

# CMSC 216: UNIX File Input/Output

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# Logistics

# Announcements

## Exercise: C Standard I/O Functions

Recall basic I/O functions from the C Standard Library header `stdio.h`

1. Printing things to the screen?
2. Opening a file?
3. Closing a file?
4. Printing to a file?
5. Scanning from terminal or file?
6. Get whole lines of text?
7. Names for standard input, output, error

Give samples of function calls

## Answers: C Standard I/O Functions

Recall basic I/O functions from the C Standard Library header `stdio.h`

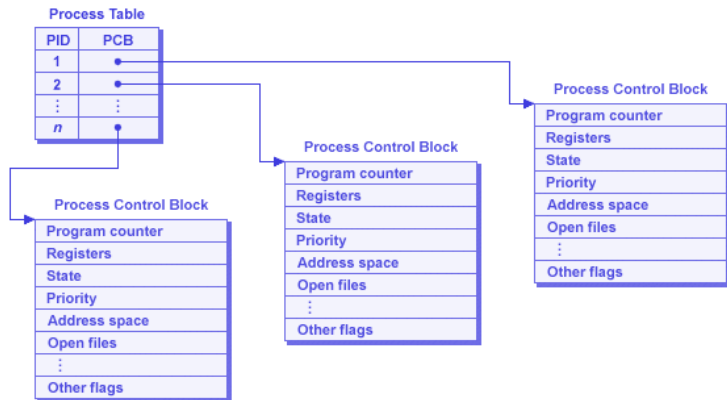
1	<code>printf("%d is a number",5);</code>	Printing things to the screen?
2	<code>FILE *file = fopen("myfile.txt","r");</code>	Opening a file?
3	<code>fclose(file);</code>	Close a file?
4	<code>fprintf(file,"%d is a number",5);</code>	Printing to a file?
5	<code>scanf("%d %f",&amp;myint,&amp;mydouble);</code> <code>fscanf(file2,"%d %f",&amp;myint,&amp;mydouble);</code>	Scanning from terminal or file?
6	<code>result = fgets(charbuf, 1024, file);</code>	Get whole lines of text?
7	<code>FILE *stdin, *stdout, *stderr;</code>	Names for standard input, etc

*The standard I/O library was written by Dennis Ritchie around 1975.*

*–Stevens and Rago, Advanced Programming for the Unix Environment*

- ▶ Assuming you are familiar with these and could look up others like `fgetc()` (single char) and `fread()` (read binary)
- ▶ Library Functions: available with any compliant C compiler
- ▶ On Unix systems, `fscanf()`, `FILE*`, and the like are backed by lower level System Calls and Kernel Data Structures

# The Process Table

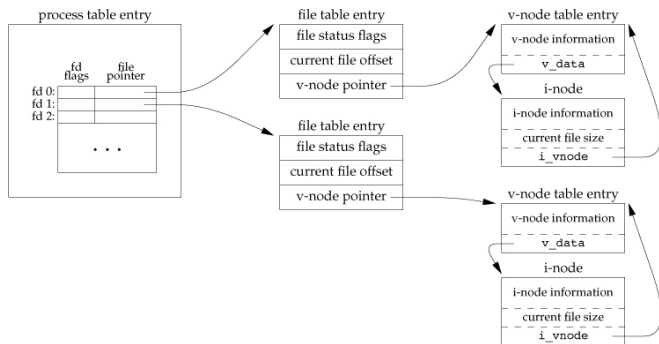


Source:

SO What is the Linux Process Table?

- ▶ OS maintains data on all processes in a Process Table
- ▶ Process Table Entry  $\approx$  Process Control Block
- ▶ Contains info like PID, instruction that process is executing\*, Virtual Memory Address Space and **Files in Use**

# File Descriptors



- ▶ Each Process Table entry contains a table of open files
- ▶ A use program refers to these via **File Descriptors**
- ▶ File Descriptor is an integer index into Kernel's table  
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- ▶ FD Table entry refers to other Kernel/OS data structures

## File Descriptors (FDs) are Multi-Purpose

- ▶ Unix tries to provide most things via files/file descriptor
- ▶ Many Unix system actions are handled via `read()`-from or `write()`-to file descriptors
- ▶ FDs allow interaction with “normal” files like `myfile.txt` or `commando.c` to read/change them
- ▶ FDs also allow interaction with many other things
  - ▶ Pipes for interprocess communication
  - ▶ Sockets for network communication
  - ▶ Special files to manipulate terminal, audio, graphics, etc.
  - ▶ Raw blocks of memory for Shared Memory communication
  - ▶ Even processes themselves have special files in the file system:  
`ProcFS` in `/proc/PID#`, provide info on running process
- ▶ We will focus on standard File I/O using FDs now and touch on some broader uses Later
- ▶ Also must discuss FD interactions with previous System Calls:  
**What happens with `open()` files when calling `fork()`?**



