# CHAPTER 4

COUNTING AND THE PIGEONHOLE PRINCIPLE

#### **HOMEWORK**

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- Section 4.4 (p. 194) #11-17, (No: 30-33)
- Section 4.5 (p. 204) #1-5, 22-26, (No : 42-45)
- Section 4.6 (p. 210) #1-3, 7-9, 15-17, (No : 22-24)
- Section 4.7 (p. 219) #1, 3-5, 10-11

## WORDS THAT CAN BE MADE FROM THE LETTERS IN THE WORD FREMONT

- By "words" here I mean sequences of letters
- The sequences may not be actual English words
  - For example, I will consider FTMNR to be a word
- The question is
  - How many 7-letter words can we make from "Fremont"
- There are 7 letters, so the number of words (permutations!) is 7!

### WORDS THAT CAN BE MADE FROM THE LETTERS IN THE WORDS APPLE PIE

- Let's pretend that apple pie is one big word applepie
- The number of letters there is 8
- Let me number the letters

1	2	3	4	5	6	7	8
Α	Ρ	P	L	Ε	Ρ	_	Ε

- I will indicate words by listing the letters
- For example, 2-7-5 is pie
- What is 3-7-4-8?

#### **COUNTING THE WORDS**

- How many different 8-letter words can we make?
- It would not be fair to say that the number is 8!
- For example, all of 1,2,3,4,5,6,7,8 and 1,6,3,4,8,2,7,5 and 1,2,6,4,5,3,7,8 spell applepie
- You have counted applepie three times!
  - The word applepie appears even more than three times!
- So we have overcounted

## COUNTING THE WORDS SYSTEMATICALLY

- First, let's draw eight slots for the letters: \_\_\_ \_\_ \_\_ \_\_ \_\_ \_\_
- Next, let's focus on the letters that appear multiple times
  - There are 3 P's and 2 E's
- So, focusing on the P's we have C(8,3) ways of putting the P's into the blanks
- Once those have been placed, there are 5 spaces left
- This means that there are C(5,2) ways of placing the E's
- Then, for the remaining letters, we have 3! ways of doing that

#### CALCULATING THE ANSWER

• So the calculation for the answer is

$$C(8,3)C(5,2) \bullet 3! = \frac{8!}{3!5!} \frac{5!}{2!3!} \frac{3!}{1} = \frac{8!}{3!2!}$$

#### GENERALIZING THE FORMULA

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   Suppose S is a set containing
   n<sub>1</sub> identical items of type I
   n<sub>2</sub> identical items of type 2
   .
```

n<sub>t</sub> identical items of type t

Suppose n is the number of items in S

Then the number of orderings of S is

$$\frac{n!}{n_1!n_2!\cdots n_t!}$$

#### MORE GENERALIZED COMBINATIONS

- Now, suppose we have a set containing t elements
- We want to choose k-element subsets with repetition
- We want to know how many there are
- Then the number of combinations is C (k+t-1, k)
- Proof:
  - Suppose the set is  $\{a_1, a_2, ..., a_t\}$
  - Create a row with k+t-l slots
- Put k x's and t-I bars in the slots; x represents something; a bar represents a divider
- X's up to first bar are a<sub>1</sub>s, etc.
- There are C(k+t-1) choices for the bars

### **COMBINATORIAL IDENTITIES**

- New terminology: C(n,k) is a binomial coefficient
- Identity I:

$$- C(n+1,k) = C(n,k+1) + C(n,k)$$

• Identity 2: (The Binomial Theorem

$$(a+b)^{n} = \sum_{k=0}^{n} C(n,k)a^{k}b^{n-k}$$

- Identity 3:
- entity 3:

  The sum of Row n in Pascal's triangle is  $2^n$ , or in symbols  $\sum_{k=0}^{k=n} C(n,k) = 2^n$

$$\sum_{k=0}^{k=n} C(n,k) = 2^k$$

Let's try p. 215, #22

#### THE PIGEONHOLE PRINCIPLE-VERSION 1

- Suppose we have k+1 pigeons
- Suppose we also have k holes to hold the pigeons
  - Each hole can hold only one pigeon
- We put pigeon treats in the holes
- The pigeons fly to the holes
- One pigeon will be left out
- We usually say this another way
- If we have k+1 pigeons and k pigeonholes to put them into, then
  - Some hole has at least 2 pigeons
- This still works if we have more than k+l pigeons

#### THE PIGEONHOLE PRINCIPLE-VERSION 2

- Now suppose we have a function from a set X to a set Y
- We also assume that both X and Y are finite sets and that X is "bigger" than Y
  - This means that |X| > |Y|
- Then we can say that f(a) = f(b) for some different a and b in X

#### THE PIGEONHOLE PRINCIPLE-VERSION 3

- Now, the setting is the same as in Version 2:
- f is a function from X to Y
- Both X and Y are finite sets
- |X| > |Y|
- Then, if k is the ceiling of |X| / |Y|
- There are at least k values,  $x_1, x_2, ..., x_k$  with  $f(x_1) = f(x_2) = ... = f(x_k)$

#### AN APPLICATION

- This is essentially Example 4.8.3 on p. 217
- Suppose a school has 200 different computer courses they offer
  - For example
    - Introduction to C++
    - Introduction to Java
    - Discrete Structures
- The courses are numbered 101, 102, 103, ..., 300 all in a row
- We choose IOI different courses
- Then at least two courses have consecutive numbers

#### THE PROOF

- Let's name the chosen courses c<sub>1</sub>, c<sub>2</sub>, c<sub>3</sub>, ..., c<sub>101</sub>
- Let's create another list  $c_1, c_2, c_3, ..., c_{101}, c_1+1, c_2+1, c_3+1, ..., c_{101}+1$
- Let's create a function from the second set to the original list of courses
  - Treat both lists as sets
- Now, there are 202 elements in the second (domain) set
- Also, there are 200 elements in the original course list (range set)
- The function is f(x) = x
  - Notice that this makes sense, as both domain and range are in {101, 102, 103, ..., 300}
- By the pigeonhole principle, there are two domain elements that map to the same range element

#### FINDING THE COURSES

- This means there are two items in the second set that are equal
- Now, no two c<sub>i</sub> can be equal
- Also, no two c<sub>i+1</sub> can be equal
- So, one c<sub>i</sub> must equal a c<sub>i+1</sub>
- Of course, this means the two consecutive course are c<sub>i</sub>, c<sub>i+1</sub>