

**PH 107 :Quantum Physics and Applications**

**Tunnelling application and Simple Harmonic**

**Oscillator**

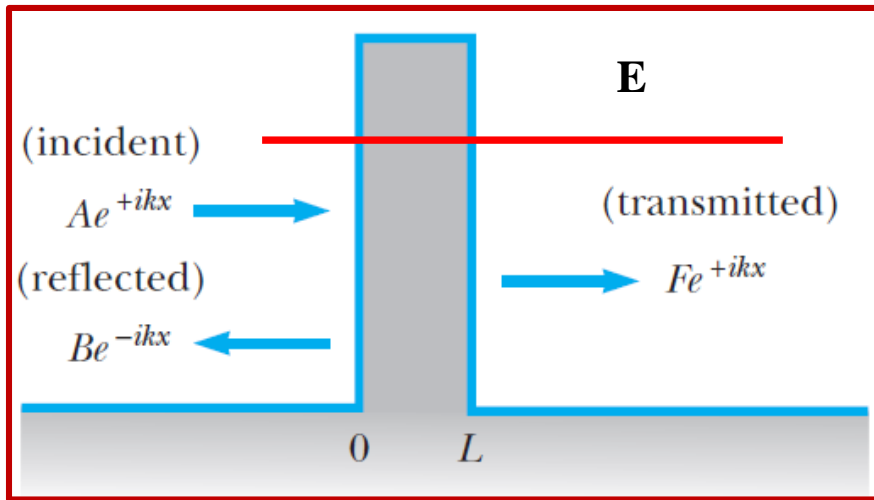
**Lecture 18: 15-02-2022**

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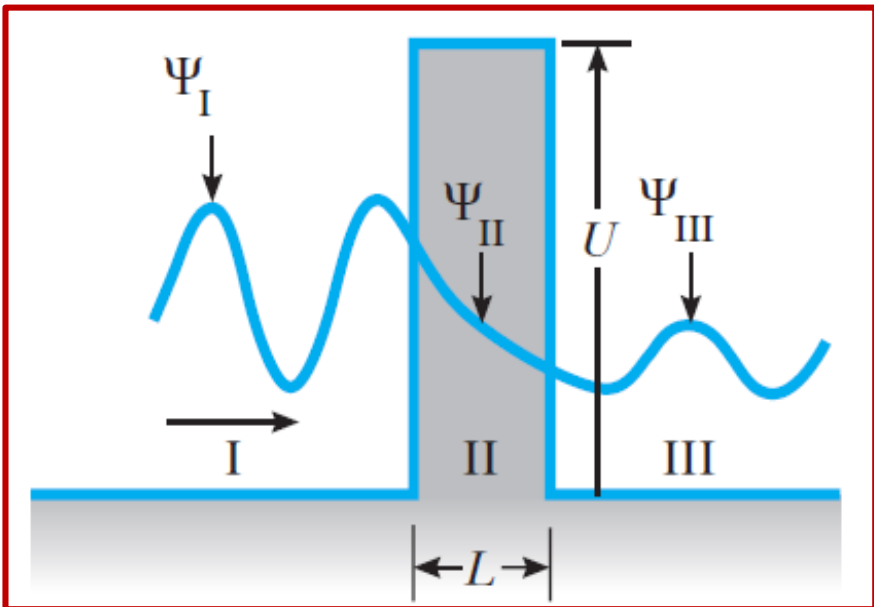
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# Recap ( Potential Barrier, $E < V_0$ )

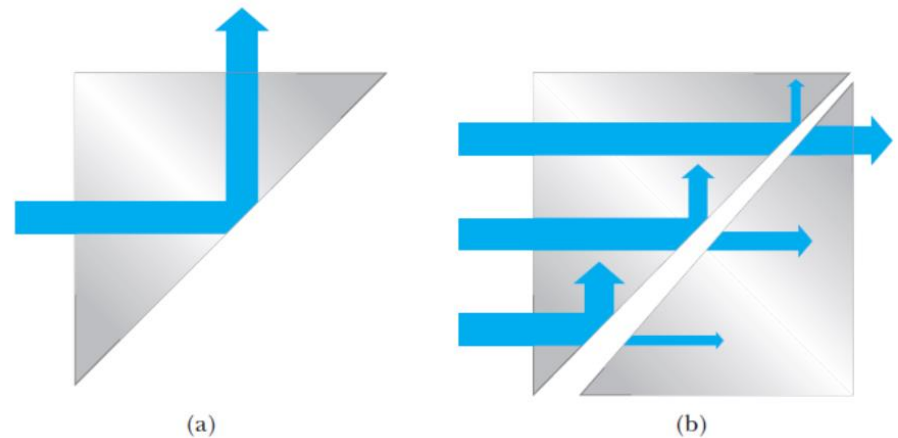


$$T(E) = \left[ 1 + \frac{1}{4} \left( \frac{V_0^2}{E(V_0 - E)} \right) \sinh^2 \alpha L \right]^{-1}$$

$$\sinh \alpha L = (e^{\alpha L} - e^{-\alpha L})/2 ; \alpha^2 = \frac{2m}{\hbar^2} (V_0 - E)$$



## Tunneling Application



**For  $\alpha L \gg 1$**

$$\sinh^2(\alpha L) = \left( \frac{e^{\alpha L} - e^{-\alpha L}}{2} \right)^2 = \frac{1}{4} (e^{2\alpha L} + e^{-2\alpha L} - 2) \approx \frac{1}{4} e^{2\alpha L}$$

*Also neglecting 1 in comparison to other term in expression of T*

**For  $\alpha L \gg 1$ ,**

$$T \approx \frac{16E(V_0 - E)}{V_0^2} e^{-2\alpha L}$$

**For  $\alpha L \ll 1$**

$$\sinh^2(\alpha L) = \left( \frac{e^{\alpha L} - e^{-\alpha L}}{2} \right)^2 \approx \alpha^2 L^2$$

(keeping only leading terms in  $\alpha L$ )

