# CSCI 2021: Basics of Hardware and CPU Architecture

Chris Kauffman

Last Updated: Fri Apr 1 11:29:45 AM CDT 2022

## Logistics

### Reading Bryant/O'Hallaron

Ch 4: Architectures

- Skimming is OK
- ► Lecture: high-level coverage

Ch 6: Memory (Next)

#### Goals

- Circuits that Compute
- Basics of Processor Arch
- Pipelining



#### Lab / HW 10

- ► Time C code using time command
- Observe strange results that raise questions
- Answer questions in lecture

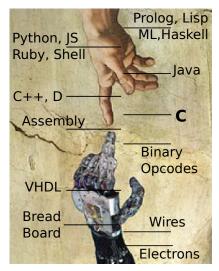
#### Project 4

- Post Next Week
- Optimization + Performance
- Use knowledge of Arch / Memory to improve / explain execution speed

# Machines that Compute

- Humans can perform algorithms, sadly slow and error-prone
- Want a machine which can do this faster with fewer errors
- Variety of machines have been built over time and technology to implement them has changed rapidly
- The following are high-level principles that haven't changed much

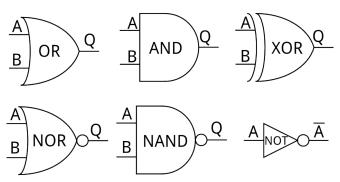
# **Pure Abstraction**



**Bare Metal** 

## Logic Gates

- Abstract physical device that implements a boolean function
- May be implemented with a variety of components including transistors, vacuum tubes, mechanical devices, and water pressure
- Physical implementations have many trade-offs: cost, speed, difficulty to manufacture, wetness



4

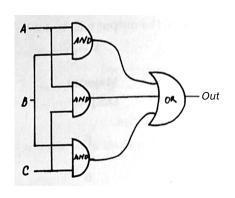
#### Combinatorial Circuits

- Combination of wires/gates with output solely dependent on input
- No storage of information involved / stateless
- Distinguished from sequential circuits which involve storage
- Can compute any Boolean functions of inputs
  - ▶ Set inputs as 0/1
  - After a delay, outputs will be set accordingly
- Examples: AND, OR, NOT are obvious

# Exercise: Example Combinatorial Circuit

Calculate the Truth Table for the circuit

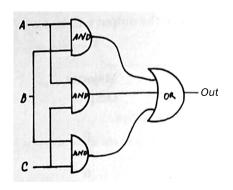
Α	В	С	Out
0	0	0	?
0	0	1	?
0	1	0	?
0	1	1	?
1	0	0	?
1	0	1	?
1	1	0	?
_1	1	1	?



► Speculate on the "meaning" of this circuit

# Answer: Example Combinatorial Circuit

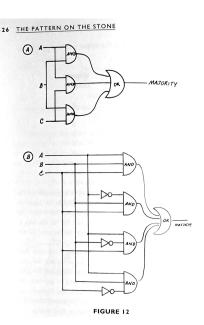
Α	В	C	Out
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	1



A "majority" circuit: Out is 1 when two or more of A,B,C are 1

# Exercise: Comparing Majority-3 Circuits

- Both upper and lower circuits implement Majority-3: Same truth table
- Which is better?
- What criteria for "better" seems appropriate?

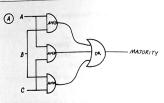


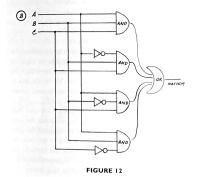
# **Answer**: Comparing "Majority-3" Circuits

Criteria	Upper	Lower
Gate Kinds	2	3
Gate Count	4	8
Gate "Depth"	2	3
"Scalability"	Low	High

- "Scalability" is not well-defined, roughly how to "scale up" to majority 64
- ► Hardware designers spend time trying to design "better" circuits where "better" involves many criteria

#### 26 THE PATTERN ON THE STONE

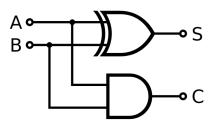




#### Adders

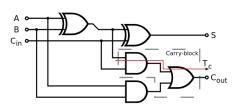
- Obviously want computers to add stuff
- An adder is a circuit that performs addition