# Open and Close: File Descriptors for Files

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`open()` / `close()` show common features of many system calls

- ▶ Returns -1 on errors
- ▶ Show errors using the `perror()` function
- ▶ Use of vertical pipe (`|`) to bitwise-OR several options

## read() from File Descriptors

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- ▶ Read up to SIZE from an open file descriptor
- ▶ Bytes stored in `buffer`, overwrite it
- ▶ Return value is number of bytes read, -1 for error
- ▶ SIZE commonly defined but can be variable, constant, etc
- ▶ **Examine** `read_some.c`: explain what's happening

### Caution:

- ▶ Bad things happen if `buffer` is actually smaller than SIZE
- ▶ `read()` does NOT null terminate, add `\0` manually if needed

## Exercise: Behavior of read() in count\_bytes.c

Run count\_bytes.c on  
file data.txt

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1. Explain control flow  
within program
2. Predict output of  
program

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Answers: Behavior of read() in count\_bytes.c

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## Answers: Behavior of read() in count\_bytes.c

Take-Aways from count\_bytes.c include

- ▶ OS maintains **file positions** for each open File Descriptor
- ▶ I/O functions like read() use/change position **in a file**
- ▶ read()'ing into program arrays overwrites data there
- ▶ OS **does not** update positions in user arrays: programmer must do this in their program logic
- ▶ read() returns # of bytes read, may be less than requested
- ▶ read() returns 0 when at end of a file

## Exercise: `write()` to File Descriptors

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- ▶ Write up to `SIZE` bytes to open file descriptor
- ▶ Bytes taken from `buffer`, leave it intact
- ▶ Return value is number of bytes written, `-1` for error

Questions on `write_then_read.c`

- ▶ Compile and Run
- ▶ Explain Output, differences between `write()` / `printf()`

Answers: `write()` to File Descriptors

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## read()/write() work with bytes

- ▶ In C, general correspondence between byte and the char type
- ▶ Not so for other types: int is often 4 bytes
- ▶ Requires care with non-char types
- ▶ All calls read/write actual bytes

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### Questions

- ▶ Examine write\_read\_ints.c, compile/run
- ▶ Examine contents of integers.dat
- ▶ Explain what you see



# Standard File Descriptors

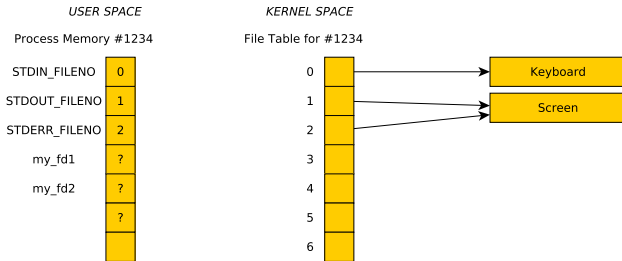
- ▶ When a process is born, comes with 3 open file descriptors
- ▶ Related to FILE\* streams in Standard C I/O library
- ▶ Traditionally have FD values given but use the Symbolic name to be safe

Symbol	#	FILE*	FD for...
STDIN_FILENO	0	stdin	standard input (keyboard)
STDOUT_FILENO	1	stdout	standard output (screen)
STDERR_FILENO	2	stderr	standard error (screen)

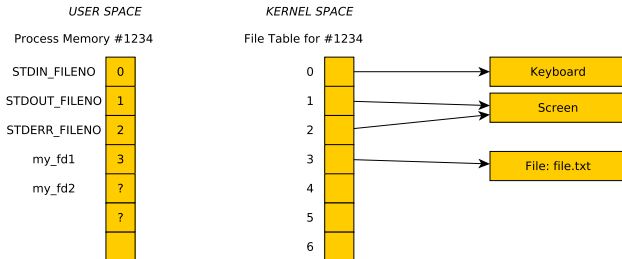
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See `low_level_interactions.c` to gain an appreciation for what `printf()` and its kin can do for you.