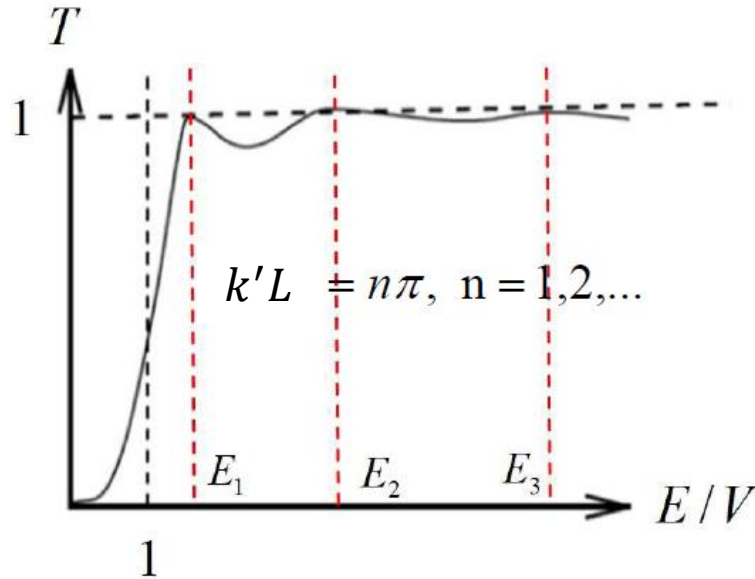
**$E \ll V_0$**

$$\frac{V_0^2}{4E(V_0 - E)} = \frac{1}{4(E/V_0)(1 - E/V_0)} \approx \frac{1}{4(E/V_0)}$$

$$T = \left[ 1 + \frac{1}{4} \left( \frac{V_0^2}{E(V_0 - E)} \right) \sinh^2(\alpha L) \right]^{-1} \quad \longrightarrow \quad \therefore T = \left[ 1 + \frac{1}{4} \left( \frac{V_0^2}{E(V_0 - E)} \right) \alpha^2 L^2 \right]^{-1}$$

$$\longrightarrow \quad \therefore T = \left[ 1 + \frac{m^2 V_0^2 L^2}{\hbar^4 k^2} \right]^{-1} \quad E = \frac{\hbar^2 k^2}{2m}$$

# Transmission resonances: The $E > V_0$ case



$$T(E) = \left[ 1 + \frac{1}{4} \left( \frac{V_0^2}{E(E - V_0)} \right) \sin^2 k' L \right]^{-1}$$

$$(k')^2 = \frac{2m}{\hbar^2} (E - V_0)$$

- At high energies and weak potentials, particle would not feel the effect of the barrier.
- For total transmission/ **transmission resonance**.

$$\sin^2 k' L = 0 \text{ or } k' L = n\pi \quad \text{where } n = 1, 2, 3$$

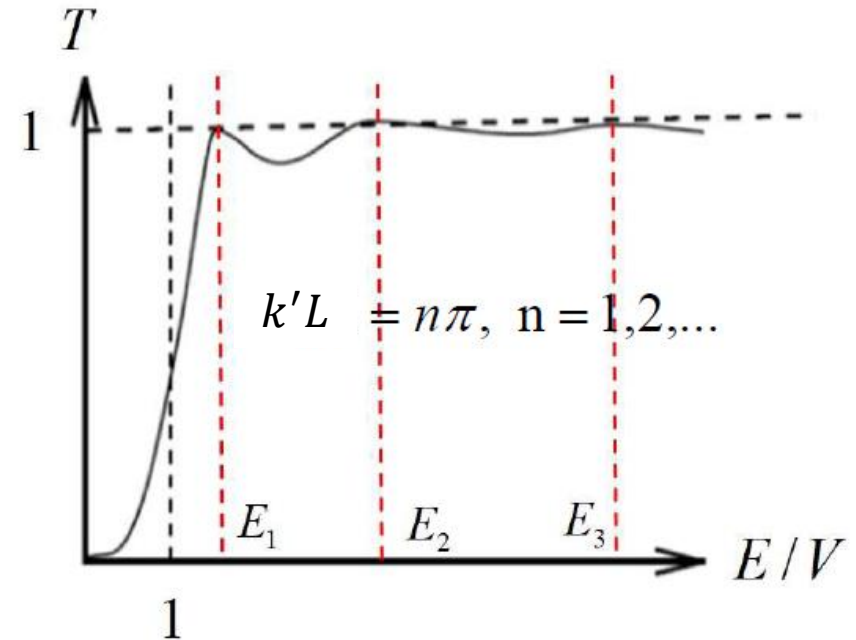
$$\longrightarrow E_n = V_0 + \frac{n^2 \pi^2 \hbar^2}{2ma^2}$$

Phenomena of 100% transmission through a barrier at specific energies is called “**Transmission Resonance**”.

# Transmission resonances: The $E > V_0$ case

Incident energy of the particle;

$$E_n = V_0 + \frac{n^2 \pi^2 \hbar^2}{2ma^2} \quad ; \quad n = 1, 2, 3$$



- The maxima of  $T$  coincides with energy eigen values of infinite square well potential. These are known as resonances.
- **Ramsauer-Townsend effect:** Scattering of low energy electron from noble atoms. Noble gases become nearly transparent to electrons of specific energy.
- **Size Resonance** : MeV energy neutrons pass transparently through nuclei at resonant energies.

*Condition for transmission resonance*

$$k' = \frac{\sqrt{2m(E - V_0)}}{\hbar}$$

$$k'L = n\pi, \quad n = 1, 2, \dots$$



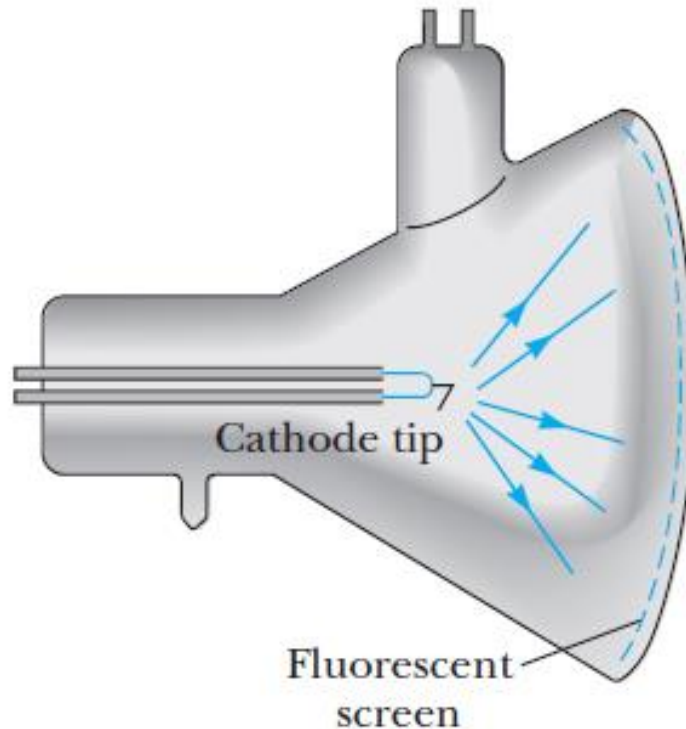
$$L = n \frac{\pi}{k'} = n \left( \frac{\pi}{2\pi / \lambda'} \right) = n \left( \frac{\lambda'}{2} \right)$$

$\lambda'$  is the wavelength of the particle in the barrier region.

