CMSC216: Exceptional Control Flow and Unix Processes

Chris Kauffman

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Logistics

Reading Bryant/O'Hallaron

Ch 8: Processes in Unix

Goals

Begin discussion of Processes

Schedule

Date	Events
Mon 21-Oct	Dis: Lab08 Demo on
	Caller/Callee Regs
	Lab07/HW07 Due
Tue 22-Oct	Lec: Assembly Wrap,
	Unix Processes
Wed 23-Oct	Dis: Open Office Hours
Thu 24-Oct	Lec: Unix Processes
Mon 28-Oct	Dis: Open Office Hours P3 Due, Lab08 Due
Tue 29-Oct	Lec: Practice Exam 2B
Wed 30-Oct	Dis: Review for Exam 2
	P3 Late Deadline
Thu 31-Oct	Exam 2

Announcements

VOTE

- ► Early voting in Maryland runs Thu 24-Oct to Thu 31-Oct
- ► Election day is Tue 05-Nov
- UMD Voting Information: https://terpsvote.umd.edu/

Shell Slayer Tutorial

See recent Piazza Post

Detailed Reading from Bryant/O'Hallaron Ch 8

- ► Textbook has detailed coverage of many aspects of Process basics in Chapter 8 Exceptional Control Flow
- Below is a detailed section reading guide for what we will be important for us

Ch	Read?	Topic
8.1	Skim	Assembly/Hardware mechanisms for "exceptional control flow"
8.2	READ	Processes as running programs, context switches, user/kernel mode
8.3	Skim	System call error handling
8.4	READ	<pre>Fundamental process system calls: fork() / waitpid() / etc.</pre>
8.5	Opt	Optional: Software Signals
8.6	Opt	Optional: Nonlocal jumps via setjmp() / longjmp()
8.7	READ	"Tools" (one paragraph, we'll discuss these in more detail in class)

Traditional vs Modern Computing Devices

- ► Old-school computers had a single executing programs which could interact freely with all parts of the computing hardware
- Modern computing devices have different expectations summarized below

Traditional	Modern
Single program on device	Multiple programs sharing single device
No Operating System	OS manages all programs
Program access to all hardware	OS controls/coordinates hardware access
Single program accesses all memory	OS isolates memories of each program
Relatively simple hardware interactions	Complex interactions of many devices
Single "user" running programs at once	Multiple users simultaneously on system
Apple II: insert disk to run program	Mac OS: Click to start another program
Apple II. Ilisert disk to full program	Mac OS. Click to Start another program

- New hardware and expectations led to new concepts
- Operating Systems: "manager" program that coordinates activities of all programs / users, manages hardware and provides abstraction layer, enforces security and fairness
- ▶ **Process**: a running program with its context

OS Kernel and Kernel Mode

- kernel (noun) 1. a softer, usually edible part of a nut, seed, or fruit stone contained within its hard shell
 - 2. the central or most important part of something.

Operating System code is usually in the **kernel**, a program that starts running when a computing system is powered on

- Kernel sets up handlers for various exceptional control flows such as hardware interrupts and system calls
- ► Most CPUs have (at least) two modes
 - 1. User / Normal mode
 - 2. Kernel / Privileged / Supervisor mode
- User programs run in user mode, cannot directly manipulate hardware or access certain resources
- Requests OS to perform some operations which jumps to kernel code running in kernel mode

Example hello64.s: Linux System Call to write data in x86-64

Processes: Running Programs

- Hardware just executes a stream of instructions
- ► The OS creates the notion of a **process**: instructions comprising a **running program**
- Processes can be executed for a while, then paused while another process executes
- To accomplish this, OS usually provides. . .
 - 1. Bookkeeping info for processes (resources)
 - Ability to interrupt / pre-empt a running process to allow OS actions to take place
 - 3. Scheduler that decides which process runs and for how long
- Will discuss all of these things from a systems programming perspective

Exceptional Control Flow

- CPUs use "regular" control flow most of the time but support several kinds of exceptional control flow
- General idea is as follows:
 - 1. Something triggers exceptional control flow
 - 2. Normal program instructions pause
 - Processor jumps to a designated set of instructions to handle the situation
 - 4. Typical handling code is in the Operating System Kernel
 - 5. After the situation is handled control may be returned to the program that was running OR something else may happen
- ► Flavors of exceptional control flow include interrupts, traps, faults, aborts, and possibly others depending on whose terminology you follow

