2	0	2	P3	
- 1		l	I	I	1				I	I	- 1	- 1	
- 1	P0	RecvDispl	1 0	1 2	4	4	SendDispl	0	0	2	0	PO	
- 1	P1	·	1 0	1 0	1	2		0	2	3	4	P1	
- 1	P2	l	1 0	1 2	3	4		0	0	1	2	P2	
- 1	P3	l	0	0	0	2		0	0	2	2	P3	

- Describe the process of calculating RecvCount
- Given RecvCount, how can one calculate RecvDispl

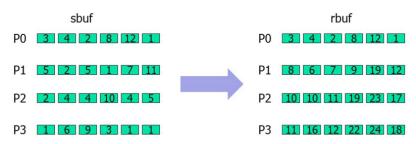
# **Answers**: Redistribution during Quicksort

- ▶ RecvCount can be calculate through an iterative process
- Compute the prefix sum of below/above pivot counts

- Know each proc stores N / P = 4 elements
- ▶ Procs receiving <= pivot, proc # i, scan column 0 for</p>
  - ► First partner is proc F where PS[F,0] <= 4\*i
  - ► Last partner is proc L where PS[L,0] >= 4\*(i+1)
- Procs receiving > pivot, proc # i, scan column 1 for
  - ► First partner is proc F where PS[F,1] <= 4\*(i-2)
  - Last partner is proc L where PS[L,1] >= 4\*(i-2+1)
- Actual code will need to do additional arithmetic (e.g. P1 receives 1 element from itself)
- RecvDispl is the prefix sum of RecvCount

# Prefix Sums / Scan

Prefix Sums or Prefix Scans are supported in parallel via MPI



- Similar to reduction but only add on values from procs <= proc\_id</p>
- op can be sum/max/min/etc.
- ▶ In simple Quicksort implementations, don't use parallel prefix scan as this does not yield enough info to calculate send/receive partners

### Overall Flow

- 1. Pivot selection (open question how to do this right)
- 2. Broadcast of pivot value
- 3. Each processor partition's its data
- 4. All-gather to get element/pivot counts
- 5. Calculate send/receives
- Redistribute data via MPI\_Alltoallv()
- 7. And then...

# Splitting the World

- comm is the old communicator (start with MPI\_COMM\_WORLD)
- color is which sub-comm to go into
  - Colors 0,1 splits into 2 communicators
  - Colors 0,1,2,3 splits into 4 communicators
  - ► Etc.
- key establishes rank in new sub-comm, usually proc\_id
- newcomm is filled in with a new communicator
- Examine 04-mpi-code/comm\_split.c
- ▶ In Quicksort, new comm is different for lower/upper procs

#### Exercise: Pivot Selection

- ▶ So far have assumed a "good" pivot can be found
- Pivot evenly splits N/2 data, half to lower # processors, half to upper

Discuss the following questions with a neighbor

- 1. What if the pivot is poorly selected? E.g. 1/4 below pivot, 3/4 above? Could the algorithm adapt?
- 2. How could one avoid a bad pivot? Discuss some strategies
- 3. Is there a way to avoid recusing entirely?

# **Answers**: Pivot Selection 1/2

Discuss the following questions with a neighbor

- 1. What if the pivot is poorly selected? E.g. 1/4 below pivot, 3/4 above? Could the algorithm adapt?

  With some additional computation, can split the world unevenly: 1/4 procs assigned to "low" numbers, 3/4 to "high" numbers. Still broken if a tiny fraction of the array is lower/higher than the pivot: should just try another pivot at that point or use a scheme that prevents poor pivot selection.
- 2. How could one avoid a bad pivot? Discuss some strategies

  Lots of these exist, some mentioned in the textbook such
  as having a randomly selected processor compute its median and broadcast it as the pivot (main text of Grama)
  or have processors sample random elements, perform AllGather, then compute a median as the common pivot
  (Grama Exercise 9.21).

# **Answers**: Pivot Selection 2/2

3. Is there a way to avoid recusing entirely, e.g. single multiway pivot?

Grama Exercise 9.20 explores this:

- lacktriangle Each proc samples elements, often around  $\log(N)$  elements, and procs perform an All-Gather
- ▶ All procs use common sample to select P − 1 common pivots.
- Elements between pivots are sent directly to final destination procs in an All-to-All communication.
- Local sorting commences.

In short: With 4 procs, estimate quartile boundaries based on sampling, give bottom 25% of elements to Proc 0, etc. and sort locally.

