

SECURE DATA AGGREGATION SCHEME  
FOR SENSOR NETWORKS

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Kavit Shah

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This is the dedication.

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## PREFACE

This is the preface.

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## SYMBOLS

$m$  mass

$v$  velocity

## ABBREVIATIONS

abbr	abbreviation
bcf	billion cubic feet
BMOC	big man on campus

## NOMENCLATURE

Alanine	2-Aminopropanoic acid
Valine	2-Amino-3-methylbutanoic acid

## GLOSSARY

chick   female, usually young  
dude   male, usually young

## ABSTRACT

Shah, Kavit Master, Purdue University, December 2014. Secure data aggregation scheme for sensor networks. Major Professor: Dr. Brian King.

This is the abstract.

## 1. CHEATING

### 1.1 Definition

If an aggregator changes the sensor readings reported by its children to skew the final aggregated result is consider as cheating.

### 1.2 Aim

Aim of this section is to detect the cheater with given definition.

### 1.3 Assumptions

We make an assumption that the cheater can not say NACK during verification phase. If a cheater is allowed to send NACK message then it can send NACK messages all the time and create a lot of traffic in the network which might create Denial of service attack.

### 1.4 What is not cheating ?

In figure 7.1, A is an aggregator if A is a cheater it can skew the final aggregation result irrespective of B's sensor reading. We do not consider this case as a cheating because A is adjusting its sensor reading, it's not changing the B's sensor reading.

For example, if maximum allowed value = 10

case I:  $B_0(2) = 5$ ,  $A_0(2) = 13$ ,  $A_1(2) = 18$ . In verification, A will be caught due to out of range off path value.

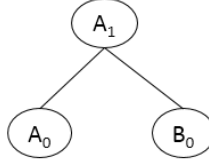


Fig. 1.1.: Possible commitment tree

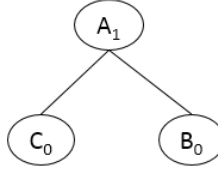


Fig. 1.2.: Possible commitment tree

case II:  $B_0(2) = 5$ ,  $A_0(2) = 10$ ,  $A_1(2) = 15$ .  $B'_0(2) = 6$ ,  $A'_0(2) = 9$ . that's not cheating.

Similar arguments can be done for figure 7.2 if A, C both are cheaters. In that case A is adjusting C's sensor reading to skew the final aggregation result and C will not complain as it is a cheater. We do not consider that as cheating either.

### 1.5 Probabilistic bound on a cheater

To derive Probabilistic bound on a cheater using following example.

In figure 7.3, all vertices in a commitment tree are unique. And, remember cheater can not say NACK during verification phase.

- $A_0$  says NACK during verification phase it implies that atleast one of the following is  $\{I\}$ ,  $\{B, I\}$ ,  $\{B, M\}$  is a cheater.
- $A_0, B_0$  says NACK during verification phase it implies that atleast one of the following is  $\{I\}$ ,  $\{M\}$ ,  $\{C, D, O\}$  is a cheater.

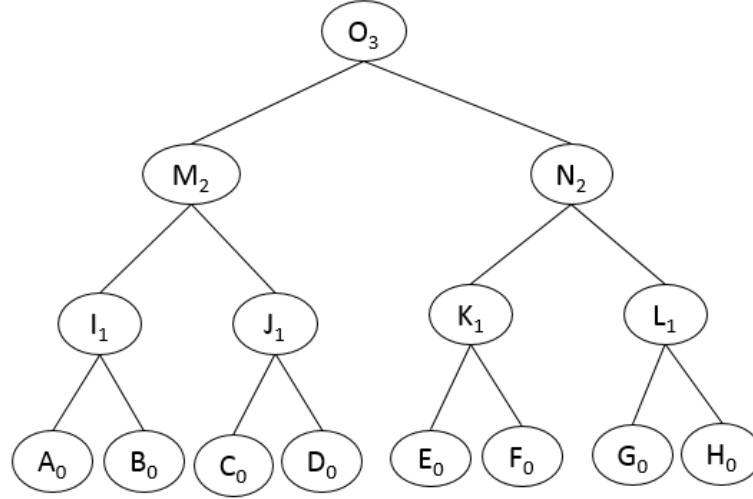


Fig. 1.3.: Possible commitment tree

- $A_0, B_0, C_0$  says NACK during verification phase it implies that atleast one of the following is  $\{J, I\}$ ,  $\{J, M\}$ ,  $\{D, O\}$  is a cheater.
- $A_0, B_0, C_0, D_0$  says NACK during verification phase it implies that atleast one of the following is  $\{O\}$ ,  $\{M\}$ ,  $\{I, J\}$ ,  $\{E, F, G, H, O\}$  is a cheater.
- $A_0, B_0, C_0, D_0, E_0$  says NACK during verification phase it implies that atleast one of the following is  $\{O, K\}$ ,  $\{M, K\}$ ,  $\{I, J, K\}$ ,  $\{F, G, H, O\}$  is a cheater.
- $A_0, B_0, C_0, D_0, E_0, F_0$  says NACK during verification phase it implies that atleast one of the following is  $\{I, J, K\}$ ,  $\{M, N\}$ ,  $\{O, K\}$ ,  $\{O, N\}$  is a cheater.
- $A_0, C_0$  says NACK during verification phase it implies that atleast one of the following is  $\{I\}$ ,  $\{J\}$  is a cheater.

Similar, kind of analysis can be done for figure 7.4 in which all the vertices in the commitment tree are different.

