#### Lambda Calculus

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### Language

#### Free Variables

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\begin{aligned} \mathsf{FV}(x) &=& \{x\} \\ \mathsf{FV}(\lambda x.\ e) &=& \mathsf{FV}(e) - \{x\} \\ \mathsf{FV}(e_1\ e_2) &=& \mathsf{FV}(e_1) \cup \mathsf{FV}(e_2) \end{aligned}
```

#### Substitution

$$[e'/x]x = e'$$
  
 $[e'/x]y = y$ , if  $y \neq x$   
 $[e'/x]\lambda x$ .  $e = \lambda x$ .  $e$   
 $[e'/x]\lambda y$ .  $e = \lambda y$ .  $[e'/x]e$ , if  $y \neq x$   
 $[e'/x]e_1 e_2 = [e'/x]e_1 [e'/x]e_2$ 

This definition is partial — does not work with open-terms.

$$[x/y]\lambda x. \ y = \lambda x. \ x$$

is incorrect

- For how to do it right, see CS3100 Lecture on Lambda Calculus syntax
- Our fix: we will substitute only on closed terms

### OpSem: Big Step

$$\frac{}{\lambda x.\; e \; \Downarrow \; \lambda x.\; e} \quad \frac{e_1 \; \Downarrow \; \lambda x.\; e \quad e_2 \; \Downarrow \; v \quad [v/x]e \; \Downarrow \; v'}{e_1 \; e_2 \; \Downarrow \; v'}$$

## Turing Completeness

$$\Omega = (\lambda x. x x) (\lambda x. x x)$$

Theorem 10.1.  $\Omega$  does not evaluate to anything. In other words,  $\Omega \downarrow v$  implies a contradiction.

#### Church Numerals

zero = 
$$\lambda f. \lambda x. x$$
  
plus1 =  $\lambda n. \lambda f. \lambda x. f (n f x)$ 

- Let's show that the church numerals do encode natural numbers as we know them
- First, relate nats to church numerals

$$\begin{bmatrix} 0 \end{bmatrix} = x \\
[n+1] = f((\lambda f. \lambda x. [n]) f x)$$

- n+l definition seems unnecessarily large.
  - That is what call-by-value (cbv) reduction produces.

Canonical Representation 
$$\underline{n} = \lambda f. \ \lambda x. \ |n|$$

### Correctness of Encoding

 Given an encoding e and a natural number n, we say that e is a correct encoding of n if

$$e \sim n \equiv e \Downarrow n$$

Theorem 10.2. zero  $\sim 0$ .

Theorem 10.3. If  $e_n \sim n$ , then plus  $e_n \sim n + 1$ .

add =  $\lambda n$ .  $\lambda m$ . n plus 1 m

THEOREM 10.4. If  $e_n \sim n$  and  $e_m \sim m$ , then add  $e_n e_m \sim n + m$ .

mult =  $\lambda n$ .  $\lambda m$ . n (add m) zero

THEOREM 10.5. If  $e_n \sim n$  and  $e_m \sim m$ , then mult  $e_n e_m \sim n \times m$ .

### Small-step semantics

Evaluation contexts  $C ::= \Box \mid C e \mid v C$ 

$$\overline{C[(\lambda x.\ e)\ v] \to C[[v/x]e]}$$

Theorem 10.6. If  $e \rightarrow^* v$ , then  $e \downarrow v$ .

Theorem 10.7. If  $e \downarrow v$ , then  $e \rightarrow^* v$ .

#### Simply Typed Lambda Calculus

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Types \tau ::= \mathbb{N} \mid \tau \to \tau
```

## STLC: Dynamic Semantics

Evaluation contexts  $C ::= \Box | C e | v C | C + e | v + C$ 

$$\overline{(\lambda x. e) \ v \to_0 [v/x]e} \quad \overline{n+m \to_0 n+m}$$

$$\frac{e \to_0 e'}{C[e] \to C[e']}$$

#### STLC: Static Semantics

$$\frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau} \quad \frac{\Gamma \vdash e_1 : \mathbb{N} \quad \Gamma \vdash e_2 : \mathbb{N}}{\Gamma \vdash e_1 + e_2 : \mathbb{N}}$$

$$\frac{\Gamma, x : \tau_1 \vdash e : \tau_2}{\Gamma \vdash \lambda x. \; e : \tau_1 \to \tau_2} \quad \frac{\Gamma \vdash e_1 : \tau_1 \to \tau_2 \quad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash e_1 \; e_2 : \tau_2}$$

### Type Soundness

- Well-typed programs do not get stuck
  - → stuck: not a value, but cannot reduce further

THEOREM 10.14 (Type Soundness). If  $\vdash e : \tau$ , then  $\neg stuck$  is an invariant of  $\mathbb{T}(e)$ .

Progress: If lambda expression e has type t, then e isn't stuck.

Lemma 10.8 (Progress). If  $\vdash e : \tau$ , then e isn't stuck.

Preservation: If expression e has type t, and e —> e' then
 e' has type t

LEMMA 10.13 (Preservation). If  $e_1 \rightarrow e_2$  and  $\vdash e_1 : \tau$ , then  $\vdash e_2 : \tau$ .

#### Type Soundness: Other Lemmas

Lemma 10.9 (Weakening). If  $\Gamma \vdash e : \tau$  and every mapping in  $\Gamma$  is also included in  $\Gamma'$ , then  $\Gamma' \vdash e : \tau$ .

Proof. By induction on the derivation of  $\Gamma \vdash e : \tau$ .

Lemma 10.10 (Substitution). If  $\Gamma, x : \tau' \vdash e : \tau \ and \vdash e' : \tau'$ , then  $\Gamma \vdash [e'/x]e : \tau$ .

PROOF. By induction on the derivation of  $\Gamma, x : \tau' \vdash e : \tau$ , with appeal to Lemma 10.9.

LEMMA 10.11. If  $e \rightarrow_0 e'$  and  $\vdash e : \tau$ , then  $\vdash e' : \tau$ .

PROOF. By inversion on the derivation of  $e \rightarrow_0 e'$ , with appeal to Lemma 10.10.

LEMMA 10.12. If any type of  $e_1$  is also a type of  $e_2$ , then any type of  $C[e_1]$  is also a type of  $C[e_2]$ .

PROOF. By induction on the structure of C.