*Transmission resonances occur when the length of the barrier is half integral of the wavelength of the particle in the barrier region.*

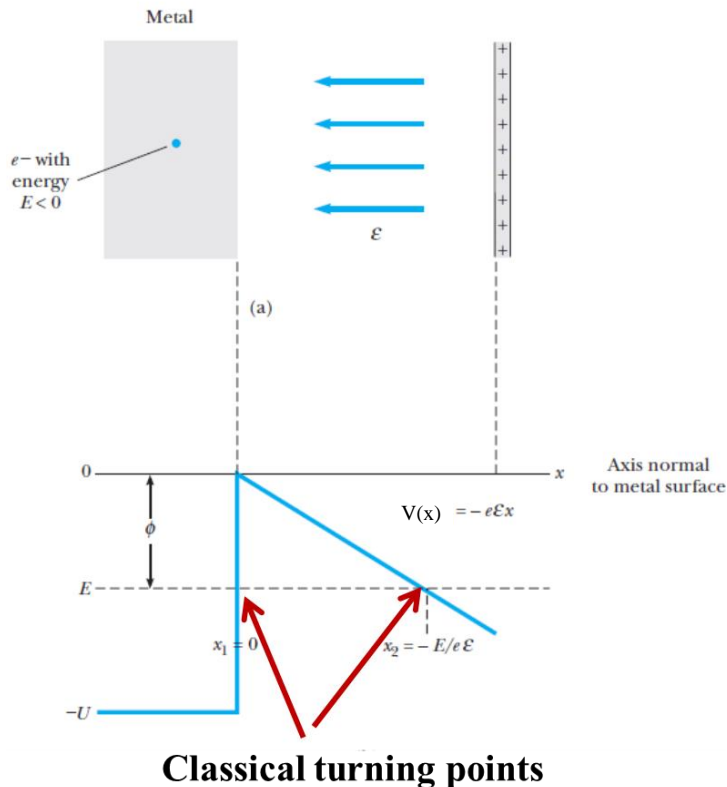
# Tunneling Application 2: Field-emission

- Electrons are emitted from a metal under the action of a strong electric field (*Cold emission*).
- Escaping electrons used in image to determine with structural details of the surface



# Tunneling Application 2: Field-emission

- Mobile electrons attracted to the surface by the positively charged plate.
- Free metal electrons are bound by a potential well of depth  $U$ . The total energy of electron is negative.
- Beyond surface  $x > 0$ , electron is attracted by force ( $e\mathcal{E}$ ). Its potential energy  $V(x) = -e\mathcal{E}x$ .
- By virtue of wave character electron tunnel through this barrier.



$$T(E) \simeq \exp \left( -\frac{2}{\hbar} \sqrt{2m} \int \sqrt{V(x) - E} dx \right)$$

$$T(E)_{\text{FE}} \simeq \exp \left( \left\{ -\frac{4}{3e\hbar} \sqrt{2m} |E|^{3/2} \right\} \frac{1}{\epsilon} \right)$$

$$\simeq \exp \left( -\frac{\epsilon_c}{\epsilon} \right)$$

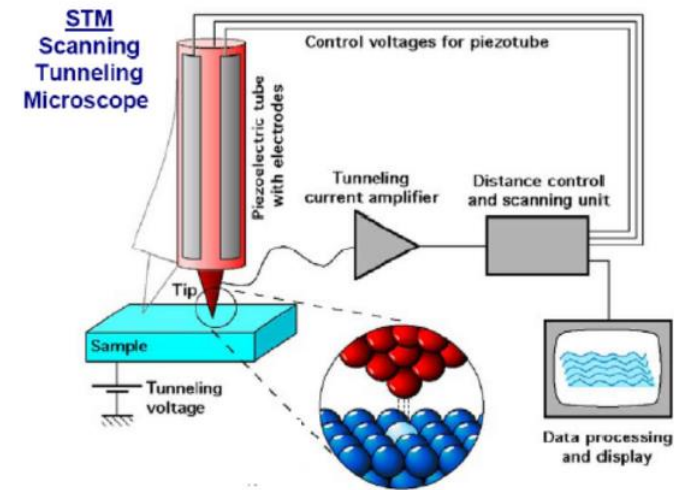
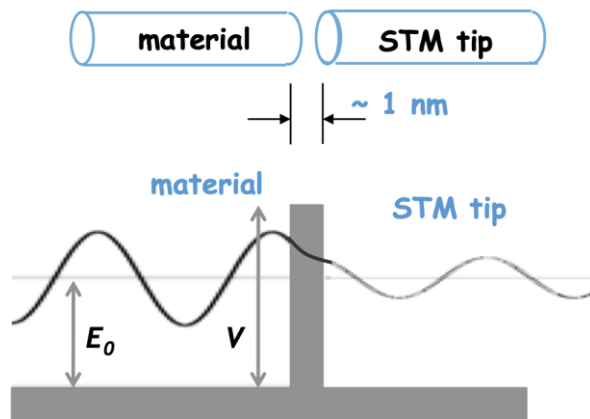
**Characteristic field strength for emission**

$$\epsilon_c = \frac{4\sqrt{2m} |E|^{3/2}}{3e\hbar}$$

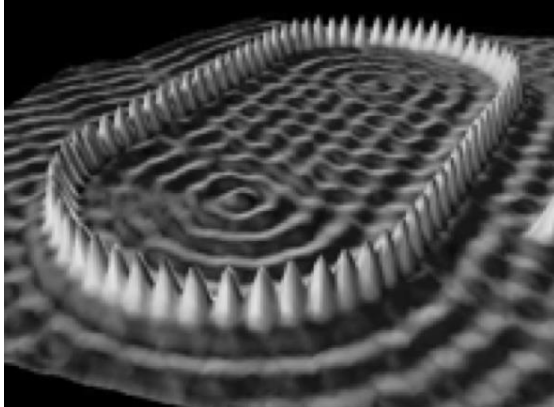


# Tunneling Application 3: Scanning Tunneling Microscope

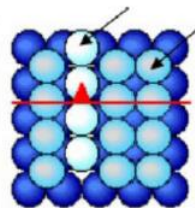
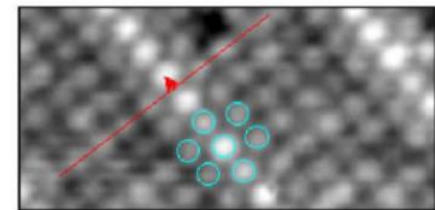
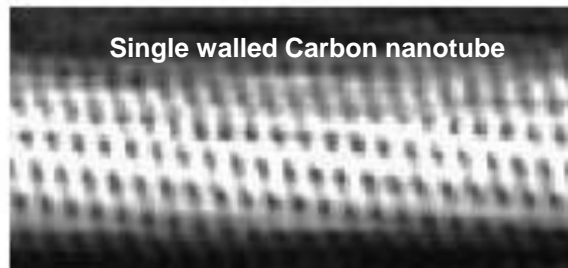
- Due to quantum effect of barrier penetration, the electron density of material (wave function) extends beyond the surface.
- One can exploit the tunneling effect to measure the electron density on the surface.