From all above examples we can derive the following pattern as well,

If  $d = \text{depth of a tree}$ ,



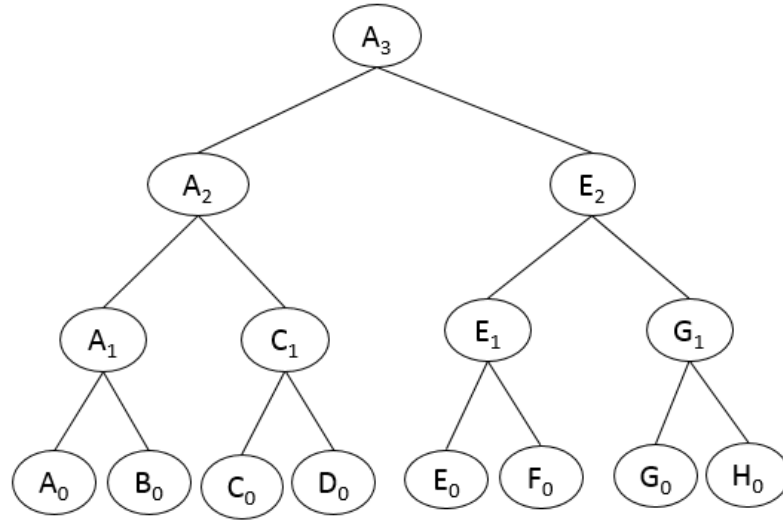


Fig. 1.4.: Possible commitment tree

Depth of a cheater	Minimum number of NACK messages
d - 1	1
d - 2	2
d - 3	4
d - 4	8

## 1.6 Why do we need digital signatures ?

Digital signatures allow us to achieve authenticity of the message. The labels and signatures have the following format:

$id = id$

$label = \langle count, value, commitment \rangle$

$signature = E_{Private_{key}}(H(N || label))$

Where *count* is the number of leaf vertices in the subtree rooted at this vertex; *value* is the SUM aggregate computed over all the leaves in the subtree; *id* is the sum of all the leaves id in the subtree; *signature* is a cryptographic scheme for demonstrating the authenticity of a message; *N* is the query nonce.

There is one leaf vertex  $u_s$  for each sensor node  $s$ , which we call the leaf vertex of  $s$ . The label of  $u_s$  consists of count = 1, value =  $a_s$  where  $a_s$  is the data value of  $s$ , and signature is the node's unique ID.

Internal vertices represent aggregation operations, and have labels that are defined based on their children. Write up examples after talking to Dr.King : Do you have to aggregate ID's as well ?

### 1.7 Why digital signatures are not sufficient to detect a cheater ? or Why do we need public key infrastructure to detect a cheater ?

Digital signatures allow us to achieve authenticity of the message but do not provide any mechanism to achieve integrity of the message. To achieve integrity we need public key infrastructure.

For example, in figure 7.3 one set of possible labels could be the following:

$$id_A = 1; A_0 = \langle 1, 5, H(N||1||5) \rangle; SigA_0 = E_{K_A}(H(N||A_0));$$

$$id_B = 2; B_0 = \langle 1, 6, H(N||1||5) \rangle; SigB_0 = E_{K_B}(H(N||B_0));$$

$$id_I = 3; I_1 = \langle 2, 11, H(N||2||11||A_0||B_0) \rangle; SigI_1 = E_{K_I}(H(N||I_1));$$

$$id_J = 4; J_1 = \langle 2, 15, H(N||2||15||C_0||D_0) \rangle; SigJ_1 = E_{K_J}(H(N||J_1));$$

$$id_M = 5; M_2 = \langle 4, 26, H(N||4||26||I_1||J_1) \rangle; SigM_2 = E_{K_M}(H(N||M_2));$$

Above labels and signatures are the case where no one is cheating in the network. If A, B say NACK message during the verification phase it means either M or I is a cheater. To precisely find who is cheater we have following problems:

- M can say it received  $(I'_1, SigI_1)$  even though it received  $(I_1, SigI_1)$  from I.
- M can not verify that it received  $(I'_1, SigI_1)$  instead of  $(I_1, SigI_1)$  from I.

Because of this we can not not detect cheater between I, M. The fundamental problem is that signatures can be verified only by the base station and not by any of the intermediate nodes. We want the ability in which an intermediate node can verify the signatures from its children. And that is why we need public key infrastructure.

## 2. AUGUST

Things discussed in meeting:

Analyzed congestion and why is it sub linear ?

In SHIA leaves verify their values with final results not with intermediate results. But in surveillance application data is compared with some base value in such network intermediate values are important.

Analyze the protocol with Digital signatures. How many signatures do we need ?

Analyze properties of commitment tree.

### Definitions

A **direct data injection attack** occurs when an attacker modifies the data readings reported by the nodes under its direct control, under the constraint that only legal readings in  $[0, r]$  are reported.

An aggregation algorithm is **optimally secure** if, by tampering with the aggregation process, an adversary is unable to induce the querier to accept any aggregation result which is not already achievable by direct data injection.

For example, if A is an aggregator and it receives one reading from B. So, A needs to aggregate two values one of its own and the other is B's value. Suppose, maximum allowed value is 40.  $A_0 = 10$ ,  $B_0 = 20$ .  $A_1 = 30$ .  $A_1 \neq 80$ . If A reports any value out of that range it will get caught and any cheating within the range falls under direct data injection attack.

### Congestion

As a metric for communication overhead, we consider node congestion, which is the worst case communication load on any single sensor node during the algorithm. Congestion is a commonly used metric in ad-hoc networks since it measures how quickly the heaviest-loaded nodes will exhaust their batteries [6, 12]. Since the heaviest-loaded nodes are typically the nodes which are most essential to the connec-

tivity of the network (e.g., the nodes closest to the base station), their failure may cause the network to partition even though other sensor nodes in the network may still have high battery levels. A lower communication load on the heaviest-loaded nodes is thus desirable even if the trade-off is a larger amount of communication in the network as a whole.

