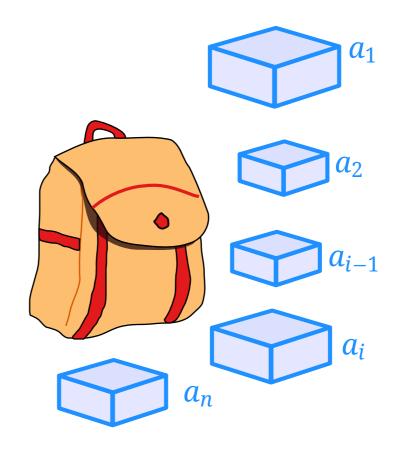
Approximation Schemes and the KNAPSACK Problem

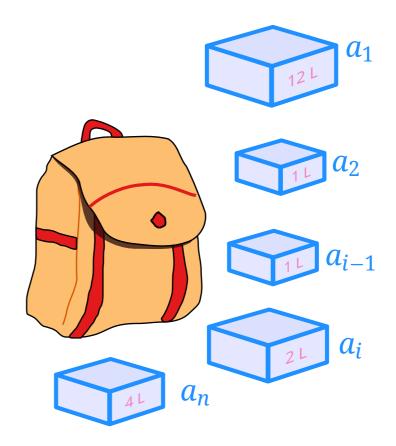


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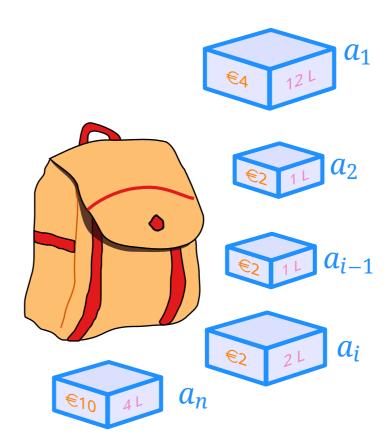
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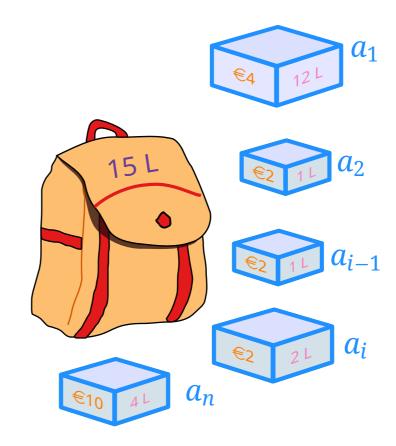
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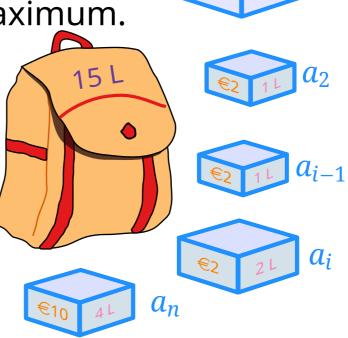
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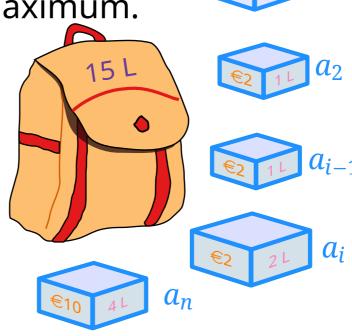
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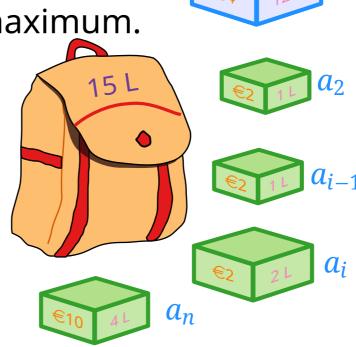
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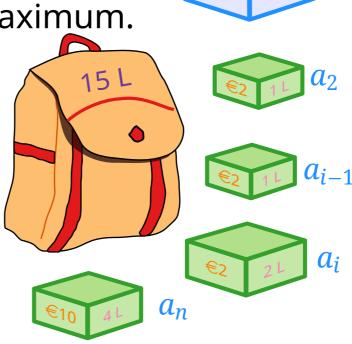
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NP-hard