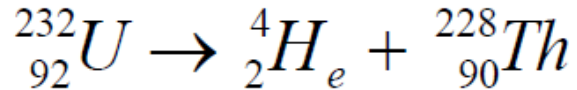
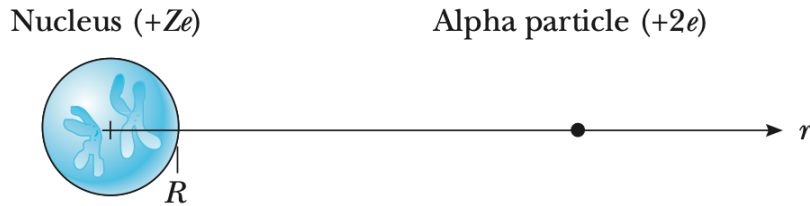
Quantum corals :Sodium atoms on metal



Single walled Carbon nanotube



# Tunneling Application 4 : Alpha-Decay



**Table 7.1 Characteristics of Some Common  $\alpha$  Emitters**

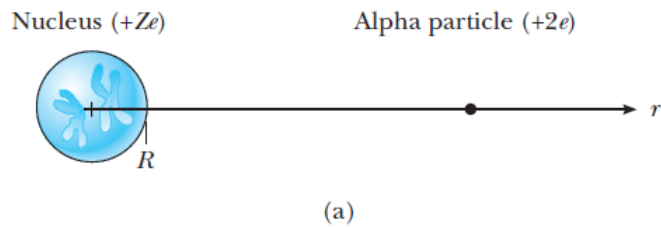
Element	$\alpha$ Energy	Half-Life*
${}_{84}^{212}\text{Po}$	8.95 MeV	$2.98 \times 10^{-7} \text{ s}$
${}_{96}^{240}\text{Cm}$	6.40 MeV	27 days
${}_{88}^{226}\text{Ra}$	4.90 MeV	$1.60 \times 10^3 \text{ yr}$
${}_{90}^{232}\text{Th}$	4.05 MeV	$1.41 \times 10^{10} \text{ yr}$

\*Note that half-lives range over 24 orders of magnitude when  $\alpha$  energy changes by a factor of 2.

Decay of radioactive elements with emission of  $\alpha$ -particles (helium nuclei) was puzzling until 1928 (Gamow and Gurney).

- $\alpha$ -particles have energy in the range of 4-9 eV
- Half-life time of emitter varies enormously. This can be explained by tunnelling.**

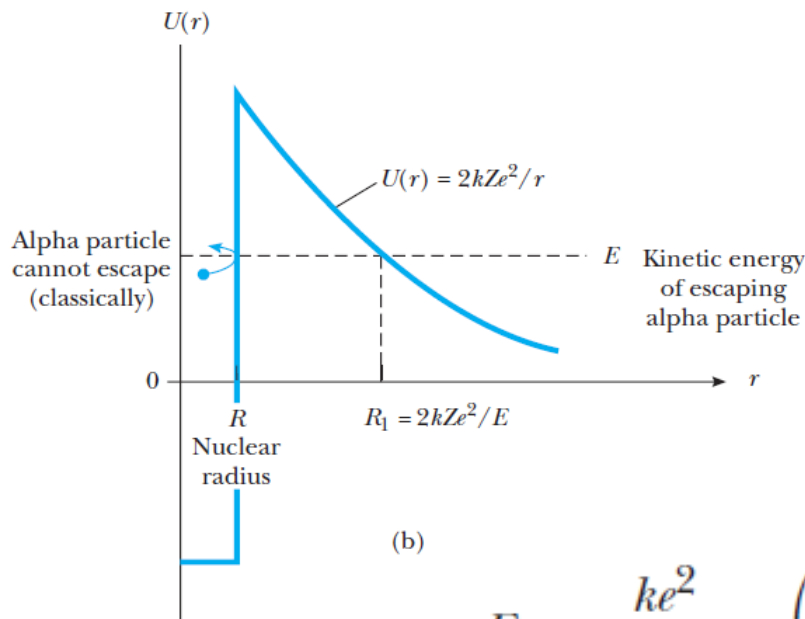
# $\alpha$ -decay ( example 13.0, Chapter 13, Serway)



Coulomb barrier,  $U(r) \sim 30 \text{ MeV}$

$$R \sim 10 \text{ fm}$$

$$T(E)_\alpha \approx \exp\left(-4\pi Z \sqrt{\frac{E_0}{E}} + 8 \sqrt{\frac{ZR}{r_0}}\right)$$



$r_0 = 7.25 \text{ fm}$  is like the “Bohr radius” of the  $\alpha$  particle.

$$E_0 = \frac{ke^2}{2r_0} = \left(\frac{ke^2}{2a_0}\right)\left(\frac{a_0}{r_0}\right) = (13.6 \text{ eV})(7295) = 0.0993 \text{ MeV}$$

**Decay Rate = Collision frequency ( $f = v/2R$ ) X  $T(E)$**

# $\alpha$ -decay

Decay rate:  $\lambda = fT(E)_\alpha$

$$\lambda \approx 10^{21} \exp \left( -4\pi Z \sqrt{\frac{E_0}{E}} + 8 \sqrt{\frac{ZR}{r_0}} \right)$$

Half-life time of the emitter

$$t_{1/2} = \frac{\ln 2}{\lambda}$$

Sensitivity of tunnelling rate to small changes in particle energy accounts for the wide range of half-lives observed for  $\alpha$  emitters

One-dimensional harmonic oscillator potential

# One-dimensional Simple harmonic oscillator (SHO)

- In classical mechanics, SHO is a system or a particle that under goes harmonic motion about an equilibrium point.