For a lower bound on congestion, consider an unsecured aggregation protocol where each node sends just a single message to its parent in the aggregation tree. This is the minimum number of messages that ensures that each sensor node contributes to the aggregation result. There is  $\Omega(1)$  congestion on each edge on the aggregation tree, thus resulting in  $\Omega(d)$  congestion on the node(s) with highest degree  $d$  in the aggregation tree. The parameter  $d$  is dependent on the shape of the given aggregation tree and can be as large as  $\Theta(n)$  for a single-aggregator topology or as small as  $\Theta(1)$  for a balanced aggregation tree. Since we are taking the aggregation tree topology as an input, we have no control over  $d$ . Hence, it is often more informative to consider per-edge congestion, which can be independent of the structure of the aggregation tree.

Consider the simplest solution where we omit aggregation altogether and simply send all data values (encrypted and authenticated) directly to the base station, which then forwards it to the querier. This provides perfect data integrity, but induces  $O(n)$  congestion at the nodes and edges nearest the base station. For an algorithm to be practical, it must cause only sublinear edge congestion.

Our goal is to design an optimally secure aggregation algorithm with only sublinear edge congestion.

1. remove complement
2. variable range

### 3. NOVEMBER

Misc. topics to write about:

***Why do you want to communicate an entire aggregation tree to the querier ?***

If the querier knows the entire aggregation tree and also if it knows the protocol which all the sensor nodes will be running then the querier can simulate the commitment trees on its own. Because of that we do not have to communicate the commitment tree every time we run the protocol which saves a lot of communications in the network. Also, note the fact that aggregation tree does not change often so the communication required to send the aggregation tree is negligible over time.

***How to communicate an entire aggregation tree to the querier ?***

The base station in the aggregation tree needs to know the entire network topology. It will relay that information to the querier.

***How does the base station know the entire aggregation tree topology ?***

If every sensor nodes has a small table containing the path to reach to the certain destination then the base station can ask for this information to the individual sensor nodes. While it is receiving this information it can relay the same information to the querier. Note: the base station is also a simple sensor node like all other nodes it can not store all the forwarding tables so it will relay those table information directly to the querier and querier can make big table containing the information related to the aggregation tree.

***Mobility***

You can talk about the aggregation tree topology is mobile. It's increasingly mobile topology not leap mobility.

***Caching of certificates***

Certificates are sent only once for the first time. They are cached for subsequent

communications. Every node in the tree needs to know the certificates of all the root nodes in its forest.

***Why does the internal vertex in the commitment tree need to send what it received and what it sent to its parent ?***

To detect a cheater, if an internal vertex send ( to the querier ) only the values which it sent to its parent in the commitment tree then it is no value to the querier. Because the querier can not verify that value and the signature. For the querier to verify the aggregated data and its signature it needs both the values over which aggregation has happend.

***Why don't you need backward signatures ?***

Because according to the protocol, every parent checks its children's message and its signature. If those two do not match then it will not accept the message.

***Do you need signature on forest ?***

No, we do not need the signature on forest.

***Analyses of being root in as many tree as possible:***

- *Bandwidth perspective*

*Off path values*

It takes same bandwidth (same hop counts) to distribute off path values in include itself or exclude itself stratergy. You can have inductive argument for it to prove it.

*Certificates* Parent node needs to deal with less nodes in the aggregation tree means it needs less certificates, means less memory storage. For example, in pseudo palm tree case if we use include it self streategy then it is possible that one node has to propagate its value from the bottom to the top of the tree. It means all the intermediate nodes need to know its certificate. This can be avoided by using exclude itself( being root in as many possible tree as possible ) stratergy.

- *Security perspective*

Exclude itself strategy is more secure in the sense that aggregator needs to partner with two nodes to achieve cheating. If it includes itself then it has to partner with only one node which is relatively easy.

*Why do we need authenticated broadcast from the querier ?*

*Significance of Nonce*

*Why do we need public key infrastructure ?*

*Why don't we use aggregation tree as commitment tree ?*

*Why is commitment tree binary and not n-ary ? (proof)*

*How to detect following cheating ?*

The querier knows an aggregation tree and a protocol. So the querier can simulate commitment tree. All the nodes in the network are supposed to run the same protocol. Suppose if they don't then the commitment tree will look different. How will you detect such cheating ?

## 4. PROTOCOL

The commitment tree is a tree where each vertex has an associated label representing the data that is passed on to its parent. The messages have the following format:

*MESSAGE*

ID	COUNT	VALUE	COMMITMENT
20 bits	21 bits	20 bits	256 bits

*SIGNATURE (MESSAGE)*

Encryption <sub>secret-key<sub>node</sub></sub> ( HASH ( MESSAGE ) )
500 bits

*CERTIFICATES*

Public key	Signature	ID
1000 bits	500 bits	20 bits



#### 4.1 Aggregation-Commit Phase

In this phase, the network constructs a commitment structure. First, the sensor nodes at the highest depth in the aggregation tree (leaf nodes) send their **payloads** to their parents in the aggregation tree. Each internal sensor node in the aggregation tree performs an aggregation operation whenever it receives **payloads** from all of its children. Whenever a sensor node performs an aggregation operation, it creates a commitment to the set of inputs used to compute the aggregate by computing a hash over all the inputs (including the commitments that were computed by its children). Both the aggregation result and the commitment creates a payload for the aggregator. Then the payload, with the signature of the payload signed by the sensor node are passed on to the parent of the sensor node. Once the final **payloads** and the signatures of those **payloads** are sent to the querier, if an adversary tries to claim a different aggregation structure it gets caught. Our algorithm generates perfectly balanced binary trees to create commitment forest which saves the bandwidth in the verification phase.