#### 1-bit Half Adder



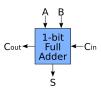
- "Adds" A and B
- S is the sum
- C is the carry
- Construct a Truth Table for the circuit

#### 1-bit **Full** Adder

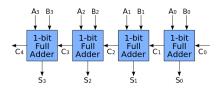


- ightharpoonup "Adds" A, B, and  $C_{in}$
- S is the sum
- $ightharpoonup C_{out}$  is the carry out
- Carry In/Out used to string adders together

#### Multi-bit Addition

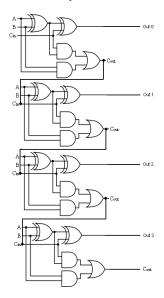


# Combine 4 full adders to get a 4-bit ripple carry adder



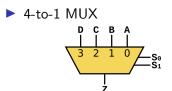
Easily extends to 32- or 64-bit adders

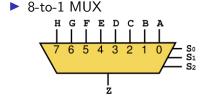
### Full Gate Layout

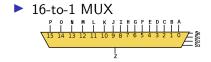


# Multiplexers: MUX

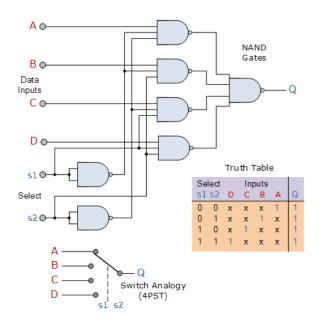
- Used to "select" output from several inputs
- $ightharpoonup 2^N$  Inputs A,B,C,...
- ► *N* selection bits S<sub>0,S1</sub>,...
- Output will be one of inputs "chosen" by selection bits
- Block diagram is a rectangle or trapezoid with inputs/outputs
- Will prove useful momentarily





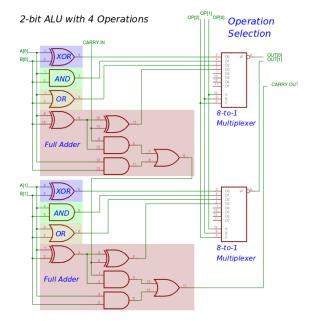


# 4-to-1 Multiplexer Circuit Diagram



- Variety of ways to design a MUX
- One shown uses NAND gates exclusively
- Note output is true when selected input is true

## Arithmetic Logic Unit ALU: Select an Operation



- Combine some gates, an adder, and a MUX
- Start having something that looks useful
- Input for multiple ops like AND, OR, XOR, ADD are simulataneously computed
- Select an "operation" with selection bits, really just selecting which output to pass through

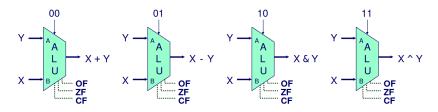
#### ALU and FLAGS

- Block diagram for ALUs are usually a wedge shape
- Along with arithmetic/logic, ALU usually produces condition codes

ZF: zero flagOF: overflow flag

SF: sign flag

Used in other parts of CPU for conditional jumps/moves



# Hardware Design in the Old Days

- Hardware design originally done by hand
- Draw all the gates, transfer it to technical drawing material, peel, send, hope to heaven that nothing gets munged...
- Required tremendous discipline, still had bugs



Ted Jenkins remembers working on the first Intel product, the 3101 64-bit RAM. Actually, the first version was only a 63-bit RAM due to a simple error peeling one layer on the rubylith  $(drawing\ medium)^1$ 

<sup>&</sup>lt;sup>1</sup>Andrew Volk, Peter Stoll, Paul Metrovich, "Recollections of Early Chip Development at Intel", Intel Technology Journal Q1, 2001

# Modern Hardware Design: Specification Languages

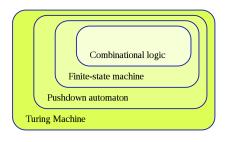
- Modern design uses hardware description languages
- Verilog and VHDL pervasive, describe **behavior** of circuit
- Synthesis: convert description to gate layout with constraints like "use only NAND"
- Verification: simulate circuit to ensure correctness
- The invention of computers greatly accelerated development of better computers

