

CRN_IS9: Performance Analysis of Hybrid Cognitive Radio Systems with Imperfect Channel Knowledge

ICC 2016, Kuala Lumpur, Malaysia

A. Kaushik¹, S.K. Sharma², S. Chatzinotas², B. Ottersten², F. K. Jondral¹ | 11 June 2016

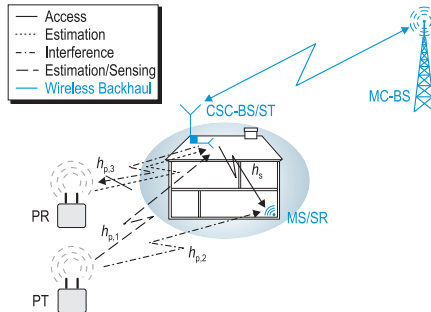
¹CEL, Karlsruhe Institute of Technology (KIT), Germany and ²SnT, University of Luxembourg, Luxembourg



- Hybrid Scenario
- Signal Model
- Problem Description
- Proposed Approach
- Numerical Analysis
- Summary



Hybrid Scenario



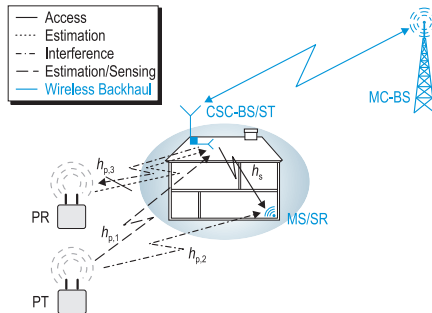
Hybrid System:

- A spectrum sensing and a power control mechanism is employed at the ST
- To accomplish this \Rightarrow Knowledge of the channels $h_{p,1}$, $h_{p,3}$ is required at ST
- Further, to characterize the throughput at SR \Rightarrow Knowledge of the channels $h_{p,2}$, h_s is required at ST

In a realistic scenario:

- Channel knowledge is not available at the ST, **Solution** needs to be estimated
- Without any knowledge of the primary system, direct estimation of channel is not possible

Hybrid Scenario



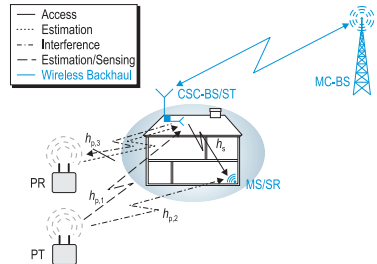
Contributions:

- We propose an analytical framework that facilitates a successful incorporation of the estimation of the involved channels
- We examine the impact of channel knowledge in terms of interference encountered
- We depict a estimation-sensing-throughput tradeoff that allow us to optimize the performance of the hybrid system

- $$y_{\text{ST}}[n] = \begin{cases} h_{\text{p},1} \cdot x_{\text{PT}}[n] + w[n] & : \mathcal{H}_1 \\ w[n] & : \mathcal{H}_0 \end{cases}$$

- $$y_{PR}[n] = \begin{cases} h_{p,3} \cdot x_{ST,cont}[n] + w[n] & : P_d \\ h_{p,3} \cdot x_{ST,full}[n] + w[n] & : 1 - P_d \end{cases}$$

- $$y_{\text{SR}}[n] = \begin{cases} h_{\text{s}} \cdot x_{\text{ST,full}}[n] + h_{\text{p},2} \cdot x_{\text{PT}}[n] + w[n] & : 1 - \text{P}_{\text{d}} \\ h_{\text{s}} \cdot x_{\text{ST,full}}[n] + w[n] & : 1 - \text{P}_{\text{fa}} \\ h_{\text{s}} \cdot x_{\text{ST,cont}}[n] + h_{\text{p},2} \cdot x_{\text{PT}}[n] + w[n] & : \text{P}_{\text{d}} \\ h_{\text{s}} \cdot x_{\text{ST,cont}}[n] + w[n] & : \text{P}_{\text{fa}} \end{cases}$$



