

# SOLID Principles & Design Patterns

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“Applying design principles is the key to creating high-quality software!”



Architectural principles:  
Axis, symmetry, rhythm, datum, hierarchy, transformation

Why care about design quality and  
design principles?



**Poor software quality costs  
more than \$150 billion per year  
in U.S. and greater than \$500  
billion per year worldwide**

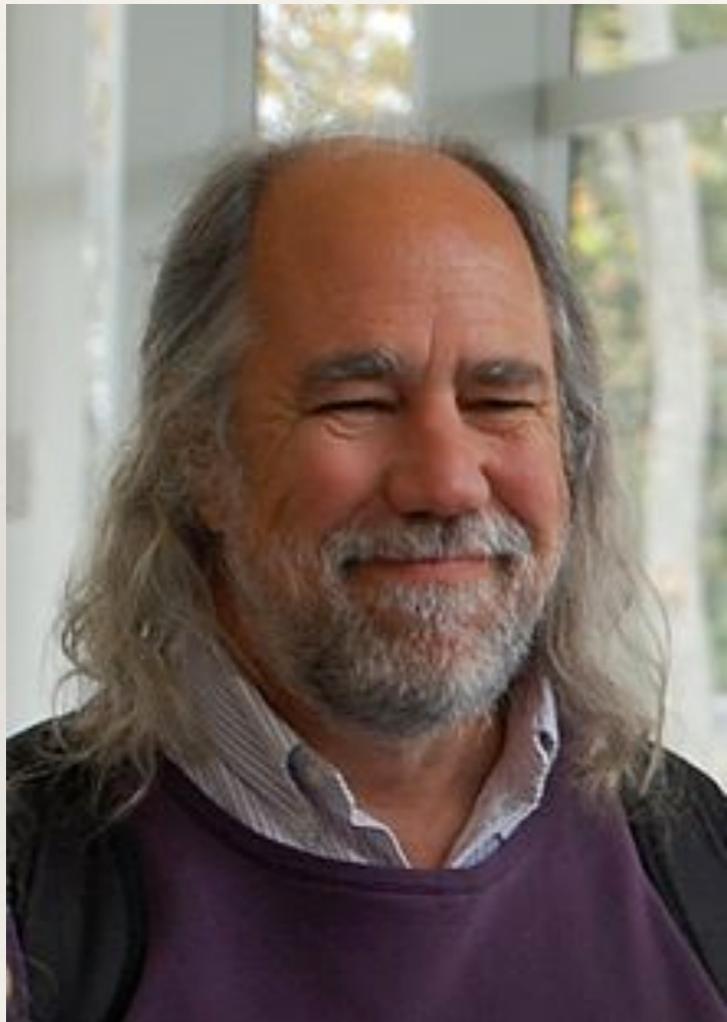
- Capers Jones

# The city metaphor

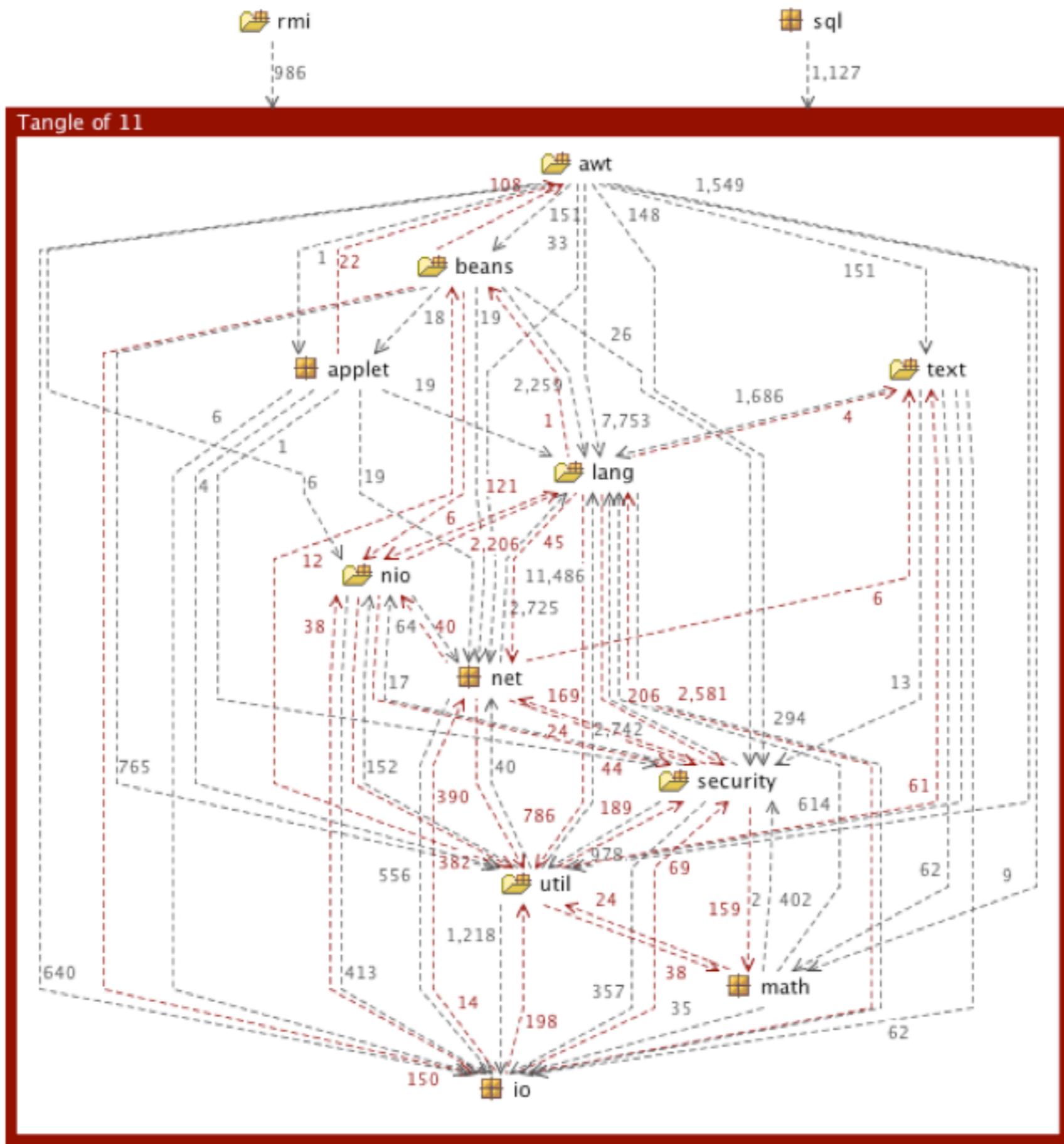


Source: <http://indiatransportportal.com/wp-content/uploads/2012/04/Traffic-congestion1.jpg>

# The city metaphor



*“Cities grow, cities evolve, cities have parts that simply die while other parts flourish; each city has to be renewed in order to meet the needs of its populace... Software-intensive systems are like that. They grow, they evolve, sometimes they wither away, and sometimes they flourish...”*



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# Modularisation in Java 9

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Modularize JDK & JRE

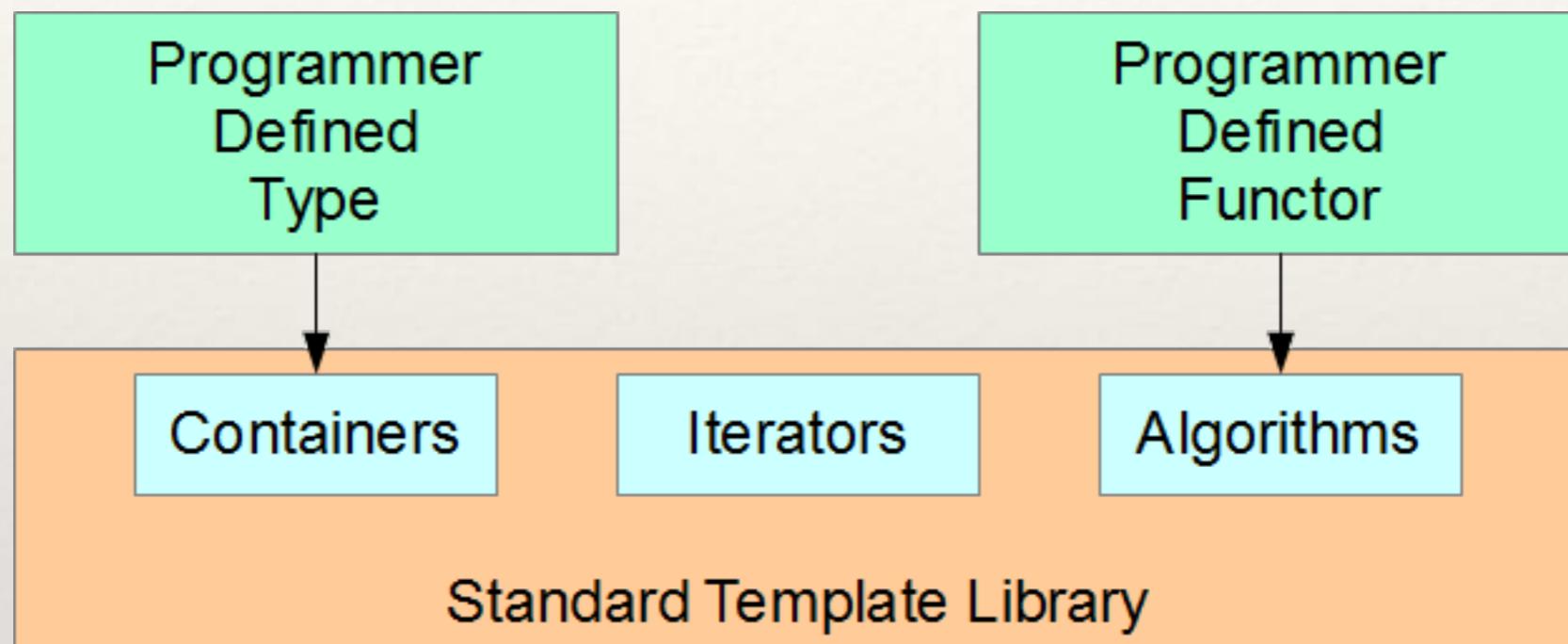
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Hide platform internal details such as sun.misc

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Provide a module system for Java developers

# Example of beautiful design



```
int arr[] = {1, 4, 9, 16, 25}; // some values
// find the first occurrence of 9 in the array
int * arr_pos = find(arr, arr + 4, 9);
std::cout << "array pos = " << arr_pos - arr << endl;

vector<int> int_vec;
for(int i = 1; i <= 5; i++)
    int_vec.push_back(i*i);
vector<int>::iterator vec_pos = find (int_vec.begin(), int_vec.end(), 9);
std::cout << "vector pos = " << (vec_pos - int_vec.begin());
```

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# For architects: design is the key!

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# What do we mean by “principles”?

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“Design principles are key notions considered fundamental to many different software design approaches and concepts.”

- SWEBOK v3 (2014)

"The critical design tool for software development  
is a mind well educated in design principles"

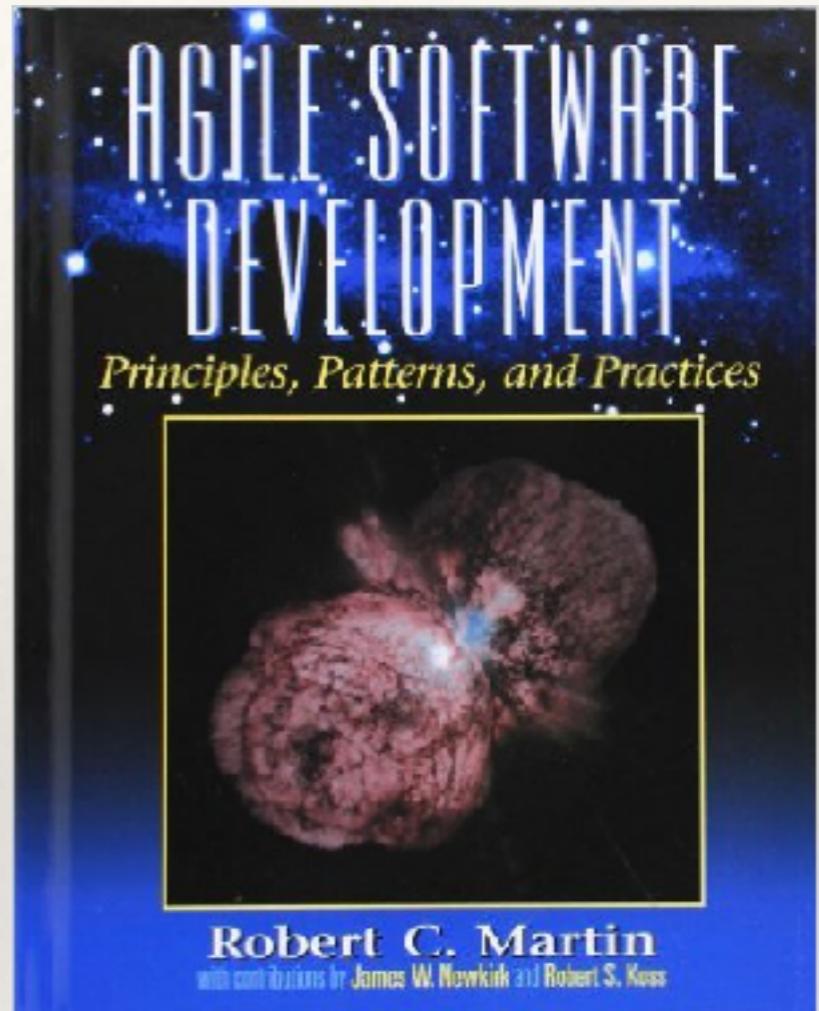
- Craig Larman



# Fundamental Design Principles

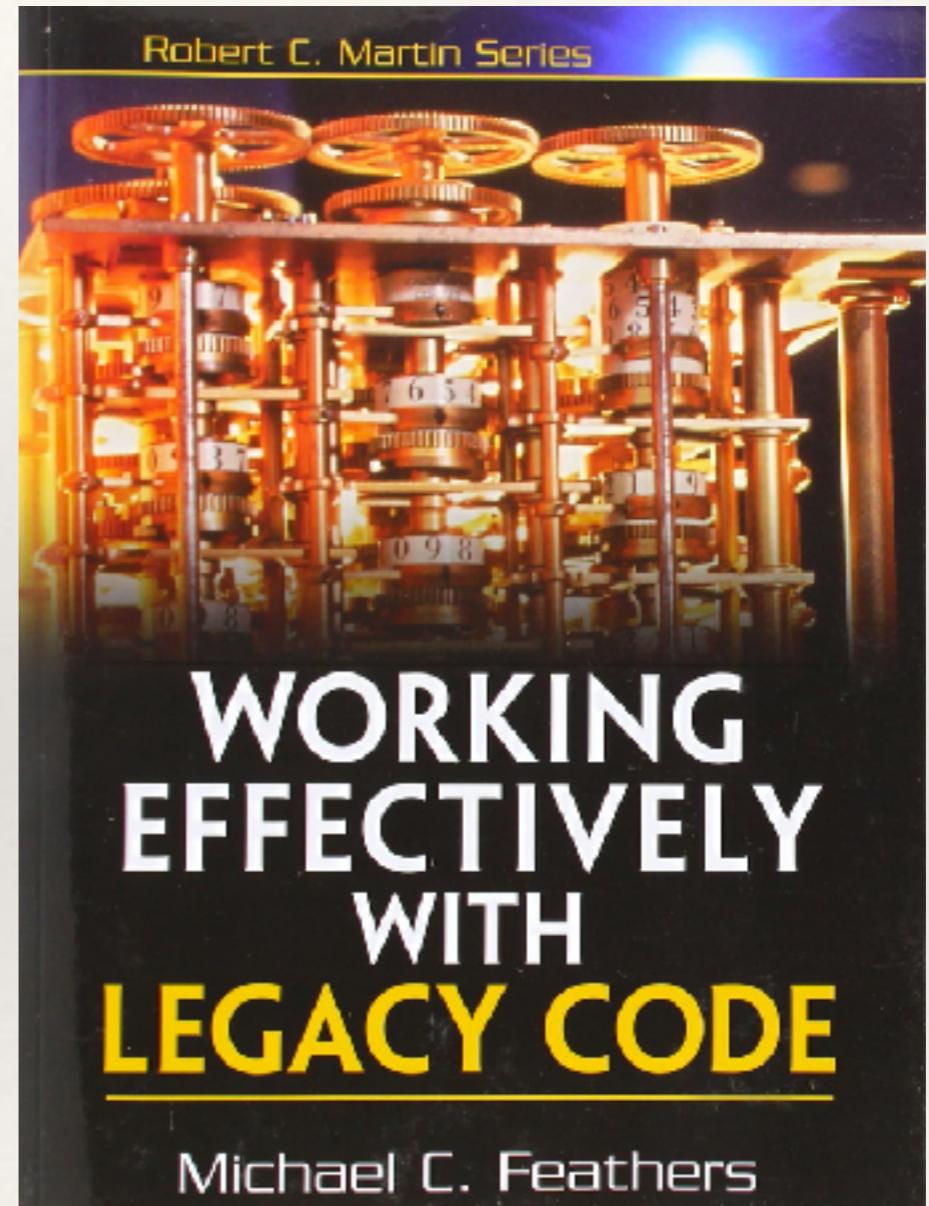
# Robert C. Martin

Formulated many principles and described  
many other important principles



# Michael Feathers

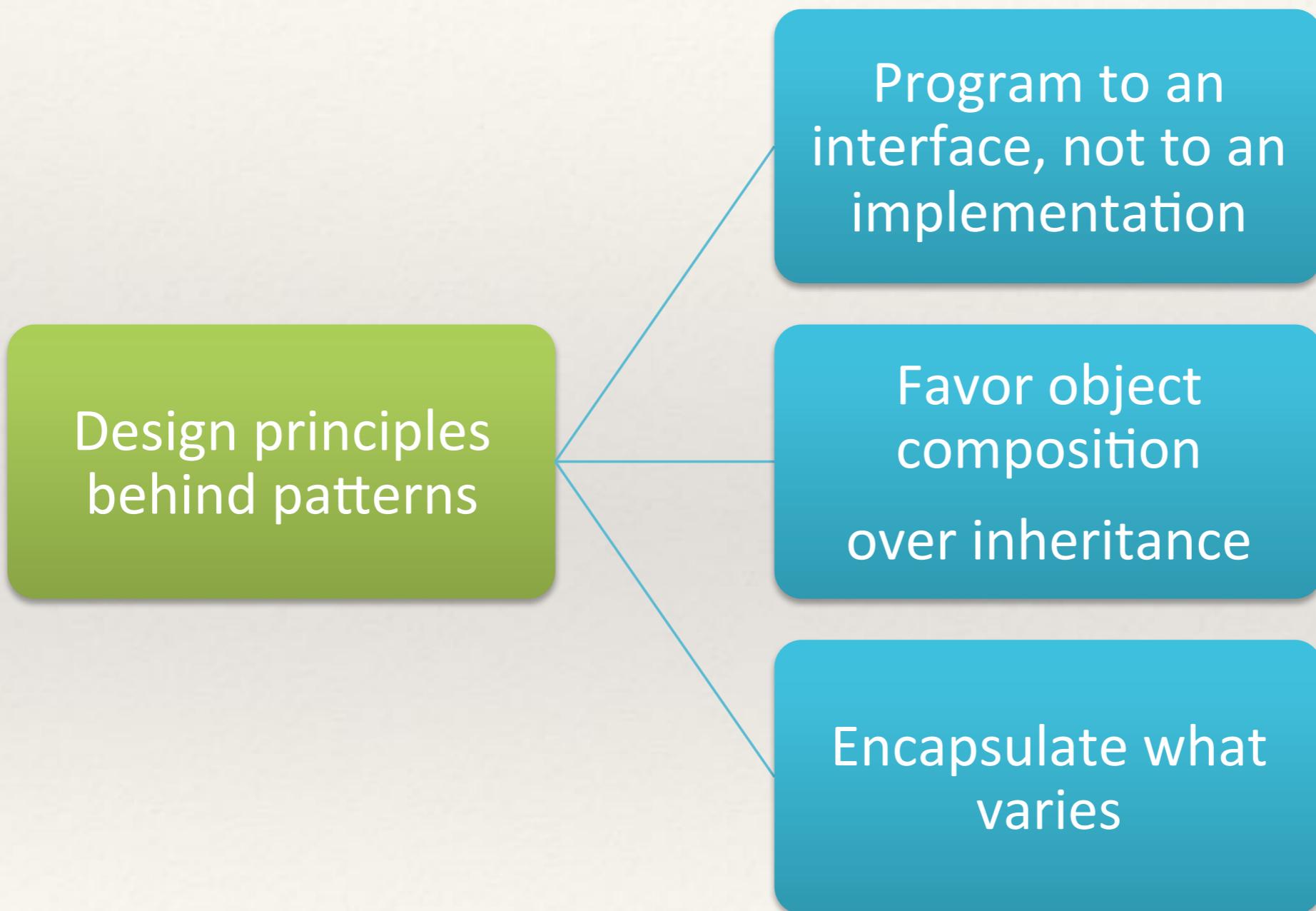
Michael Feathers coined the acronym SOLID in 2000s to remember first five of the numerous principles by Robert C. Martin



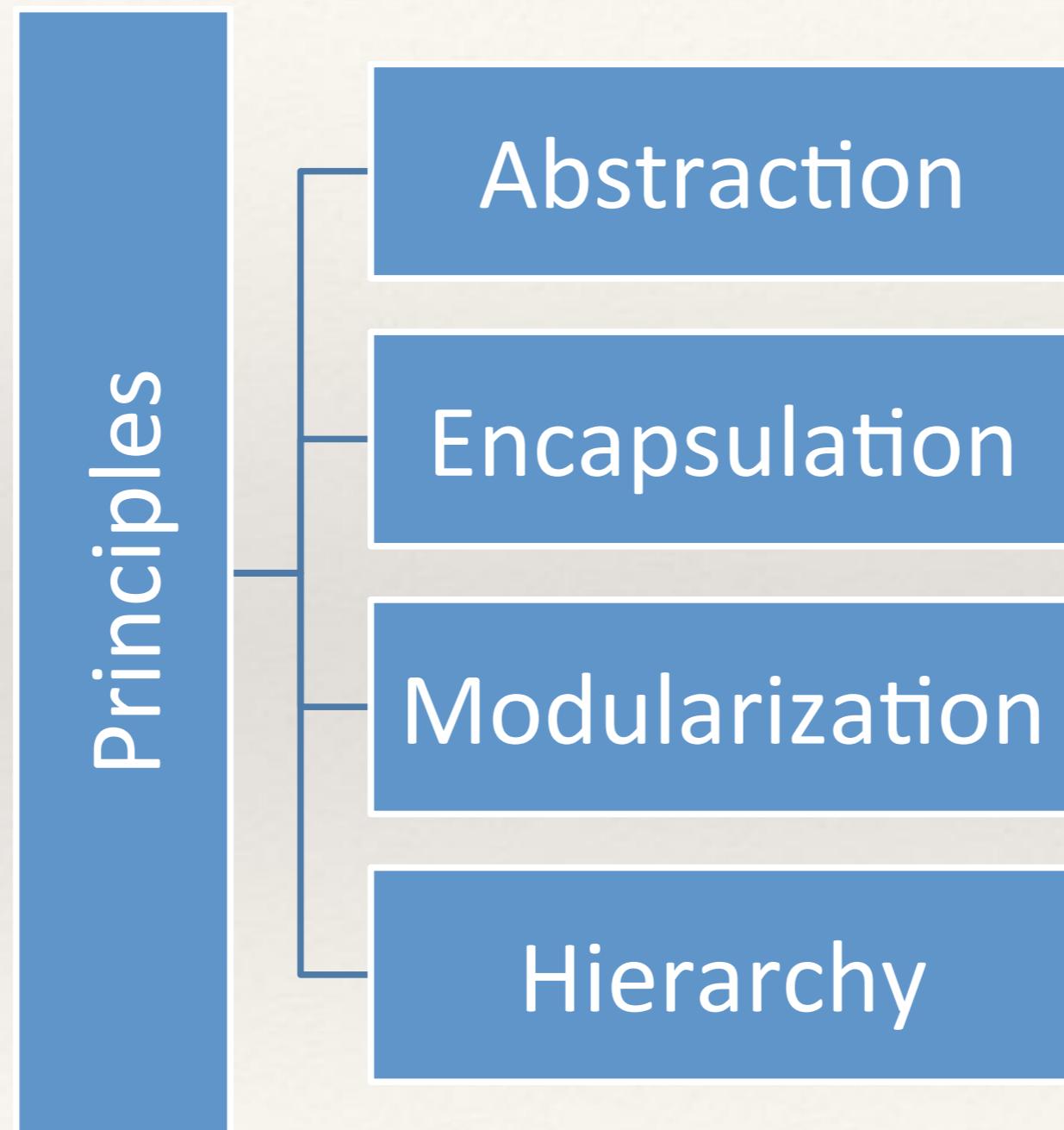
# SOLID principles

S	Single Responsibility Principle	Every object should have a single responsibility and that should be encapsulated by the class
O	Open Closed Principle	Software should be open for extension, but closed for modification
L	Liskov's Substitution Principle	Any subclass should always be usable instead of its parent class
I	Interface Segregation Principle	Many client specific interfaces are better than one general purpose interface
D	Dependency Inversion Principle	Abstractions should not depend upon details. Details should depend upon abstractions

# 3 principles behind patterns



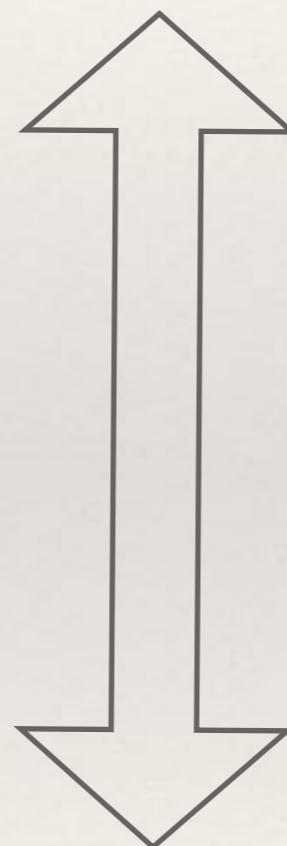
# Booch's *fundamental* principles



# How to apply principles in practice?

Design principles

How to bridge  
the gap?



Code

# Why care about refactoring?



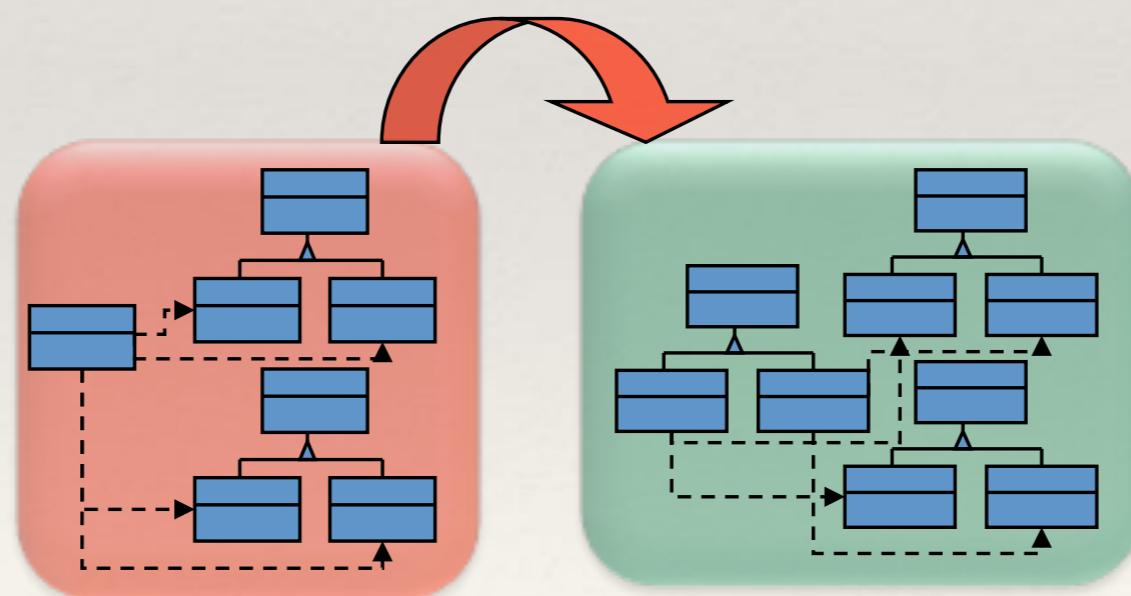
As an evolving program is continually changed, its complexity, reflecting deteriorating structure, increases unless work is done to maintain or reduce it

- Lehman's law of Increasing Complexity

# What is refactoring?

**Refactoring (noun):** a *change* made to the *internal structure* of software to make it easier to *understand and cheaper to modify* without changing its observable behavior

**Refactor (verb):** to restructure software by applying a series of refactorings without changing its observable behavior



# What are smells?

“Smells are certain structures  
in the code that suggest  
(sometimes they scream for)  
the possibility of refactoring.”



# Granularity of smells

## Architectural

Cyclic dependencies between modules

Monolithic modules

Layering violations (back layer call, skip layer call, vertical layering, etc)

## Design

God class

Refused bequest

Cyclic dependencies between classes

## Code (implementation)

Internal duplication (clones within a class)

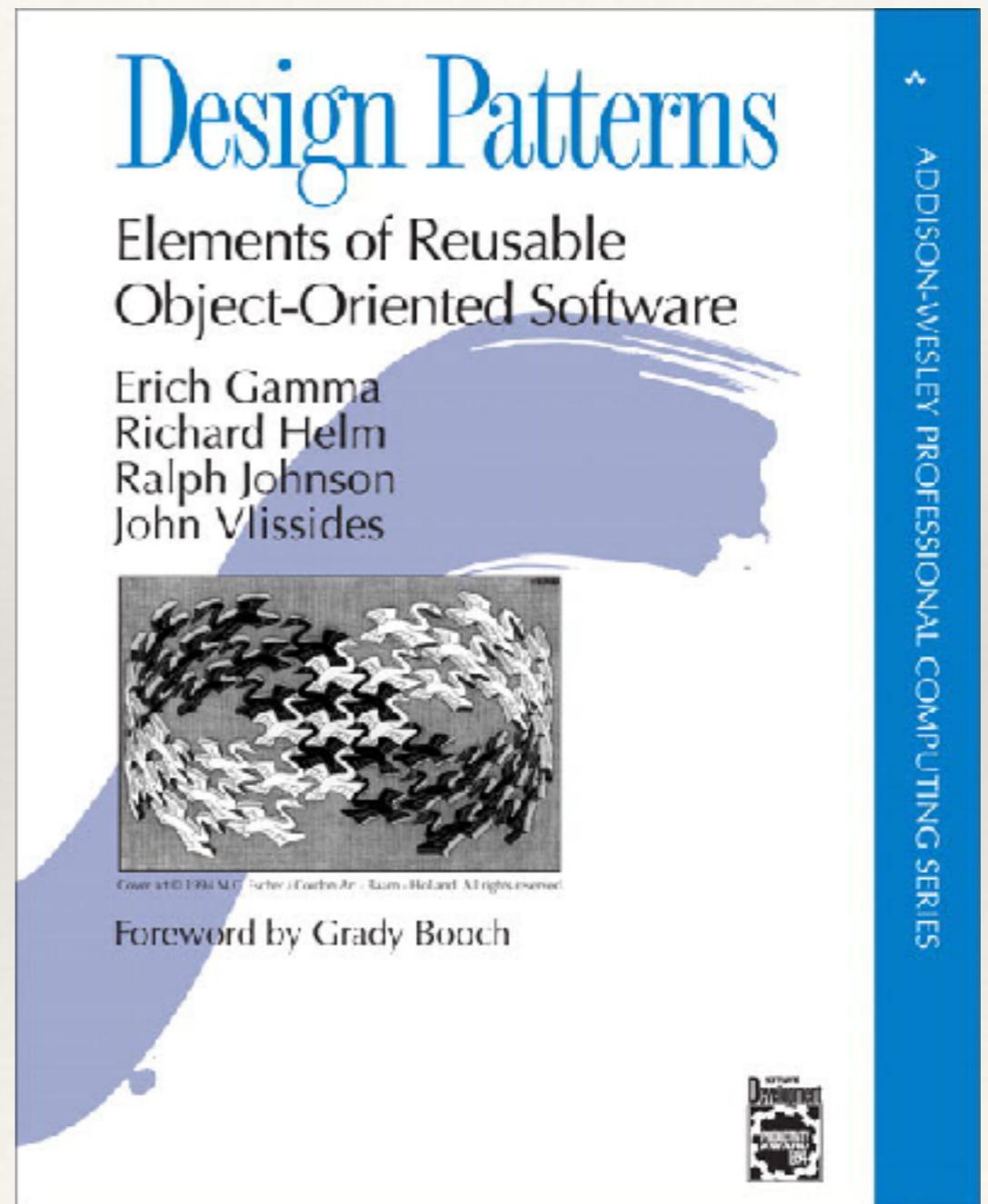
Large method

Temporary field

# What are design patterns?

*recurrent solutions  
to common  
design problems*

Pattern Name  
Problem  
Solution  
Consequences



ADDISON-WESLEY PROFESSIONAL COMPUTING SERIES

# Why care about patterns?

- ❖ Patterns capture expert knowledge in the form of proven reusable solutions
  - ❖ Better to reuse proven solutions than to “re-invent” the wheel
- ❖ When used correctly, patterns positively influence software quality
  - ❖ Creates maintainable, extensible, and reusable code

**GOOD  
DESIGN  
IS GOOD  
BUSINESS**

-THOMAS J WATSON JR.

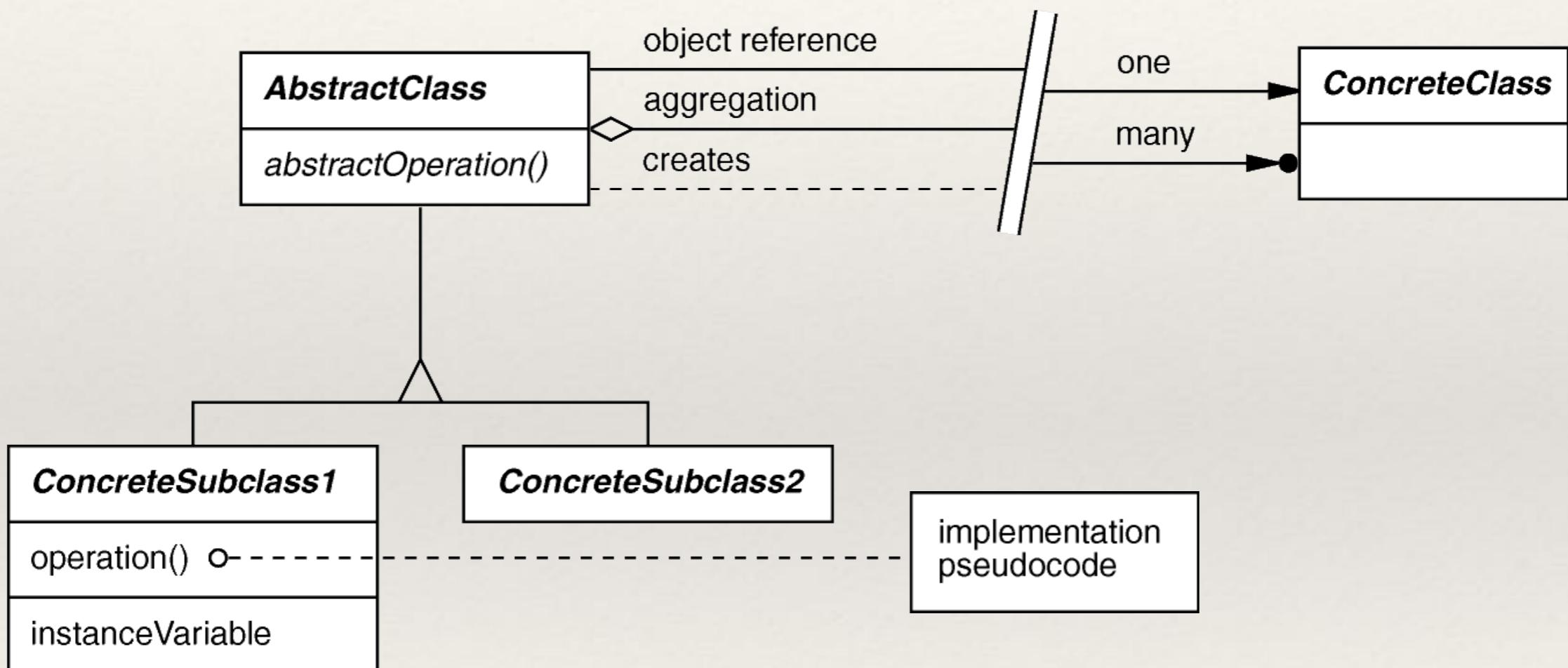
# Design pattern catalog

		<i>Purpose</i>		
		<b>Creational</b>	<b>Structural</b>	<b>Behavioral</b>
<b>Scope</b>	<b>Class</b>	Factory Method	Adapter (class)	Interpreter Template Method
	<b>Object</b>	Abstract Factory Builder Prototype Singleton	Adapter (object) Bridge Composite Decorator Flyweight Facade Proxy	Chain of Responsibility Command Iterator Mediator Memento Observer State Strategy Visitor

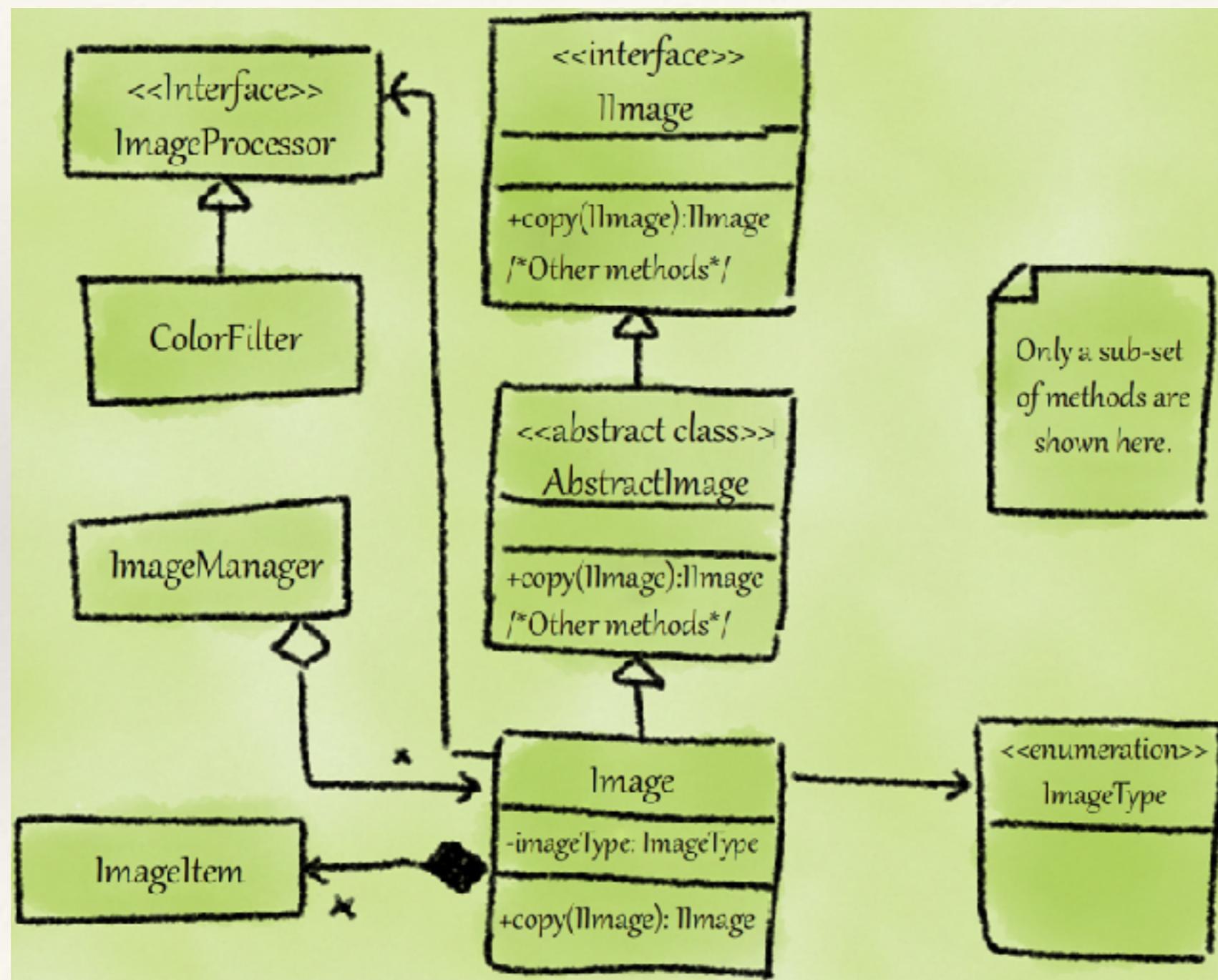
# Design pattern catalog

Creational	Deals with controlled object creation	<i>Factory method</i> , for example
Structural	Deals with composition of classes or objects	<i>Composite</i> , for example
Behavioral	Deals with interaction between objects / classes and distribution of responsibility	<i>Strategy</i> , for example

# 5 minutes intro to notation



# An example



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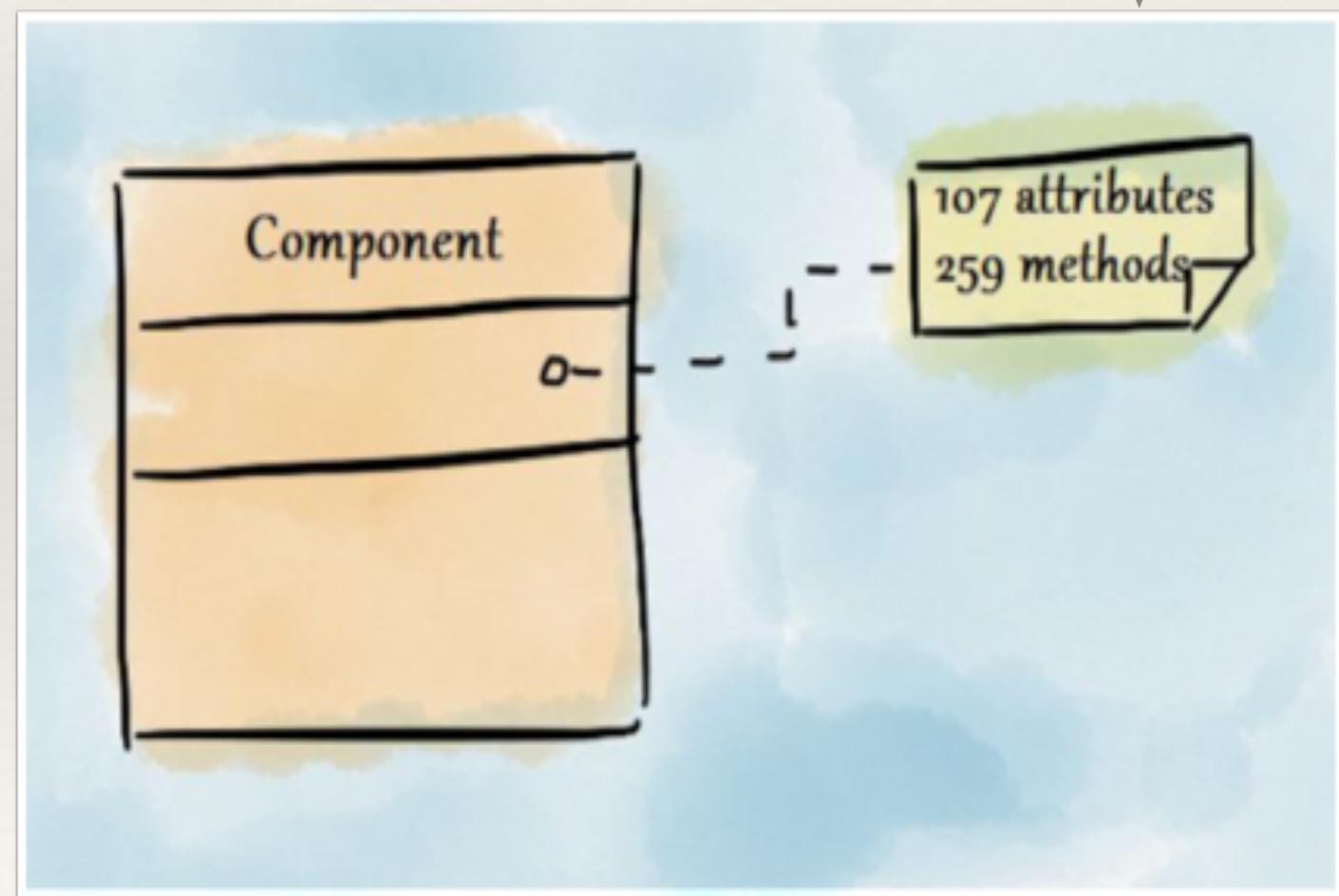
# Hands-on exercise

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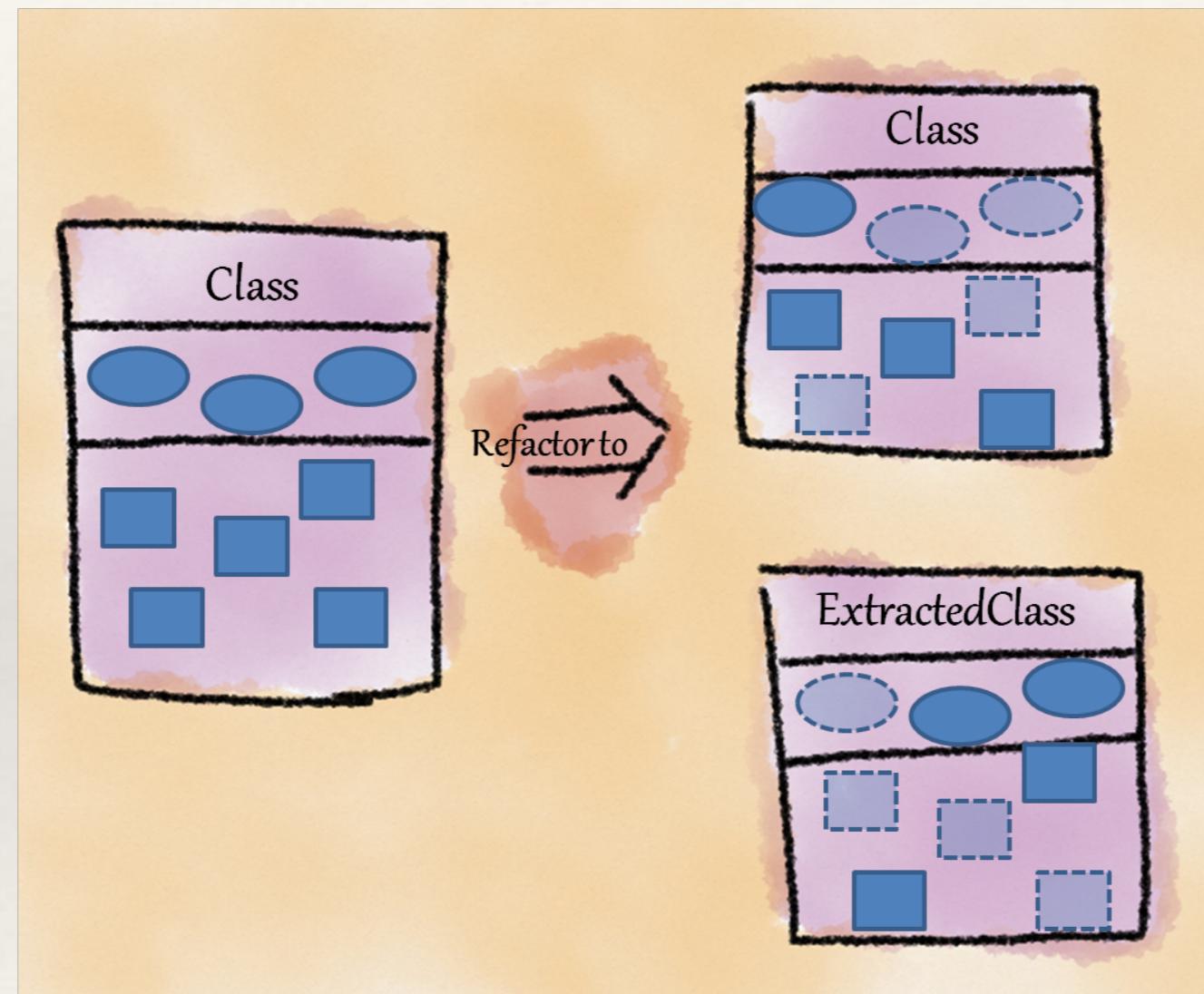
- ❖ Refactor ZipTextFile.java

# What's that smell?

“Large class”  
smell



# Refactoring with “extract class”

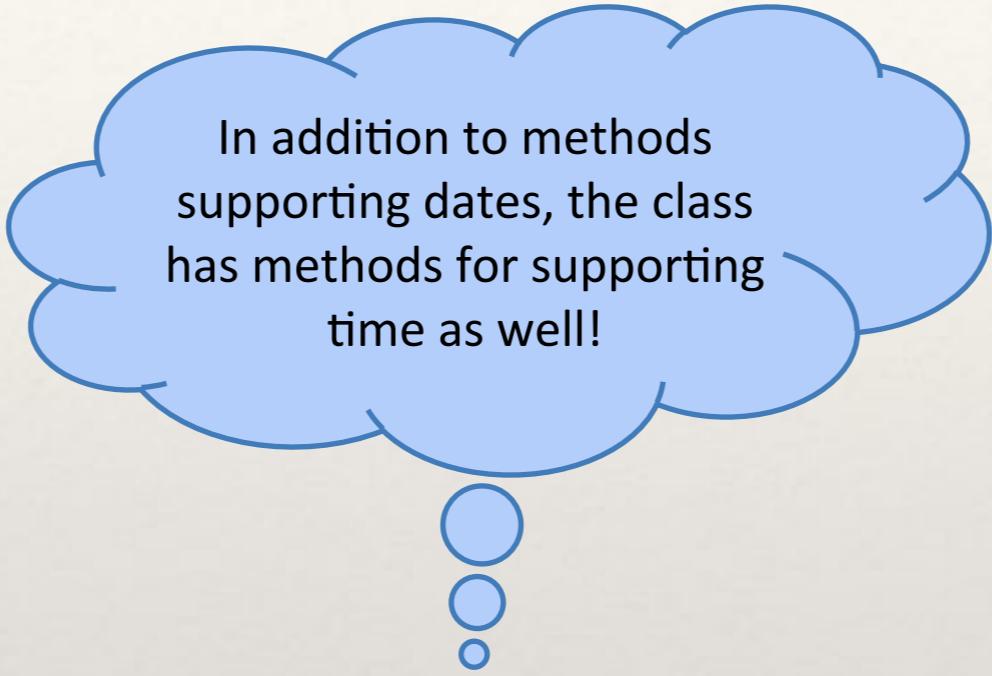


# Single Responsibility Principle

There should be only one  
reason for a class to  
change



# What's that smell?



In addition to methods supporting dates, the class has methods for supporting time as well!

java.util.Calendar

---

# java.time package!

---



# What's that smell?

```
class GraphicsDevice  
  
public void setFullScreenWindow(Window w) {  
    if (w != null) {  
        if (w.getShape() != null) { w.setShape(null); }  
        if (w.getOpacity() < 1.0f) { w.setOpacity(1.0f); }  
        if (!w.isOpaque()) {  
            Color bgColor = w.getBackground();  
            bgColor = new Color(bgColor.getRed(),  
                                bgColor.getGreen(),  
                                bgColor.getBlue(), 255);  
            w.setBackground(bgColor);  
        }  
    }  
}  
  
...
```

This code in  
GraphicsDevice uses  
more methods of  
Window than calling  
its own methods!

“Feature  
envy” smell

# Feature envy smell

Methods that are more interested in the data of other classes than that of their own class

# What's that smell?

“Lazy class”  
smell

```
public class FormattableFlags {  
    // Explicit instantiation of this class is prohibited.  
    private FormattableFlags() {}  
    /** Left-justifies the output. */  
    public static final int LEFT_JUSTIFY = 1<<0; // '-'  
    /** Converts the output to upper case */  
    public static final int UPPERCASE = 1<<1; // 'S'  
    /** Requires the output to use an alternate form. */  
    public static final int ALTERNATE = 1<<2; // '#'  
}
```

---

# Hands-on exercise

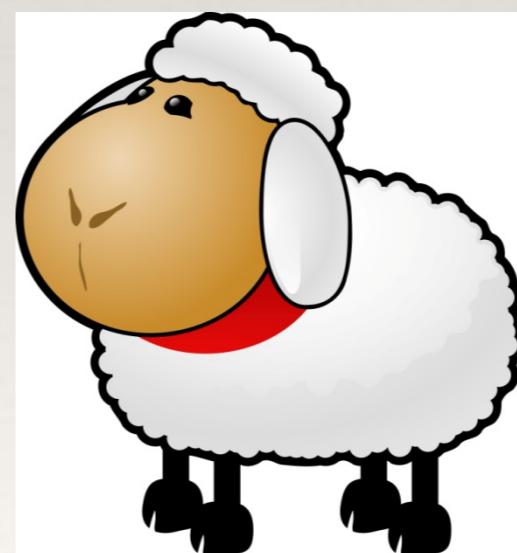
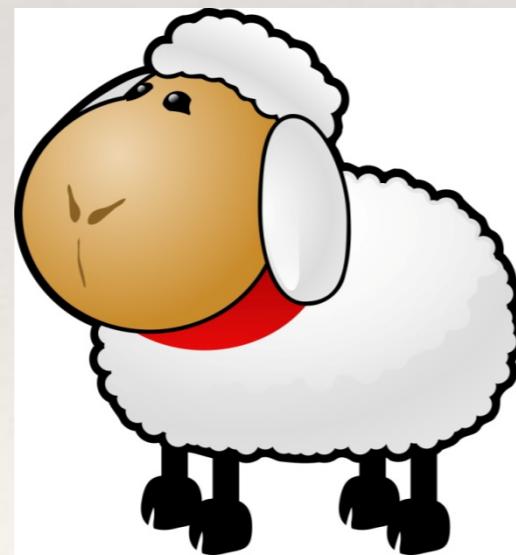
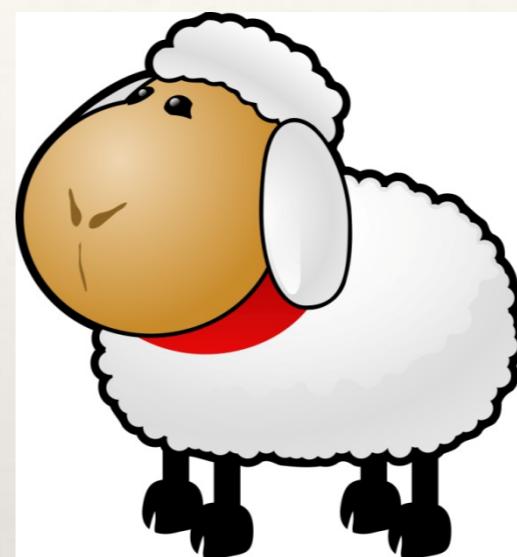
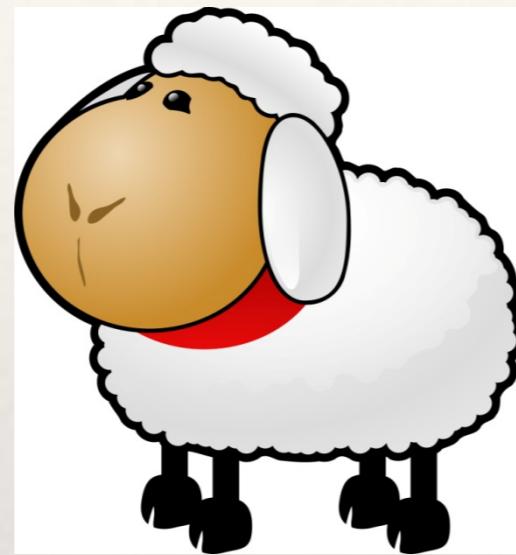
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- ❖ Refactor FormattableFlags.java

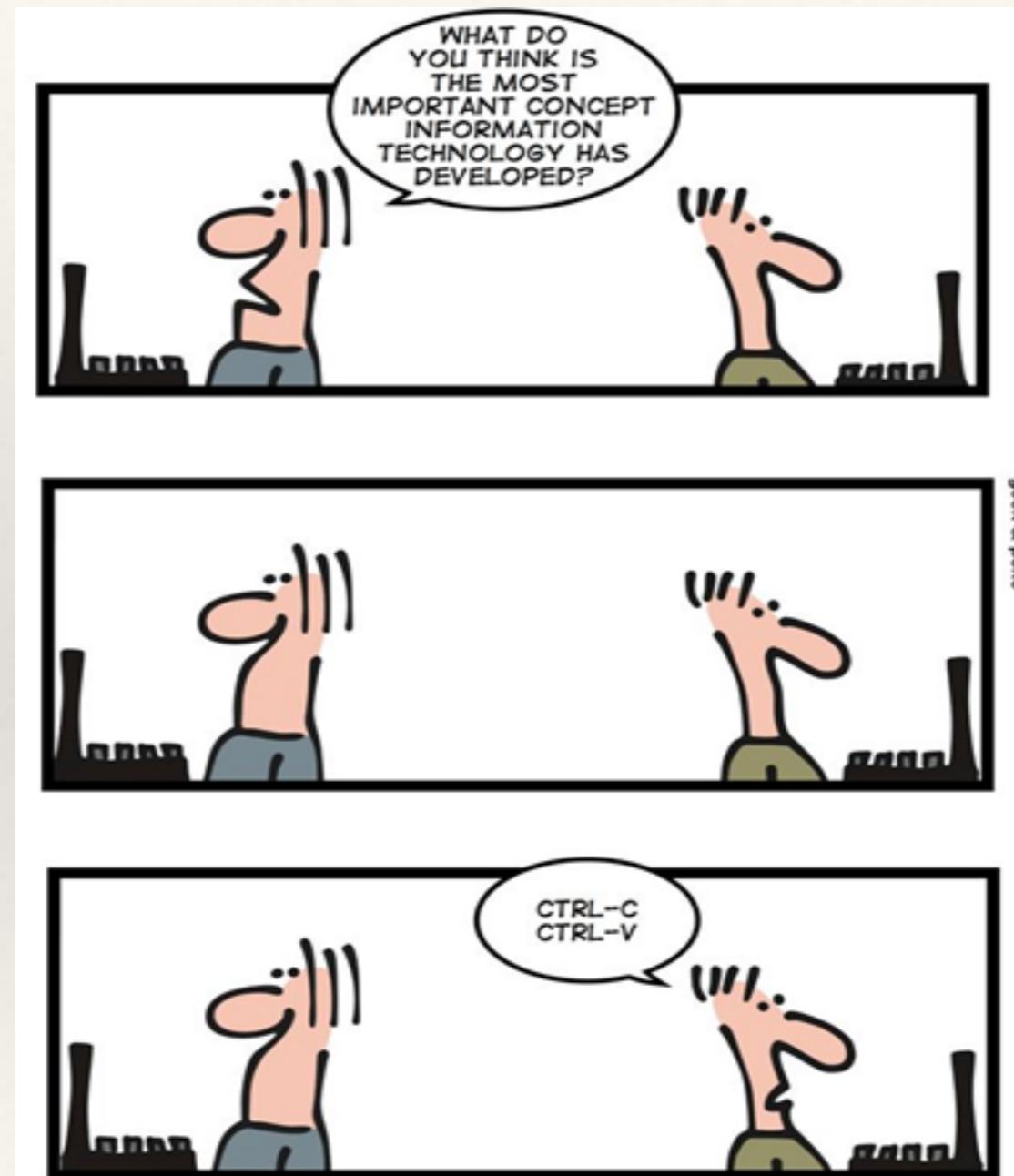
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# Duplicated Code

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# CTRL-C and CTRL-V



# Types of clones

## Type 1

- **exactly identical** except for variations in whitespace, layout, and comments

## Type 2

- **syntactically identical** except for variation in symbol names, whitespace, layout, and comments

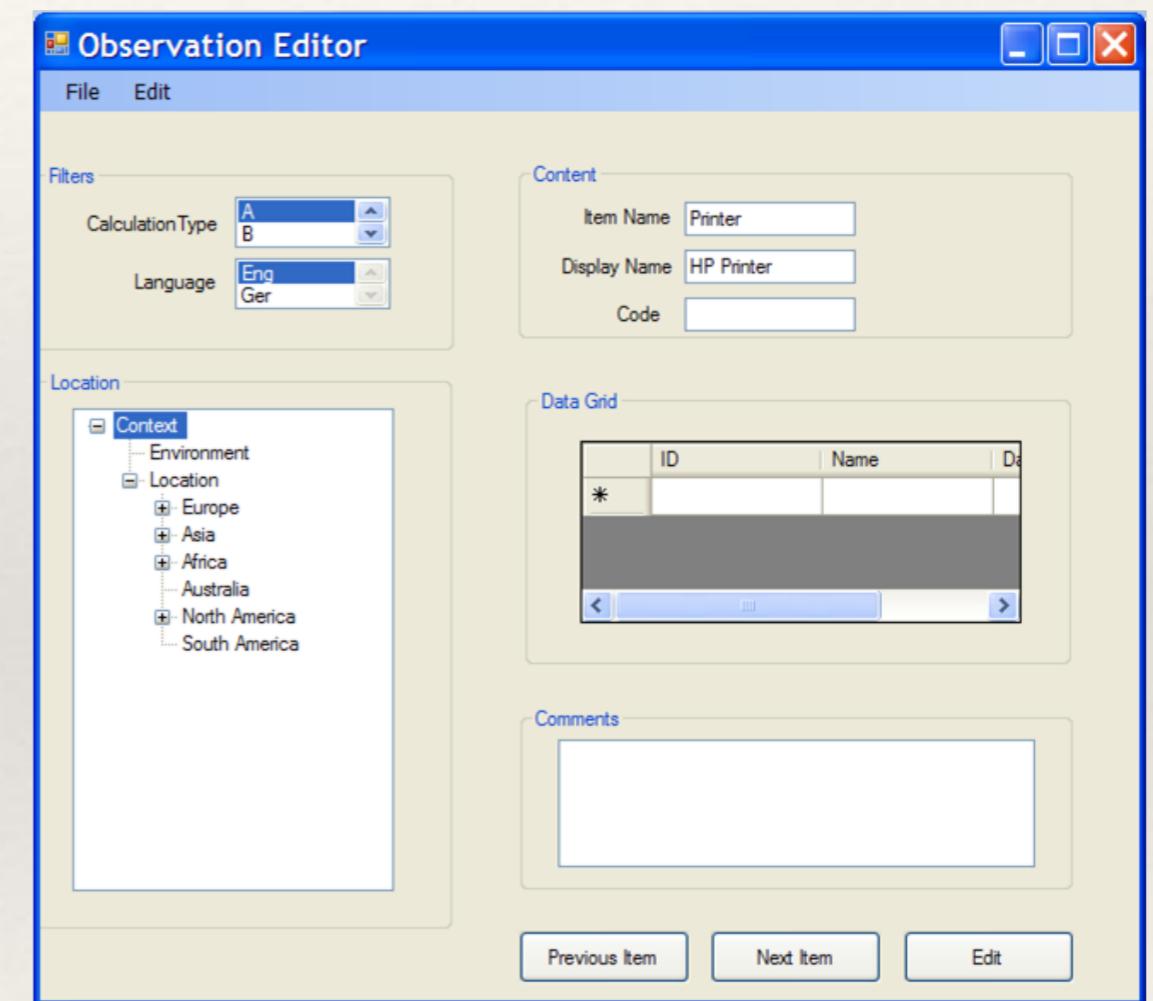
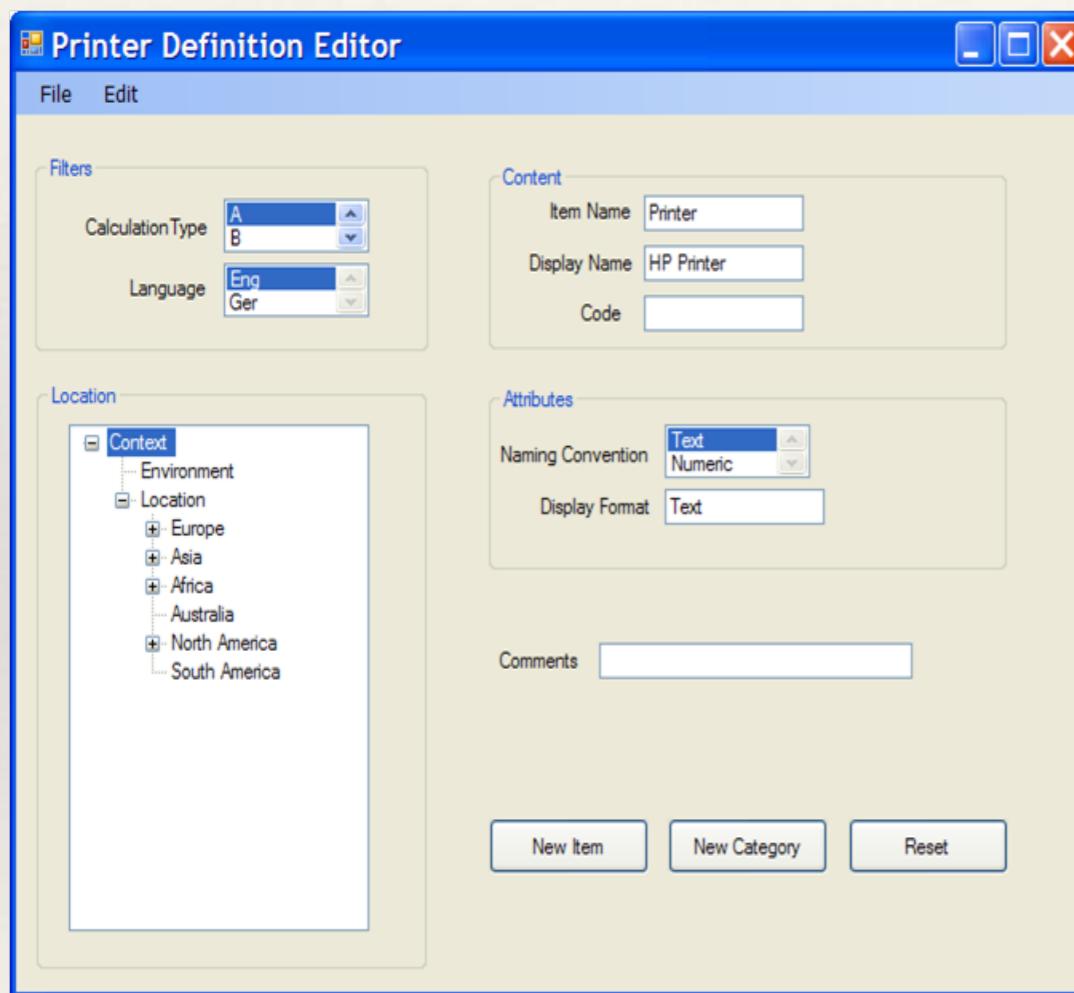
## Type 3

- identical except some **statements changed, added, or removed**

## Type 4

- when the fragments are **semantically identical** but implemented by syntactic variants

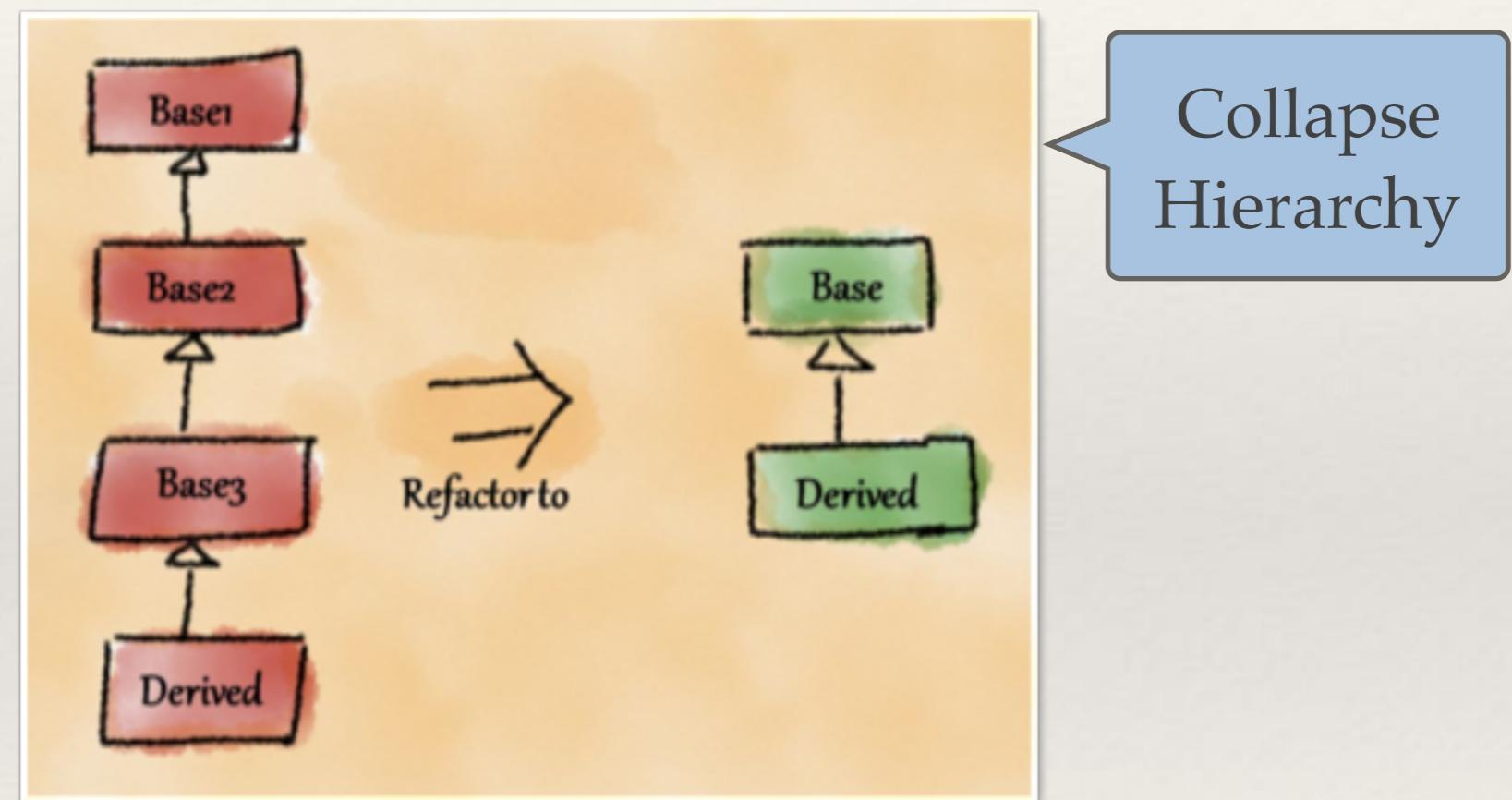
# How to deal with duplication?