## VHDL for 4-bit ALU ^ & | +

```
library IEEE:
entity alu is
 Port(A IN : in signed(3 downto 0);
     B_IN : in signed(3 downto 0);
     OPER: in STD LOGIC VECTOR(1 downto 0);
     OUTP : out signed(3 downto 0)):
end alu:
architecture Behavioral of alu is
begin
  process(A IN, B IN, OPER)
 begin
    case OPER is
      when "00" =>
        OUTP <= A IN xor B IN: --XOR gate
     when "01" =>
        OUTP <= A IN and B IN: --AND gate
      when "10" =>
        OUTP <= A IN or B IN; --OR gate
     when "11" =>
        OUTP <= A IN + B IN; --addition
    end case:
  end process;
end Behavioral:
```

# Combinatorial vs Sequential Circuits

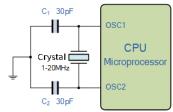
- Combinatorial circuits can do lots of things BUT don't constitute a complete programming system
- Need to represent state: store values, make future values depend on past state
- Sequential circuits introduce the notion of time and state to allow actual computation
- ► Most actual machines are state machines in some class like push-down automata or Turing machines (studied in 2011 and 4011)



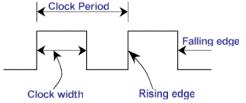
The class of problems that can be solved grows with more powerful machines.

#### Clock Circuits

- ► To move beyond combinatorial circuits, need a way to measure time
- A Clock Circuit does this
- Provides an oscillating signal of high/low voltages at a fixed frequency

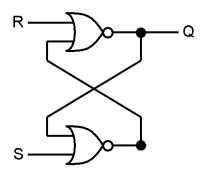


- Physical device: often quartz crystal which contracts when voltage is applied (electrostriction), expands when released
- Manufactured to have different periods/frequencies
- Circuitry attached to crystal causes oscillation at crystal's resonant frequency; circuitry can increase/decrease output frequency



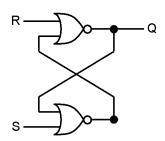
# A Strange Circuit: SR Latch

- ► This one should **bug you** a little why?
- Try computing a Truth Table for it...



# A Strange Circuit: SR Latch

- SR Latch uses feedback to store one bit which is output as Q
- Truth Tables less relevant than State Transition
   Table
- Shows what the next state will be based on previous state
- ► Inputs and Outputs
  - ► S is for "SET"
  - ► R is for "RESET"
  - Q is current stored value
  - Q<sub>next</sub> is new stored value



#### State Transition Table

S	R	$Q_{next}$	Action
0	0	Q	hold state
0	1	0	reset
1	0	1	set
1	1	Χ	not allowed

# Storage via Latches $\approx$ Flip-Flops

Specific combinations of latches yield the following nice properties

- Store a bit of information so long as power is supplied (not shown in diagrams)
- Constantly output the stored bit
- Change the bit on certain inputs
- Only change stored bit during the rising edge of an input signal - the clock tick
- Often referred to as a Flip Flop, commonly a rising edge flip-flop<sup>2</sup>
- Latches/Flip Flops can serve as a basis registers

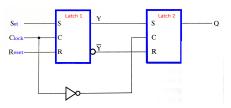
<sup>&</sup>lt;sup>2</sup>There is no agreement on whether latches and flip-flops are the same or different so take care to understand context if going deeper. Relation above is adopted from some textbooks on digital design.