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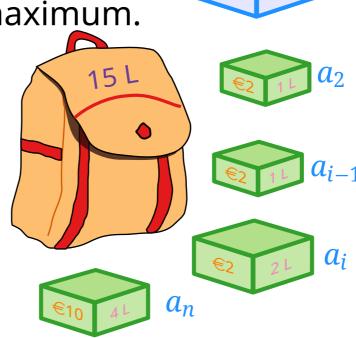
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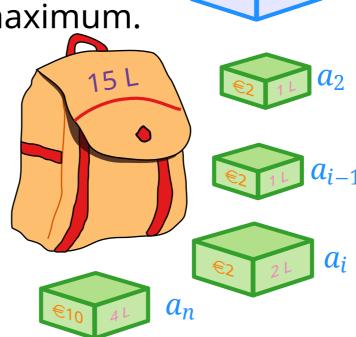
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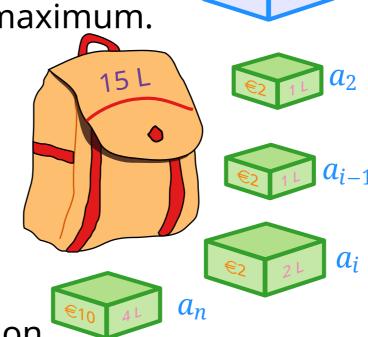
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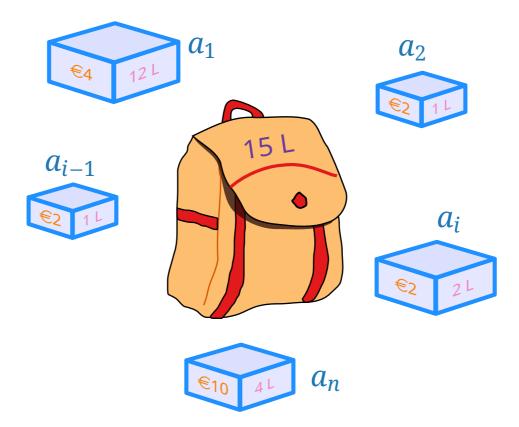
How well does this do?

arbitrarily bad!

but picking the max of this and the first element not picked gives a 2-approximation

