

# Advice for Packing Items into Bins

Kayman Brusse

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# Why Advice?

In the online setting, algorithms have **zero** knowledge about the incoming input.

Algorithms *with advice model* the scenario where some a priori knowledge is available, and can be leveraged during online computation.

# Model

**Tape Model:** An omniscient oracle populates a tape with  $b$  bits of advice, which the algorithm can read from during execution.

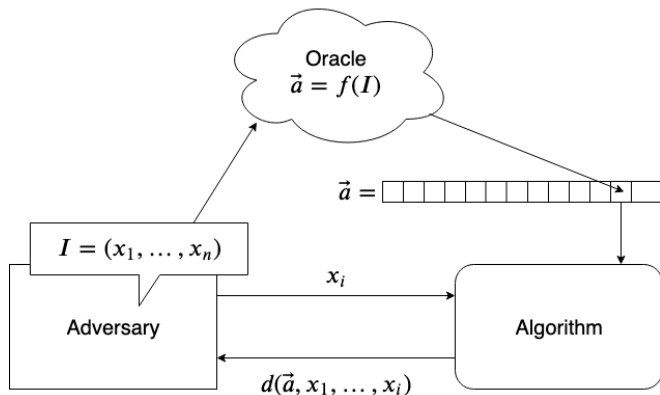


Figure 1: An algorithm making decisions using advice

# Talk Plan

Introduce three problems in the online model:

- ▶ Bin Packing
- ▶ Bin Covering
- ▶ Dual Bin Packing

For each problem, we present a recent result that highlights the difference between these problems when advice is taken into account.

# Bin Packing

**Input:** A sequence  $\sigma = x_1, \dots, x_n$  of  $n$  items, each of size  $x_i \in (0, 1]$ .

In this problem each bin has a capacity of 1. The objective is to place *every* item into a bin in such a way that **minimizes** the number of bins used.

# Bin Covering

**Input:** A sequence  $\sigma = x_1, \dots, x_n$  of  $n$  items, each of size  $x_i \in (0, 1]$ .

Bins have unlimited (or 2) capacity, but we say a bin is *covered* if the total size of items inside is at least 1. The objective is to place the items into bins in order to **maximize** the number of bins covered.

## Example

Take  $\sigma = 0.9, 0.5, 0.4, x_4, x_5, x_6$ .

- ▶ If  $x_4 = 0.1$  and  $x_5 = 0.5$ , and  $x_6 = 0.6$  then  $OPT(\sigma) = 3$ .
- ▶ If  $x_4 = x_5 = x_6 = \epsilon$  then  $OPT(\sigma) = 1$ .

An online algorithm can't distinguish between these two cases!

# Dual-Bin Packing

**Input:** A sequence  $\sigma = x_1, \dots, x_n$  of  $n$  items, each of size  $x_i \in (0, 1]$ , and  $m$  bins of capacity 1.

The objective is to place *some subset* of the items into bins in order to **maximize** the number of **items** packed. We denote the maximum bit size of any  $x_i$  by  $s$ .

## Example

Take  $m = 2$  and  $\sigma = 0.9, 0.9, x_1, x_2$ .

- ▶ If  $x_1 = x_2 = 0.1$ , then  $OPT(\sigma) = 4$ .
- ▶ If  $x_1 = x_2 = 0.5$  then  $OPT(\sigma) = 3$ .

## Results without Advice

All of these problems are well studied.

Problem	Upper Bound CR	Lower Bound CR
Bin Packing	1.5783	1.54278
Bin Covering	0.5	0.5
Dual Bin Packing <sup>1</sup>	-	$\infty$

Table 1: Bounds on the competitive ratio without advice.

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<sup>1</sup>More assumptions on the input need to be made to get a constant ratio.



# Bin Packing with Constant Advice

**Theorem 1** (Angelopoulos et al. 2018)

For any  $k \geq 4$ , there is an online algorithm for bin packing with  $k$  bits of advice and a competitive ratio of  $1.5 + \frac{15}{2^{k/2+1}}$

In particular, when  $k = 16$ , the ratio is  $< 15.3$ , better than any strictly online algorithm.

## Bin Packing Item Sizes

$$(0, 1] = \underbrace{\underbrace{(0, \frac{1}{3}]}_{\textit{Tiny}} \cup \underbrace{(\frac{1}{3}, \frac{1}{2}]}_{\textit{Mini}}}_{\textit{Small}} \cup \underbrace{\underbrace{(\frac{1}{2}, \frac{2}{3}]}_{\textit{Critical}} \cup \underbrace{(\frac{2}{3}, 1]}_{\textit{Huge}}}_{\textit{Large}}$$

# The RESERVECRITICAL Algorithm

Use  $\log n$  bits of advice to encode the number of critical items in  $\sigma$ , and reserve space.