# What's that smell?



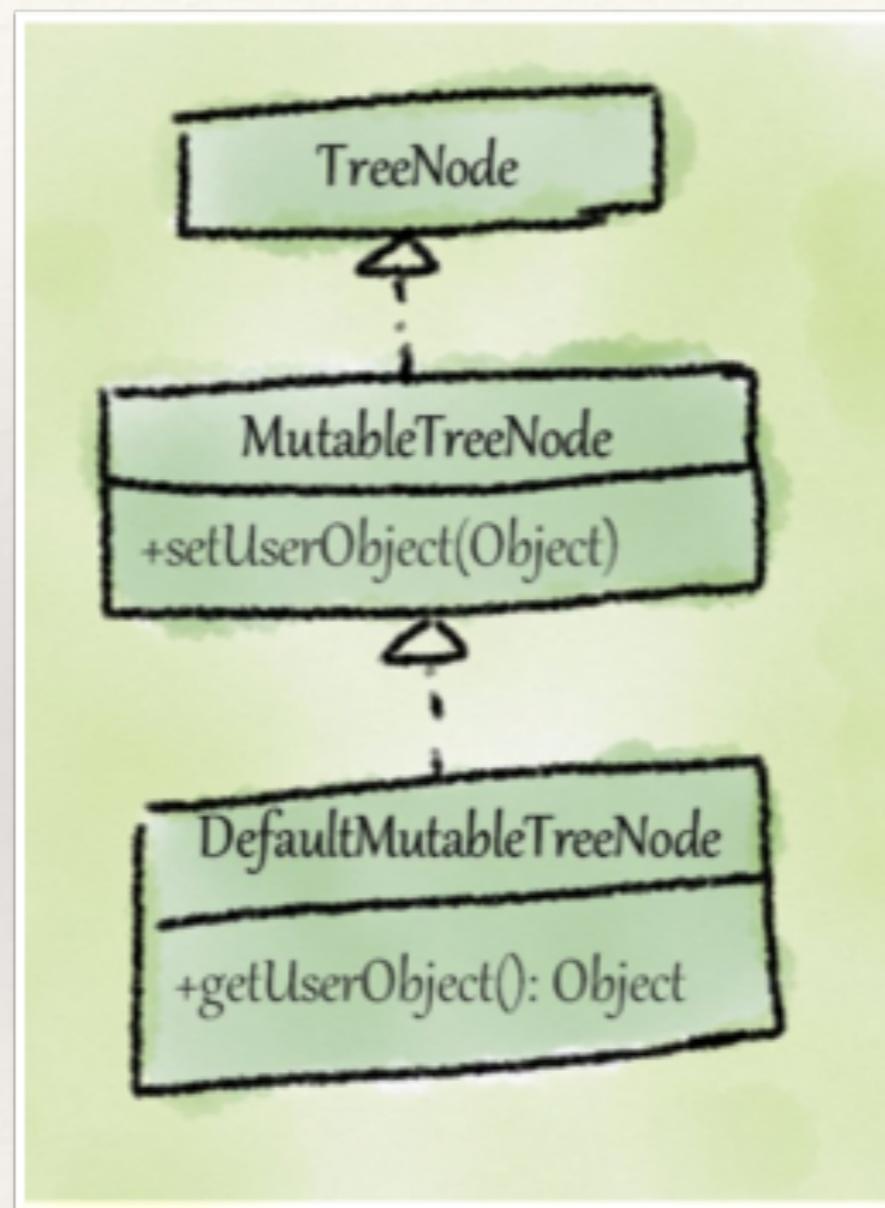
# Refactoring



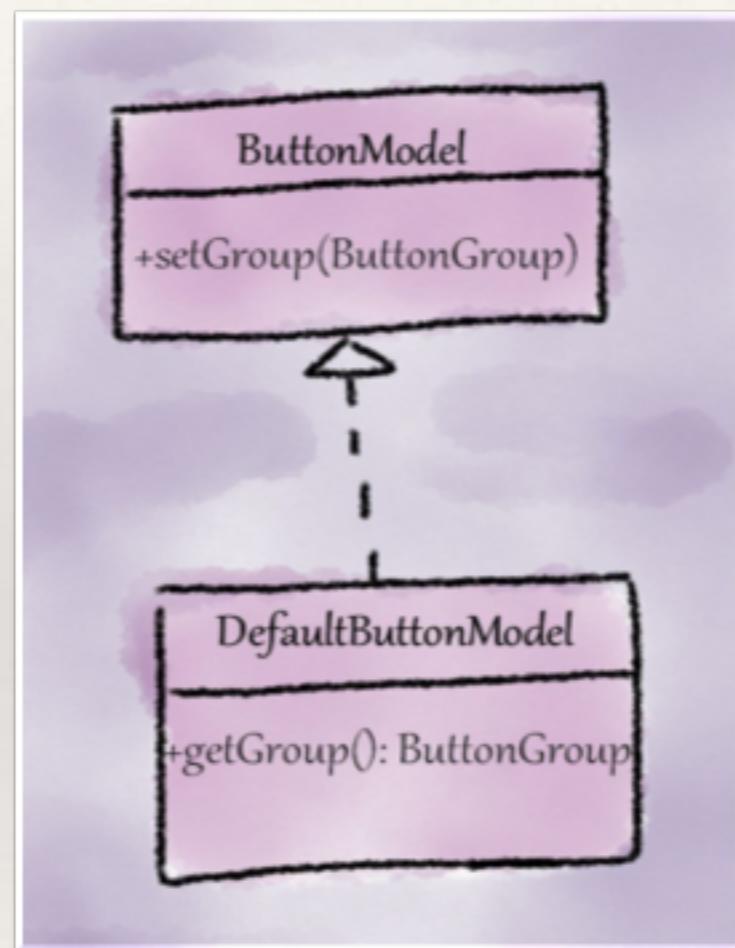
# Refactoring

```
/**  
 * Pluggable look and feel interface for JButton.  
 */  
public abstract class ButtonUI extends ComponentUI {  
}
```

# What's that smell?



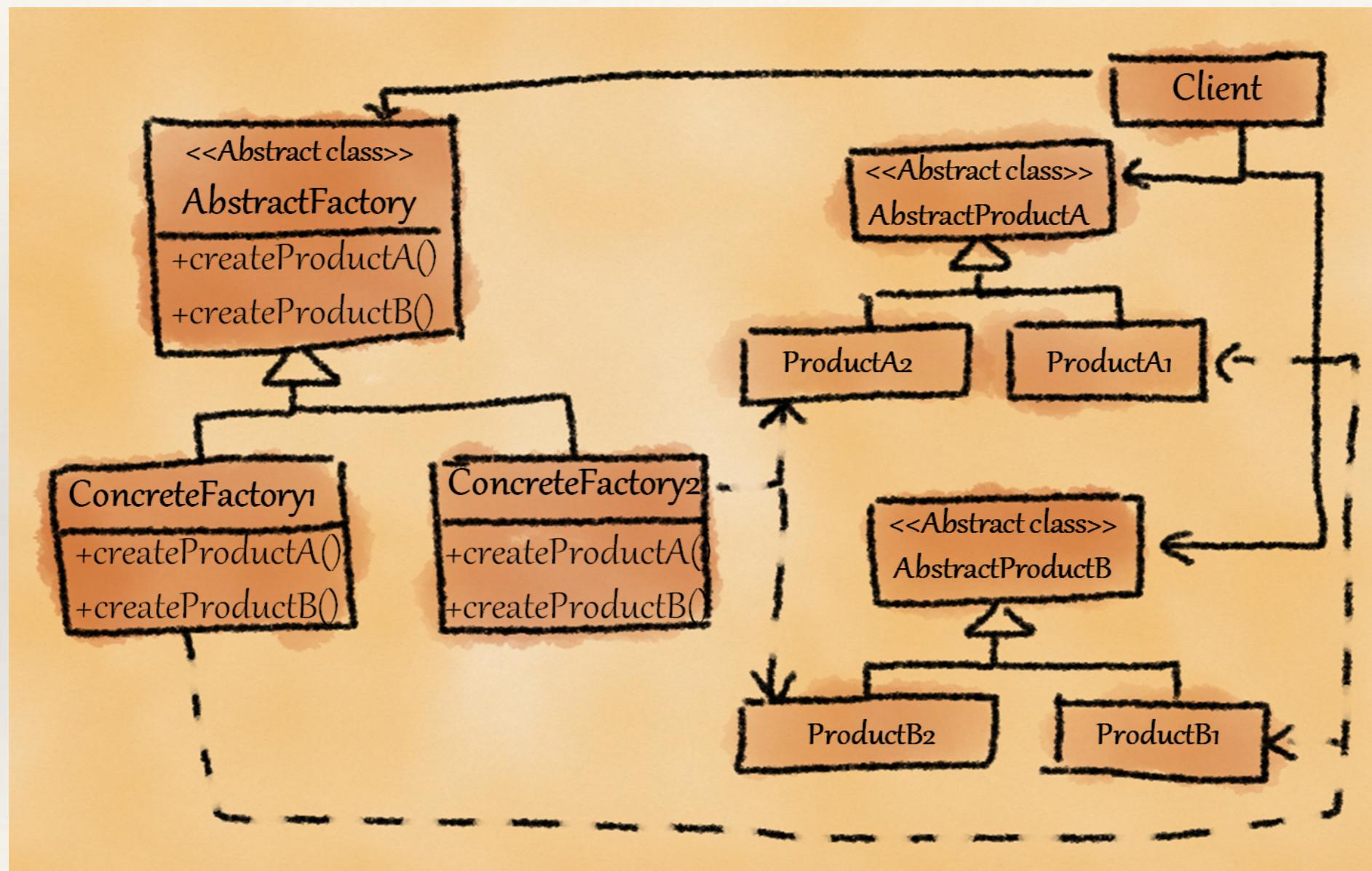
# What's that smell?



# Refactoring “incomplete library classes” smell

min/max	open/close	create/destroy	get/set
read/write	print/scan	first/last	begin/end
start/stop	lock/unlock	show/hide	up/down
source/target	insert/delete	first/last	push/pull
enable/disable	acquire/release	left/right	on/off

# Abstract factory pattern



# Scenario

- Assume that you need to support different Color schemes in your software
  - RGB (Red, Green, Blue), HSB (Hue, Saturation, Brightness), and HLS (Hue, Lightness, and Saturation) schemes
- Overloading constructors and differentiating them using enums can become confusing
- What could be a better design?

```
enum ColorScheme { RGB, HSB, HLS, CMYK }
class Color {
    private float red, green, blue;           // for supporting RGB scheme
    private float hue1, saturation1, brightness1; // for supporting HSB scheme
    private float hue2, lightness2, saturation2; // for supporting HLS scheme
    public Color(float arg1, float arg2, float arg3, ColorScheme cs) {
        switch (cs) {
            // initialize arg1, arg2, and arg3 based on ColorScheme value
        }
    }
}
```

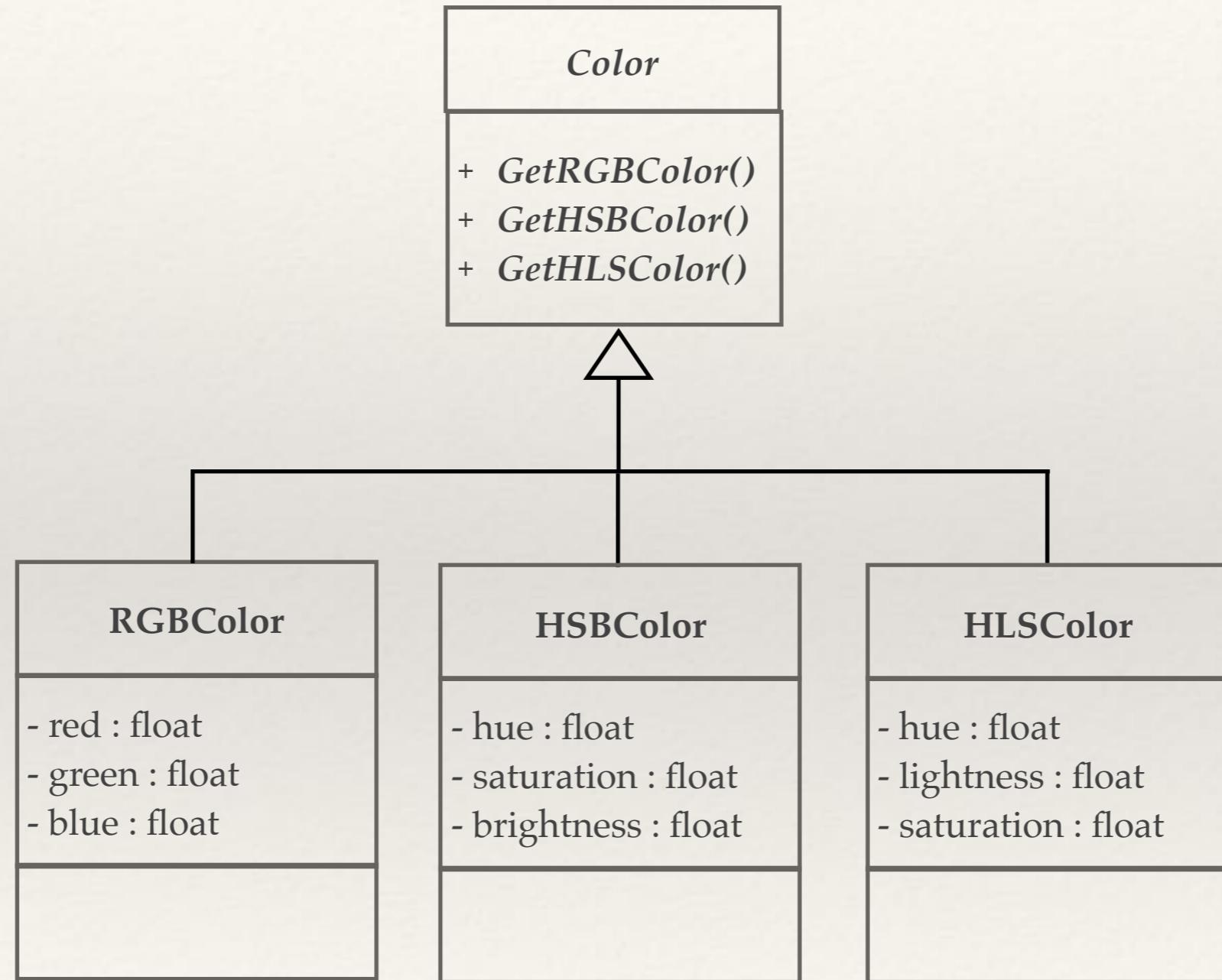
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# Hands-on exercise

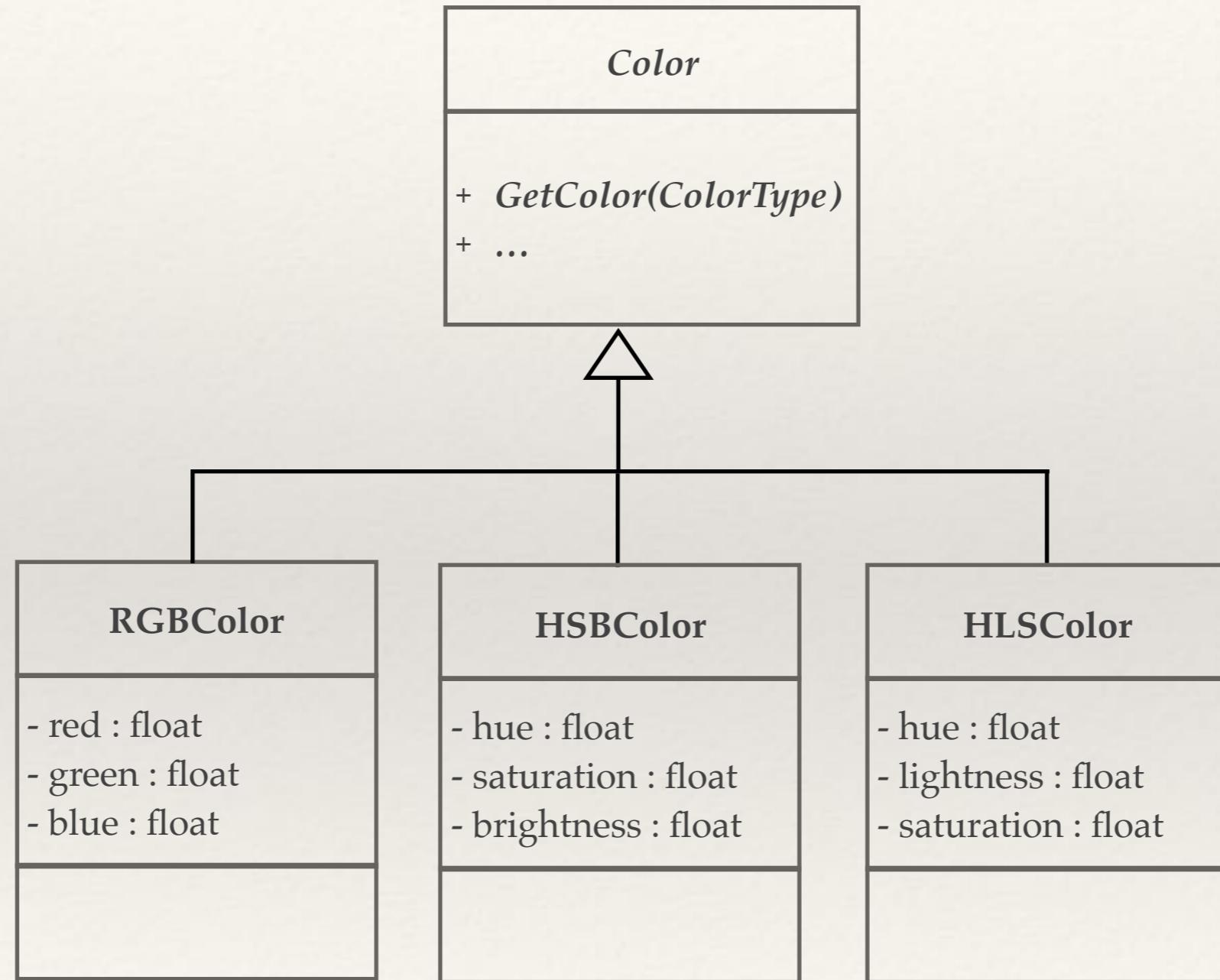
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- ❖ Refactor Color.java
- ❖ Hint: Use “factory method” design pattern

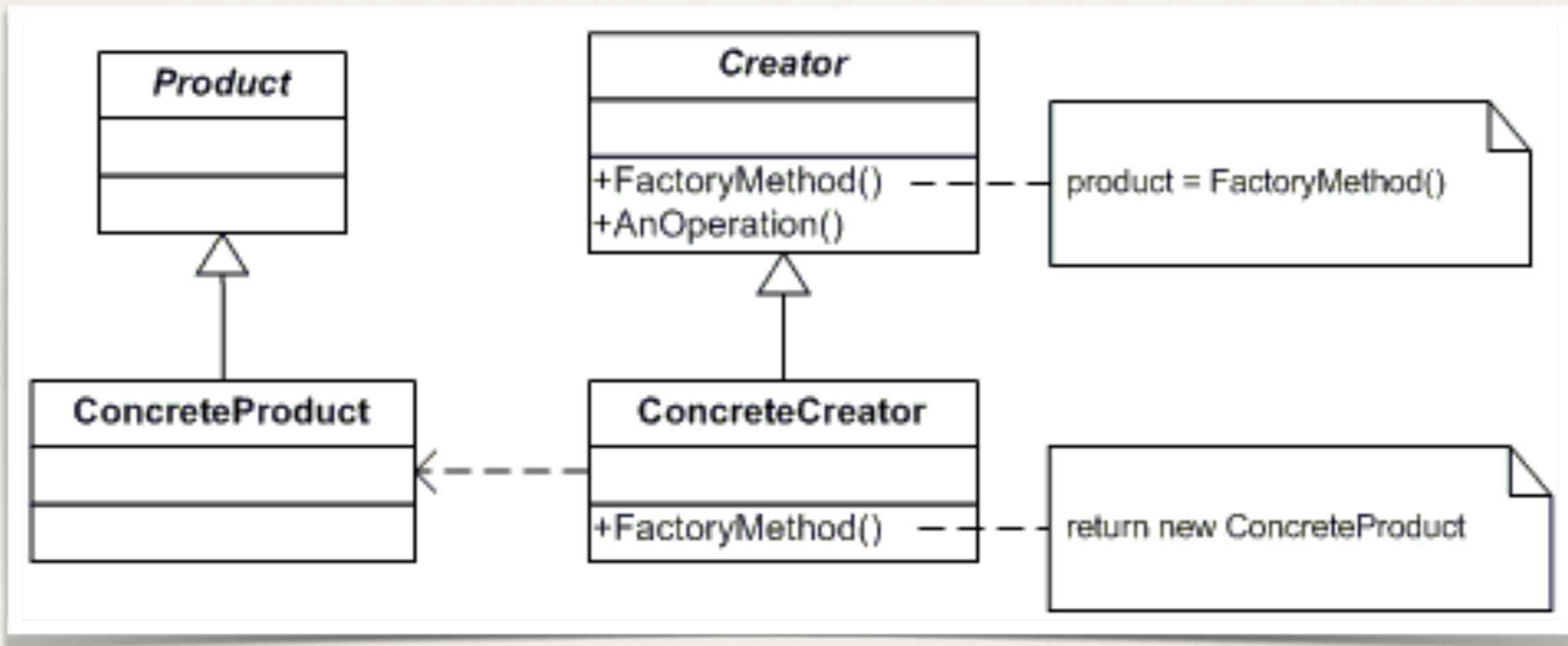
# A solution using Factory Method pattern



# A solution using Factory Method pattern



# Factory Method pattern: Structure



# Factory method pattern: Discussion

Define an interface for creating an object, but let subclasses decide which class to instantiate. Factory method lets a class defer instantiation to subclasses.

- ❖ A class cannot anticipate the class of objects it must create
- ❖ A class wants its subclasses to specify the objects it creates



- ❖ Delegate the responsibility to one of the several helper subclasses
- ❖ Also, localize the knowledge of which subclass is the delegate

---

# Factory method: Java library example

---

```
Logger logger = Logger.getLogger(TestFileLogger.class.getName());
```

---

# Factory method: Java library example

---

```
class SimpleThreadFactory implements ThreadFactory {  
    public Thread newThread(Runnable r) {  
        return new Thread(r);  
    }  
}
```

---

# How to refactor?

---

```
public class Throwable {  
    // following method is available from Java 1.0 version.  
    // Prints the stack trace as a string to standard output  
    // for processing a stack trace,  
    // we need to write regular expressions  
    public void printStackTrace();  
    // other methods omitted  
}
```

# Extract class refactoring

```
public class Throwable {  
    // following method is available from Java 1.0 version.  
    // Prints the stack trace as a string to standard output  
    // for processing a stack trace,  
    // we need to write regular expressions  
    public void printStackTrace();  
    // other methods omitted  
}
```



```
public class Throwable {  
    public void printStackTrace();  
    public StackTraceElement[] getStackTrace(); // Since 1.4  
    // other methods omitted  
}
```

```
public final class StackTraceElement {  
    public String getFileName();  
    public int getLineNumber();  
    public String getClassName();  
    public String getMethodName();  
    public boolean isNativeMethod();  
}
```

# Scenario

```
class Plus extends Expr {  
    private Expr left, right;  
    public Plus(Expr arg1, Expr arg2) {  
        left = arg1;  
        right = arg2;  
    }  
    public void genCode() {  
        left.genCode();  
        right.genCode();  
        if(t == Target.JVM) {  
            System.out.println("iadd");  
        }  
        else { // DOTNET  
            System.out.println("add");  
        }  
    }  
}
```

How to separate:

- a) code generation logic from node types?
- b) how to support different target types?

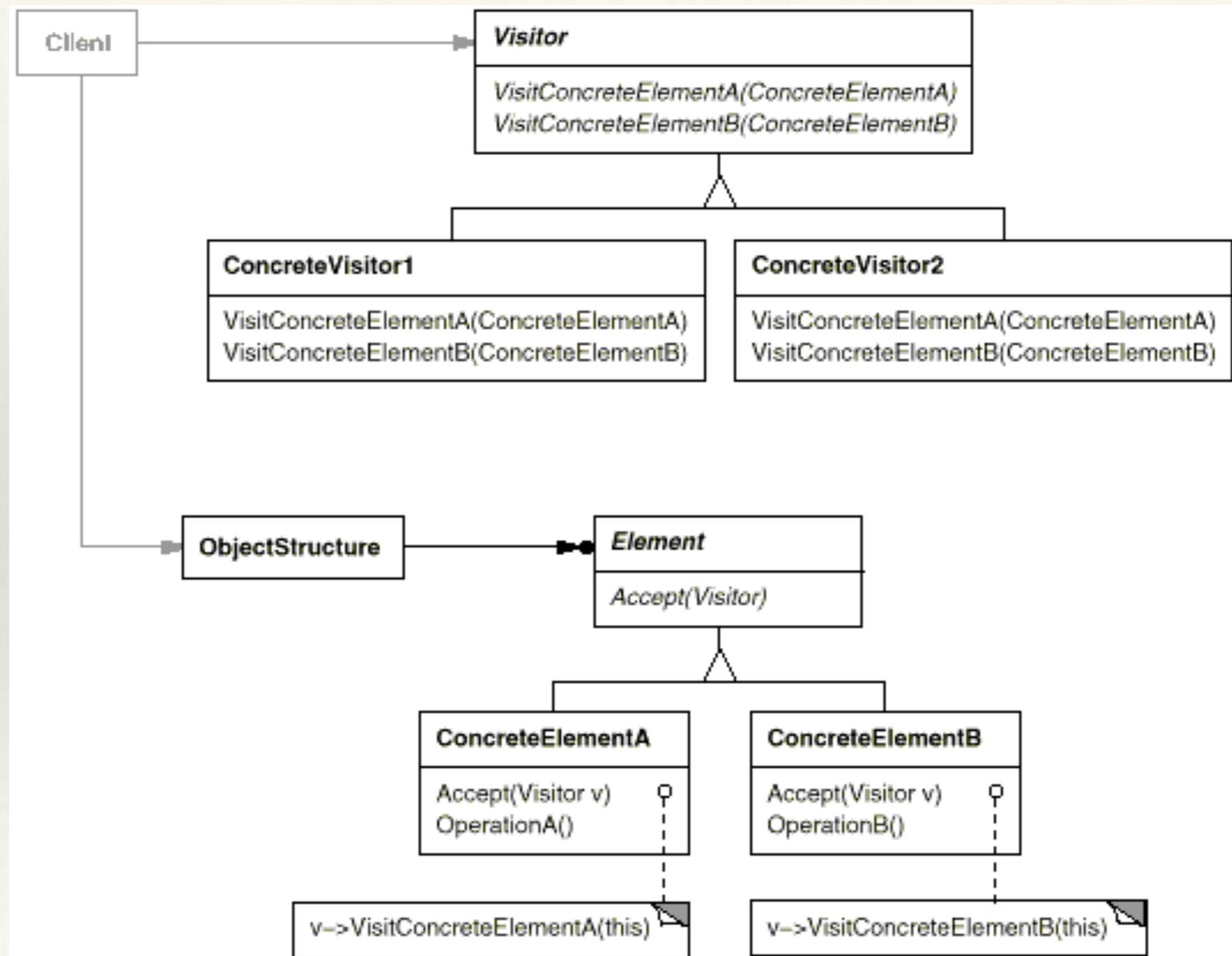
# Group exercise - Understanding visitor pattern

# A solution using Visitor pattern

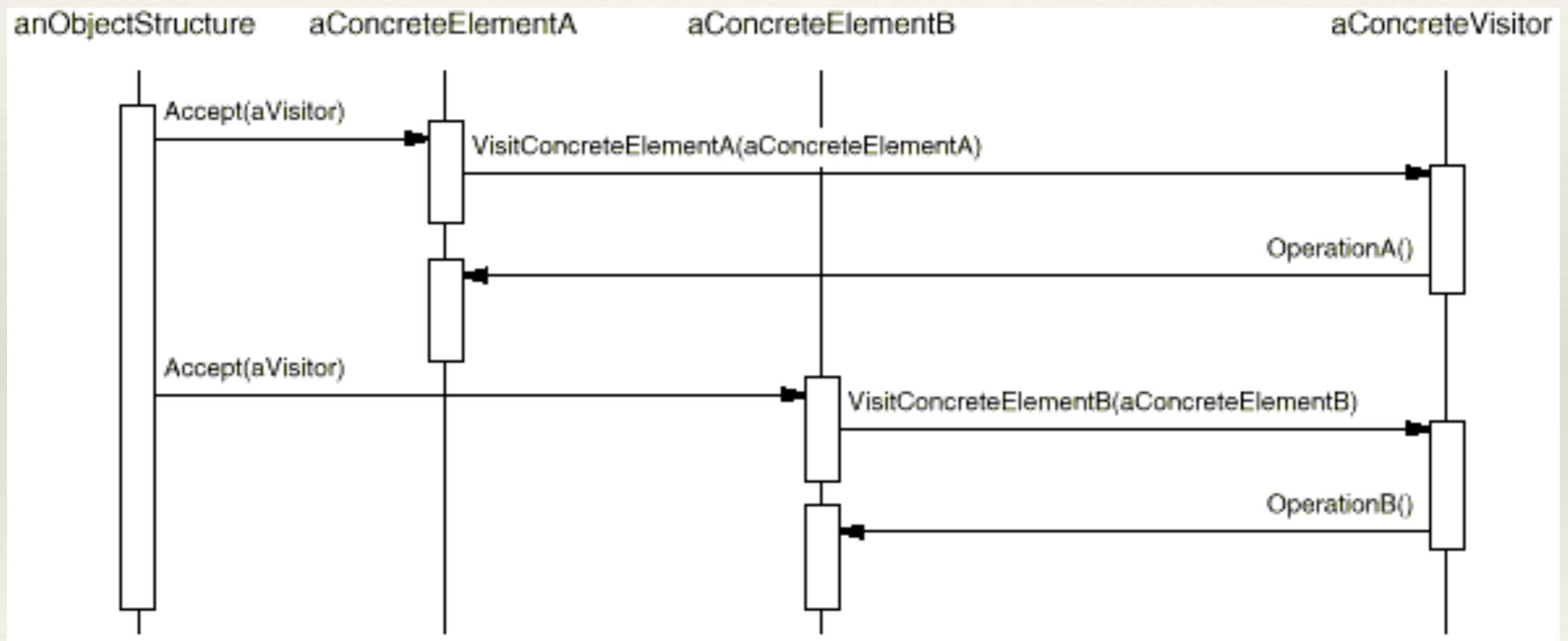
```
class Plus extends Expr {  
    private Expr left, right;  
    public Plus(Expr arg1, Expr arg2) {  
        left = arg1;  
        right = arg2;  
    }  
    public Expr getLeft() {  
        return left;  
    }  
    public Expr getRight() {  
        return right;  
    }  
    public void accept(Visitor v) {  
        v.visit(this);  
    }  
}
```

```
class DOTNETVisitor extends Visitor {  
    public void visit(Constant arg) {  
        System.out.println("ldarg " + arg.getVal());  
    }  
    public void visit(Plus plus) {  
        genCode(plus.getLeft());  
        genCode(plus.getRight());  
        System.out.println("add");  
    }  
    public void visit(Sub sub) {  
        genCode(sub.getLeft());  
        genCode(sub.getRight());  
        System.out.println("sub");  
    }  
    public void genCode(Expr expr) {  
        expr.accept(this);  
    }  
}
```

# Visitor pattern: structure



# Visitor pattern: call sequence



# Visitor pattern: Discussion

Represent an operation to be performed on the elements of an object structure.  
Visitor lets you define a new operation without changing the classes of the  
elements on which it operates

- ❖ Many distinct and unrelated operations need to be performed on objects in an object structure, and you want to avoid “polluting” their classes with these operations



- ❖ Create two class hierarchies:
  - ❖ One for the elements being operated on
  - ❖ One for the visitors that define operations on the elements

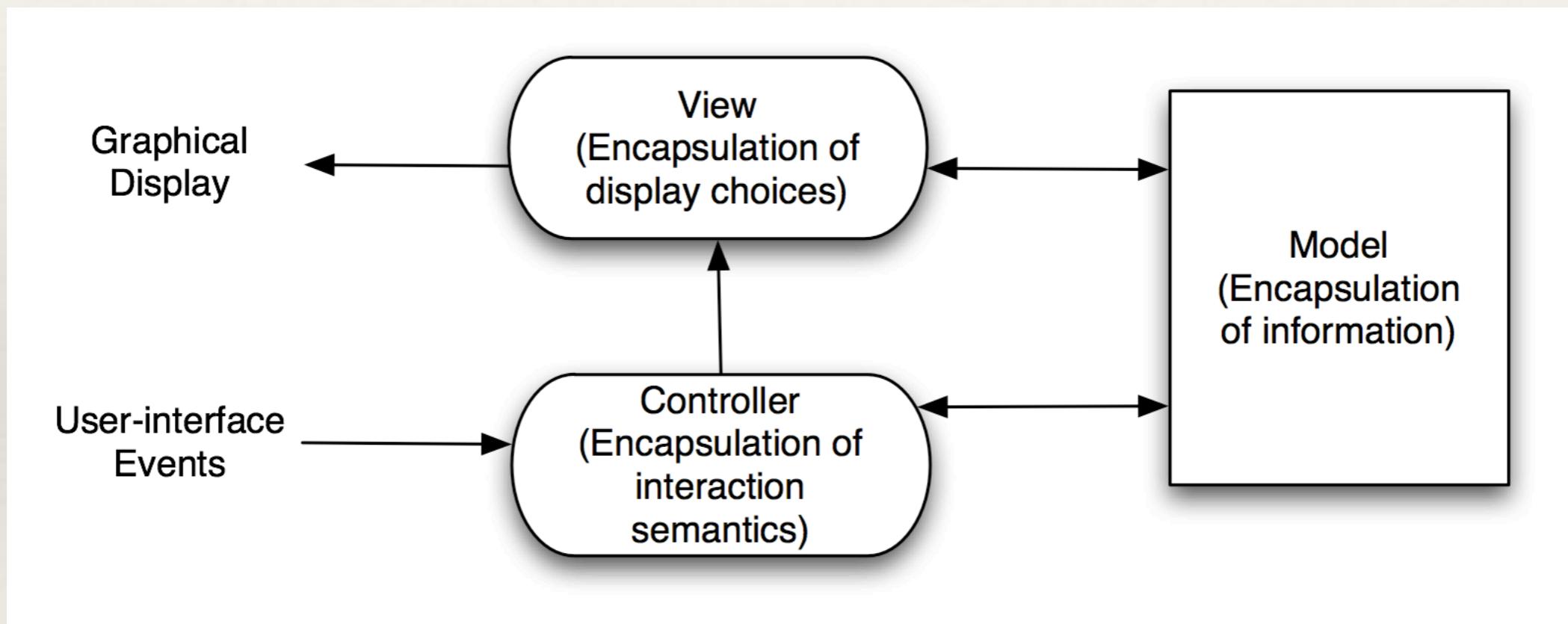
# Visitor pattern: JDK example

```
import java.io.IOException;
import java.nio.file.*;
import java.nio.file.attribute.BasicFileAttributes;

class MyFileVisitor extends SimpleFileVisitor<Path> {
    public FileVisitResult visitFile(Path path, BasicFileAttributes fileAttributes){
        System.out.println("file name:" + path.getFileName());
        return FileVisitResult.CONTINUE;
    }
    public FileVisitResult preVisitDirectory(Path path, BasicFileAttributes fileAttributes){
        System.out.println("-----Directory name:" + path + "-----");
        return FileVisitResult.CONTINUE;
    }
}

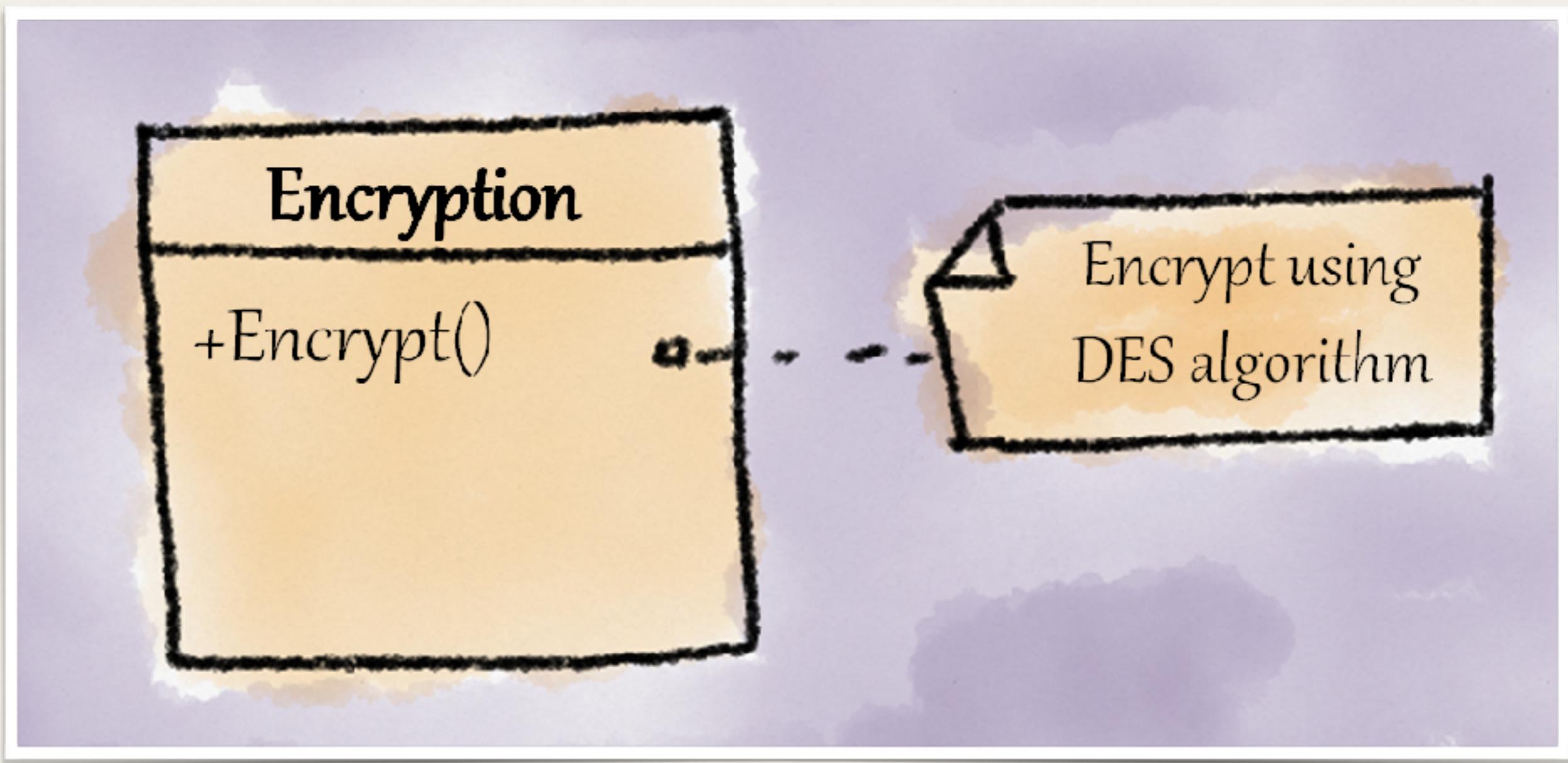
public class FileTreeWalk {
    public static void main(String[] args) {
        if(args.length != 1) {
            System.out.println("usage: FileWalkTree <source-path>");
            System.exit(-1);
        }
        Path pathSource = Paths.get(args[0]);
        try {
            Files.walkFileTree(pathSource, new MyFileVisitor());
        } catch (IOException e) {
            e.printStackTrace();
        }
    }
}
```

# Separating concerns in MVC



# Real scenario #1

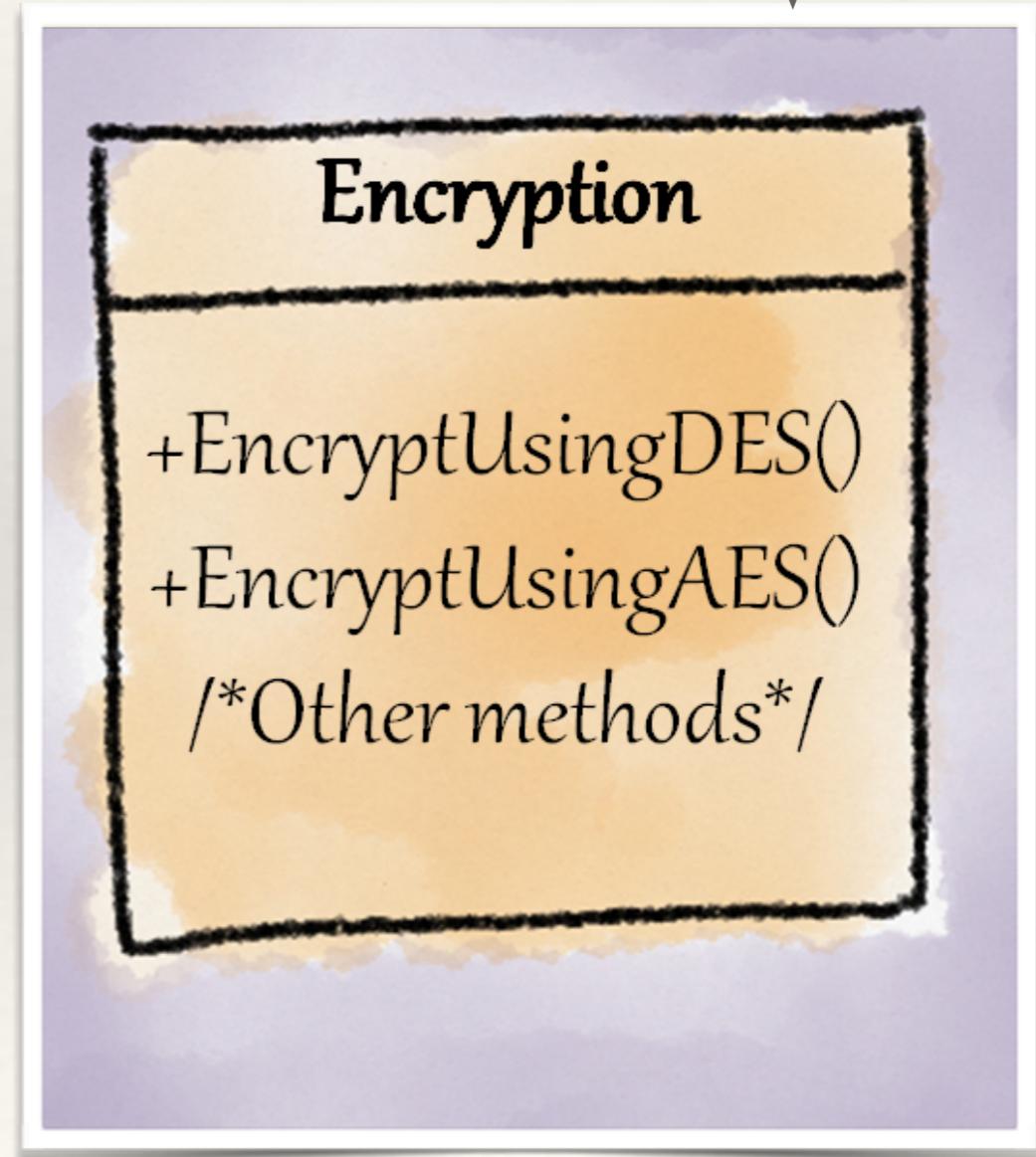
Initial design



# Real scenario #1

- ❖ How will you refactor such that:
  - ❖ A specific DES, AES, TDES, ... can be “plugged” at runtime?
  - ❖ Reuse these algorithms in new contexts?
  - ❖ Easily add support for new algorithms in Encryption?

Next change:  
smelly design



# Time to refactor!

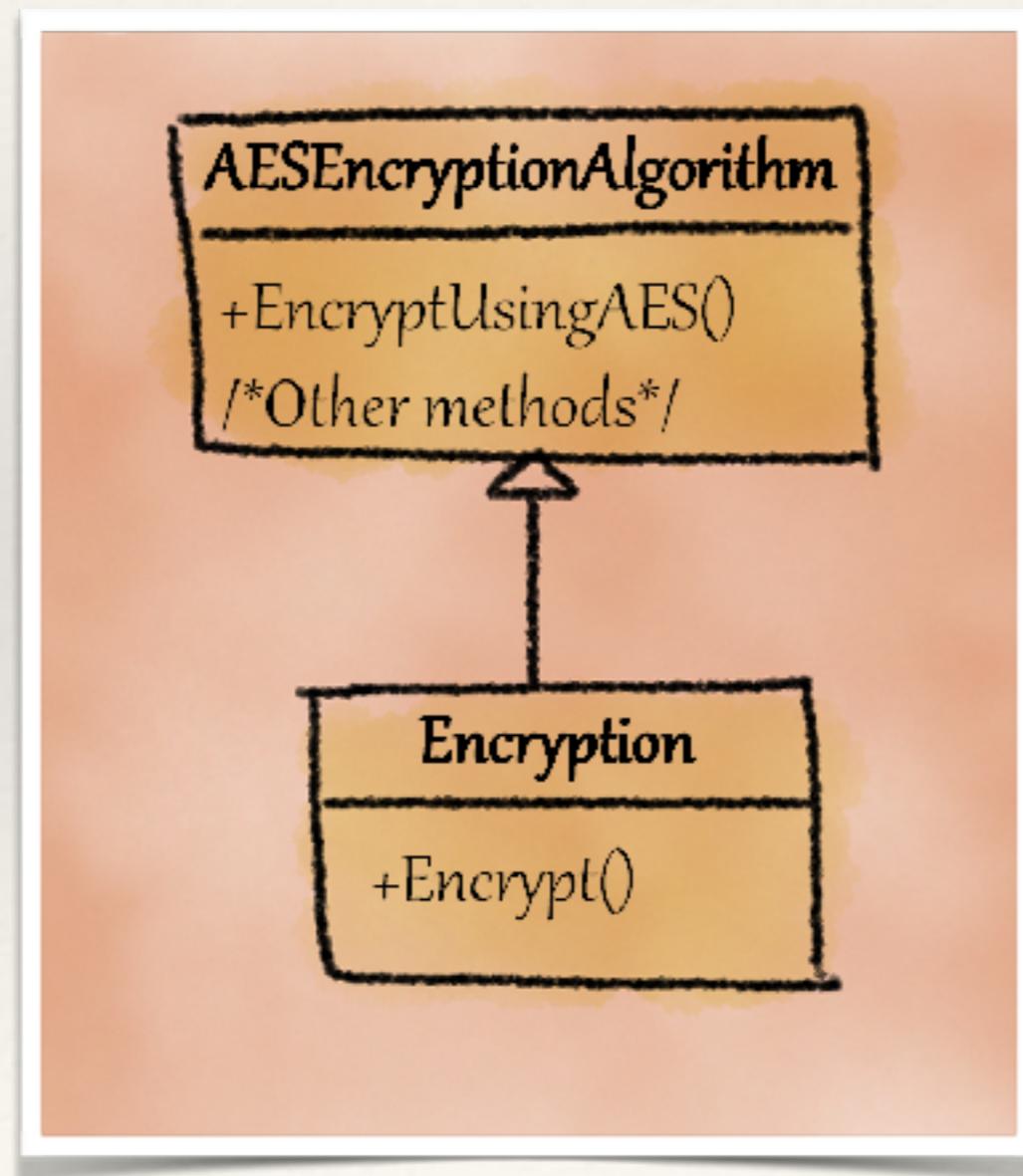
Three strikes and you  
refactor



Martin Fowler

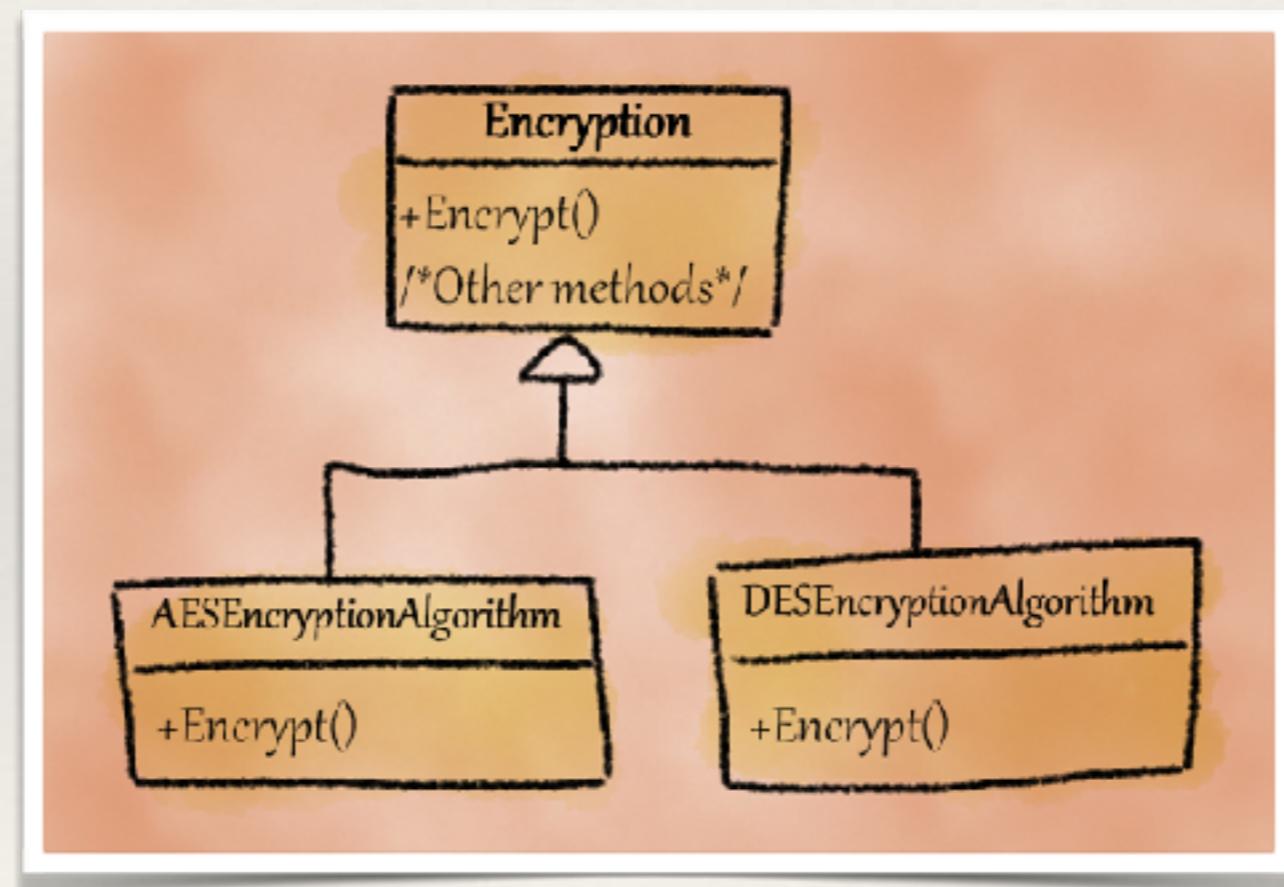
# Potential solution #1?

Broken  
hierarchy!

