# connect/setup of w2web environment

- **Connect to the Linux machines using mobaXterm as indicated in the instructions.**
  - *w2web has already been started automatically and the buttons at the bottom of the screen allow you to connect to w2web (or start new command-windows (xterm)).*
  - *enter user-id/pw and you are connected to w2web. Start with the exercises.*
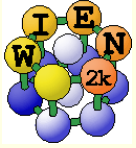
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- **General instructions for a default installation (at home):**
  - *connect to the Linux machine and open a terminal window (usually via ssh (putty, …)*
  - *start w2web (w2web will continue to run until machine reboot or it gets killed explicitly)*
  - `w2web`   *(at the* **first** *time you have to define your*
    - userid/pw,
    - port-number (use and remember a unique xxxx number).
    - Note: it will tell you the address and port to which you should connect via a web-browser
  - *connect to w2web via a webbrowser (firefox, internet explorer). This can also be done from a Windows machine, but xcrysden will not work unless you have a local X-server.*
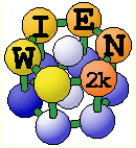
Exercises:

- In the following you find some suggestions for exercises, which teach you various tasks one may perform with WIEN2k.

- New WIEN2k users should start with the first basic exercises (1-4)

- Later on, choose examples of your interest as there are probably more exercises than you can do here.

- Please note, that often "calculational parameters" are set to "minimal cpu-time" instead of "fully converged calculations".

- Do not use such small values for final results and publications without convergence checks !!

Exercise 1: Getting started:

- i) Open a terminal window (skip points i-iii if done before)
- ii) Start w2web (accept all defaults, specify account, port)
- iii) Connect with firefox to w2web as indicated on the screen of ii)
- iv) Try the "quick-start" example for **TiN** (similar to TiC in the UG)
  - *create new session named "TiN", "create" and "select" the suggested directory.*
  - *Generate structure (a=4.235 Ang; reduce RMT by 1%)*
 - *view structure with Xcrysden (switch primitive / conventional cell)*
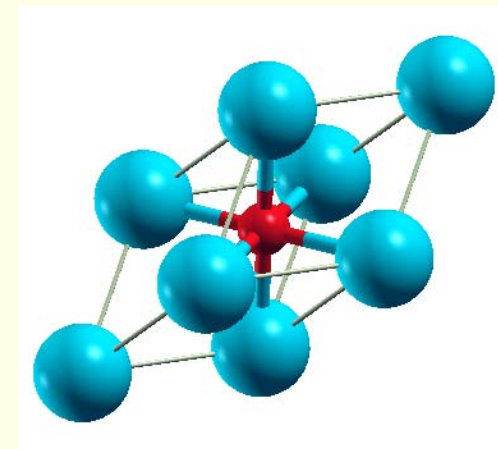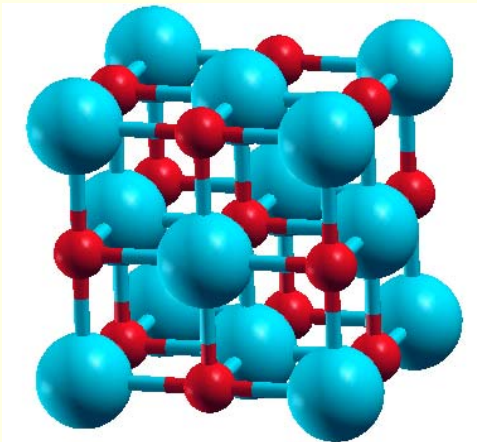
  - *initialize (init_lapw -b); use defaults*
  - *scf-cycle (run_lapw); use defaults; monitor "STDOUT" and "dayfile"*
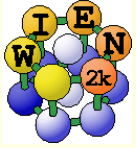   - How many iterations did you need ? How long took a single scf-iteration ?
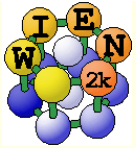
TiN continued

