NetID: sh2432

### **Autoencoders**

This code includes implementations of various simple autoencoders using the Keras front end to TensorFlow. You should first run all of the code on the MNIST data, and gain a basic understanding of what everything is doing. Then, carry out the simple experiments described in Problem 2 of the assignment. For 2(b) (15 points), you need to run everything on the Fashion MNIST data and fill in the cells marked *your documentation/description goes here* with documentation of the code or descriptions of the results. You should also include more plots of images and weights, as described in the assignment writeup. For 2(c) (10 points) you will fit a series of networks, varying the dimension of the bottleneck.

The starter code for this assignment is from <a href="https://github.com/ardendertat/Applied-Deep-Learning-with-Keras">https://github.com/ardendertat/Applied-Deep-Learning-with-Keras</a>). For Keras documentation, see <a href="https://keras.io">https://keras.io</a>). (<a href="https://keras.io">https://keras.io</a>).

# Problem 2 (a) Gradients for a simple autoencoder (5 points)

Calculate the gradients of a simple autoencoder, as described in the pdf assignment.

# Problem 2 (b) Run on Fashion MNIST (15 points)

Run all of the code below, first on MNIST, then change the data set to Fashion MNIST. Comment on what all of the code does and your findings, as indicated below.

## **Utility functions**

this section diffines a function that compare the original images with the reconstructed images and plot them out. the autiencoder learn (automatically) a lossy compression based on the test data fed in. So the compression is specific to those test data. n is the number of images shown for each row. dims defines the size of each image. All images shown are from the test data.

```
In [15]:
         def plot_autoencoder_outputs(autoencoder, n, dims):
              decoded imgs = autoencoder.predict(x test)
              # number of example digits to show
              plt.figure(figsize=(2*n, 4.5))
              for i in range(n):
                  # plot original image
                  ax = plt.subplot(2, n, i + 1)
                  plt.imshow(x_test[i].reshape(*dims))
                  plt.gray()
                  ax.get_xaxis().set_visible(False)
                  ax.get_yaxis().set_visible(False)
                  if i == n/2:
                      ax.set_title('Original Images')
                  # plot reconstruction
                  ax = plt.subplot(2, n, i + 1 + n)
                  plt.imshow(decoded_imgs[i].reshape(*dims))
                  plt.gray()
                  ax.get_xaxis().set_visible(False)
                  ax.get_yaxis().set_visible(False)
                  if i == n/2:
                      ax.set_title('Reconstructed Images')
              plt.show()
```

## Read the data

This section read the required dataset in (MNIST or Fashion\_MNIST) and separate them into training and testing datasets. reshape the datasets into array with data type of float32 (single precision float: sign bit, 8 bits exponent, 23 bits mantissa) and print out the shape of training and testing datasets. Since 255.0 is the biggest number in the dataset, the numbers in the array will fall between 0 and 1.

```
In [45]: #MNIST
    #(x_train, y_train), (x_test, y_test) = mnist.load_data()
    #Fashion_MNIST
    (x_train, y_train), (x_test, y_test) = fashion_mnist.load_data()

    x_train = x_train.astype('float32') / 255.0
    x_test = x_test.astype('float32') / 255.0
    x_train = x_train.reshape((len(x_train), np.prod(x_train.shape[1:])))
    x_test = x_test.reshape((len(x_test), np.prod(x_test.shape[1:])))

    print(x_train.shape)
    print(x_test.shape)

(60000, 784)
    (10000, 784)
```

#### Shallow autoencoder

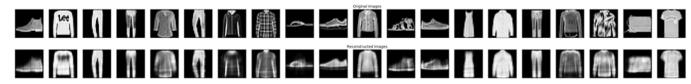
This section conducts shallow autoencoder and fed the training datasets. It is a three layers net, i.e. a neural net with one hidden layer. The input and output size are the same 784, and we reconstruct the input using the adam optimizer and the binary crossentropy loss function. We have an undercomplete autoencoder as the hidden layer dimension (32) is smaller than the input (784). This constraint will impose our neural net to learn a compressed representation of data.

reference: <a href="https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f">https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f</a> (<a href="https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f">https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f</a>)

Then we print out 20 original images and their coresponding reconstructed images. And also calculate the weights of corresponding layers of each image.