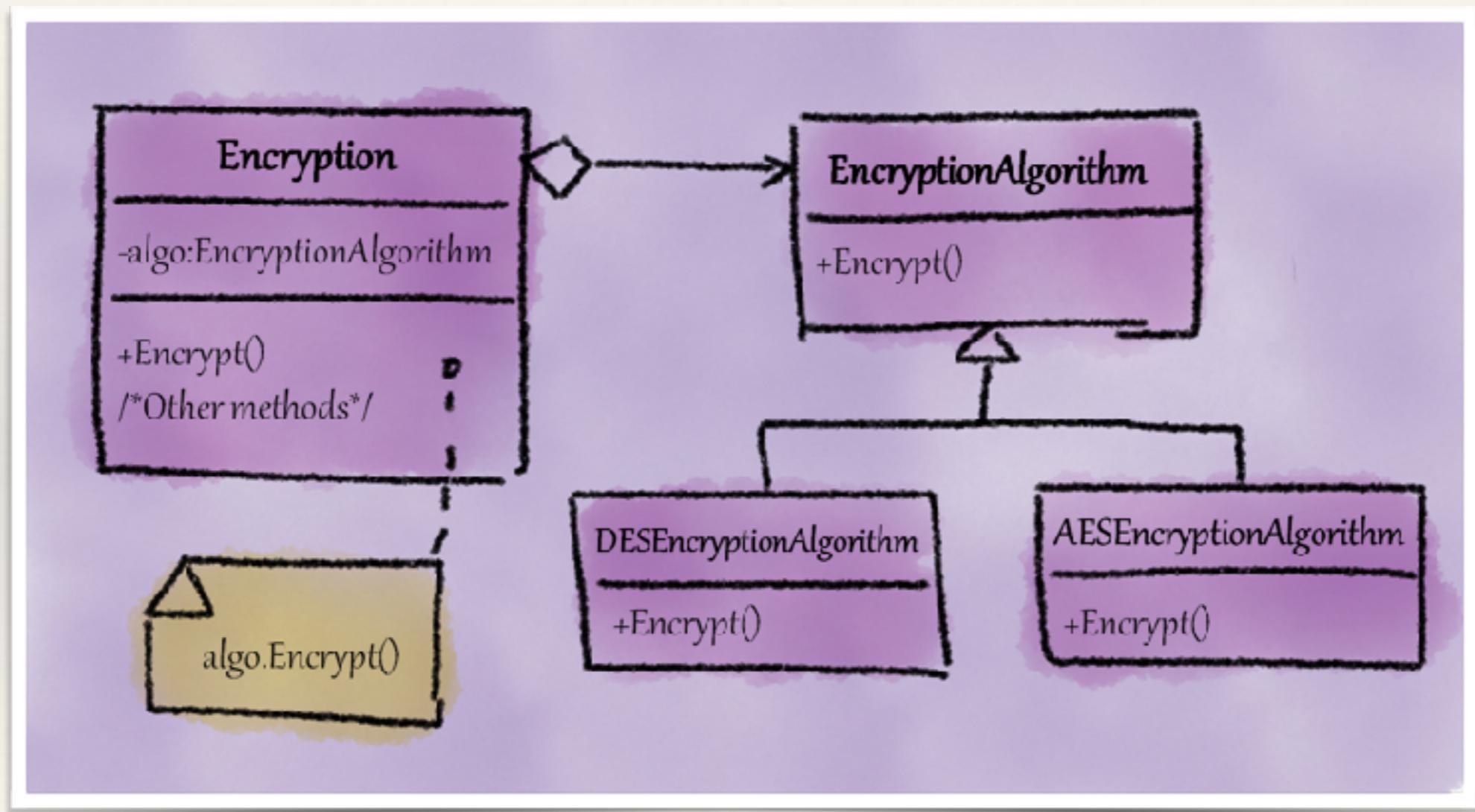


# Potential solution #2?

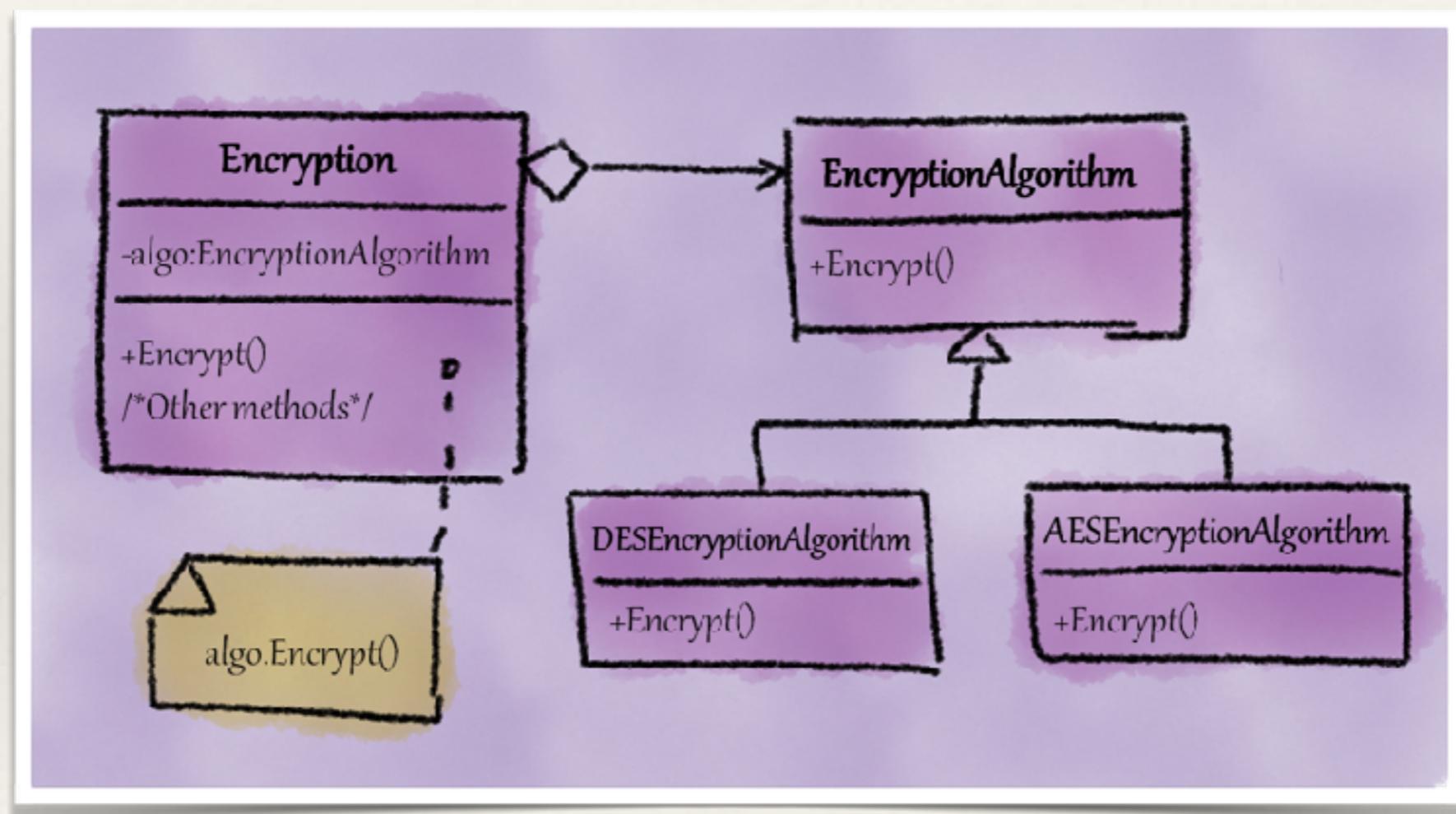
Algorithms not  
reusable!



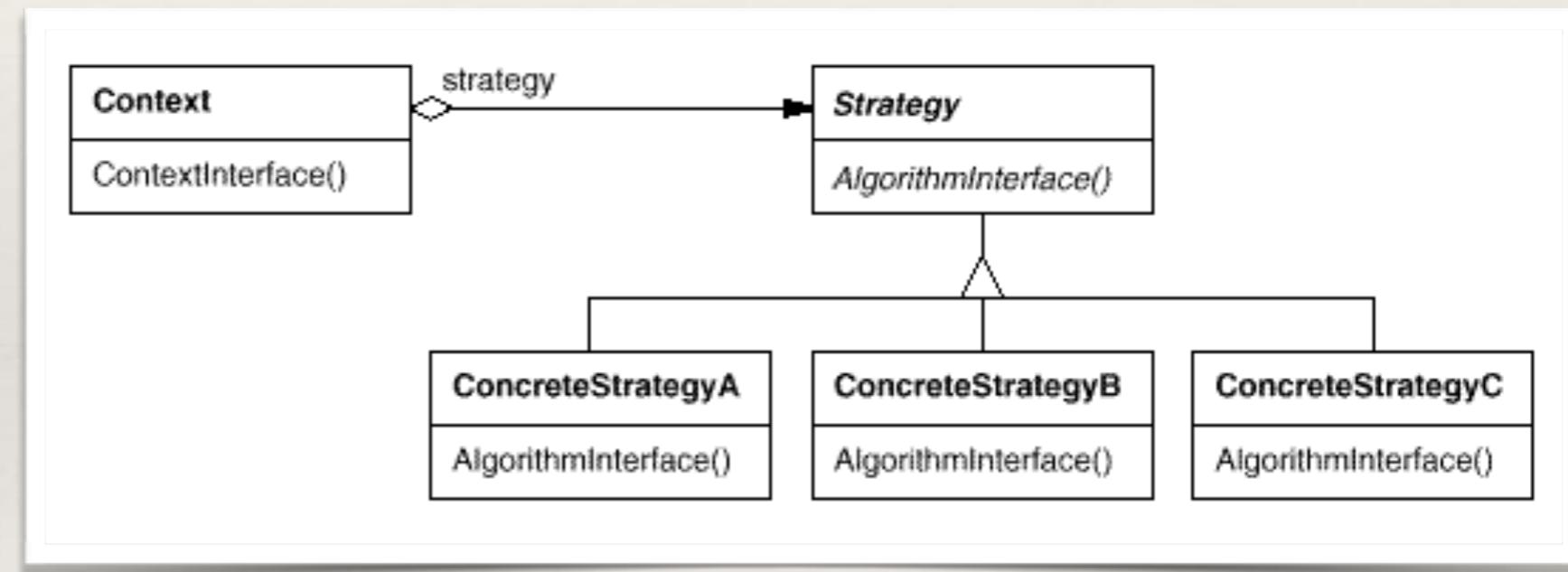
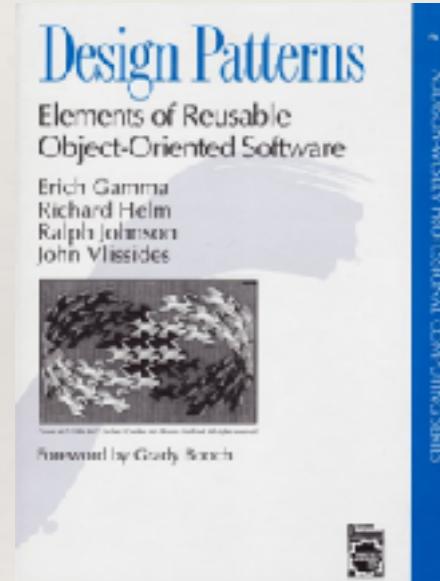
# Potential solution #3?



# Can you identify the pattern?



# You're right: Its Strategy pattern!



# Strategy pattern: Discussion

Defines a family of algorithms, encapsulates each one, and makes them interchangeable. Strategy lets the algorithm vary independently from clients that use it

- ❖ Useful when there is a set of related algorithms and a client object needs to be able to dynamically pick and choose an algorithm that suits its current need



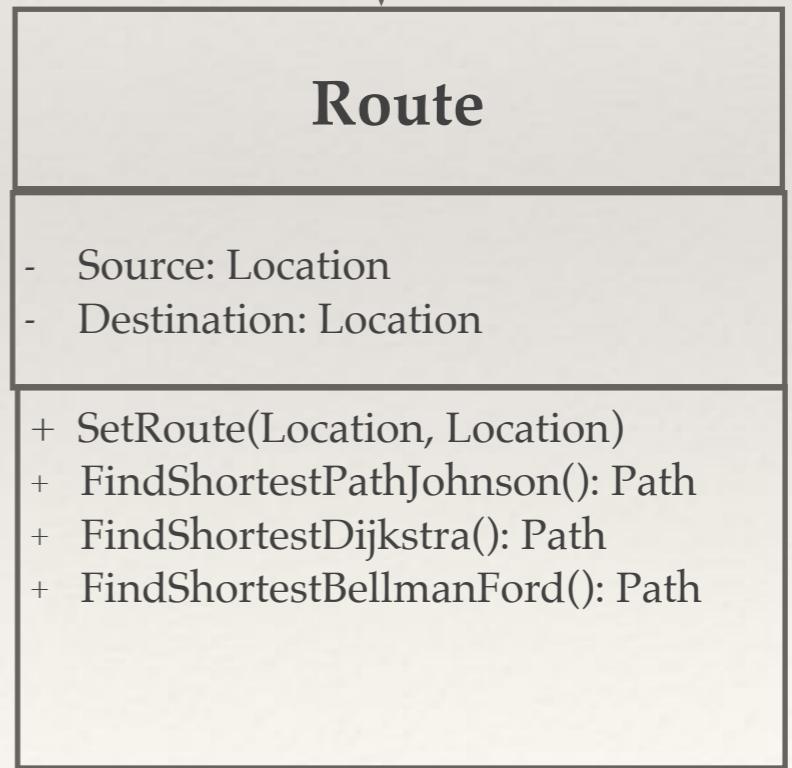
- ❖ The implementation of each of the algorithms is kept in a separate class referred to as a strategy.
- ❖ An object that uses a Strategy object is referred to as a context object.
- ❖ Changing the behavior of a Context object is a matter of changing its Strategy object to the one that implements the required algorithm

# Scenario

- ❖ Consider a Route class in an application like Google Maps
- ❖ For finding shortest path from source to destination, many algorithms can be used
- ❖ The problem is that these algorithms get embedded into Route class and cannot be reused easily (smell!)

How will you refactor such that

- a) Support for shortest path algorithm can be added easily?
- b) Separate path finding logic from dealing with location information.



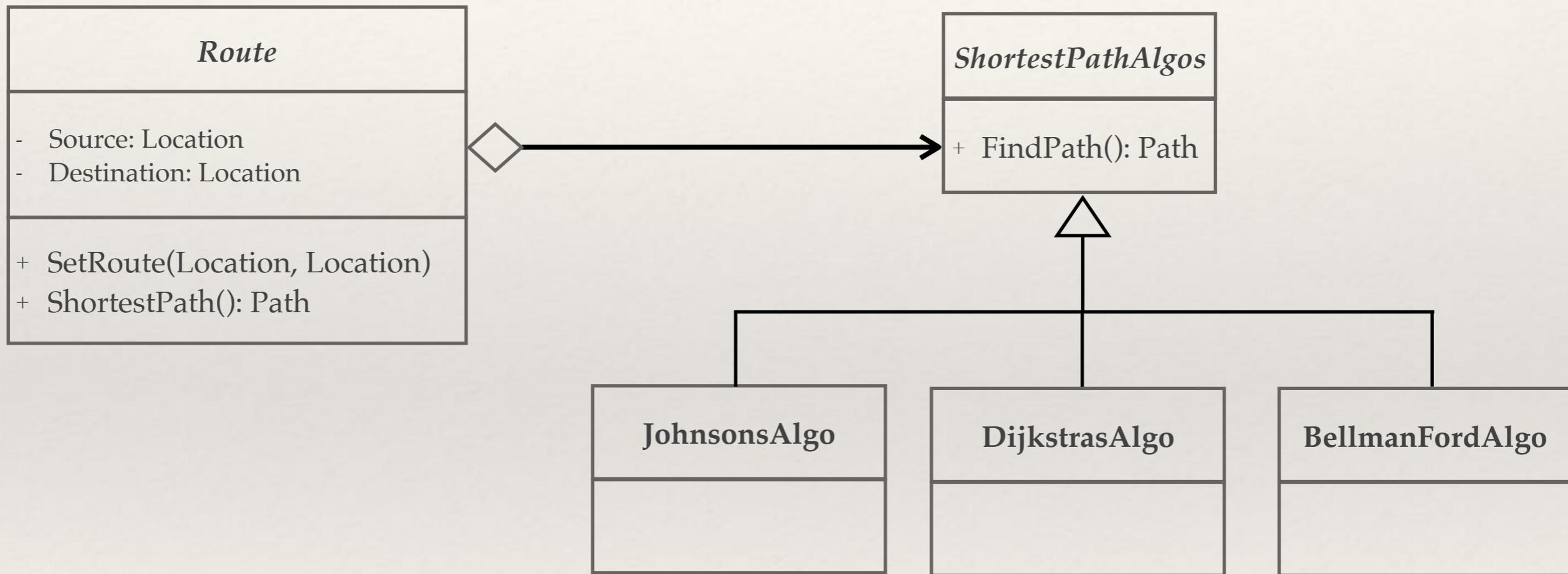
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# Hands-on exercise

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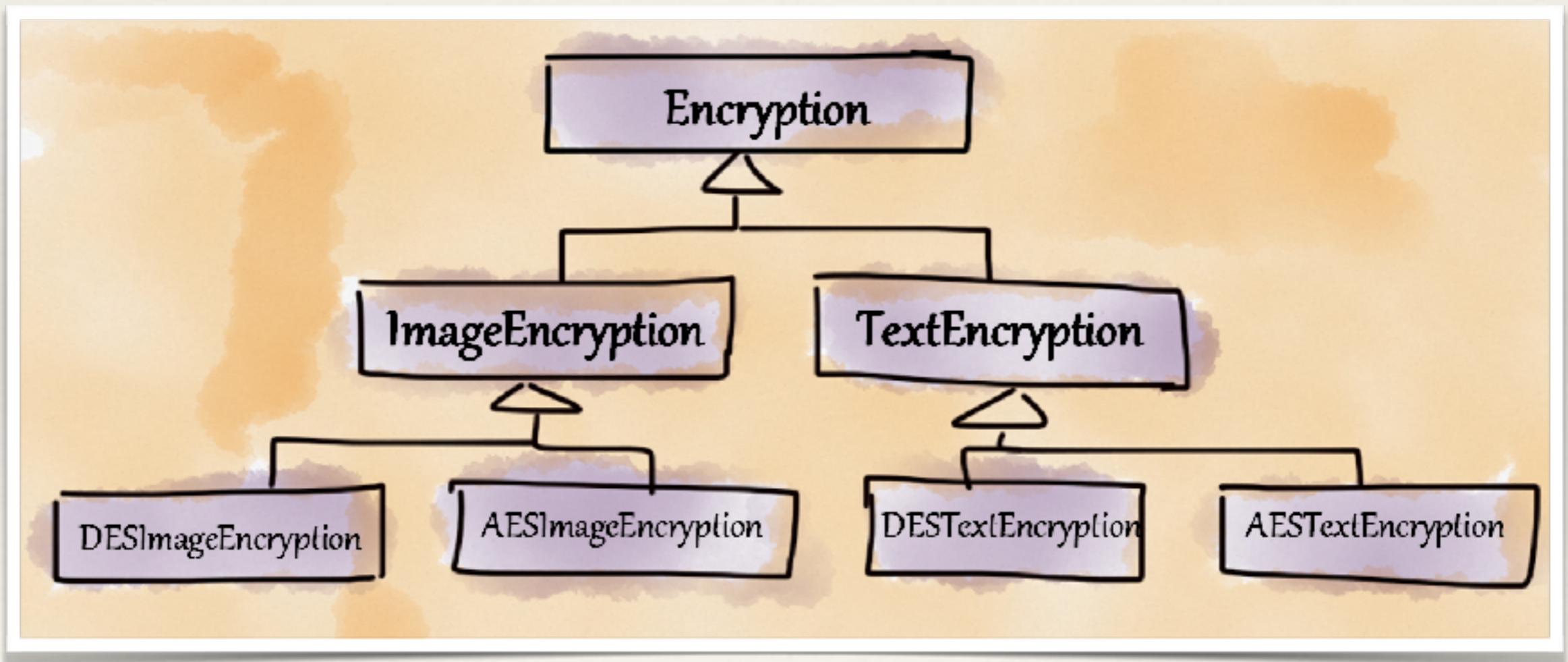
- ❖ Try out Route.java
- ❖ Refactor to eliminate switch-case statement

# How about this solution?



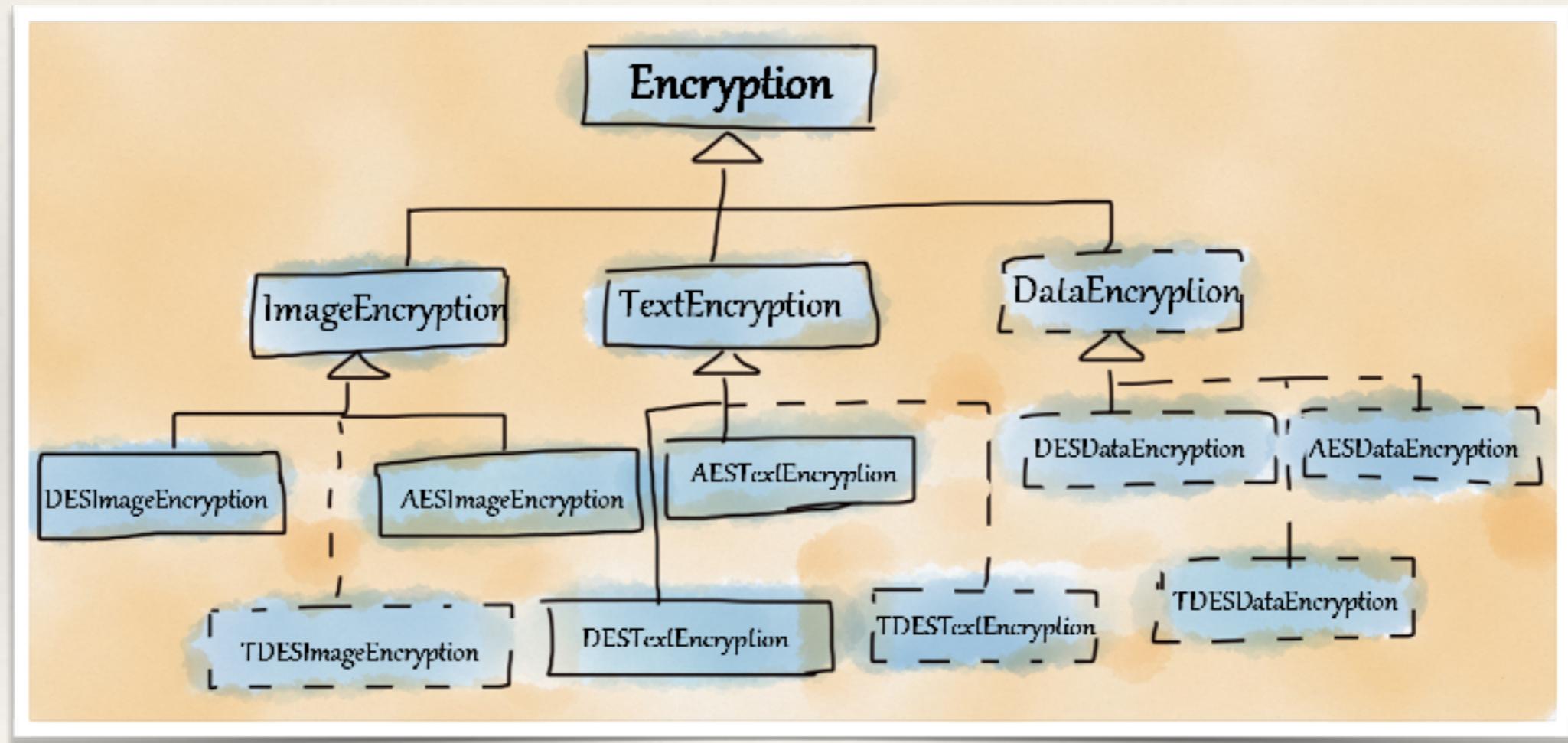
# Real scenario #2

Initial design

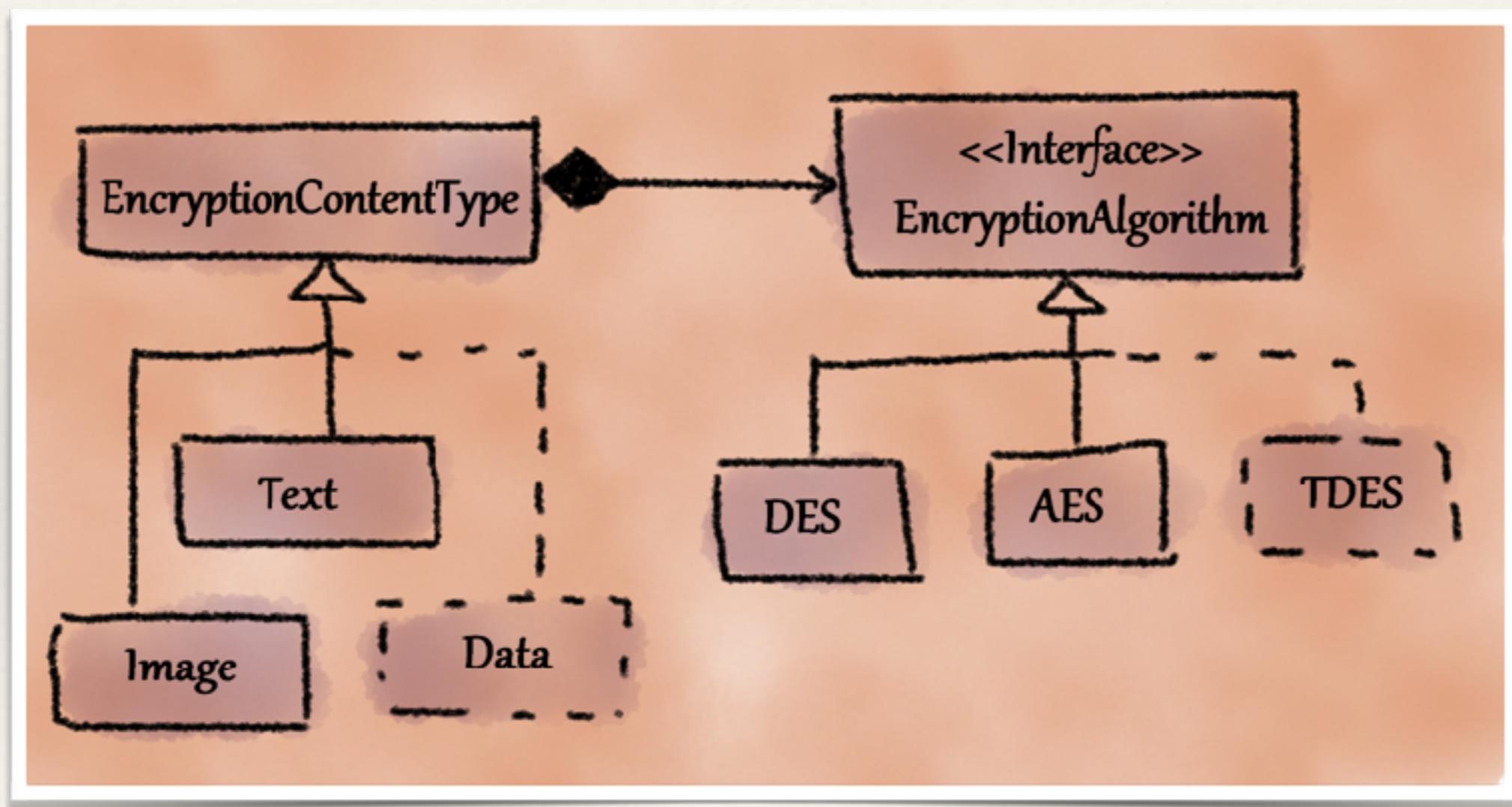


# Real scenario #2

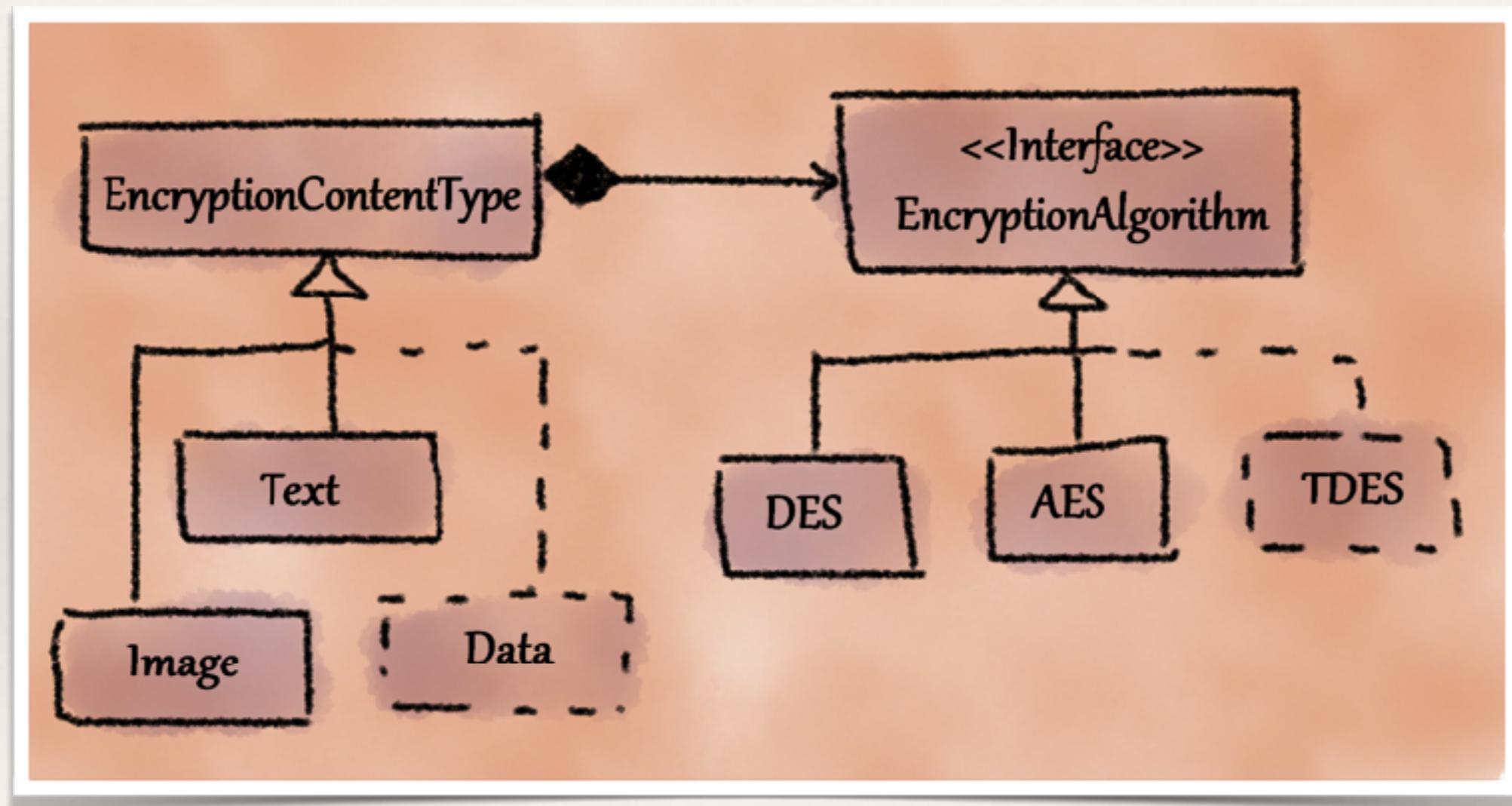
How to add support for new content types and/or algorithms?



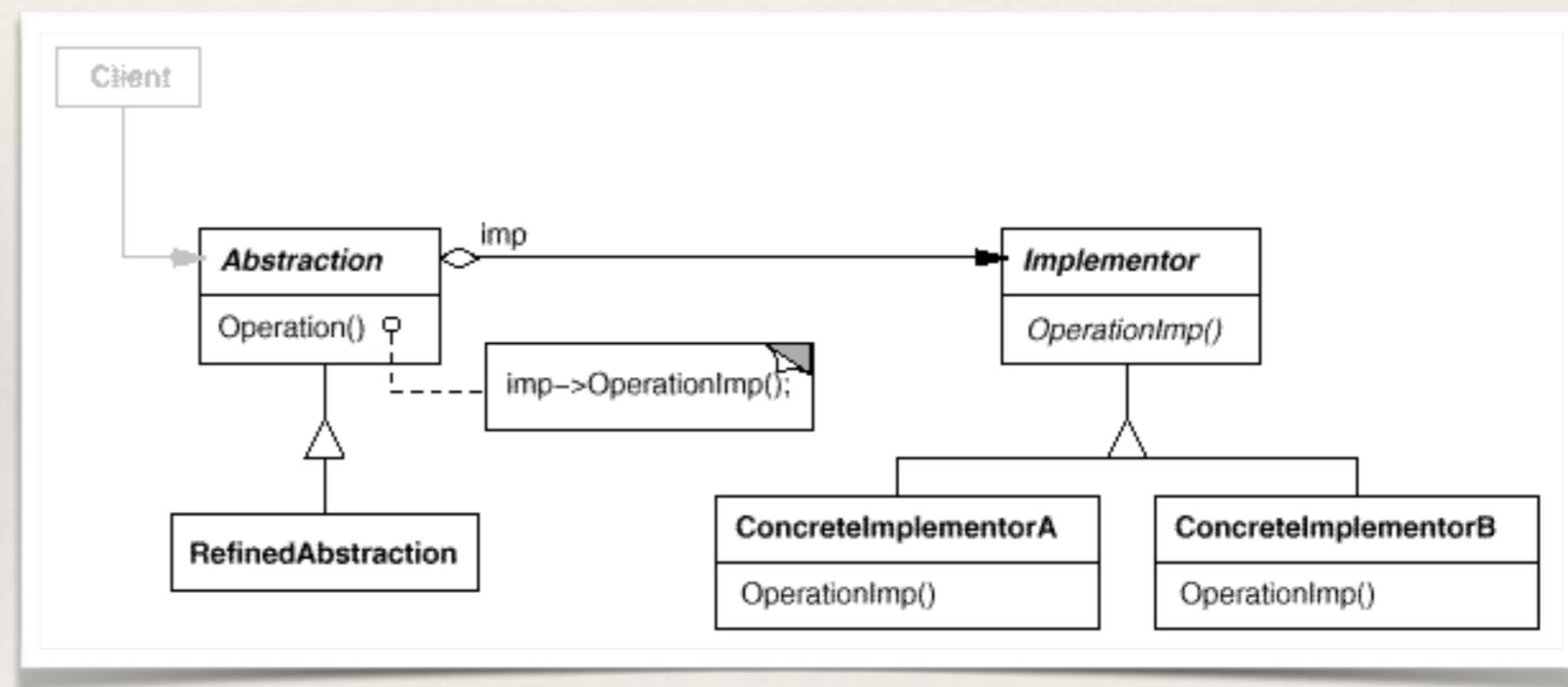
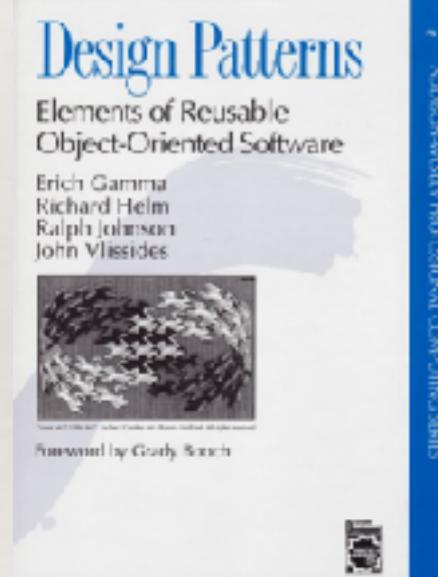
# How about this solution?



# Can you identify the pattern structure?



# You're right: Its Bridge pattern structure!



# More design patterns to explore

Creational	Structural	Behavioral
<ul style="list-style-type: none"><li>• Abstract factory</li><li>• Builder</li><li>• Factory method</li><li>• Prototype</li><li>• Singleton</li></ul>	<ul style="list-style-type: none"><li>• Adapter</li><li>• Bridge</li><li>• Composite</li><li>• Decorator</li><li>• Facade</li><li>• Flyweight</li><li>• Proxy</li></ul>	<ul style="list-style-type: none"><li>• Chain of responsibility</li><li>• Command</li><li>• Interpreter</li><li>• Iterator</li><li>• Mediator</li><li>• Memento</li><li>• Observer</li><li>• State</li><li>• Strategy</li><li>• Template method</li><li>• Visitor</li></ul>

deals with object creation

deals with composition of classes or objects

deals with interaction between objects/ classes and distribution of responsibility

---

# Wait, what principle did we apply?

---

# Open Closed Principle (OCP)

Software entities should be open for extension, but closed for modification



Bertrand Meyer

# Variation Encapsulation Principle (VEP)

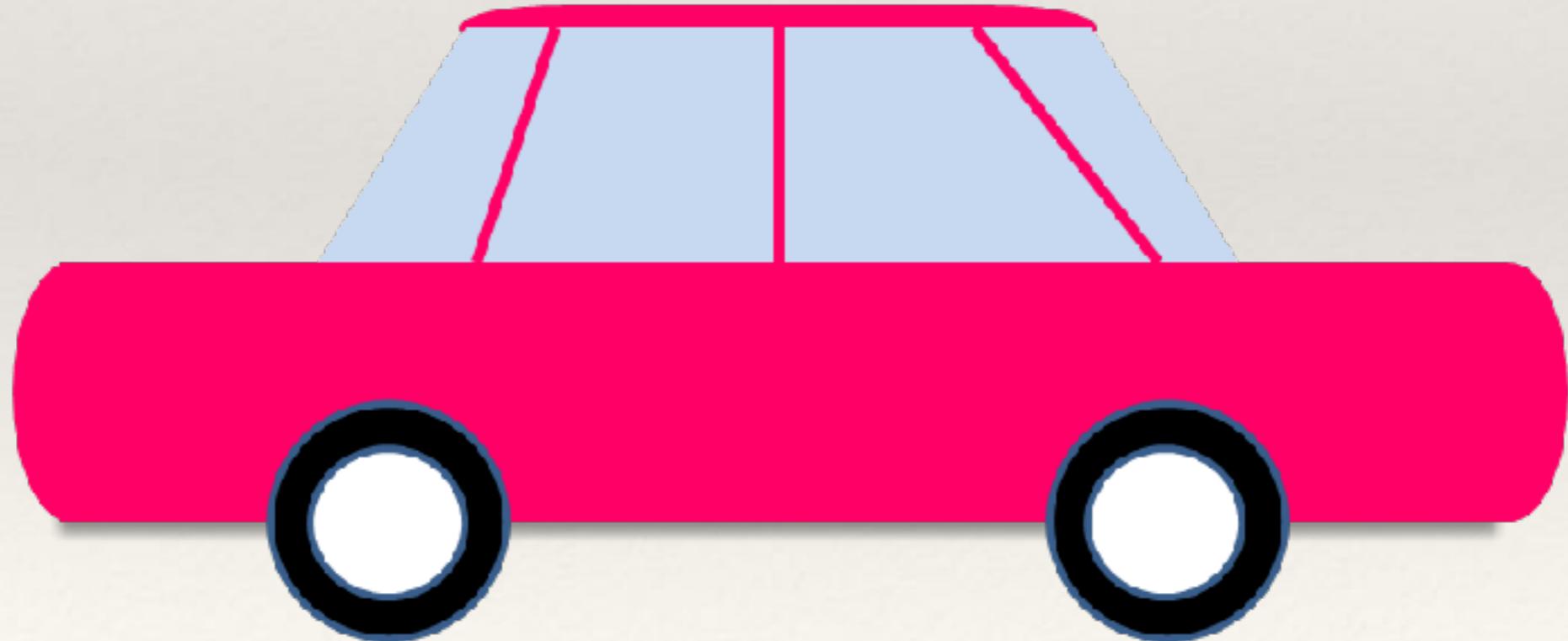
Encapsulate the concept that varies



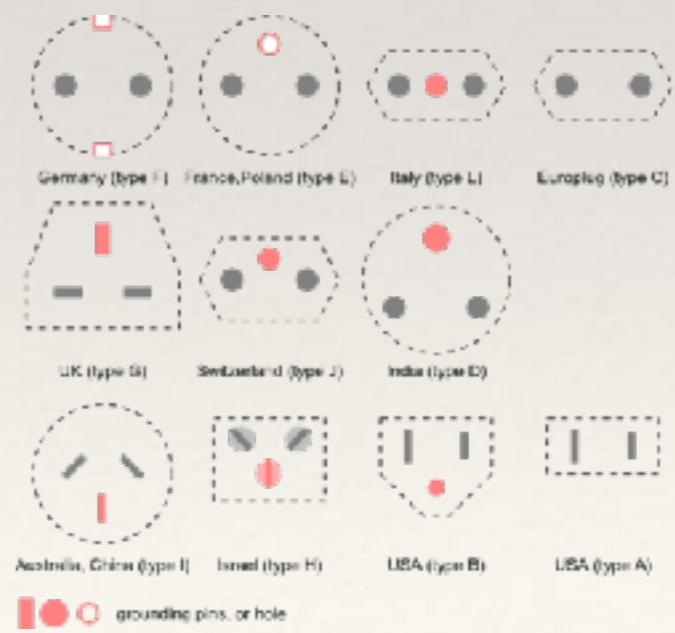
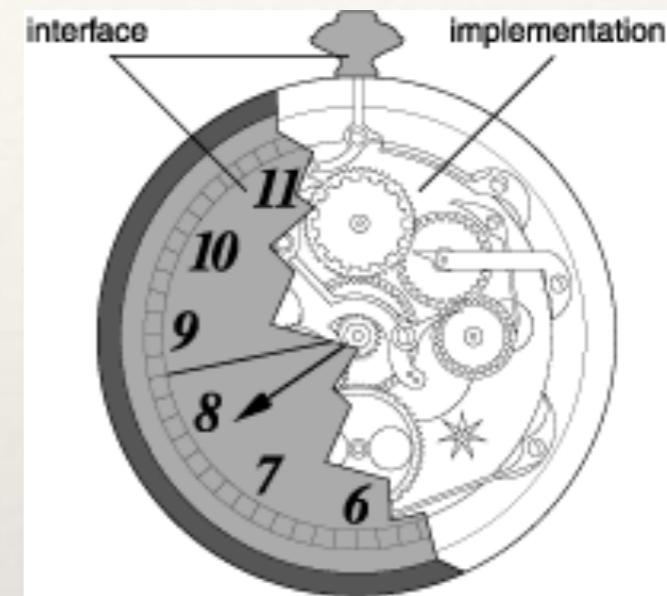
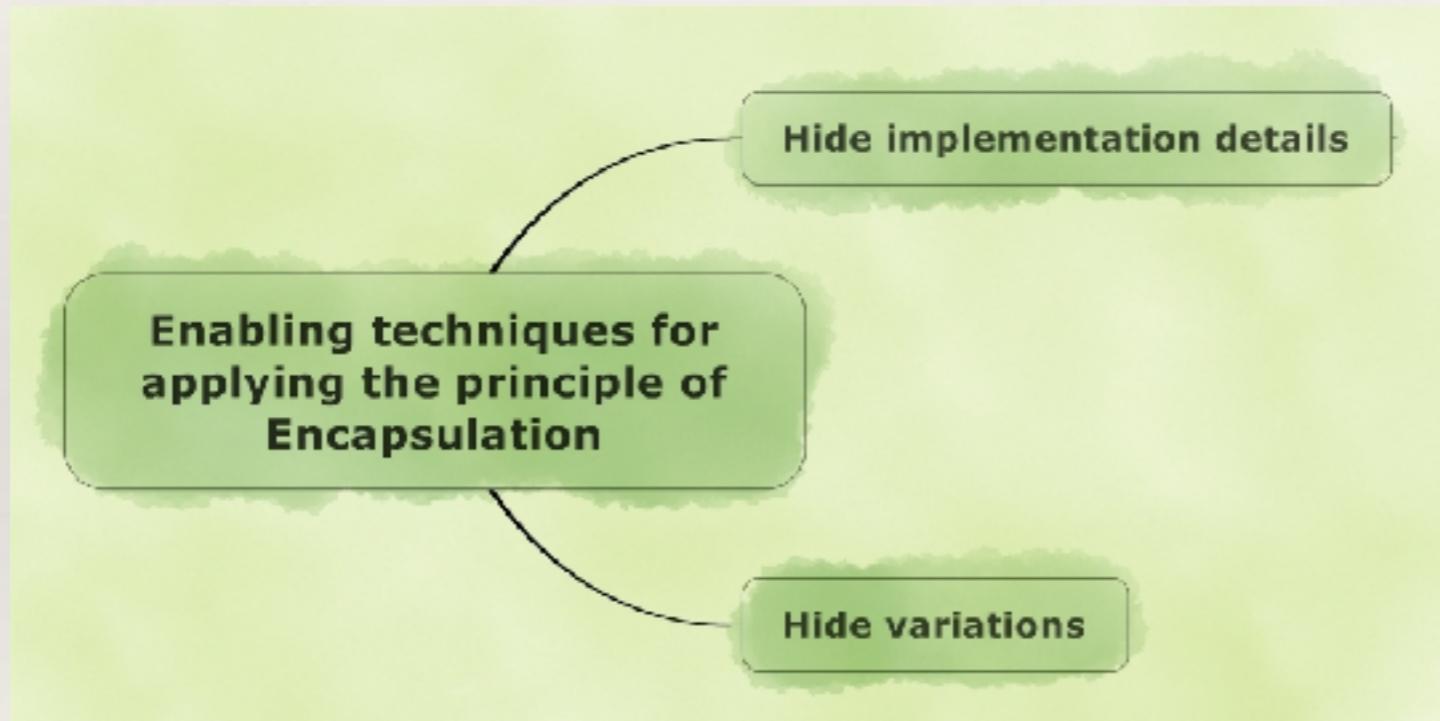
Erich Gamma, Richard Helm, Ralph Johnson and John Vlissides

# Fundamental principle: *Encapsulation*

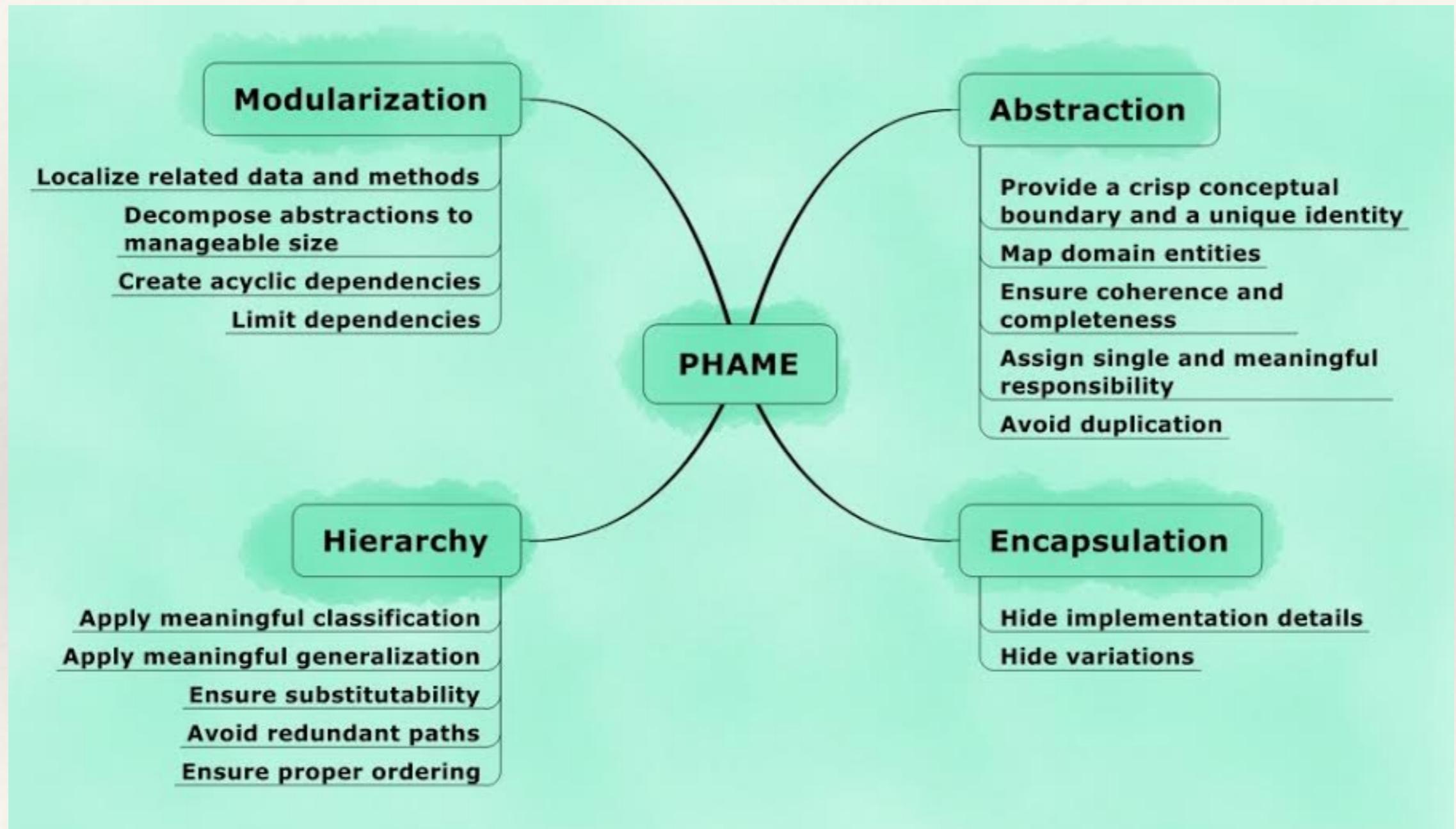
The principle of encapsulation advocates separation of concerns and information hiding through techniques such as hiding implementation details of abstractions and hiding variations



# Enabling techniques for encapsulation



# Design principles and enabling techniques



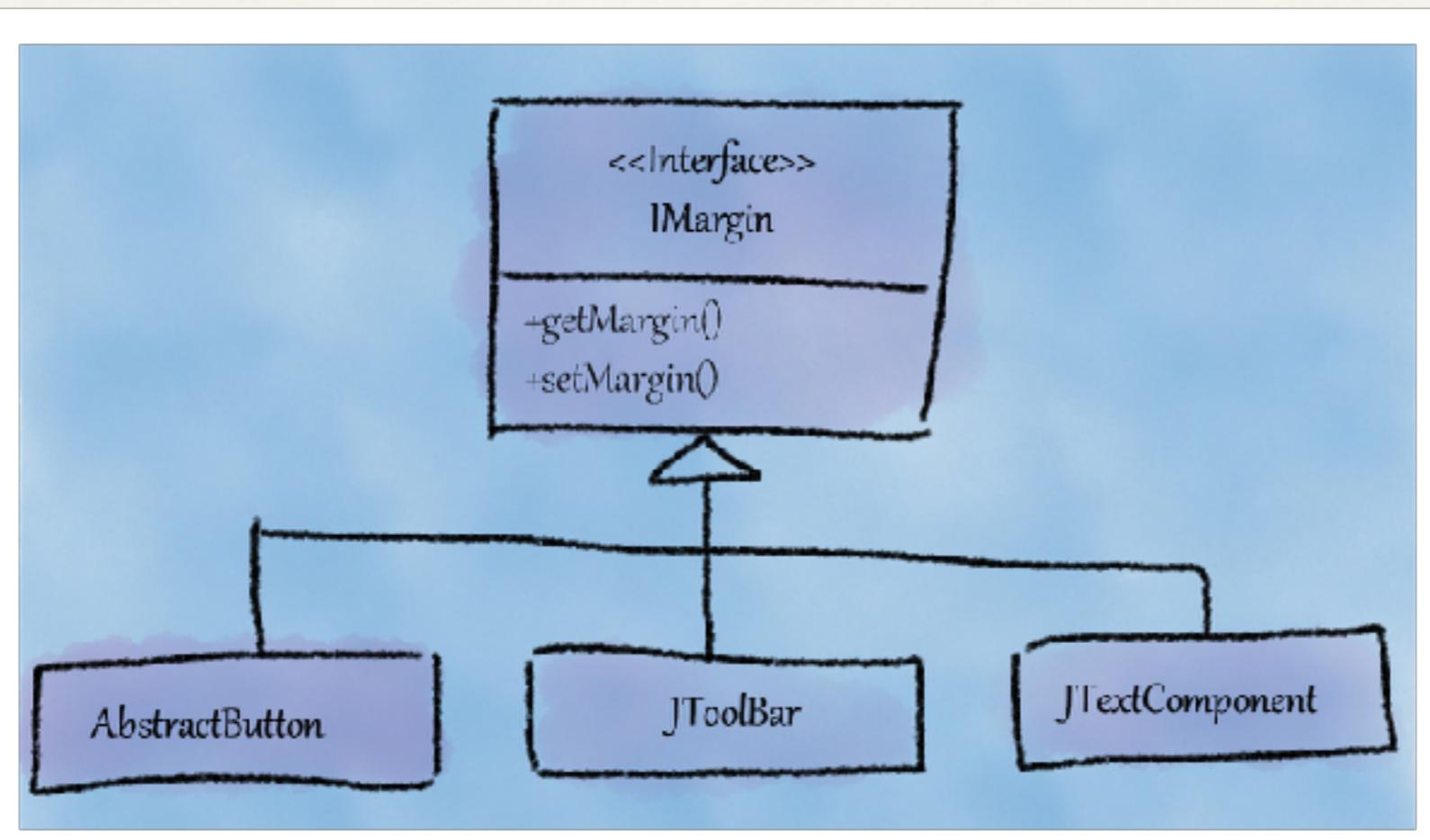
# What's that smell?

---

```
public Insets getBorderInsets(Component c, Insets insets){  
    Insets margin = null;  
    // Ideally we'd have an interface defined for classes which  
    // support margins (to avoid this hackery), but we've  
    // decided against it for simplicity  
    //  
    if (c instanceof AbstractButton) {  
        margin = ((AbstractButton)c).getMargin();  
    } else if (c instanceof JToolBar) {  
        margin = ((JToolBar)c).getMargin();  
    } else if (c instanceof JTextComponent) {  
        margin = ((JTextComponent)c).getMargin();  
    }  
    // rest of the code omitted ...
```

---

# Refactoring



# Refactoring

---

---

```
if (c instanceof AbstractButton) {  
    margin = ((AbstractButton)c).getMargin();  
} else if (c instanceof JToolBar) {  
    margin = ((JToolBar)c).getMargin();  
} else if (c instanceof JTextComponent) {  
    margin = ((JTextComponent)c).getMargin();  
}
```



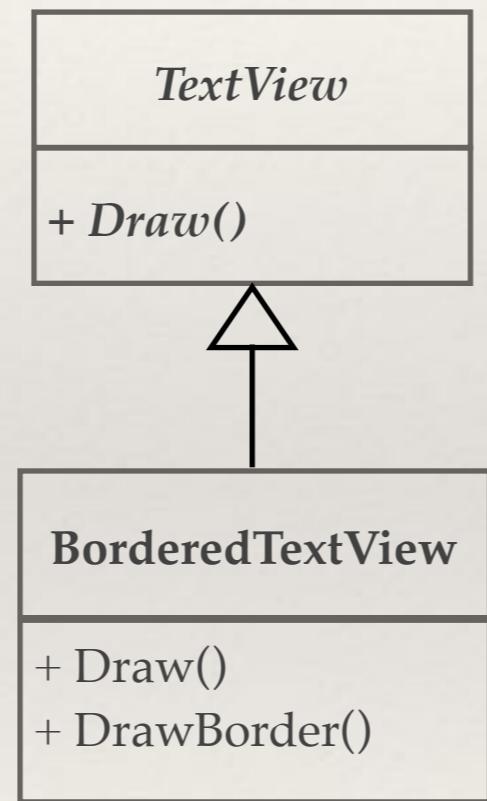
---

```
margin = c.getMargin();
```

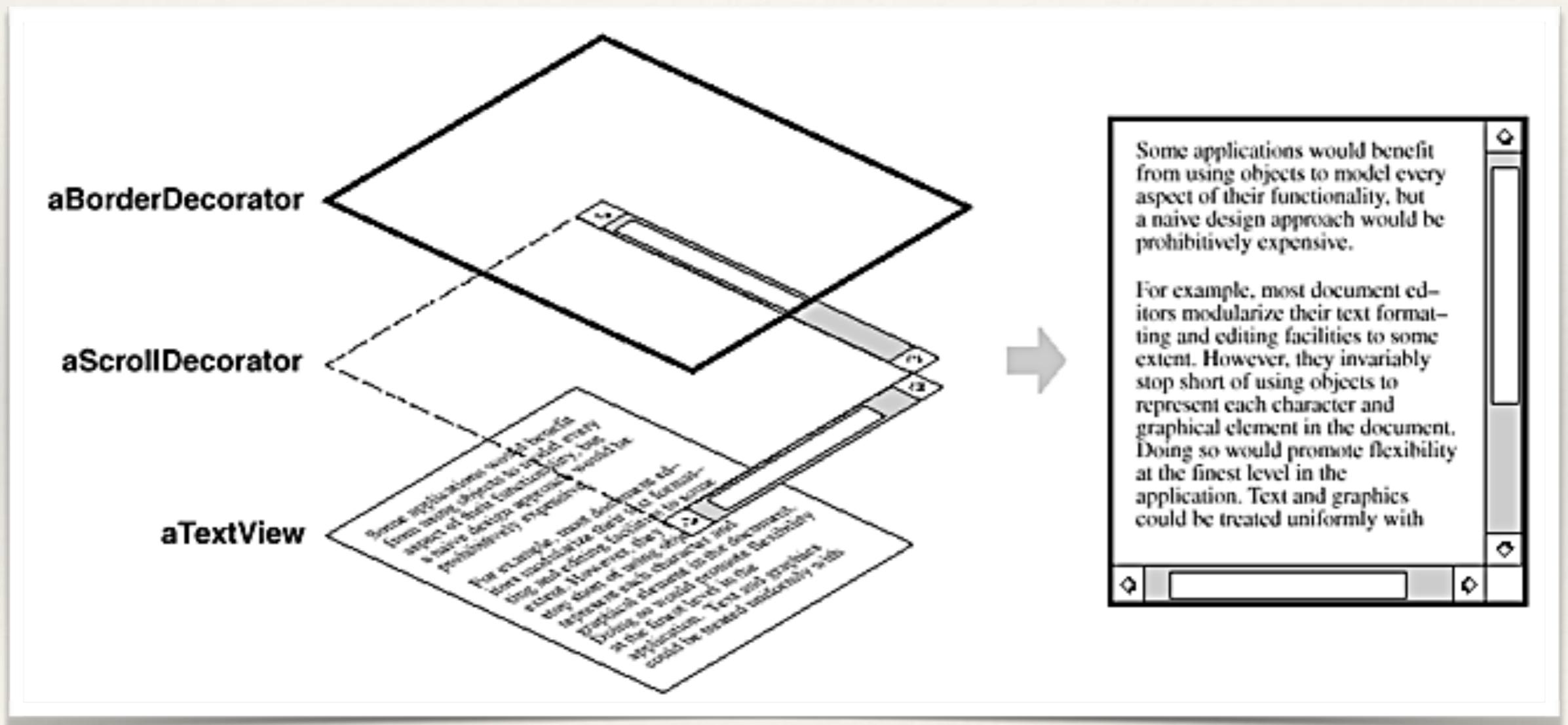
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# Scenario

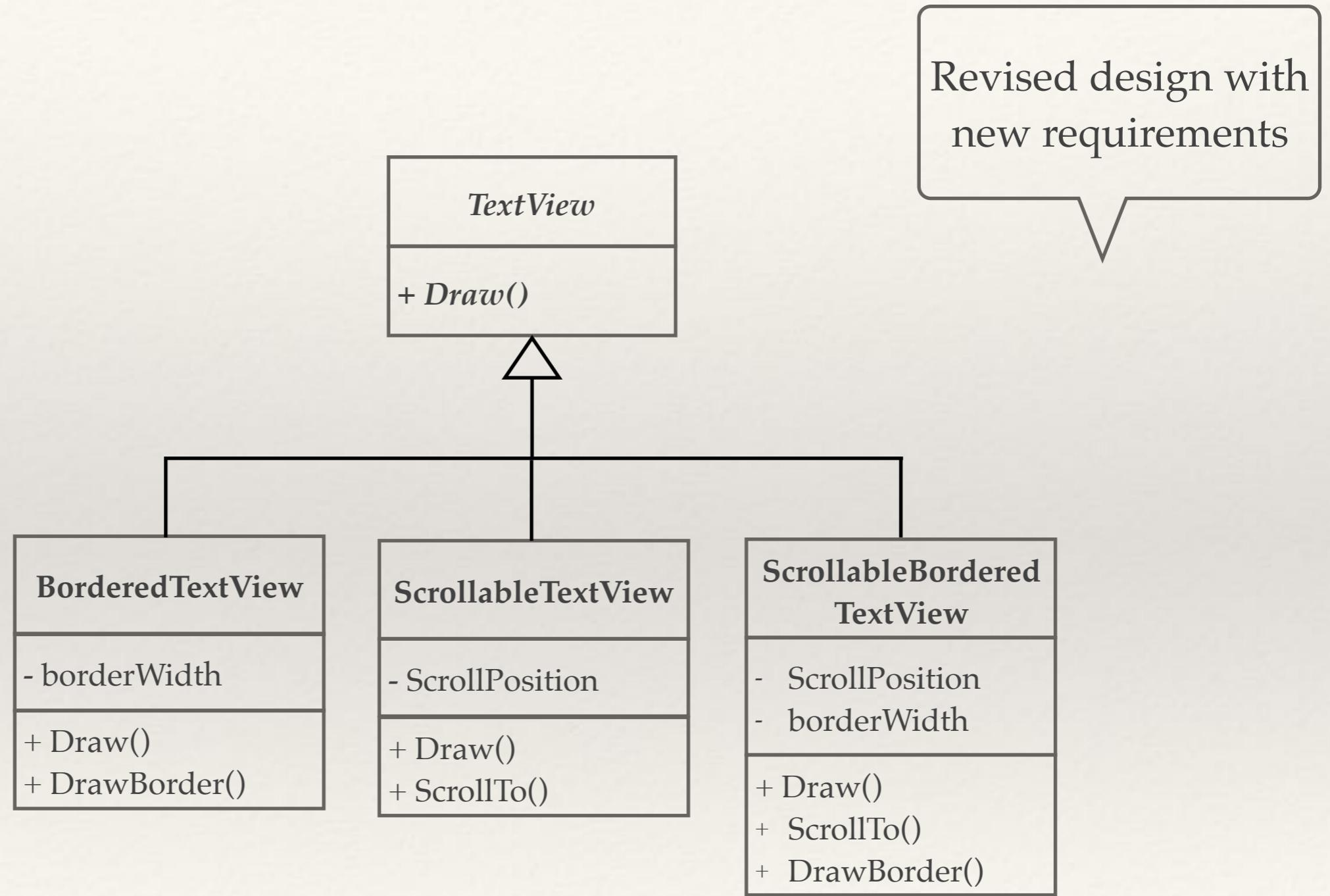
Initial design



# Scenario



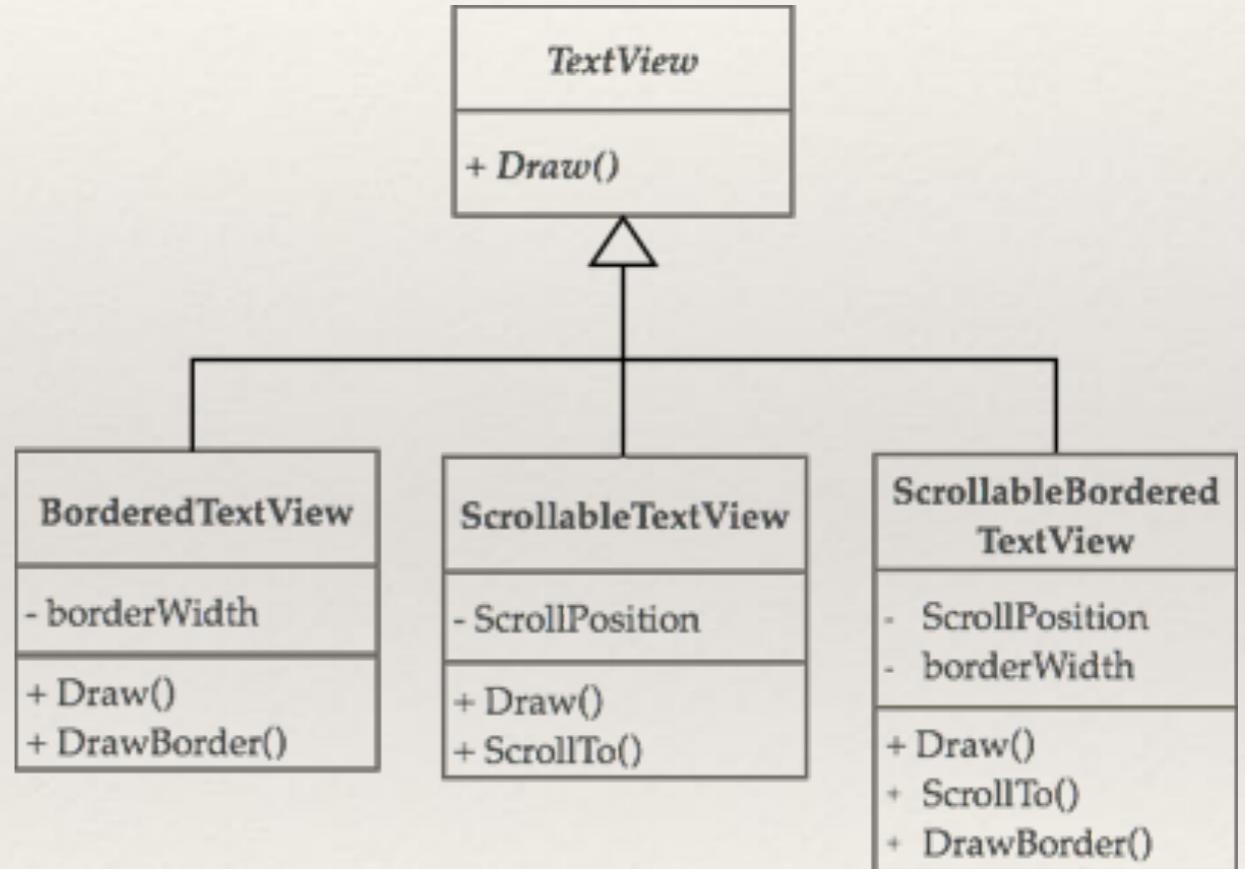
# Supporting new requirements



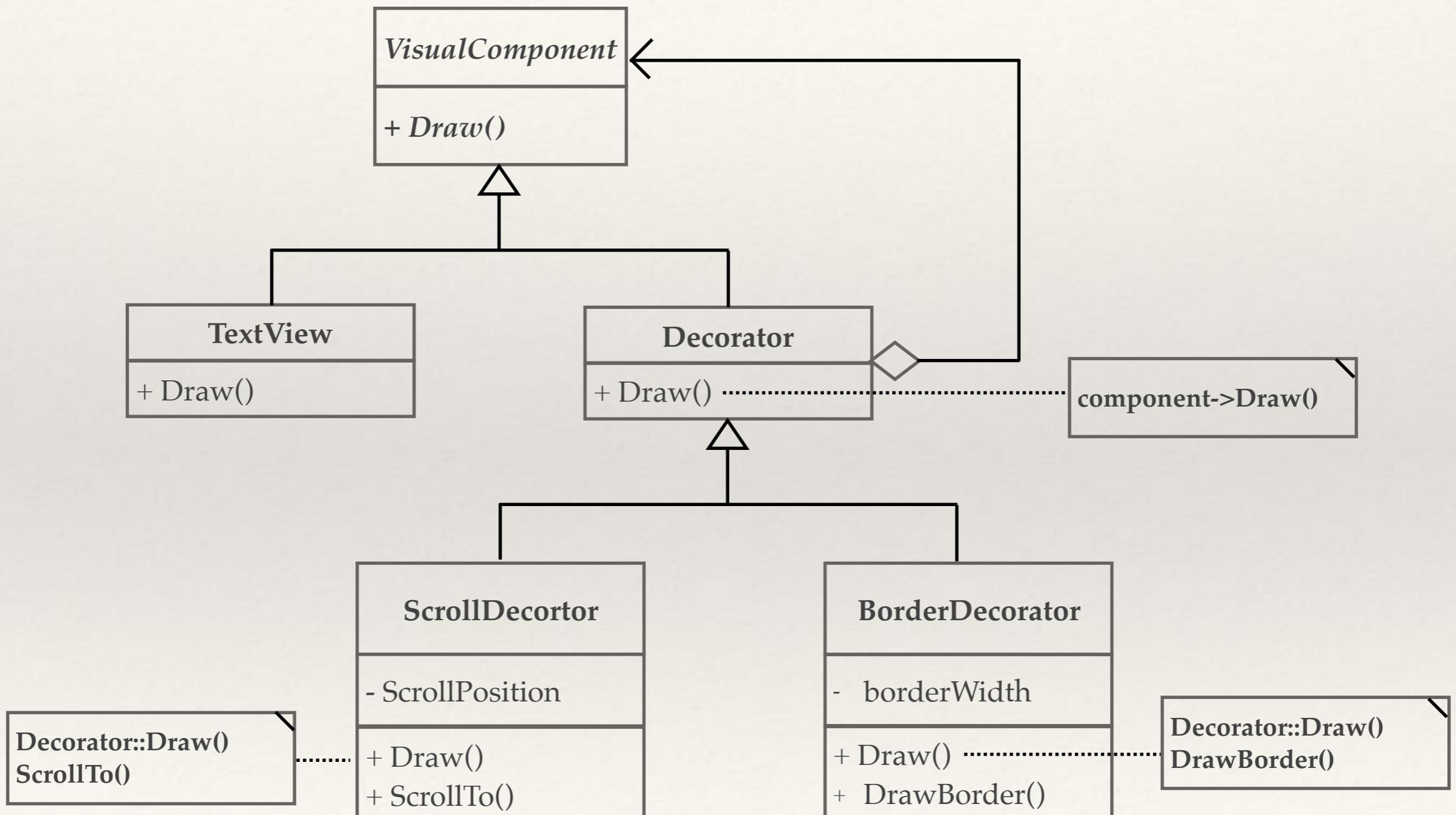
# Scenario

- ❖ How will you refactor such that:
  - ❖ You don't have to “multiply-out” sub-types? (i.e., avoid “explosion of classes”)
  - ❖ Add or remove responsibilities (e.g., scrolling) at runtime?