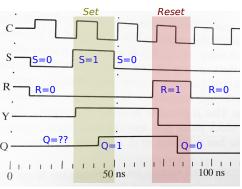
# **Example**: Master Slave SR Flip-Flop and Timing

- Shows how a flip-flop (combination of two latches) stores a bit
- ► Set to 1: S=1, R=0
- ► Set to 0: S=0, R=1

#### State Transition Table

S	R	$Q_{next}$	Action
0	0	Q	hold state
0	1	0	reset
1	0	1	set
1	1	Χ	not allowed



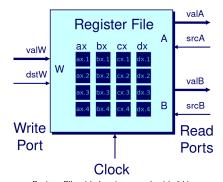


# Registers: a form of Static RAM (SRAM)

- Combine 4 flip-flops (each storing one bit) and one has an 4-bit register: circuitry that holds a changeable multi-bit quantity
- Combine more flip-flops to get larger registers, 8- 16-32- 64-bit
- Combine several registers with some access control circuitry (multiplexers) and one has a register file containing %rax %rbx ... %r15

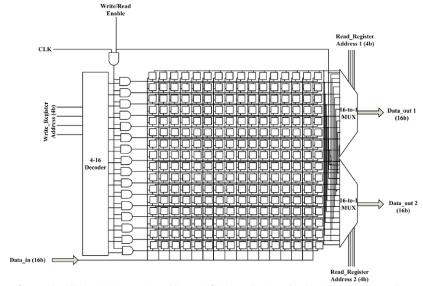
# Typical register file allows simultaneous

- read from two regs
- write to one reg



Register File with 4 registers, each with 4 bits

# Register File with 16 Regs X 16 Bits + I/O



Source: Mostafa Khatib "Aging Analysis of Datapath Sub-blocks Based on CET Map Model for Negative Bias Temperature Instability (NBTI)", Masters of Science Thesis, Center for Materials and Microsystems, Trento, Italy January 2014

# Other Registers/CPU Memory of Note (SRAM)

### Instruction Memory/Cache

Fast access to binary opcodes of program text

## Program Counter (rip)

Position in instruction memory

#### Intermediate Results

For internal communication between different parts of the CPU to facilitate pipelining, usually accessible in assembly language

## Some Memory Caches

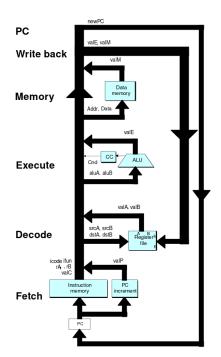
Small, fast cache of main memory close to the cpu has similar circuitry to register file

#### **NOT** Main Memory

- ▶ While fast, **SRAM** is expensive in terms of transistors/space
- ▶ DRAM (dynamic RAM) is slower but compact and cheap enough to scale to gigabytes (will discuss DRAM soon)

#### The Full Shebang

- Connect an Clock, ALU, and Register file, and you've got a quasi-computer
- Add some instruction decoding, a place to store instructions, and perhaps some main memory and a full computer is born
- Must specify exact encoding of instructions so that signals between gates/units are routed correctly
- Note that processor design to the right is broken into stages to help understanding



## Exercise: Timing Problems

- Each gate creates a delay: time before output to stabilizes based on new inputs
- ▶ Inputs are "allowed" to change on the clock signal's rising edge
- Simplest sequential implementation sets clock frequency slow enough for outputs to stabilize each cycle (tick)
- Easy to do, but... it's **slow**

## Increasing Efficiency

Propose **two ways** that a complex, multi-part process can be completed faster

- Draw from experience/knowledge
- Think manufacturing, group projects, car wash, Chipotle...

# **Answer**: Timing Problems

General solutions to process speed are familiar to all of us
Assembly Line Multiple Resources



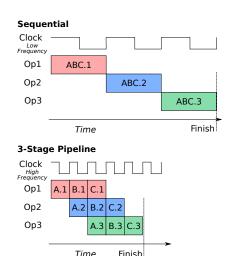
- Break single instruction into multiple "stages" which must all complete
- Pipelined processors execute stages simultaneously



- Implement multiple functional units and do instructions in parallel
- Superscalar processors (and parallel processors)

# Pipelining for Efficiency

- Break up processor into "stages" which feed into each other
- Individual instructions like addl %ecx, %eax go through each stage
- Instruction completes (retires) when all stages complete
- Begin next instruction when previous clears first stage
- Some multi-cycle operations like multiplication may be pipelined as well