1. **Huge:** Open a new bin and pack in separately.
2. **Critical:** Pack into a bin with reserved  $\frac{2}{3}$  space.
3. **Mini:** Pack beside an previous mini item, or open a new bin.
4. **Tiny:** Pack FIRSTFIT into non-reserved space, or pack into a dedicated tiny bin.

## Lemma

RESERVECRITICAL has a competitive ratio of 1.5.

# The REDBLUE Algorithm

**Goals:** Approximate RESERVECRITICAL using  $O(1)$  bits of advice

Let  $X$  and  $Y$  be the number of bins RESERVECRITICAL opens for critical and tiny items (resp) on input  $\sigma$ .

The oracle for REDBLUE encodes a value  $i$  using  $k$  bits of advice such that

$$\frac{i}{2^k} \leq \frac{X}{X+Y} < \frac{i+1}{2^k}$$

## The REDBLUE Algorithm cont'd

REDBLUE packs huge and mini items the same as before:

1. **Huge:** Open a new bin and pack in separately.
2. **Mini:** Pack beside a previous mini item, or open a new bin.

Maintain a set of **Blue** bins with reserved  $\frac{2}{3}$  space. Pack **critical** items in a blue bin, and open a new one if none exist.

Maintain a set of **Red** bins for **tiny** items and use FIRSTFIT. If a new bin is required, label it **Red** or **Blue** depending on  $i$ .

## The REDBLUE Algorithm cont'd

Set  $\beta = \frac{i}{2^k}$ . When opening a new bin for a **tiny** item it is labeled as follows:

1. If  $\beta > 1 - \frac{1}{2^{k/2}}$ , label it **blue**.
2. If  $\beta < \frac{1}{2^{k/2}}$ , label it **red**.
3. If  $\frac{1}{2^{k/2}} \leq \beta \leq 1 - \frac{1}{2^{k/2}}$ , consider  $R_{i-1}$  and  $B_{i-1}$  the current number of red and blue bins. If

$$\beta \leq \frac{B_{i-1} + 1}{B_{i-1} + R_{i-1} + 1}$$

label it **blue**, otherwise **red**.

# REDBLUE Analysis

## Lemma (Case 2)

If  $\beta < \frac{1}{2^{k/2}}$  then the average level of all red and blue bins (excluding at most two red bins) is at least  $\frac{3}{4}(1 - \frac{1}{2^{k-1}})$ .

All bins with **huge** and **mini** items (apart from maybe the last) have value  $\geq \frac{2}{3}$ , we have

$$\frac{OPT(\sigma)}{REDBLUE(\sigma)} < \frac{1}{\frac{2}{3}(1 - \frac{1}{2^{k-1}})} \leq 1.5 + \frac{3}{2^k - 2}$$

## REDBLUE Analysis cont'd

Let  $R$  and  $B$  be the number of red and blue bins in the final packing by REDBLUE.

### Lemma(Case 1)

If  $\beta > 1 - \frac{1}{2^{k/2}}$ , then  $B \leq (1 + \frac{5}{2^{k/2}})(X + Y) + 1$ , and  $R = 0$ .

### Lemma(Case 3)

If  $\frac{1}{2^{k/2}} \leq \beta \leq 1 - \frac{1}{2^{k/2}}$  then  $B + R < (X + Y)(1 + \frac{2}{2^{k/2}-2}) + 2^{k/2}$



## Proof of Theorem 1

In both cases, for some constants  $r$  and  $c$  (in terms of  $k$ ):

$$R + B \leq r(X + Y) + c$$

Let  $H$  and  $M$  be the number of huge and mini items:

$$\begin{aligned}\text{REDBLUE}(\sigma) &\leq H + \lceil \frac{M}{2} \rceil + R + B \\ &\leq H + \lceil \frac{M}{2} \rceil + r(X + Y) + c \\ &\leq (r)\text{RESERVECRITICAL}(\sigma) + c \\ &\leq (r)1.5\text{OPT}(\sigma) + c' \\ &\leq (1.5 + \frac{15}{2^{k/2+1}})\text{OPT}(\sigma) + c' \quad \text{for } k \geq 4\end{aligned}$$

Where the last inequality holds over *all* cases.

# A Lower Bound for Bin Covering

Theorem 2 (Boyar et al. 2019)

There is no algorithm for bin covering with only  $o(\log \log n)$  bits of advice that achieves a competitive ratio better than  $\frac{1}{2}$ .

