

# CS 577 - Basics of Algorithm Analysis

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# ALGORITHM ANALYSIS

## Algorithm Evaluation

- Sound
- Complete
- Resource requirements:
  - Time
  - Space
  - Other...

# COMPUTATIONAL TRACTABILITY

# DEFINING EFFICIENCY

## Definition 1<sup>1</sup>

An algorithm is efficient if, when implemented, it runs quickly on real input instances.

Issues:

- Not concrete enough for meaning algorithm comparison.
- What is “quickly”?
- What are “real input instances”?

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<sup>1</sup>Algorithm Design, p. 30

# DEFINING EFFICIENCY

## Definition 2<sup>2</sup>

An algorithm is efficient if it achieves qualitatively better *worst-case* performance, at an analytical level, than *brute force* search.

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<sup>2</sup>Algorithm Design, p. 32

# QUANTIFYING AN ALGORITHM'S PERFORMANCE

## Brute-force

- 1 Enumerate all possible solutions.
- 2 Check all possible solutions and keep the best one.

## Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

# DEFINING EFFICIENCY

## Definition 2<sup>2</sup>

An algorithm is efficient if it achieves qualitatively better *worst-case* performance, at an analytical level, than *brute force* search.

Issues:

- Still too vague for a good measure.
- What exactly is “qualitative”?

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<sup>2</sup>Algorithm Design, p. 32

# STABLE MARRIAGE PROBLEM (SMP) (1962)<sup>123</sup>

## Problem Definition

Given a set of  $n$  men,  $M$ , and an opposite set of  $n$  women,  $W$ . Each person has a preference ranking of the opposite set. Compute a stable matching between  $M$  and  $W$ . A matching is stable if it is (i) perfect, and (ii) there are no pairs  $(m, w)$  and  $(m', w')$  in the matching where  $m$  prefers  $w'$  and  $w'$  prefers  $m$ .

- A.k.a Stable Matching Problem.
- There are more complicated variations of the model.
- Used in the real world (e.g. matching doctors to hospitals).
- Nobel Prize in Economics in 2012 (Shapley and Roth).

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<sup>1</sup>Algorithm Design, Ch 1.

<sup>2</sup>Algorithms, Ch 4.5

<sup>3</sup><http://mathsite.math.berkeley.edu/smp/smp.html>



# ANALYSIS OF SMP

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## Algorithm: Gale-Shapley Algorithm (1962)

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Initially all  $m \in M$  and  $w \in W$  are free

**while** *there is a man  $m$  who is free and hasn't proposed to every woman* **do**

    Choose such a man  $m$

    Let  $w$  be the highest-ranked woman in  $m$ 's preference list to whom  $m$  has not yet proposed

**if**  $w$  is free **then**

$(m, w)$  become engaged

**else**  $w$  is currently engaged to  $m'$

**if**  $w$  prefers  $m'$  to  $m$  **then**

$m$  remains free

**else**  $w$  prefers  $m$  to  $m'$

$(m, w)$  become engaged

$m'$  becomes free

**end**

**end**

**end**

**return** *the set  $S$  of engaged pairs*

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### TopHat 1

How many brute-force possibilities when there are  $n$  men and  $n$  women?

# DEFINING EFFICIENCY

## Definition 3<sup>3</sup>

An algorithm is efficient if it has a polynomial running time with respect to the input size.

Polynomial:  $f(n) = c_d \cdot n^d + c_{d-1} \cdot n^{d-1} + \dots + c_1 \cdot n + c_0$ , where  $d$  and  $c_i$  are constants.

Well defined notion:

- Natural follow-up: what is the most efficient algorithm possible?
- Not perfect:  $n^{100}$  is polynomial, but  $n^{1+0.02(\log n)}$  is not.

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<sup>3</sup>Algorithm Design, p. 32

# ANALYSIS OF SMP

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$(m, w)$  become engaged

$m'$  becomes free

**end**

**end**

**end**

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### TopHat 2

In an implementation of SMP, what would be the input size when there are  $n$  men and  $n$  women?

# ANALYSIS OF SMP

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**end**

**end**

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**return** *the set  $S$  of engaged pairs*

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### TopHat 3

In the Gale-Shapely algorithm, what is the maximum number of iterations when there are  $n$  men and  $n$  women?

# QUANTIFYING AN ALGORITHM'S PERFORMANCE

## Brute-force

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- 2 Check all possible solutions and keep the best one.

## Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

# QUANTIFYING AN ALGORITHM'S PERFORMANCE

## Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

## Average-case

Given a distribution over the possible inputs, what is the expected performance of the algorithm?

- Without mention of distribution, uniform is assumed.
- Analysis typically more complicated.

# QUANTIFYING AN ALGORITHM'S PERFORMANCE

## Worst-case

Considering all possible inputs, what is worst possible performance of the algorithm?

- Absolute guarantee on performance.
- Only needs one data point.

## Best-case

Considering all possible inputs, what is best possible performance of the algorithm?

- Tends to be meaningless
- Could used when choosing between 2 otherwise equivalent algorithms.

# INSERTION SORT ANALYSIS

(INTRODUCTION TO ALGORITHMS, P.26)

INSERTION-SORT( $A$ )

1 **for**  $j = 2$  **to**  $A.length$

2      $key = A[j]$

3     // Insert  $A[j]$  into the sorted  
          sequence  $A[1..j-1]$ .

4      $i = j - 1$

5     **while**  $i > 0$  and  $A[i] > key$

6          $A[i+1] = A[i]$

7          $i = i - 1$

8      $A[i+1] = key$

*cost*

*times*

$c_1$

$n$

$c_2$

$n - 1$

0

$n - 1$

$c_4$

$n - 1$

$c_5$

$\sum_{j=2}^n t_j$

$c_6$

$\sum_{j=2}^n (t_j - 1)$

$c_7$

$\sum_{j=2}^n (t_j - 1)$

$c_8$

$n - 1$

Overall:

$$T(n) = c_1 n + c_2(n-1) + c_4(n-1) + c_5 \sum_{j=2}^n t_j + c_6 \sum_{j=2}^n (t_j - 1) + c_7 \sum_{j=2}^n (t_j - 1) + c_8(n-1)$$

$$\leq c_1 n + c_2(n-1) + c_4(n-1) + c_5 \sum_{j=2}^n j + c_6 \sum_{j=2}^n (j-1) + c_7 \sum_{j=2}^n (j-1) + c_8(n-1)$$



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*cost*

*times*

$c_1$

$n$

$c_2$

$n - 1$

0

$n - 1$

$c_4$

$n - 1$

$c_5$

$\sum_{j=2}^n t_j$

$c_6$

$\sum_{j=2}^n (t_j - 1)$

$c_7$

$\sum_{j=2}^n (t_j - 1)$

$c_8$

$n - 1$

Overall:

$$T(n) \leq c_1 n + c_2(n-1) + c_4(n-1) + c_5 \sum_{j=2}^n j + c_6 \sum_{j=2}^n (j-1) + c_7 \sum_{j=2}^n (j-1) + c_8(n-1)$$

$$= an^2 + bn - d$$

# ASYMPTOTIC ORDER OF GROWTH

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## Bounding $f(n)$ as $n$ grows

- Bound  $f(n)$  from above.
- Bound  $f(n)$  from below.

## Bachmann–Landau notation (Asymptotic notation)

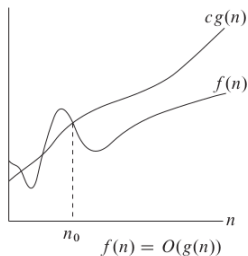
- |                                    |                               |
|------------------------------------|-------------------------------|
| • Big-Oh: $O (\leq)$               | • Little-oh: $o (<<)$         |
| • Big-Omega: $\Omega (\geq)$       | • Little-omega: $\omega (>>)$ |
| • Big-Theta: $\Theta$ (equivalent) |                               |

# BIG-OH

## ASYMPTOTIC UPPER BOUND

### Formal Definition<sup>1</sup>

$$O(g(n)) = \{f(n) : \exists c, n_0 > 0 \mid \\ 0 \leq f(n) \leq cg(n) \forall n \geq n_0\}$$



Insertion sort:

$$T(n) = an^2 + bn - d \in O(n^2)$$

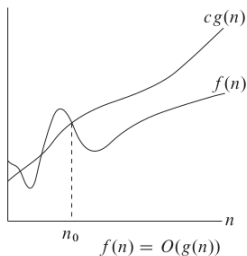
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# BIG-OH

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### Formal Definition<sup>1</sup>

$$O(g(n)) = \{f(n) : \exists c, n_0 > 0 \mid \\ 0 \leq f(n) \leq cg(n) \forall n \geq n_0\}$$