Problem Description

Existing models (Ideal Model)

$$\text{Interference constraint} \begin{cases} \mathbb{P}(\mathcal{H}_1) \cdot P_d \cdot |h_{p,3}|^2 P_{Tx,ST,cont} \leq \theta_I \\ \text{and } \mathbb{P}(\mathcal{H}_1) \cdot (1 - P_d) \cdot |h_{p,3}|^2 P_{Tx,ST,full} \leq \theta_I \end{cases}$$

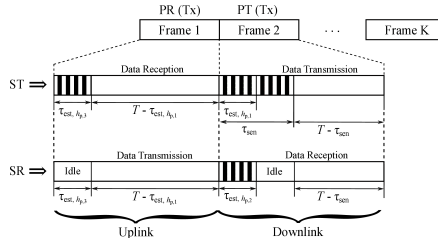
$$\text{Throughput at SR} \begin{cases} R_0(\tau_{sen}) = \frac{T - \tau_{sen}}{T} \cdot \log_2 \left(1 + |h_s|^2 \frac{P_{Tx,ST,full}}{\sigma_w^2} \right) (1 - P_{fa}) \cdot \mathbb{P}(\mathcal{H}_0), \\ R_1(\tau_{sen}) = \frac{T - \tau_{sen}}{T} \log_2 \left(1 + \frac{|h_s|^2 P_{Tx,ST,full}}{|h_{p,2}|^2 \sigma_s^2 + \sigma_w^2} \right) (1 - P_d) \cdot \mathbb{P}(\mathcal{H}_1), \\ R_2(\tau_{sen}) = \frac{T - \tau_{sen}}{T} \cdot \log_2 \left(1 + |h_s|^2 \frac{P_{Tx,ST,cont}}{\sigma_w^2} \right) P_{fa} \cdot \mathbb{P}(\mathcal{H}_0), \\ R_3(\tau_{sen}) = \frac{T - \tau_{sen}}{T} \cdot \log_2 \left(1 + \frac{|h_s|^2 P_{Tx,ST,cont}}{|h_{p,2}|^2 \sigma_s^2 + \sigma_w^2} \right) P_d \cdot \mathbb{P}(\mathcal{H}_1). \end{cases}$$

- Without the knowledge of received power (sensing channel, $h_{p,1}$), the characterization of P_d at the ST is not possible
- Without the knowledge of the interference channel towards the PR ($h_{p,3}$), the power control mechanism cannot be employed at the ST
- The knowledge of the access (h_s) and the interference channel ($h_{p,2}$) to the SR, from the PT, is required at the ST for characterizing the SU throughput.



Proposed Approach

Proposed frame structure for the secondary system



- We consider the estimation of involved channels. In order to facilitate deployment received power estimation is proposed for the sensing and interference channel.
- Next, we characterize the variations due to channel estimation in the estimation parameters in terms of their pdfs.
- We further characterize the aforementioned variations, which include the interference received at PR and expected throughput at SR in terms of their cdf.
- We utilize these cdfs to obtain the expression estimation-sensing-throughput tradeoff.



Performance Characterization

Channel Estimation

■ Sensing channel

$$F_{\hat{P}_{\text{Rx,ST},h_{p,1}}}(x) = 1 - \Gamma\left(\frac{\tau_{\text{est}, h_{p,1}} f_s}{2}, \frac{\tau_{\text{est}, h_{p,1}} f_s X}{2P_{\text{Rx,ST},h_{p,1}}}\right)$$

■ Access channel

$$F_{|\hat{h}_s|^2}(x) \approx 1 - \Gamma\left(a, \frac{x}{b}\right)$$

■ Interference channel

$$F_{\hat{P}_{\text{Rx,SR},h_{p,2}}}(x) = 1 - \Gamma\left(\frac{\tau_{\text{est}, h_{p,2}} f_s}{2}, \frac{\tau_{\text{est}, h_{p,2}} f_s X}{2P_{\text{Rx,SR},h_{p,2}}}\right)$$