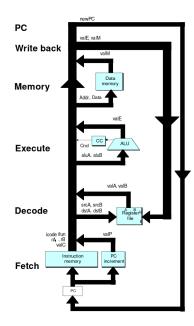
## Y86-64: Textbook Processor SEQ vs PIPE

- Textbook discusses 5-stages of a simple CPU design
  - Fetch next PC
  - 2. Decode instruction
  - 3. Execute instruction
  - 4. Main Memory operations
  - 5. Write-back to register file
- Diagrams and Hardware Description Language for
  - SEQ: sequential implementation
  - PIPE: pipelined version of processor

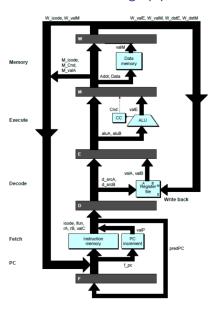
#### PIPE Version

- Each of 5 stages happens in parallel
- Up to 5 instructions in flight
- Introduces internal registers to facilitate pipeline

#### Y86-64 SEQ sequential



#### Y86-64 PIPE 5-stage pipeline



# Pipelines Aren't All that and a Bag of Chips

- Pipelining is effective with predictable control flow and independent instructions
- ► Cases exist in which this doesn't play out: pipeline hazards

### Data Interdependencies

```
# INDEPENDENT
imull $3, %eax # mul and add
addl $1, %edx # different reg

# DEPENDENT: "Hazard"
imull $3, %eax # mul and add
addl $1, %eax # same reg
```

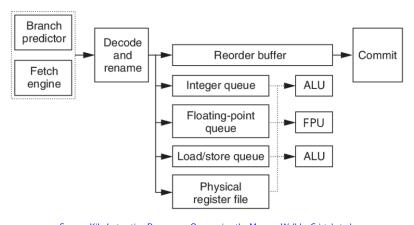
- Dependencies between register results break the pipeline
- Must serialize instructions (sequential execution)

## Branching

```
.LOOP:
addl %edx,%eax
addl $1, %ecx
cmp %esi,%ecx
jl .LOOP # which instruction
popq %rbx # next? "hazard"
```

- Modern Processors use branch prediction to guess the next instruction
- Incorrect guesses lead to restarting the pipeline

# Superscalar Block Diagram



Source: Kilo-Instruction Processors: Overcoming the Memory Wall by Cristal et al.

Note several ALUs, separate queues for different instructions, asynchronous execution of instructions

# Superscalar Processing

- Modern processors may have several functional units to do arithmetic, logic, other ops
- Allows instruction-level parallelism: do two things simultaneously
- Example:

```
# SEQ 1: Multiply only
imull $3, %eax
```

# SEQ 2: Multiply and Add imull \$3, %eax addl \$5, %edx

- ► SEQ 1 and SEQ 2 may take the same amount of time
- Separate mult/add units used simultaneously

- Instruction parallelism automatically done at the hardware level leading to naming conventions for processors:
  - "Scalar": sequential only, one thing at a time
  - "Superscalar": automatic instruction parallelism, no explicit control
  - "Parallel": explicit instructions that do multiple things simultaneously
- Modern processors are an amalgam of the above

#### Modern Processors are Weird

### Assembly Code as an Interface

- Assembly/Binary Opcodes are a target for high level languages
- ▶ Modern processors execute these, guarantee correctness BUT make no guarantees about how or in what order
- Most use very deep pipelines which must be "fed" to keep speed high
- ► Has led to exotic processor designs with speculative and out of order execution: keep things in the pipeline
- ► This hasn't always gone well: Meltdown / Spectre

### Lab10 + HW10: Timing Arithmetic Codes

- ► Leads to surprising results
- Explainable by considering CPU is pipelined and superscalar
- Timing results vary with different CPUs

#### Additional Resources

- Building an 8-bit breadboard computer! by Ben Eater (Youtube)
  - Discusses many components we briefly touched on in more detail
  - ▶ Results in a full CPU + Memory system that you can "see"
- ► MIT 6.004 Computation Structures, Spring 2017 (Youtube)
  - ► Much deeper detail on many aspects of CPU design
  - Includes discussion of Multiplier circuits, power considerations, etc.