Process Context and Context Switches



Source: Bryan/O'Hallaron Fig 8.14

- ► Exceptional Control Flow at hardware level allows high-level behaviors such as changing between processes
- ▶ OS Kernel tracks data structures associated with Processes that allows them to be paused and resumed
- Process Context includes data such as
 - Values of registers as the process is paused
 - Regions of main memory in use by process
 - Open files and other resources in use by process
- Switching between processes is a Context Switch
 - OS saves the context of Process A someplace safe
 - OS loads the context for Process B and starts it running
 - Later A's context can be loaded to resume where it left off

Exceptional Control Flow Use Cases

Enable Multiple Processes to Share the CPU

- OS sets a hardware timer
- OS Starts Process A running, A's code runs in user mode
- When timer expires ("rings"), control jumps to the OS
- OS can select Process B to run, resuming A later after B's timer expires
- Selecting a Process to run is part of the scheduler code in the OS

Hiding I/O Latency

- Process A requests to receive data from the Network (e.g. internet search result)
- ► This Input request is a **System Call**: jumps to OS code
- OS inspects the Network Interface Card (NIC), hardware responsible for network communications, and find data is not yet available for Process A
- Marks Process A as waiting for I/O to complete, starts running Process B
- While Process B is running, data arrives on the NIC which sends an electrical signal to the CPU
- CPU jumps away from Process B to handle the incoming I/O, finds it is a data packet for Process A
- OS marks Process A as ready to run again, then scheduler selects A or B to run

Inside and Outside of the Kernel

CMSC216 (This Course)

- Discuss basic OS System Calls that Unix provides
- Create processes, coordinate them simply
- Perform low-level read/write I/O calls
- Understand OS interface at a high level, some ideas about internal data structures maintained by Kernels for processes, files, virtual memory, etc.

CMSC412 Operating Systems

- ► Build a small OS Kernel
- Directly implement data structures for processes, files, virtual memory, etc.
- Study tradeoffs in design of these data structures
- Utilize more complex process coordination / communication mechanisms

If you find these things interesting, consider CMSC412 in the future

Overview of Process Creation/Coordination

The following are the 4 fundamental process creation / coordination primitives provided by UNIX systems including Linux

getpid() / getppid()

- Get process ID of the currently running process
- Get parent process ID

wait() / waitpid()

- Wait for any child to finish (wait)
- Wait for a specific child to finish (waitpid)
- Get return status of child

fork()

- Create a child process
- Identical to parent EXCEPT for return value of fork() call
- Determines child/parent

exec() family

- Replace currently running process with a different program image
- Process becomes something else losing previous code
- ► Focus on execvp()

Code: Overview of Process Creation/Coordination

```
getpid() / getppid()
                                       fork()
                                        pid_t child_pid = fork();
pid_t my_pid = getpid();
                                        if(child_pid == 0){
printf("I'm proces %d\n",my_pid);
                                         printf("Child!\n");
pid_t par_pid = getppid();
printf("My parent is %d\n",par_pid);
                                        else{
                                         printf("Parent!\n");
wait() / waitpid()
                                        exec() family
                                        char *new_argv[] = {"ls","-l",NULL};
int status;
                                        char *command = "ls";
waitpid(child_pid, &status, 0);
printf("Child %d done, status %d\n",
                                        printf("Goodbye old code, hello LS!\n");
                                        execvp(command, new_argv);
      child pid, status);
```

Aside: before the next exercise, compile the complain.c program to be named complain using GCC (good review)

Exercise: Putting Child Processes to Work

Explain this program that use getpid(), getppid(), fork(), execvp()

```
1 // child labor.c:
2 #include <stdio.h>
3 #include <stdlib.h>
4 #include <svs/wait.h>
5 #include <unistd.h>
7 int main(int argc, char* argv){
 8
9
     char *child_argv[] = {"./complain", NULL};
     char *child_cmd = "complain";
10
11
     printf("P: I'm %d, and I really don't feel like '%s'ing\n",
12
            getpid(),child_cmd);
13
     printf("P: I have a solution\n"):
14
15
16
     pid t child_pid = fork();
17
     if(child pid == 0){
18
       printf("C: I'm %d My pa '%d' wants me to '%s'. This sucks.\n",
19
              getpid(), getppid(), child cmd);
20
21
       execvp(child_cmd, child_argv);
22
23
24
       printf("C: I don't feel like myself anymore...\n");
     7
25
26
     else{
       printf("P: Great, junior %d is taking care of that\n",
27
              child_pid);
28
29
     7
     return 0:
31 }
```