The eq of motion is

$$F = m \frac{d^2 x}{dt^2} = -kx ; k \text{ is spring constant}$$

- The RHS is the restoring force  $\left(F = -\frac{dV(x)}{dx}\right)$  that acts in the direction opposite to the displacement .

- Solution to Newton's equation is,

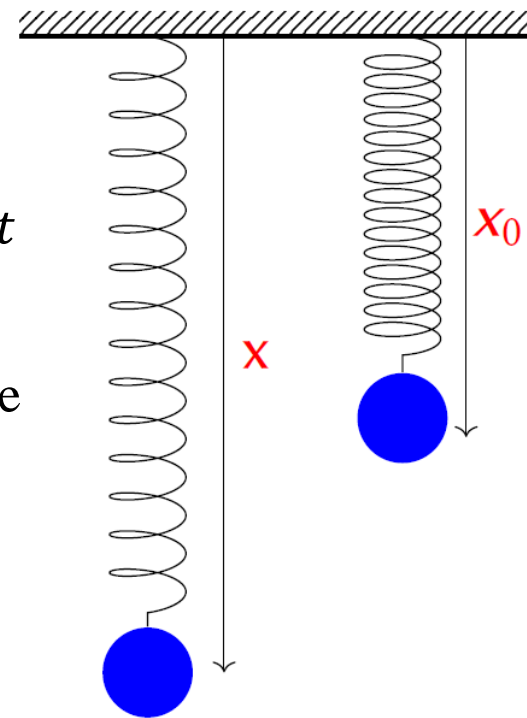
$$x(t) = A \sin(\omega t + \phi_o) \text{ and } \omega^2 = \frac{k}{m}$$

- Work done by the restoring force,

$$\Delta W = \int_x^{x_o} F \cdot x = -\frac{k (x - x_o)^2}{2}$$

- The potential energy of the oscillator is,  $V(x) = -\Delta W = \frac{k (x - x_o)^2}{2}$

- If  $x_o = 0$  then  $V(x) = \frac{kx^2}{2}$



$k$  is spring constant  
 $m$  is mass of the ball  
spring is massless

## One-dimensional Simple harmonic oscillator (SHO)

**Solution:**  $x(t) = A \sin(\omega t + \phi)$   $v(t) = \omega A \cos(\omega t + \phi)$

Total Energy  $E = \frac{1}{2}mv^2 + \frac{1}{2}kx^2$

$$= \frac{1}{2}m\omega^2 A^2 \cos^2(\omega t + \phi) + \frac{1}{2}kA^2 \sin^2(\omega t + \phi)$$
$$= \frac{1}{2}m\omega^2 A^2$$

The total energy of the oscillator is constant.

$$\Rightarrow A = \pm \sqrt{\frac{2E}{m\omega^2}} = \pm \sqrt{\frac{2E}{k}}$$

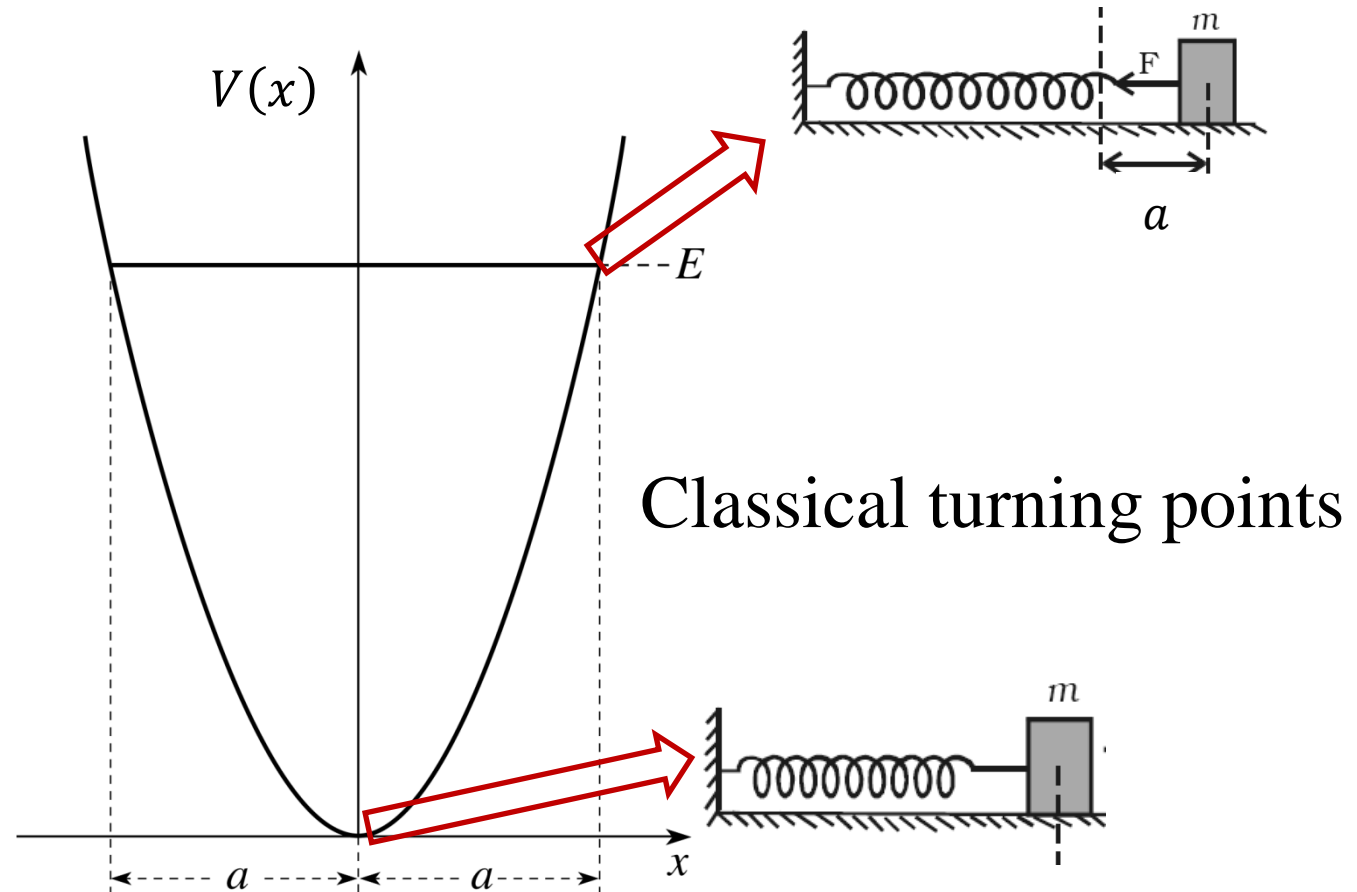
# One-dimensional harmonic oscillator potential