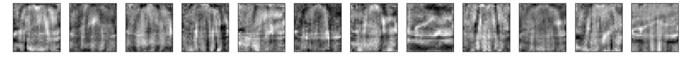
```
In [46]:
      input_size = 784
      code_size = 32
      input img = Input(shape=(input size,))
      code = Dense(code size, activation='relu')(input img)
      output img = Dense(input size, activation='sigmoid')(code)
      autoencoder = Model(input_img, output_img)
      autoencoder.compile(optimizer='adam', loss='binary crossentropy')
      autoencoder.fit(x train, x train, epochs=5)
      Epoch 1/5
      Epoch 2/5
      Epoch 3/5
      60000/60000 [============== ] - 13s 214us/sample - loss: 0.2855
      Epoch 4/5
      Epoch 5/5
      60000/60000 [============== ] - 15s 246us/sample - loss: 0.2835
Out[46]: <tensorflow.python.keras.callbacks.History at 0x2948aa27cf8>
```

In [47]: plot\_autoencoder\_outputs(autoencoder, 20, (28, 28))



```
In [56]: weights = autoencoder.get_weights()[0].T

n = 12
plt.figure(figsize=(20, 5))
for i in range(n):
    ax = plt.subplot(1, n, i + 1)
    plt.imshow(weights[i+20].reshape(28, 28))
    ax.get_xaxis().set_visible(False)
    ax.get_yaxis().set_visible(False)
```



#### Discussion of above results

In general, the shallow model did a good job in reconstructing the test images predicted using the model. The quality of the reconstructed image decreased in comparison to original, but the images are clearly visible. At least visually speaking, the test and the reconstructed images look similar but missing some details. For Fashion\_MNIST, details of the cloths and shoes are missing, but the shape of the cloths and shoes are similar. For MNIST, the predictions are more similar and more clear since numbers are less complex. This model gives result of 71.65% accuracy rate which can be further improved by potentially adding more layers. Outputs of this shallow autoencoder model is similar with PCA decomposition model. The model can be improved by increasing the code size and adding more layers. The model is fully trained given the large sample size and the loss rate is stablized around 28%.

## **Deep Autoencoder**

This section conducts deep/multilayer autoencoder on training datasets. It uses 3 hidden layers instead of just one. Any of the hidden layers can be picked as the feature representation but we make the network symmetrical and use the middle-most layer. The input and output size are the same 784, and we reconstruct the input using the adam optimizer and the binary crossentropy loss function. We have two undercomplete autoencoders as the hidden layer dimensions (128 & 32) which are smaller than the input (784). This constraint will impose our neural net to learn a compressed representation of data.

reference: <a href="https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f">https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f</a> (<a href="https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f">https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f</a>)

Then we print out 20 original images and their coresponding reconstructed images. And also calculate the weights of corresponding layers of each image.

```
In [57]:
    input_size = 784
    hidden_size = 128
    code size = 32
    # encoder
    input_img = Input(shape=(input_size,))
    hidden_1 = Dense(hidden_size, activation='relu')(input img)
    code = Dense(code_size, activation='relu')(hidden_1)
    #decoder
    hidden_2 = Dense(hidden_size, activation='relu')(code)
    output_img = Dense(input_size, activation='sigmoid')(hidden_2)
    autoencoder = Model(input_img, output_img)
    autoencoder.compile(optimizer='adam', loss='binary_crossentropy')
    autoencoder.fit(x_train, x_train, epochs=10)
    Epoch 1/10
    Epoch 2/10
    Epoch 3/10
    Epoch 4/10
    Epoch 5/10
    Epoch 6/10
    Epoch 7/10
    Epoch 8/10
    Epoch 9/10
    Epoch 10/10
    Out[57]: <tensorflow.python.keras.callbacks.History at 0x294ab84aba8>
In [58]: plot_autoencoder_outputs(autoencoder, 20, (28, 28))
```

```
In [59]: weights = autoencoder.get_weights()[0].T

n = 20
plt.figure(figsize=(20, 5))
for i in range(n):
    ax = plt.subplot(1, n, i + 1)
    plt.imshow(weights[i+0].reshape(28, 28))
    ax.get_xaxis().set_visible(False)
    ax.get_yaxis().set_visible(False)
```

