Philadelphia, PA.

GPA: 3.94/4.

Mumbai, India.

GPA: 9.07/10.

Class Rank 2.

#### Education

Aug 2019 - University of Pennsylvania,

Present PhD Candidate, Electrical and Systems Engineering,

ASSET and PRECISE Center

Thesis Committee: Prof Dinesh Jayaraman, Prof Insup Lee, Prof George Pappas, Prof Nikolai

Matni, Prof Dorsa Sadigh

Jul 2015 - Indian Institute of Technology Bombay,

May 2019 Bachelor Of Technology (with Honors) In Aerospace Engineering,

Minor in Systems and Control Engineering

## Research Interests

I am interested in creating adaptive generalist agents that are parameter- and sample-efficient, for the digital and physical worlds. Towards this goal, I have worked on generative models, in-context learning, deep reinforcement and imitation learning, and robust deep learning. My recent work on a retrieval-augmented generalist agent directly aims for this goal.

# Selected Publications and Preprints

[2024B] REGENT: A Retrieval-Augmented Generalist Agent That Can Act In-Context In New Environments

Kaustubh Sridhar, Souradeep Dutta, Dinesh Jayaraman, Insup Lee

- ▶ International Conference on Learning Representations (ICLR) 2025,
- **→** Oral presentation at ICLR 2025, top 1.8% of 11672 submissions,
- → NeurIPS 2024 workshops on Adaptive Foundation Models and Open World Agents.

[Preprint 2024A] A Multimodal General Agent for Ophthalmology

Sarang Sridhar, Aditya Rangamani, Kaustubh Sridhar, Kuk Jang, Insup Lee

➡ In Preparation.

[2023B] Memory-Consistent Neural Networks for Imitation Learning

Kaustubh Sridhar, Souradeep Dutta, Dinesh Jayaraman, James Weimer, Insup Lee

**▶** International Conference on Learning Representations (ICLR) 2024 (Acceptance rate: 31%).

[2023A] Guaranteed Conformance of Neurosymbolic (World) Models to Natural Constraints

Kaustubh Sridhar, Souradeep Dutta, James Weimer, Insup Lee

- **► ICLR 2023** workshop on Neurosymbolic Generative Models,
- ➤ Conference on Learning For Dynamics and Control (L4DC) 2023.

[2022D] Exploring with Sticky Mittens: Reinforcement Learning with Expert Interventions via Option Templates

- S. Dutta\*, K. Sridhar\*, O. Bastani, E. Dobriban, J. Weimer, I. Lee, J. Parish-Morris
- **►** Conference on Robot Learning (**CoRL**) **2022** (Acceptance rate: 39%).

[Preprint 2022C] Predict-and-Critic: Accelerated End-to-End Predictive Control for Cloud Computing through Reinforcement Learning

**Kaustubh Sridhar**, Vikramank Singh<sup>†</sup>, Murali Narayanaswamy<sup>†</sup>, Abishek Sankararaman<sup>†</sup> **▶** Under review (<sup>†</sup>Amazon AWS AI Labs).

[2022B] CODiT: Conformal Out-of-distribution Detection in Time-series Data

Ramneet Kaur, **Kaustubh Sridhar**, Sangdon Park, Susmit Jha<sup> $\dagger$ </sup>, Anirban Roy<sup> $\dagger$ </sup>, Oleg Sokolsky, Insup Lee ( $^{\dagger}$ SRI International)

- **► ICML 2022** workshop on Principles of Distribution Shift,
- ▶ International Conference on Cyber-Physical Systems (ICCPS) 2023 (Acceptance: 25.6%),
- **⇒** Best paper award nomination at ICCPS 2023.

[2022A] Improving Neural Network Robustness via Persistency of Excitation

Kaustubh Sridhar, Oleg Sokolsky, Insup Lee, James Weimer

→ American Control Conference (ACC) 2022.

- [2021B] Real-Time Detectors for Digital and Physical Adversarial Inputs to Perception Systems
  Yiannis Kantaros, Taylor Carpenter, **Kaustubh Sridhar**, Yahan Yang, Insup Lee, James Weimer
  International Conference on Cyber-Physical Systems (**ICCPS**) 2021 (Acceptance rate: 26%).
- [2021A] Real-Time Data-Predictive Attack-Recovery for Complex Cyber-Physical Systems
  Lin Zhang, Kaustubh Sridhar, Mengyu Liu, Pengyuan Lu, F. Kong, Oleg Sokolsky, Insup Lee

  ➡ IEEE Real-Time and Embedded Technology and Applications Symposium (RTAS).
  - [2019] Finite-time, Event-triggered Tracking Control of Quadrotors

Kaustubh Sridhar, Srikant Sukumar

➤ Conference on Guidance, Navigation and Control (EuroGNC) 2019.

## Experience

Aug 2019 - University of Pennsylvania, PhD Candidate,

Philadelphia, PA.

Present Advised by Prof Insup Lee.

Closely collaborate with Prof Dinesh Jayaraman, Prof Oleg Sokolsky.