**Classical turning point:** When total energy = potential energy

$$V(x) = \frac{kx^2}{2}$$

$$E = \frac{1}{2}ka^2$$

$$\Rightarrow a = \pm \sqrt{\frac{2E}{k}}$$





# Classical SHO : Properties

- Velocity at turning point is zero,  $v_{\pm a} = 0$  and Potential Energy  $PE_{\pm a} = E$ .
- The motion of the HO is confined to the region where  $KE \geq 0$ . **CHO can never be found beyond the turning points.**
- The energy of the CHO changes in a continuous way.
- HO is at rest in its equilibrium position:  $PE = 0$
- The classical probability to find the object in the region  $x$  and  $x + dx$ :

$$P_{Cl}(x)dx = 2 \times \frac{dt}{T} = \frac{\omega}{\pi} \frac{dx}{v(t)}$$

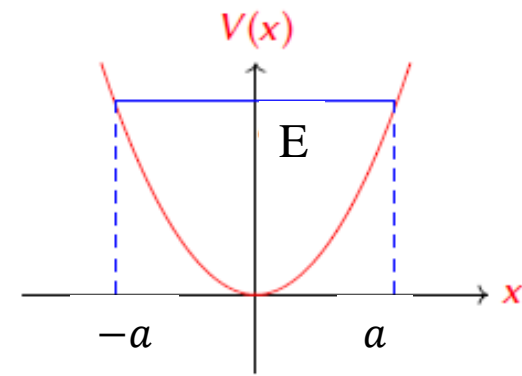
$$= \frac{1}{\pi} \frac{1}{\sqrt{A^2 - x^2(t)}}$$

$$v(t) = A\omega \cos(\omega t + \phi_0)$$

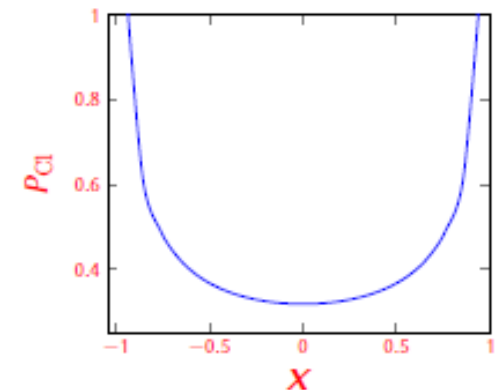
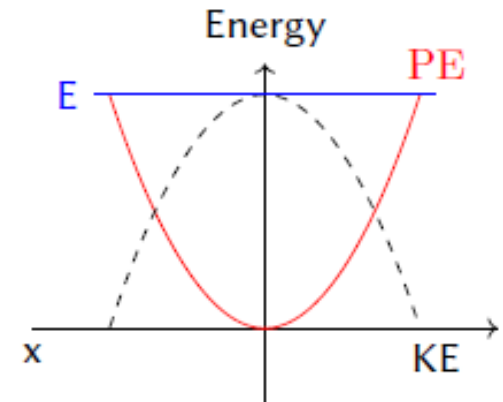
$$= A\omega \sqrt{1 - \sin^2(\omega t + \phi_0)}$$

$$= \omega \sqrt{A^2 - x^2(t)}$$

- Factor 2 appears above because HO is in the region  $x$  and  $x + dx$  twice in one time-period  $T$ .

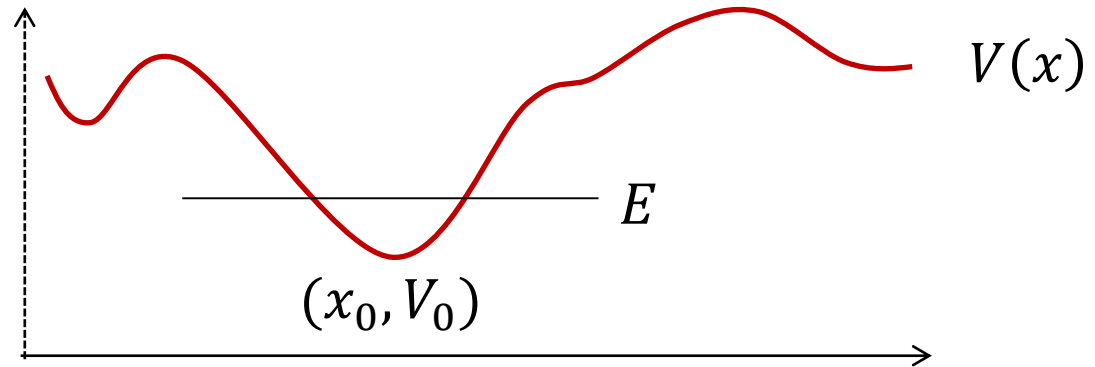


$X = 0$  is equilibrium point  
 $X = \pm a$  are turning points



# Importance of the Harmonic Oscillator potential

Consider any arbitrary potential. Close to the equilibrium point, most potentials look like HO:



Taylor expansion of  $V(x)$  about the minimum  $V_0$  is

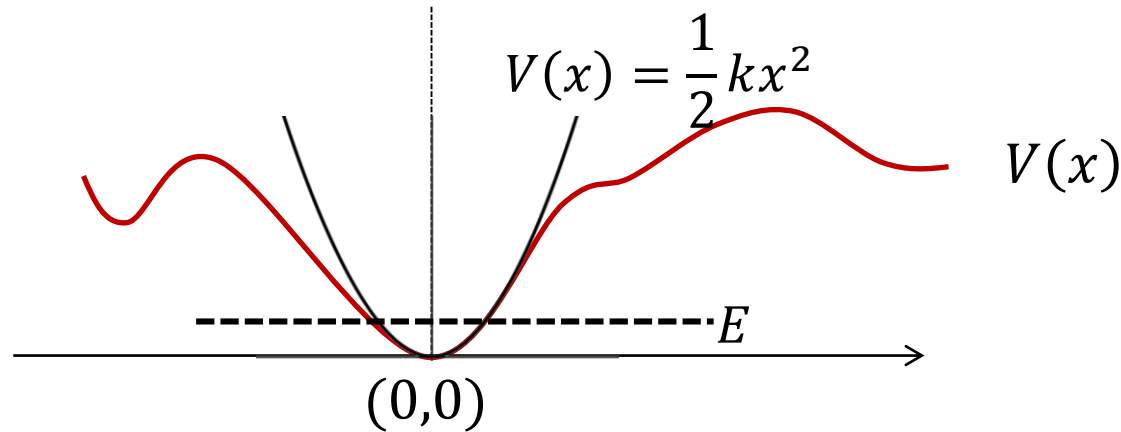
$$V(x) = V_0 + \left. \frac{dV(x)}{dx} \right|_{V_0} (x - x_0) + \left. \frac{d^2V(x)}{dx^2} \right|_{V_0} (x - x_0)^2 + \dots$$