# File Descriptors refer to Kernel Structures

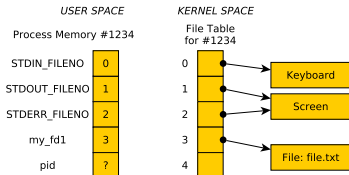


```
my_fd1 = open("file.txt", O_RDONLY);
```

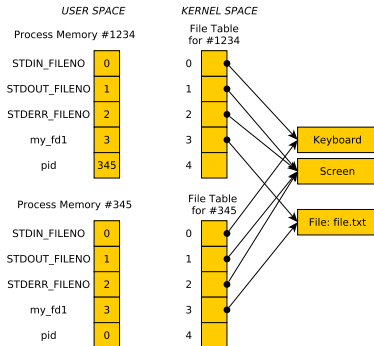


# Processes Inherit Open FDs: Diagram

**BEFORE: pid = fork();**



**AFTER: pid = fork();**



Typical sequence:

- ▶ Parent creates an output\_fd and/or input\_fd
- ▶ Call fork()
- ▶ Child changes standard output to output\_fd and/or input\_fd
- ▶ Changing means calls to dup2()

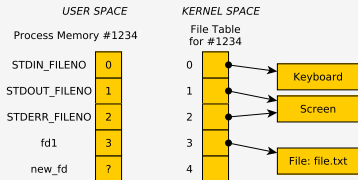
# Shell I/O Redirection

- ▶ Shells can direct input / output for programs using < and >
- ▶ Most common conventions are as follows  
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- ▶ Long output can be saved easily
- ▶ Can save typing input over and over
- ▶ Even more fun when you incorporate **Pipes to make Pipelines**
- ▶ **Goal:** Demonstrate systems calls to facilitate redirection

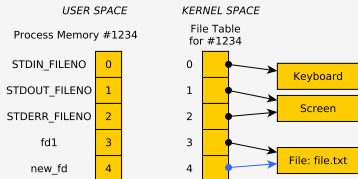
# Manipulating the File Descriptor Table

- ▶ System calls `dup()` and `dup2()` manipulate the FD table
- ▶ `int backup_fd = dup(fd);` : copy a file descriptor
- ▶ `dup2(src_fd, dest_fd);` : `src_fd` copied to `dest_fd`

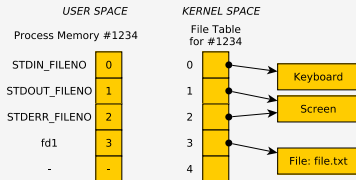
Effect of `dup()`: copy a file descriptor



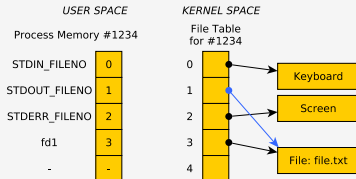
`new_fd = dup(fd1);`



Effect of `dup2()`: change entry in FD table



`dup2(fd1, STDOUT_FILENO);`  
source destination



## Exercise: Redirecting Output with dup() / dup2()

- ▶ dup(), dup2(), and fork() can be combined in interesting ways
- ▶ **Diagram fork-dup.pdf** shows how to redirect standard out to a file like a shell does in: `ls -l > output.txt`

Write a program which

1. Prints PID to screen
2. Opens a file named `write.txt`
3. Forks a Child process
4. Child: **redirect standard output** into `write.txt`  
Parent: does no redirection
5. Both: `printf()` their PID
6. Child: **restore** standard output to screen  
Parent: makes no changes
7. Both: `printf()` "All done"

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## Answers: Redirecting Output with dup() / dup2()

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# C FILE Structs Use File Descriptors in UNIX

Typical Unix implementation of standard I/O library FILE is

- ▶ A file descriptor
- ▶ Some buffers with positions
- ▶ Some options controlling buffering

From `/usr/include/bits/types/struct_FILE.h`

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## Exercise: Subtleties of Mixing Standard / Low-Level I/O

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Sample compile/run:

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- ▶ Explain output of program given input file
- ▶ Use knowledge that **buffering** occurs internally for standard I/O library

## Answers: Subtleties of Mixing Standard / Low-Level I/O

- ▶ C standard I/O calls like `printf` / `fprintf()` and `scanf()` / `fscanf()` use internal buffering
- ▶ A call to `fscanf(file, "%d", &x)` will read a large chunk from a file but only process part of it
- ▶ From OS perspective, associated file descriptor has advanced forwards / read a bunch
- ▶ The data is in a hidden “buffer” associated with a `FILE *file`, used by `fscanf()`

### Output Also buffered, Always `fclose()`

- ▶ Output is also buffered: `output_buffering.c`
- ▶ Output may be lost if `FILE*` are not `fclose()`'d: closing will flush remaining output into a file
- ▶ See `fail_to_write.c`
- ▶ File descriptors always get flushed out by OS when a program ends BUT `FILE*` requires user action
- ▶ To force output, use `fflush(some_file);`