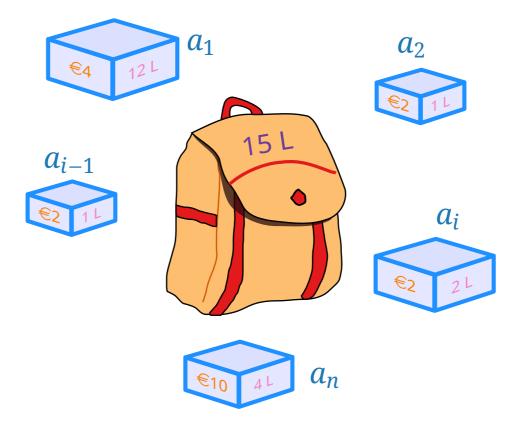


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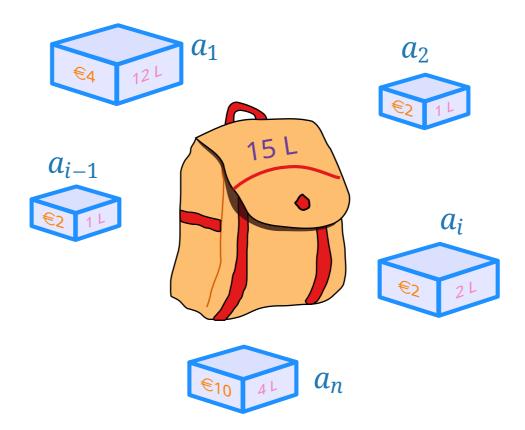
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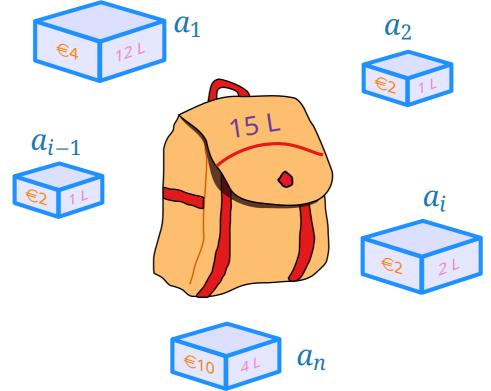
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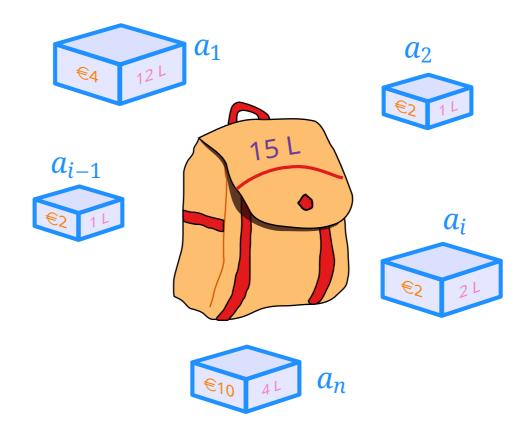
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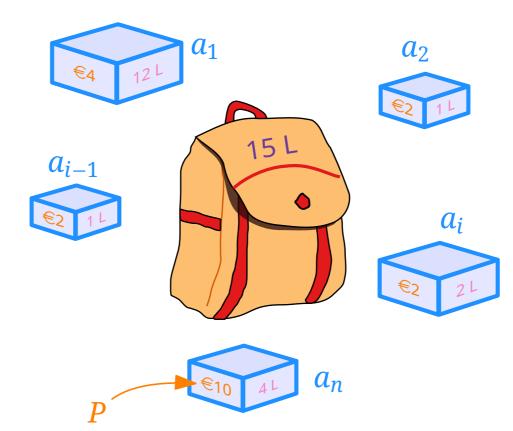
Examples:

any NP-hard problem without numeric input, such as SAT, Hamilton circuit, ... 3-partition, bin packing, ...

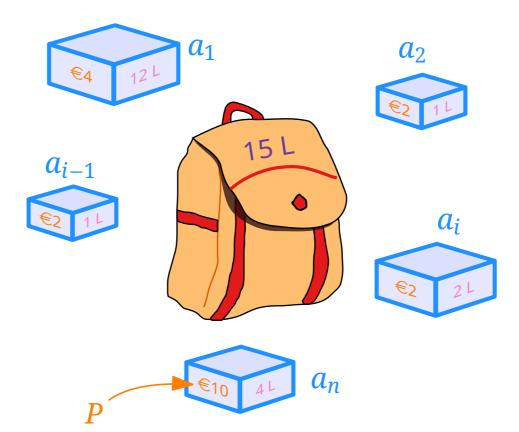
Let $P := \max_i \operatorname{profit}(a_i)$



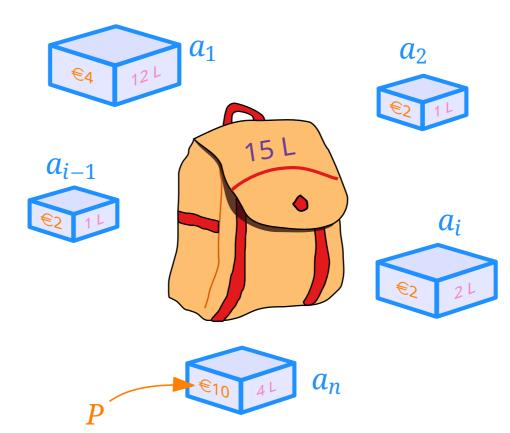
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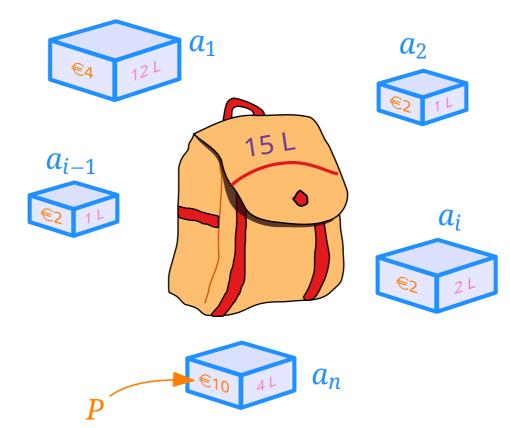