Since  $\left. \frac{dV(x)}{dx} \right|_{V_0} = 0$  and noting that  $k = \left. \frac{d^2V(x)}{dx^2} \right|_{V_0} > 0$

$$V(x) = V_0 + \frac{1}{2} k (x - x_0)^2$$

By suitably shifting the origin to  $(x_0, V_0)$ , we get,  $V(x) = \frac{1}{2} k x^2$

# Importance of the Harmonic Oscillator potential



- For small excursions of the particle away from the minimum, the arbitrary potential can be approximated to be simple harmonic.
- Harmonic oscillator is one of the favourite systems a physicist uses to understand many complex phenomenon.
- Oscillations are found throughout in the nature. Examples: Water waves, Vibration of a string, Vibrations of crystals, Light.
- HO serves as a prototype in the mathematical treatment of such diverse systems.

# Example 1: Vibration of a diatomic molecule

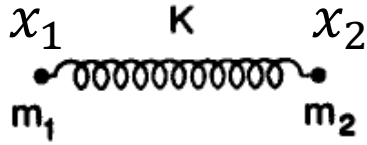
- Consider a molecule consisting of two atoms.



- Atoms attract each other via a potential — Morse potential

$$V(r) = D_e \left( [1 - e^{-a(r-r_e)}]^2 - 1 \right)$$

## Example1 : Vibration of a diatomic molecule



Eq. length of the spring :  $x_0$

Displacement from equilibrium  
position:  $x = x_1 - x_2$

Classical equations of motion

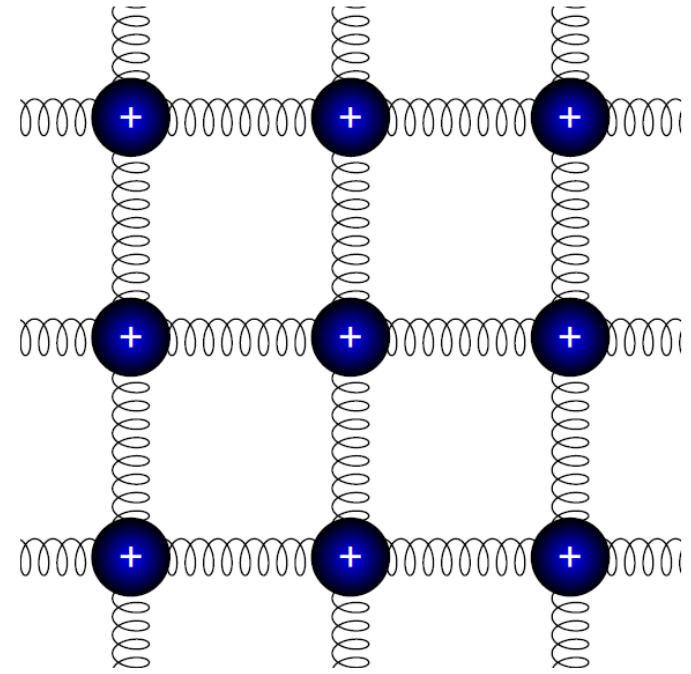
$$m_1 \ddot{x}_1 = k(x - x_0)$$

$$m_2 \ddot{x}_2 = k(x - x_0)$$

$$\ddot{x}_1 - \ddot{x}_2 = \ddot{x} = -\frac{k}{\mu}(x - x_0); \mu = \frac{m_1 m_2}{m_1 + m_2}: \text{Reduced mass}$$

## Example 2: Vibration of Solid

- A solid can be thought of as spheres connected by springs in all the 3 directions.
- Along each direction, motion can be analyzed in terms of **normal modes**.
- Each mode can be treated as a set of independent oscillators.
- Quantization of the crystal oscillators leads to the concept of **phonons** (sound quanta), which are analogous to photons.

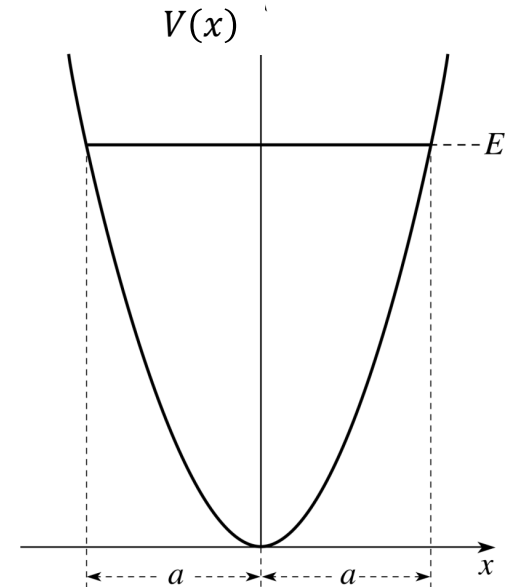


# Quantum One-dimensional harmonic oscillator

# Quantum harmonic oscillator

Two important features about the potential:

- $V(x)$  is a symmetric potential.
- $V(x)$  increases without limit as  $x \longrightarrow \pm \text{infinity}$



For the quantum mechanical behavior of a particle subject to such a potential, one should solve,

$$\left( -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} kx^2 \right) \psi(x) = E\psi(x)$$

where  $E$  is the energy eigen value.

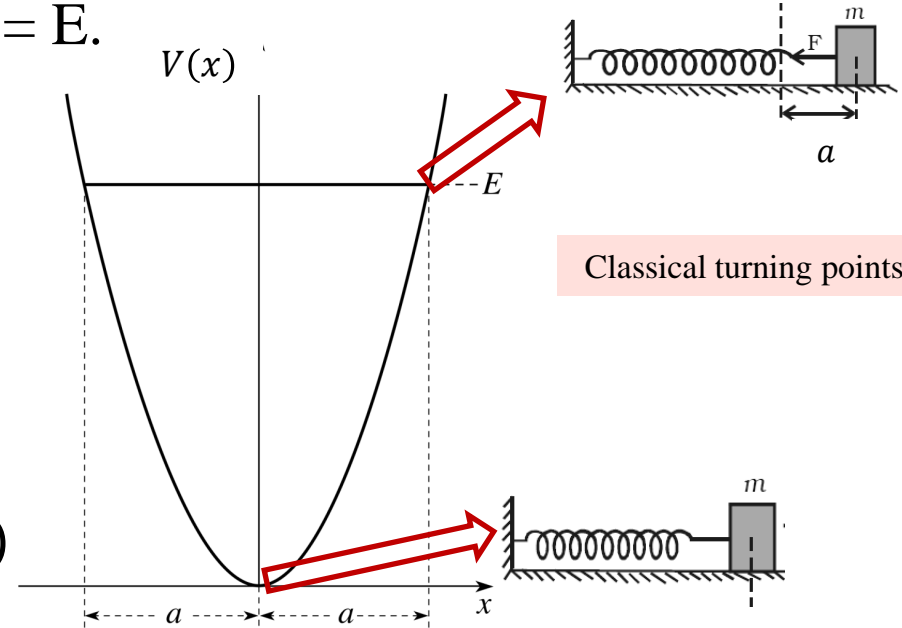


# Quantum harmonic oscillator

Turning points are points at which  $V(x) = E$ .