Answers: Putting Child Processes to Work

```
1 // child labor.c: demonstrate the basics of fork/exec to launch a
2 // child process to do "labor"; e.g. run a another program via
3 // exec. Make sure that the the 'complain' program is compiled first.
 4 #include <stdio.h>
 5 #include <stdlib h>
6 #include <sys/wait.h>
7 #include <unistd.h>
9 int main(int argc, char* argv){
10
     char *child_argv[] = {"complain", NULL};
                                                          // argument array to child, must end with NULL
11
     char *child cmd = "complain":
                                                           // actual command to run, must be on path
12
13
14
     printf("P: I'm %d, and I really don't feel like '%s'ing\n",
15
            getpid(),child cmd):
                                                           // use of getpid() to get current PID
     printf("P: I have a solution\n"):
16
17
18
     pid t child_pid = fork();
                                                           // clone a child
19
     if(child_pid == 0){
                                                           // child will have a 0 here
20
21
       printf("C: I'm %d My pa '%d' wants me to '%s'. This sucks.\n",
              getpid(), getppid(), child_cmd);
                                                          // use of getpid() and getppid()
22
23
       execvp(child_cmd, child_argv);
                                                           // replace running image with child cmd
24
25
       printf("C: I don't feel like myself anymore...\n"); // unreachable statement
26
27
     else{
                                                            // parent will see nonzero in child pid
28
29
       printf("P: Great, junior %d is taking care of that\n",
              child_pid);
30
31
     7
     return 0:
32
33 }
```

Experiment: Alter Command for exec()

Experiment in child_labor.c with altering lines associated with exec() arguments

Note the effects after recompiling and re-running.

Exercise: Coordinating Parent and Child

child_labor.c has concurrency issues: Parent/Child output mixed and may occur in an unpredictable order

```
>> ./a.out
P: I'm 53174, and I really don't feel like 'complain'ing
P: I have a solution
P: Great, junior 53175 is taking care of that
C: I'm 53175 My pa '53174' wants me to 'complain'. This sucks.
>> COMPLAIN: God this sucks. On a scale of 0 to 10 I hate pa ...
>> ./a.out
P: I'm 53187, and I really don't feel like 'complain'ing
P: I have a solution
C: I'm 53188 My pa '53187' wants me to 'complain'. This sucks.
COMPLAIN: God this sucks. On a scale of 0 to 10 I hate pa ...
P: Great, junior 53188 is taking care of that
>> ./a.out
P: I'm 53198, and I really don't feel like 'complain'ing
P: I have a solution
C: I'm 53199 My pa '53198' wants me to 'complain'. This sucks.
P: Great, junior 53199 is taking care of that
>> COMPLAIN: God this sucks. On a scale of 0 to 10 I hate pa ...
```

>>

Modify with a call to wait(somepid) to ensure Parent output comes AFTER Child output