- *utilities: analyse*
  - (:ENE, :DIS, :CTO) graphically

- *utilities: save_lapw (use as save-name: "TiN_exp_pbe_rk7_1000k")*
- *DOS (plot 7 cases: total + Ti-tot + N-tot and Ti-eg + Ti-t2g + N-s + N-p)*
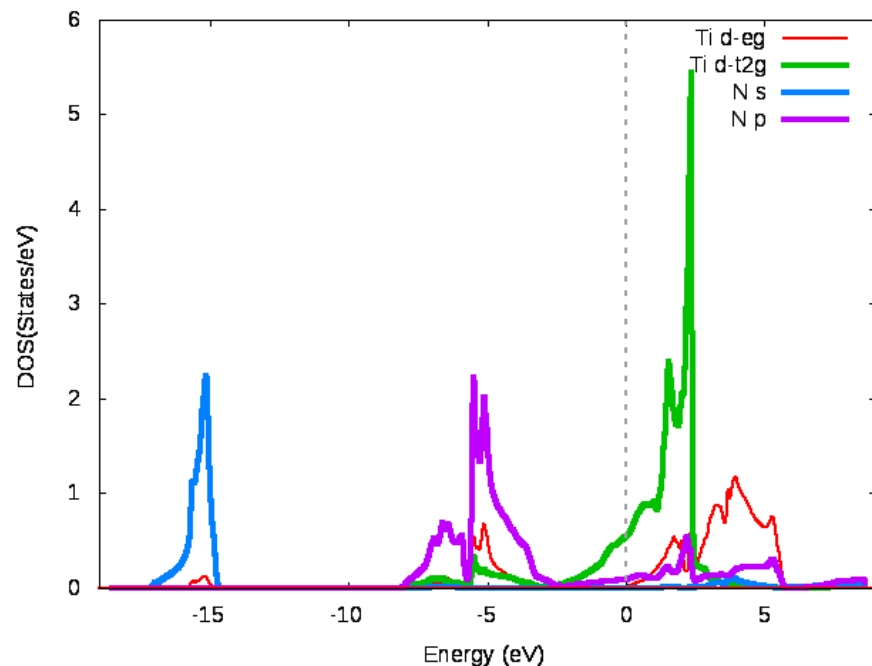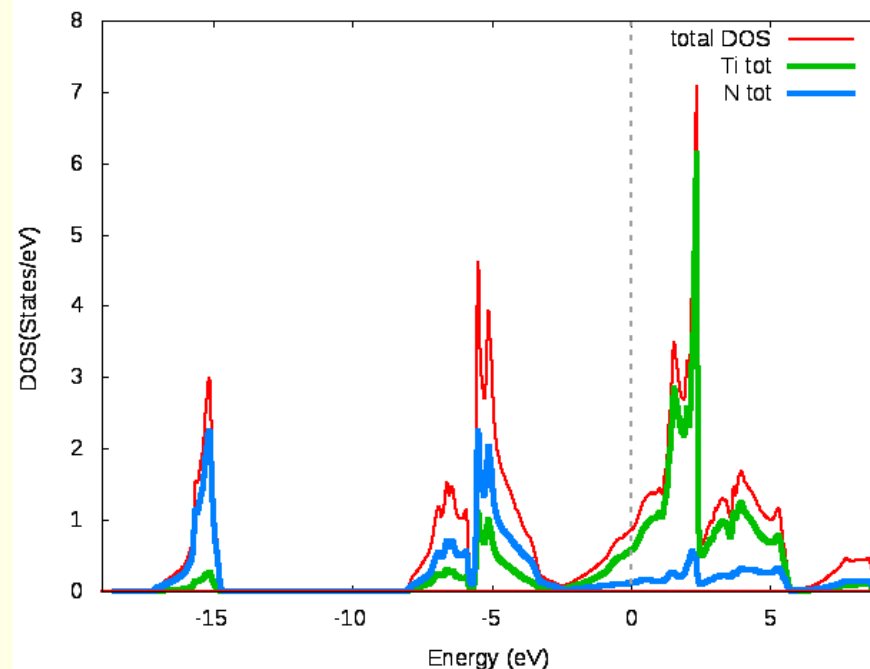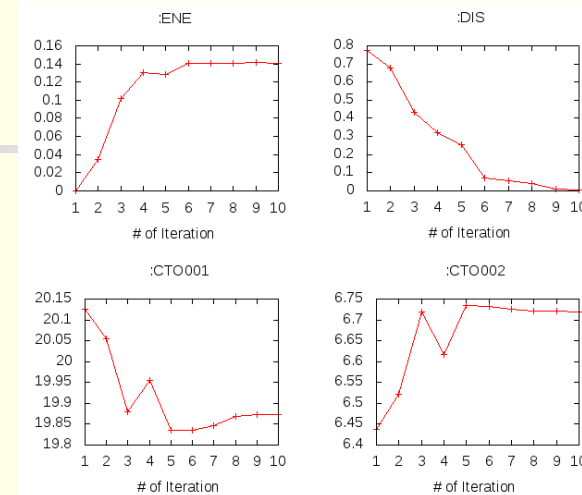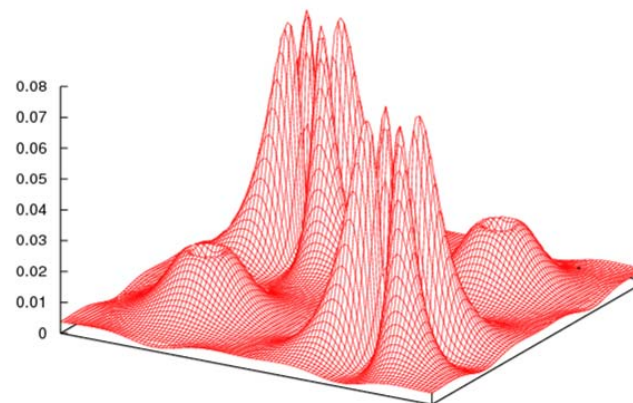
TiN continued ...