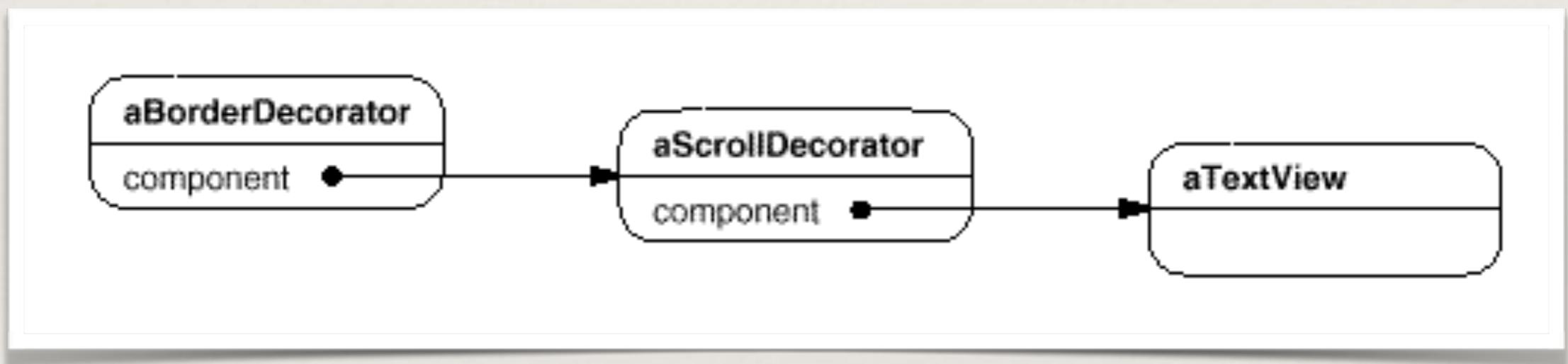
Next change:  
smelly design



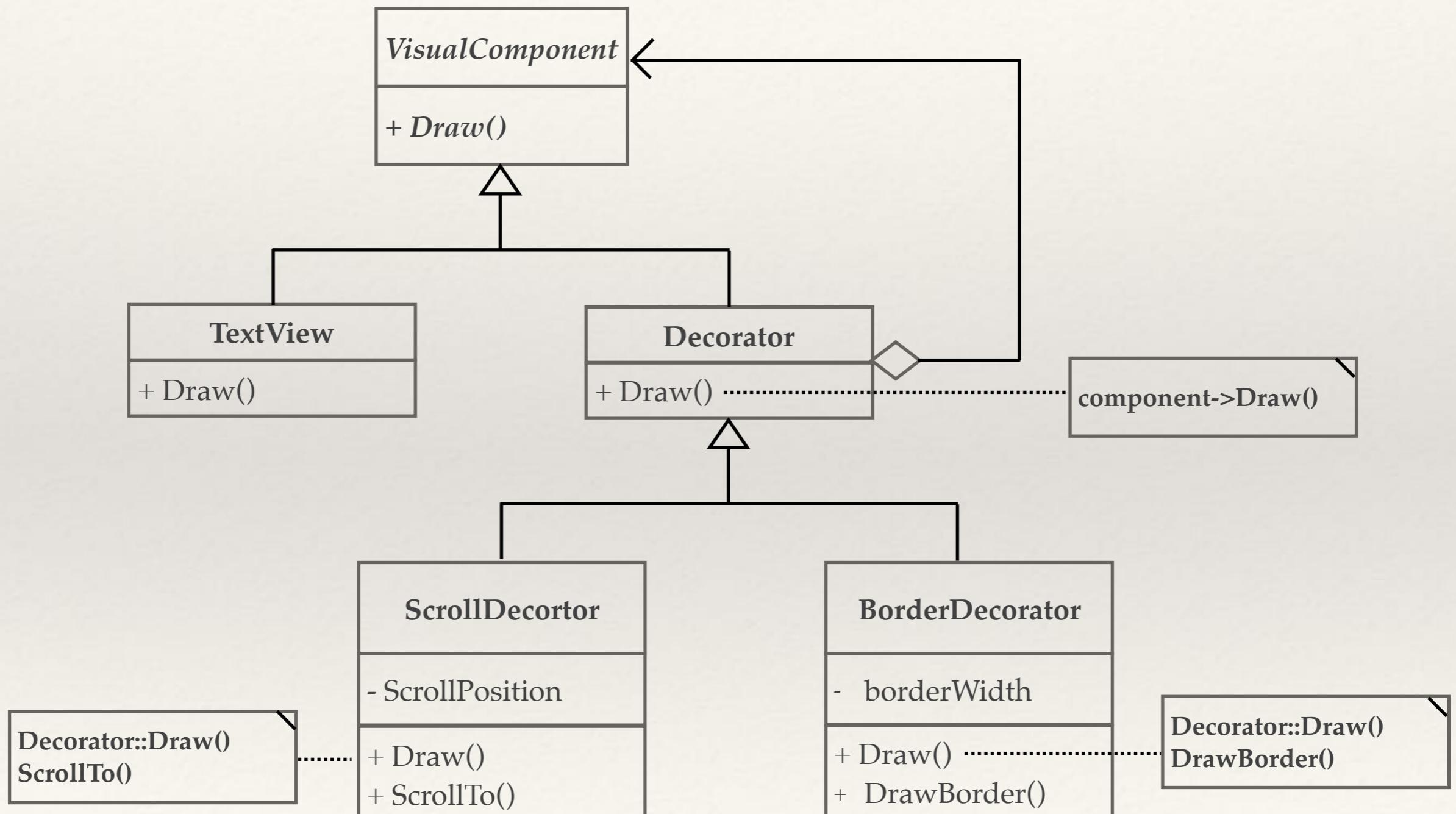
# How about this solution?



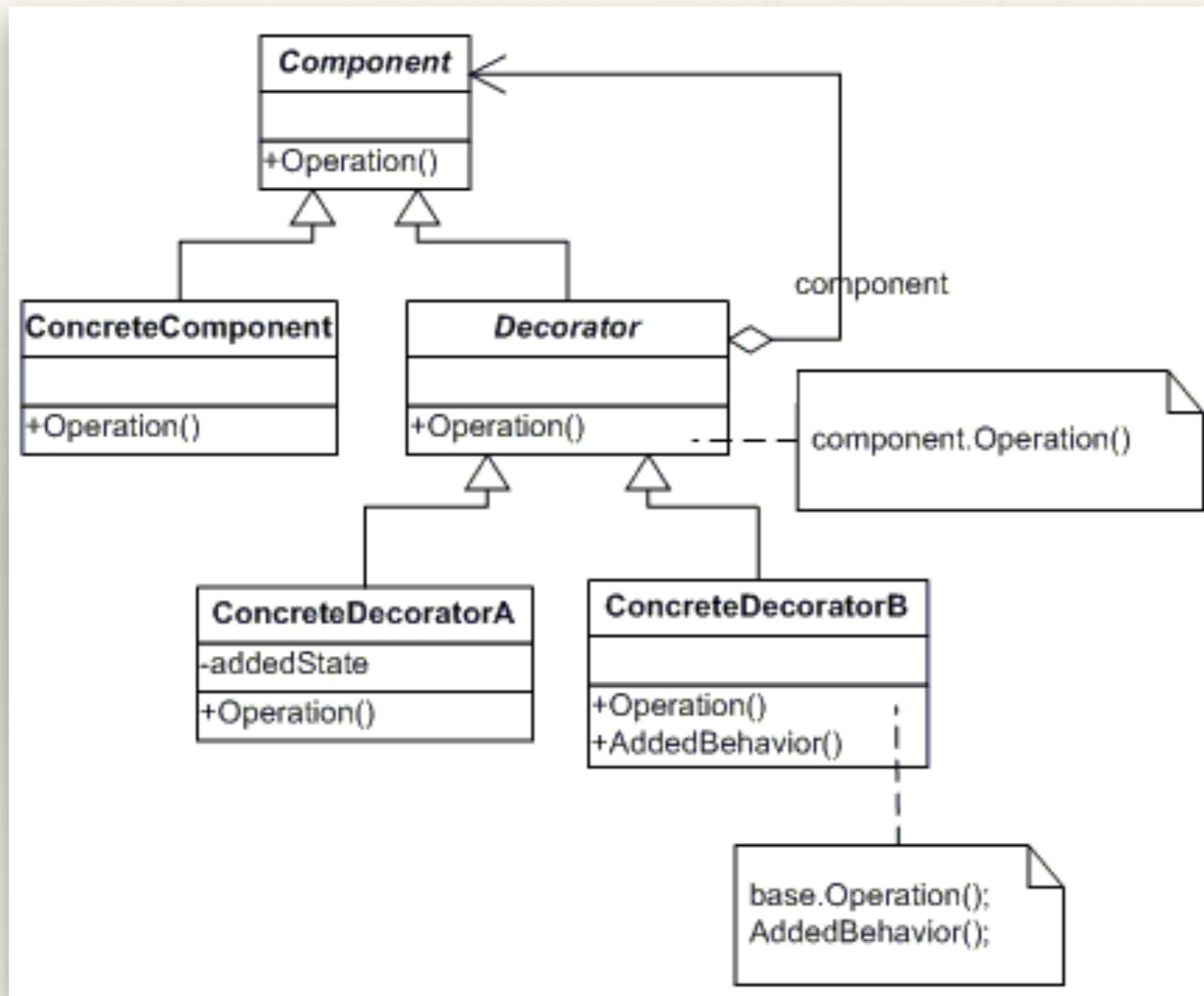
# At runtime (object diagram)



# Can you identify the pattern?



# You're right: Its Decorator pattern!



# Decorator pattern: Discussion

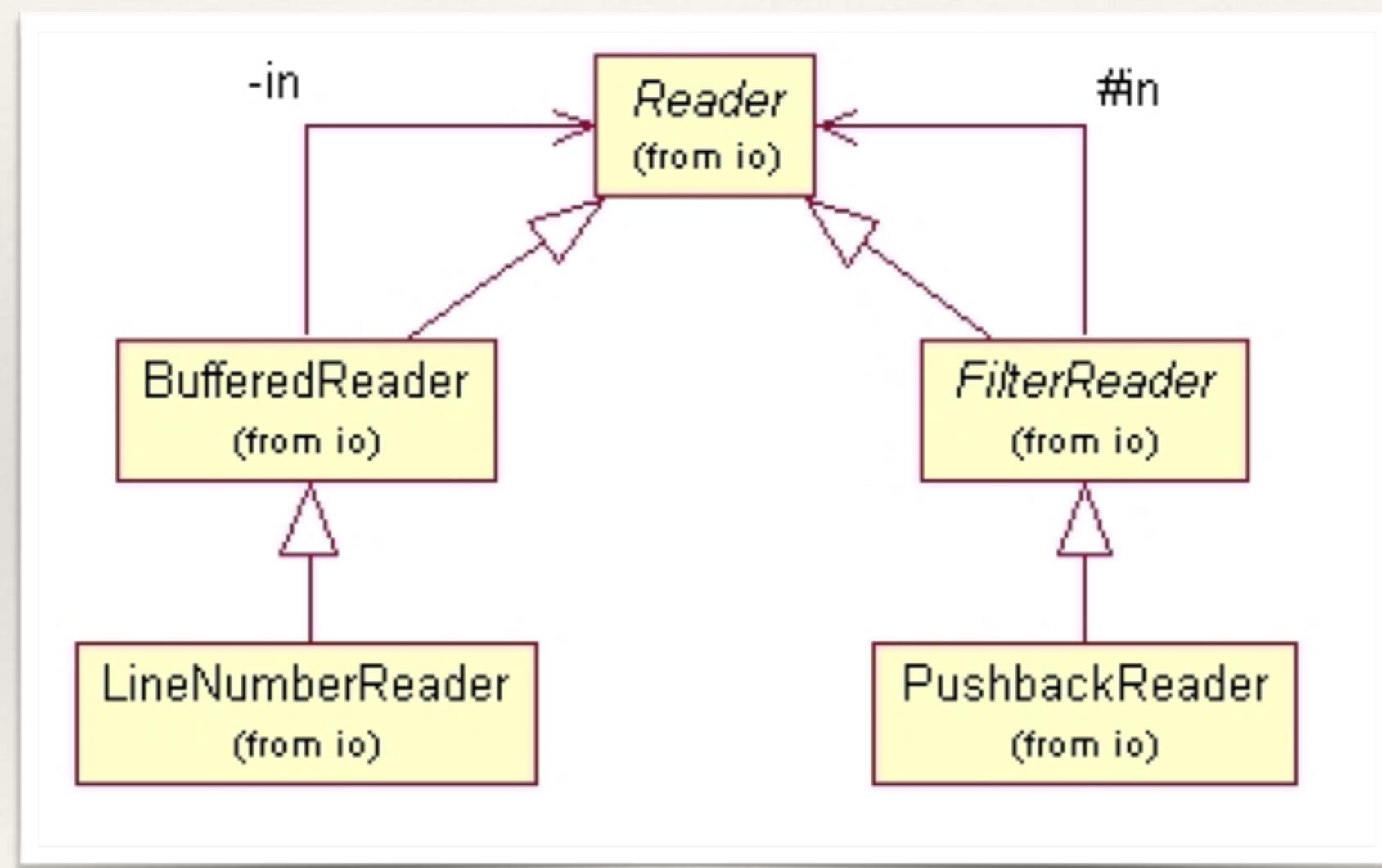
Attach additional responsibilities to an object dynamically. Decorators provide a flexible alternative to subclassing for extending functionality

- ❖ Want to add responsibilities to individual objects (not an entire class)
  - ❖ One way is to use inheritance
    - ❖ Inflexible; static choice
    - ❖ Hard to add and remove responsibilities dynamically
- 
- ❖ Add responsibilities through decoration
    - ❖ in a way transparent to the clients
  - ❖ Decorator forwards the requests to the contained component to perform additional actions
    - ❖ Can nest recursively
    - ❖ Can add an unlimited number of responsibilities dynamically

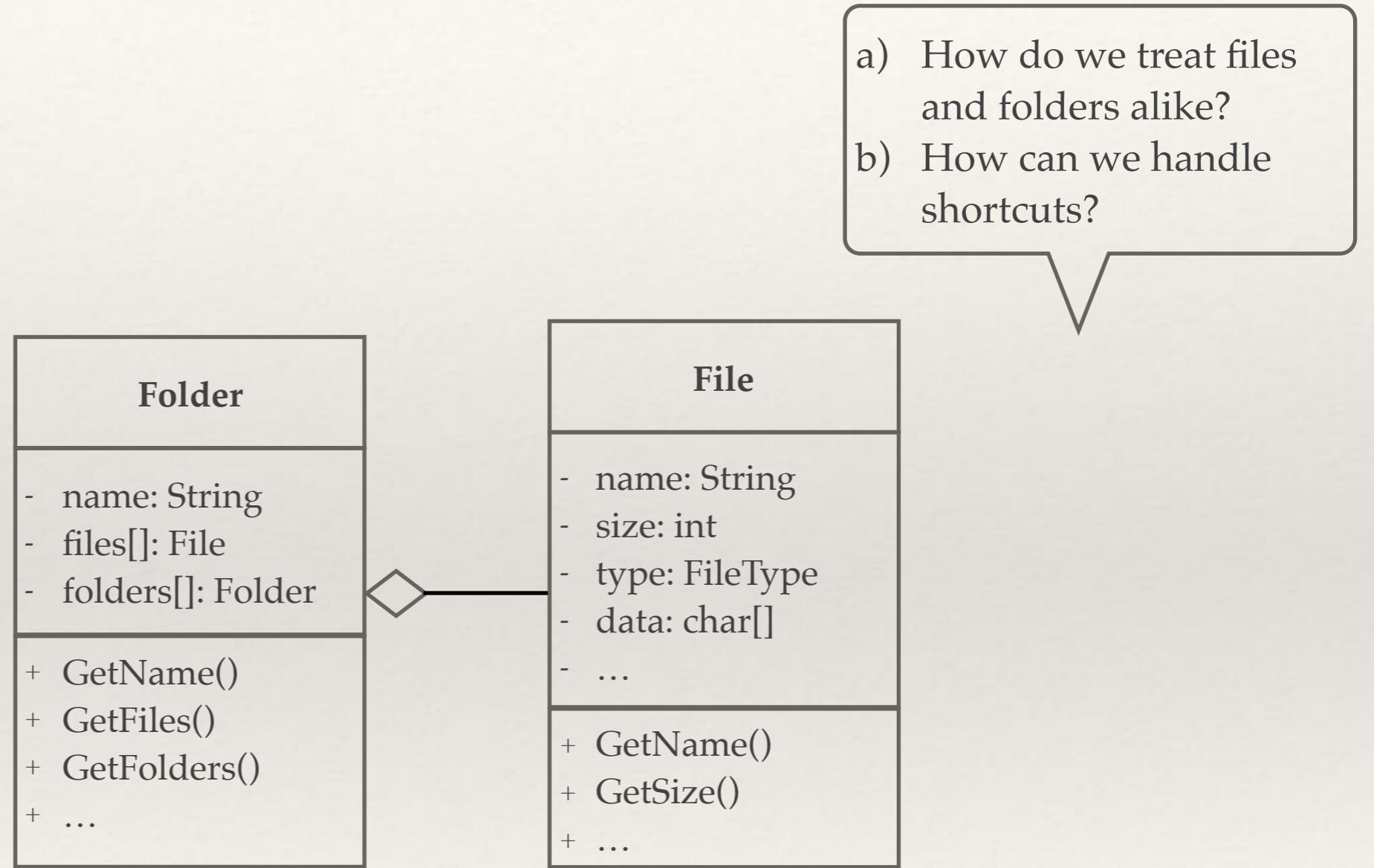
# Identify pattern used in this code

```
LineNumberReader lnr =  
    new LineNumberReader(  
        new BufferedReader(  
            new FileReader("./test.c")));  
  
String str = null;  
  
while((str = lnr.readLine()) != null)  
    System.out.println(lnr.getLineNumber() + ":" + str);
```

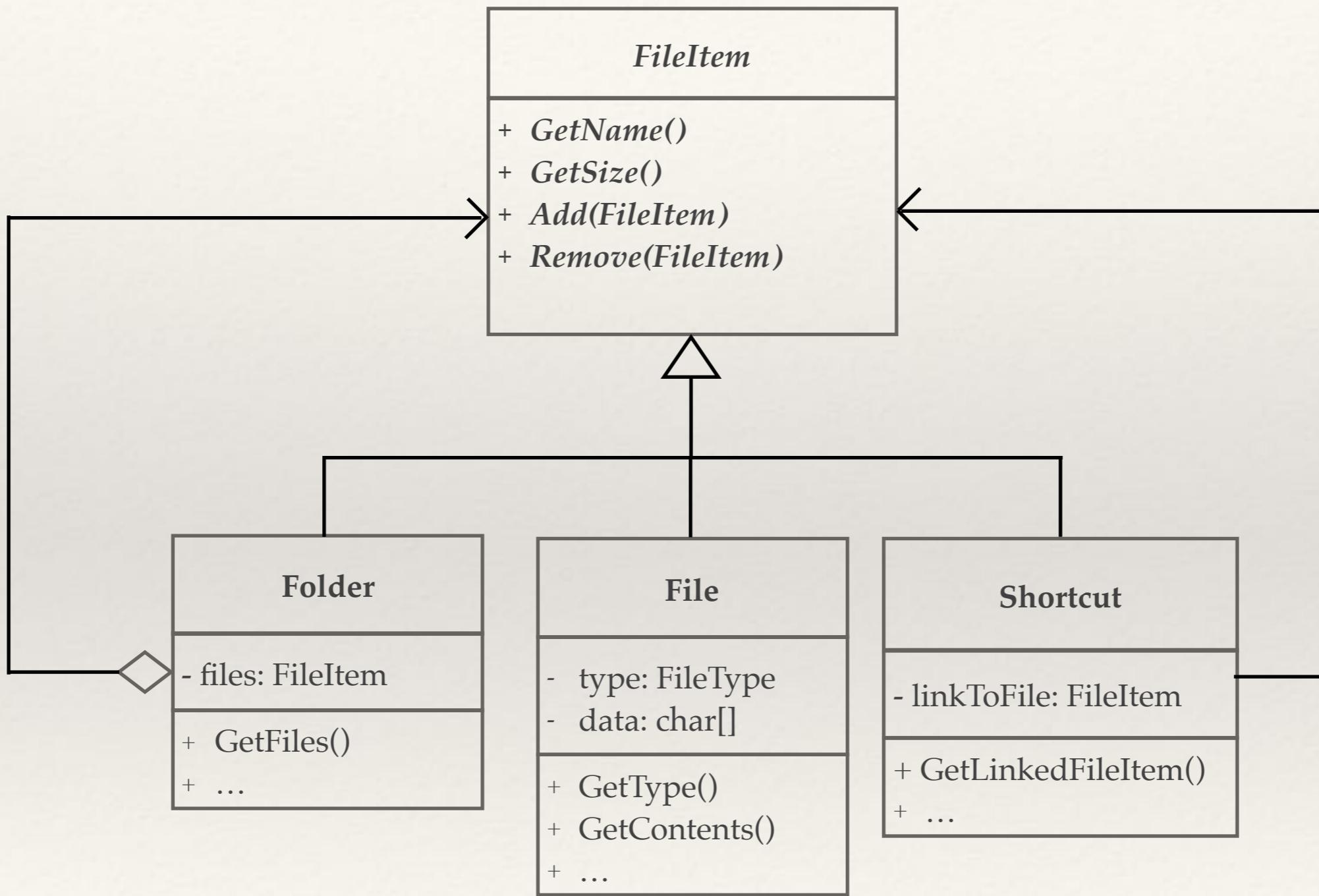
# Decorator pattern in Reader



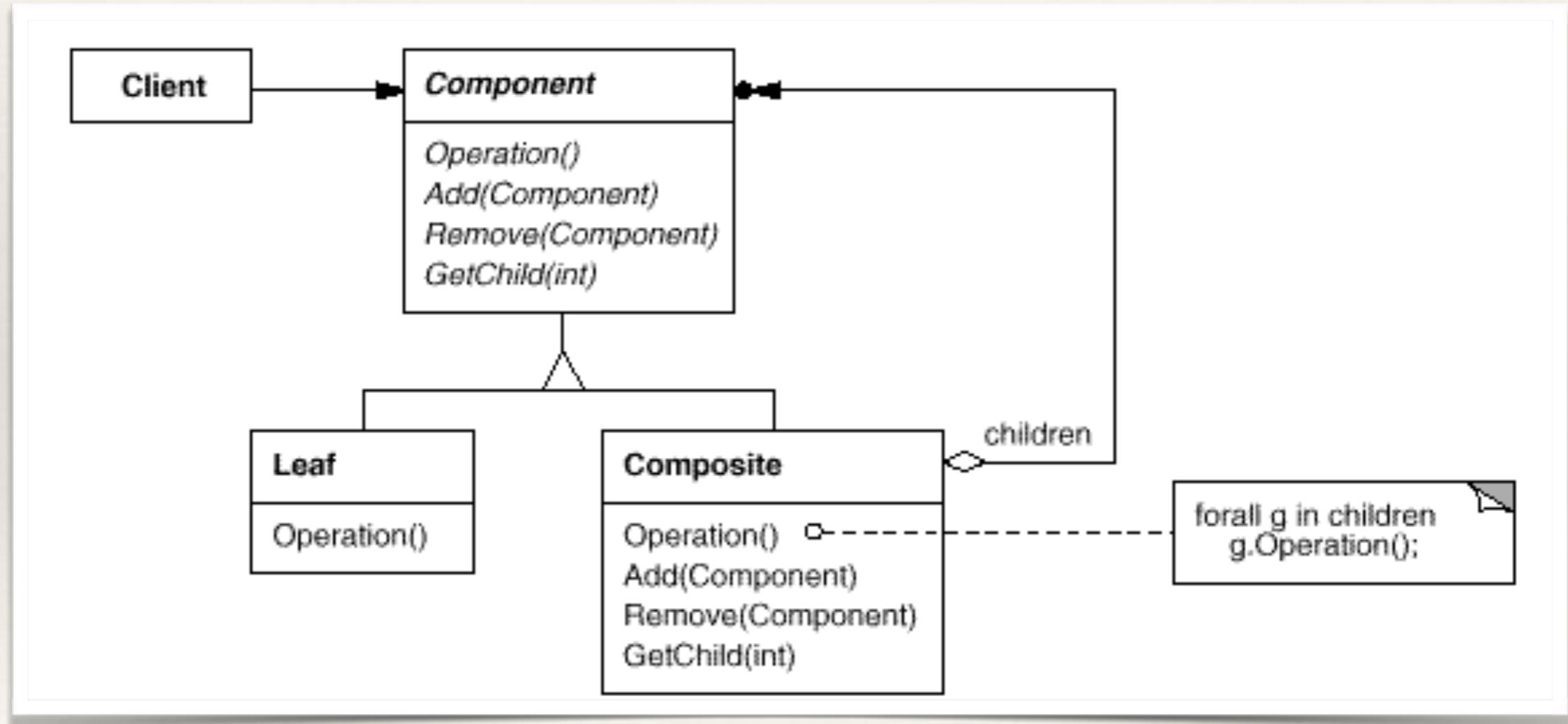
# Scenario



# How about this solution?



# Composite pattern



# Composite pattern: Discussion

Compose objects into tree structures to represent part-whole hierarchies. Composite lets client treat individual objects and compositions of objects uniformly.

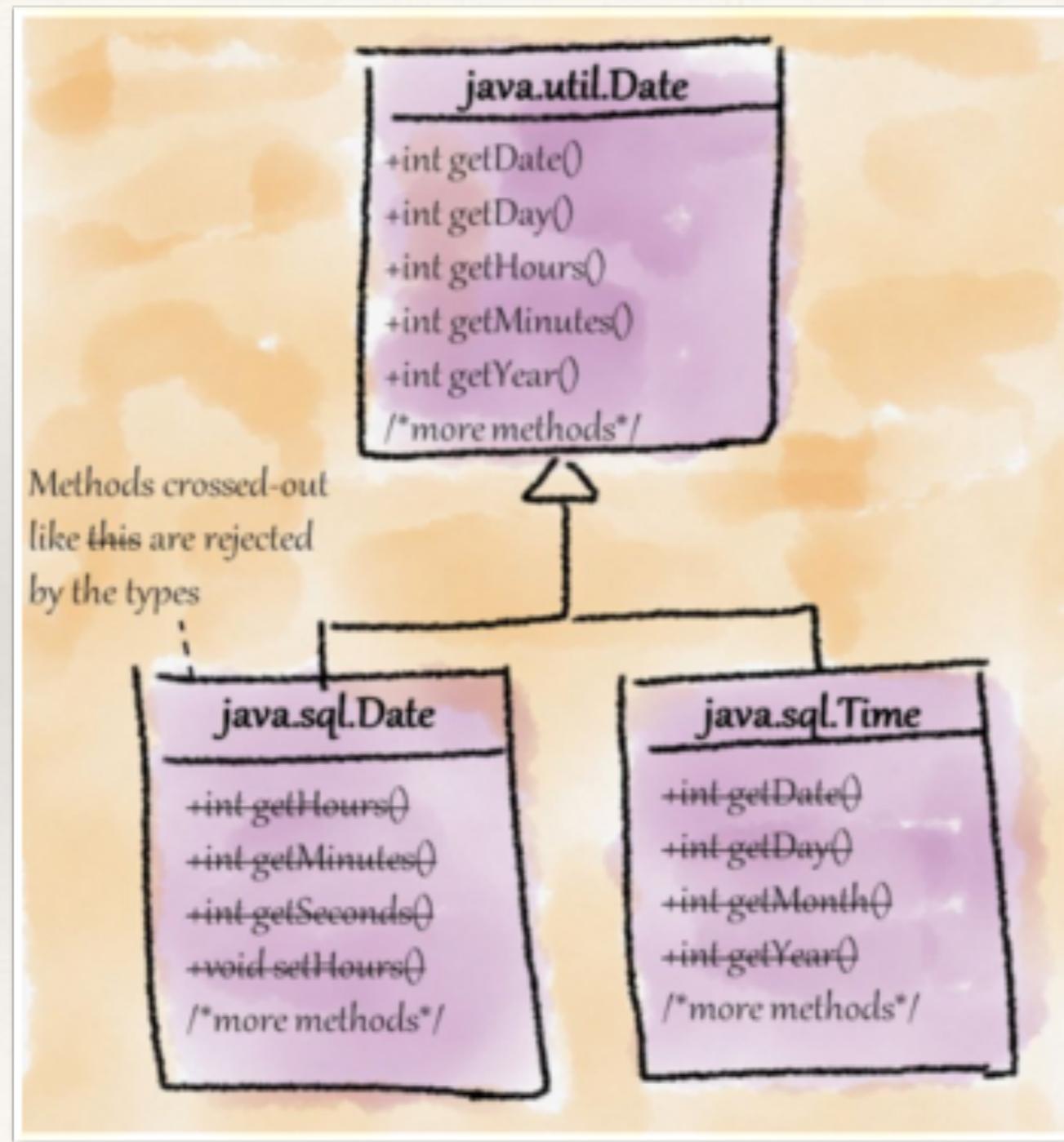
- ❖ There are many situations where a group of components form larger components
- ❖ Simplistic approach: Make container component contain primitive ones
  - ❖ Problem: Code has to treat container and primitive components differently
- ❖ Perform recursive composition of components
- ❖ Clients don't have to treat container and primitive components differently

# Decorator vs. Composite

Decorator and composite structure looks similar:  
*Decorator is a degenerate form of Composite!*

Decorator	Composite
At max. one component	Can have many components
Adds responsibilities	Aggregates objects
Does not make sense to have methods such as Add(), Remove(), GetChild() etc.	Has methods such as Add(), Remove(), GetChild(), etc.

# What's that smell?



“Refused  
bequest” smell

# Liskov's Substitution Principle (LSP)



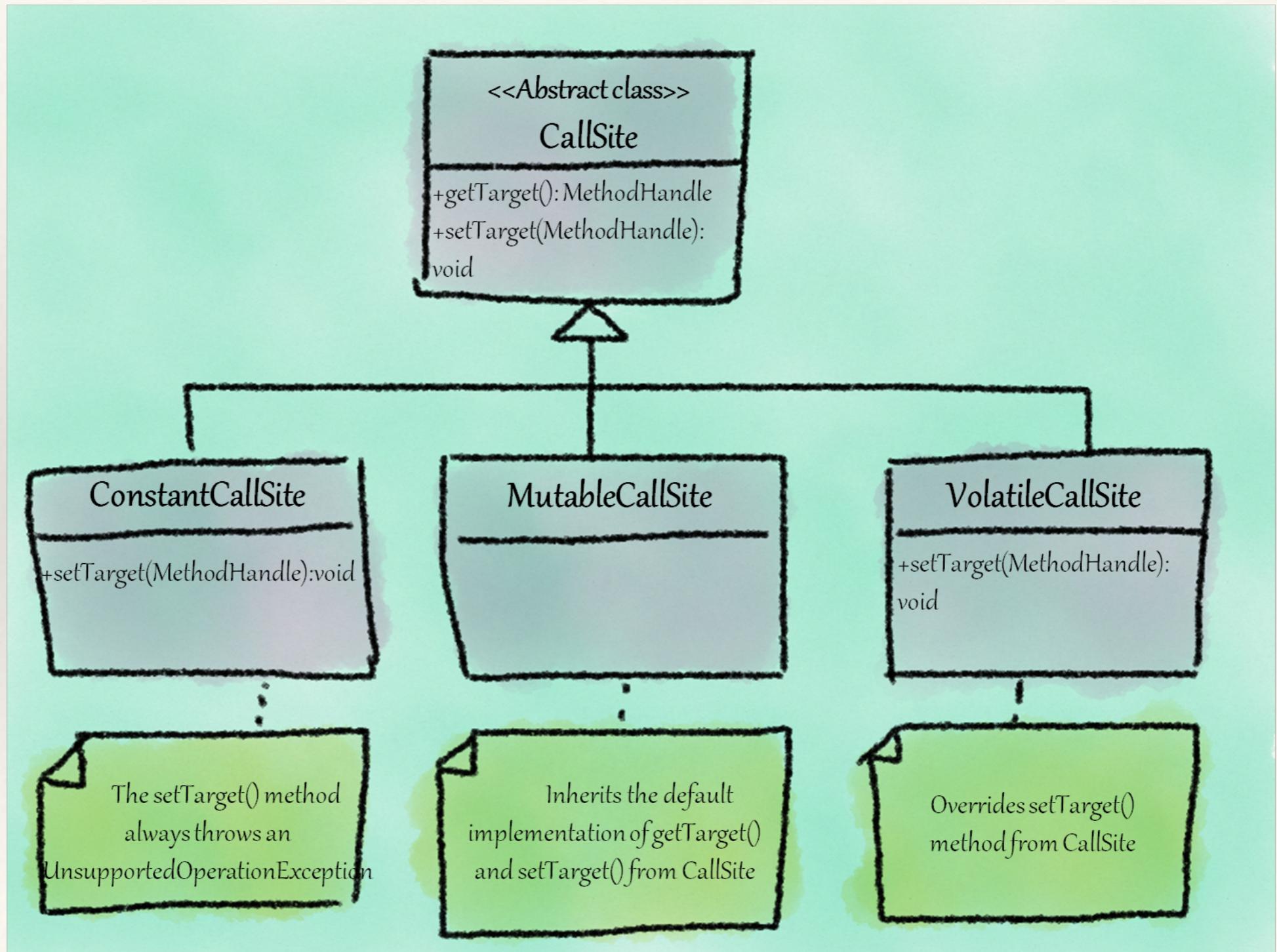
It should be possible to replace  
objects of supertype with  
objects of subtypes without  
altering the desired behavior of  
the program

Barbara Liskov

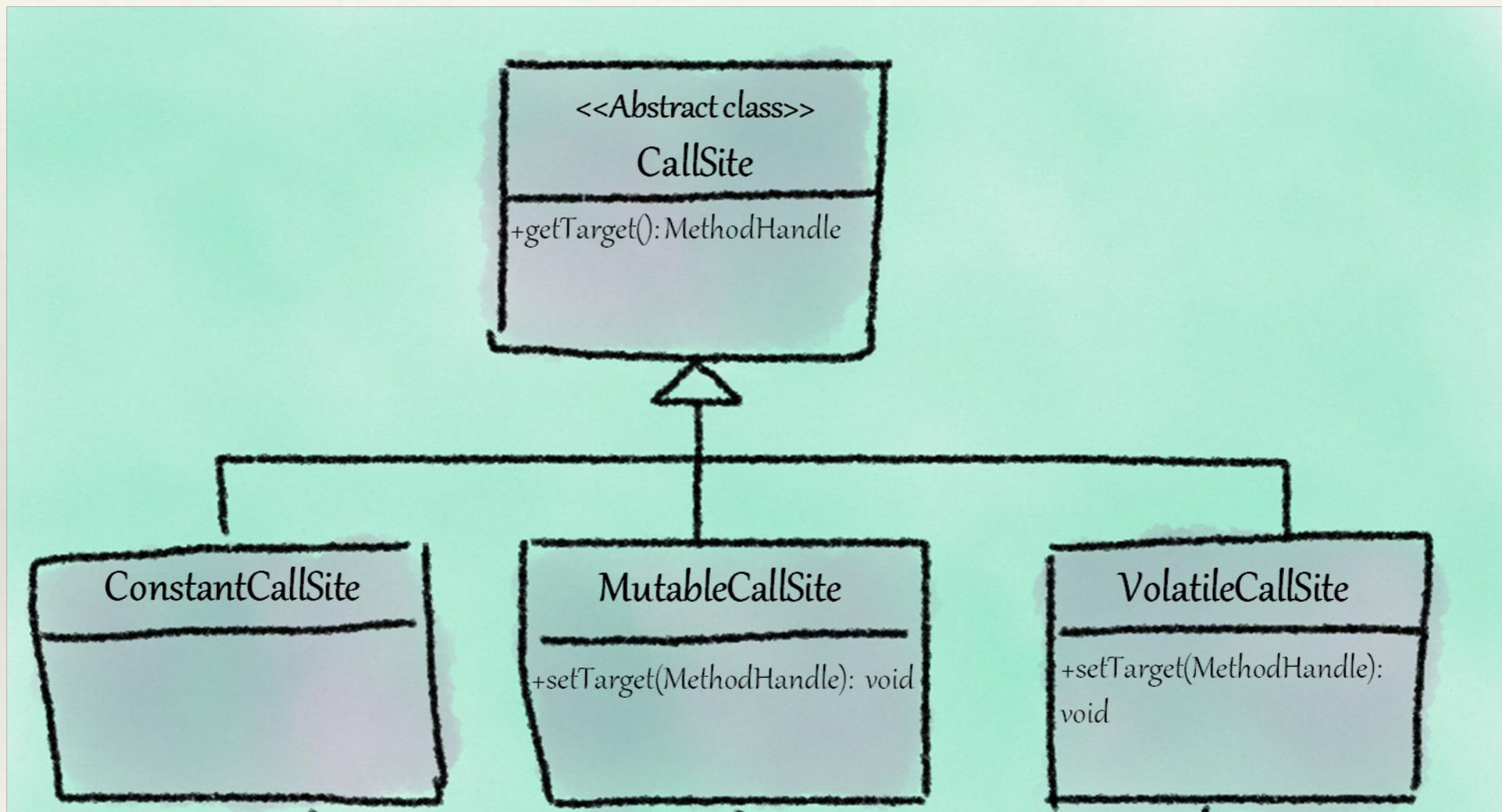
# Refused bequest smell

A class that overrides a method of a base class in such a way that the contract of the base class is not honored by the derived class

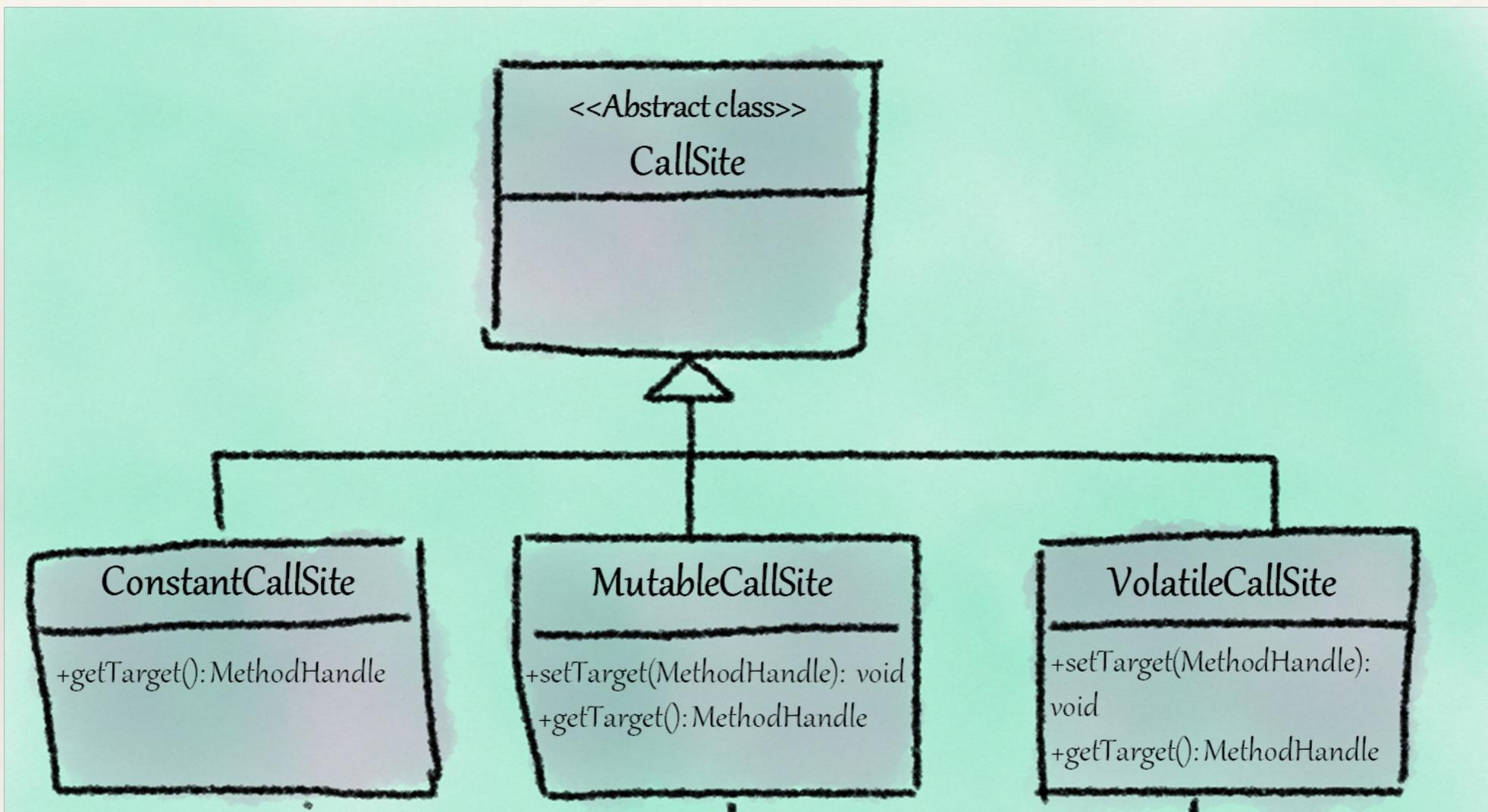
# What's that smell?



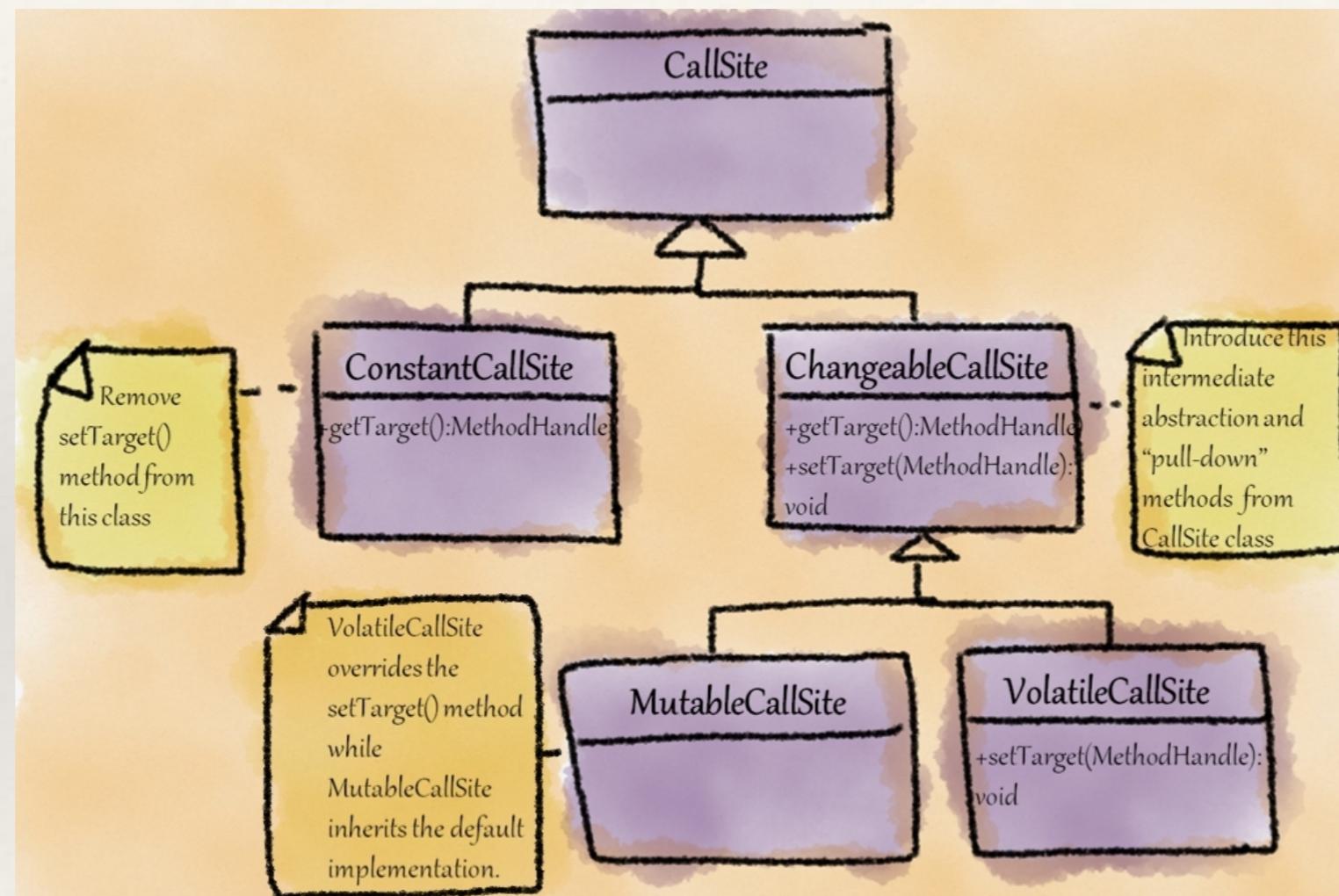
# How about this refactoring?



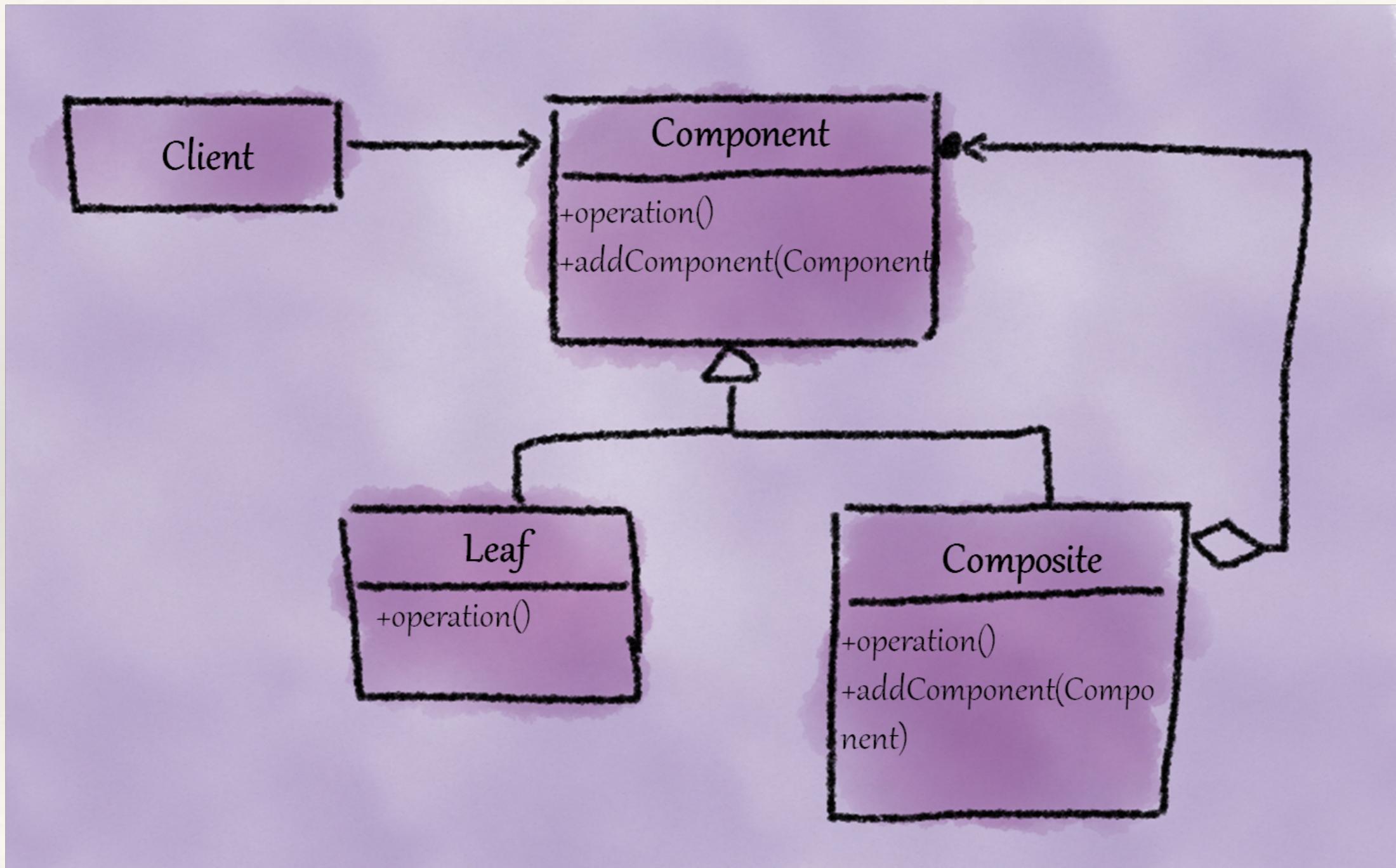
# How about this refactoring?



# Suggested refactoring



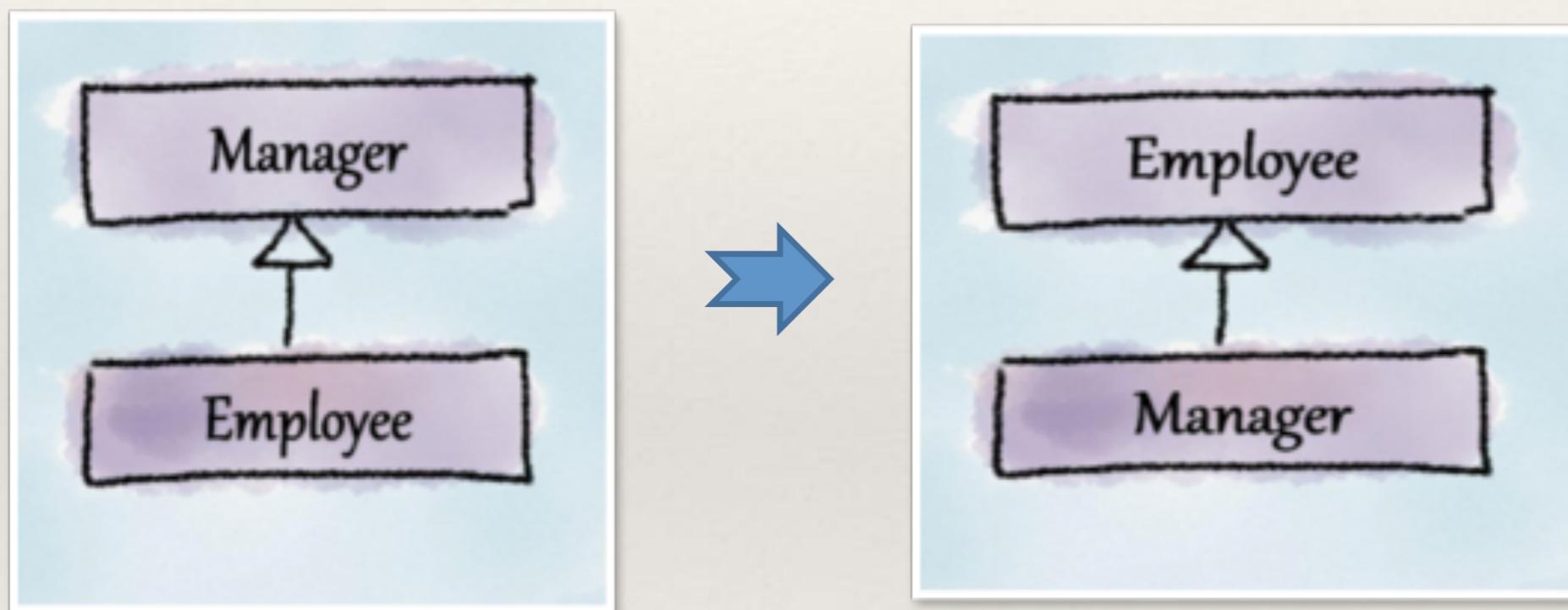
# Practical considerations



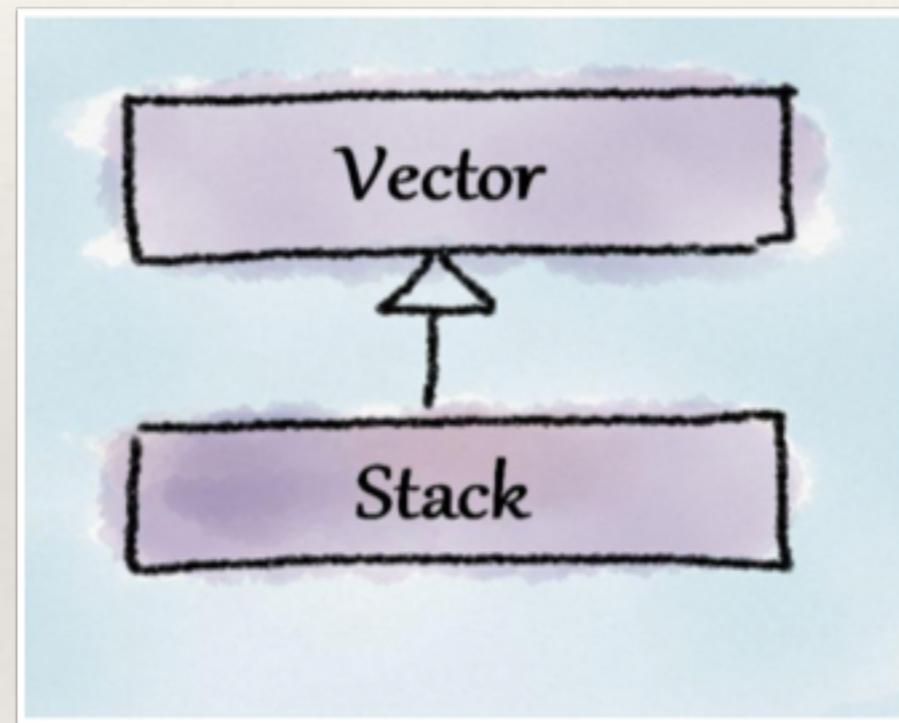
# What's that smell?



