

## Midterm

- Lectures 11 to 21 (inclusive)
- Focus on time complexity ( $P$ ,  $EXP$ ,  $NP$ ,  $P/poly$ ,  $NPC$ )
- Only true/false on randomized computation (BPP)
- Recommend starting HW5

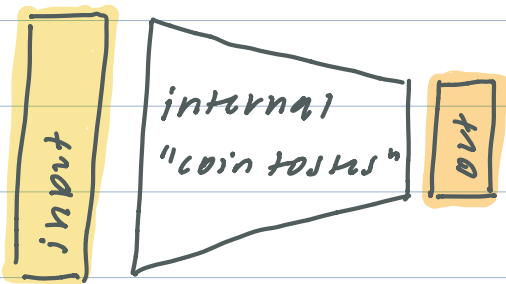
## Informal

foo = flipping coin  $\rightarrow$   $foo \sim \{0,1\}$

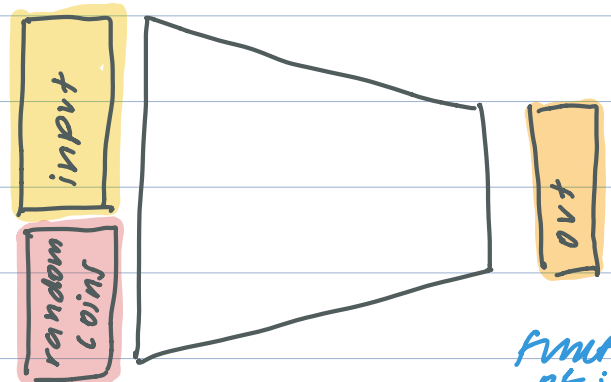
through repetition:  $foo \sim \{0,1\}^n$  or  $[0,1]$

## Randomized Algorithms

## Equivalent views



- input  $x \in \{0,1\}^n$
- alg  $A(x)$  has special operation  $r_i \leftarrow \text{RAND}()$   
 $r_i \sim \{0,1\}$



- input  $x \in \{0,1\}^n$
- choose  $r \sim \{0,1\}^m$  function of input  
↓ length
- run deterministic algo  $A(x, r)$

$$\text{output} = \text{Alg}(\text{input}, \text{randomness})$$

## Computing a Function

Randomized Algorithm Alg computes  $F$  if for every input  $x$ :

arbitrary, but  $> 0.5$

$$\Pr[\text{Alg}(x) = F(x)] \geq \frac{2}{3}$$

probability over the randomness of the algorithm, not the input

not random input — has to work in the worst case

Approximation of MAXCUT (amplification of 1-sided error)

Input:  $G = (V, E)$

Output: Partition of  $V$  maximizing # of crossing edges

Def.  $\text{OPT}(G) = \max_{S \subseteq V} |E(S, \bar{S})|$  to be max # of cut edges.

If  $P \neq NP$ , no poly-time computes  $\text{OPT}(G)$  / produces CUA achieving it.

Show: poly-time randomized algorithm that w/ prob  $\geq 0.99$  outputs CUA  $S$  that cuts at least  $0.5 \cdot \text{OPT}(G)$  edges.

Thm.  $\exists$  randomized poly-time algorithm  $A$  s.t.

w/ prob  $\geq 0.99$

$A(G) = S$  s.t.  $|E(S, \bar{S})| \geq |E|/2$



number of edges cut

Lemma:  $\exists$  randomized poly-time algorithm  $A$  s.t.

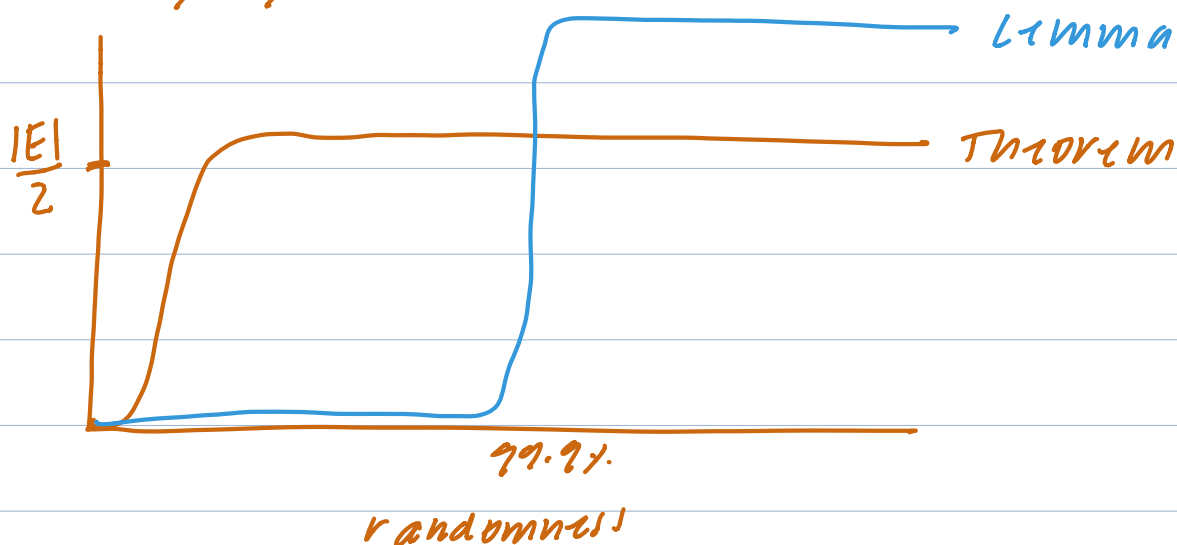
if  $S = A(b)$  then  $\mathbb{E}[|E(S, \bar{S})|] \geq |E|/2$