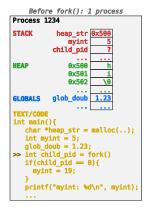
Answers: child_wait.c modification

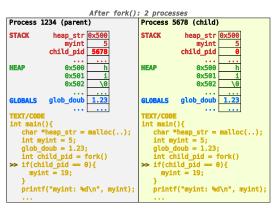
35 }

```
1 // child wait.c: fork/exec plus parent waits for child to
2 // complete printing befor printing itself.
3 #include <stdio h>
4 #include <stdlib.h>
5 #include <sys/wait.h>
6 #include <unistd.h>
7
   int main(int argc, char* argv){
9
10
     char *child argv[] = {"./complain".NULL}:
                                                     // alternative commands
     char *child_cmd = "complain";
11
12
     printf("P: I'm %d, and I really don't feel like '%s'ing\n",
13
            getpid(),child_cmd);
14
     printf("P: I have a solution\n");
15
16
17
     pid t child pid = fork():
18
     if(child pid == 0){
19
       printf("C: I'm %d My pa '%d' wants me to '%s'. This sucks.\n",
20
              getpid(), getppid(), child_cmd);
21
       execvp(child cmd, child argv);
22
       printf("C: I don't feel like myself anymore...\n"): // unreachable
23
24
     }
25
     elsef
       int status:
26
      wait(&status):
                                                            // wait for any child to finish, collect status
27
      // wait(NULL);
                                                            // wait for any child, ignore status
28
                                                            // wait for specific child
       // waitpid(child pid, &status):
       // waitpid(-1, NULL):
                                                            // wait for any child, collect status
30
       printf("P: Great, junior %d is done with that '%s'ing\n",
31
32
              child_pid, child_cmd);
33
     return 0;
34
```

Effects of fork()

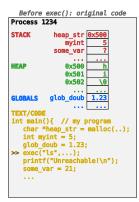
- ► Single process becomes 2 processes
- ► Sole difference is return value from fork()
- ► All other aspects of process are copied





Effects of exec()

- Entire Memory image of process is replaced/reset
- Original process Text/Code is replaced, begin new main()
- ► Successful exec() does not return to original code





Exercise: Child Exit Status

A successful call to wait() sets a status variable with child info:

```
int status;
wait(&status);
```

 Several macros are used to parse out this variable

```
// other things like signals can cause
// wait to return
if(WIFEXITED(status)){
    // get the return value of program
    int retval = WEXITSTATUS(status);
```

// determine if child actually exited

- Modify child_labor.c so that parent checks child exit status
- Convention: 0 normal, nonzero error, print something if non-zero

```
# program that returns non-zero
> gcc -o complain complain.c

# EDIT FILE TO HAVE CHILD RUN 'complain'
> gcc child_labor_wait_returnval.c
> ./a.out
I'm 2239, and I really don't feel
like 'complain'ing
I have a solution
I'm 2240 My pa '2239' wants me to 'complain'.
This sucks.

COMPLAIN: God this sucks. On a scale of 0 to 10
I hate pa ...

Great, junior 2240 did that and told me '10'
That little punk gave me a non-zero return.
I'm glad he's dead
```

NOTE: C Macros look a bit like functions with CAPTIAL_NAMES() but are different from normal functions. We will discuss Macros more later.

Answers: Child Exit Status

41 }

```
1 // child wait returnval.c: fork/exec plus parent waits for child and
2 // checks their status using macors. If nonzero, parent reports.
 4 #include <stdio h>
 5 #include <stdlib.h>
6 #include <svs/wait.h>
7 #include <unistd.h>
9 int main(int argc, char* argv[]){
     char *child argv[] = {"./complain".NULL}:
                                                           // program returns non-zero
10
     char *child cmd = "complain":
11
12
13
     printf("P: I'm %d, and I really don't feel like '%s'ing\n",
            getpid(),child_cmd);
14
     printf("P: I have a solution\n");
15
16
17
     pid t child_pid = fork();
18
     if(child_pid == 0){
19
       printf("C: I'm %d My pa '%d' wants me to '%s'. This sucks.\n",
20
21
              getpid(), getppid(), child_cmd);
22
       execvp(child_cmd, child_argv);
       printf("C: I don't feel like myself anymore...\n"); // unreachable
23
24
     elsef
25
       int status:
26
27
       wait(&status);
                                                            // wait for child to finish, collect status
28
       if(WIFEXITED(status)){
29
        int retval = WEXITSTATUS(status);
                                                           // decode status to 0-255
         printf("P: Great, junior %d did that and told me '%d'\n",
31
                 child pid. retval):
         if(retval != 0){
                                                            // nonzero exit codes usually indicate failure
32
           printf("P: That little punk gave me a non-zero return. I'm glad he's dead\n");
33
34
         }
35
       }
36
        elsef
37
         printf("P: Oh no, something happened to the boy!\n");
38
39
     return 0:
```

Return Value for wait() family

- Return value for wait() and waitpid() is the PID of the child that finished
- Makes a lot of sense for wait() as multiple children can be started and wait() reports which finished
- One wait() per child process is typical
- See faster_child.c