**Definition 4.1.1** [3] A **commitment tree** is a tree build on top of an **aggregation tree** where each vertex has an associated payload to it, representing data being passed on to its parent. The payload has the following format:

$$\{id, count, value, commitment\}$$

Where *id* is the unique id of the node; *count* is the number of leaf vertices in the subtree rooted at this vertex; *value* is the aggregate computed over all the leaves rooted in the subtree; and *commitment* is the cryptographic commitment.

Our **payload** format is different than the label format in [3]. The **payload** format adds an ID field and removes the complement field from the label. Our protocol helps detecting an adversary, to achieve this we send the signature of the **payload**. And to verify the signatures, the verifier needs the ID of that node. The complement field was used to verify the upper bound on the aggregation result by the querier. We

can achieve the same result with count so sending complement was redundant and no longer required.

There is one leaf vertex  $v_s$  for each sensor node  $s$  with **payload** ,

$$p_s = \{s.id, 1, s.value, Hash(N || s.id || 1 || s.value)\} \quad (4.1)$$

where  $N$  is the query nonce.

Internal vertices represent aggregation operations, and have **payloads** that are defined based on their children. Suppose an internal vertex has child vertices  $v_1, v_2, \dots, v_q$  with the following **payloads** :  $p_1, p_2, \dots, p_q$ , where

$$p_i = \{i.id, i.count, i.value, i.commitment\} \quad (4.2)$$

Then the internal vertex has payload

$$p_s = \{id, count, value, commitment\} \quad (4.3)$$

$$id = s.id \quad (4.4)$$

$$count = \sum i.count \quad (4.5)$$

$$value = \sum i.value \quad (4.6)$$

$$commitment = H(N || id || count || value || p_1 || p_2 || \dots || p_q) \quad (4.7)$$

Talk about signatures of the **payloads** .

We use the term vertex for the members in the commitment tree and node for the members of the aggregation tree.

We use the collision resistant hash function so its impossible for an adversary to tamper any of the commitments once they are created.

#### 4.1.1 Aggregation-Commit approach

Talk about 1, N, log(N) communication. The *AggregationTree* is a rooted tree. We use the term *BaseStation* for the root of the *AggregationTree* and *Querier* for the trusted third party. One such *AggregationTree* is shown in Figure 4.1

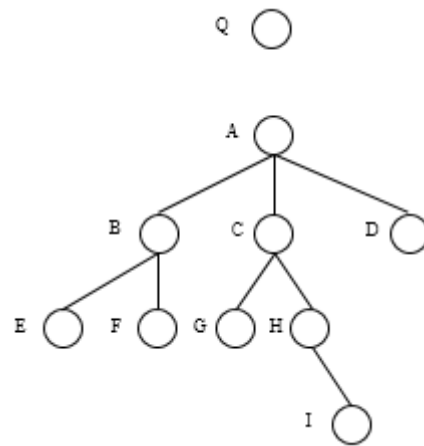


Fig. 4.1.: Example Tree

The *Querier* is interested in the overall behavior of the network. For example, the *Querier* is interested in the average of all the sensor readings. The *BaseStation* can send the *Querier* data of all of its children without doing any aggregation and then *Querier* can compute the average of all the sensor nodes. Doing so requires the *BaseStation* to send  $N$  **payloads** to the *Querier*.

#### 4.1.2 Commitment Forest

Each sensor node passes on the **payloads** of the root vertices of a set of commitment subtrees  $F = \{ T_1, T_2, \dots, T_q \}$ , called a commitment forest.

**Definition 4.1.2** [3] *A commitment forest is a set of complete binary commitment trees such that there is at most one commitment tree of any given height.*

We claim that the binary representation of a number  $x$  illustrates the forest decomposition of the sensor node  $s$ , where  $x = 1 + \text{number of descendants of } s$ . For example, if sensor node  $s$  has 22 descendants then  $x = 23$ ,  $(x)_{10} = (10111)_2$ . It means  $s$  has four binary trees in its outgoing forest, with height of four, two, one and zero. It's also clear that for given sensor node's forest no two trees have the same height.

#### 4.1.3 Commitment Forest Generation

The sensor nodes at the highest depth in the aggregation tree (leaf nodes) initiate a single-vertex commitment forest, which they transmit to their parent sensor node. Each internal sensor node  $s$  initiates a similar single-vertex commitment forest. In addition,  $s$  also receives commitment forests from each of its children. Sensor node  $s$  keeps track of which root vertices are received from which of its children. It then aggregates all the forests to form a new forest as follows.

Suppose  $s$  wishes to combine  $q$  commitment forests  $F_1, \dots, F_q$ . All the commitment forests have their binary representation and all the commitment trees in the commitment forests are complete binary trees. Sensor node  $s$  aggregates commitment

trees with same count values and creates an initiates a new commitment tree with  $count = count + 1$ . It repeats this process until all the trees have unique height in the forest. Figure shows an example.