■ Interference channel

$$F_{\hat{P}_{\text{Rx,ST},h_{p,3}}}(x) = 1 - \Gamma\left(\frac{\tau_{\text{est}, h_{p,3}} f_s}{2}, \frac{\tau_{\text{est}, h_{p,3}} f_s X}{2P_{\text{Rx,ST},h_{p,3}}}\right)$$

Detection probability constraint

$$\mathbb{P}(P_d(\hat{P}_{\text{Rx,ST},h_{p,1}}) \leq \bar{P}_d) \leq \rho_d$$

Interference constraint

$$\mathbb{P}(P_{\text{Rx,PR}}(P_d(\hat{P}_{\text{Rx,ST},h_{p,1}}), \hat{P}_{\text{Rx,ST},h_{p,3}}) \geq \theta_I) \leq \rho_{\text{cont}}$$

Expected secondary throughput

$$\mathbb{E}_{\Omega} [R_s(\tau_{\text{sen}})] = \frac{T - \tau_{\text{est}, h_{p,3}} - \tau_{\text{sen}}}{T} \cdot \left[(1 - P_{\text{fa}}) \cdot \mathbb{P}(\mathcal{H}_0) \cdot \mathbb{E}_{C_0} [C_0] + (1 - \mathbb{E}_{P_d} [P_d]) \cdot \mathbb{P}(\mathcal{H}_1) \cdot \mathbb{E}_{C_1} [C_1] + P_{\text{fa}} \cdot \mathbb{P}(\mathcal{H}_0) \cdot \mathbb{E}_{C_2} [C_2] + \mathbb{E}_{P_d} [P_d] \cdot \mathbb{P}(\mathcal{H}_1) \cdot \mathbb{E}_{C_3} [C_3] \right].$$



- **Theorem:** The expected achievable SU throughput subject to an outage constraint on detection probability at the ST and an outage constraint on interference power at the PR given by

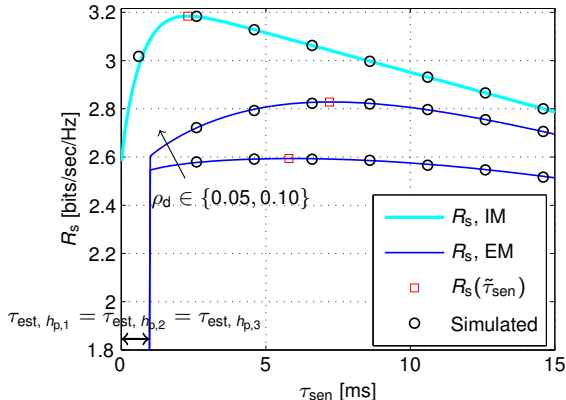
$$\begin{aligned} R_s(\tilde{\tau}_{\text{sen}}) &= \max_{\tau_{\text{sen}}, P_{\text{Tx,ST,cont}}} \mathbb{E}_{\Omega} [R_s(\tau_{\text{sen}})] \\ \text{s.t. } \mathbb{P}(P_d \leq \bar{P}_d) &\leq \rho_d \\ \text{s.t. } \mathbb{P}(P_{\text{Rx,PR}} \geq \theta_I) &\leq \rho_{\text{cont}} \end{aligned}$$