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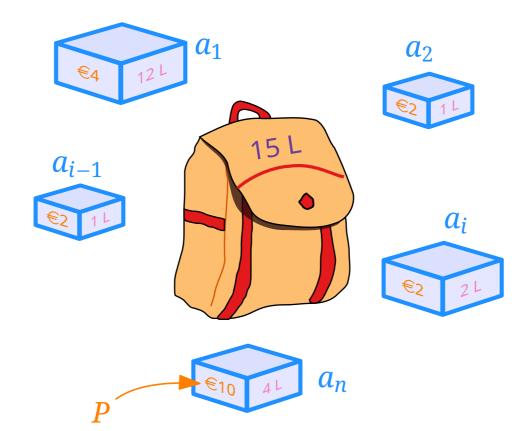
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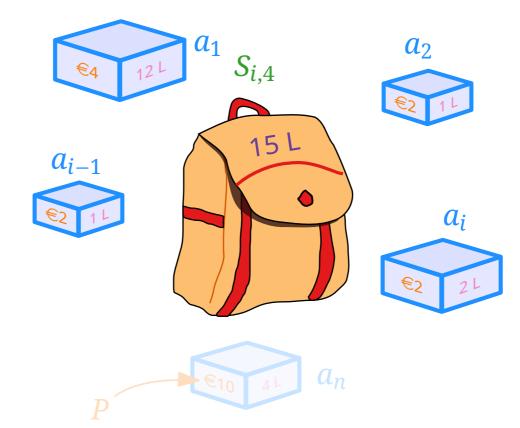
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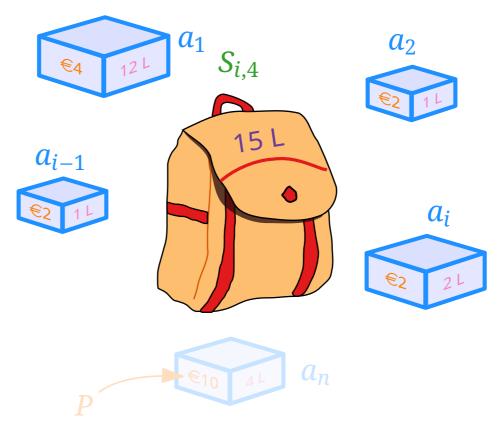
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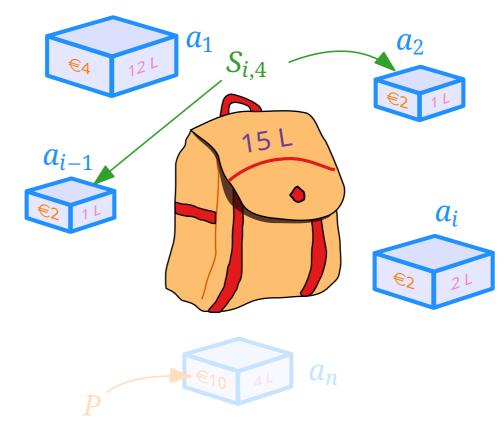
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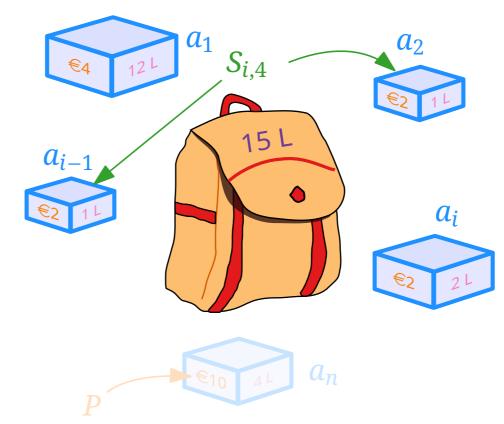
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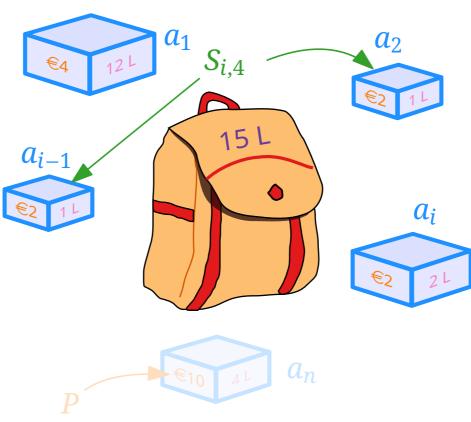