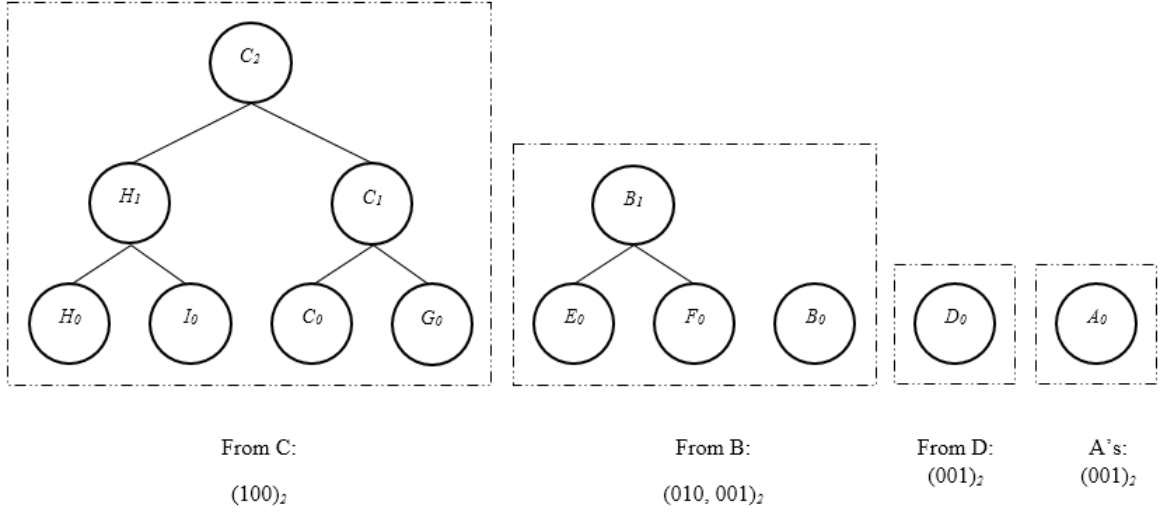


Fig. 4.2.: NA

The sensor node  $A$  receives following **payloads** :

$$A_0 = [ A.id, 1, A.value, H( N \parallel A.id \parallel 1 \parallel A.value) ] \text{ (internal)} \quad (4.8)$$

$$D_0 = [ D.id, 1, D.value, H( N \parallel D.id \parallel 1 \parallel D.value) ] \text{ (from D)} \quad (4.9)$$

$$B_0 = [ B.id, 1, B.value, H( N \parallel B.id \parallel 1 \parallel B.value) ] \text{ (from B)} \quad (4.10)$$

$$B_1 = [ B.id, 2, B_1.value, H( N \parallel B.id \parallel 2 \parallel B_1.value) ] \text{ (from B)} \quad (4.11)$$

$$C_2 = [ C.id, 4, C_2.value, H( N \parallel C.id \parallel 4 \parallel C_2.value) ] \text{ (from C)} \quad (4.12)$$

### **Aggregation using binary representation**

Carry	0	1	1	0
B's forest	0	0	0	1
	0	0	1	0
C's forest	0	1	0	0
D's forest	0	0	0	1
A's payload	0	0	0	1
Aggregation	1	0	0	1

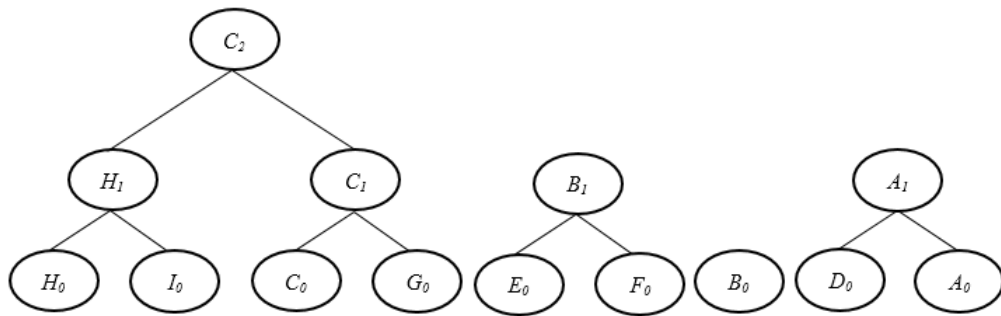


Fig. 4.3.: First Merge:  $A_1$  vertex created by A

#### 4.1.4 aggregator centric Aggregation-Commit approach



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**Algorithm 1** CommitmentTreeGeneration
 

---

```

1: depth = AggregationTree.MaxDepth
2: while depth  $\geq$  0 do
3:   for all  $\mathcal{N} \in \text{AggregationTree.depth}$  do
4:      $\mathcal{N}.forest = \text{NULL}$ 
5:     Create ( $\mathcal{N}.msg$ ,  $SIGN_{\mathcal{N}}(\mathcal{N}.msg)$ )
6:     Attach ( $\mathcal{N}.msg$ ,  $SIGN_{\mathcal{N}}(\mathcal{N}.msg)$ ) to  $\mathcal{N}.forest$ 
7:     if  $\mathcal{N}.children \neq 0$  then
8:       for all  $\mathcal{C} \in \mathcal{N}.children$  do
9:         for all tree root  $\mathcal{R} \in \mathcal{C}.forest$  do
10:          if  $\mathcal{N}$  has  $\mathcal{R}.cert$  (else get  $\mathcal{R}.cert$ ) then
11:            if  $\mathcal{N}$  verifies  $\mathcal{R}.msg$  (else raise an alarm) then
12:              Add  $\mathcal{R}$  to  $\mathcal{N}.forest$ 
13:             $\mathcal{N}.forest = \text{CommitmentTreeCoding} ( \mathcal{N}.forest )$ 
14:   depth = depth - 1

```

---

---

**Algorithm 2** CommitmentTreeCoding
 

---

```

1:  $temp = \text{SortLinkedList}(\mathcal{N}.forest)$ 
2: while  $temp.nextTree \neq 0$  do
3:   if  $temp.height \neq temp.nextTree.height$  then
4:      $temp = temp.nextTree$ 
5:   else
6:     Create an aggregation node  $A_N$ 
7:      $A_N.height = temp.height + 1$ 
8:      $A_N.leftChild = temp$ 
9:      $A_N.rightChild = temp.nextTree$ 
10:    Insert  $A_N$  to  $\mathcal{N}.forest$ 
11:    Remove  $temp$ 
12:    Remove  $temp.nextTree$ 
13:     $temp = \text{SortLinkedList}(\mathcal{N}.forest)$ 
14: return  $temp$ 