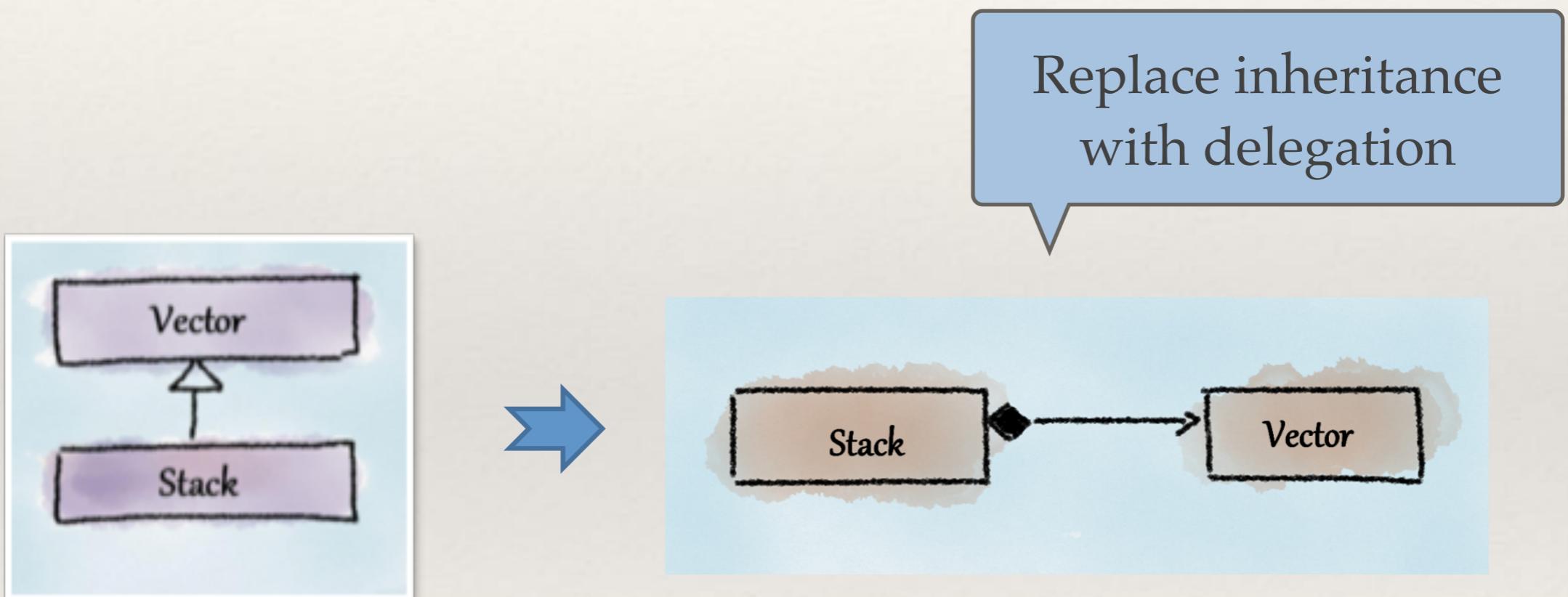
# Refactoring



# What's that smell?



# Refactoring



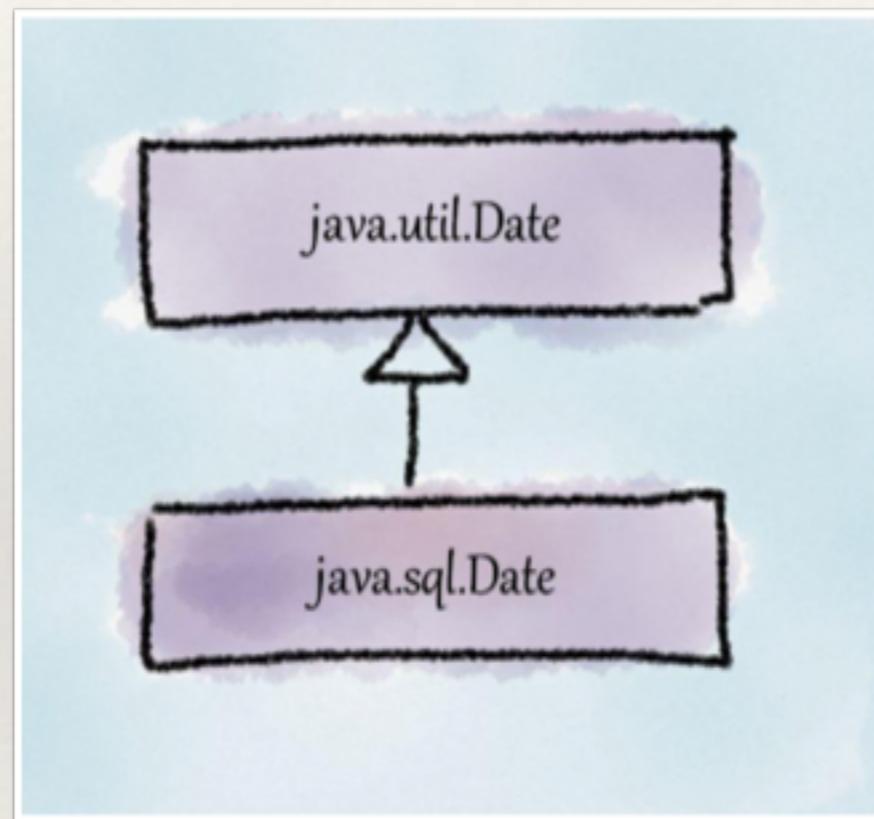
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# Hands-on exercise

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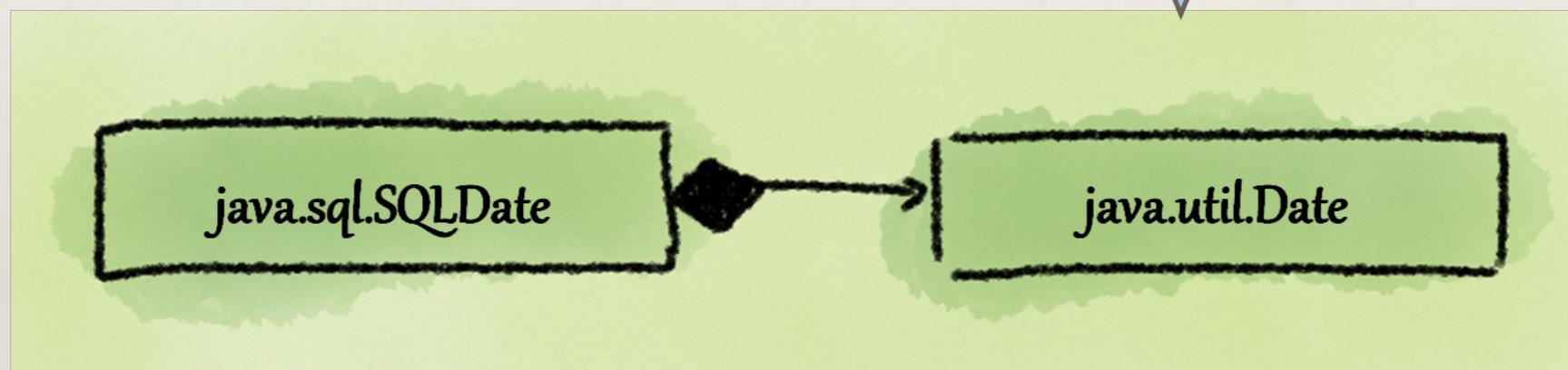
- ❖ Refactor `java.util.Stack`!
- ❖ Question: Is it a “safe” refactoring?

# What's that smell?



# Refactoring for Date

Replace inheritance  
with delegation



# Agenda

- SOLID Foundations
- Single Responsibility Principle
- Open-Closed Principle
- Liskov's Substitution Principle
- **Interface Segregation Principle**
- Dependency Inversion Principle
- Applying Principles in Practice



---

# Interface Segregation Principle (ISP)

---

Clients should not be forced to depend upon interfaces they do not use

# How `java.util.Date` violates ISP

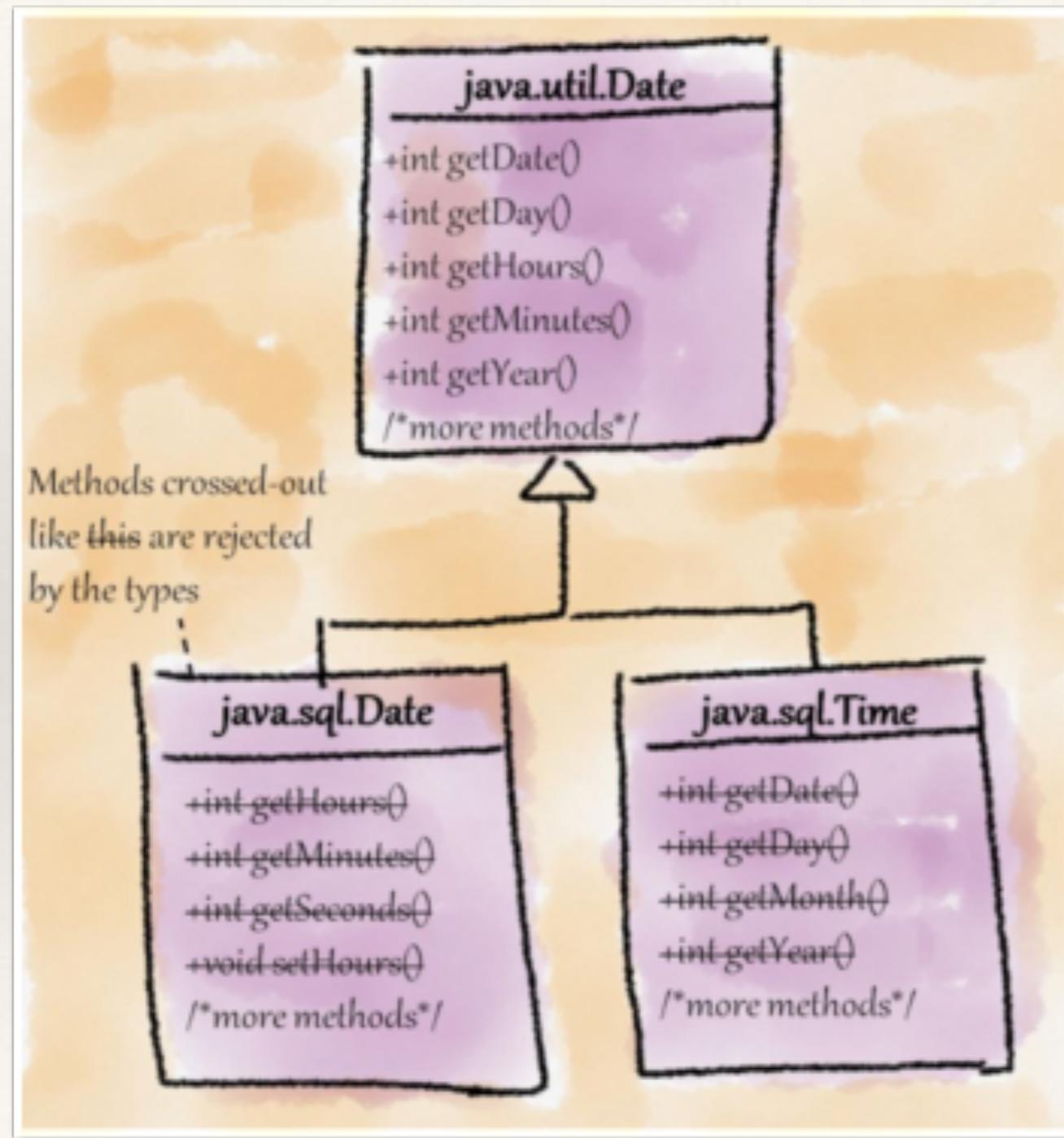
```
// using java.util.Date  
Date today = new Date();  
System.out.println(today);
```

```
$ java DateUse  
Wed Dec 02 17:17:08 IST 2015
```

Why should we get the time and timezone details if I only want a date? Can I get rid of these parts?

No!

# What's that smell?



“Refused  
bequest” smell

# How java.time API follows ISP

```
// using java.time.LocalDate  
LocalDate today = LocalDate.now();  
System.out.println(today);
```

```
$ java DateUse  
2015-12-02
```

I can use (and hence depend upon) only date related functionality (not time, zone, etc)

# How java.time API follows ISP

```
LocalDate today = LocalDate.now();  
System.out.println(today);
```

```
LocalTime now = LocalTime.now();  
System.out.println(now);
```

```
LocalDateTime todayAndNow = LocalDateTime.now();  
System.out.println(todayAndNow);
```

```
ZonedDateTime todayAndNowInTokyo = ZonedDateTime.now(ZoneId.of("Asia/Tokyo"));  
System.out.println(todayAndNowInTokyo);
```

```
2015-12-02  
17:37:22.647  
2015-12-02T17:37:22.648  
2015-12-02T21:07:22.649+09:00[Asia/Tokyo]
```

You can use only date, time, or even timezone, and combine them as needed!

# More classes in Date/Time API

## Instant

- Represents machine time starting from Unix epoch
- Typically used for timestamps

## Period

- Represents amount of time in terms of years, months and days
- Typically used for difference between two LocalDate objects

## Duration

- Represents amount of time in terms of hours, minutes, seconds, and fractions of seconds
- Typically used for difference between two LocalTime objects

---

# How about this one?

---

```
public interface MouseListener extends EventListener {  
  
    /** *  
     * Invoked when the mouse button has been clicked (pressed  
     * and released) on a component.  
     */  
    public void mouseClicked(MouseEvent e);  
  
    /** *  
     * Invoked when a mouse button has been pressed on a component.  
     */  
    public void mousePressed(MouseEvent e);  
  
    /** *  
     * Invoked when a mouse button has been released on a component.  
     */  
    public void mouseReleased(MouseEvent e);  
  
    /** *  
     * Invoked when the mouse enters a component.  
     */  
    public void mouseEntered(MouseEvent e);  
  
    /** *  
     * Invoked when the mouse exits a component.  
     */  
    public void mouseExited(MouseEvent e);  
}
```

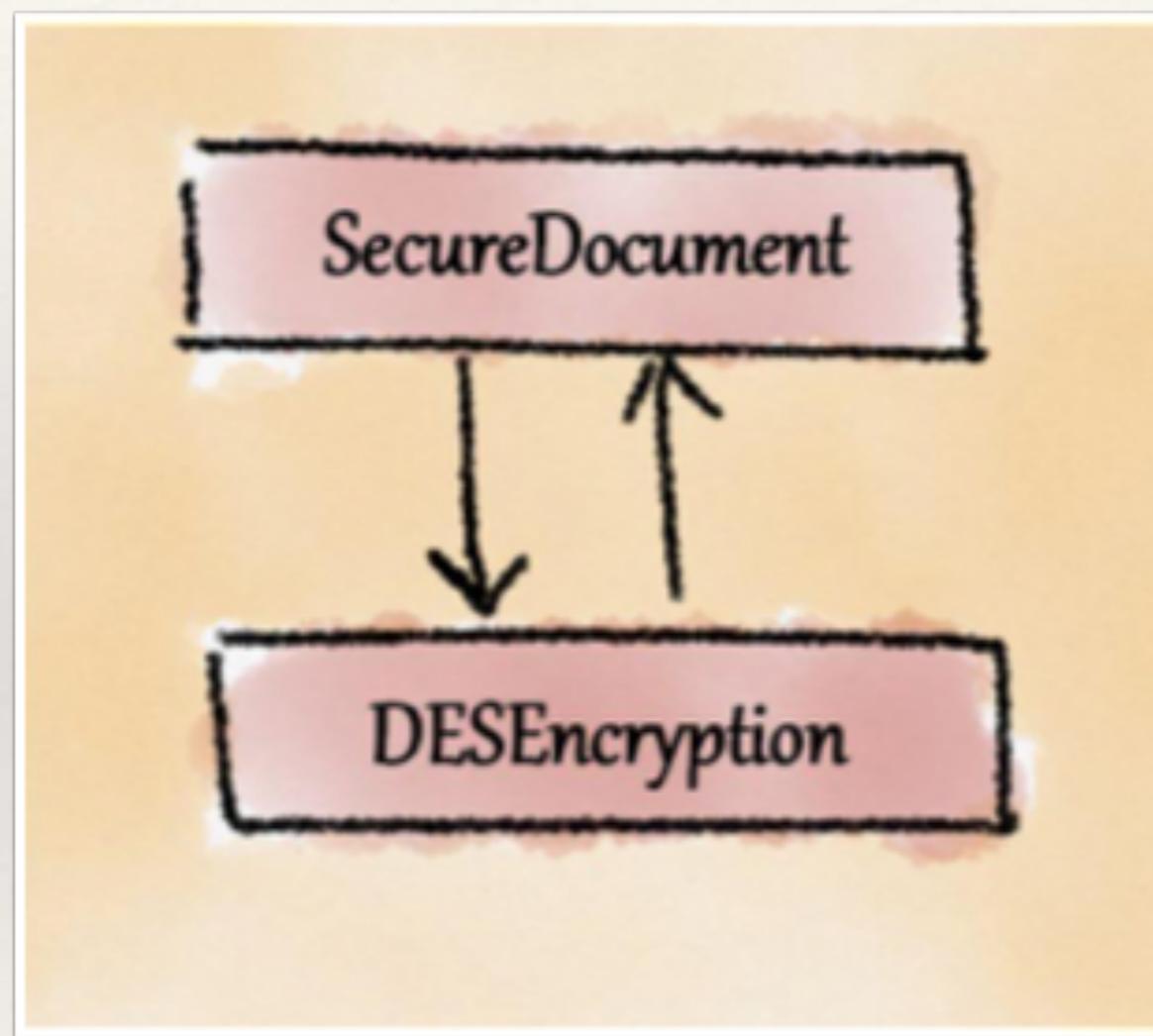
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# Dependency Inversion Principle (DIP)

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- A. High level modules should not depend upon low level modules.  
Both should depend upon abstractions.
  
- B. Abstractions should not depend upon details. Details should depend upon abstractions.

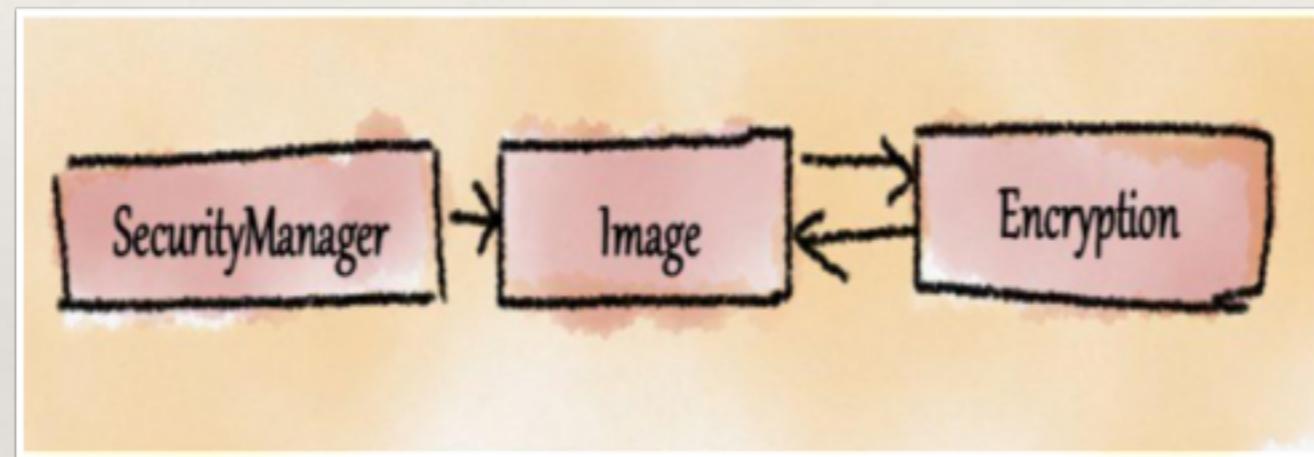
WHAT'S THAT  
S M E L L?



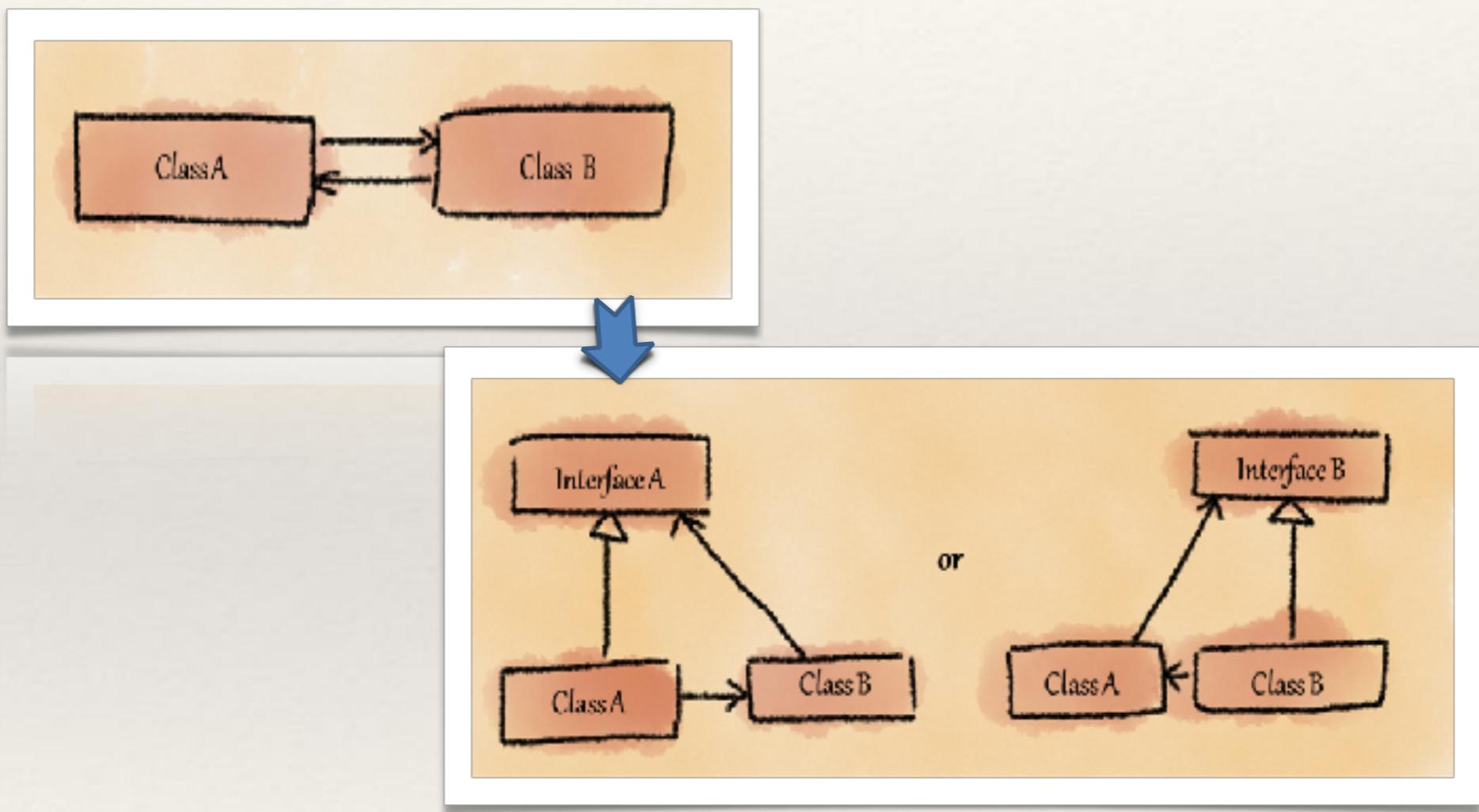
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WHAT'S THAT  
S M E L L?

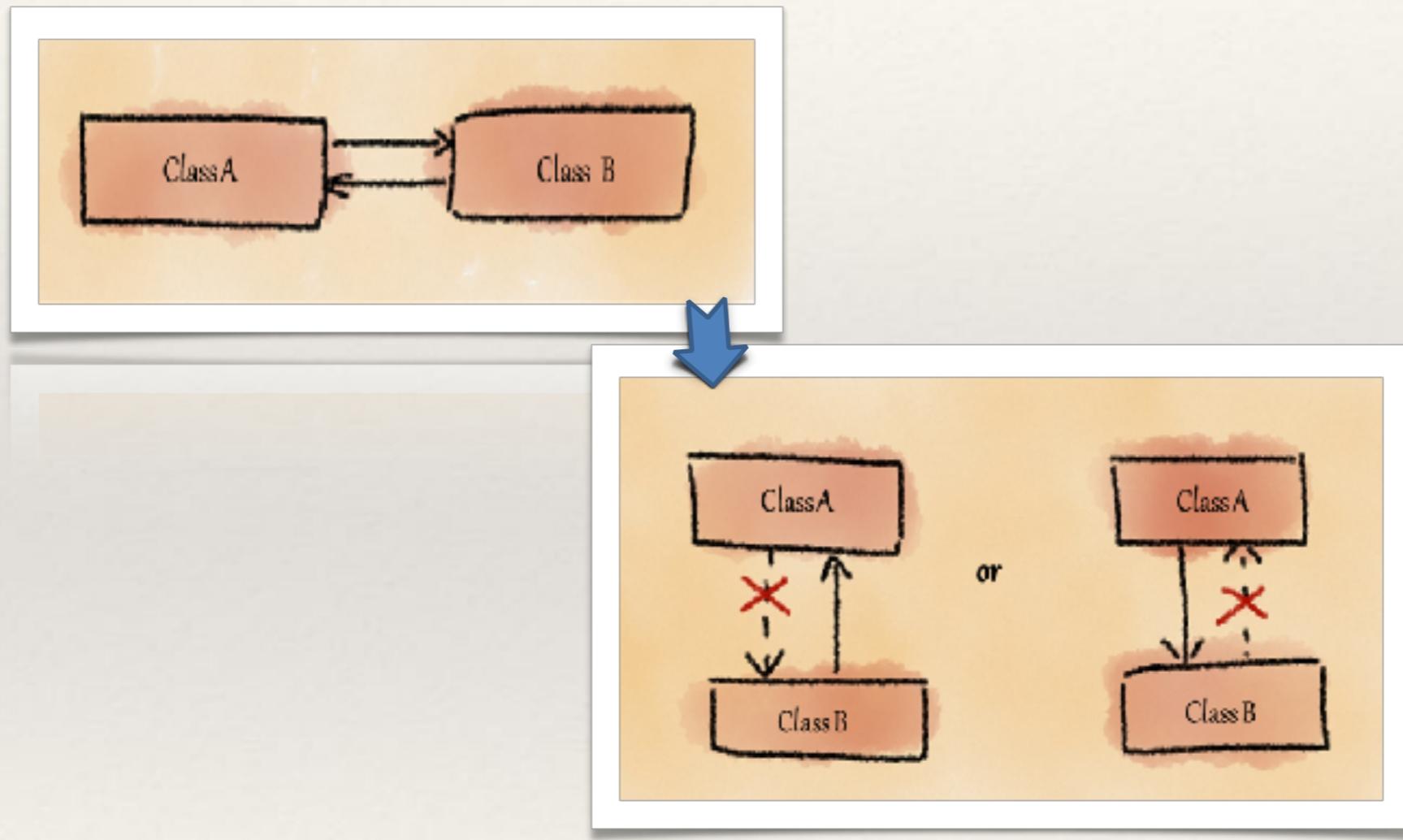
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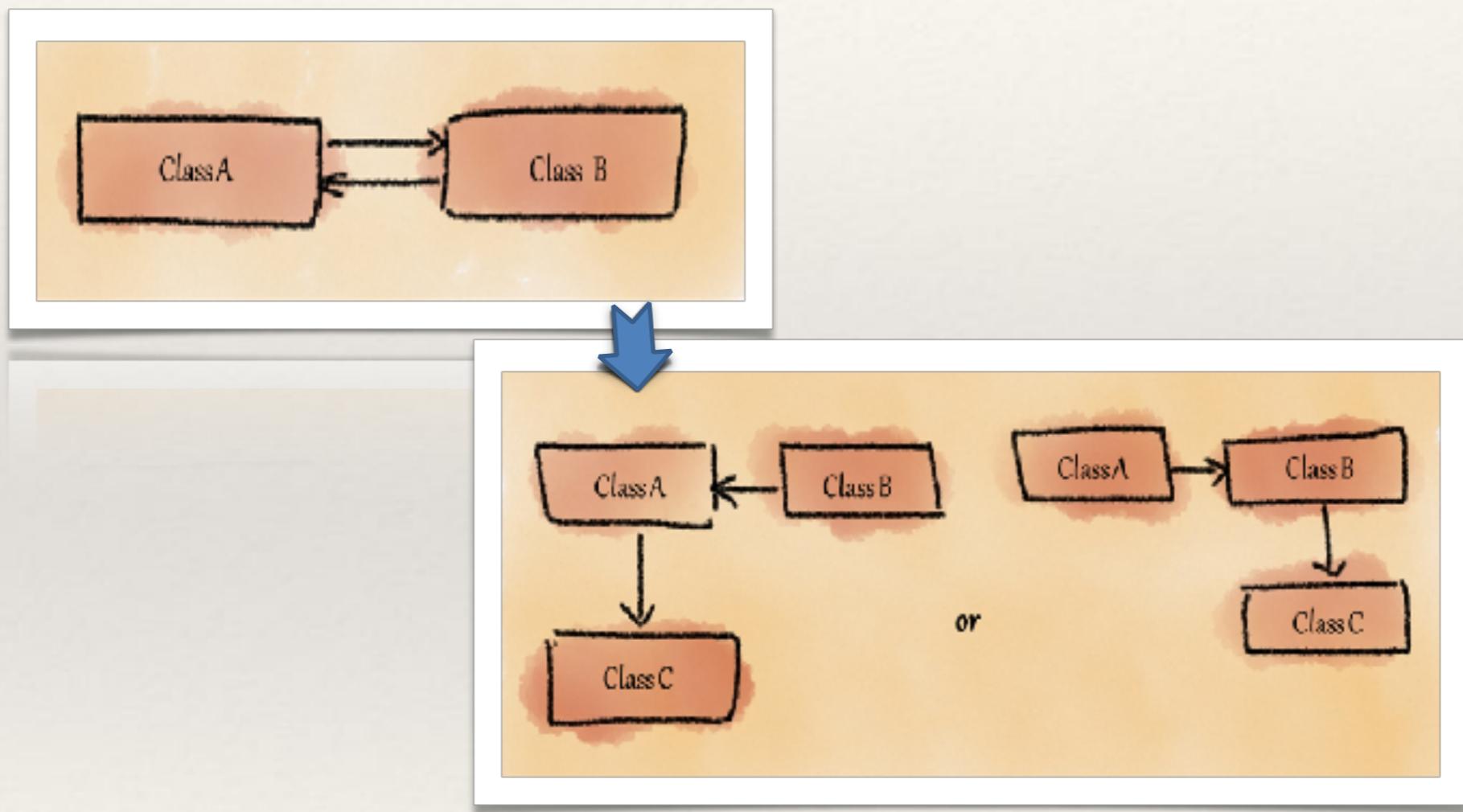
# Suggested refactoring for this smell



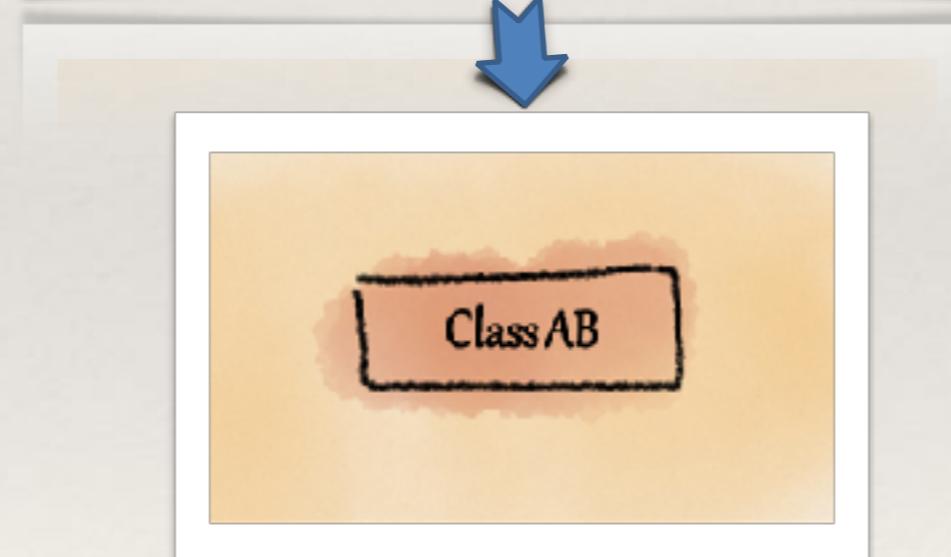
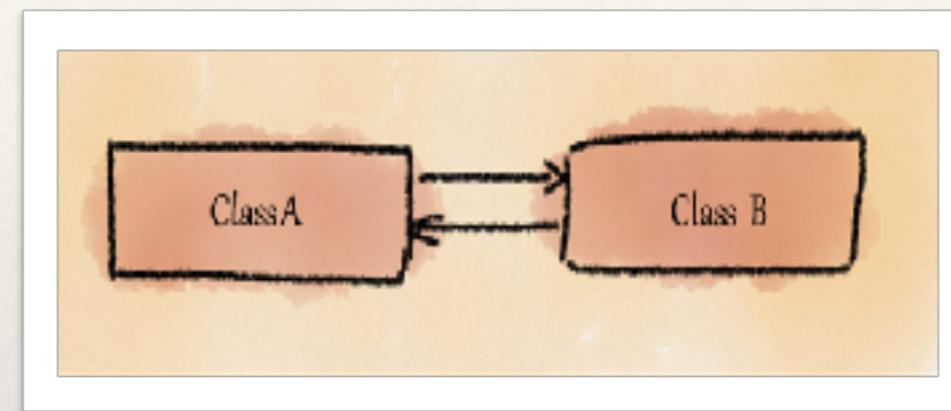
# Suggested refactoring for this smell



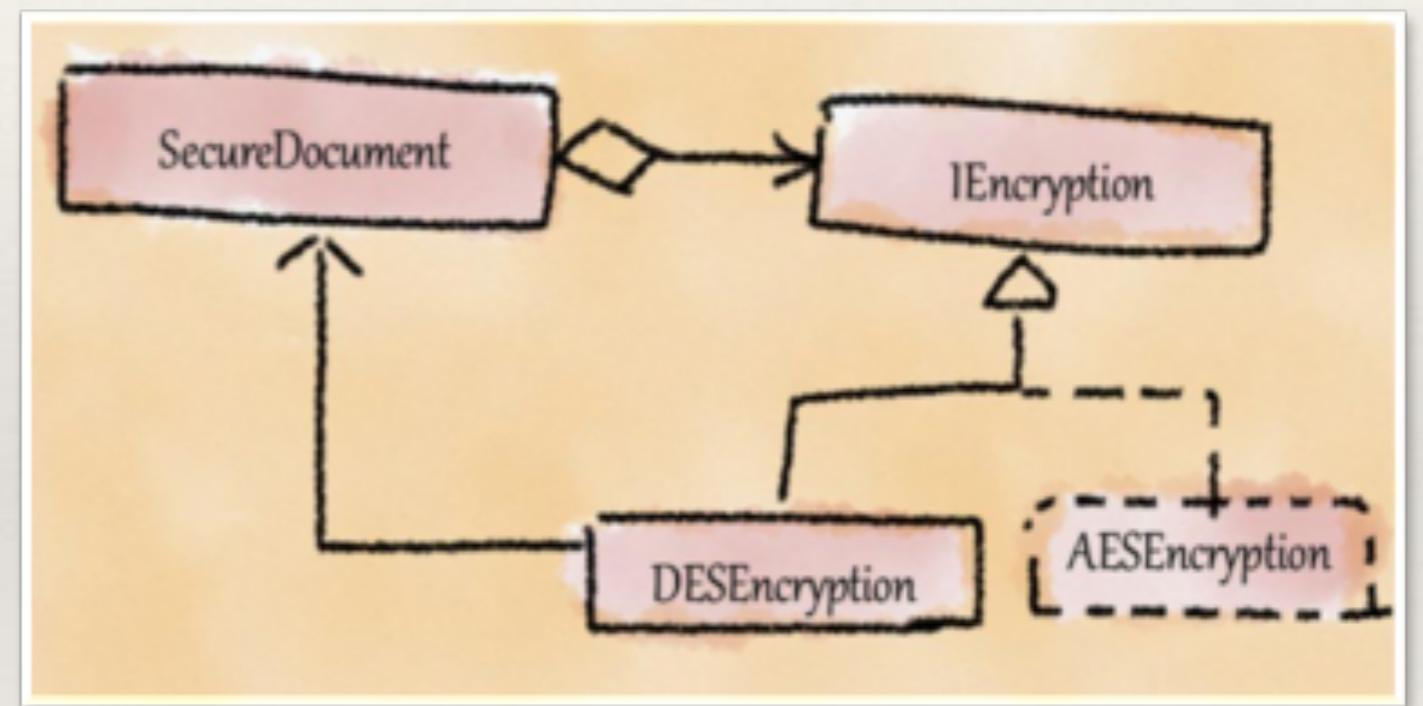
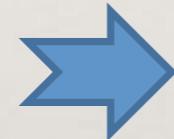
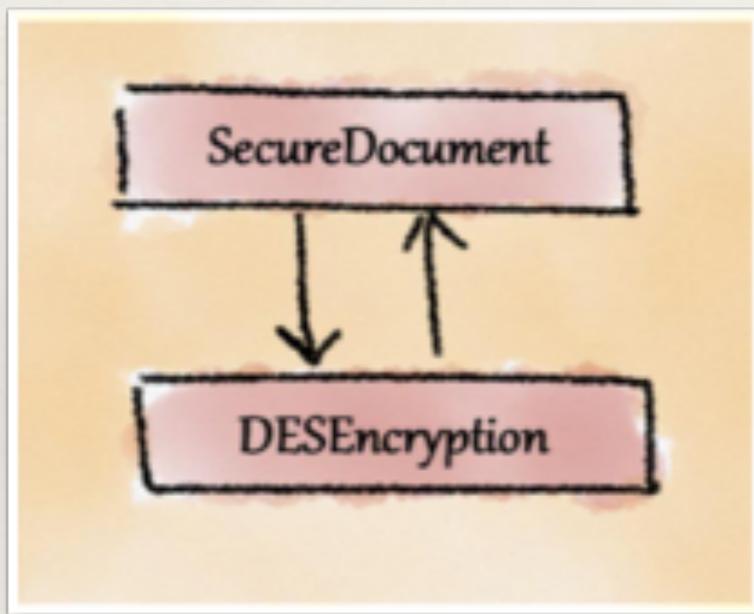
# Suggested refactoring for this smell



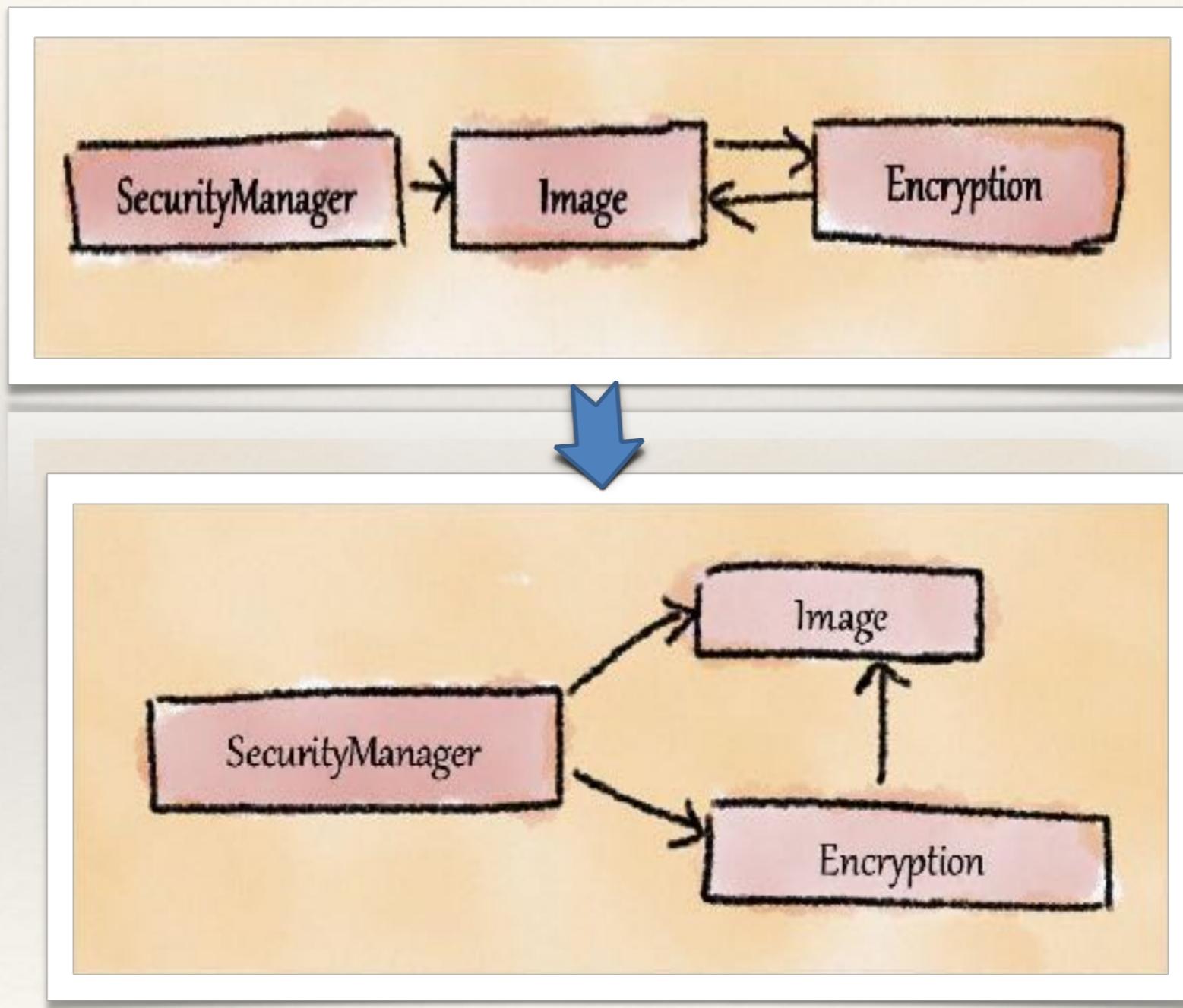
# Suggested refactoring for this smell



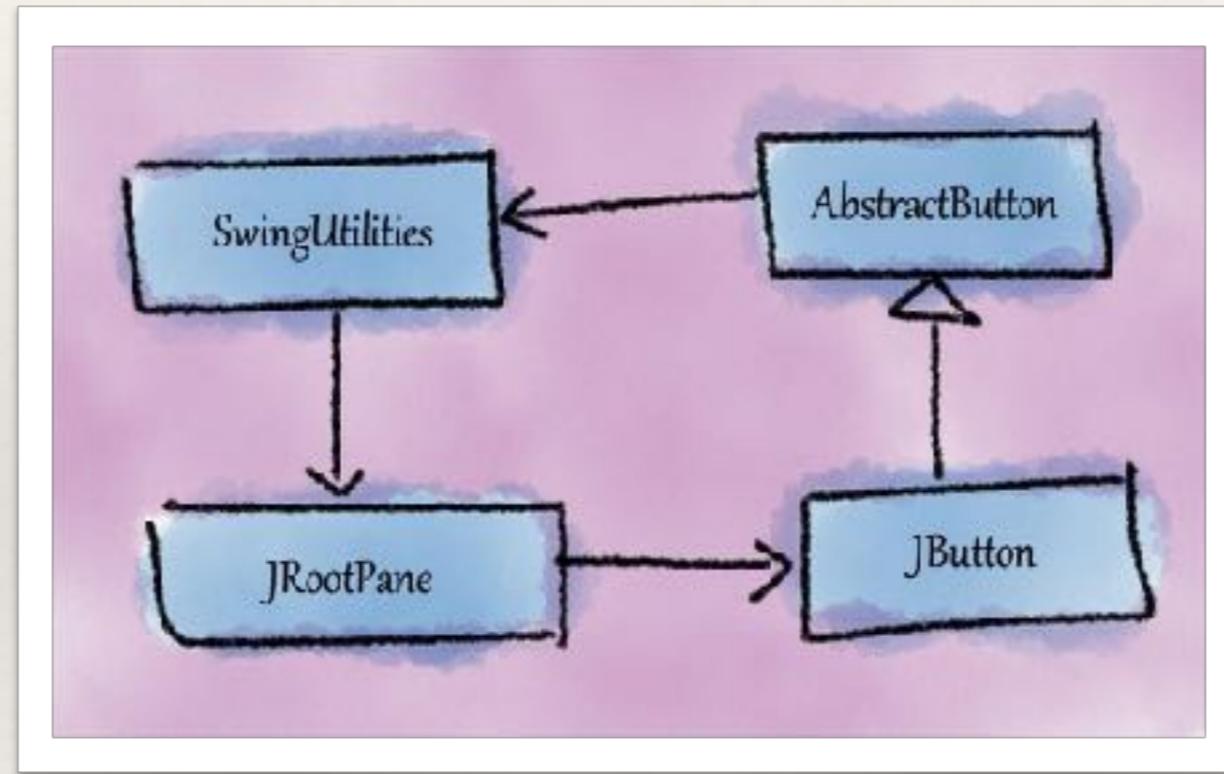
# Suggested refactoring for this smell



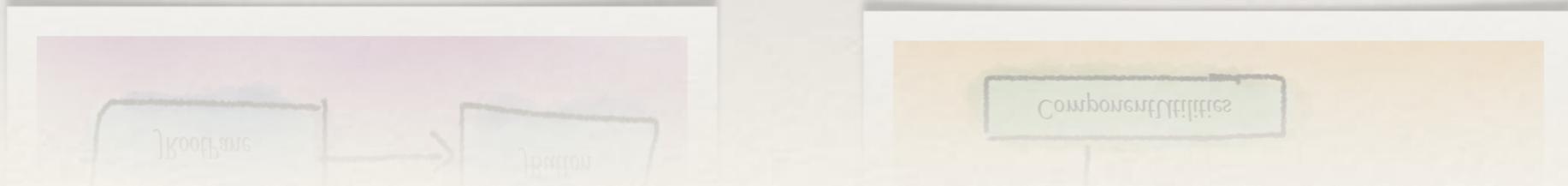
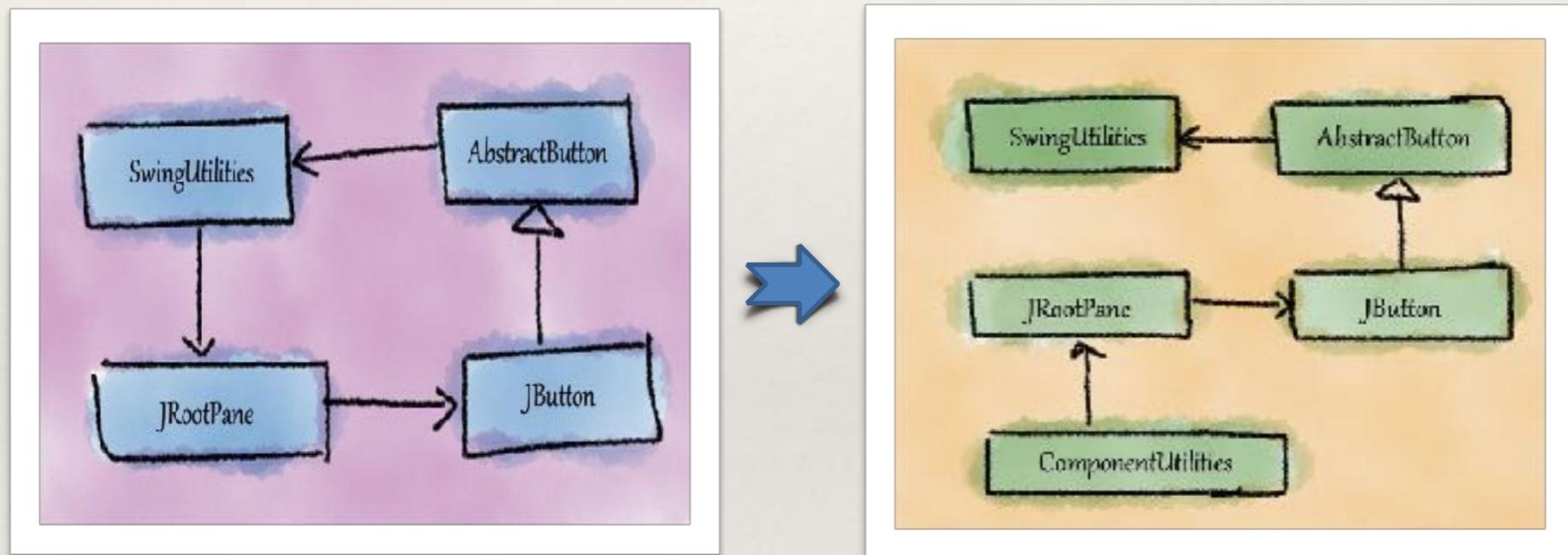
# Suggested refactoring for this smell



## WHAT'S THAT S M E L L?



# Suggested refactoring for this smell



# Applying DIP in OO Design

Use references to interfaces / abstract classes as fields members, as argument types and return types

Do not derive from concrete classes

Use creational patterns such as factory method and abstract factory for instantiation

Do not have any references from base classes to its derived classes

---

# 3 principles behind patterns

---

Program to an interface, not to an implementation

---

Favor object composition over inheritance

---

Encapsulate what varies

# Cover key patterns through examples



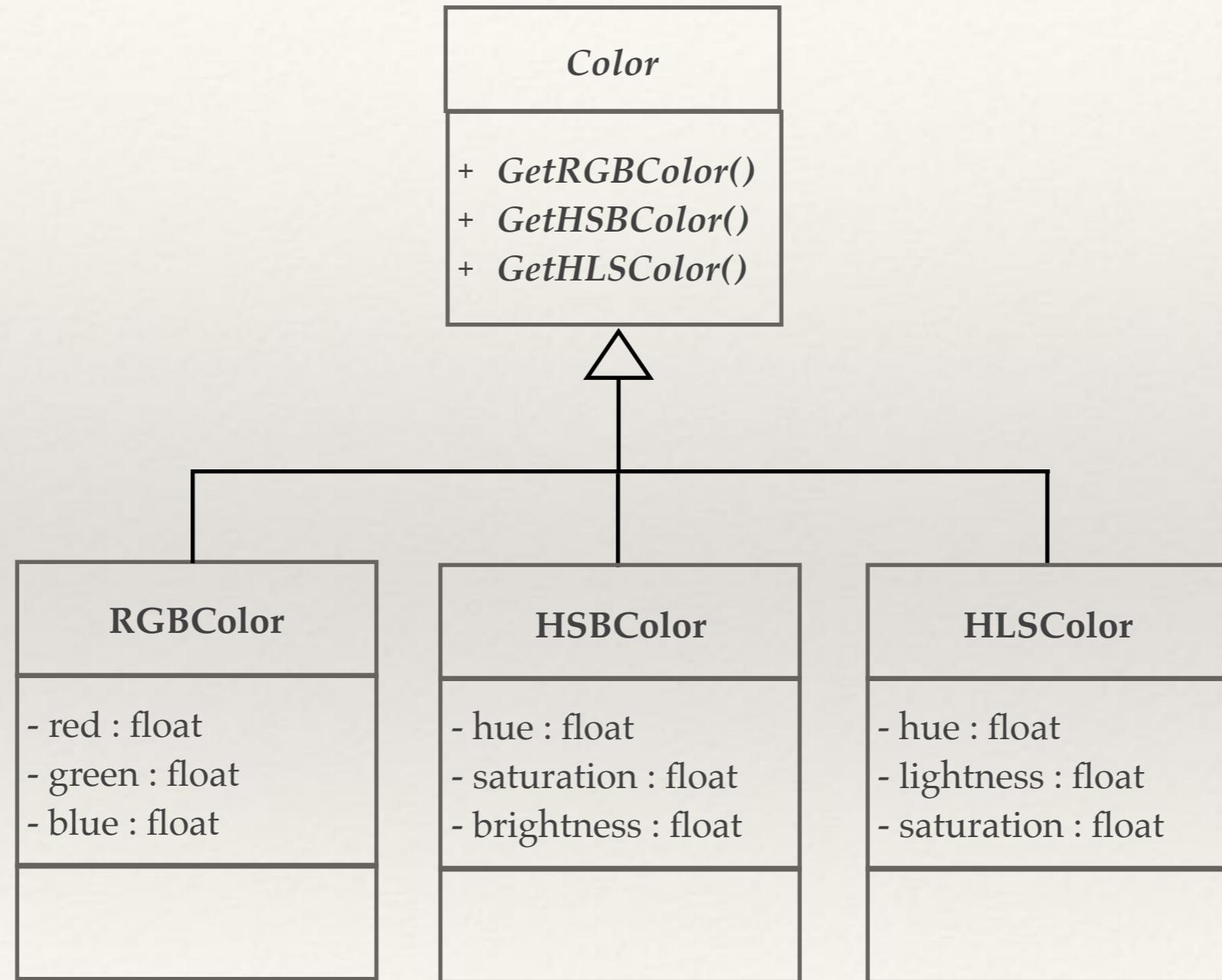
It is not about the number of patterns you know, but how well you understand “why, when, where, and how” to apply them effectively

# Scenario

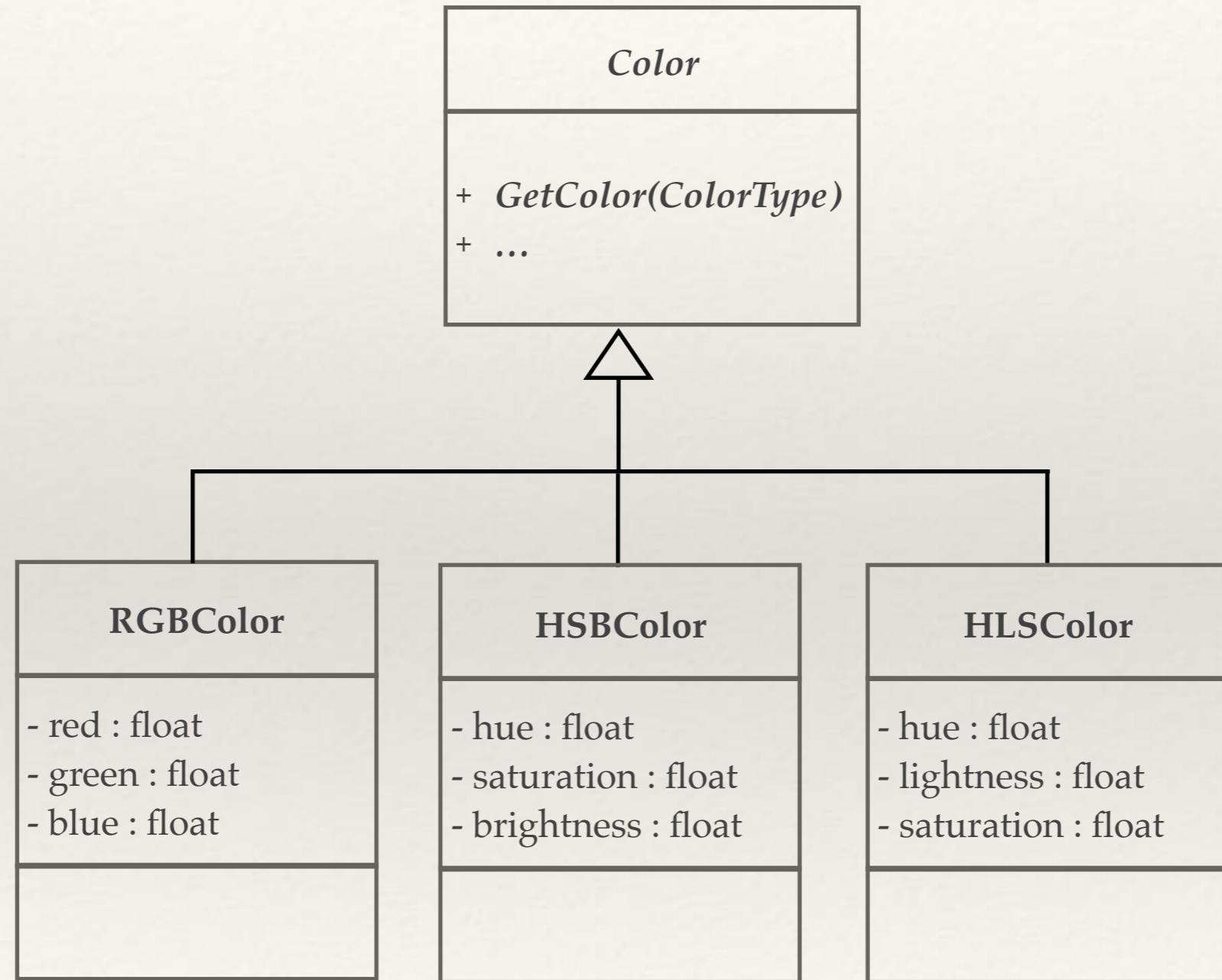
- Assume that you need to support different Color schemes in your software
  - RGB (Red, Green, Blue), HSB (Hue, Saturation, Brightness), and HLS (Hue, Lightness, and Saturation) schemes
- Overloading constructors and differentiating them using enums can become confusing
- What could be a better design?

```
enum ColorScheme { RGB, HSB, HLS, CMYK }
class Color {
    private float red, green, blue;           // for supporting RGB scheme
    private float hue1, saturation1, brightness1; // for supporting HSB scheme
    private float hue2, lightness2, saturation2; // for supporting HLS scheme
    public Color(float arg1, float arg2, float arg3, ColorScheme cs) {
        switch (cs) {
            // initialize arg1, arg2, and arg3 based on ColorScheme value
        }
    }
}
```

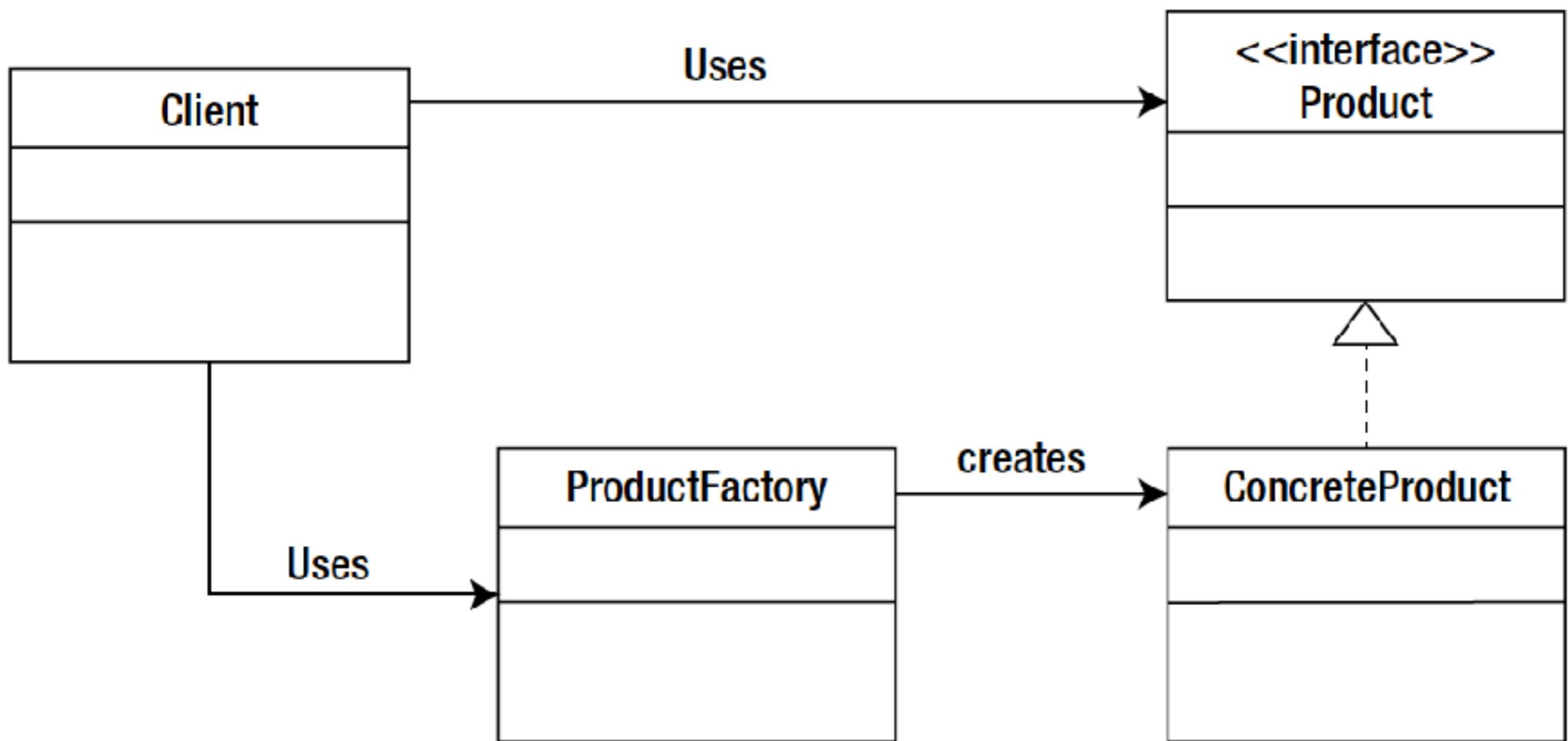
# A solution using Factory Method pattern



# A solution using Factory Method pattern



# Factory Method pattern: Structure



# Factory method pattern: Discussion

Define an interface for creating an object, but let subclasses decide which class to instantiate. Factory method lets a class defer instantiation to subclasses.