#### **Bubble Sort**

- Classic CS1 Sorting Algorithm
- Several variants that improve on given pseudocode
  - Limit inner loop bound i<N-1-r</p>
  - Terminate when sorted order detected
- Stated version is obviously  $O(N^2)$  complexity
- ► Not a lot of room for parallelism...
- But a variant of this DOES have room for parallelism

```
void bubble_sort(A[]) {
 N = length(A[])
 for(r=0; r < N-1; r++){
    for(i=0; i < N-1; i++){
      compare_exchange(A, i, i+1);
void compare_exchange(A[], i, j){
  if(A[i] > A[j]){
    temp = A[i]
    A[i] = A[i]
    A[j] = temp
```

### Exercise: Odd-Even Sort

- Variant of bubble sort which splits bubbling into odd/even phases
- $ightharpoonup O(N^2)$  complexity of serial algorithm
- There is potential for parallelism here: what is it?
  - Consider simple case where each P=N: each proc hold a single number
  - What can be parallelized and how?

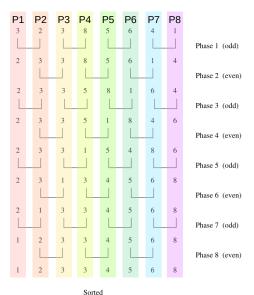
```
void odd even sort(A[]) {
 N = length(A[])
 for (r=0 to N-1) {
    if(r is even){
      for(i=0; i<N-1; i+=2){
        compare_exchange(A, i, i+1);
    if(r is odd){
      for(i=1; i<N-1; i+=2){
        compare_exchange(A, i, i+1);
void compare_exchange(A[], i, j){
  if(A[i] > A[j]){
    temp = A[i]
    A[i] = A[j]
    A[j] = temp
```

### Answers: Odd-Even Sort

### What can be parallelized and how?

- Suppose each of N elements is stored on P processors in a line/ring with N = P
- ➤ The inner loops of compare\_exchange() can be executed in parallel as it involves communication between 2 procs to potentially exchange elements but only with a single partner.
- Even iterations, lower evens exchange with higher odds
- Odd iterations lower odds exchange with higher evens
- ► Exchange can be done via a Send/Receive of elements and then "keeping" the appropriate element, min on lower proc, max on higher proc

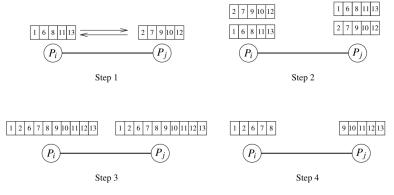
### Answers: Odd-Even Sort



**Figure 9.13** Sorting n=8 elements, using the odd-even transposition sort algorithm. During each phase, n=8 elements are compared.

#### Odd-Even Sort with N > P

- As before, unrealistic to have P=N, rather each proc holds N/P elements of the array A[]
- COMPARE\_EXCHANGE() becomes COMPARE\_SPLIT()



**Figure 9.2** A compare-split operation. Each process sends its block of size n/p to the other process. Each process merges the received block with its own block and retains only the appropriate half of the merged block. In this example, process  $P_i$  retains the smaller elements and process  $P_j$  retains the larger elements.

# Analysis of Odd-Even Sort

- ▶ Initially all procs sort their local array:  $O(\frac{N}{P}\log\frac{N}{P})$
- Conduct P Outer iterations of ODD\_EVEN\_SORT()
- Each odd/even inner loop is done in parallel by all procs communicating with a neighbor
- Neighbor procs exchange ararys:  $O(t_s + t_w \frac{N}{P})$
- **Each** proc then performs a compare/split:  $O(\frac{2N}{P})$
- Overall complexity of parallel algorithm:

$$\begin{split} T_{par} &= O\left(\frac{N}{P}\log\frac{N}{P}\right) + P \times \left(O\left(t_s + t_w\frac{N}{P}\right) + O\left(\frac{2N}{P}\right)\right) \\ &= O\left(\frac{N}{P}\log\frac{N}{P}\right) + O(N) + O(N) \end{split}$$

Isoefficiency? : Reported in textbook as  $O(P2^P)$ , linear increase in P requires exponential increase in problem size to maintain efficiency. Verifying this is a good exercise.

## Sorting Extras

#### Odd-Even Sort to Shell Sort

- Allowing bigger "moves" in odd-even sort can improve practical efficiency of algorithm
- ► Shell Sort provides a mechanism for this: neighbors selected according to a "gap" scheme, less known sort with yet mysterious complexity analysis

### Sorting Hardware

- ► Grama Ch 9.1 discusses Sorting networks, specialized hardware which can implement sorting
- ▶ With N processors, can implement Bitonic Sort in a sorting network and achieve  $T_{par} = O(\log^2 N)$
- ► Hardware that implements sorting networks is not common but...
- GPUs provide interesting hardware, large numbers of procs, will revisit sorting on studying CUDA