```

---

---

**Algorithm 3** Pseudo algorithm to detect a cheater

---

- 1:  $\mathcal{Q}$  finds out all the  $\mathcal{C}_{\mathcal{N}} \in \text{AggregationTree}$  using a complainer detecting algorithm
  - 2: **for all**  $\mathcal{C}_{\mathcal{N}}$  **do**
  - 3:    $\mathcal{Q}$  gets  $\mathcal{N}_0$ ,  $\text{SIGN}_{\mathcal{N}} ( \mathcal{N}_0 )$
  - 4:  $\mathcal{Q}$  finds possible *CHEATER* based on  $\mathcal{C}_{\mathcal{N}}$
  - 5: **for all** *CHEATER* **do**
  - 6:    $\mathcal{Q}$  gets  $\mathcal{N}_{\mathcal{I}}$ ,  $\text{SIGN}_{\mathcal{N}} ( \mathcal{N}_{\mathcal{I}} )$  *CHEATER* receives and sends.
  - 7:   If needed  $\mathcal{Q}$  gets  $\mathcal{N}_{\mathcal{I}}$ ,  $\text{SIGN}_{\mathcal{N}} ( \mathcal{N}_{\mathcal{I}} )$  of the  $\mathcal{P}$  *CHEATER*
  - 8:  $\mathcal{Q}$  determines the *CHEATER* based on recived information
- 

*Properties of commitment tree and aggregation tree*

If you have  $O(n)$  children then you need atleast  $\Omega(n)$  & at max  $O(n \log(n))$  certificates.

If you have  $O(n)$  descendents then you need  $\Omega(\log(n))$  & at max  $O(n \log(n))$  certificates.

#### 4.2 Advantages of this protocol

#### 4.3 Disadvantages of this protocol

## 5. ANALYSIS

### 5.1 Background

Aggregation tree Commitment tree Analogy with binary representation

### 5.2 Star Tree

To do : Material on star tree, star tree analysis gives you 1 cert savings.

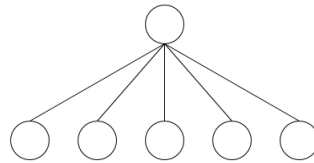


Fig. 5.1.: Star aggregation tree

### 5.3 Maximum savings

Analysis is true for any  $n$  bit forest size. Give names to the following topologies.

**Maximum savings**, with  $n(=4)$  bit forest, fanout( $=2$ ), savings of  $n(=4)$  certificates:

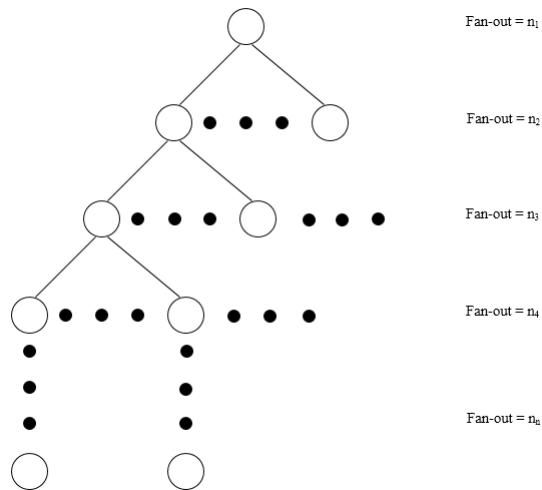


Fig. 5.2.: Symmetric Tree

1	1	1	1	0	1	1	1	1	0
0	1	1	1	1	0	1	1	1	1
0	1	1	1	1	0	1	1	1	1
0	0	0	0	1	0	0	0	0	1
A	C	C	C	C	A	A	A	A	A

**No savings**, with  $n(=4)$  bit forest with alternate bit positions, fanout( $=2, 3, 5$ ) :

1	0	1	0	0	1	0	1	0	0	0	1	0	0	0
0	1	0	1	0	0	1	0	1	0	0	1	0	1	0
0	1	0	1	0	0	1	0	1	0	1	1	1	0	0
0	0	0	0	1	0	1	0	1	0	0	0	0	1	0
A	0	A	0	A	A	C	A	C	A	0	0	C	A	0

**Savings of n - 1 certificates**, with n(=4) bit forest, fanout(=3) :

0	1		1	1	1	0		0	1		1	1	1	0
1	1		1	1	1	0		1	1		1	1	1	0
<hr/>			<hr/>					<hr/>			<hr/>			
0	0		1	1	1	1		0	0		1	1	1	1
0	0		1	1	1	1	—	0	0		1	1	1	1
0	0		1	1	1	1		0	0		1	1	1	1
0	0		0	0	0	1		0	0		0	0	0	1
<hr/>			<hr/>					<hr/>			<hr/>			
A	0		C	C	C	0		A	0		A	A	A	0

**Savings of n - 1 certificates**, with n(=4) bit forest, fanout(=4) :

0	1		1	1	0	0		0	1		1	1	0	0
0	1		1	1	1	0		0	1		1	1	1	0
1	1		1	1	1	0		1	1		1	1	1	0
<hr/>			<hr/>					<hr/>			<hr/>			
0	0		1	1	1	1		0	0		1	1	1	1
0	0		1	1	1	1	—	0	0		1	1	1	1
0	0		1	1	1	1		0	0		1	1	1	1
0	0		1	1	1	1		0	0		1	1	1	1
0	0		0	0	0	1		0	0		0	0	0	1
<hr/>			<hr/>					<hr/>			<hr/>			
A	A		C	C	0	C		A	A		A	A	0	A