- ❖ A class cannot anticipate the class of objects it must create
- ❖ A class wants its subclasses to specify the objects it creates



- ❖ Delegate the responsibility to one of the several helper subclasses
- ❖ Also, localize the knowledge of which subclass is the delegate

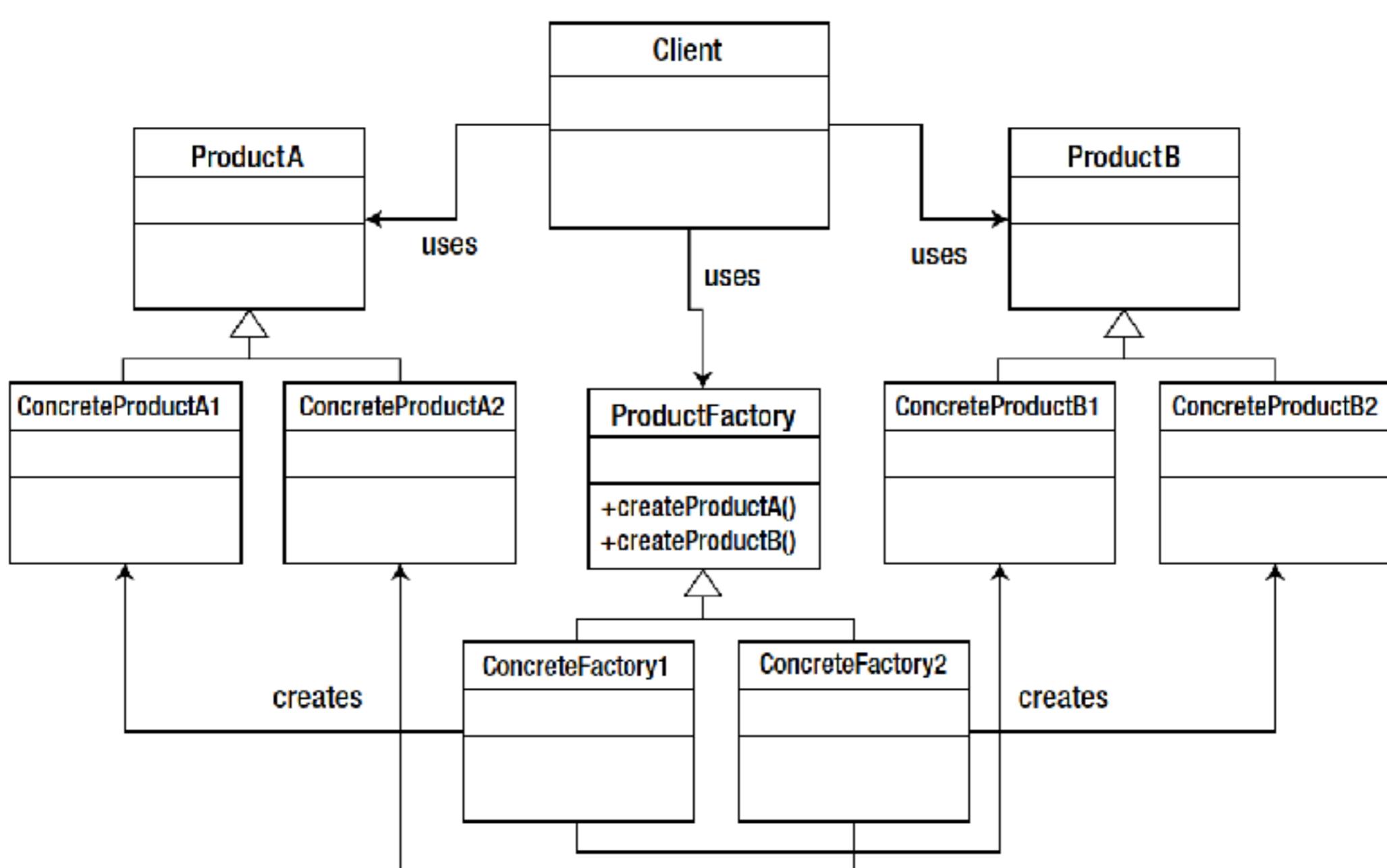
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# Factory method: Java library example

---

```
class SimpleThreadFactory implements ThreadFactory {  
    public Thread newThread(Runnable r) {  
        return new Thread(r);  
    }  
}
```

# Abstract Factory pattern



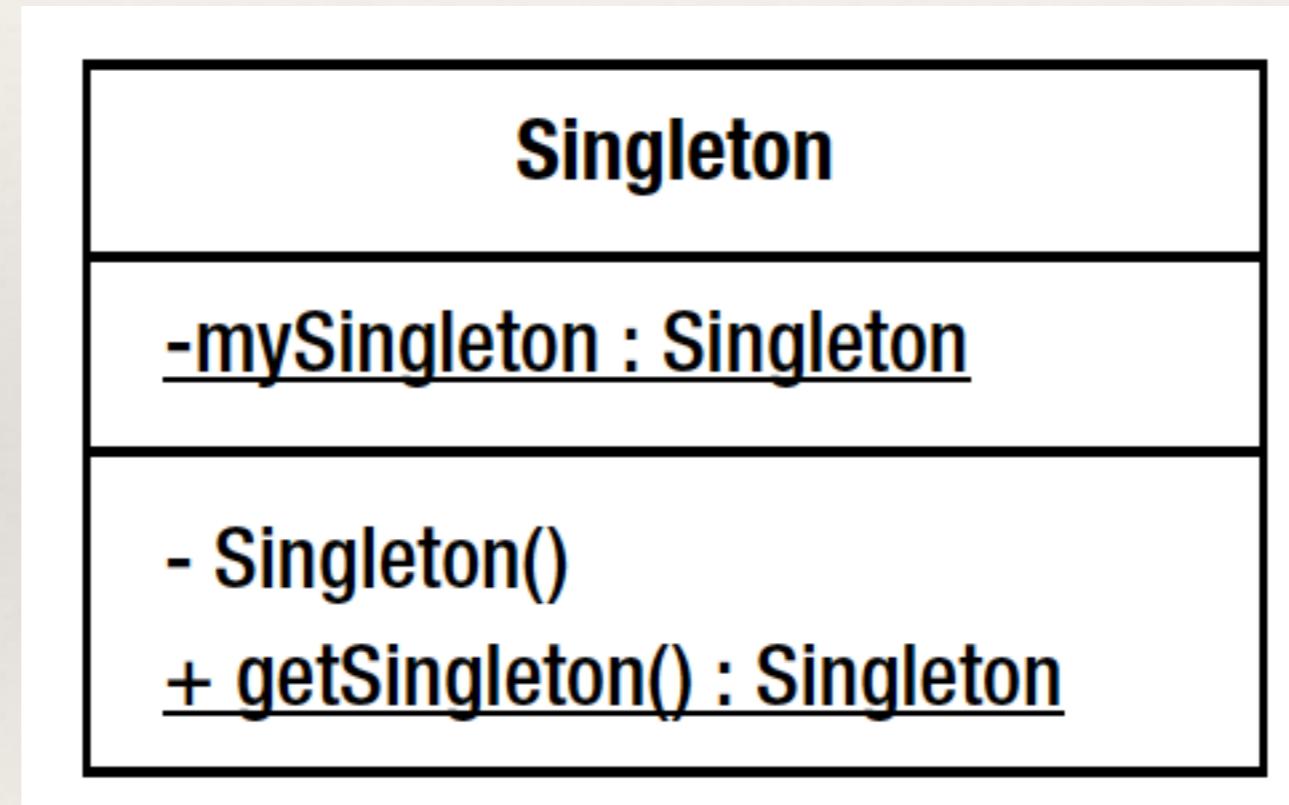
---

# Abstract Factory in JDK

---

- XMLFactory  
(returns XPathFactory, SchemaFactory, SAXParserFactory, etc)

# Singleton pattern



# Singleton pattern

```
// Logger class must be instantiated only once in the application; it is to ensure that the
// whole of the application makes use of that same logger instance
public class Logger {
    // declare the constructor private to prevent clients
    // from instantiating an object of this class directly
    private Logger() { }
    private static Logger myInstance; // by default, this field is initialized to null
    // the static method to be used by clients to get the instance of the Logger class
    public static Logger getInstance() {
        if(myInstance == null) {
            // this is the first time this method is called, and that's why myInstance is null
            myInstance = new Logger();
        }
        // return the same object reference any time and every time getInstance is called
        return myInstance;
    }
    public void log(String s) {
        // a trivial implementation of log where we pass the string to be logged to console
        System.err.println(s);
    }
}
```

# Singleton pattern

```
public class ThreadsafeLogger {  
    private ThreadsafeLogger() {  
        // private constructor  
    }  
    public static ThreadsafeLogger myInstance;  
    public static class LoggerHolder {  
        public static ThreadsafeLogger logger = new ThreadsafeLogger();  
    }  
    public static ThreadsafeLogger getInstance() {  
        return LoggerHolder.logger;  
    }  
    public void log(String s) {  
        // log implementation  
        System.err.println(s);  
    }  
}
```

---

# Singleton pattern in JDK

---

- ❖ `java.lang.Runtime`
- ❖ `java.lang.System`

# Scenario

- Assume that you have a Locale class constructor that takes many “optional arguments”
- Constraint: Only certain variants are allowed - you need to “disallow” inappropriate combinations(e.g., invalid combination of country and variant) by throwing IllformedLocaleException.
  - Overloading constructors will result in “too many constructors”
- How will you design a solution for this?

```
public Locale (String language,          // e.g. "en" for English
              String script,           // e.g., "Arab" for Arabic
              String country,          // e.g., "us" for United States
              String variant,          // e.g., "TH" for Thai
              LocaleExtensions extensions) // e.g., "ca-buddhist" for Thai Buddhist Calendar
```

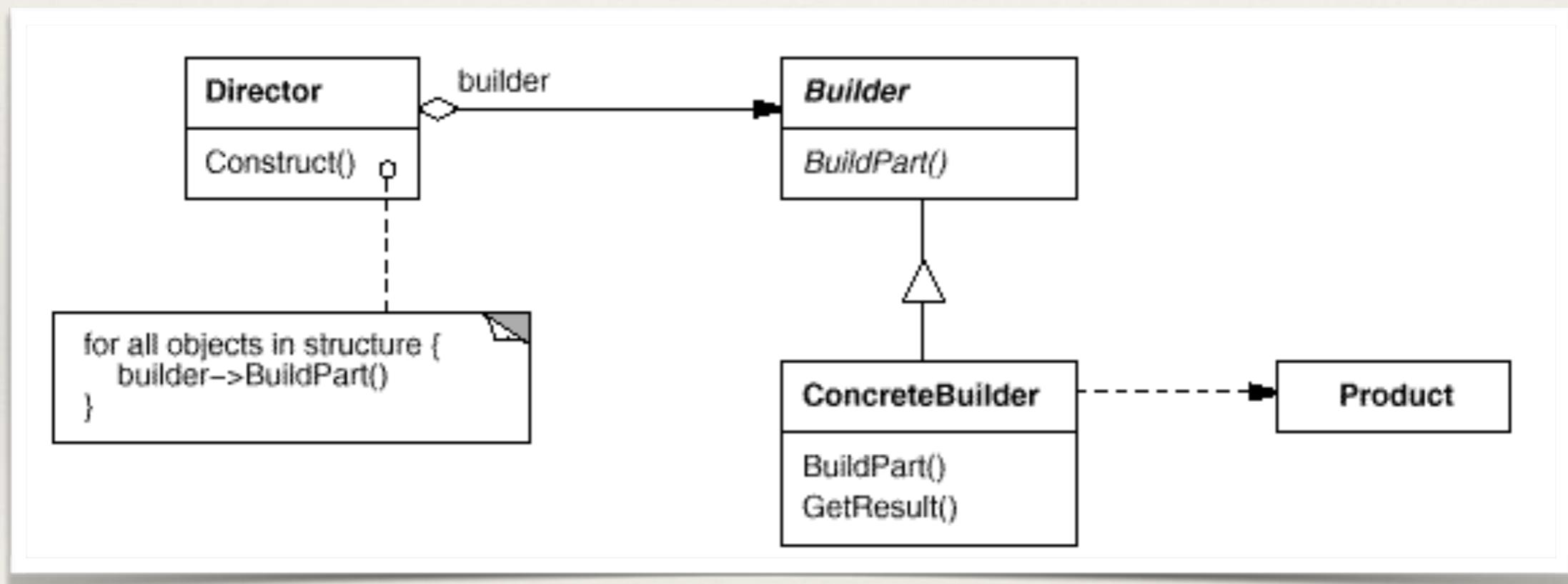
# Recommended solution

- Create a Locale Builder that “builds” and returns an object step-by-step
- Validation will be performed by the individual set methods
- The build() method will return the “built” object

```
Locale aLocale =
```

```
    new Locale.Builder()  
        .setLanguage("sr")  
        .setScript("Latn")  
        .setRegion("RS")  
        .build();
```

# Builder pattern: structure



# Builder pattern: Discussion

Separate the construction of a complex object from its representation so that the same construction process can create different representations.

- ❖ Creating or assembling a complex object can be tedious



- ❖ Make the algorithm for creating a complex object independent of parts that make up the object and how they are assembled
- ❖ The construction process allows different representations for the object that is constructed

---

# Most common example: StringBuilder

---

```
StringBuilder builder = new StringBuilder(64);
if (scheme != null) {
    builder.append(scheme);
    builder.append(':');
}
if (ssp != null) {
    builder.append(ssp);
}
return builder.toString();
```

# Builders common for complex classes

---

```
Calendar.Builder b = new Calendar.Builder();
Calendar calendar = b
    .set(YEAR, 2003)
    .set(MONTH, APRIL)
    .set(DATE, 6)
    .set(HOUR, 15)
    .set(MINUTE, 45)
    .set(SECOND, 22)
    .setTimeZone(TimeZone.getDefault())
    .build();

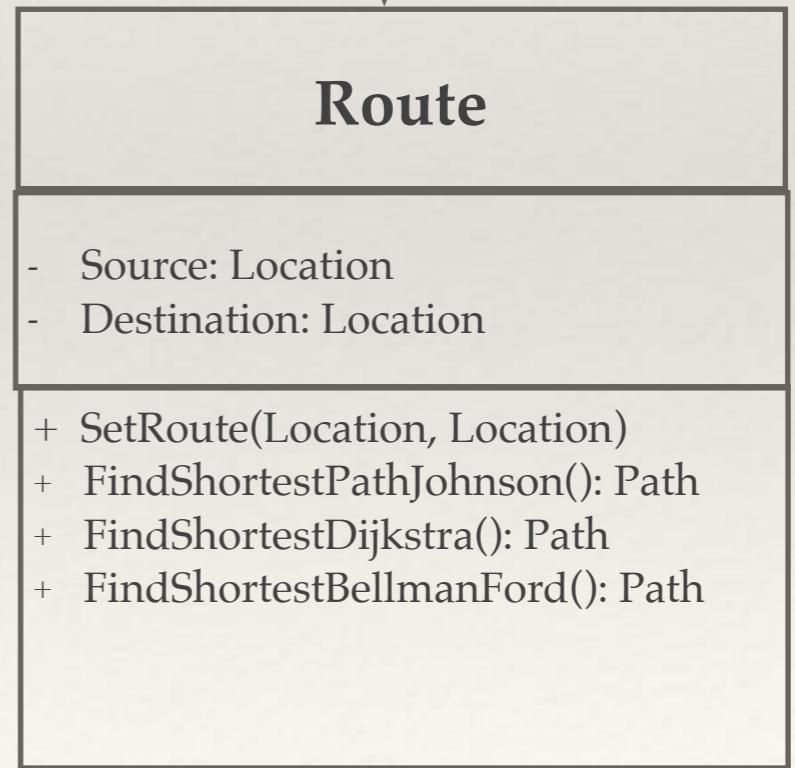
System.out.println(calendar);
```

# Scenario

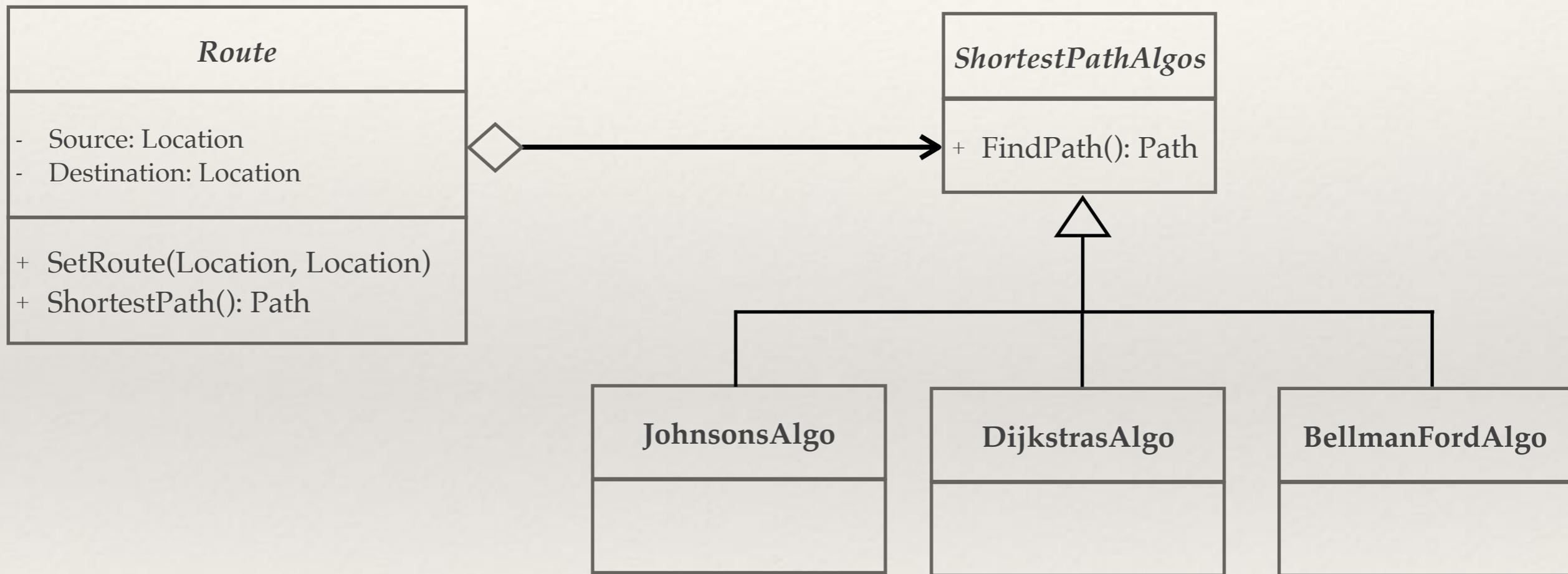
- ❖ Consider a Route class in an application like Google Maps
- ❖ For finding shortest path from source to destination, many algorithms can be used
- ❖ The problem is that these algorithms get embedded into Route class and cannot be reused easily (smell!)

How will you refactor such that

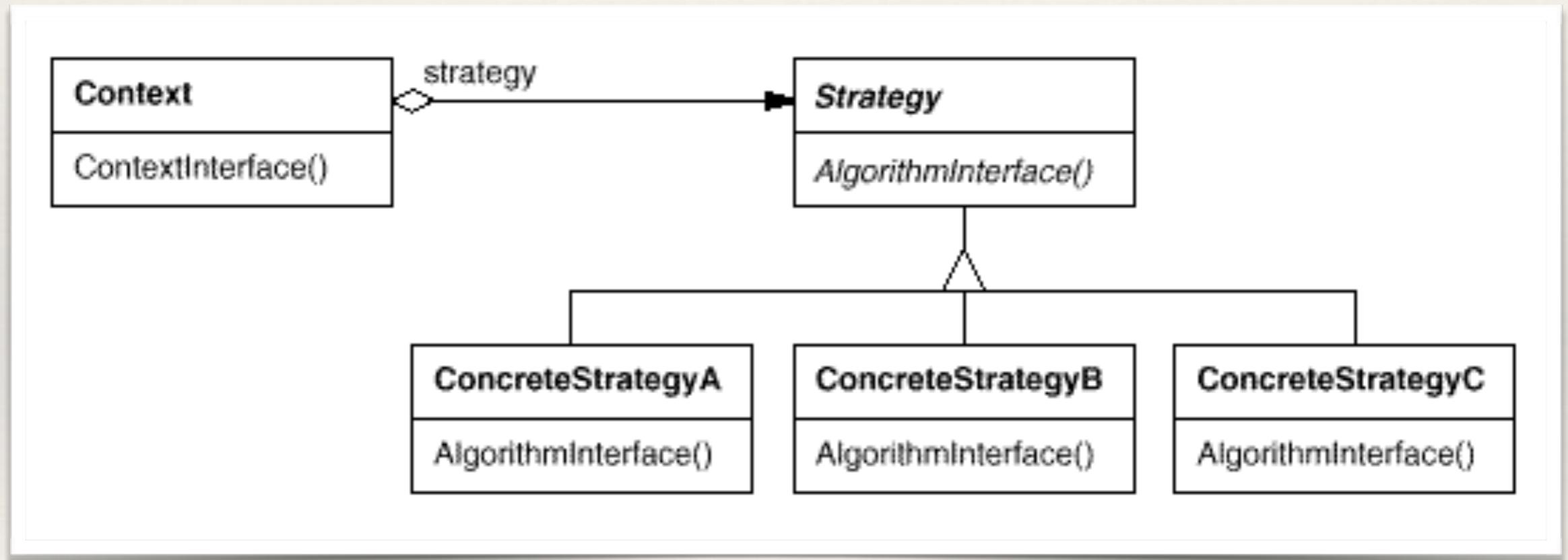
- a) Support for shortest path algorithm can be added easily?
- b) Separate path finding logic from dealing with location information.



# How about this solution?



# You're right: Its Strategy pattern!



# Strategy pattern: Discussion

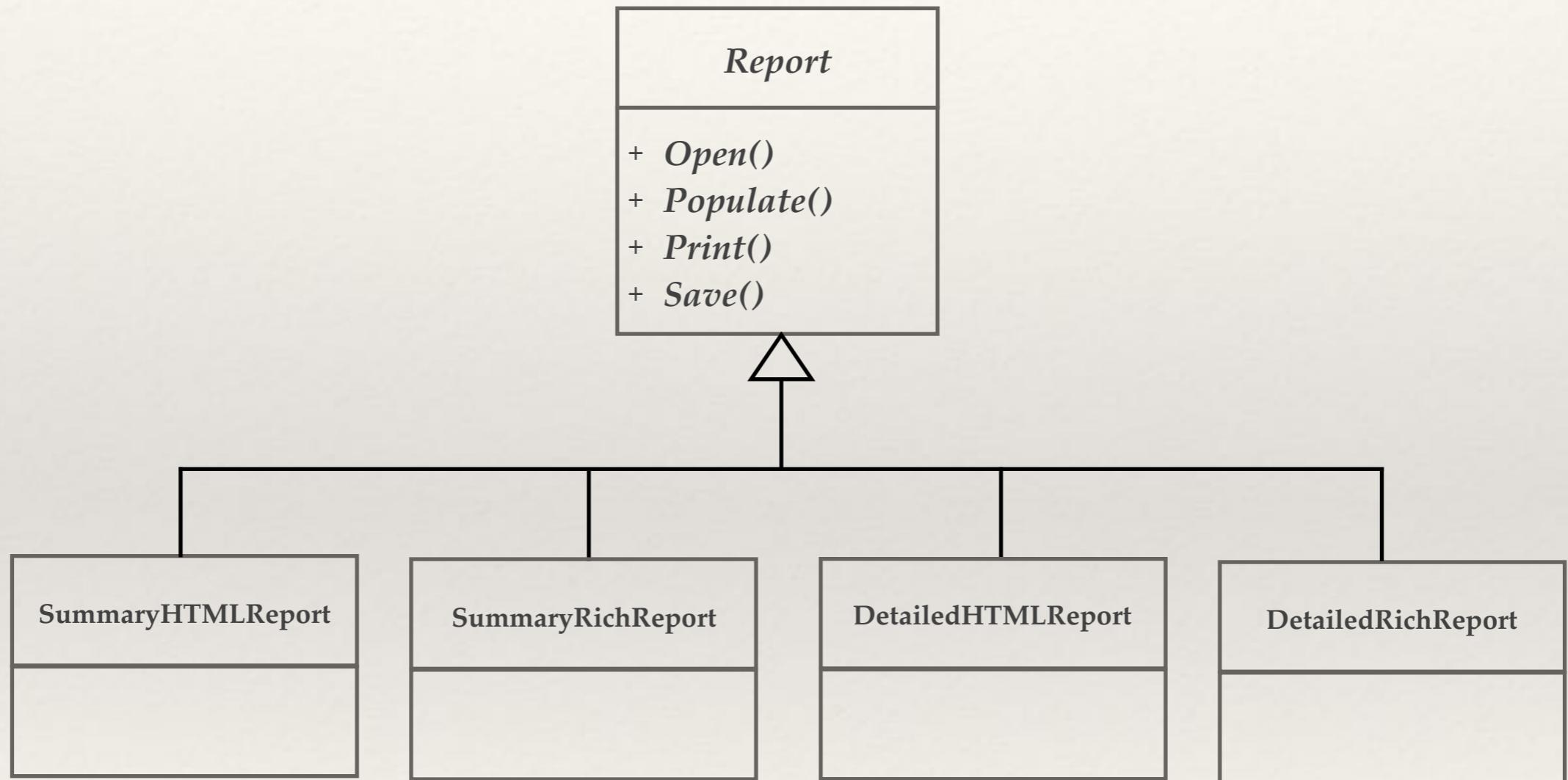
Defines a family of algorithms, encapsulates each one, and makes them interchangeable. Strategy lets the algorithm vary independently from clients that use it

- ❖ Useful when there is a set of related algorithms and a client object needs to be able to dynamically pick and choose an algorithm that suits its current need

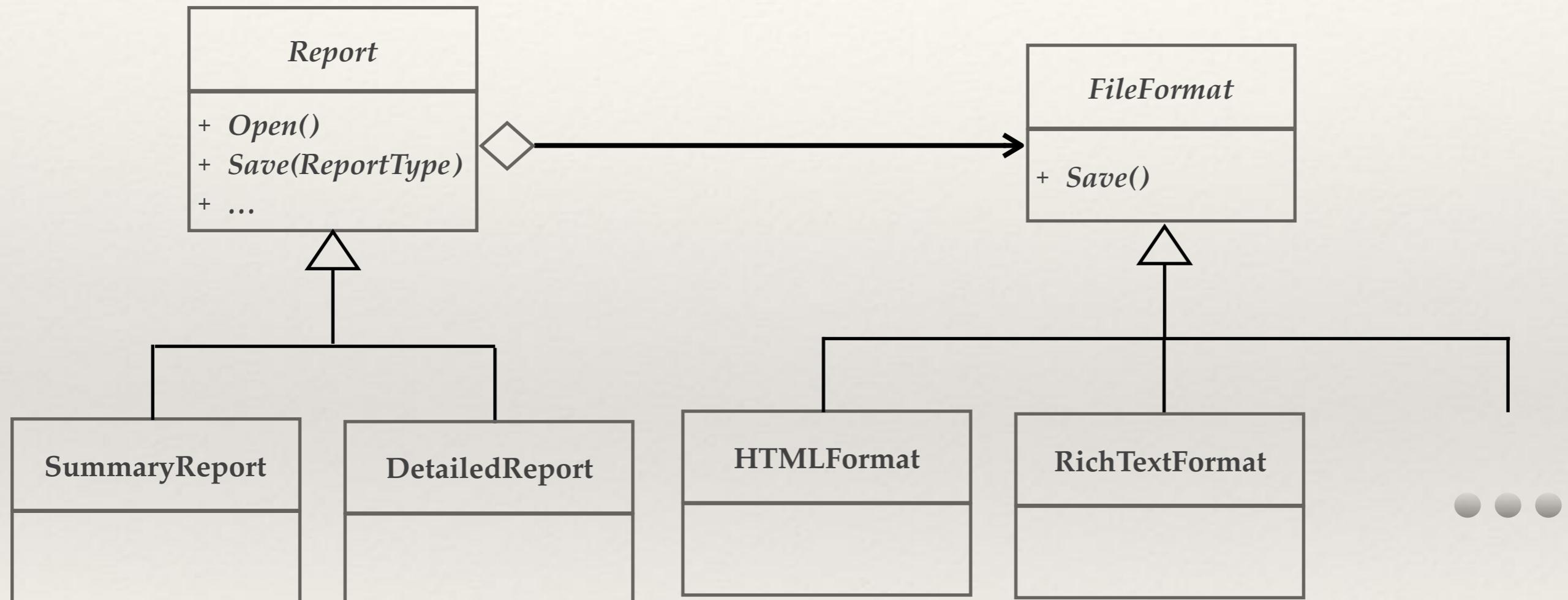


- ❖ The implementation of each of the algorithms is kept in a separate class referred to as a strategy.
- ❖ An object that uses a Strategy object is referred to as a context object.
- ❖ Changing the behavior of a Context object is a matter of changing its Strategy object to the one that implements the required algorithm

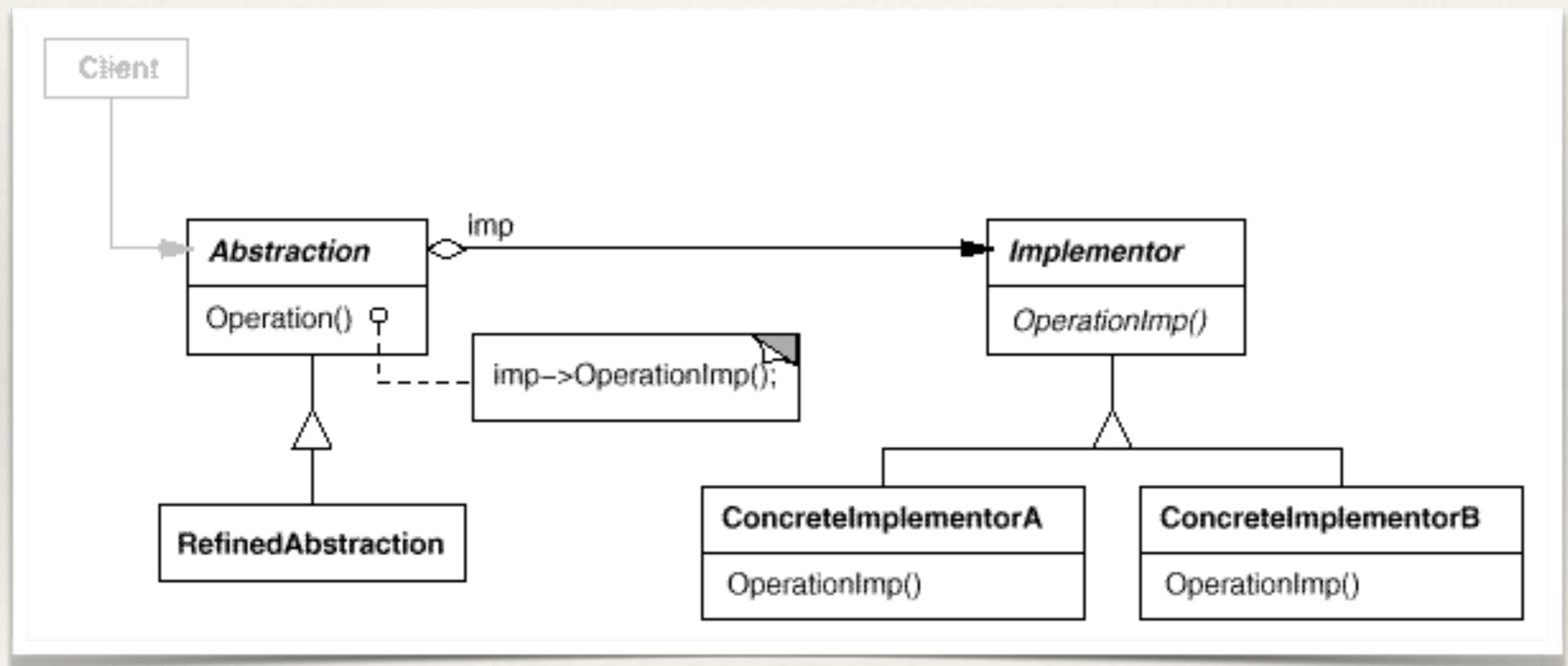
# Scenario



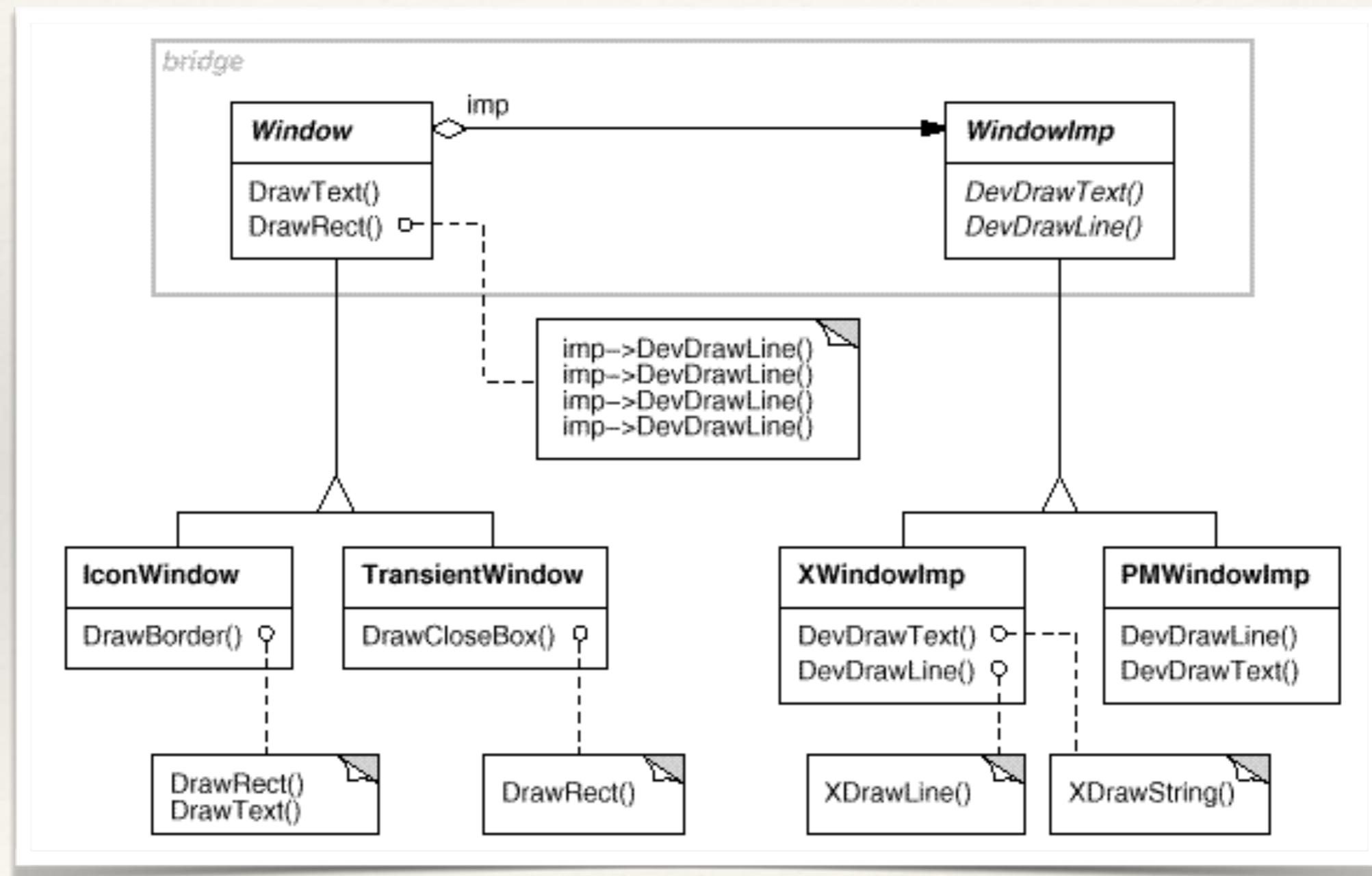
# How about this solution?



# You're right: Its Bridge pattern!



# An example of Bridge pattern



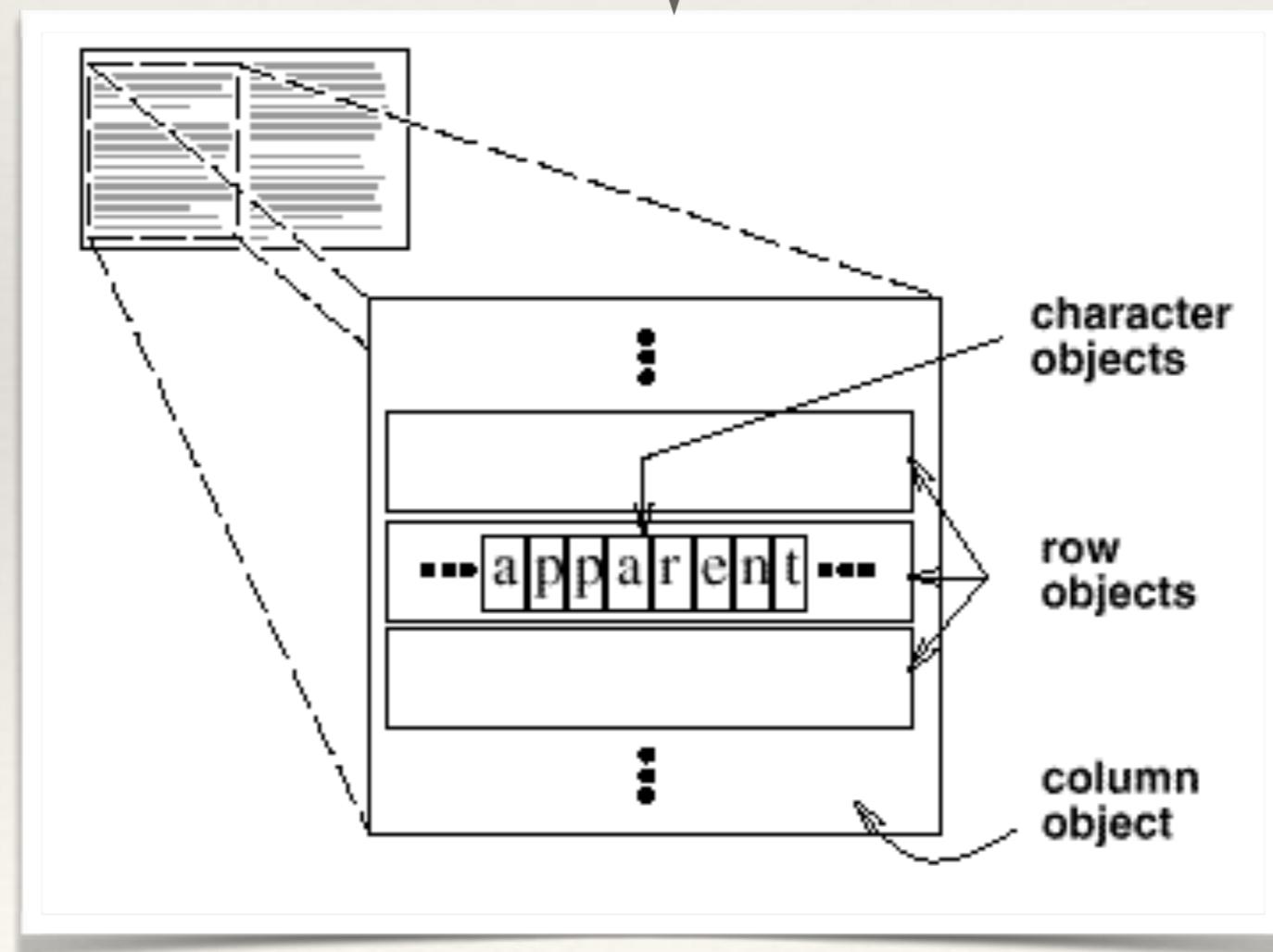
# Bridge pattern: Discussion

Decouples an abstraction from its implementation so that the two can vary independently

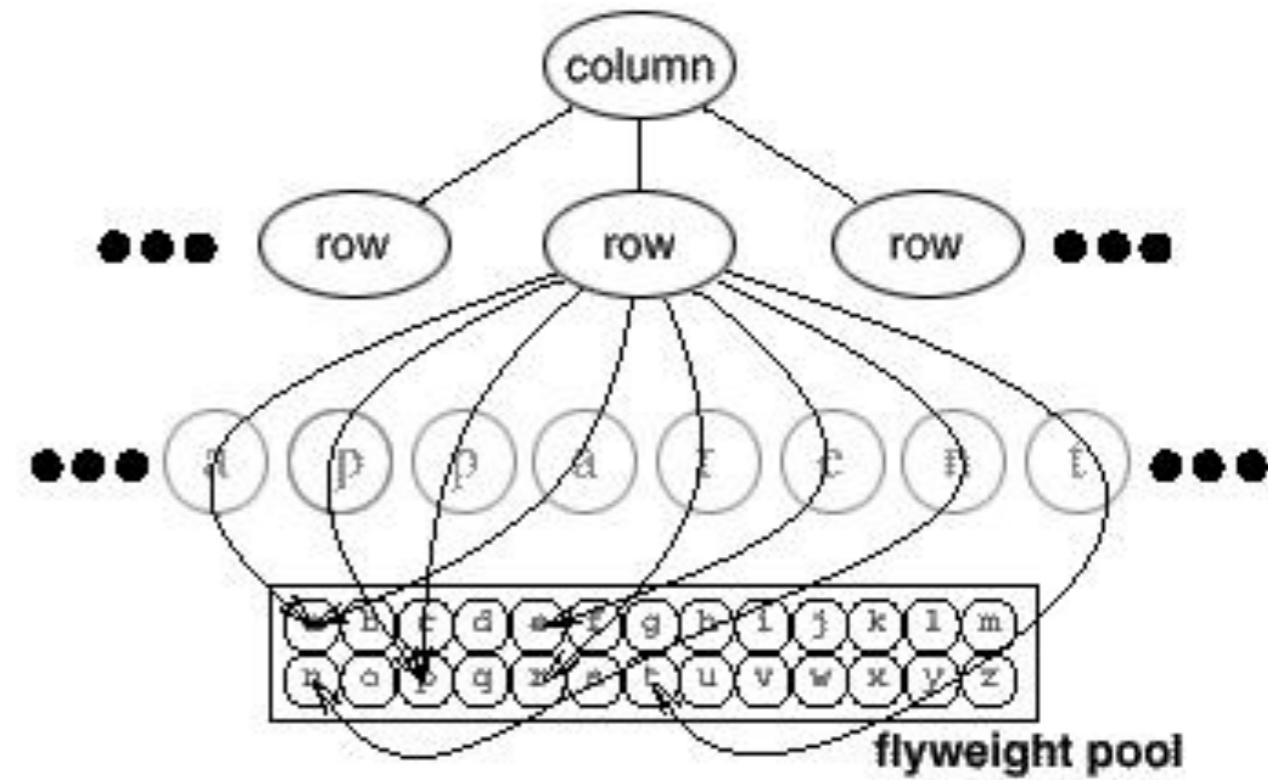
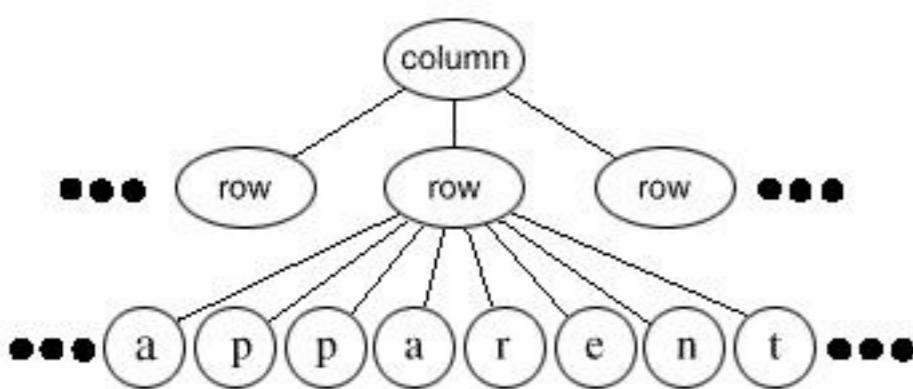
- ❖ An abstraction can be designed as an interface with one or more concrete implementers.
  - ❖ When subclassing the hierarchy, it could lead to an exponential number of subclasses.
  - ❖ And since both the interface and its implementation are closely tied together, they cannot be independently varied without affecting each other
- 
- ❖ Put both the interfaces and the implementations into separate class hierarchies.
  - ❖ The Abstraction maintains an object reference of the Implementer type.
  - ❖ A client application can choose a desired abstraction type from the Abstraction class hierarchy.
  - ❖ The abstraction object can then be configured with an instance of an appropriate implementer from the Implementer class hierarchy

# Scenario

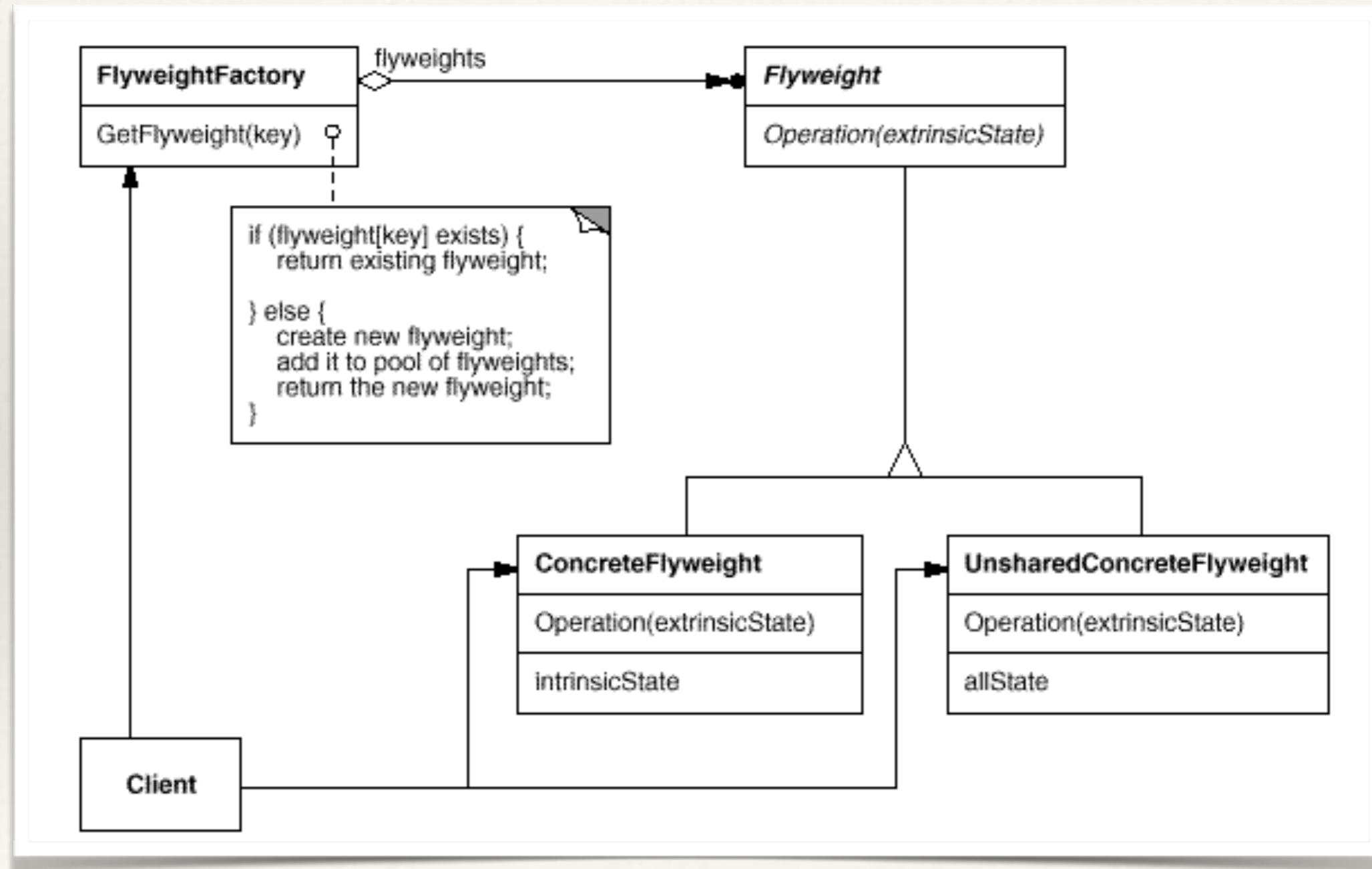
How will you design to share the characters to save space?



# Flyweight as a solution



# Flyweight pattern: structure



# Flyweight pattern: Discussion

Use sharing to support large numbers of fine-grained objects efficiently

- ❖ When an application uses a large number of small objects, it can be expensive
- ❖ How to share objects at granular level without prohibitive cost?



- ❖ When it is possible to share objects (i.e., objects don't depend on identity)
- ❖ When object's value remain the same irrespective of the contexts
  - they can be shared
- ❖ Share the commonly used objects in a pool

---

# How can you “cache” common objects?

---

```
public static Integer valueOf(int i) {  
    // insert your code here to “reuse” the common objects  
  
    return new Integer(i);  
}
```

---

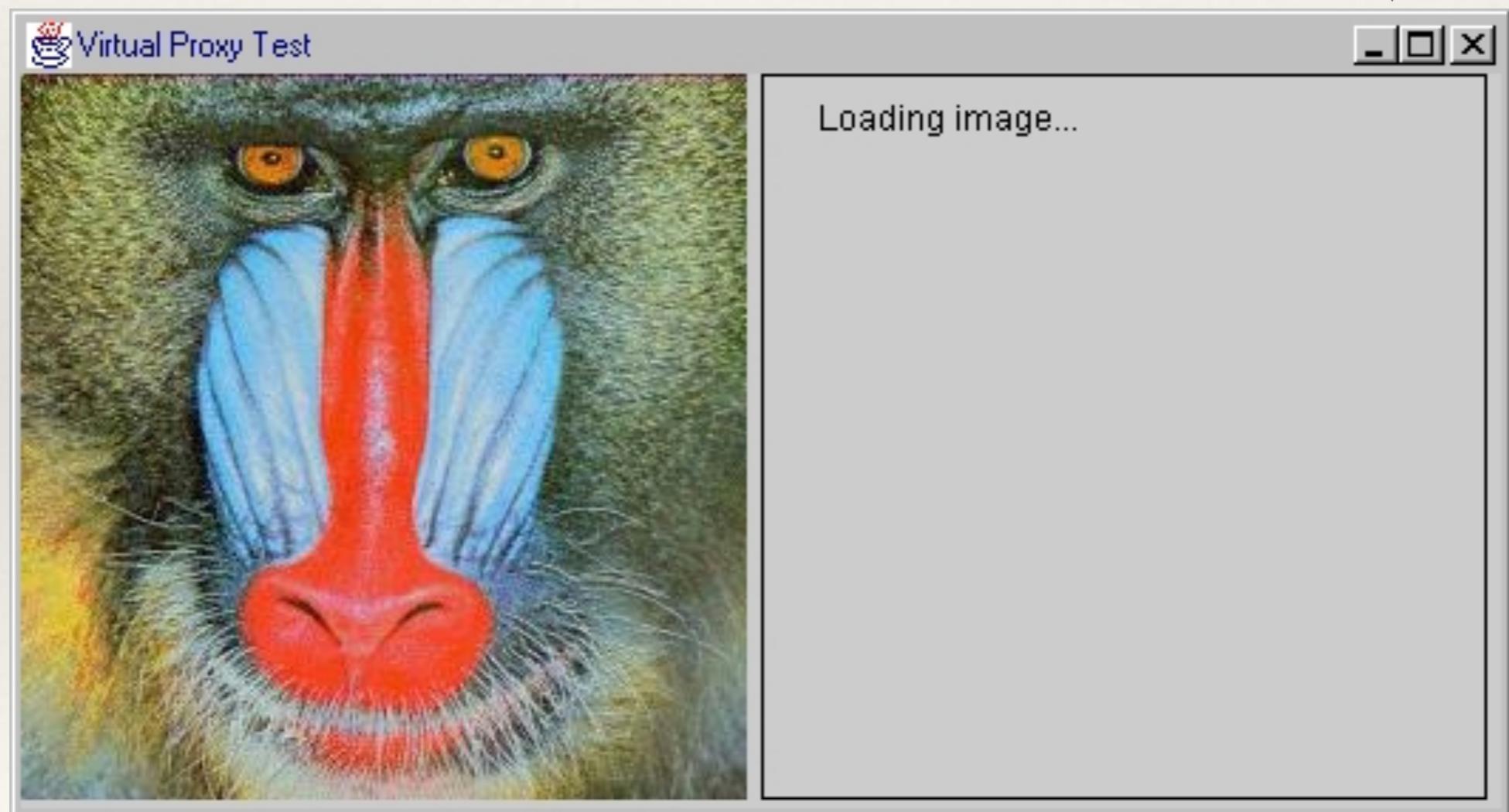
# Simplified flyweight in Java library

---

```
public static Integer valueOf(int i) {  
    if (i >= IntegerCache.low && i <= IntegerCache.high)  
        return IntegerCache.cache[i + (-IntegerCache.low)];  
    return new Integer(i);  
}
```

# Scenario

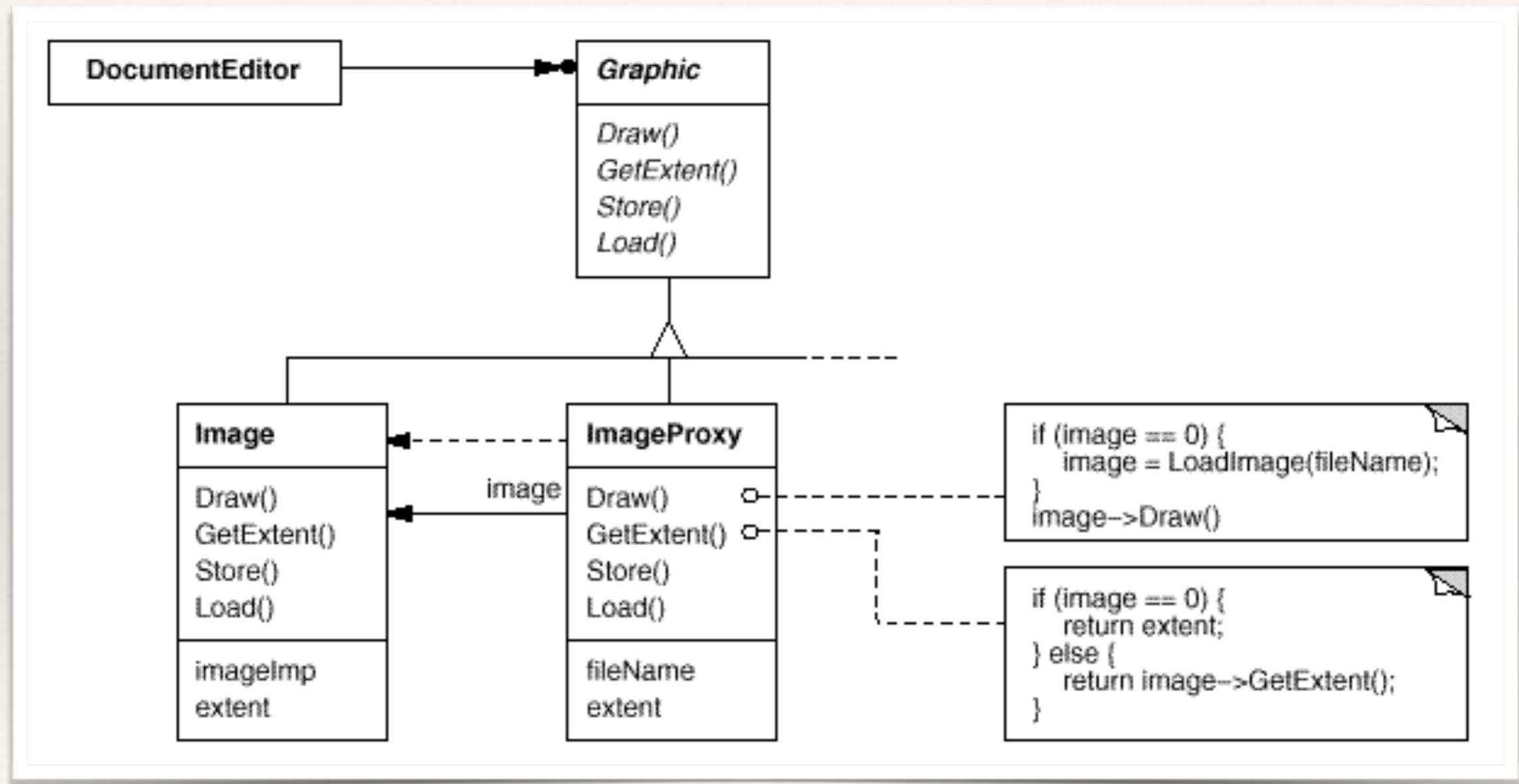
How to load objects like large images efficiently “on-demand?”



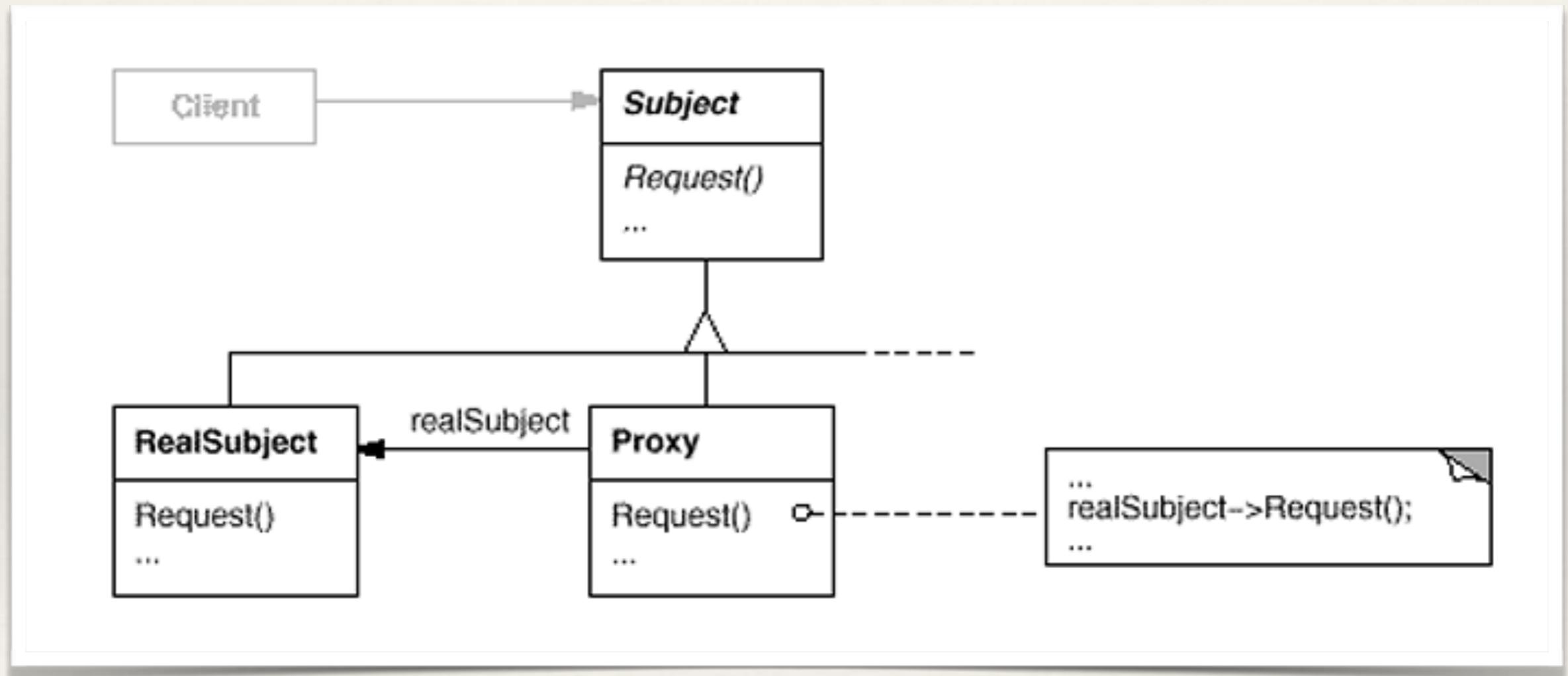
# A solution using Proxy pattern

```
// The proxy's paint() method is overloaded to draw a border
// and a message ("Loading image...") while the image
// loads. After the image has loaded, it is drawn. Notice
// that the proxy does not load the image until it is
// actually needed.
public void paintIcon(final Component c,
                      Graphics g, int x, int y) {
    if(isIconCreated) {
        realIcon.paintIcon(c, g, x, y);
    }
    else {
        g.drawRect(x, y, width-1, height-1);
        g.drawString("Loading image...", x+20, y+20);
        // The icon is created (meaning the image is loaded)
        // on another thread.
        synchronized(this) {
            SwingUtilities.invokeLater(new Runnable() {
                public void run() {
                    try {
                        // Slow down the image-loading process.
                        Thread.currentThread().sleep(2000);
                        // ImageIcon constructor creates the image.
                        realIcon = new ImageIcon(imageName);
                        isIconCreated = true;
                    }
                    catch(InterruptedException ex) {
                        ex.printStackTrace();
                    }
                    // Repaint the icon's component after the
                    // icon has been created.
                    c.repaint();
                }
            });
        }
    }
}
```

# Proxy pattern: example



# Proxy pattern: structure



# Proxy pattern: Discussion

Provide a surrogate or placeholder for another object to control access to it.

