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Philadelphia, PA.

GPA: 3.94/4.

Mumbai, India.

GPA: 9.07/10.

Class Rank 2.

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# Kaustubh Sridhar

#### Education

2019 - Present University of Pennsylvania,

PhD Candidate, Electrical and Systems Engineering,

ASSET and PRECISE Center

Thesis Committee: Prof Dinesh Jayaraman, Prof Insup Lee, Prof George Pappas, Prof Nikolai

Matni, Prof Dorsa Sadigh

2015 - 2019 Indian Institute of Technology Bombay,

Bachelor Of Technology (with Honors) In Aerospace Engineering,

Minor in Systems and Control Engineering

#### Research Interests

I am interested in creating adaptive generalist agents that are parameter- and sample-efficient, for the digital and physical worlds. Towards this goal, I have worked on generative models, in-context learning, deep reinforcement and imitation learning, and robust deep learning. My recent work on a retrieval-augmented generalist agent directly aims for this goal.

# Selected Publications and Preprints

[Preprint 2024B] REGENT: A Retrieval-Augmented Generalist Agent That Can Act In-Context In New Environments Kaustubh Sridhar, Souradeep Dutta, Dinesh Jayaraman, Insup Lee

- ▶ Under review at the International Conference on Learning Representations (ICLR) 2025
- ➡ NeurIPS 2024 workshops on Adaptive Foundation Models and Open World Agents.
- [Preprint 2024A] A Retrieval-Enhanced Mixed-Modal Foundation Model for Ophthalmology

Kaustubh Sridhar, Aditya Rangamani, Kuk Jang, Insup Lee

**▶** In Preparation.

[2023B] Memory-Consistent Neural Networks for Imitation Learning

Kaustubh Sridhar, Souradeep Dutta, Dinesh Jayaraman, James Weimer, Insup Lee

**▶** International Conference on Learning Representations (ICLR) 2024 (Acceptance rate: 31%).

[2023A] Guaranteed Conformance of Neurosymbolic (World) Models to Natural Constraints

Kaustubh Sridhar, Souradeep Dutta, James Weimer, Insup Lee

- **► ICLR 2023** workshop on Neurosymbolic Generative Models,
- ➡ Conference on Learning For Dynamics and Control (L4DC) 2023.
- [2022D] Exploring with Sticky Mittens: Reinforcement Learning with Expert Interventions via Option **Templates** 
  - S. Dutta\*, K. Sridhar\*, O. Bastani, E. Dobriban, J. Weimer, I. Lee, J. Parish-Morris
  - **►** Conference on Robot Learning (**CoRL**) **2022** (Acceptance rate: 39%).
- [Preprint 2022C] Predict-and-Critic: Accelerated End-to-End Predictive Control for Cloud Computing through Reinforcement Learning

Kaustubh Sridhar, Vikramank Singh<sup>†</sup>, Murali Narayanaswamy<sup>†</sup>, Abishek Sankararaman<sup>†</sup> ■ Under review (†Amazon AWS AI Labs).

[2022B] CODiT: Conformal Out-of-distribution Detection in Time-series Data

Ramneet Kaur, Kaustubh Sridhar, Sangdon Park, Susmit Jha<sup>†</sup>, Anirban Roy<sup>†</sup>, Oleg Sokolsky, Insup Lee (†SRI International)

- **► ICML 2022** workshop on Principles of Distribution Shift,
- **▶** International Conference on Cyber-Physical Systems (ICCPS) 2023 (Acceptance: 25.6%).
- **⇒** Best paper award nomination at ICCPS 2023.
- [2022A] Improving Neural Network Robustness via Persistency of Excitation

Kaustubh Sridhar, Oleg Sokolsky, Insup Lee, James Weimer

→ American Control Conference (ACC) 2022.

- [2021B] Real-Time Detectors for Digital and Physical Adversarial Inputs to Perception Systems
  Yiannis Kantaros, Taylor Carpenter, **Kaustubh Sridhar**, Yahan Yang, Insup Lee, James Weimer

  ➡ International Conference on Cyber-Physical Systems (**ICCPS**) 2021 (Acceptance rate: 26%).
- [2021A] Real-Time Data-Predictive Attack-Recovery for Complex Cyber-Physical Systems
  Lin Zhang, Kaustubh Sridhar, Mengyu Liu, Pengyuan Lu, F. Kong, Oleg Sokolsky, Insup Lee

  ➡ IEEE Real-Time and Embedded Technology and Applications Symposium (RTAS).
  - [2019] Finite-time, Event-triggered Tracking Control of Quadrotors

Kaustubh Sridhar, Srikant Sukumar

➡ Conference on Guidance, Navigation and Control (EuroGNC) 2019.

#### Experience

Aug 2019 - University of Pennsylvania, PhD Candidate,

Philadelphia, PA.

Present Advised by Prof Insup Lee.

Closely collaboratored with Prof Dinesh Jayaraman, Prof James Weimer, Prof Oleg Sokolsky.