# Controlling FILE Buffering

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Above functions change buffering behavior of standard C I/O

Examples:

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- ▶ When testing lab/project code, buffering is disabled as it makes it easier to understand some bugs

## Basic File Statistics via stat

Command	C function	Effect
stat file	int ret = stat(file,&statbuf);	Get statistics on file
	int ret = lstat(file,&statbuf);	Same, don't follow symlinks
	int fd = open(file,...);	Same as above but with
	int ret = fstat(fd,&statbuf);	an open file descriptor

Shell command stat provides basic file info such as shown below

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See stat\_demo.c for info on C calls to obtain this info

## Attributes of Files from `stat()`

`stat_demo.c` shows some attributes that may be obtained about a file after a call to `stat(filename, &statbuf)` which fills in the `statbuf` struct. Attributes include:

Attribute	Notes
Size	In bytes via <code>st_size</code> field
File Type	Via <code>st_mode</code> field and macros like <code>S_ISREG(mode)</code> Limited number of fundamental types: regular, directory, socket, etc.
Permissions	Read/Write/Execute for Owner/Group/Others via <code>st_mode</code> field
Ownership	Via <code>st_uid</code> (user) and <code>st_gid</code> (group), numeric IDs for both
Time Data	Access / Change / Modification times via <code>st_atime</code> , <code>st_ctime</code> , ...

# Permissions / Modes

- ▶ Unix enforces file security via *modes*: permissions as to who can read / write / execute each file
- ▶ See permissions/modes with `ls -l`
- ▶ Look for series of 9 permissions

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- ▶ Every file has permissions set from somewhere on creation

# Changing Permissions

Owner of file (and sometimes group member) can change permissions via `chmod`

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- ▶ `chmod` also works via octal bits (suggest against this unless you want to impress folks at parties)
- ▶ Programs specify file permissions via system calls
- ▶ Curtailed by **Process User Mask** which indicates permissions that are disallowed by the process
  - ▶ `umask` shell function/setting: `$> umask 007`
  - ▶ `umask()` system call: `umask(S_IWGRP | S_IWOTH);`
- ▶ Common program strategy: create files with very liberal read/write/execute permissions, `umask` of user will limit this

## Permissions / Modes in System Calls

`open()` can take 2 or 3 arguments

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Symbol	Entity	Sets
<code>S_IRUSR</code>	User	Read
<code>S_IWUSR</code>	User	Write
<code>S_IXUSR</code>	User	Execute
<code>S_IRGRP</code>	Group	Read
<code>S_IWGRP</code>	Group	Write
<code>S_IXGRP</code>	Group	Execute
<code>S_IROTH</code>	Others	Read
<code>S_IWOTH</code>	Others	Write
<code>S_IXOTH</code>	Others	Execute

**Compare:** `write_readable.c` VERSUS `write_unreadable.c`

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## Movement within Files, Changing Sizes

- ▶ Can move OS internal position in a file around with `lseek()`
- ▶ Note that size is arbitrary: can seek to any positive position
- ▶ File automatically expands if position is larger than current size - fills holes with 0s (null chars)
- ▶ Can manually set size of a file with `ftruncate(fd, size)`
- ▶ Examine `file_hole1.c` and `file_hole2.c`

C function	Effect
<code>int res = lseek(fd, offset, option);</code>	Move position in file
<code>lseek(fd, 20, SEEK_CUR);</code>	Move 20 bytes forward
<code>lseek(fd, 50, SEEK_SET);</code>	Move to position 50
<code>lseek(fd, -10, SEEK_END);</code>	Move 10 bytes from end
<code>lseek(fd, +15, SEEK_END);</code>	Move 15 bytes beyond end
<code>ftruncate(fd, 64);</code>	Set file to be 64 bytes big If file grows, new space is zero-filled

Note: C standard I/O functions `fseek(FILE*)` and `rewind(FILE*)` mirror functionality of `lseek()`

# Directory Access

- ▶ Directories are fundamental to Unix (and most file systems)
- ▶ Unix file system rooted at / (root directory)
- ▶ Subdirectories like bin, ~/home, and /home/kauffman
- ▶ Useful shell commands and C function calls pertaining to directories are as follows

Shell Command	C function	Effect
mkdir name	int ret = mkdir(path,perms);	Create a directory
rmdir name	int ret = rmdir(path);	Remove empty directory
cd path	int ret = chdir(path);	Change working directory
pwd	char *path = getcwd(buf,SIZE);	Current directory
ls	DIR *dir = opendir(path);	List directory contents
	struct dirent *file = readdir(dir);	Start reading filenames from dir
	int ret = closedir(dir);	Call in a loop, NULL when done
		After readdir() returns NULL