#### Discussion of above results

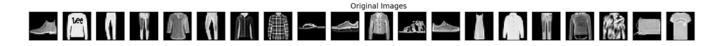
Adding hidden layers improve the accuracy of the prediction of the test images. It gives result of 72.66% accuracy rate which is better than the shallow model. The quality of the reconstructed image still decreased in comparison to original, but the images are more clearly visible. For Fashion\_MNIST, details of the cloths and shoes are still missing, but the shape of the cloths and shoes are similar. Outputs of the deep autoencoder model better than shallow autoencoder model. The model can be further improved by increasing the code size and adding more layers. The model is fully trained given the large sample size and the loss rate is stablized around 27%.

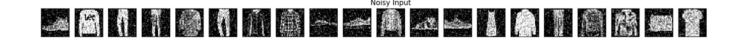
# **Denoising autoencoder**

Rather than adding a penalty to the loss function, this section obtains an autoencoder that learns something useful by changing the reconstruction error term of the loss function. It is done by adding some noise of the input image and make the autoencoder learn to remove it. The encoder extracts the most important features and learn a robuster representation of the data. Then the code prints out 20 original images, their corresponding noisy images, the output images after the autoencoder process, and the weights of each image.

reference: <a href="https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f">https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f</a> (<a href="https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f">https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f</a> (<a href="https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f">https://towardsdatascience.com/deep-inside-autoencoders-7e41f319999f</a>)

```
In [64]:
         noise_factor = 0.20
         x_train_noisy = x_train + noise_factor * np.random.normal(size=x_train.shape)
         x_test_noisy = x_test + noise_factor * np.random.normal(size=x_test.shape)
         x_train_noisy = np.clip(x_train_noisy, 0.0, 1.0)
         x_test_noisy = np.clip(x_test_noisy, 0.0, 1.0)
         n = 20
         plt.figure(figsize=(20, 5))
         for i in range(n):
             # plot original image
             ax = plt.subplot(2, n, i + 1)
             plt.imshow(x_test[i].reshape(28, 28))
             plt.gray()
             ax.get_xaxis().set_visible(False)
             ax.get_yaxis().set_visible(False)
             if i == n/2:
                 ax.set_title('Original Images')
             # plot noisy image
             ax = plt.subplot(2, n, i + 1 + n)
             plt.imshow(x_test_noisy[i].reshape(28, 28))
             plt.gray()
             ax.get_xaxis().set_visible(False)
             ax.get_yaxis().set_visible(False)
             if i == n/2:
                 ax.set_title('Noisy Input')
```

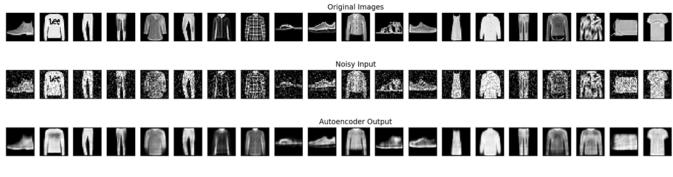




```
In [65]:
     input size = 784
     hidden_size = 128
     code size = 32
     input img = Input(shape=(input size,))
     hidden_1 = Dense(hidden_size, activation='relu')(input_img)
     code = Dense(code size, activation='relu')(hidden 1)
     hidden_2 = Dense(hidden_size, activation='relu')(code)
     output_img = Dense(input_size, activation='sigmoid')(hidden_2)
     autoencoder = Model(input img, output img)
     autoencoder.compile(optimizer='adam', loss='binary_crossentropy')
     autoencoder.fit(x train noisy, x train, epochs=10)
     Epoch 1/10
     60000/60000 [=============== ] - 17s 285us/sample - loss: 0.3185
     Epoch 2/10
     Epoch 3/10
     Epoch 4/10
     Epoch 5/10
     60000/60000 [============== ] - 16s 268us/sample - loss: 0.2829
     Epoch 6/10
     Epoch 7/10
     Epoch 8/10
     60000/60000 [============= ] - 16s 274us/sample - loss: 0.2805
     Epoch 9/10
     Epoch 10/10
```