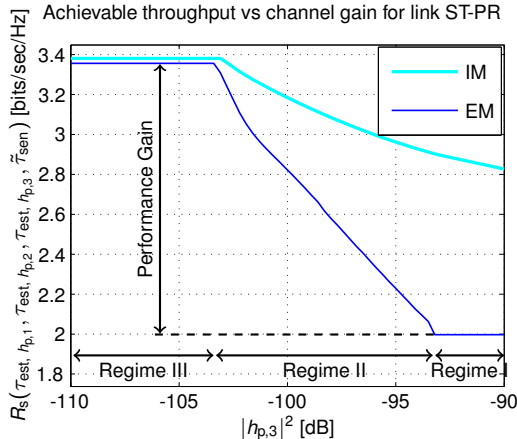
| Parameter | Definition | Value |
|------------------------------|---|----------|
| f_s | Sampling Frequency | 1 MHz |
| T | Frame Duration | 100 ms |
| $\tau_{\text{est}, h_{p,1}}$ | Estimation time for the channel $h_{p,1}$ | 1 ms |
| $\tau_{\text{est}, h_{p,2}}$ | Estimation time for the channel $h_{p,2}$ | 1 ms |
| $\tau_{\text{est}, h_{p,3}}$ | Estimation time for the channel $h_{p,3}$ | 1 ms |
| $ h_{p,1} ^2$ | Power gain for channel $h_{p,1}$ | −120 dB |
| $ h_{p,2} ^2$ | Power gain for channel $h_{p,2}$ | −120 dB |
| $ h_{p,3} ^2$ | Power gain for channel $h_{p,3}$ | −100 dB |
| $ h_s ^2$ | Power gain for channel h_s | −80 dB |
| θ_1 | Interference threshold | −110 dBm |
| ρ_{cont} | Outage constraint on interference power at PR | 0.1 |
| ρ_d | Outage constraint on detection probability | 0.1 |
| σ_s^2 | Transmit power at PT and PR | 10 dBm |
| σ_w^2 | Noise power at ST, SR and PR | −100 dBm |
| \bar{P}_d | Detection probability threshold | 0.9 |
| $\mathbb{P}(\mathcal{H}_0)$ | Occurrence Probability for hypothesis \mathcal{H}_0 | 0.2 |
| $P_{\text{Tx,ST,full}}$ | Transmit power at ST | 0 dBm |
| N_s | Number of pilot symbols | 10 |



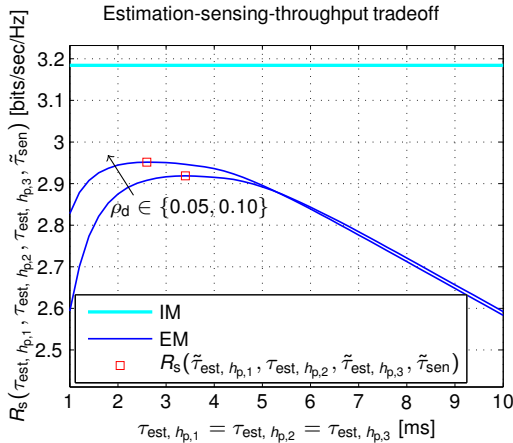
Sensing-throughput tradeoff for $\tau_{\text{est}, h_{p,1}} = \tau_{\text{est}, h_{p,2}} = \tau_{\text{est}, h_{p,3}} = 1 \text{ ms}$



- As indicated by the margin between the IM and the EM, a certain performance degradation is witnessed due to the incorporation of channel estimation
- The sensing-throughput tradeoff yields a suitable sensing time $\tilde{\tau}_{\text{sen}}$ that achieves the maximum performance in terms of SU throughput $R_s(\tilde{\tau}_{\text{sen}})$



- In Regime I, no benefits are attained from the US (power control) while operating in this regime, hence, the HS operates as an IS.
- In Regime III, which illustrates favorable channel conditions for the US, since the ST is limited by the transmit power, $P_{\text{Tx,ST,cont}} = P_{\text{Tx,ST,full}}$, the HS procure no further performance gains.



- The estimation-sensing-throughput tradeoff corresponding to the EM.
- The performance degradation is sensitive to the outage constraint on the detection probability.

- The performance of cognitive radio as hybrid systems is investigated from a deployment perspective.
- It is argued that channel knowledge is absolutely mandatory for the performance characterization.
- In view of this, an analytical framework that incorporates channel estimation and subsequently captures the effect of imperfect channel knowledge is established.
- More importantly, a fundamental tradeoff between the estimation, the sensing time and secondary throughput is determined.
- In future, it will be interesting to include the effect of channel fading on the performance of the hybrid systems.



Thank you for attention!