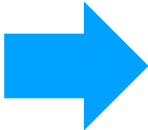
Since,  $\omega = \sqrt{k/m}$  the equation can be written as

$$\left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 x^2\right) \psi(x) = E \psi(x)$$



Hamiltonian : K.E + P.E

At turning points,

**Since**,  $V(x) = \frac{kx^2}{2}$    $E = \frac{1}{2} ka^2$

## Heuristic way to find solution, $\psi(x)$

The exponential and trigonometric solutions of  $\psi(x)$  will not work due to  $x^2$  form of the potential.

$$\left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 x^2\right) \psi(x) = E \psi(x)$$

- $e^{\pm ix}$  : Do not fall off for large  $|x|$ . We need a function that falls off faster than  $1/x^2$
- $e^{-x}$  : Works only for positive  $x$ .
- $e^{-|x|}$  : Derivative not smooth at  $x = 0$

# Solution for HO

Ground state wave function  $\psi(x)$  should be

- *Symmetric* around symmetric around  $x = 0$
- *Nodeless* but approaching zero for large  $|x|$

**Let's choose Gaussian function,  $\psi_o(x) = C_o e^{-\alpha x^2}$**

Second derivative;

$$\begin{aligned}\frac{d^2\psi_o(x)}{dx^2} &= \{4\alpha^2 x^2 - 2\alpha\} C_o e^{-\alpha x^2} \\ &= \{4\alpha^2 x^2 - 2\alpha\} \psi_o(x)\end{aligned}$$

$$\text{Since } \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 x^2\right) \psi_o(x) = E \psi_o(x)$$

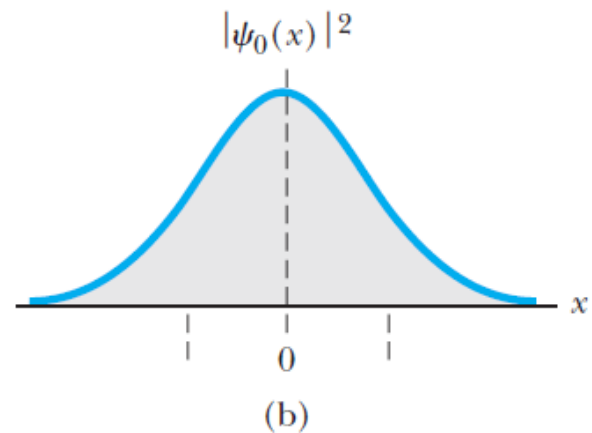
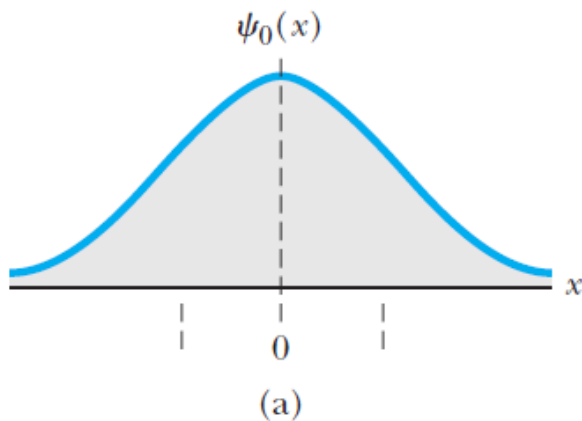
$$\frac{d^2\psi_o(x)}{dx^2} = \frac{2m}{\hbar^2} \left(\frac{1}{2} m \omega^2 x^2 - E\right) \psi_o(x)$$

$$4\alpha^2 = \frac{2m}{\hbar^2} \frac{1}{2} m\omega^2 \quad \Rightarrow \quad \alpha^2 = \frac{m\omega}{2\hbar}$$

$$\frac{2mE}{\hbar^2} = 2\alpha \quad \Rightarrow \quad E = \frac{1\hbar\omega}{2}$$

The ground state wave function,

$$\psi_o(x) = C_o e^{-m\omega x^2/2\hbar}$$



# Properties of the solution

- Energy of the oscillator is not zero. It is a positive and depends on the frequency of the oscillator.

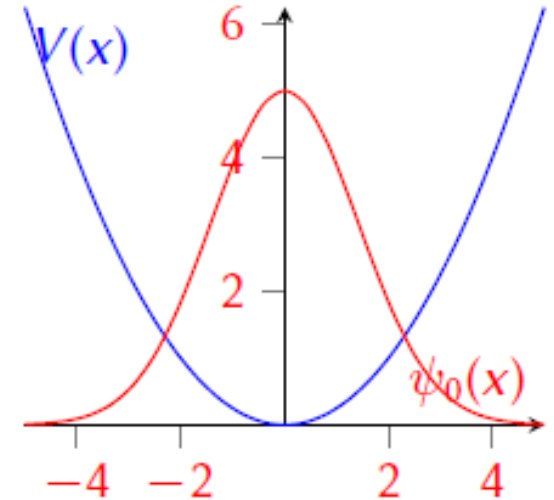
$$E = \frac{\hbar\omega}{2}$$

- At the classical turning points are

$$E = \frac{1}{2}ka^2$$

➡ 
$$a = \sqrt{\frac{2E}{m\omega^2}}$$

- For  $x > a$ ,  $\psi(x) \neq 0$ . However this is classically forbidden.



# Classical vs Quantum- Harmonic Oscillator

Key differences between classical SHO and quantum SHO are:

- Classical HO cannot penetrate into forbidden region ,  $x > \pm a$  .
- Quantum HO does penetrate into forbidden region
- For classical HO, probability density maximum at turning points.
- Quantum HO are most likely to be found in the center of potential region.