- ❖ How to defer the cost of full creation and initialization until we really need it? 
- ❖ When it is possible to share objects
- ❖ When object's value remain the same irrespective of the contexts - they can be shared
- ❖ Share the commonly used objects in a pool

---

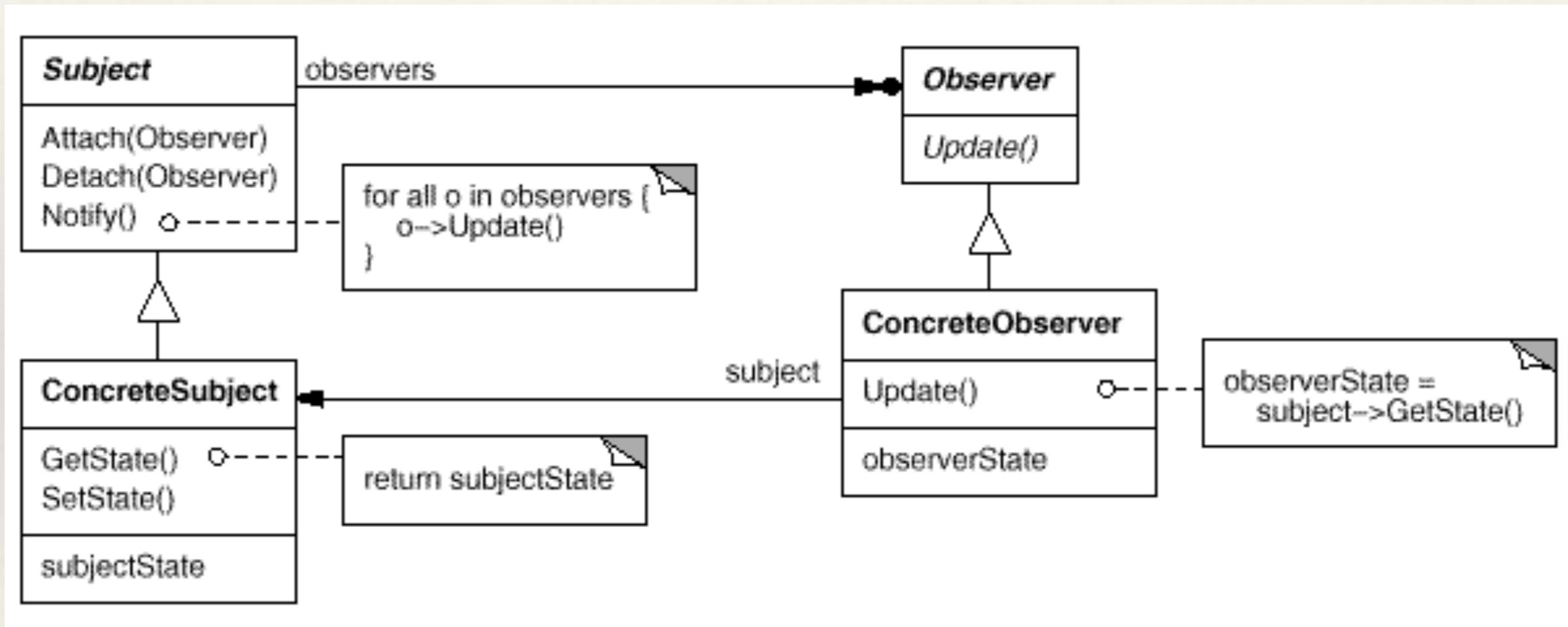
# Scenario

---

In an application similar to MS Paint, assume that a class (say ShapeArchiver) is responsible for archiving information about all the drawn shapes. Similarly, another class (say Canvas) is responsible for displaying all drawn shapes. Whenever any change in shapes takes place, you need to inform these two classes as to the changed information.

So, how you would like to implement this notification?

# Observer pattern



# Scenario

How to exploit:

- a) common code segments?
- b) provide extensibility for supporting other kinds of compressed files (e.g., rar)?

- You have code segments and steps for creating different kinds of compressed files, such as zip file and gzip files. How will you unify the common steps for compressing files and support new compression formats easily?

# zip file creation example

```
import java.util.zip.*;
import java.io.*;

// class ZipTextFile takes the name of a text file as input and creates a zip file
// after compressing that text file.
class ZipTextFile {
    private static final int CHUNK = 1024; // to help copy chunks of 1KB
    public ZipTextFile(String fileName) throws Exception {
        String zipFileName = getZipFileName(fileName);
        OutputStream zipFile = writeZipFile(zipFileName);
        closeZipFile(zipFile);
    }

    private String getZipFileName(String fileName) {
        // name of the zip file is the input file name with the suffix ".zip"
        return fileName + ".zip";
    }

    private OutputStream writeZipFile(String zipFileName) throws Exception {
        byte [] buffer = new byte[CHUNK];
        // these constructors can throw FileNotFoundException
        ZipOutputStream zipFile = new ZipOutputStream(new FileOutputStream(zipFileName));
        FileInputStream fileIn = new FileInputStream(fileName);
        // putNextEntry can throw IOException
        zipFile.putNextEntry(new ZipEntry(fileName));
        int lenRead = 0; // variable to keep track of number of bytes successfully read
        // copy the contents of the input file into the zip file
        while((lenRead = fileIn.read(buffer)) > 0) {
            // both read and write methods can throw IOException
            zipFile.write (buffer, 0, lenRead);
        }
        return zipFile;
    }

    private void closeZipFile(OutputStream zipFile) throws Exception {
        zipFile.flush();
        zipFile.close();
    }

    public static void main(String []args) throws Exception {
        if(args.length == 0) {
            System.out.println("Pass the name of the file in the current directory to be zipped as an argument");
            System.exit(-1);
        }
        new ZipTextFile(args[0]);
    }
}
```

# gzip file creation example

```
import java.util.zip.*;
import java.io.*;

// class GZIPTextFile takes the name of a text file as input and creates a gzip file
// after compressing that text file.
class GZIPTextFile {
    private static final int CHUNK = 1024; // to help copy chunks of 1KB
    public GZIPTextFile(String fileName) throws Exception {
        String gzipFileName = getGZIPFileName(fileName);
        OutputStream gzipFile = writeGZIPFile(gzipFileName);
        closeGZIPFile(gzipFile);
    }

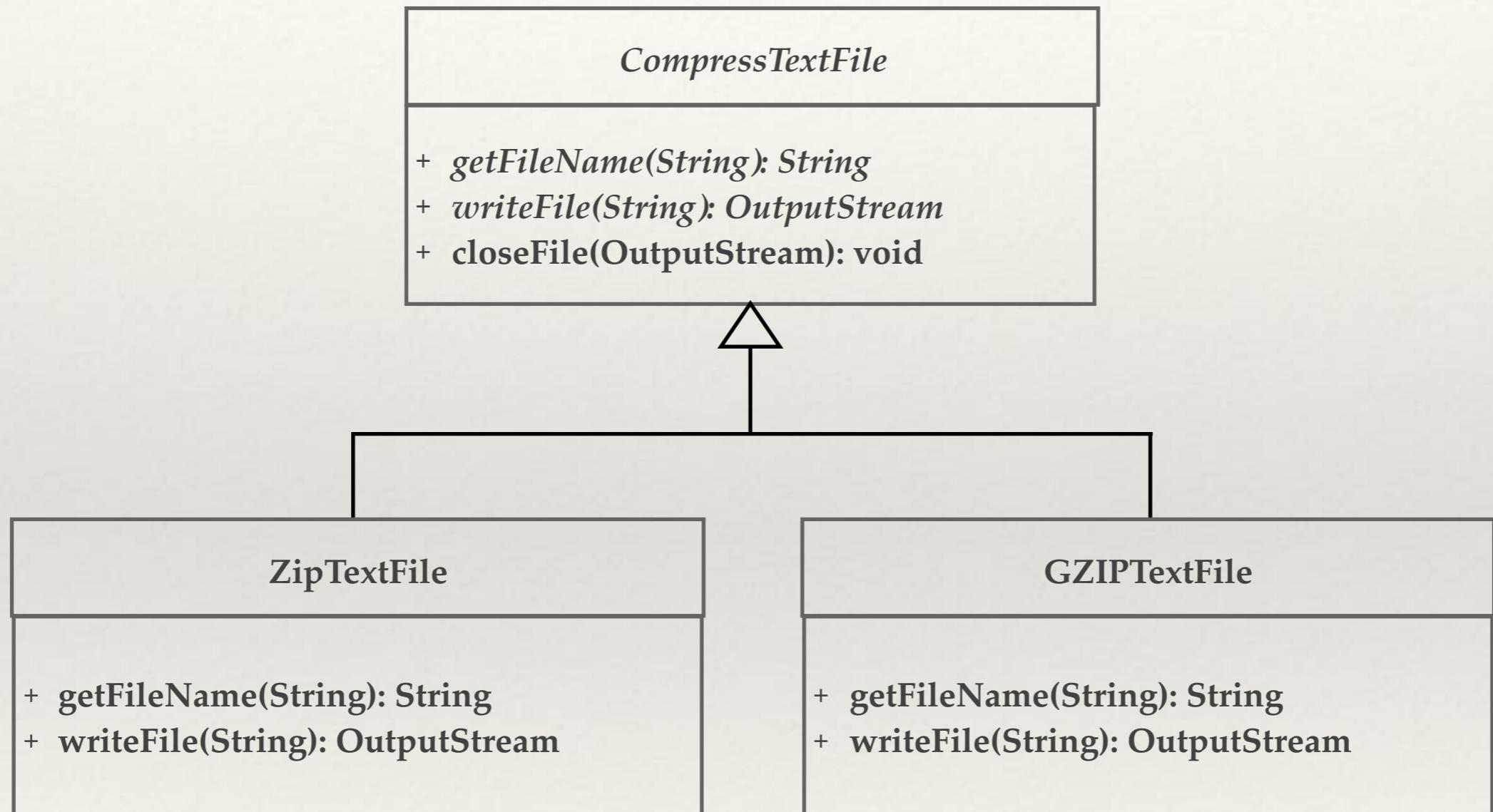
    private String getGZIPFileName(String fileName) {
        // name of the gzip file is the input file name with the suffix ".gzip"
        return fileName + ".gzip";
    }

    private OutputStream writeGZIPFile(String gzipFileName) throws Exception {
        byte [] buffer = new byte[CHUNK];
        // these constructors can throw FileNotFoundException
        GZIPOutputStream gzipFile = new GZIPOutputStream(new FileOutputStream(gzipFileName));
        FileInputStream fileIn = new FileInputStream(gzipFileName);
        int lenRead = 0; // variable to keep track of number of bytes successfully read
        // copy the contents of the input file into the zip file
        while((lenRead = fileIn.read(buffer)) > 0) {
            // both read and write methods can throw IOException
            gzipFile.write (buffer, 0, lenRead);
        }
        return gzipFile;
    }

    private void closeGZIPFile(OutputStream gzipFile) throws Exception {
        gzipFile.flush();
        gzipFile.close();
    }

    public static void main(String []args) throws Exception {
        if(args.length == 0) {
            System.out.println("Pass the name of the file in the current directory to be gzipped as an argument");
            System.exit(-1);
        }
        new GZIPTextFile(args[0]);
    }
}
```

# Refactoring to Template Method



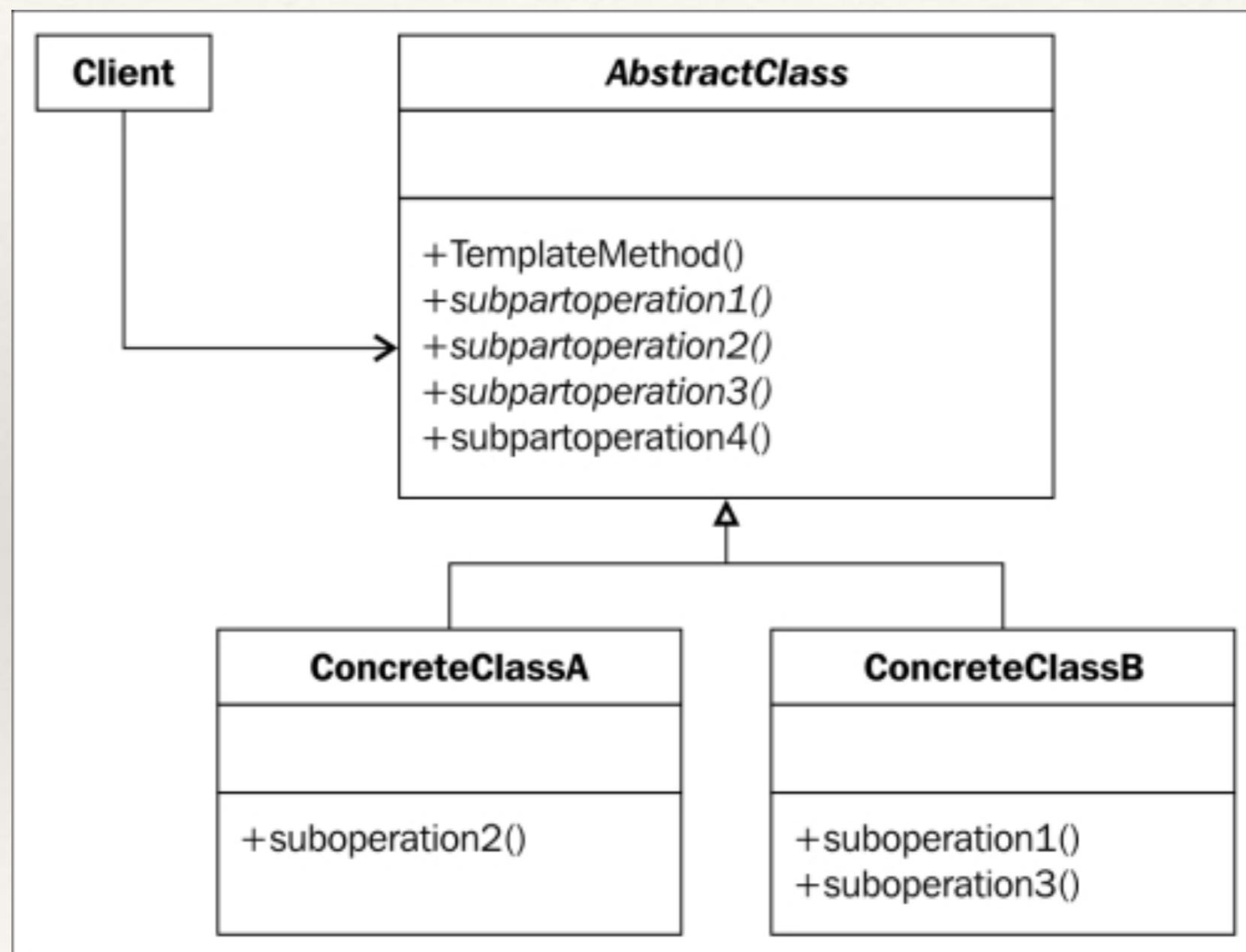
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# Hands-on exercise

---

- ❖ Refactor “ZipTextFile.java” and “GZIPTextFile.java” to use template method design pattern

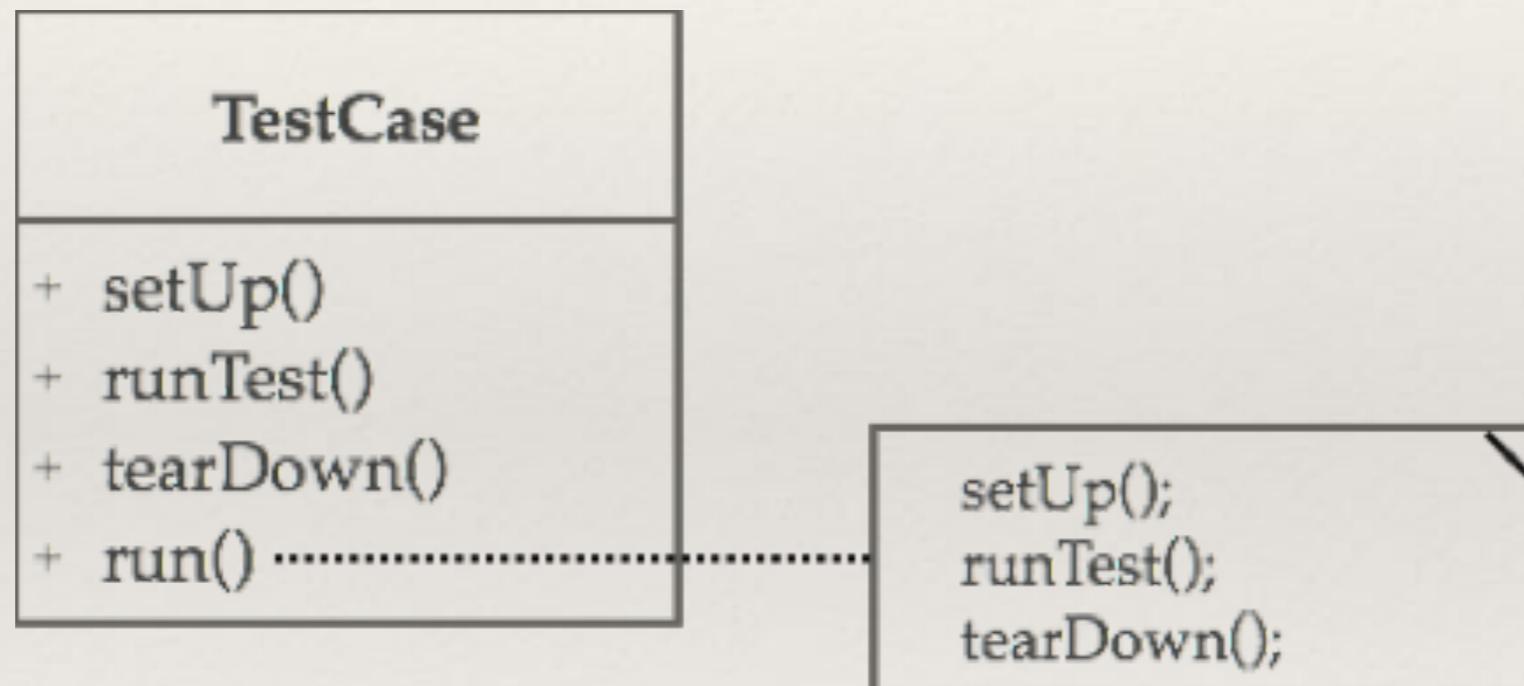
# Template Method: Structure



# Template Method Pattern: Discussion

Define the skeleton of an algorithm in an operation, deferring some steps to subclasses. Template Method lets subclasses redefine certain steps of an algorithm without changing the algorithm's structure.

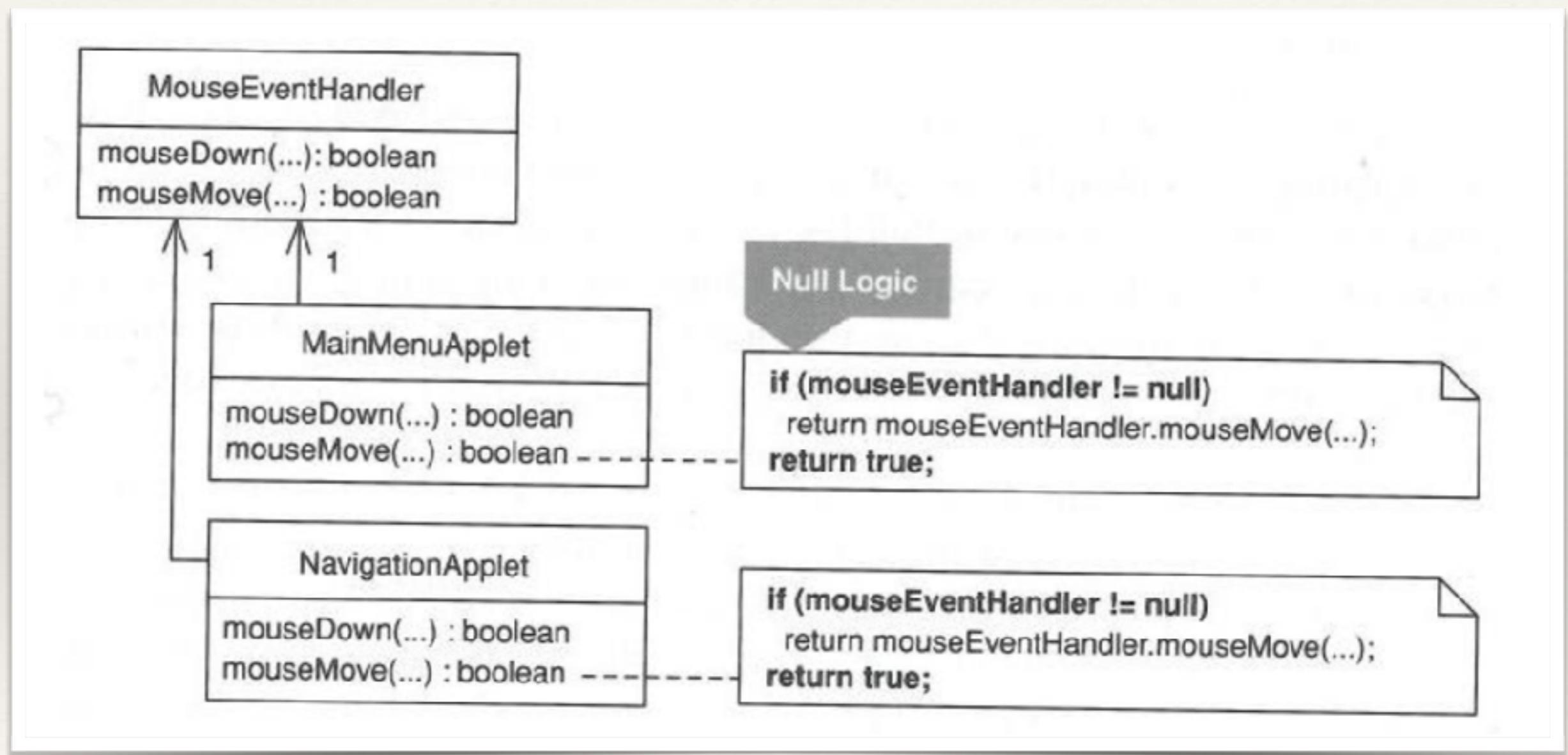
# Template Method in JUnit



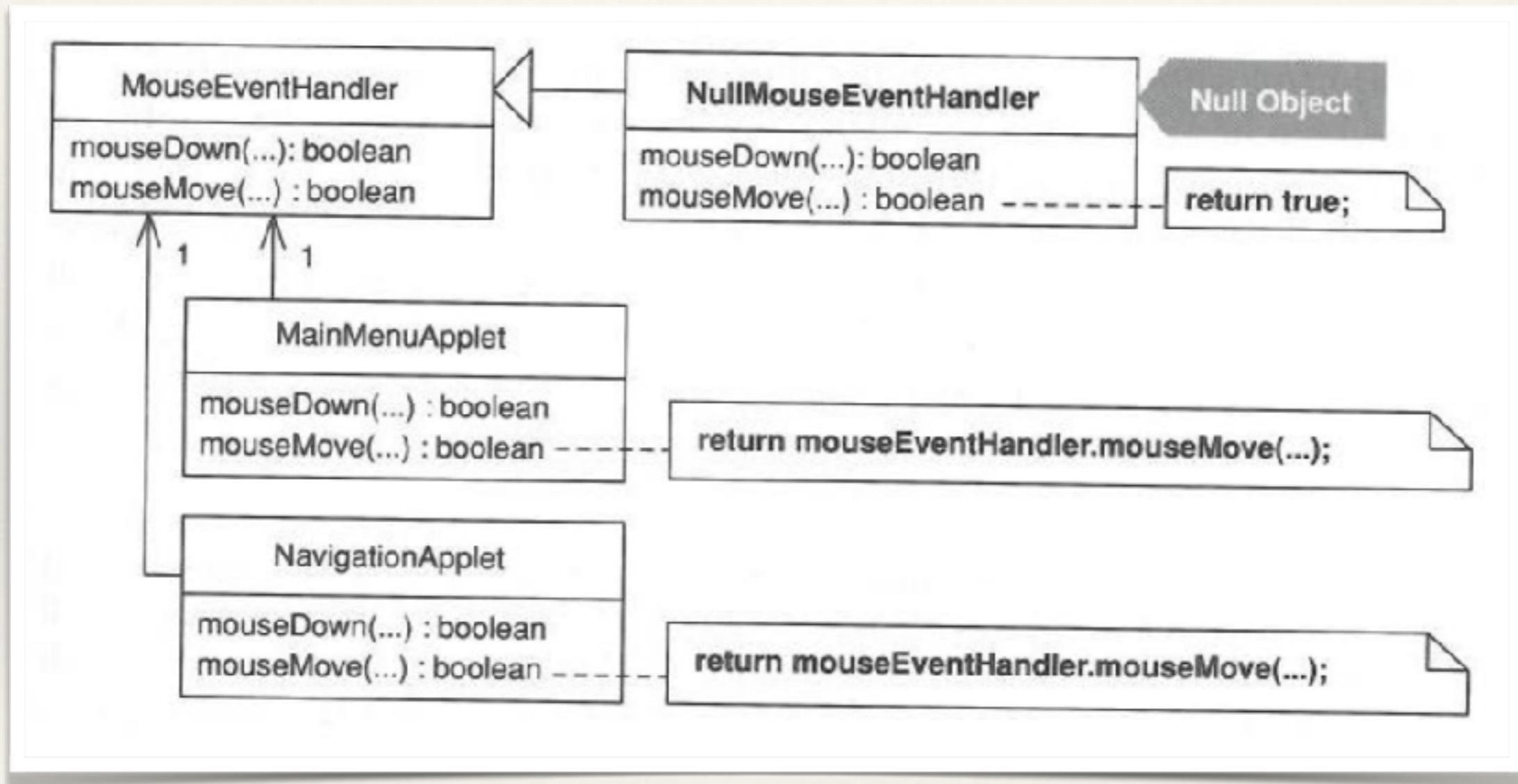
# Patterns discussed so far

- ✓ Builder pattern
- ✓ Factory method pattern
- ✓ Strategy pattern
- ✓ Bridge pattern
- ✓ Decorator pattern
- ✓ Observer pattern
- ✓ Composite pattern
- ✓ Flyweight pattern
- ✓ Proxy pattern
- ✓ Visitor pattern
- ✓ Template method pattern
- ✓ Interpreter pattern

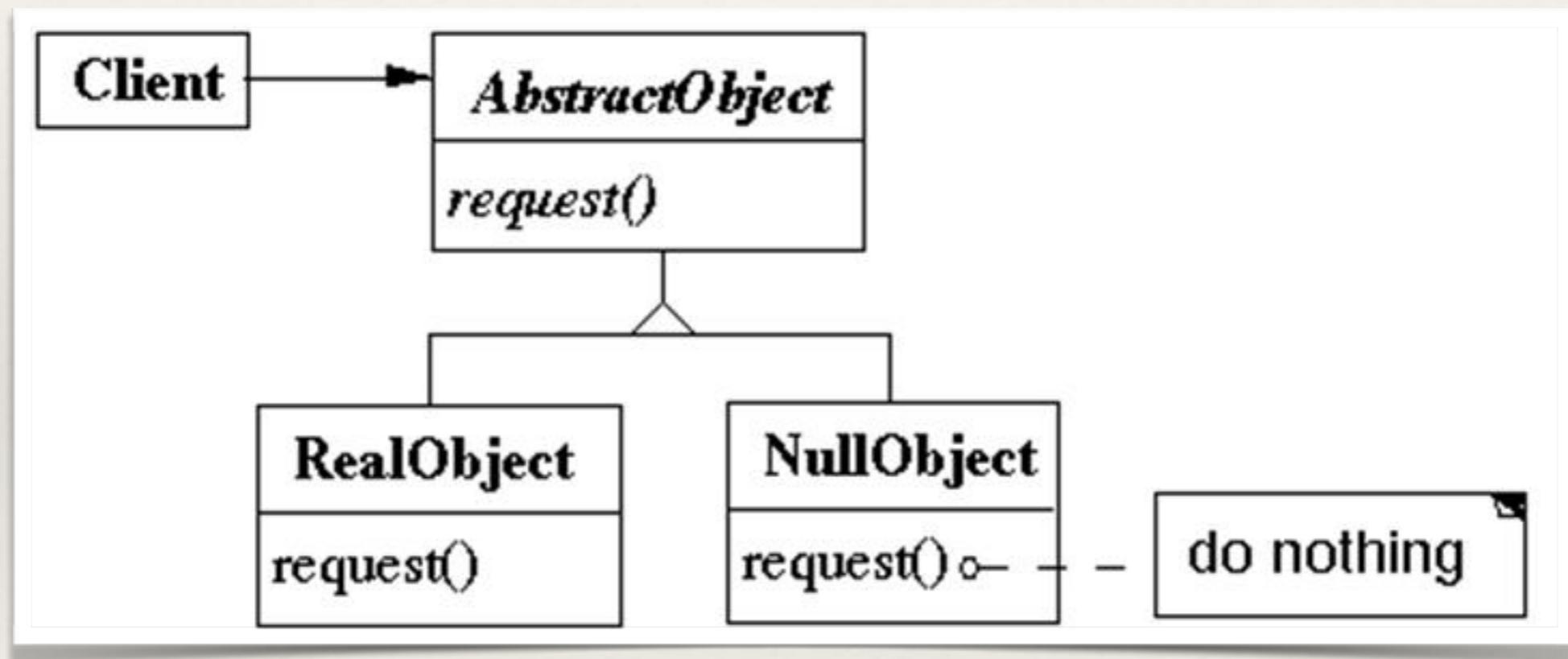
# Many other patterns other than GoF



# Null Object pattern



# Null Object pattern: structure



# EmptySet from Java library

```
private static class EmptySet<E>
    extends AbstractSet<E>
    implements Serializable
{
    private static final long serialVersionUID = 1582296315990362920L;

    public Iterator<E> iterator() { return emptyIterator(); }

    public int size() {return 0;}
    public boolean isEmpty() {return true;}

    public boolean contains(Object obj) {return false;}
    public boolean containsAll(Collection<?> c) { return c.isEmpty(); }

    public Object[] toArray() { return new Object[0]; }

    public <T> T[] toArray(T[] a) {
        if (a.length > 0)
            a[0] = null;
        return a;
    }

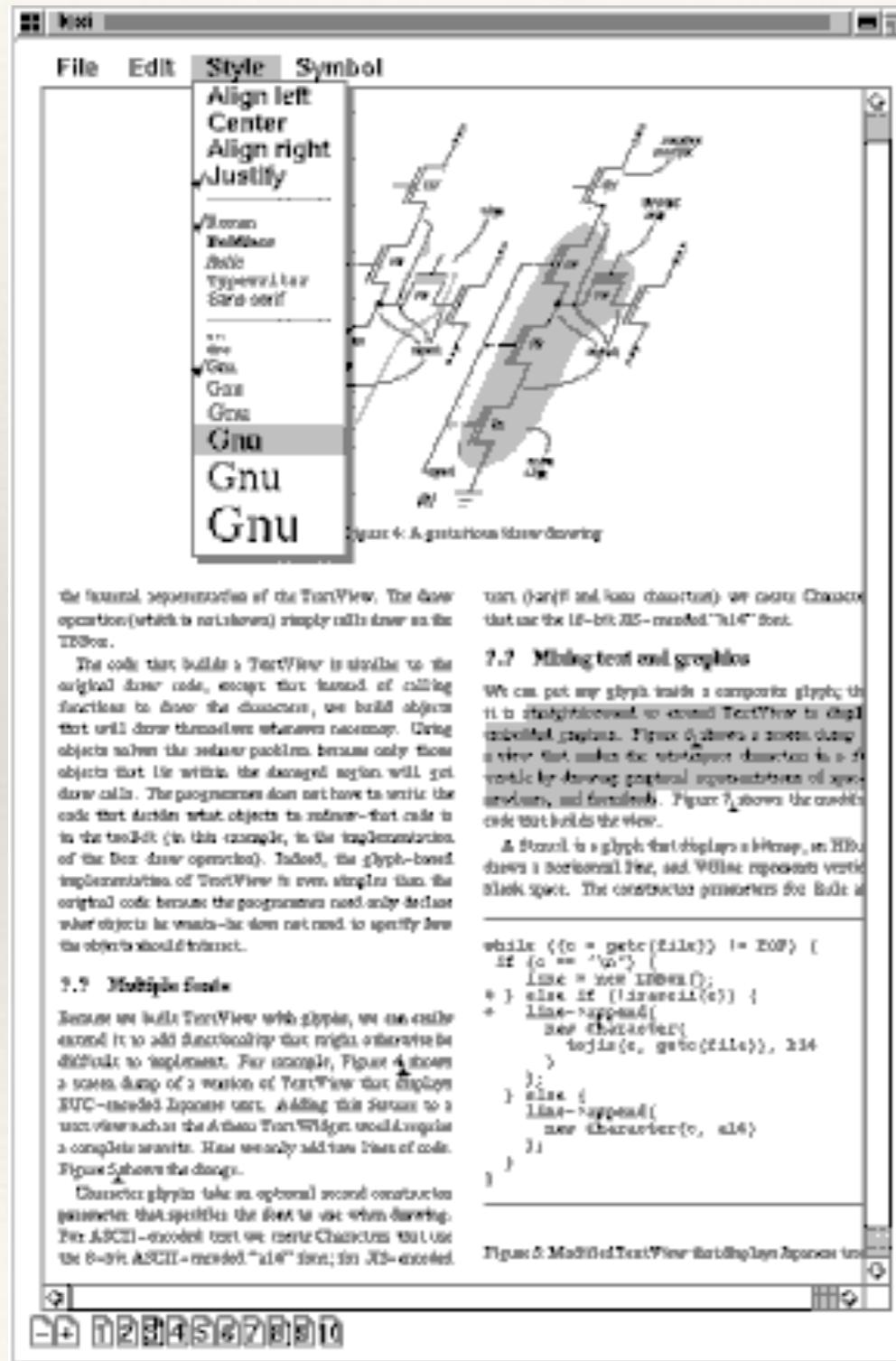
    // Preserves singleton property
    private Object readResolve() {
        return EMPTY_SET;
    }
}
```

# Agenda

- Introduction
- Design patterns though exercises
- **Patterns through a case-study**
- Tools for refactoring
- Wrap-up & key-takeaways

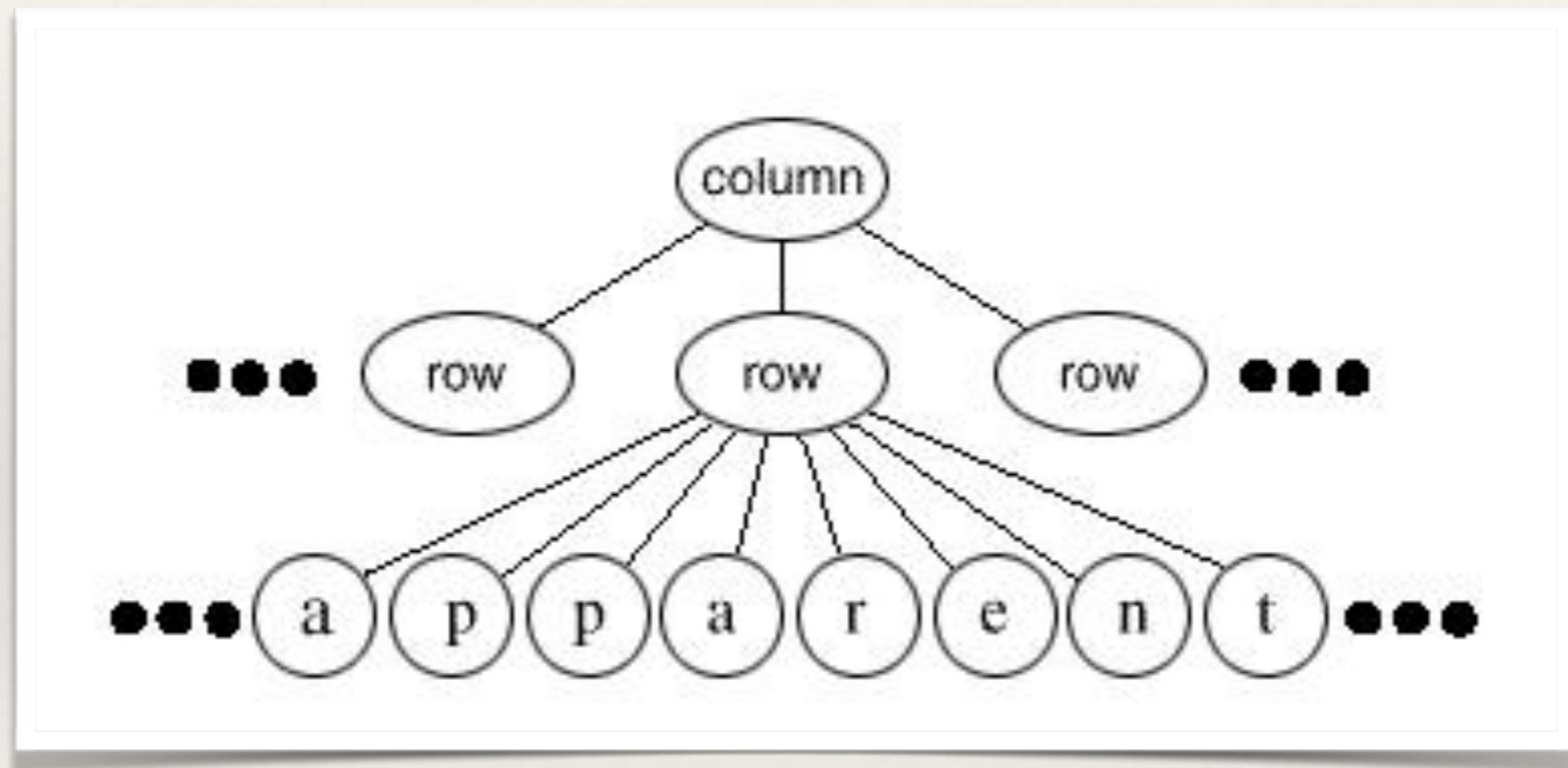


# Designing a document editor

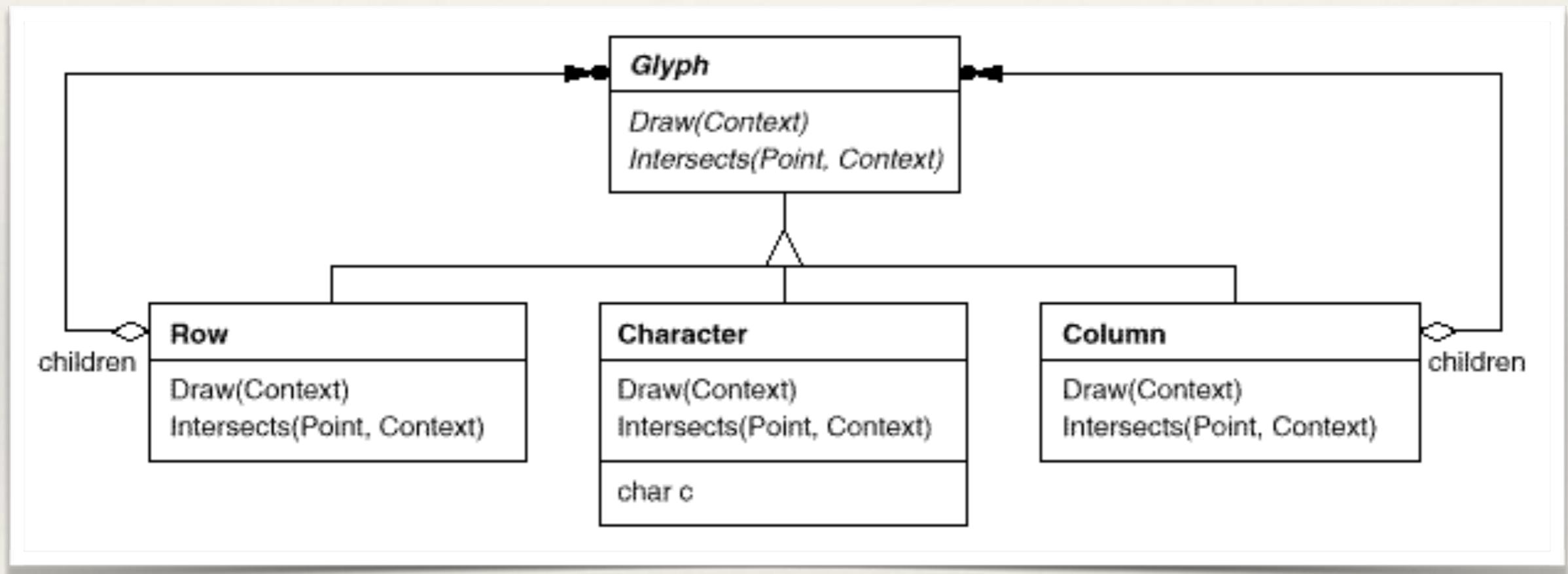


- How to maintain a document structure?
- How to support formatting?
- How to support embellishments (highlighting, marking, etc) with ease?
- Support multiple look-and-feel standards
- Support multiple windowing systems (mac, windows, motif, etc)
- How to support user operations and handle user events?
- How to support spellchecking and hyphenation?
- ....

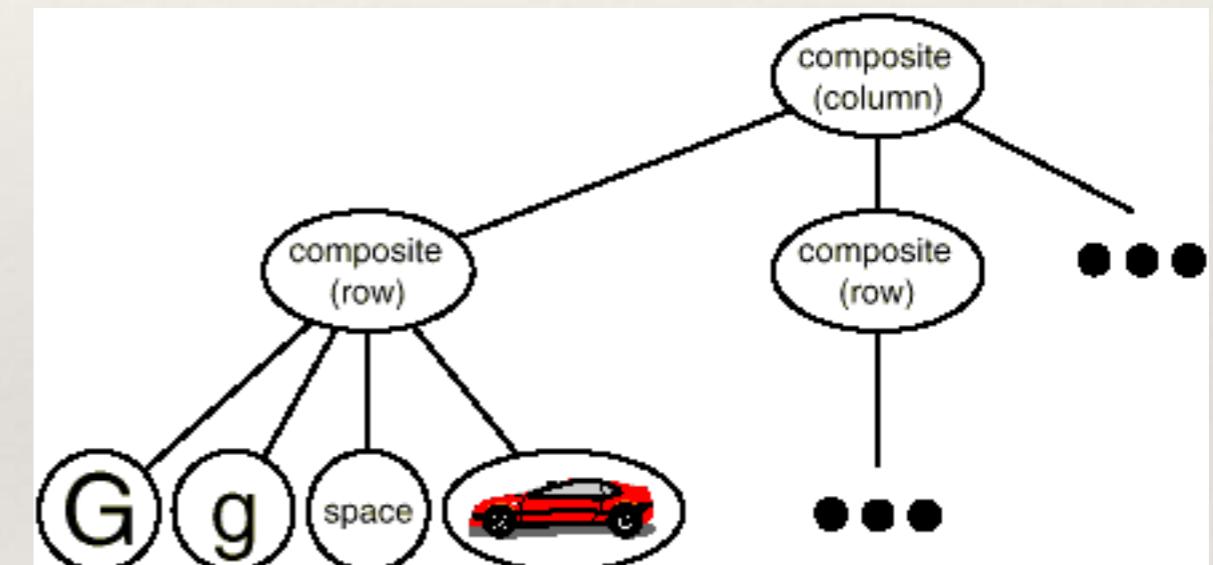
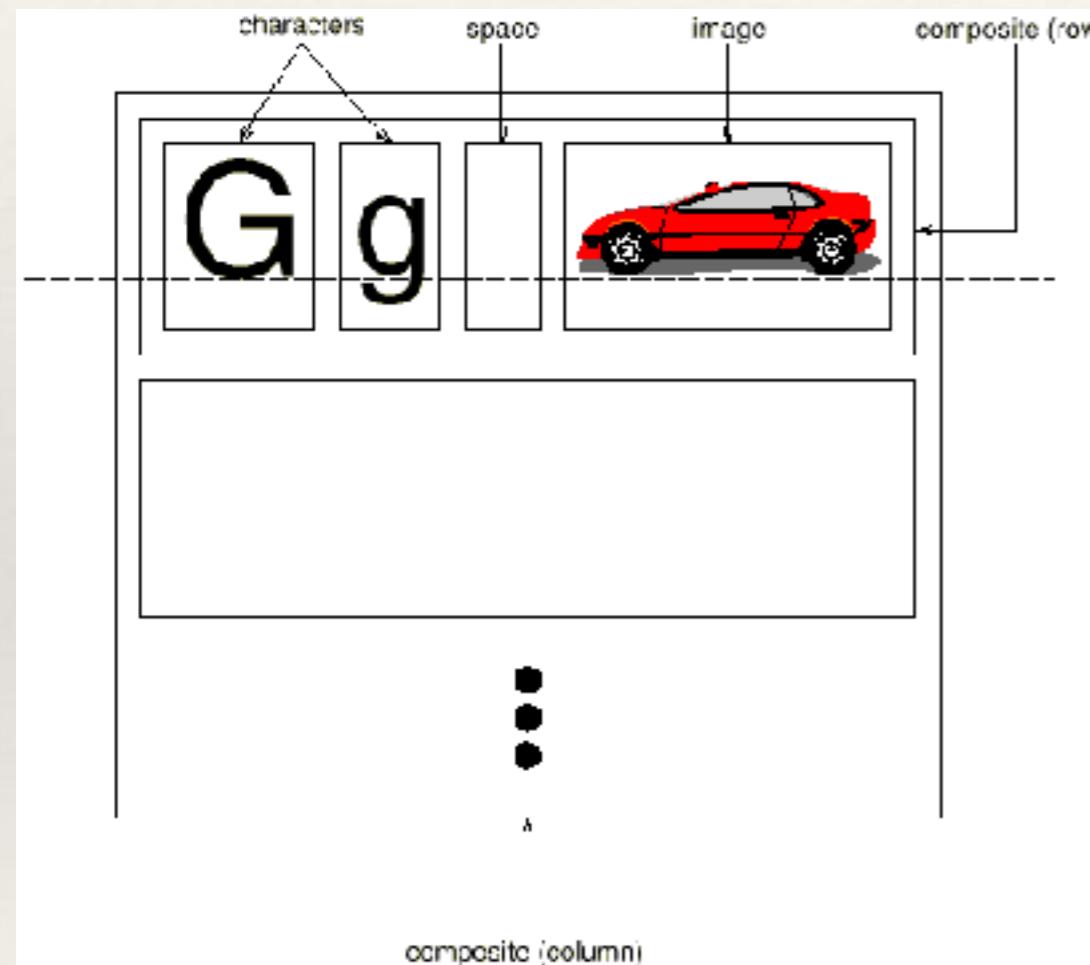
# “Recursive composition”



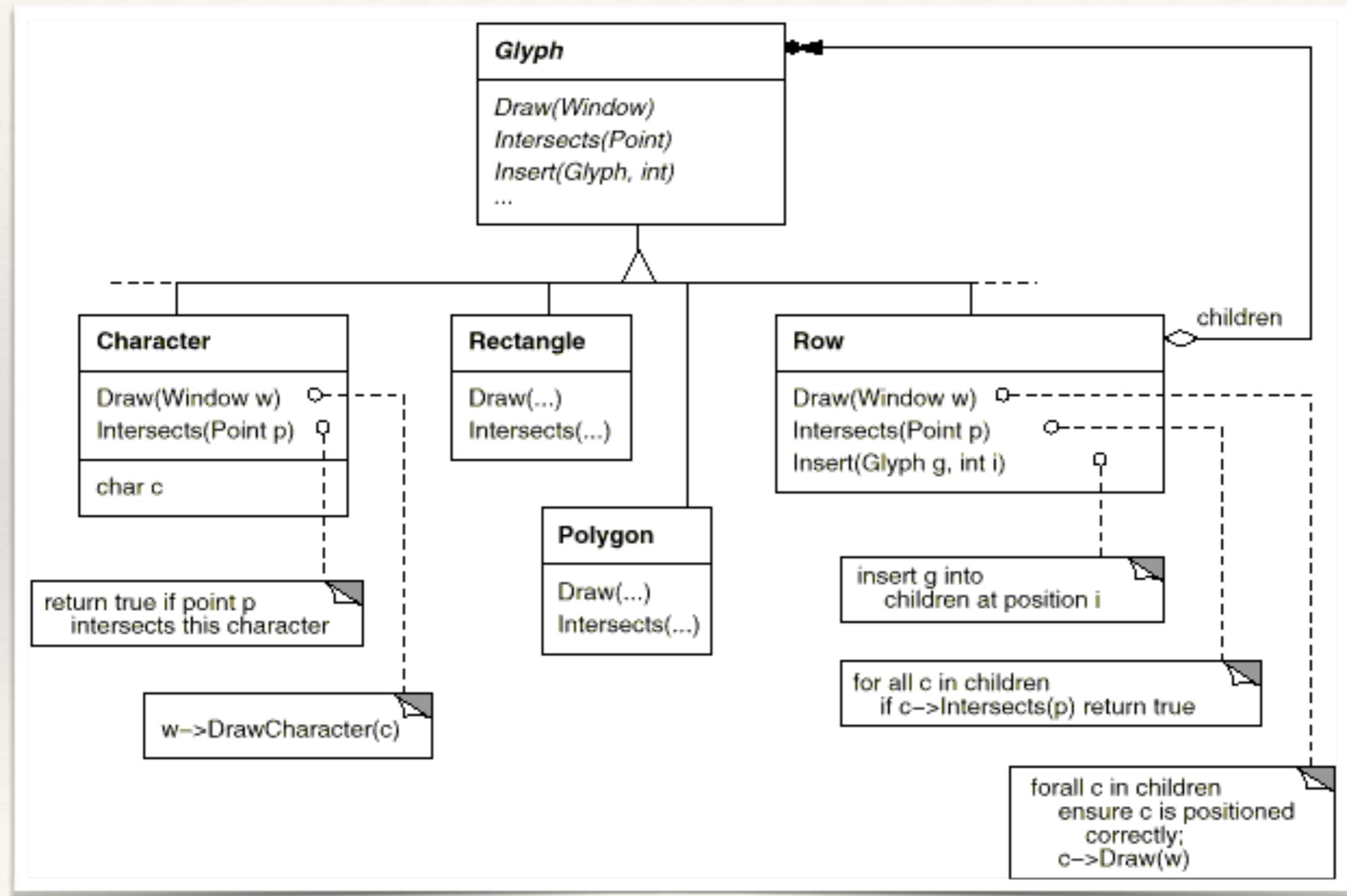
# Solution using Composite pattern



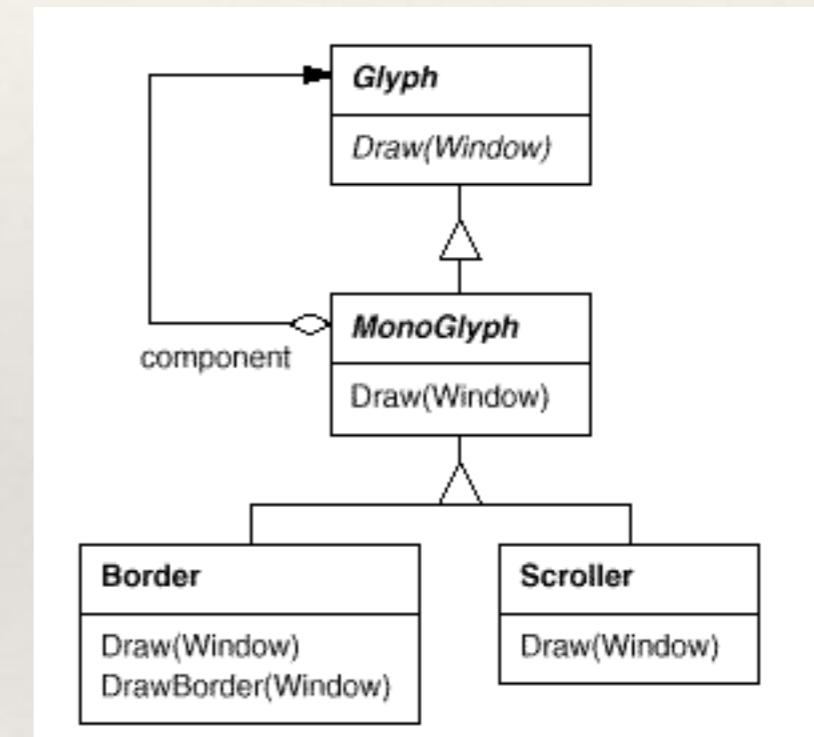
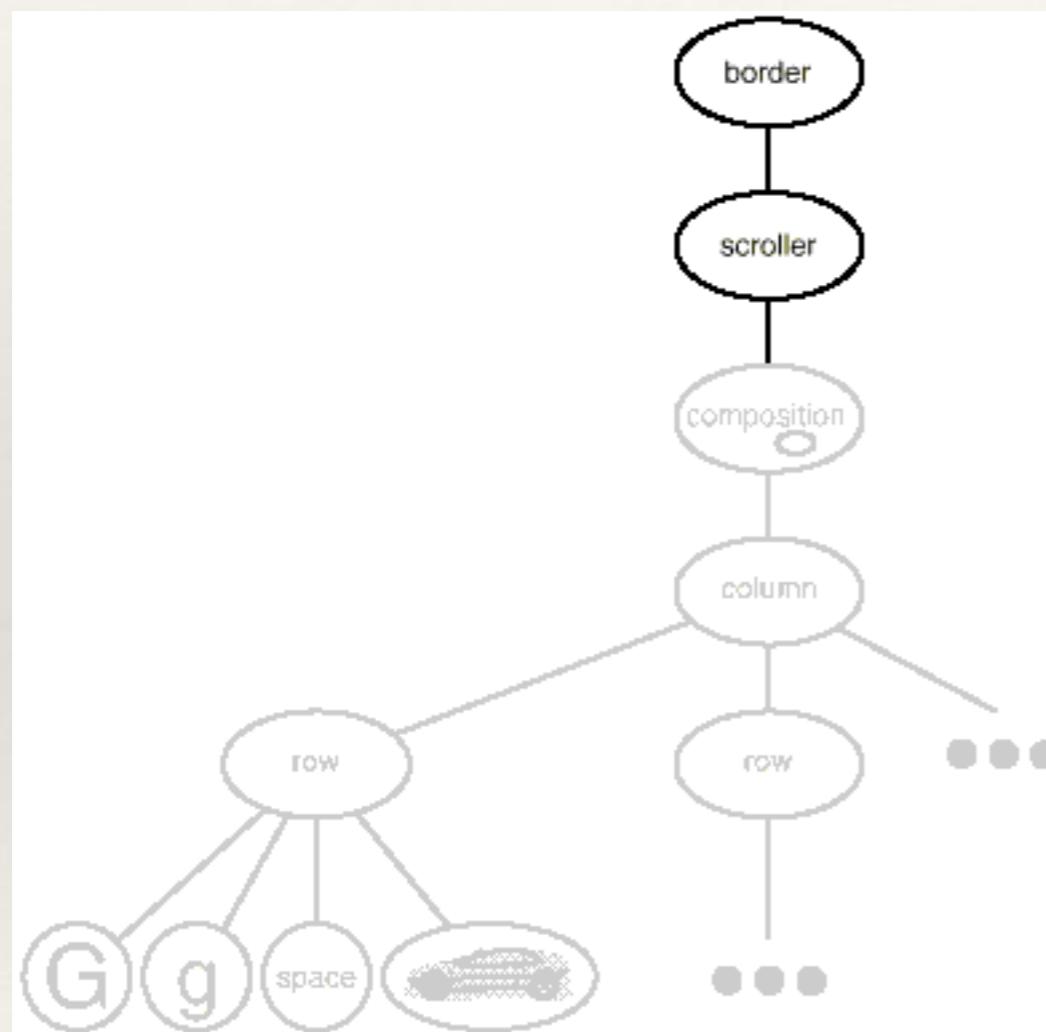
# More “recursive composition”



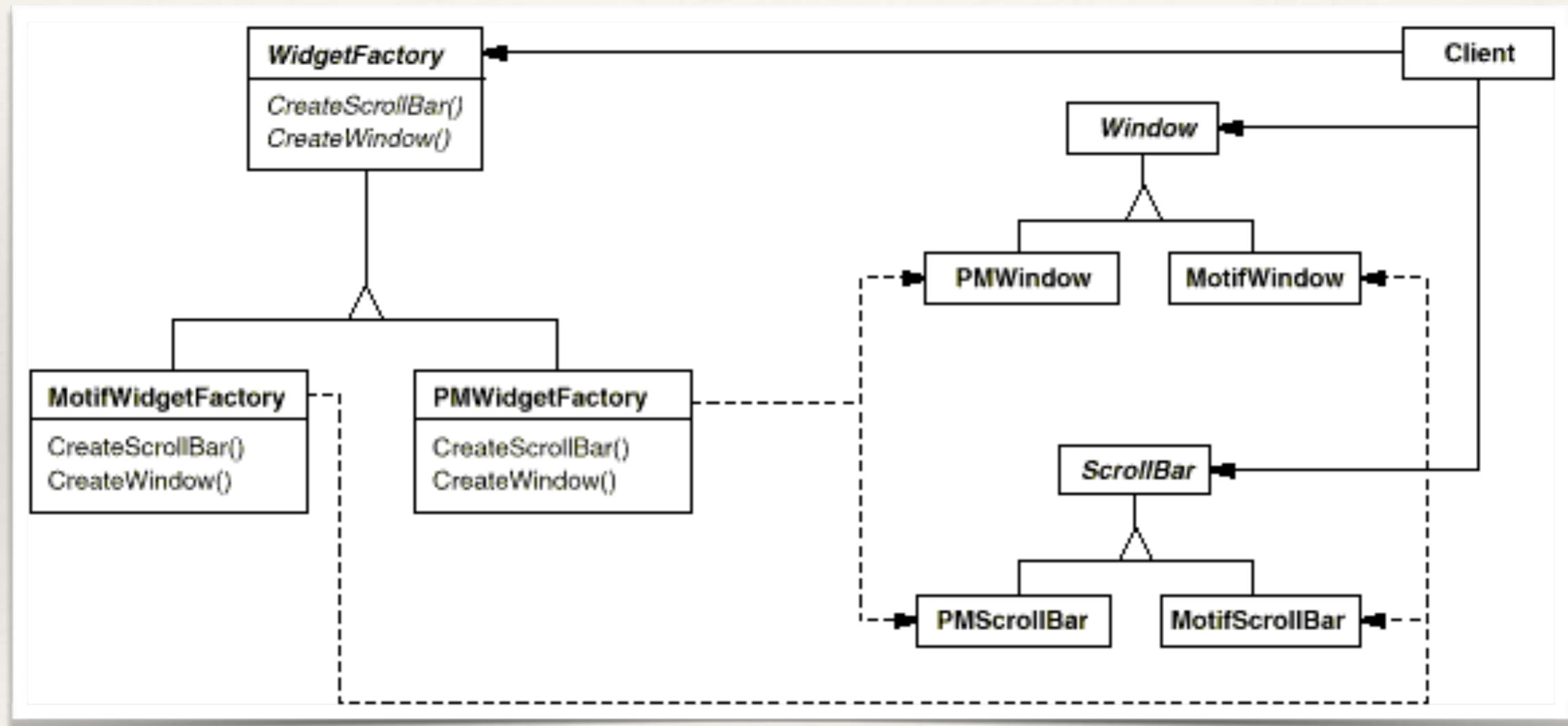
# “Recursive composition” - class design



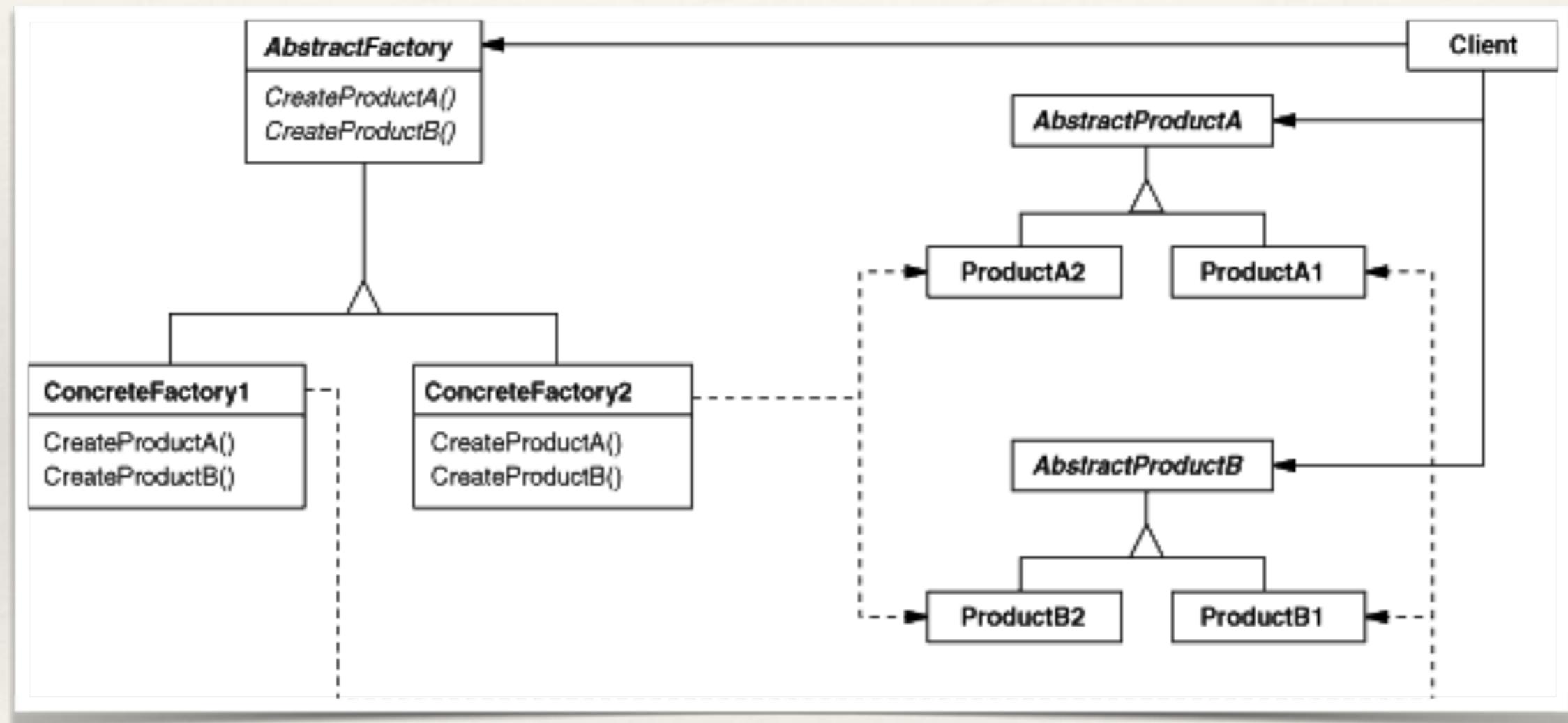
# Supporting bordering, scrolling, ...



