

Chapter 1

SET THEORY

[Part 1: Set & Subset]

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Introduction

Why are we studying sets

- The concept of set is basic to all of mathematics and mathematical applications.
- Serves as a basis of description of higher concept and mathematical reasoning
- Set is fundamental in many areas of Computer Science.

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Set

- A set is a **well-defined collection of distinct objects**.
- These objects are called **members** or **elements** of the set.
- Well-defined means that we can tell for certain whether an object is a member of the collection or not.
- If a set is finite and not too large, we can describe it by listing the elements in it.

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Example

- A is a set of all positive integers less than 10,
 $A = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- B is a set of first 5 positive odd integers,
 $B = \{1, 3, 5, 7, 9\}$
- C is a set of vowels, $C = \{a, e, i, o, u\}$

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Defining Sets

This can be done by:

- Listing ALL elements of the set within braces.
- Listing enough elements to show the pattern then an ellipsis.
- Use set builder notation to define “rules” for determining membership in the set

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Example

1. Listing ALL elements. $A = \{1, 2, 3, 4\}$ explicitly
2. Demonstrating a pattern. $\mathbb{N} = \{1, 2, 3, \dots\}$ implicitly
3. Using set builder notation. $P = \{x | x \in \mathbb{R} \text{ and } x \notin \mathbb{C}\}$ implicitly

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UTM **Sets**

A set is determined by its elements and not by any particular order in which the element might be listed.

Example, $A = \{1, 2, 3, 4\}$,

A might just as well be specified as $\{2, 3, 4, 1\}$ or $\{4, 1, 3, 2\}$

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UTM **Sets**

The elements making up a set are assumed to be **distinct**, we may have duplicates in our list, only one occurrence of each element is in the set.

Example

$\{a, b, c, a, c\} \rightarrow \{a, b, c\}$

$\{1, 3, 3, 5, 1\} \rightarrow \{1, 3, 5\}$

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UTM **Sets**

- Use uppercase letters $A, B, C \dots$ to denote sets, lowercase denote the elements of set.
- The symbol \in stands for 'belongs to'
- The symbol \notin stands for 'does not belong to'

Example

$X = \{a, b, c, d, e\}, \quad b \in X \text{ and } m \notin X$

$A = \{\{1\}, \{2\}, 3, 4\}, \quad \{2\} \in A \text{ and } 1 \notin A$

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UTM **Sets**

- If a set is a large finite set or an infinite set, we can describe it by **listing a property necessary for memberships**
- Let S be a set, the notation,
 $A = \{x \mid x \in S, P(x)\}$ or $A = \{x \in S \mid P(x)\}$


means that A is the set of all elements x of S such that x satisfies the property P .

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UTM **Example**


- Let $A = \{1, 2, 3, 4, 5, 6\}$, we can also write A as,
 $A = \{x \mid x \in \mathbb{Z}, 0 < x < 7\}$ if \mathbb{Z} denotes the set of integers.
- Let $B = \{x \mid x \in \mathbb{Z}, x > 0\}$, $B = \{1, 2, 3, 4, \dots\}$

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Example

The set of natural numbers: $\mathbb{N} = \{1, 2, 3, \dots\}$
 The set of integers: $\mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$
 The set of positive integers: $\mathbb{Z}^+ = \{1, 2, 3, \dots\}$
 The set of Rational Numbers (fractions): $\frac{1}{2}, \frac{2}{3}, \frac{5}{7}, \text{etc} \in \mathbb{Q}$
 More formally: $\mathbb{Q} = \left\{ \frac{a}{b} \mid a, b \in \mathbb{R}, b \neq 0 \right\}$
 The set of Irrational Numbers: $\sqrt{2}, \pi, \text{or } e$ are irrational
 The Real numbers $= \mathbb{R} =$ the union of the rational numbers with the irrational numbers




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Some Symbols Used With Set Builder Notation