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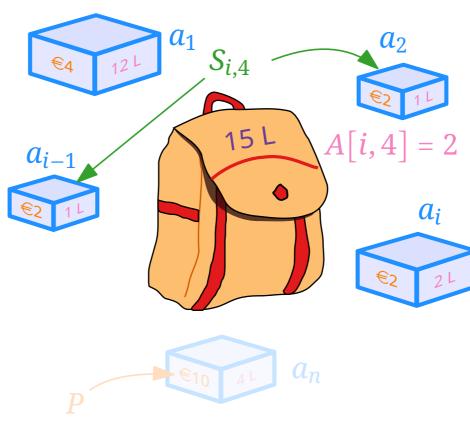
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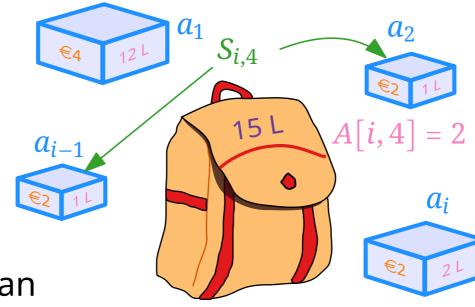
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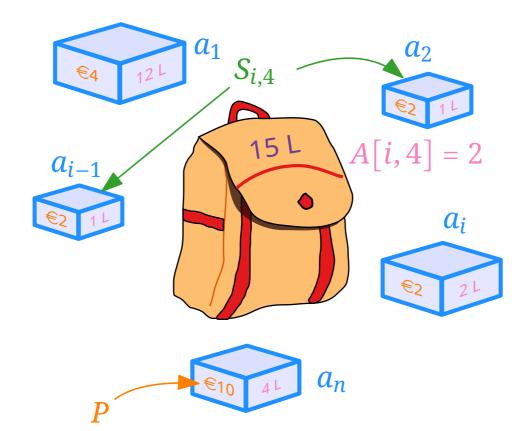
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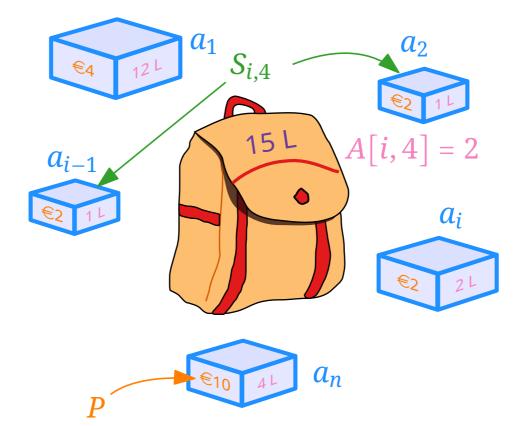
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$$OPT = \max\{ p \mid A[n, p] \leq B \}.$$