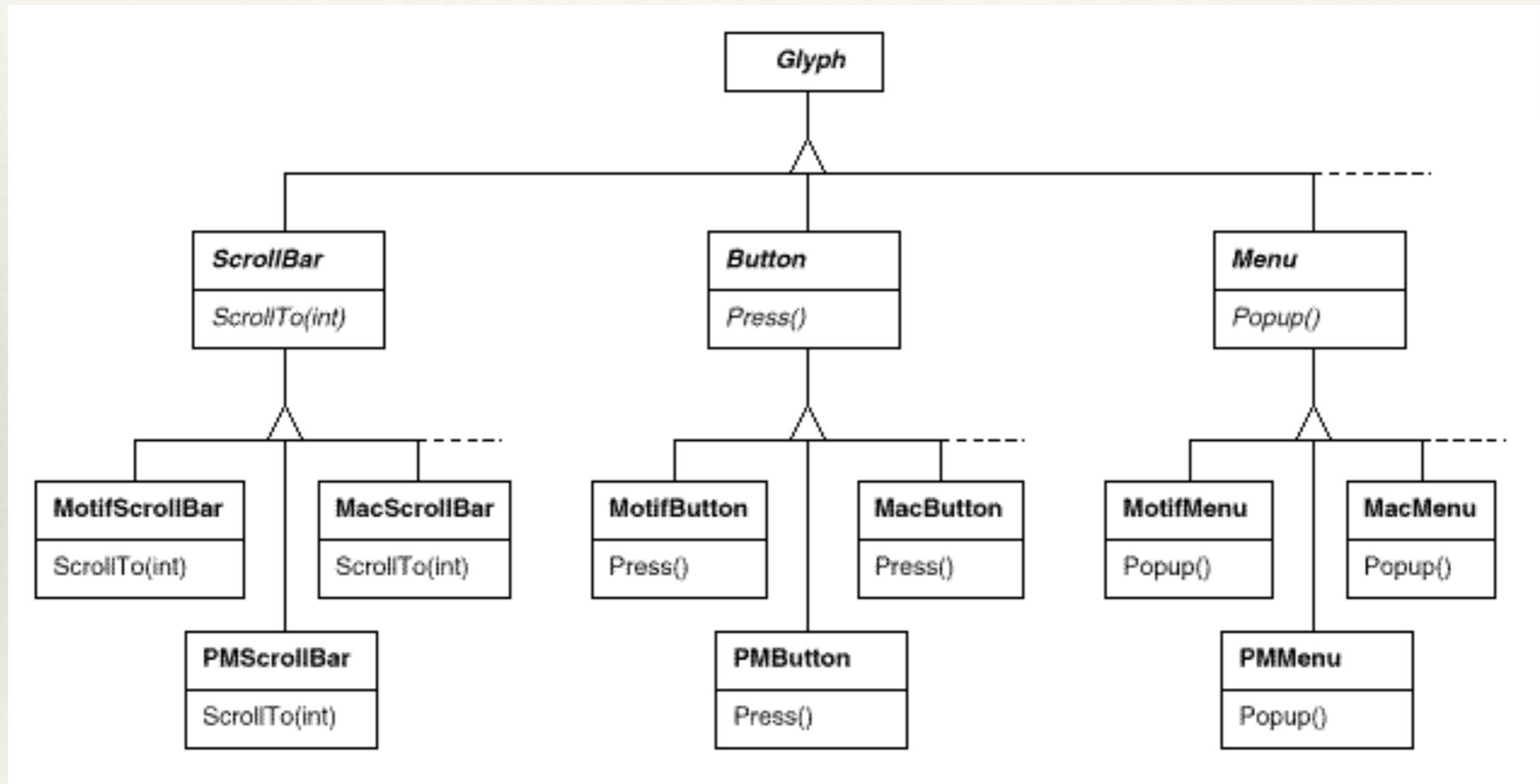
# Supporting multiple look-and-feel



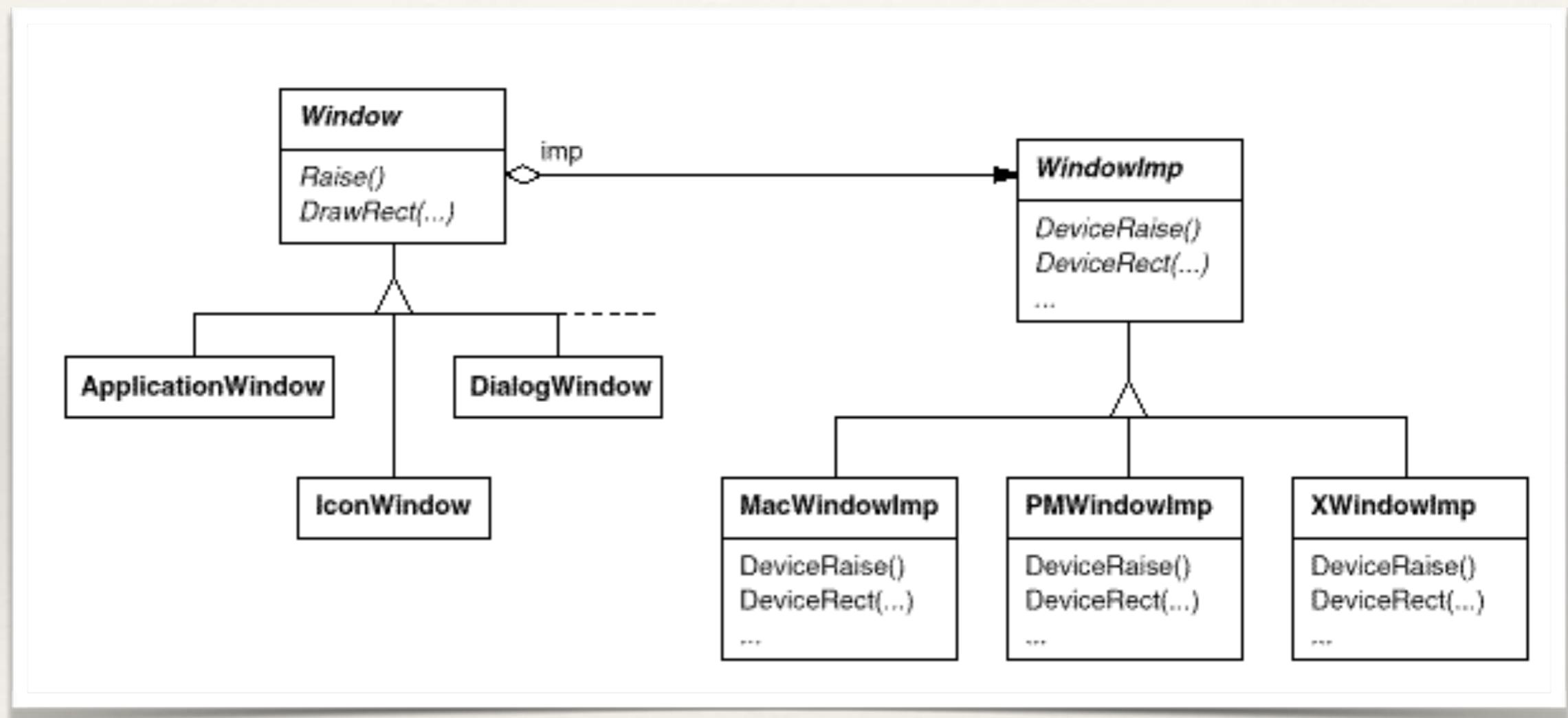
# Abstract factory: Structure



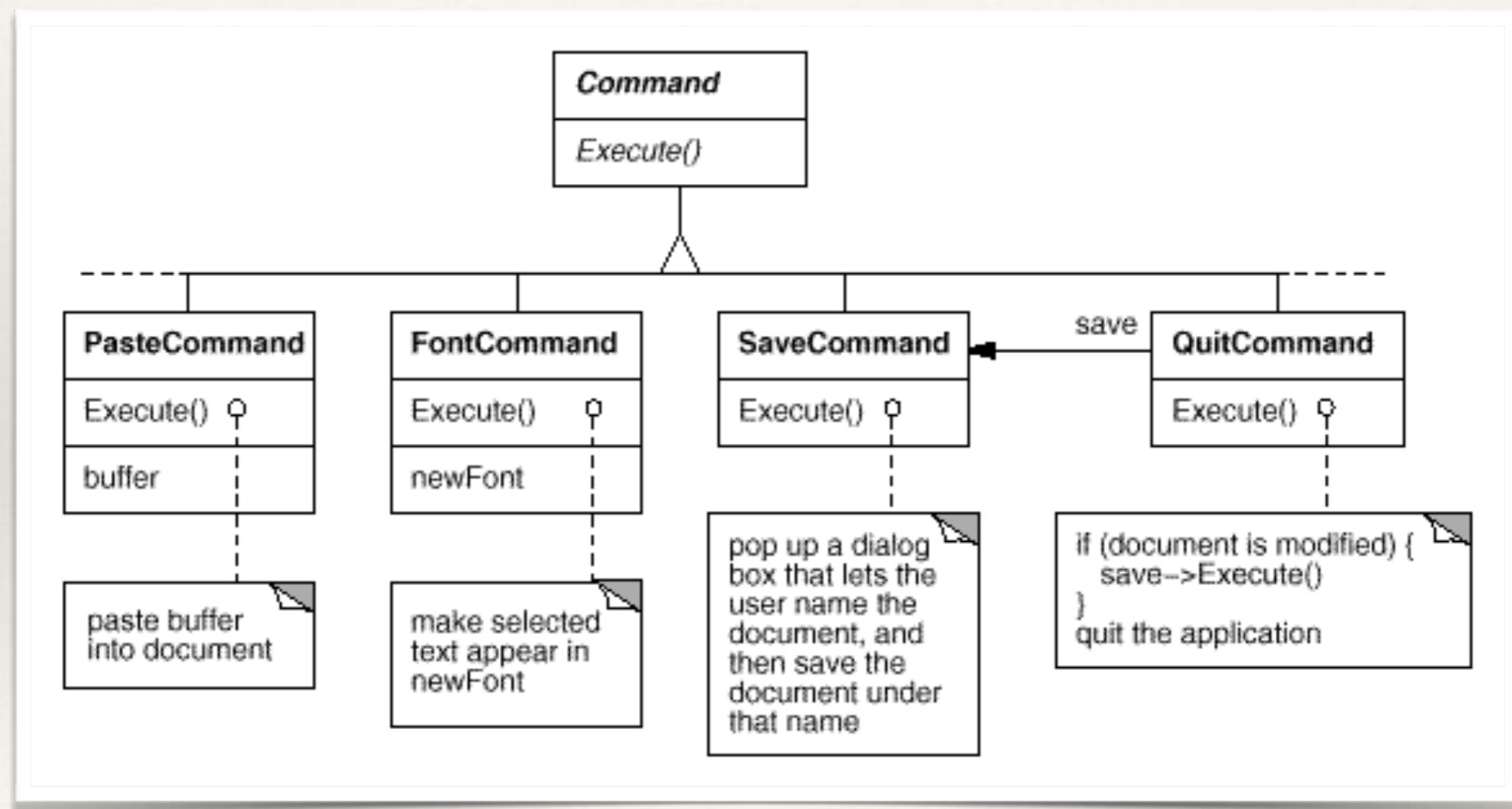
# Supporting multiple look-and-feel



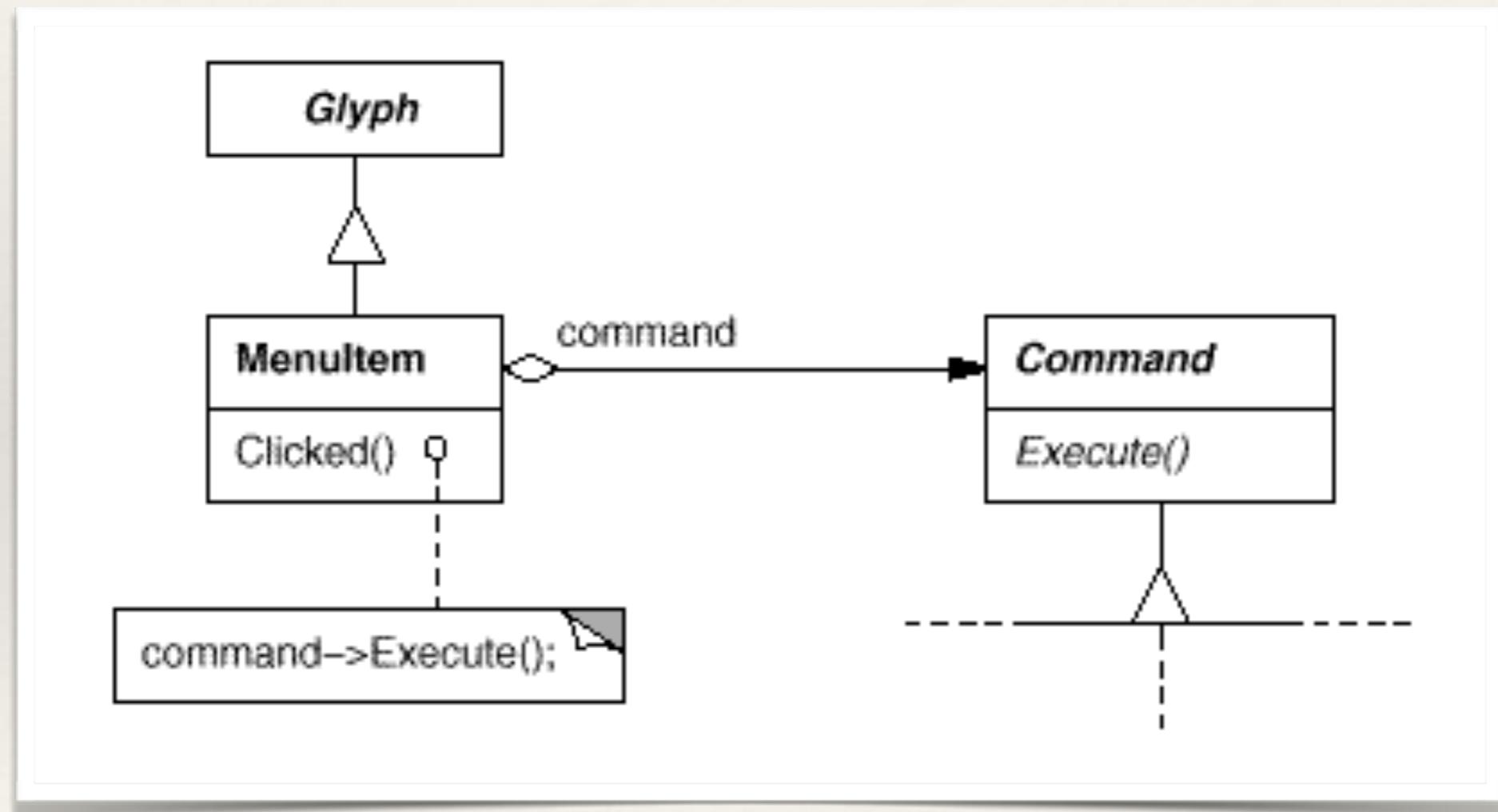
# Separating implementation dependencies



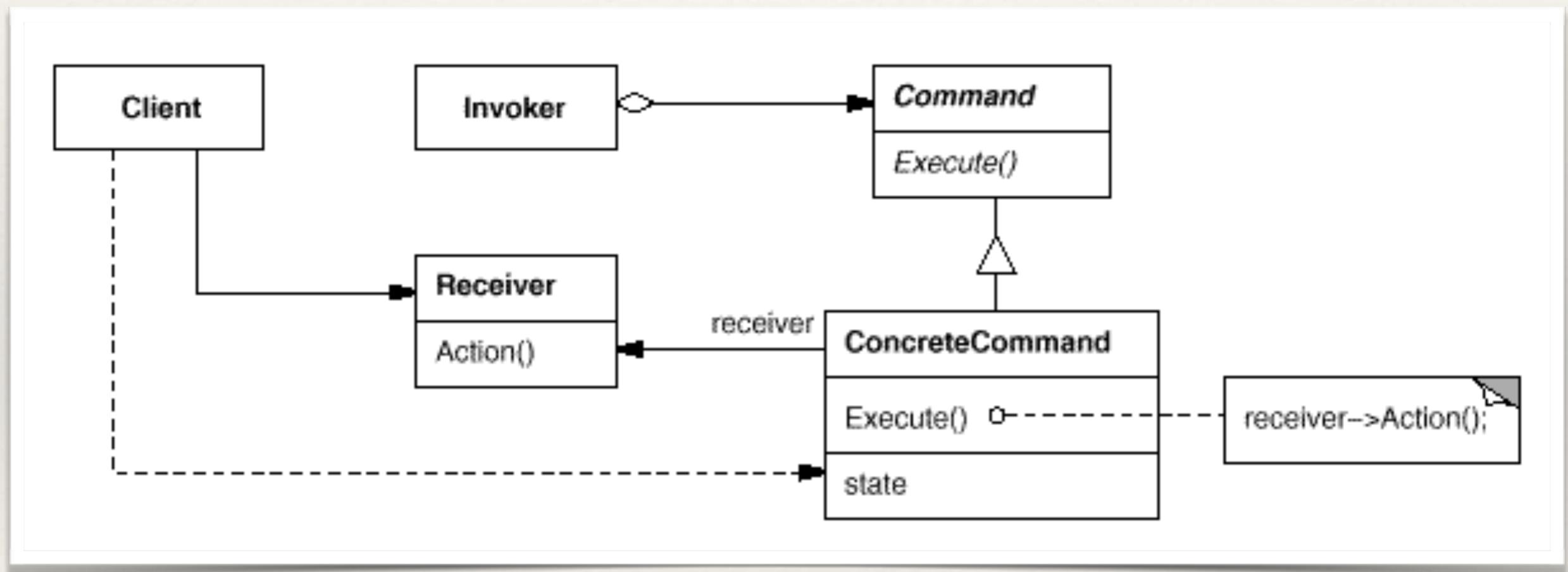
# Supporting user operations



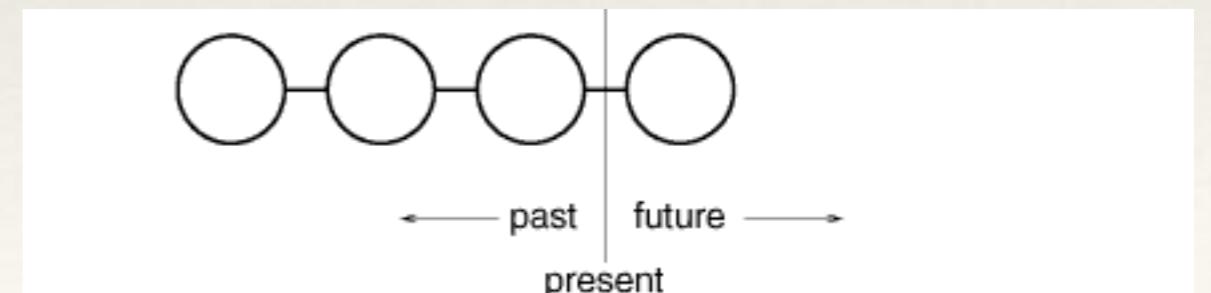
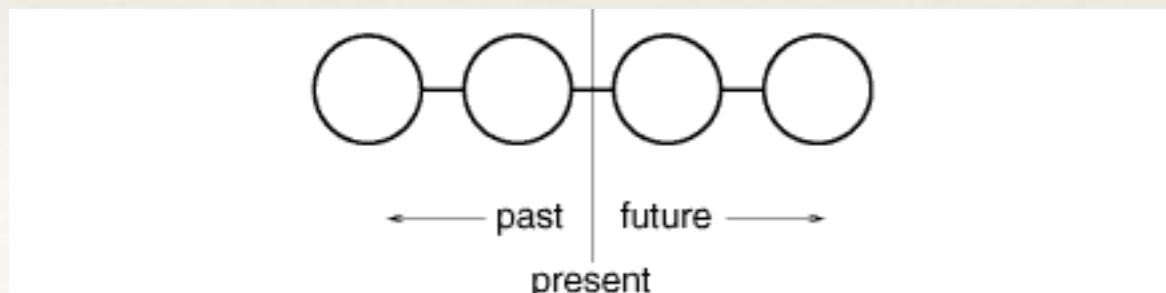
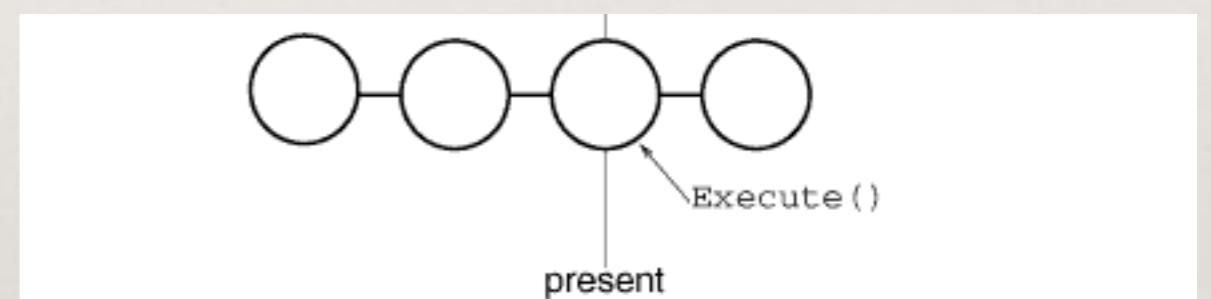
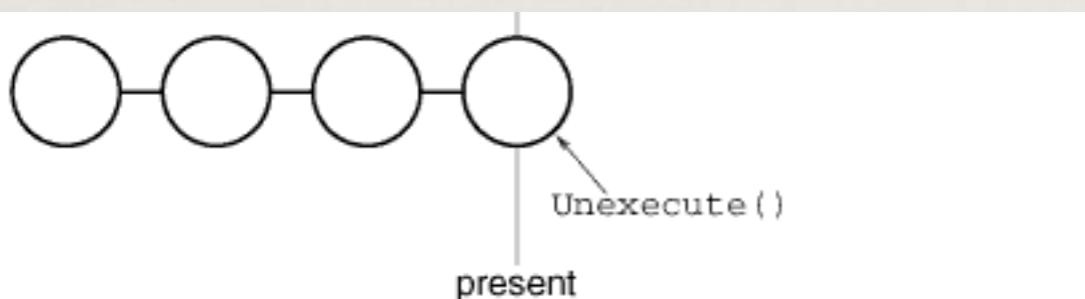
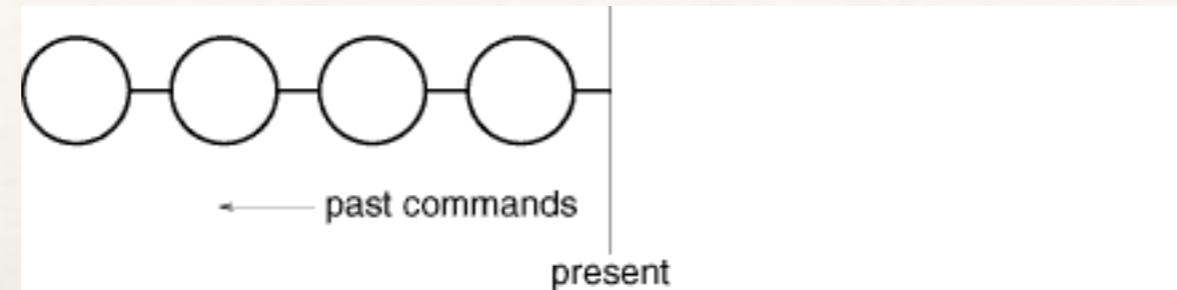
# Supporting user operations



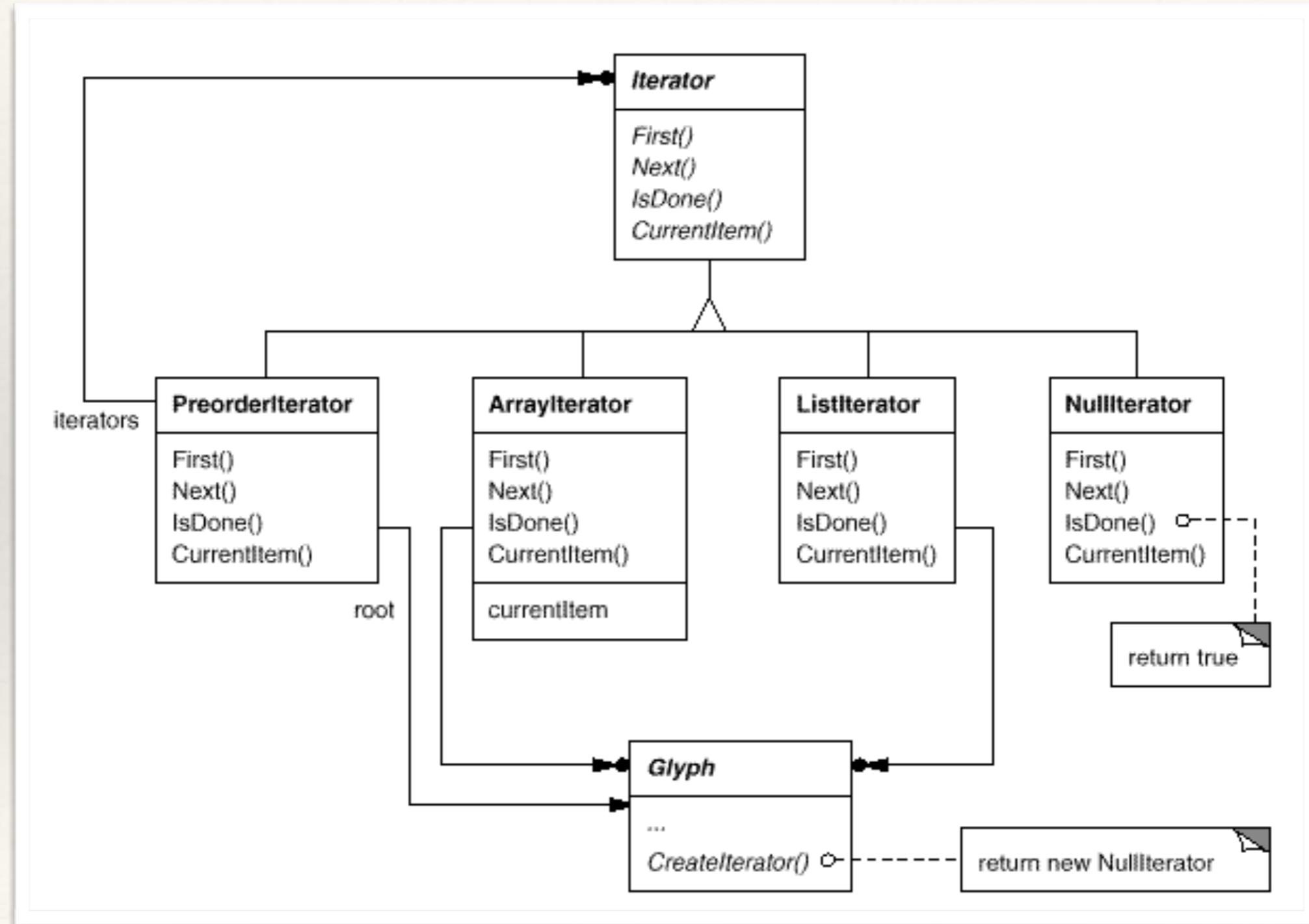
# Command pattern: Structure



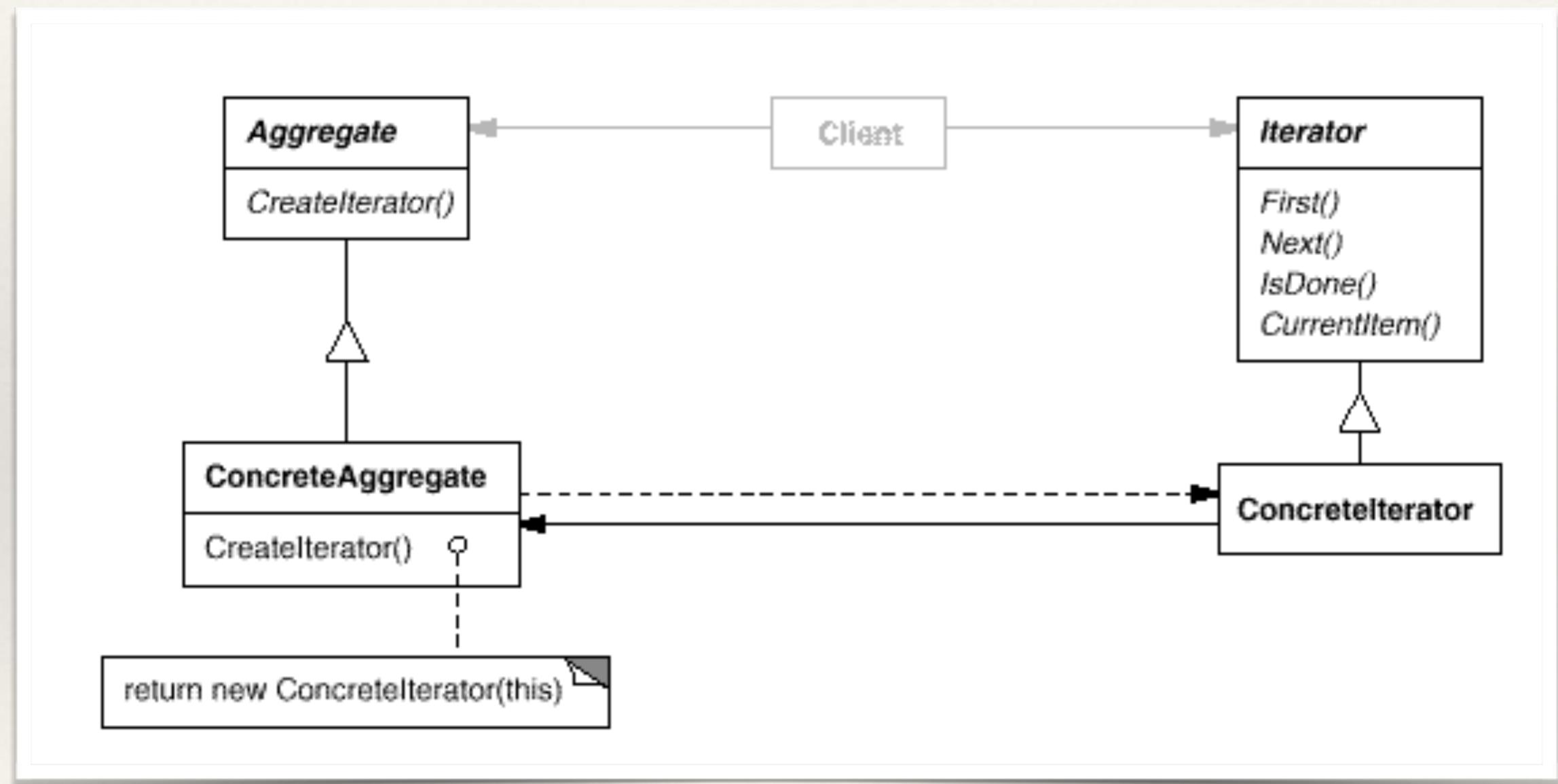
# Supporting undo/redo: Command history



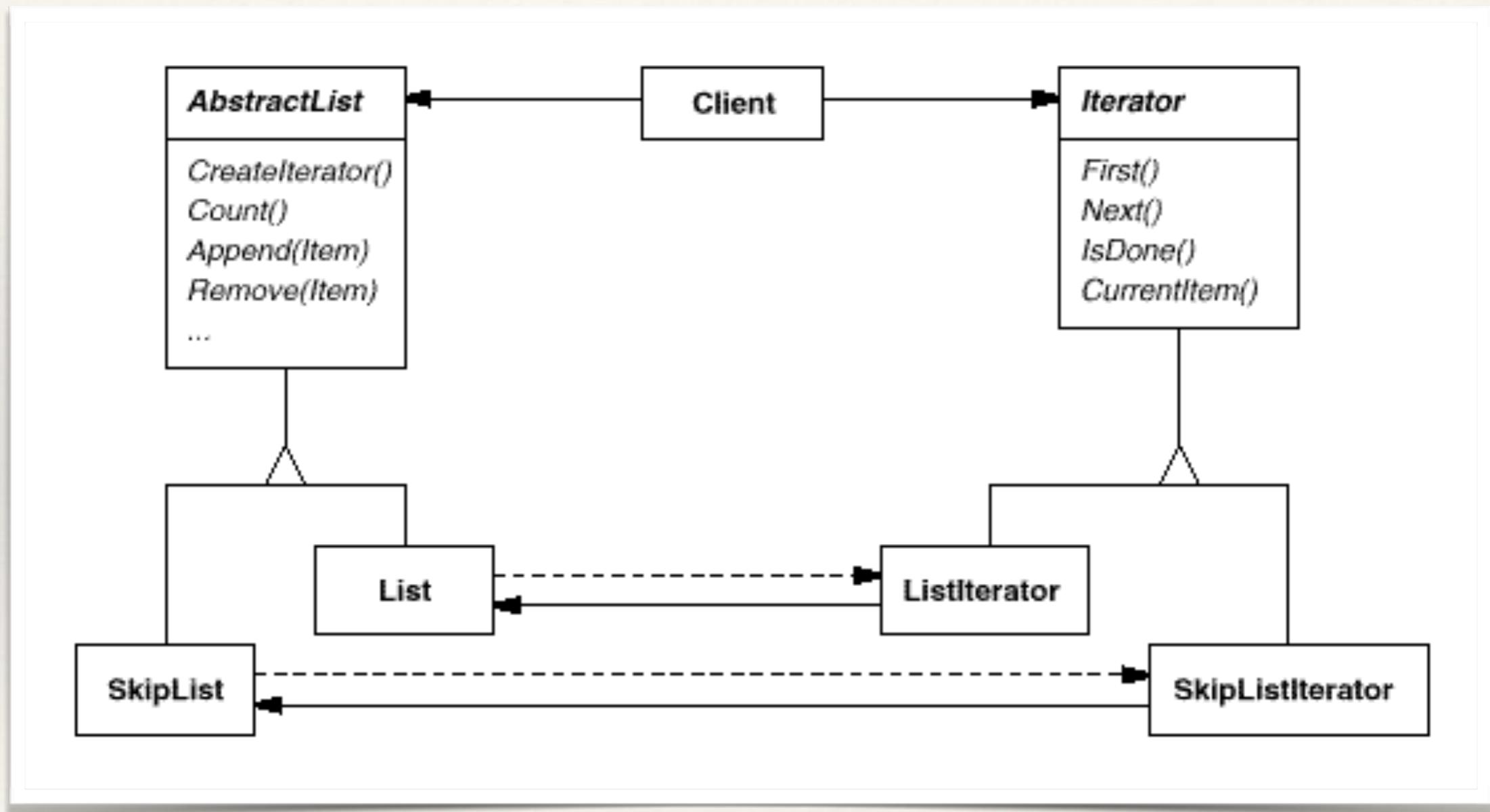
# Traversing document (e.g., spell check)



# Iterator pattern: Structure



# Iterator pattern: Another example



---

# Patterns discussed in this case-study

---

- ✓ Composite pattern
- ✓ Decorator pattern
- ✓ Abstract factory pattern
- ✓ Bridge pattern
- ✓ Command pattern
- ✓ Iterator pattern

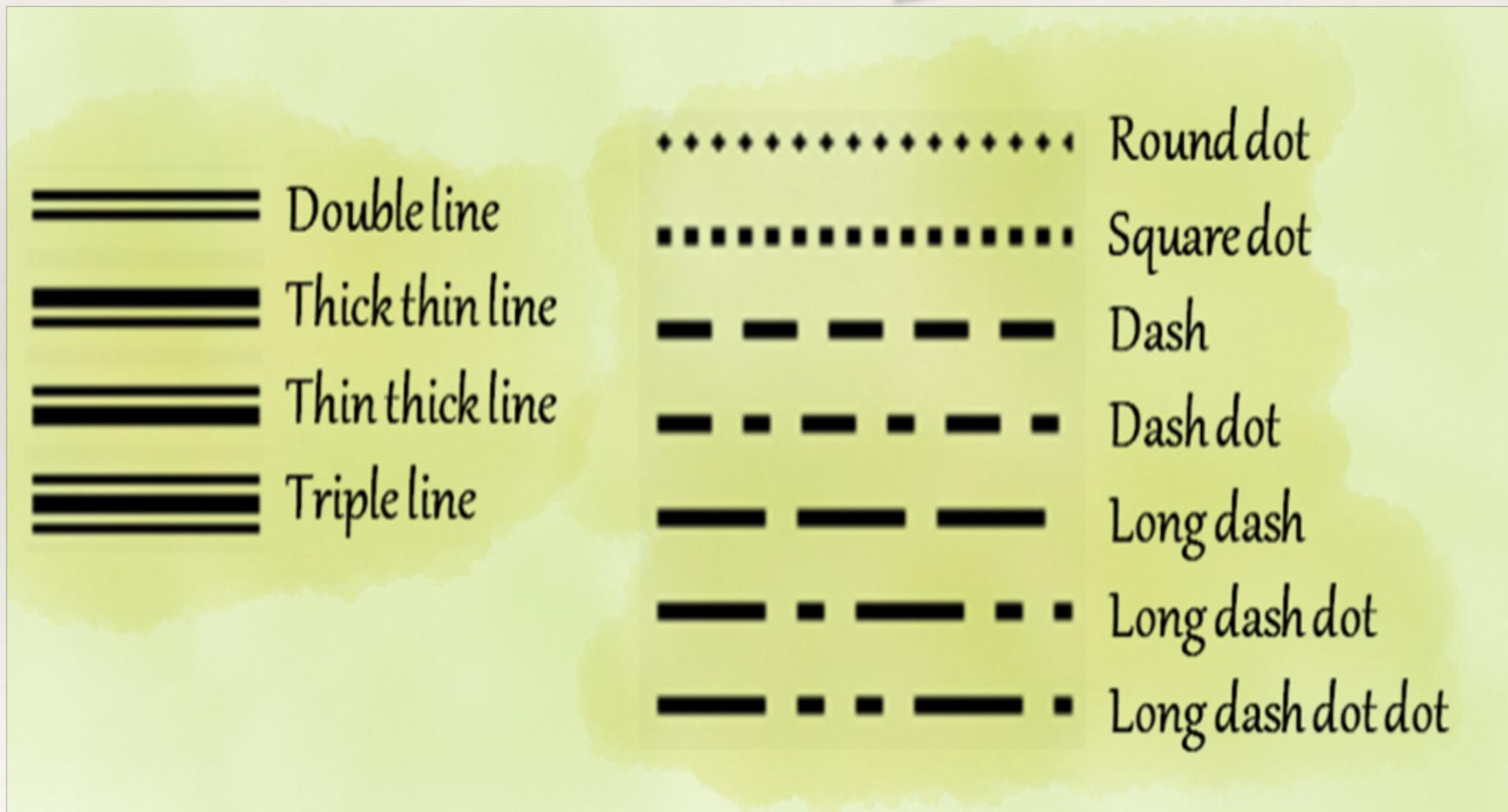
# Agenda

- Introduction
- Design patterns through exercises
- Patterns through a case-study
- **Wrap-up & key-takeaways**

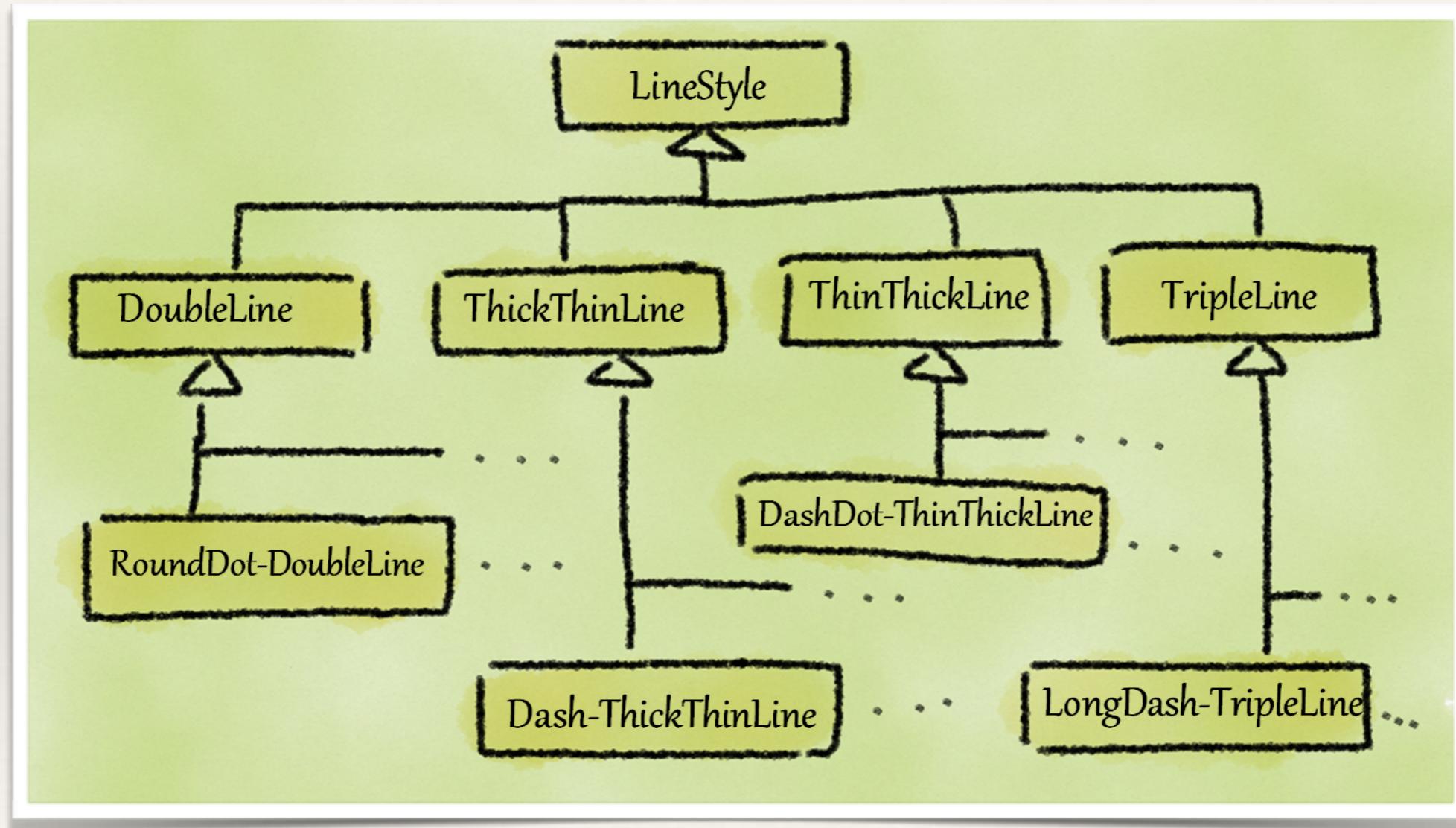


# Exercise: Create a suitable design

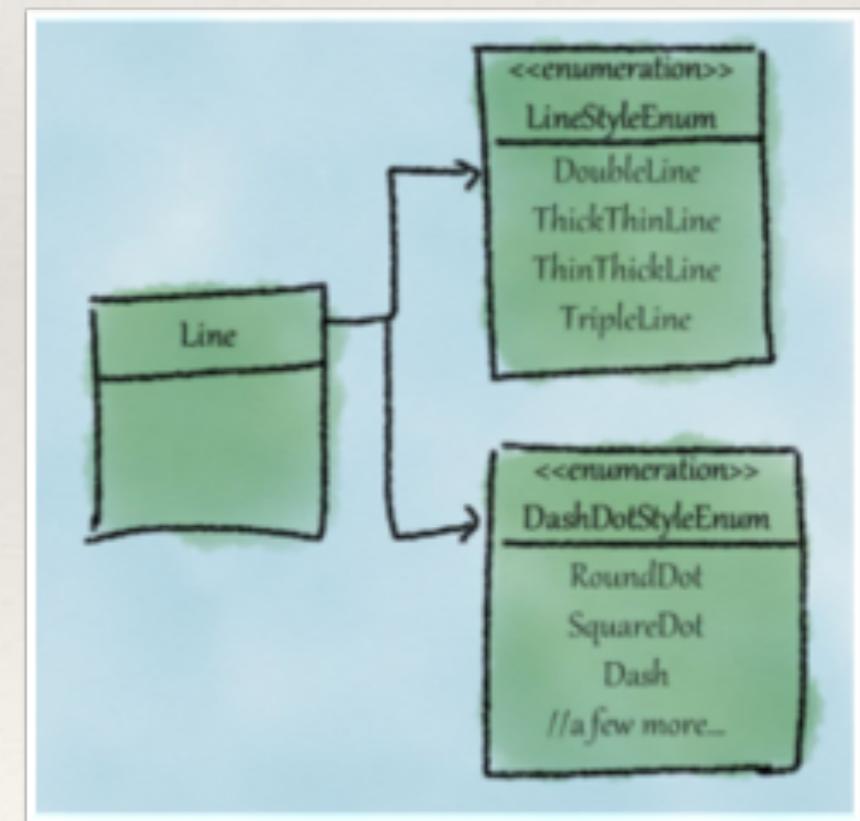
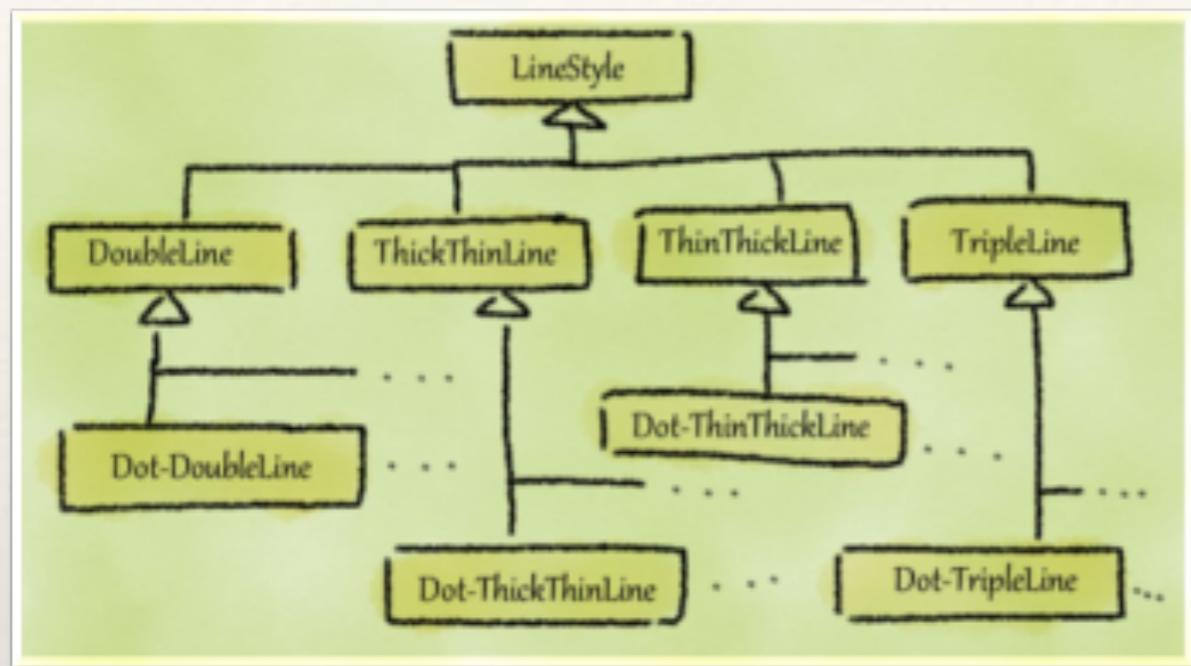
Note: Responsibility of rendering the line in chosen style is with underlying OS / platform



# How about this solution?



# Suggested refactoring for this smell



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# Beware of “patterns mania”!

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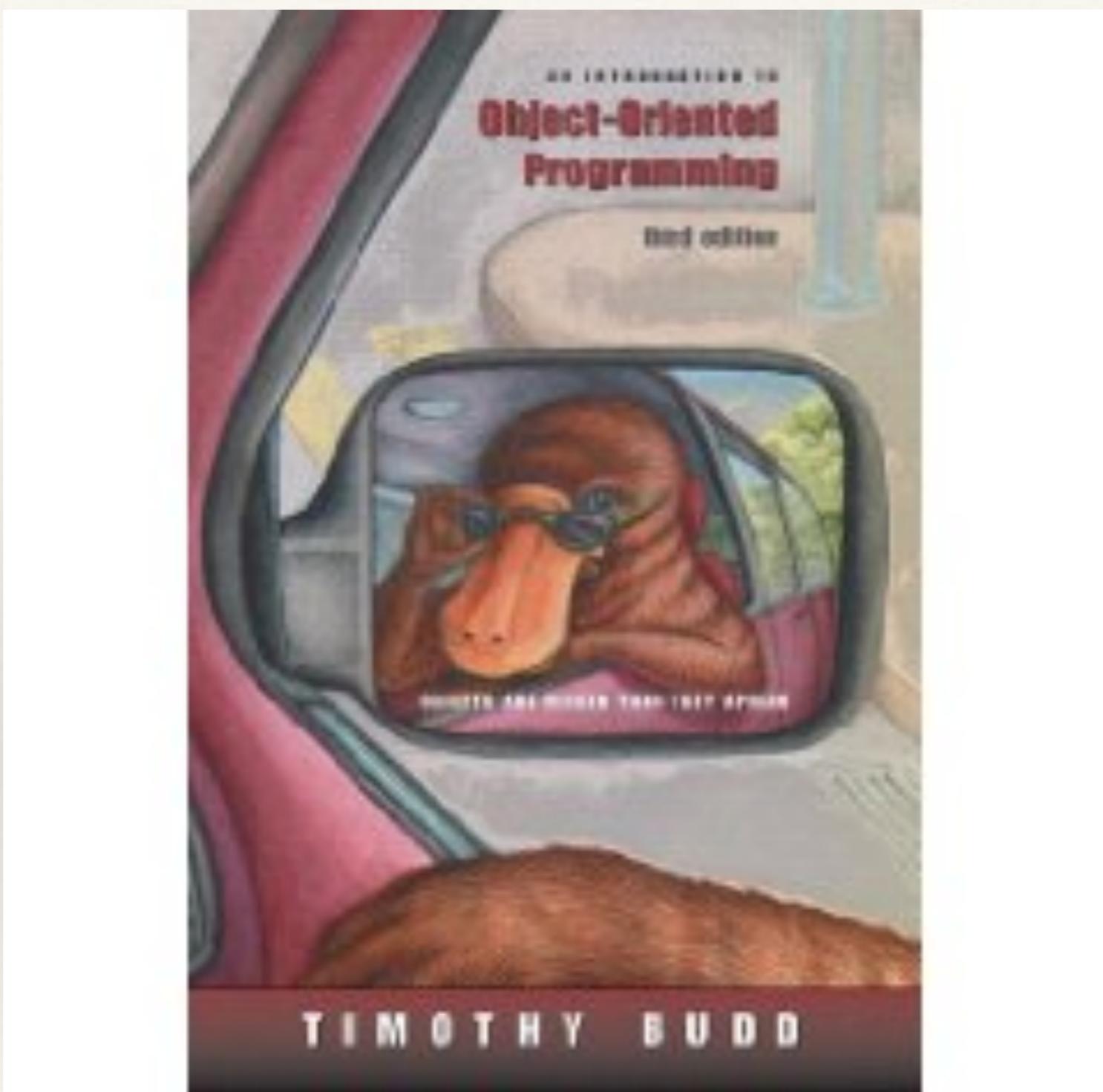
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# What are your takeaways?

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Books to read

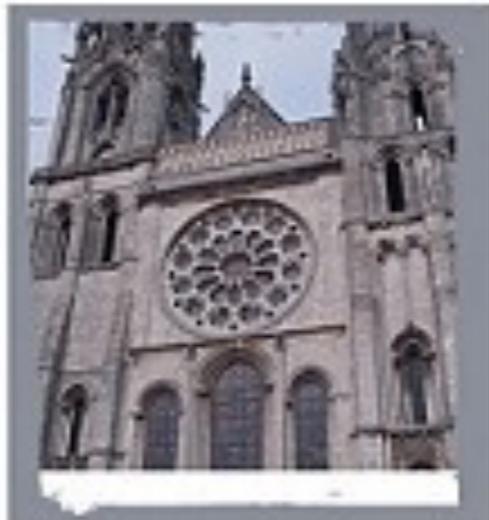


WILEY SERIES IN  
SOFTWARE DESIGN PATTERNS



# PATTERN-ORIENTED SOFTWARE ARCHITECTURE

A System of Patterns



**Volume 1**

Frank Buschmann  
Regine Meunier  
Hans Rohnert  
Peter Sommerlad  
Michael Stal

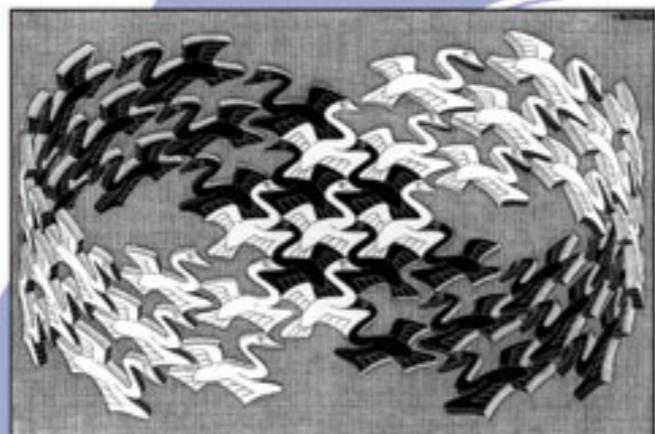


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# Design Patterns

Elements of Reusable  
Object-Oriented Software

Erich Gamma  
Richard Helm  
Ralph Johnson  
John Vlissides



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Foreword by Grady Booch



ADDISON-WESLEY PROFESSIONAL COMPUTING SERIES



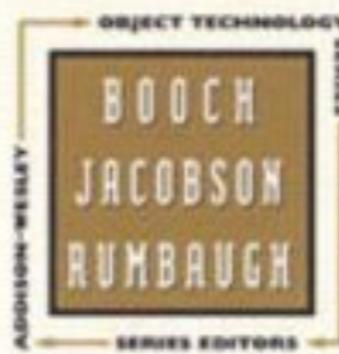
# REFACTORING

## IMPROVING THE DESIGN OF EXISTING CODE

MARTIN FOWLER

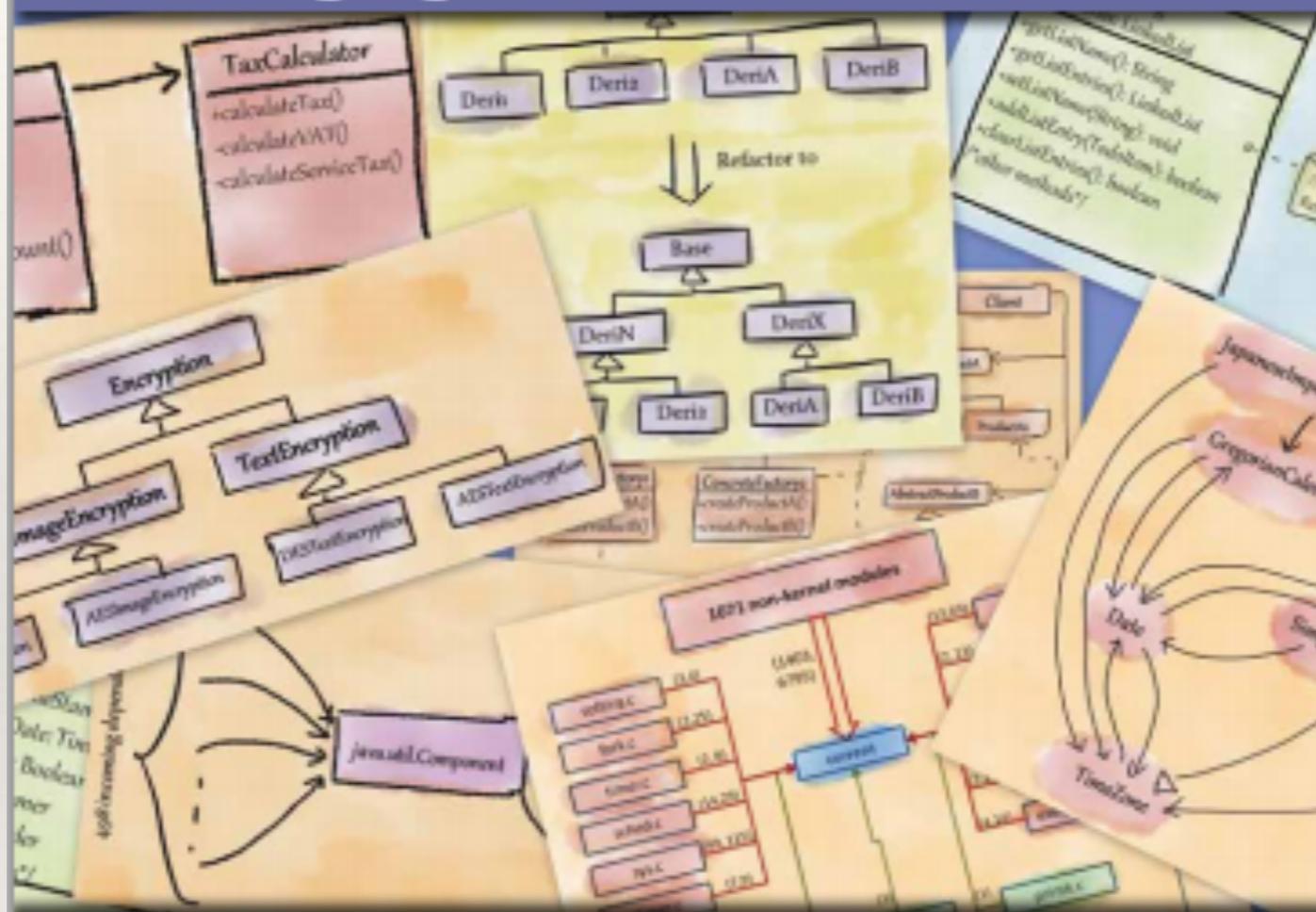
With Contributions by Kent Beck, John Brant,  
William Opdyke, and Don Roberts

Foreword by Erich Gamma  
Object Technology International Inc.



# Refactoring for Software Design Smells

## Managing Technical Debt



Girish Suryanarayana,  
Ganesh Samarthyam, Tushar Sharma

“Applying design principles is the key to creating high-quality software!”



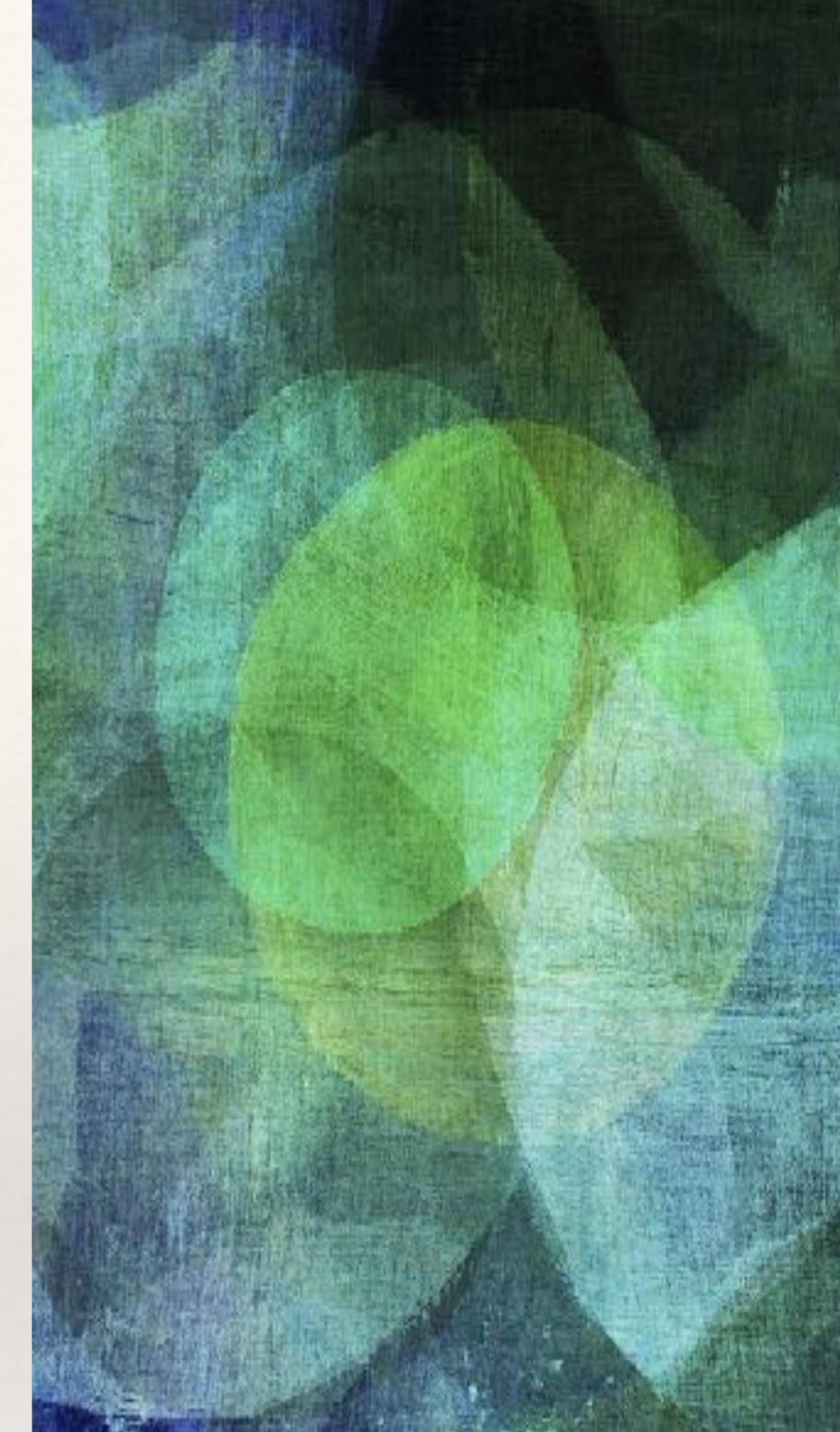
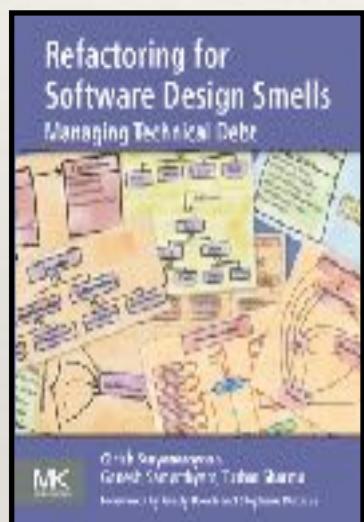
Architectural principles:  
Axis, symmetry, rhythm, datum, hierarchy, transformation

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# Image/video credits

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