Blocking vs. Nonblocking Activities

Blocking

- ► A call to wait() and waitpid() may cause calling process to **block** (hang, stall, pause, suspend, so many names...)
- ▶ Blocking is associated with other activities as well
 - ► I/O, obtain a lock, get a signal, etc.
- Generally creates synchronous situations: waiting for something to finish means the next action always happens... next (e.g. print after wait() returns)

```
// BLOCKING VERSION
int pid = waitpid(child_pid, &status, 0);
```

Non-blocking

- Contrast with non-blocking (asynchronous) activities: calling process goes ahead even if something isn't finished yet
- ► wait() is always blocking
- waitpid() can be blocking or non-blocking

Non-Blocking waitpid()

- Use the WNOHANG option
- Returns immediately regardless of the child's status

Returned pid is

Returned	Means
child_pid	status of child that changed / exited
0	there is no status change for child / none exited
-1	an error

Examine impatient_parent.c

impatient_parent.c

```
1 // impatient parent.c: demonstrate non-blocking waitpid().
2
3 #include <stdio.h>
 4 #include <stdlib.h>
 5 #include <svs/wait.h>
 6 #include <unistd.h>
8 int main(int argc, char* argv){
     char *child_argv[] = {"./complain", NULL};
     char *child_cmd = "complain";
10
11
     printf("PARENT: Junior is about to '%s', I'll keep an eye on him\n",
            child_cmd);
12
     pid t child_pid = fork();
13
14
     // CHILD CODE
15
16
     if(child_pid == 0){
       printf("CHILD: I'm %d and I'm about to '%s'\n",
17
              getpid(), child_cmd);
18
       execvp(child_cmd, child_argv);
19
20
21
22
23
     int status;
     int retcode = waitpid(child_pid, &status, WNOHANG); // non-blocking wait
24
     if(retcode == 0){
                                                            // O means child has not exited/changed status
25
26
       printf("PARENT: 0? The kid's not done yet. I'm bored\n");
27
     elsef
                                                            // child has changed status / exited
28
       printf("PARENT: Something happend to junior!\n");
29
       if(WIFEXITED(status)){
30
         printf("Ah, he Exited with code %d\n", WEXITSTATUS(status)):
31
32
33
       elsef
         printf("Junior didn't exit, what happened to him?\n");
34
35
36
     return 0:
38 }
```

Runs of impatient_parent.c

```
> gcc impatient_parent.c
> a.out
PARENT: Junior is about to 'complain', I'll keep an eye on him
PARENT: 0? The kid's not done yet. I'm bored
CHILD: I'm 1863 and I'm about to 'complain'
> COMPLAIN: God this sucks. On a scale of 0 to 10 I hate pa ...
> a.out
PARENT: Junior is about to 'complain', I'll keep an eye on him
PARENT: 0? The kid's not done yet. I'm bored
CHILD: I'm 1865 and I'm about to 'complain'
> COMPLAIN: God this sucks. On a scale of 0 to 10 I hate pa ...
```

Exercise: Helicopter Parent



- Modify impatient_parent.c to helicopter_parent.c
- Checks continuously on child process
- Will need a loop for this...

```
> gcc helicopter_parent.c
> a.out
PARENT: Junior is about to 'complain', I'll keep an eye on him
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
...
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
CHILD: I'm 21789 and I'm about to 'complain'
Oh, junior's taking so long. Is he among the 50% of people that are below average?
...
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
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Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
Oh, junior's taking so long. Is he among the 50% of people that are below average?
```

