

CHAPTER 7

TREES

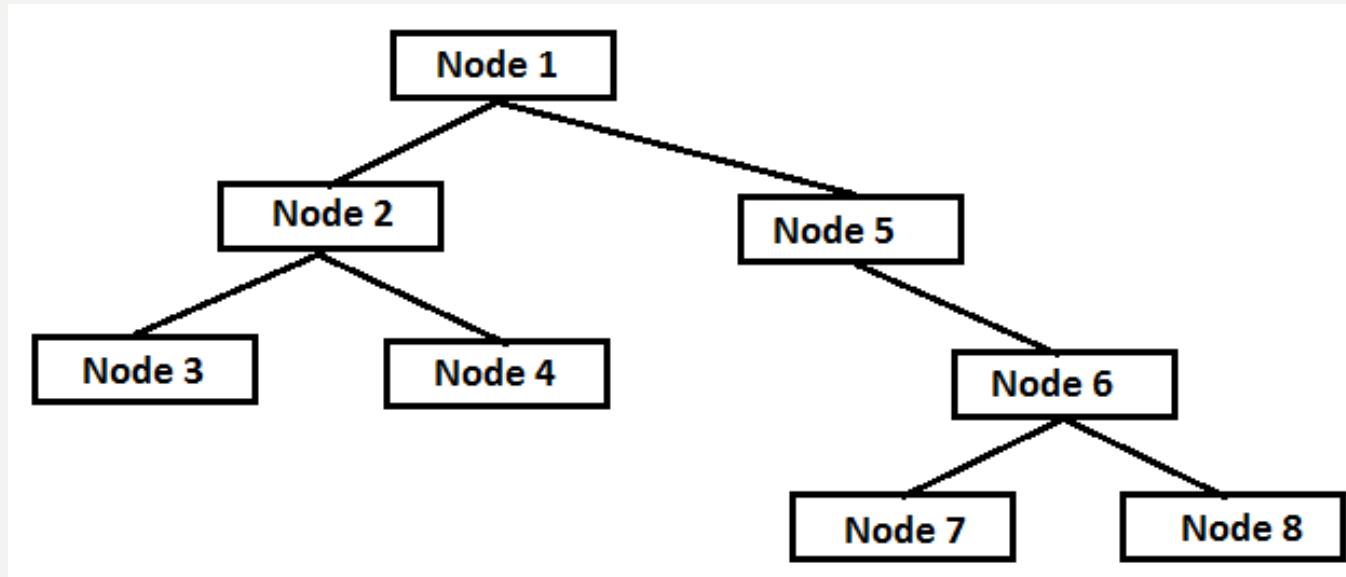
HOMEWORK

- **Again, all homework is from the Exercises**
 - **No problems are from the Review Exercises**
- **Section 7.1, (p. 330), #1-4, 14, 15, 18, 19, 24-25**
- **Section 7.3, (p. 342), #2, 5, 7-9**
- **Section 7.5, (p. 354), #1, 5-6**
- **Section 7.6, (p. 360), #1-3, 6-8, 11-15, 16-17**
- **Section 7.7, (p. 366), #1-4, 9**
- **Section 7.8, (p. 375). #1-3, 7-12**

TREES

- **A tree T is a graph where**
 - there is a single path from any vertex to any other
- **Usually a tree has a root**
 - A root is just a special vertex
 - A tree with a root is called a rooted tree

VOCABULARY



- **Node, edge, leaf (terminal vertex), interior node**
- **Height of the tree, level of a vertex**
- **Most trees have roots, and have this additional vocabulary**
 - **Sibling, parent, child, ancestor, descendant**

THREE QUICK NOTES

- Trees are always connected
- If a tree has n nodes (vertices), it has $n-1$ edges
- A tree has no cycles

USES OF TREES

- **Lots of types of data can be stored naturally in a tree**
 - **A company's structure (organization chart)**
 - **File hierarchy on a disk**
 - **Family trees (Example: p. 331, Fig. 7.2.1)**
 - **Tournaments (A very common use)**
- **A tree can be used for making decisions**
 - **A game tree is one example of a decision tree**

HUFFMAN CODES

- Sometimes we compress data
 - One reason to do that is to transmit it across the internet
- One method of compression is Huffman codes
- These can be pictured using a tree
- First, we use a Huffman code to decode data
 - p. 327, Figure 7.1.10
- Notice that different characters might have different numbers of bits