- Developed a generalist agent, without large models and vast datasets, that can generalize to new environments via retrieval-augmentation & in-context learning [Preprint 2024B, videos].
- Building a foundation model (for ophthalmology) trained on a synthetic mixed-modal dataset created from uni-modal vision-language datasets with retrieval [Preprint 2024A].
- Strengthened imitation learning with any neural network diffusion models, transformers, or MLPs, via a novel semi-parametric model class called the MCNN [2023B, videos].
- Created a tool for guaranteed conformance of generative models to constraints [2023A, gifs].
- Boosted deep hierarchical RL sample-efficiency by two-orders-of-magnitude [2022D, videos].
- Enhanced adversarial robustness of NN's with guarantees [2022A].
- Developed out-of-distribution detectors with guarantees [2022B] that run in real-time [2021B].
- May Aug 2023 Amazon Web Services (AWS) Al Labs, Applied Scientist Intern, Santa Clara, CA. Hosts: Dr. Abishek Sankararaman, Dr. Vikram Nathan, Dr. Murali Narayanaswamy
  - Improved generalization in offline RL by incorporating transformer model based forecasts in conservative Q learning; applied to cloud resource allocation problems.
- May Aug 2022 **Amazon Web Services (AWS) Al Labs**, *Applied Scientist Intern*, Santa Clara, CA. Hosts: Dr. Abishek Sankararaman, Dr. Murali Narayanaswamy
  - Accelerated datacenter resource allocation by combining model-free RL with mixed integer linear programs [Preprint 2022C].
- May Aug 2021 **Argo AI (Ford & VW's Self-Driving Partner)**, *Systems Research Intern*, Dearborn, MI. Product Security and Sensor Functional Safety Team
  - Built threat models for object detection and segmentation algorithms on autonomous vehicles.
- May Jul 2018 **Duke University**, *Undergraduate Summer Research Fellow*,

  Advised by Prof Miroslav Pajic, Cyber-Physical Systems Lab
- Jan Dec 2018 Indian Institute of Technology Bombay, Undergraduate Research Assistant, India. Advised by Prof Srikant Sukumar,
  - Bachelor's thesis on real-time quadrotor control [2019].

#### **Awards**

- 2023 **Best Paper Award Nomination** for CODiT [2022B] at ICCPS 2023
- 2022 **Top Reviewer (top 10%)**, NeurIPS 2022
- 2022 Outstanding Reviewer (top 10%), ICML 2022
- 2023 NSF Travel Grant, International Conference on Cyber-Physical Systems (ICCPS) 2023
- 2022 **Student Travel Grant**, American Control Conference 2022
- 2019 The Dean's Fellowship, University of Pennsylvania
- 2019 The Howard Bradwell Fellowship, University of Pennsylvania
- 2018 SN Bose Scholarship, Govt. of India and the Indo-U.S. Science and Technology Forum
- 2015 KVPY Fellowship, Govt. of India

#### Invited Talks

- 2024 Training Adaptive and Sample-Efficient Generalist Agents.
  - Google Deepmind
  - Apple MLR
- 2023 Learning Better Policies and Dynamics Models with Memory-Consistent and Memory-**Constrained Neural Networks.** 
  - University of Pennsylvania (GRASP Lab) [video]
- 2023 Memory-Consistent Neural Networks Boost Your Diffusion Policies, Behavior Transformers, and Behavior Cloning Agents.
  - University of Pennsylvania (Perception Action Learning Group)
- 2023 Guaranteed Conformance of Neurosymbolic Generative (Dynamics) Models to Physics and Medical Constraints
  - Johns Hopkins University (CISS Session on Learning for Optimization and Control)
  - Amazon Science (Deep Earth Reading Group)
  - University of Pennsylvania (Formal Methods and Machine Learning Reading Group)

# Press Coverage

2023 Making Better Decisions with Al, Penn Engineering Today (USA).

## Service and Mentorship

2022 - Present Reviewer

ICLR 2025, 2024, ICML 2024, 2023, 2022, NeurIPS 2024, 2023, 2022, L4DC 2023, ICCPS 2022

- 2020 2021 Organizer, Reading Group in Robust Deep Learning, University of Pennsylvania
- 2018 2019 **Team Lead**, Department Academic Mentorship Program, IIT Bombay Led a team of 22 senior mentors to counsel 89 sophomores, 29 under-performing students.

#### Technical skills

Languages Python, C, C++

Machine Learning Pytorch, OpenAl Gym, Tensorflow, JAX, CUDA, Sklearn, Pandas

Robotics Mujoco, Bullet, CARLA, ROS, Gazebo

# **Key Coursework**

Graduate Deep Learning, Reinforcement Learning, Convex Optimization, Probability, Computer Aided Verification Undergraduate Data Structures and Algorithms, Linear and Nonlinear Control Theory, Adaptive and Optimal Control

### Other Projects

- Apr May 2023 "Fixing Reward Hacking with Large Language Models."
  - Created a framework for an RL agent in Deepmind AI Safety environments to leverage GPT4 to detect reward hacking, fix its own reward function, and adapt quickly to the new reward.

### Teaching

Spring 22, Fall 24 Teaching Assistant, CIS 541: Embedded Software for Life-Critical Systems, UPenn

Spring 2021 **Teaching Assistant**, CIT 595: Computer Systems Programming, University of Pennsylvania