Out[65]: <tensorflow.python.keras.callbacks.History at 0x2948006b278>

```
In [67]:
         n = 20
         plt.figure(figsize=(20, 5))
         images = autoencoder.predict(x_test_noisy)
         for i in range(n):
             # plot original image
             ax = plt.subplot(3, n, i + 1)
             plt.imshow(x_test[i].reshape(28, 28))
             plt.gray()
             ax.get_xaxis().set_visible(False)
             ax.get_yaxis().set_visible(False)
             if i == n/2:
                 ax.set_title('Original Images')
             # plot noisy image
             ax = plt.subplot(3, n, i + 1 + n)
             plt.imshow(x_test_noisy[i].reshape(28, 28))
             plt.gray()
             ax.get_xaxis().set_visible(False)
             ax.get_yaxis().set_visible(False)
             if i == n/2:
                 ax.set_title('Noisy Input')
             # plot noisy image
             ax = plt.subplot(3, n, i + 1 + 2*n)
             plt.imshow(images[i].reshape(28, 28))
             plt.gray()
             ax.get_xaxis().set_visible(False)
             ax.get_yaxis().set_visible(False)
             if i == n/2:
                 ax.set_title('Autoencoder Output')
```



```
In [68]: weights = autoencoder.get_weights()[0].T

n = 20
plt.figure(figsize=(20, 5))
for i in range(n):
    ax = plt.subplot(1, n, i + 1)
    plt.imshow(weights[i+0].reshape(28, 28))
    ax.get_xaxis().set_visible(False)
    ax.get_yaxis().set_visible(False)
```

#### Discussion of above results

Denoising autoencoder adds noise to the images and then let the machine learn to remove noise. It gives result of 72.04% accuracy rate which is better than the shallow model, but a little bit worse than the deep autoencoder model. The quality of the reconstructed image decreased in comparison to original, but the images are clearly visible. For Fashion\_MNIST, details of the cloths and shoes are missing, but the shape of the cloths and shoes are kept. The model can be further improved by increasing the code size and decrease the noise factor. The model is fully trained given the large sample size and the loss rate is stablized around 28%.

# Problem 2 (c): Training a series of shallow networks of varying complexity (10 points)

Your final task is to train a sequence of shallow networks, varying the code size parameter. Plot the training error and test error as a function of the code size. Describing your findings. Interpret them in terms of the bias/variance tradeoff.

```
In [114]: loss=[]
          val_loss=[]
          for code_size in range(1, 784, 100):
              input_size = 784
              input_img = Input(shape=(input_size,))
              code = Dense(code_size, activation='relu')(input_img)
              output_img = Dense(input_size, activation='sigmoid')(code)
              autoencoder = Model(input_img, output_img)
              autoencoder.compile(optimizer='adam', loss='binary_crossentropy')
              model = autoencoder.fit(x_train, x_train,epochs=5, verbose=1,validation_data=(x_test
          , x_test))
              l=model.history['loss'][4]
              v=model.history['val_loss'][4]
              loss.append(1)
              val_loss.append(v)
          print(loss)
          print(val_loss)
```