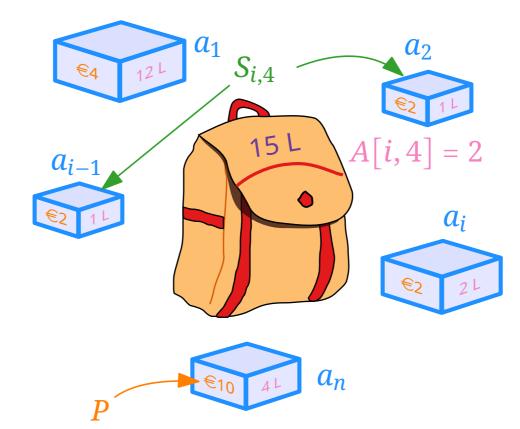
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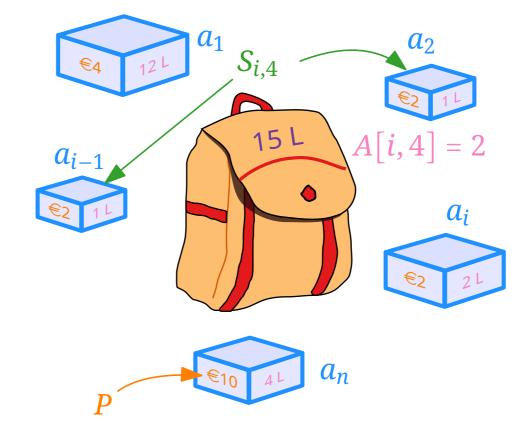
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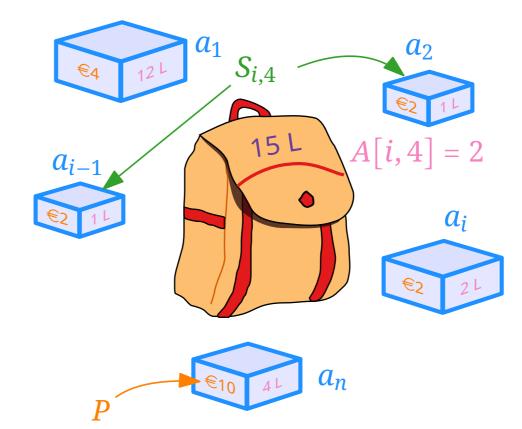
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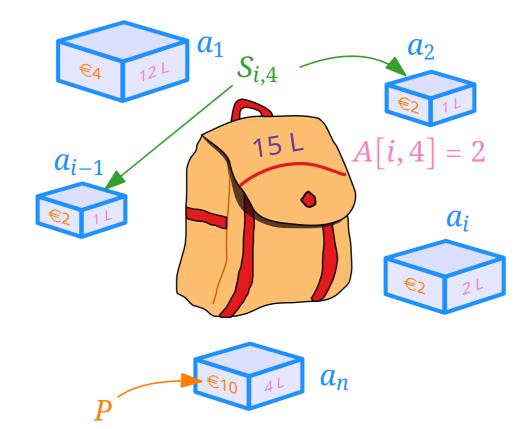
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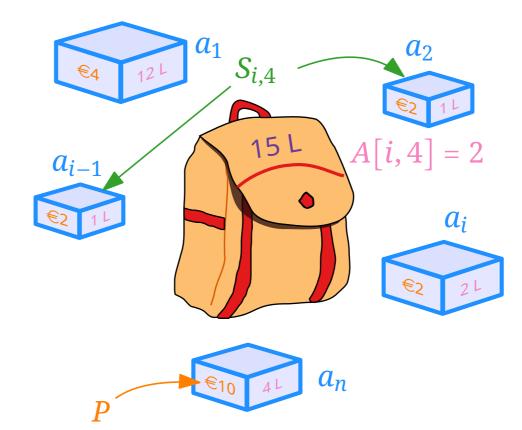
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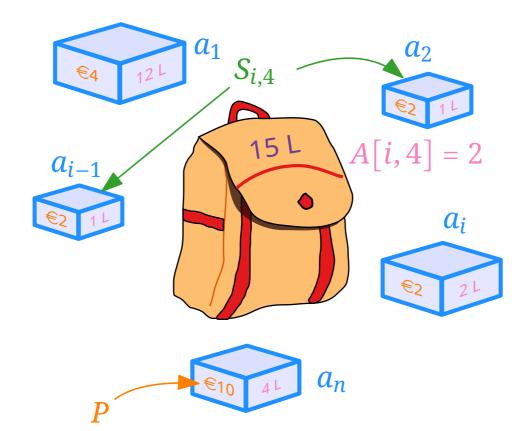