Insertion sort:

$$T(n) = an^2 + bn - d = O(n^2)$$

Often used, but technically an abuse of notation

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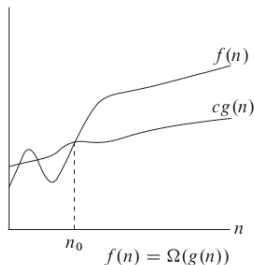
<sup>1</sup>Introduction to Algorithms, Ch 3.1

# BIG-OMEGA

## ASYMPTOTIC LOWER BOUND

### Formal Definition<sup>1</sup>

$$\Omega(g(n)) = \{f(n) : \exists c, n_0 > 0 \mid \\ 0 \leq cg(n) \leq f(n) \forall n \geq n_0\}$$



Insertion sort:

$$T(n) = an^2 + bn - d \in \Omega(n^2)$$

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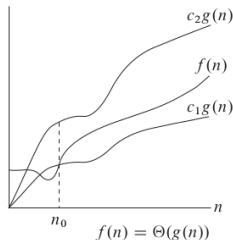
<sup>1</sup>Introduction to Algorithms, Ch 3.1

# BIG-THETA

## ASYMPTOTIC TIGHT BOUND

### Formal Definition<sup>1</sup>

$$\Theta(g(n)) = \{f(n) : \exists c_1, c_2, n_0 > 0 \mid \\ 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \forall n \geq n_0\}$$



Insertion sort:

$$T(n) = an^2 + bn - d \in \Theta(n^2)$$

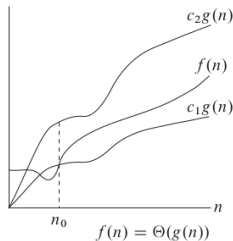
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# BIG-THETA

## ASYMPTOTIC TIGHT BOUND

### Formal Definition<sup>1</sup>

$$\Theta(g(n)) = \{f(n) : \exists c_1, c_2, n_0 > 0 \mid \\ 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n) \forall n \geq n_0\}$$



### Key Property

For any two functions  $f(n)$  and  $g(n)$ , we have  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

<sup>1</sup>Introduction to Algorithms, Ch 3.1



## LITTLE-OH

Formal Definition<sup>1</sup>

$$o(g(n)) = \{f(n) : \forall c > 0 \exists n_0 > 0 \mid \\ 0 \leq f(n) < cg(n) \forall n \geq n_0\}$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

Insertion sort:

$$\begin{aligned} T(n) = an^2 + bn - d &\in o(n^3) \\ &\in O(n^3) \\ &\in O(n^2) \\ &\notin o(n^2) \end{aligned}$$

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<sup>1</sup>Introduction to Algorithms, Ch 3.1

## LITTLE-OMEGA

Formal Definition<sup>1</sup>

$$\omega(g(n)) = \{f(n) : \forall c > 0 \exists n_0 > 0 \mid \\ 0 \leq cg(n) < f(n) \forall n \geq n_0\}$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

Insertion sort:

$$\begin{aligned} T(n) &= an^2 + bn - d \in \omega(n) \\ &\in \Omega(n) \\ &\in \Omega(n^2) \\ &\notin \omega(n^2) \end{aligned}$$

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<sup>1</sup>Introduction to Algorithms, Ch 3.1

# USEFUL ASYMPTOTIC PROPERTIES

## Polynomial Bound

For  $c_d > 0$ ,  $f(n) = c_d \cdot n^d + c_{d-1} \cdot n^{d-1} + \dots + c_1 \cdot n + c_0 = O(n^d)$

## Logarithms

- $\log_b n = \frac{\log_a n}{\log_a b} = \Theta(\log n)$
- $(\log n)^a = o(n^b)$  for any  $a, b > 0$

## Exponential

- For every  $r > 1$  and every  $d > 0$ ,  $n^d = o(r^n)$
- $r^n = o(s^n)$  for  $r < s$

# ANALYSIS OF SMP

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## Algorithm: Gale-Shapley Algorithm (1962)

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$(m, w)$  become engaged

$m'$  becomes free

**end**

**end**

**end**

**return** *the set  $S$  of engaged pairs*

---

### Exercise

How would you implement this algorithm so that it has a running time of  $O(n^2)$ ?

## COMMON RUNTIMES