The standard form of notation for this is called "set builder notation".
 For instance, $\{x \mid x \text{ is an odd positive integer}\}$ represents the set $\{1, 3, 5, 7, 9, \dots\}$
 $\{x \mid x \text{ is an odd positive integer}\}$ is read as
 "the set consisting of all x such that x is an odd positive integer".
 The vertical bar, " \mid ", stands for "such that"
 Other "short-hand" notation used in working with sets

" \forall " stands for "for every"	" \exists " stands for "there exists"
" \cup " stands for "union"	" \cap " stands for "intersection"
" \subseteq " stands for "is a subset of"	" \subset " stands for "is a (proper) subset of"
" $\not\subseteq$ " stands for "is not a (proper) subset of"	" \emptyset " stands for the "empty set"
" \in " stands for "is an element of"	" \notin " stands for "is not an element of"
" \times " stands for "cartesian cross product"	" $=$ " stands for "is equal to"



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Subset

If every element of A is an element of B , we say that A is a subset of B and write $A \subseteq B$.
 $A=B$, if $A \subseteq B$ and $B \subseteq A$


The empty set (\emptyset) is a subset of every set.

Example $A=\{1, 2, 3\}$
 Subset of A ,
 $\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}$

Note: A is a subset of A




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Exercise

Answer true or false

- $\{x\} \subseteq \{x\}$
- $\{x\} \in \{x\}$
- $\{x\} \in \{x, \{x\}\}$
- $\{x\} \subseteq \{x, \{x\}\}$
- $\{\{x\}\} \subseteq \{x, \{x\}\}$
- $x \in \{x, \{x\}\}$




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Proper Subset

If $A \subseteq B$ and B contains an element that is not in A , then we say " A is a **proper subset** of B ": $A \subset B$ or $B \supset A$.
 Formally: $A \subset B$ means $\forall x [x \in A \rightarrow x \in B]$.
 For all sets: $A \subset A$.

Note: If A is a subset of B and A does not equal B , we say that A is a proper subset of B ($A \subseteq B$ and $A \neq B$ ($B \not\subseteq A$))




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Example

- Let, $A=\{1, 2, 3\}$
 Proper subset of A ,
 $\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}$
- Let, $B=\{1, 2, 3, 4, 5, 6\}$
 A is proper subset of B .



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UTM **Example**

$A = \{a, b, c, d, e, f, g, h\}$
 $B = \{b, d, e\}$
 $C = \{a, b, c, d, e\}$
 $D = \{r, s, d, e\}$

Proper subset of A ??

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UTM **Empty Sets**

The **empty set** \emptyset or $\{\}$ **but not** $\{\emptyset\}$ is the set without elements.

Note:

- Empty set has no elements
- Empty set is a subset of any set
- There is exactly one empty set
- Properties of empty set:
 $A \cup \emptyset = A$, $A \cap \emptyset = \emptyset$
 $A \cap A' = \emptyset$, $A \cup A' = U$
 $U' = \emptyset$, $\emptyset' = U$

Example

$\emptyset = \{x \mid x \text{ is a real number and } x^2 = -3\}$
 $\emptyset = \{x \mid x \text{ is positive integer and } x^3 < 0\}$

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UTM **Equal Sets**

The sets A and B are **equal** ($A=B$) if and only if each element of A is an element of B and vice versa.

Formally: $A=B$ means $\forall x [x \in A \leftrightarrow x \in B]$.

Example

$A = \{a, b, c\}$, $B = \{b, c, a\}$, $A=B$
 $C = \{1, 2, 3, 4\}$
 $D = \{x \mid x \text{ is a positive integer and } 2x < 10\}$,
 $C=D$

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UTM **Exercise**

Determine whether each pair of sets is equal

a) $\{1, 2, 2, 3\}$, $\{1, 3, 2\}$
 b) $\{x \mid x^2 + x = 2\}$, $\{1, -2\}$
 c) $\{x \mid x \text{ is a real number and } 0 < x \leq 2\}$, $\{1, 2\}$

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UTM **Equivalent Sets**

Two sets, A and B, are **equivalent** if there exists a **one-to-one correspondence** between them.