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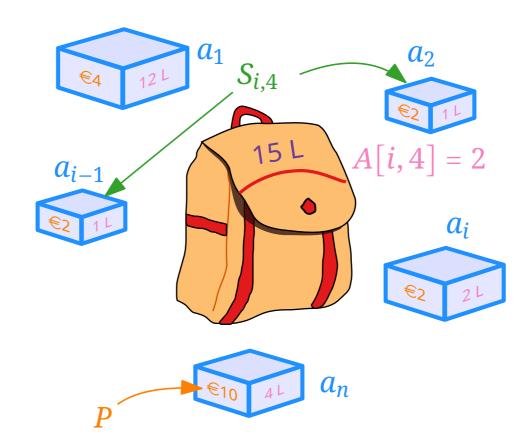
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 \Rightarrow All values A[i, p] can be computed in total time $O(n^2P)$.

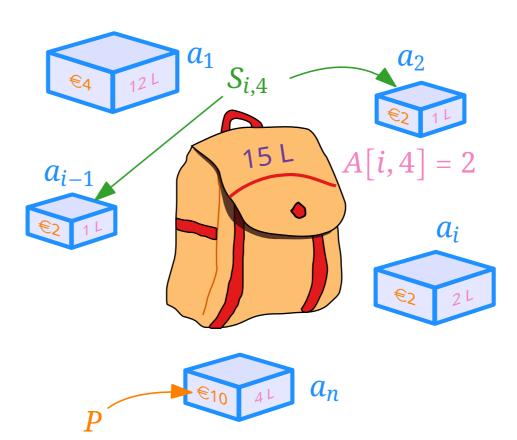


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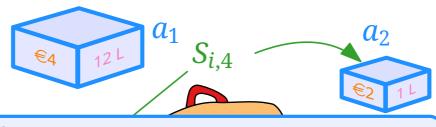
- \Rightarrow All values A[i, p] can be computed in total time $O(n^2 P)$.
- \Rightarrow OPT can be computed in $O(n^2P)$ total time.



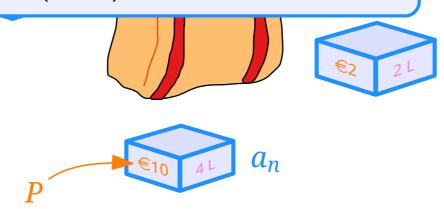
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Theorem. KNAPSACK can be solved optimally in pseudo-polynomial time $O(n^2P)$.

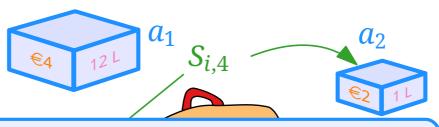


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Corollary. KNAPSACK is weakly NP-hard.

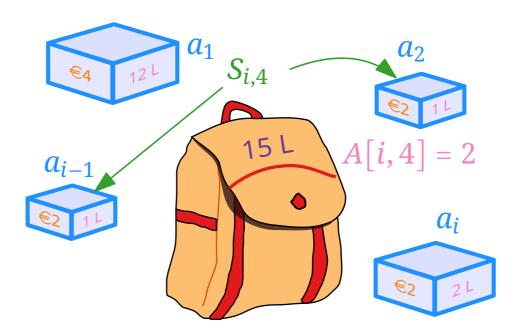


A[1, p] can be computed for all $p \in \{0, ..., nP\}$. Note: A[1, 0] = 0.

Set $A[i, p] := \infty$ for p < 0 (for convenience).

$$A[i+1, p] = \min\{A[i, p], \text{ size}(a_{i+1}) + A[i, p - \text{profit}(a_{i+1})]\}$$

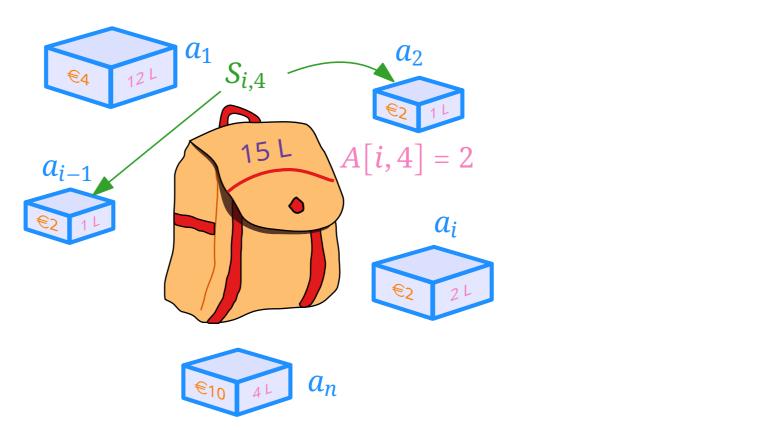
- \Rightarrow All values A[i, p] can be computed in total time $O(n^2P)$.
- \Rightarrow OPT can be computed in $O(n^2P)$ total time.