- Pretrained a generalist agent that can generalize to unseen robotics and game-playing environments via retrieval-augmentation & in-context learning [2024B, videos].
- Building a retrieval-enhanced multimodal general agent for ophthalmology [Preprint 2024A].
- Strengthened imitation learning with any neural network diffusion models, transformers, or MLPs, via a novel semi-parametric model class called the MCNN [2023B, videos].
- Created a tool for guaranteed conformance of generative models to constraints [2023A, gifs].
- Boosted deep hierarchical RL sample-efficiency by two-orders-of-magnitude [2022D, videos].
- Enhanced adversarial robustness of NN's with guarantees [2022A].
- Developed out-of-distribution detectors with guarantees [2022B] that run in real-time [2021B].
- May Aug 2023 Amazon Web Services (AWS) AI Labs, Applied Scientist Intern, Santa Clara, CA Hosts: Dr. Abishek Sankararaman, Dr. Vikram Nathan, Dr. Murali Narayanaswamy
  - Improved generalization in offline RL by incorporating transformer model based forecasts in conservative Q learning; applied to cloud resource allocation problems.
- May Aug 2022 **Amazon Web Services (AWS) Al Labs**, *Applied Scientist Intern*, Santa Clara, CA. Hosts: Dr. Abishek Sankararaman, Dr. Murali Narayanaswamy
  - Accelerated datacenter resource allocation by combining model-free RL with mixed integer linear programs [Preprint 2022C].
- May Aug 2021 **Argo Al (Ford & VW's Self-Driving Partner)**, *Systems Research Intern*, Dearborn, Ml. Product Security and Sensor Functional Safety Team
  - Built threat models for object detection and segmentation algorithms on autonomous vehicles.
- May Jul 2018 **Duke University**, *Undergraduate Summer Research Fellow*, Advised by Prof Miroslav Pajic, Cyber-Physical Systems Lab

   Developed a self-driving platform for intrusion detection testing [videos].
- Jan Dec 2018 Indian Institute of Technology Bombay, Undergraduate Research Assistant, Advised by Prof Srikant Sukumar,
  - Bachelor's thesis on real-time quadrotor control [2019].

## Awards

- 2025 Oral Presentation (top 1.8% of 11672 submissions) for REGENT [2024B] at ICLR 2025
- 2023 Best Paper Award Nomination for CODiT [2022B] at ICCPS 2023
- 2022 **Top Reviewer (top 10%)**, NeurIPS 2022
- 2022 Outstanding Reviewer (top 10%), ICML 2022
- 2023 NSF Travel Grant, International Conference on Cyber-Physical Systems (ICCPS) 2023
- 2022 Student Travel Grant, American Control Conference 2022
- 2019 The Dean's Fellowship, University of Pennsylvania
- 2019 The Howard Bradwell Fellowship, University of Pennsylvania
- 2018 SN Bose Scholarship, Govt. of India and the Indo-U.S. Science and Technology Forum
- 2015 KVPY Fellowship, Govt. of India

## Invited Talks

- 2024 Training Adaptive and Sample-Efficient Generalist Agents.
  - Google Deepmind
  - Apple MLR
- 2023 Learning Better Policies and Dynamics Models with Memory-Consistent and Memory-Constrained Neural Networks.
  - University of Pennsylvania (GRASP Lab) [video]
- 2023 Memory-Consistent Neural Networks Boost Your Diffusion Policies, Behavior Transformers, and Behavior Cloning Agents.
  - University of Pennsylvania (Perception Action Learning Group)
- 2023 Guaranteed Conformance of Neurosymbolic Generative (Dynamics) Models to Physics and Medical Constraints
  - Johns Hopkins University (CISS Session on Learning for Optimization and Control)
  - Amazon Science (Deep Earth Reading Group)
  - University of Pennsylvania (Formal Methods and Machine Learning Reading Group)

# Press Coverage

2023 Making Better Decisions with Al, Penn Engineering Today (USA).

# Service and Mentorship

2022 - Present Reviewer

ICLR 2025, 2024, ICML 2025, 2024, 2023, 2022, NeurIPS 2024, 2023, 2022, L4DC 2023, ICCPS 2025, 2022, RSS 2025

- 2020 2021 Organizer, Reading Group in Robust Deep Learning, University of Pennsylvania
- 2018 2019 **Team Lead**, *Department Academic Mentorship Program*, IIT Bombay Led a team of 22 senior mentors to counsel 89 sophomores, 29 under-performing students.

#### Technical skills

Languages Python, C, C++

Machine Learning Pytorch, OpenAl Gym, Tensorflow, JAX, CUDA, Sklearn, Pandas

Robotics Mujoco, Bullet, CARLA, ROS, Gazebo

## Key Coursework

Graduate Deep Learning, Reinforcement Learning, Convex Optimization, Probability, Computer Aided Verification

Undergraduate Data Structures and Algorithms, Linear and Nonlinear Control Theory, Adaptive and Optimal Control

# Other Projects

Apr - May 2023 "Fixing Reward Hacking with Large Language Models."

 Created a framework for an RL agent in Deepmind Al Safety environments to leverage GPT4 to detect reward hacking, fix its own reward function, and adapt quickly to the new reward.

## Teaching

Spring 22, Fall 24 **Teaching Assistant**, CIS 541: Embedded Software for Life-Critical Systems, UPenn

Spring 2021 Teaching Assistant, CIT 595: Computer Systems Programming, University of Pennsylvania