## 5.4 Pseudo Palm Tree

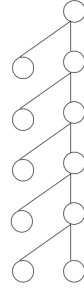


Fig. 5.3.: Pseudo palm tree

**Theorem 5.4.1** *At any given level, if an aggregator prioritizes aggregating its childrens' messages over its own message, it can save bandwidth by not sending its childrens' certificates to its parent.*

**Proof** We can see from Figure 5.3 that every aggregator has odd number of messages to aggregate, including itself. It means an aggregator at each level has odd number of 1's in their least significant bits. If an aggregator aggregates messages of its children and creates a carry then it needs to send its own certificate to its parent or else it has to send one of its children's certificate as well. Following example illustrates the idea, where C means an aggregator has to send its child's certificate to its parent, A means an aggregator has to send its own certificate to its parent and X is don't care.

0	0	0	1	0		0	0	0	1	0
0	0	0	0	1		0	0	0	0	1
0	0	0	0	1	—	0	0	0	0	1
X	X	X	X	1		X	X	X	X	1
X	X	X	X	C		X	X	X	X	A

Hence, this approach saves bandwidth by sending one less certificate at each level.

■

## 5.5 Binary tree

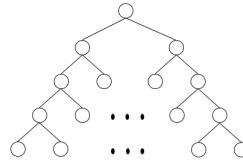


Fig. 5.4.: Binary tree

**Theorem 5.5.1** *At any given level, if an aggregator prioritizes aggregating its childrens' messages over its own message, it can save bandwidth by not sending  $\lceil \lg(n/2) \rceil$  certificates,  $n$  is number of descendants for given node, to its parent.*



## 6. THEOREMS

Tree properties & notations

Every node is identical, following the same procedure

Add picture of the topology

$d_i$  is the depth at  $i$

$n_i$  is the fanout at  $d_{i-1}$

$c_i$  is the number of certificates forwarded by each node at  $d_i$  to its parent

$$= \lceil \log((n_{i+1} * c_{i+1}) + 1) \rceil$$

$N_i$  is the number of nodes at  $d_i$

$$= \prod_{k=1}^i n_k$$

$T_i$  is the totality at  $d_i$  where totality is the number of certificates received/needed

$$= N_i * (n_{i+1} * c_{i+1})$$

Total number of nodes in a tree

$$= N + 1$$

$$= N_0 + N_1 + N_2 + \dots + N_{n-2} + N_{n-1} + N_n$$

$$= n_0! + n_1! + n_2! + n_3! + \dots + n_{n-2}! + n_{n-1}! + n_n!$$

$$= 1 + n_1! + n_2! + n_3! + \dots + n_{n-2}! + n_{n-1}! + n_n!$$

**Theorem 6.0.2** *Given an aggregation tree with  $N + 1$  nodes, having  $N_i = n_i!$  nodes at depth  $d_i$ , equally distributed among their  $n_{i-1}!$  parents then in totality network needs  $O(N * \log(C))$  certificates where  $C$  is a constant.*

**Proof** Case I: For  $d_n$  ;  $N_n = n_n!$  ;  $T_n = 0$  ;  $c_n = 1$

Case II: For  $d_{n-1}$

$$N_{n-1} = n_{n-1}!$$

$$T_{n-1} = n_{n-1}! * (n_n * c_n) = n_{n-1}! * (n_n)$$

$$c_{n-1} = 2$$

Case III: For  $d_{n-2}$

$$N_{n-2} = n_{n-2}!$$

$$T_{n-2} = n_{n-2}! * (n_{n-1} * c_{n-1}) = n_{n-2}! * (n_{n-1} * 2)$$

$$c_{n-2} = \lceil \log((n_{n-1} * c_{n-1}) + 1) \rceil = \lceil \log((n_{n-1} * 2) + 1) \rceil$$

Case IV: For  $d_{n-3}$

$$N_{n-3} = n_{n-3}!$$

$$T_{n-3} = n_{n-3}! * (n_{n-2} * c_{n-2}) = n_{n-3}! * (n_{n-2} * \lceil \log((n_{n-1} * 2) + 1) \rceil)$$

$$c_{n-3} = \lceil \log((n_{n-2} * c_{n-2}) + 1) \rceil = \lceil \log(n_{n-2} * \lceil \log((n_{n-1} * 2) + 1) \rceil + 1) \rceil$$

■

**Theorem 6.0.3** *Given an aggregation tree with  $N + 1$  nodes, having  $N_i = n_i!$  nodes at depth  $d_i$ , equally distributed among thier  $n_{i-1}!$  parents then in totality network needs  $\Omega(N)$  certificates.*

**Proof** Case I: For  $d_n$  ;  $N_n = n_n!$  ;  $T_n = 0$  ;  $c_n = 1$

Case II: For  $d_{n-1}$

$$N_{n-1} = n_{n-1}!$$

$$T_{n-1} = n_{n-1}! * (n_n * c_n) = n_{n-1}! * n_n$$

$$c_{n-1} = 1$$

Case III: For  $d_{n-2}$

$$N_{n-2} = n_{n-2}!$$

$$T_{n-2} = n_{n-2}! * (n_{n-1} * c_{n-1}) = n_{n-2}! * n_{n-1}$$

$$c_{n-2} = 1$$

Case IV: For  $d_{n-3}$

$$N_{n-3} = n_{n-3}!$$

$$T_{n-3} = n_{n-3}! * (n_{n-2} * c_{n-2}) = n_{n-3}! * n_{n-2}$$

$$c_{n-3} = 1$$

Case n-2: For  $d_2$

$$N_2 = n_2!$$

$$T_2 = n_2! * (n_3 * c_3) = n_2! * n_3$$

$$c_2 = 1$$

Case n-1: For  $d_1$

$$N_1 = n_1!$$

$$T_1 = n_1! * (n_2 * c_2) = n_1! * n_2$$

$$c_{n-1} = 1$$

■

Things to include in above analysis:

Every node does the same thing

Individual throughput for each node

**Theorem 6.0.4** *Given an aggregation tree with  $N$  nodes, in totality network needs  $\Omega(N)$  certificates.*

**Proof** Let  $T$  represent a node in an aggregation tree whose number of children are  $T.CHILDREN$ ,  $C$  is one of its children and  $P$  is its parent.