Q1.  $\uparrow$  over randomness of  $A$

Expectation is High Probability

$\downarrow$   
some very low,  
some very high,  
on average good

$\downarrow$   
always over some  
threshold of good



Q2.  $a, b \sim \{0,1\}$ . What is  $\Pr[a \neq b]$ ?

$$\left(\frac{2}{2}\right)\left(\frac{1}{2}\right) = \boxed{\frac{1}{2}}$$

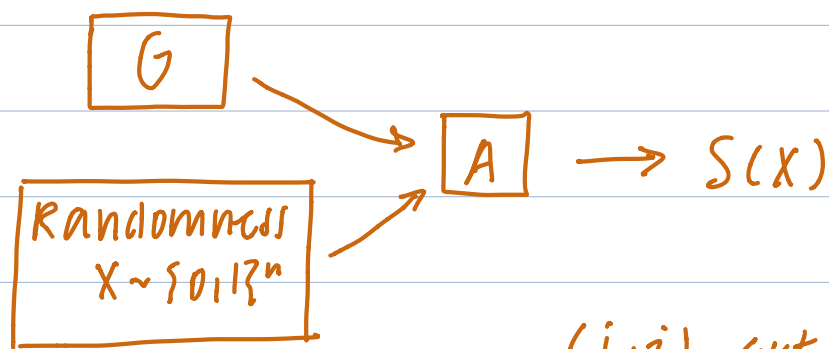
Proof. Given  $b$  on  $n$  vertices,  $A$  picks  $x \sim \{0,1\}^n$  and outputs  $S = \{i \mid x_i = 1\}$ .

For every edge  $(i,j) \in E$ , define  $X_{i,j} = \begin{cases} 1, & x_i \neq x_j \\ 0, & x_i = x_j \end{cases}$

Q3. What is  $\mathbb{E}[X_{i,j}]$ ?

$$1 \cdot \Pr(x_i \neq x_j) + 0 \cdot \Pr(x_i = x_j) = 1 \times \frac{1}{2} + 0 \times \frac{1}{2} = \boxed{\frac{1}{2}}$$

Q4. Prove that  $|E(S, \bar{S})| = \sum_{(i,j) \in E} X_{i,j}$ .



$(i,j)$  cut by

$\forall$  edge  $(i,j)$  in graph. If  $i \neq j$ , then edge will be cut, and if  $i=j$ , then it won't.

$$\mathbb{E}[\# \text{ edges cut}] = \sum_{(i,j) \in E} \mathbb{E}[X_{i,j}] = |E| \cdot \frac{1}{2} \quad \square$$

Theorem follows by linearity of expectation.

*From expectation to high probability*

Given: Poly-time alg  $A$  s.t.  $\mathbb{E}[\text{val}(A(G))] \geq k$  such as amplification

Goal: Poly-time alg  $B$  s.t.  $\Pr[\text{val}(B(G)) \geq k] \geq 0.99$

Algorithm  $B$

Input:  $G$

for  $i = 1 \dots 1000m$ :

$S_i \leftarrow A(G)$  ← fresh randomness every time

return  $S_i$  maximizing edges cut (value)

Lemma.  $\Pr[\text{val}(A(G)) \geq k] \geq \gamma_m$

Q5. Prove that lemma  $\rightarrow \Pr[\text{val}(A(G)) \geq k] \geq 0.99$

$$E_1 \sim \dots \sim E_{1000m}$$

where  $E_i$  = event that cut in  $i^{\text{th}}$  iteration  
had value less than  $k_0$ .

$$\Pr["A \text{ succeeds}"] \geq 1/m \text{ by Lemma}$$

$$\Pr["A \text{ fails}"] \leq 1 - 1/m$$

$$\Pr[A \text{ fails } 1000m \text{ times}] \stackrel{\text{iid}}{\leq} (1 - 1/m)^{1000m} \approx e^{-1000} < 0.01$$

$$\Pr[E_1 \wedge E_2 \wedge \dots \wedge E_{1000m}] = \prod_{i=1}^{1000m} \Pr[E_i]$$

Pf. Suppose  $\Pr[\text{val}(A(b)) \geq k] < \frac{1}{m}$  then

$$\mathbb{E}[\text{val}(A(b))] < \underbrace{\frac{1}{m} \cdot m}_{\text{contribution from } \text{val}(A(b)) \geq k} + \underbrace{1 \cdot (k-1)}_{\text{contribution from } \text{val}(A(b)) < k-1} = k$$

contribution from  $\text{val}(A(b)) \geq k$       contribution from  $\text{val}(A(b)) < k-1$

□

More amplification

If we repeat  $t \cdot 1000m$  times, probability of value  $< k$   
is  $\ll 2^{-t}$ .

All functions  $F: S_{0,1}^* \rightarrow S_{0,1}$

R computable function

EXP