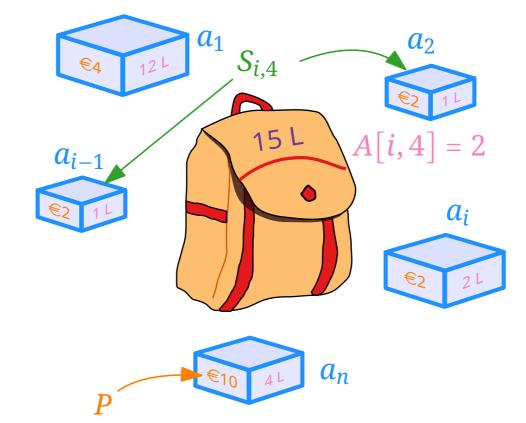
Exercise: Execute algorithm on example

Solution to exercise

i p	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	0	∞	∞	∞	12	∞													
2	0	∞	1	∞	12	∞	13	∞											
3	0	∞	1	∞	2	∞	13	∞	14	∞	• • •								
4	0	∞	1	∞	2	∞	4	∞	14	∞	(16)	∞							
5	0	∞	1	∞	2	∞	4	∞	14	∞	4	∞	5	∞	6	∞	8	∞	(18)

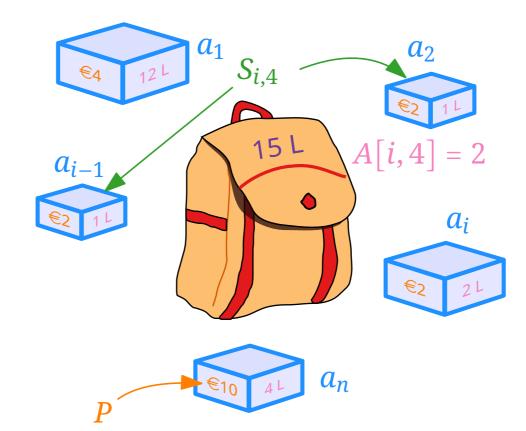


Theorem. KNAPSACK can be solved optimally in pseudo-polynomial time $O(n^2P)$.



Theorem. KNAPSACK can be solved optimally in pseudo-polynomial time $O(n^2P)$.

Observe. The running time $O(n^2P)$ is polynomial in n if P is polynomial in n.



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FPTAS for KNAPSACK

KnapsackScaling (*I*, *ɛ*)

KnapsackScaling (I, ε) $K = \varepsilon P/n$

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KnapsackScaling (I, \varepsilon)
K = \varepsilon P/n \qquad // \text{ scaling factor}
\text{profit}'(a_i) = \left[ \text{profit}(a_i)/K \right]
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Compute optimal solution S' for I w.r.t. \operatorname{profit}'(\cdot).
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Proof. Let $OPT = \{o_1, \ldots, o_\ell\}$.

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Theorem. KnapsackScaling is an FPTAS for KNAPSACK with running time $O(n^3/oldsymbol{arepsilon})$

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Theorem. KnapsackScaling is an FPTAS for KNAPSACK with running time $O(n^3/\epsilon) = O\left(n^2 \cdot \frac{P}{\epsilon P/n}\right)$.

FPTAS vs strong NP-hardness

Theorem. Let p be a polynomial and let Π be an NP-hard minimization problem

Theorem.

Let p be a polynomial and let Π be an NP-hard minimization problem with integral objective function

Theorem.

Let p be a polynomial and let Π be an NP-hard minimization problem with integral objective function and $OPT(I) < p(|I|_u)$ for all instances I of Π .

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Theorem. Let p be a polynomial and let Π be an NP-hard minimization problem with integral objective function and $\mathrm{OPT}(I) < p(|I|_{\mathrm{u}})$ for all instances I of Π . If Π has an FPTAS, then there is a pseudo-polynomial algorithm for Π .

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Proof.

Assuming there is an FPTAS for Π (in $q(|I|, 1/\varepsilon)$ time).

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Proof.

Set
$$\varepsilon = 1/p(|I|_{\mathrm{u}})$$
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 $\Rightarrow ALG \le (1 + \varepsilon)OPT <$

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 $\Rightarrow ALG \le (1 + \varepsilon)OPT < OPT + \varepsilon p(|I|_{\mathbf{u}}) =$

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Let p be a polynomial and let Π be an NP-hard minimization problem with integral objective function and $\mathrm{OPT}(I) < p(|I|_{\mathrm{u}})$ for all instances I of Π . If Π has an FPTAS, then there is a pseudo-polynomial algorithm for Π .

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FPTAS and Strong NP-Hardness

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Corollary. Let Π be an NP-hard optimization problem that fulfills the restrictions above. If Π is strongly NP-hard, then there is no FPTAS for Π (unless P=NP).