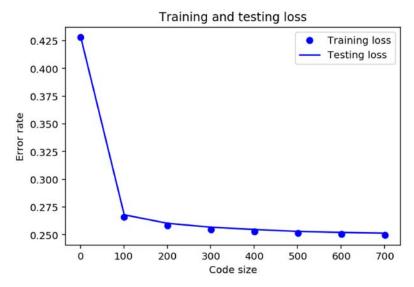
```
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
s: 0.4552
Epoch 2/5
s: 0.4401
Epoch 3/5
60000/60000 [=============== ] - 5s 82us/sample - loss: 0.4357 - val los
s: 0.4337
Epoch 4/5
60000/60000 [=================== ] - 5s 84us/sample - loss: 0.4311 - val los
s: 0.4304
Epoch 5/5
60000/60000 [=============== ] - 5s 83us/sample - loss: 0.4284 - val los
s: 0.4284
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
s: 0.2803
Epoch 2/5
s: 0.2730
Epoch 3/5
s: 0.2702
Epoch 4/5
60000/60000 [=============== ] - 8s 128us/sample - loss: 0.2671 - val los
s: 0.2687
Epoch 5/5
s: 0.2680
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
ss: 0.2731
Epoch 2/5
60000/60000 [================= ] - 10s 166us/sample - loss: 0.2667 - val lo
ss: 0.2656
Epoch 3/5
ss: 0.2630
Epoch 4/5
60000/60000 [================ ] - 10s 172us/sample - loss: 0.2597 - val lo
ss: 0.2611
Epoch 5/5
ss: 0.2603
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
ss: 0.2694
Epoch 2/5
ss: 0.2623
Epoch 3/5
60000/60000 [========================] - 10s 169us/sample - loss: 0.2584 - val_lo
ss: 0.2595
```

Epoch 4/5

```
ss: 0.2577
Epoch 5/5
60000/60000 [================ ] - 10s 171us/sample - loss: 0.2549 - val lo
ss: 0.2568
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
60000/60000 [================ ] - 13s 213us/sample - loss: 0.2866 - val lo
ss: 0.2675
Epoch 2/5
ss: 0.2603
Epoch 3/5
60000/60000 [================ ] - 12s 194us/sample - loss: 0.2565 - val lo
ss: 0.2572
Epoch 4/5
ss: 0.2555
Epoch 5/5
60000/60000 [=============== ] - 13s 215us/sample - loss: 0.2529 - val lo
ss: 0.2547
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
60000/60000 [================ ] - 15s 253us/sample - loss: 0.2836 - val lo
ss: 0.2655
Epoch 2/5
ss: 0.2587
Epoch 3/5
60000/60000 [================ ] - 14s 235us/sample - loss: 0.2549 - val lo
ss: 0.2559
Epoch 4/5
ss: 0.2542
Epoch 5/5
60000/60000 [============== ] - 15s 246us/sample - loss: 0.2514 - val_lo
ss: 0.2530
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
60000/60000 [================ ] - 17s 283us/sample - loss: 0.2817 - val lo
ss: 0.2636
Epoch 2/5
ss: 0.2576
Epoch 3/5
ss: 0.2551
Epoch 4/5
ss: 0.2530
Epoch 5/5
ss: 0.2521
Train on 60000 samples, validate on 10000 samples
Epoch 1/5
60000/60000 [================= ] - 20s 333us/sample - loss: 0.2806 - val lo
ss: 0.2627
Epoch 2/5
```

```
ss: 0.2585
Epoch 3/5
60000/60000 [=============] - 15s 257us/sample - loss: 0.2531 - val_lo
ss: 0.2539
Epoch 4/5
60000/60000 [============] - 16s 259us/sample - loss: 0.2510 - val_lo
ss: 0.2524
Epoch 5/5
60000/60000 [============] - 16s 260us/sample - loss: 0.2498 - val_lo
ss: 0.2514
[0.4284133812427521, 0.26604432243506115, 0.2584036552031835, 0.2548880720456441, 0.252
87009921073916, 0.25136223237514493, 0.25041441733042397, 0.24979017966588338]
[0.42843500695228576, 0.2680084102153778, 0.2602871421813965, 0.2567963043212891, 0.254
7222573518753, 0.2530081756591797, 0.2520809965133667, 0.2514390437602997]
```

```
In [119]: code_size = range(1, 784, 100)
    plt.figure()
    plt.plot(code_size, loss, 'bo', label='Training loss')
    plt.plot(code_size, val_loss, 'b', label='Testing loss')
    plt.title('Training and testing loss')
    plt.xlabel('Code size')
    plt.ylabel('Error rate')
    plt.legend()
    plt.show()
```



As code size increases, the error rate decreases. However, as shown in the graph, after code\_size reachs 300, error rates of both training dataset and test dataset stablized around 0.25. Then increasing code\_size will have little effect on improving the accuracy of the model. There is no bias/variance tradeoff observed in the plot though. The error rates keep decreasing. Maybe when the code\_size become very large, the error rate will start to increase.