See `dir_demo.c` for demonstrations

## Optional Exercise: Code for Total Size of Regular Files

- ▶ Code which will scan all files in a directory
- ▶ Will get file statistics on each file
- ▶ Skips directories, symlinks, etc.
- ▶ Totals bytes of all Regular files in current directory

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Use techniques demoed in  
`dir_demo.c` and `stat_demo.c`  
from codepack

## Answers: Sketch Code for Total Size of Regular Files

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- ▶ Scans only current directory
- ▶ **Recursive scanning** is trickier and involves... recursion
- ▶ OR the very useful `nftw()` library function (read about this on your own if curious about systems programming)

## Extras: Processes Inherit Open FDs

- ▶ Child processes share all open file descriptors with parents
- ▶ By default, Child prints to screen / reads from keyboard input
- ▶ Redirection requires manipulation prior to `fork()`
- ▶ See: `open_fork.c`
- ▶ Experiment with order
  1. `open()` then `fork()`
  2. `fork()` then `open()`

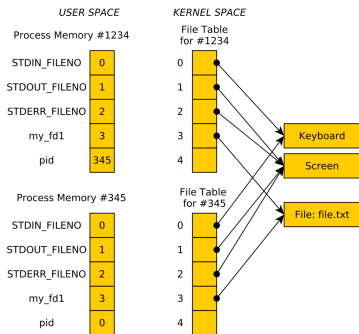
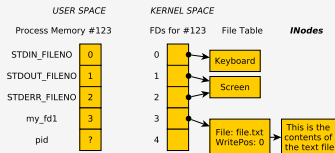


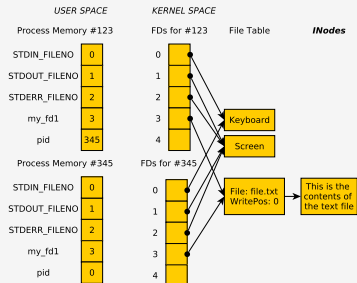
Diagram on next slide shows variations of open-then-fork vs fork-then-open from `open_fork.c`

open() normal file then call fork()

**my\_fd = open("file.txt"); // called by parent**

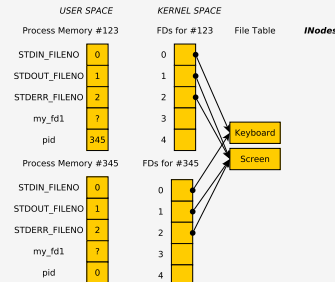


**pid = fork();**

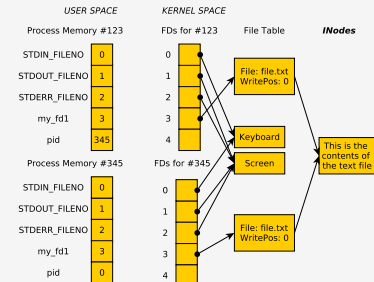


fork() then call open() normal file

**pid = fork();**



**my\_fd = open("file.txt"); // called by parent and child**



## (Review) Exercise: Regular File Creation Basics

### C Standard I/O

- ▶ Write/Read data?
- ▶ Open a file, create it if needed?
- ▶ Result of opening a file?
- ▶ Close a file?
- ▶ Set permissions on file creation?

### Unix System Calls

- ▶ Write/Read data?
- ▶ Open a file, create it if needed?
- ▶ Result of opening a file?
- ▶ Close a file?
- ▶ Set permissions on file creation?

# Answers: Regular File Creation Basics

## C Standard I/O

- ▶ Write/Read data?

```
fscanf(), fprintf()
fread(), fwrite()
```

- ▶ Open a file, create it if needed?
- ▶ Result of opening a file?

```
FILE *out =
    fopen("myfile.txt", "w");
```

- ▶ Close a file?  
`fclose(out);`
- ▶ Set permissions on file creation?  
Not possible... dictated by `umask`

## Unix System Calls

- ▶ Write/Read data?

```
write(), read()
```

- ▶ Open a file, create it if needed?
- ▶ Result of opening a file?

```
int fd =
    open("myfile.txt",
        O_WRONLY | O_CREAT,
        permissions);
```

- ▶ Close a file?  
`close(fd);`
- ▶ Set permissions on file creation?
  - ▶ Additional options to `open()`, which brings us to...