CONSTRUCTING A HUFFMAN CODE TREE FROM DATA-THE RAW DATA

- p. 330, #24

Letter	Frequency
A	5
B	6
C	6
D	11
E	20

CONSTRUCTING A HUFFMAN CODE TREE FROM DATA-THE ALGORITHM (PART 1)

- Start with the smallest two frequencies
- Add them
- Find the next smallest frequency
- Add it to the sum
- Continue the process until you have only two numbers
 - 5,6,6,11,20 >>> 11,6,11,20 >>> 11,17,20 >>> 28,20

CONSTRUCTING A HUFFMAN CODE TREE FROM DATA-THE ALGORITHM (PART 2)

- Draw a tree with two edges
- Label the left edge 1 and the right edge 0
- Make the two numbers the leaves
- Repeat this process, undoing the summing process you just did

VOCABULARY FOR PROOF

- **An acyclic graph**
 - A graph with no cycles
- **“The following are equivalent”**
 - Common abbreviation: **TFAE**
 - What does it mean?
 - It means that if any one of the parts is true, so are all the others
 - How do we usually prove it?

A USEFUL THEOREM (T7.2.3, P.333)

- Let T be a graph with n vertices. The following are equivalent
 - a) T is a tree
 - b) T is connected and acyclic
 - c) T is connected and has $n-1$ edges
 - d) T is acyclic and has $n-1$ edges

PROOF OF T7.2.3 ($A \rightarrow B$)

- $a \rightarrow b$: A tree is connected and acyclic by definition

PROOF OF T7.2.3 ($B \rightarrow C$)

- $b \rightarrow c$: Suppose T is connected and acyclic
 - Proof by induction on the number of vertices
 - The Base Step: 1 vertex
 - This graph is a tree

PROOF OF T7.2.3 (B \rightarrow C)

- **The Inductive Step**
 - Assume every connected, acyclic graph with n vertices has $n-1$ edges
 - Show that if T is a connected, acyclic graph with $n+1$ vertices, then T has n edges
- Find a simple path of maximum length
 - It can't be a cycle because graph is acyclic
- So the path has a “starting point”: a vertex of degree 1
- Remove that vertex and its incident edge
- This new graph has n vertices
- By the induction hypothesis, this new graph is a tree with $n-1$ edges
- Put the vertex and edge back in to get the result

PROOF OF T7.2.3 ($C \rightarrow D$)

- We must show graph to be acyclic
- If the graph has no cycles, we are done
- Otherwise, (Proof by contradiction)
 - if the graph has a cycle, remove edges, one at a time, until no more cycles exist
 - Notice that this doesn't disconnect the graph and it doesn't remove vertices
 - If there is a cycle, we have removed at least one edge
 - The new graph is connected and acyclic
 - So, by $b \rightarrow c$, the new graph has $n-1$ edges
 - Put back the removed edges to get the original graph
 - The original graph now has more than $n-1$ edges
 - This contradicts our assumption

PROOF OF T7.2.3 (D \rightarrow A)

- The graph has no loops because a loop is a cycle
- It also cannot have multiple edges
 - These are also cycles
- So there is a unique path between any pair of vertices
 - The graph may be disconnected, though
- If it is disconnected, (Proof by contradiction)
 - The proof continues on the next slide

PROOF OF T7.2.3 (D \rightarrow A, CONTINUED)

- If it is disconnected, (Proof by contradiction)
 - Suppose the pieces are G_1, \dots, G_n , where $n \geq 2$
 - The total number of vertices in each G_i is n_i
 - The total number of edges in each G_i is $n_i - 1$, by $b \rightarrow c$
 - $n - 1 = (n_1 - 1) + (n_2 - 1) + \dots + (n_k - 1)$ **counting edges**
 - $< n_1 + n_2 + \dots + (n_k - 1)$
 - $= n - 1$ **counting vertices**
 - This is impossible, and so T is connected.

SPANNING TREES

- **A spanning tree is a subgraph of a graph that contains all vertices**
- **A graph may have more than one spanning tree**

GRAPHS AND SPANNING TREES

- **A graph with a spanning tree must be connected**
 - **Proof by contradiction**
 - **Suppose you have a graph with a spanning tree that is not connected**
 - **Find two vertices in different components**
 - **Since there is a spanning tree, there is a path between these two vertices**
 - **This is a contradiction, and the graph must be connected**

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