## Family of Inputs

Consider the family of input sequences  $\{\sigma_j\}$  for  $1 \leq j \leq n$

$$\sigma_j = \underbrace{\langle \epsilon, \dots, \epsilon \rangle}_{n \text{ items}}, \underbrace{\langle 1 - j\epsilon, \dots, 1 - j\epsilon \rangle}_{\frac{n}{j} \text{ items}}$$

Observe that  $OPT(\sigma_j) = \frac{n}{j}$  by placing  $j$  copies of  $\epsilon$  in each bin.

## Proof of Theorem 2

Proceed by contradiction, and suppose  $A$  has competitive ratio  $\frac{1}{2} + \mu$  and uses  $o(\log \log n)$  bits of advice, where  $\mu > 0$ . It follows that:

$$A(\sigma_j) \geq \left(\frac{1}{2} + \mu\right)OPT(\sigma_j) - d = \frac{n}{2j} + \frac{\mu n}{j} - d$$

## Proof of Theorem 2 cont'd

We say that two sequences are part of the same *sub-family* if they receive the same advice. There are  $o(\log n)$  such sub-families.

If  $S = \{\sigma_{\alpha_1}, \dots, \sigma_{\alpha_k}\} \subseteq \{\sigma_j\}$  is a sub-family, then  $A$  places the first  $n$  items of  $\sigma_{\alpha_i}$  the same way.

Let  $m_i(S) = m_i$  denote the number of bins that get at least  $i$  items in such a placement of  $\epsilon$ 's, and observe that  $\sum_{i=1}^n m_i = n$ .

## Proof of Theorem 2 cont'd

On input  $\sigma_j \in S$ , if a bin has  $j$  copies of  $\epsilon$  in it, it can be covered in a single item. Hence:

$$A(\sigma_j) \leq m_j + \frac{(\frac{n}{j} - m_j)}{2} = \frac{n}{2j} + \frac{m_j}{2}$$

Since  $A(\sigma_j) \geq \frac{n}{2j} + \frac{\mu n}{j} - d$  from earlier, we have

$$\mu \frac{n}{j} \leq \frac{m_j}{2} + d$$

## Proof of Theorem 2 cont'd

If we sum over a sub-family, that is  $j \in \{\alpha_1, \dots, \alpha_k\}$ , we have

$$\mu n \left( \frac{1}{\alpha_1} + \dots + \frac{1}{\alpha_k} \right) \leq \frac{1}{2} (m_{\alpha_1} + \dots + m_{\alpha_k}) + kd \leq \left( \frac{1}{2} + d \right) n$$

Since  $d$  is fixed,  $\frac{1}{\alpha_1} + \dots + \frac{1}{\alpha_k} \in O(1)$

## Proof of Theorem 2 cont'd

Summing over all  $o(\log n)$  sub-families each sequence is included exactly once, we have

$$\sum_{i=1}^n \frac{1}{i} = o(\log n) \times O(1) \in o(\log n)$$

However,  $\sum_{i=1}^n \frac{1}{i} = H_n$  is well-known to be  $\Theta(\log n)$ , a contradiction.





# Dual Bin Packing and Input Bit Size

There exists an algorithm with  $O(\frac{s+\log(n)}{\epsilon^2})$  bits of advice that achieves a  $(1 + \epsilon)$  competitive ratio.

**Theorem 3** (Borodin, Pankratov, Salehi-Abari 2018)

An online algorithm for the dual bin packing problem with **unrestricted input bit size** that has competitive ratio  $1 + \epsilon$  requires  $(1 - O(\epsilon \log \epsilon))n = \Omega_\epsilon(n)$  bits of advice.

# The Binary Separation Problem

**Input:** A sequence  $\langle n_1, y_1, \dots, y_n \rangle$  of  $n = n_1 + n_2$  positive numbers, with  $n_1$  being "large" and  $n_2$  being "small".

As each number  $y_i$  is revealed, the algorithm must guess if it belongs to the large or small group. The correct answer is revealed after the guess.

**Theorem** (Boyar et al. 2016)

Assume an algorithm for this problem where each  $y_i$  has  $n$  bits makes  $r(n)$  mistakes using at most  $b(n)$  bits of advice. Set  $\alpha = (n - r(n))/n$ . If  $\alpha \in [\frac{1}{2}, 1)$ , then  $b(n) \geq (1 - O(\alpha \log \alpha))n$ .

## Proof by Reduction

Let  $ALG$  be an algorithm that solves the dual bin-packing problem with competitive ratio  $c$  and uses  $b(n)$  bits of advice.

We will describe  $ALG'$  which solves the binary separation problem by running  $ALG$  on an instance with  $2n$  numbers and  $n$  bins.