Answers: Helicopter Parent

```
1 // helicopter parent.c; demonstrate non-blocking waitpid() in excess
 2 #include <stdio.h>
 3 #include <stdlib h>
4 #include <svs/wait.h>
 5 #include <unistd.h>
   int main(int argc, char* argv){
8
     char *child argv[] = {"./complain".NULL};
9
     char *child cmd = "complain":
10
11
     printf("PARENT: Junior is about to '%s', I'll keep an eye on him\n",
            child cmd):
13
14
15
     pid t child_pid = fork();
16
17
     // CHILD CODE
18
     if(child_pid == 0){
       printf("CHILD: I'm %d and I'm about to '%s'\n",
19
20
              getpid(), child_cmd);
       execvp(child_cmd, child_argv);
21
22
23
     // PARENT CODE
24
25
     int status;
26
     int checked = 0;
27
     while(1){
       int cpid = waitpid(child_pid,&status,WNOHANG); // Check if child done, but don't actually wait
28
       if(cpid == child_pid){
                                                       // Child did finish
29
30
         break;
31
       printf("Oh, junior's taking so long. Is he among the 50%% of people that are below average?\n");
32
       checked++;
33
34
35
     printf("PARENT: Good job junior, I only checked on you %d times.\n".checked);
     return 0:
37 }
```

Polling vs Interrupts

- helicopter_parent.c is an example of polling: checking on something repeatedly until it achieves a ready state
- Easy to program, generally inefficient
- Alternative: interrupt style is closer to wait() and waitpid() without WNOHANG: rest until notified of a change
- Usually requires cooperation with OS/hardware which must wake up process when stuff is ready
- ▶ Both polling-style and interrupt-style programming have uses
- Projects may use one or the other of these so it's good to be aware of them

Zombies Processes

- Parent creates a child
- Child completes
- Child becomes a zombie (!!!)
- Parent waits for child
- Child reaped



All we want is the attention of a loving parent...

Zombie Process

A process that has finished, but has not been wait()'ed for by its parent yet so cannot be (entirely) eliminated from the system. OS can reclaim child resources like memory once parent wait()'s.

Demonstrate

Requires a process monitoring with top/ps but can see zombies created using spawn_undead.c

Tree of Processes

```
systemd-+-NetworkManager---2*[{NetworkManager}]
       |-accounts-daemon---2*[{accounts-daemon}]
       |-colord---2*[{colord}]
       [-csd-printer---2*[{csd-printer}]
       l-cupsd
        -dbus-daemon
        -dr java---java-+-java---27* [{java}]
                     `-37*[{iava}]
        -dropbox---106*[{dropbox}]
        -emacs-+-aspell
              |-bash---pstree
              |-evince---4*[{evince}]
              `-3*[{emacs}]
        -gdm-+-gdm-session-wor-+-gdm-wavland-ses-+-gnome-session-b-+-gnome-shell-+-Xwavland---14*[{Xwavland}]
                |-chromium---11*[{chromium}]
                                                                                  I-chromium---14*[{chromium}]
                                                                                  |-chromium---15*[{chromium}]
                                                                                   -chromium---18*[{chromium}]
                                                I-chromium---9*[{chromium}]
                                                -42*[{chromium}]
                                      `-cinnamon---21*[{cinnamon}]
                                I-bash---ssh
                                -3*[{gnome-terminal-}]
```

- Processes exist in a tree: see with shell command pstree
- Children can be **orphaned** by parents: parent exits without wait()'ing for child
- ▶ Orphans are adopted by the root process (PID==1)
 - ▶ init traditionally
 - systemd in many modern systems
- Root process occasionally wait()'s to "reap" zombies

Orphans are always Adopted

- Survey code in baudelair_orphans.c which demonstrates what happens to orphans
- Parent exits without wait()'ing, leaving them orphaned.
- Adopted by root process with PID=1
- > gcc baudelaire_orphans.c

```
> ./a.out
1754593: I'm Klaus and my parent is 1754592
1754594: I'm Violet and my parent is 1754592
1754596: (Sunny blows raspberry) 1754592
1754593: My original parent was 1754592, my current parent is 1754592
> 1754594: My original parent was 1754592, my current parent is 1
1754594: I've been orphaned. How Unforunate.
1754596: My original parent was 1754592, my current parent is 1
1754596: I've been orphaned. How Unforunate.
```

Reapers and the Subreapers

- Process X creates many children, Orphans them
- Children of X complete, become Zombies until...
- Newly assigned Parent wait()'s for them
- Adoptive parent like Process 1 sometimes referred to as a Reaper process: "reaps the dead processes"
- System may designate a Subreaper to do this per user so orphans NOT re-parented to process ID 1

 Graphical Login on Ubuntu Linux systems usually designates a Subreaper for each user



Source: Cartoongoodies.com
Reaper and Orphan? More like Subreaper...