We can say that  $T$  needs certificates of all of its children because while creating a commitment tree  $T$  receives at least one  $C.msg$  and  $SIGN_C (C.msg)$  from all  $T.CHILDREN$

If your aggregation tree is such that  $\forall T$  needs to send only one message to  $P$  then every  $P$  receives only  $P.CHILDREN$  number of messages. Hence,  $P$  needs  $P.CHILDREN$  number of certificates.

So, if every  $P$  needs certificates only of its children and we have  $N$  nodes in the network then since every node has a unique parent as aggregation tree is a rooted tree, in totality we need only  $N$  certificates in the network.

■

**Theorem 6.0.5** *Given an aggregation tree with  $N + 1$  nodes, having  $N_i = n_i!$  nodes at depth  $d_i$ , equally distributed among their  $n_{i-1}!$  parents then in totality network needs  $\Omega(N)$  certificates.*

**Proof** Let say we have  $N + 1$  nodes in an aggregation tree, also  $d_i$  represents depth at level  $i$ . Tree is constructed such that root has  $n_1$  children, all  $n_1$  nodes at  $d_1$  have  $n_2$  children, all  $(n_1 * n_2)$  nodes at  $d_2$  have  $n_3$  children and all  $(n_1 * n_2 * n_3 \dots n_{n-1})$  nodes at  $d_{n-1}$  have  $n_n$  children.

$$N + 1 = (1 + (n_1) + (n_2 * (n_1)) + (n_3 * (n_2 * n_1)) + \dots + (n_n * (n_{n-1} * n_{n-2} * n_{n-3} \dots n_1)))$$

All  $(n_{n-1} * n_{n-2} * n_{n-3} \dots n_1)$  nodes at  $d_{n-1}$  need to know certificates of all of their  $n_n$  children. If there is only one carry after aggregation then all nodes at  $d_{n-1}$  need to send only one certificate to their parent.

All  $(n_{n-2} * n_{n-3} * n_{n-4} \dots n_1)$  nodes at  $d_{n-2}$  need to know certificates of all of their  $n - 1$  children.

All  $n_1$  nodes at  $d_1$  need to know certificates of all of their  $n - 2$  children.

The root need to know the certificates of all of its  $n_1$  children.

Also, the querier needs to know the certificate of the root.

So, in totality we need  $(1 + (n_1) + (n_2 * (n_1)) + (n_3 * (n_2 * n_1)) + \dots + (n_n * (n_{n-1} * n_{n-2} * n_{n-3} \dots n_1)))$  which is  $\Omega(N)$ . Hence, proved.

■

## 7. NETWORK-FLOW

### *MESSAGE*

ID	COUNT	VALUE	COMMITMENT
20 bits	21 bits	20 bits	256 bits

### *SIGNATURE (MESSAGE)*

Encryption <sub>secret-key<sub>node</sub></sub> ( HASH ( MESSAGE ) )
500 bits

### *CERTIFICATES*

Public key	Signature	ID
1000 bits	500 bits	20 bits

### *NETWORK FLOW*

For the given aggregation tree, when a child communicates for the first time with its parent, it sends three things: Message, Signature of message & Certificate. For any subsequent communications a child sends Message & Signature of message.

#### 7.1 Star aggregation tree

In star aggregation topology root has to create (  $n - 1$  ) intermediate vertices, where  $n$  is the number of children root has. *Note:* number of certificates = signatures = messages = public keys

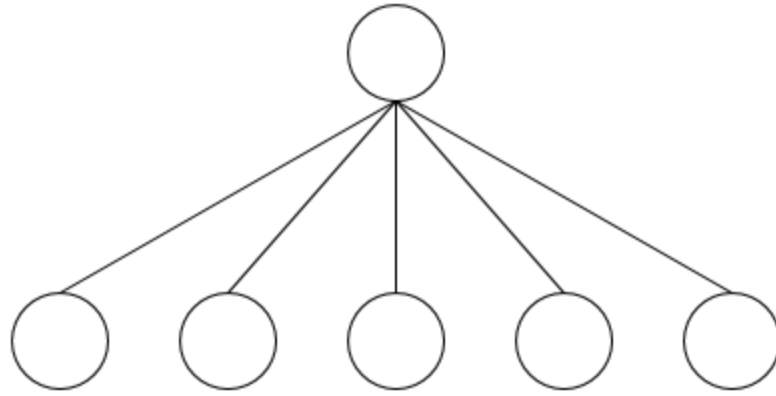


Fig. 7.1.: Star aggregation tree

	#Messages	#Certificates
To root	$O(n)$	$O(n)$
From root	1	1

The following property might be true for all possible topologies:

If you have  $N$  children, each of your children has  $n$  descendent then following equality holds true:

$$N < \text{number of certificates needed} < N \log(n)$$

## 8. SUMMARY

This is the summary chapter.

## 9. RECOMMENDATIONS

Buy low. Sell high.



## LIST OF REFERENCES

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