When we say sets "have the same size", we mean that they are equivalent.

Example

Set A = {A, B, C, D, E} and Set B = {1, 2, 3, 4, 5}

Note:

- An equivalent set is simply a set with an **equal number of elements**.
- The sets do not have to have the same exact elements, just the same number of elements.


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UTM **Finite Sets**

A set A is **finite**

if it is empty
or
if there is a natural number n such that set A is equivalent to $\{1, 2, 3, \dots, n\}$.


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 **Example**

$$A = \{1, 2, 3, 4\}$$

$$B = \{x \mid x \text{ is an integer, } 1 \leq x \leq 4\}$$

Note:
There exists a nonnegative integer n such that A has n elements (A is called a finite set with n elements)


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 **Infinite Sets**

- An infinite set is a set whose **elements can not be counted**.
- An infinite set is one that has **no last element**

Are all infinite sets equivalent?

An infinite set is a set that can be placed into a **one-to-one correspondence** with a proper subset of itself.

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 **Example**

Infinite sets

$$Z = \{x \mid x \text{ is an integer}\}$$

or $Z = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$


$$S = \{x \mid x \text{ is a real number and } 1 \leq x \leq 4\}$$


$$D = \{x \mid x \text{ is an integer, } x > 0\}$$

Finite Sets


$$C = \{5, 6, 7, 8, 9, 10\}$$


$$B = \{x \mid x \text{ is an integer, } 10 < x < 20\}$$

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 **Universal Set**

- Sometimes we are dealing with sets all of which are subsets of a set U .
- This set U is called a universal set or a universe.
- The set U must be explicitly given or inferred from the context


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 **Universal Set**

Typically we consider a set A a part of a **universal set U** , which consists of all possible elements.

To be entirely correct we should say $\forall x \in U [x \in A \leftrightarrow x \in B]$ instead of $\forall x [x \in A \leftrightarrow x \in B] \text{ for } A=B$.


Note that $\{x \mid 0 < x < 5\}$ is can be ambiguous.
Compare $\{x \mid 0 < x < 5, x \in \mathbb{N}\}$ with $\{x \mid 0 < x < 5, x \in \mathbb{Q}\}$


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 **Example**

- The sets $A=\{1,2,3\}$, $B=\{2,4,6,8\}$ and $C=\{5,7\}$
- One may choose $U=\{1,2,3,4,5,6,7,8\}$ as a universal set.
- Any superset of U can also be considered a universal set for these sets A , B , and C .

For example, $U=\{x \mid x \text{ is a positive integer}\}$

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Cardinality of Set


- Let S be a finite set with n distinct elements, where $n \geq 0$.
- Then we write $|S|=n$ and say that the **cardinality** (or **the number of elements**) of S is n .

Example

$A = \{1, 2, 3\}$, $|A|=3$
 $B = \{a, b, c, d, e, f, g\}$, $|B|=7$




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Exercise

If M is finite, determine the $|M|$

- $M = \{1, 2, 3, 4\}$
- $M = \{4, 4, 4\}$
- $M = \{\}$
- $M = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}$



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Power Set

- The set of all subsets of a set A , denoted $P(A)$, is called the **power set of A** .


$P(A) = \{X \mid X \subseteq A\}$
 If $|A|=n$, then $|P(A)| = 2^n$

Example $A = \{1, 2, 3\}$
 The power set of A ,
 $P(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$

Notice that $|A| = 3$, and $|P(A)| = 2^3 = 8$




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Exercise

List the member of $P(\{a, b, c, d\})$.
 Which are proper subset of $\{a, b, c, d\}$?





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How to Think of Sets

The elements of a set do not have an ordering,
 hence $\{a, b, c\} = \{b, c, a\}$
 The elements of a set do not have multitudes,
 hence $\{a, a, a\} = \{a, a\} = \{a\}$
 All that matters is: "Is x an element of A or not?"
 The size of A is thus the number of *different* elements





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Thank You



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