## Proof of Theorem 3

Let  $\delta_{\max} > \delta_{\min} > 0$  and let  $f : \mathbb{R}_{\geq 0} \rightarrow (\delta_{\min}, \delta_{\max})$  be a strictly decreasing function.

$ALG'$  processes input  $I = \langle n_1, y_1, \dots, y_n \rangle$  as follows:

1. The first  $n_1$  items given to  $ALG$  are set to  $\frac{1}{2} + \delta_{\min}$ .
2. To decide how to guess  $y_i$ , send the item  $\frac{1}{2} - f(y_i)$  to  $ALG$ . If  $ALG$  packs it in a bin with  $\frac{1}{2} + \delta_{\min}$ , then  $ALG'$  guesses  $y_i$  is large, and small otherwise.
3. After processing  $I$ , for each  $y_i$  that was revealed to be *truly* small, give a "complement" item of weight  $\frac{1}{2} + f(y_i)$ .

## Proof of Theorem 3 cont'd

- ▶  $p_1$ : number of items not packed in Phase 1).
- ▶  $s_2$ : number of **small** items not packed in Phase 2).
- ▶  $l_2$ : number of **large** items not packed in Phase 2).
- ▶  $p_3$ : number of items not packed in Phase 3).

Observe  $\text{OPT}$  packs all  $2n$  items. The number of items  $\text{ALG}$  does not pack is

$$p_1 + s_2 + l_2 + p_3 \leq 2n - \frac{2n}{c} \leq \frac{c-1}{c} 2n$$

## Proof of Theorem 3 cont'd

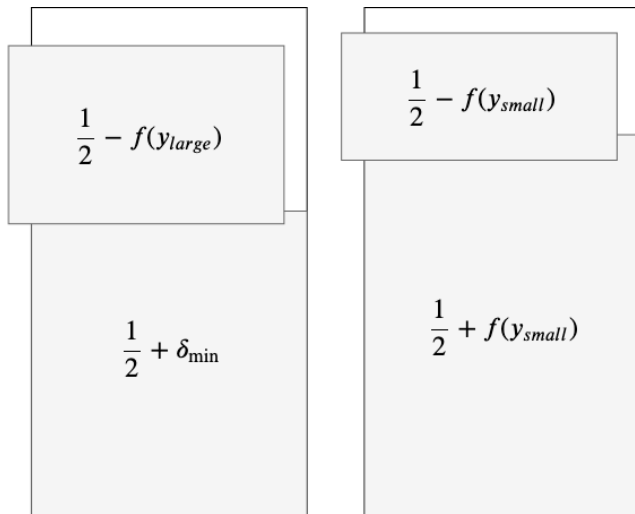


Figure 2: How ALG can pack items.

## Proof of Theorem 3 cont'd

If ALG guesses that a **large** item is small:

- ▶ It is unpacked, or placed in one of  $p_1 + p_3$  leftover bins.
- ▶ ALG guesses correct for large items at least

$$g_1 = (n_1 - p_1) - 2(p_1 + p_3) - l_2$$

If ALG guesses that a **small** item is large

- ▶ It places the small item with  $\frac{1}{2} + \delta_{\min}$  from Phase 1.
- ▶ ALG guesses correct for small items at least

$$g_2 = n_2 - s_2 - 2(p_1 + p_3) - l_2$$

## Proof of Theorem 3 cont'd

Thus,  $ALG'$  makes correct guesses at least:

$$\begin{aligned} g_1 + g_2 &= n_1 + n_2 - s_2 - p_1 - 4(p_1 + p_3) - 2l_2 \\ &\geq n_1 + n_2 - 5(p_1 + p_3 + s_2 + l_2) \\ &\geq n - 10 \frac{c-1}{c} n \end{aligned}$$

The ratio of good guesses is  $\frac{10-9c}{c}$ , which is greater than  $\frac{1}{2}$  for  $c < 1 + \frac{1}{19}$ .



## Proof of Theorem 3 cont'd

Observe

$$\frac{10 - 9(1 + \epsilon)}{1 + \epsilon} \in O(\epsilon)$$

If  $\epsilon < \frac{1}{19}$  then by the theorem for Binary Separation, in order for *ALG* to obtain a ratio of  $1 + \epsilon$ , it requires

$$(1 - O(\epsilon \log \epsilon))n = \Omega_{\epsilon}(n)$$

bits of advice.

## Remarks

- ▶ The last result implies a separation of advice complexity classes  $EAC$  and  $WEAC$ , by showing dependence on  $s$  is necessary.
- ▶ Efficiently computing advice has been left (mostly) untouched in this talk, but is still relevant.
- ▶ In general, improving bounds on competitive ratio with varying degrees of advice is still open for all of these problems.