- *electron density* (use xcrysden to select the (100) plane), view it in xcrysden and rhoplot to "understand contour and 3D-plots")

  - valence density (without semicore, check TiN.scf1 to find a EMIN which truncates the Ti-3s,3p states); compare the density around Ti with TiC (UG)
  - difference density (observe "charge transfer" and "t_{2g}-anisotropy" around Ti)
  - densities of the "N-p" and "occupied Ti-d-band" (get the corresponding E-intervals from DOS-plots (in Ry!) and use these energies in the "x lapw2" step; observe the e_g and t_{2g} asymmetry around Ti and the different N-p "weights", explain the chemical bonding
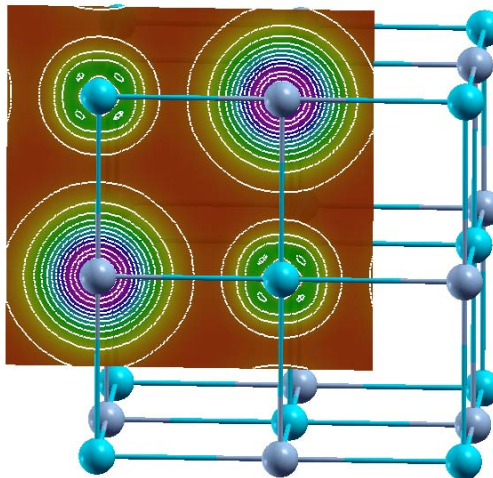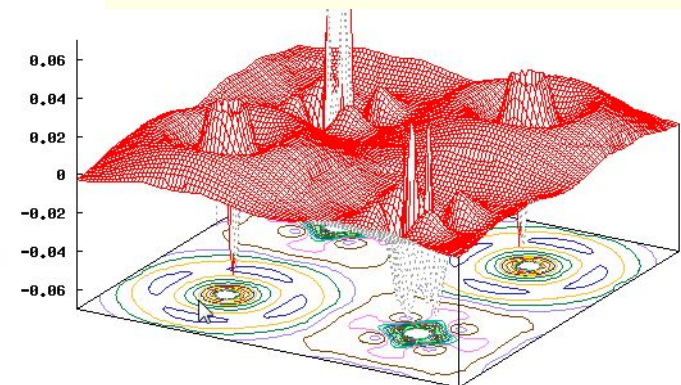
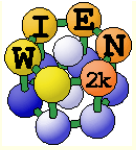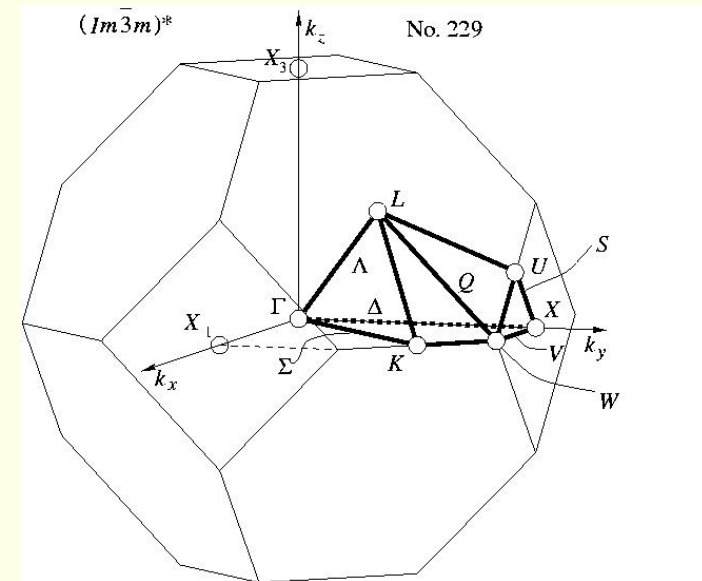valence ρ   Ti-d band   difference density
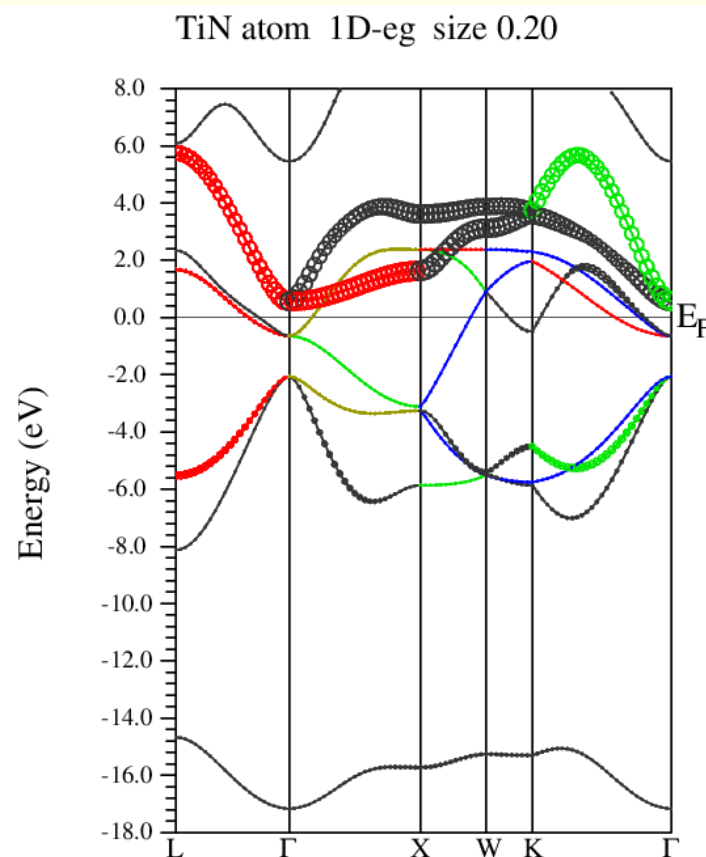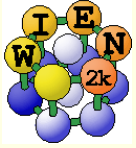
TiN continued

- bandstructure (along L-Gamma-X-W-K-Gamma with "character plotting")
  - *use xcrysden (save as „xcrysden.klist"; select „from xcrysden" in next step and click generate k-mesh)*
  - *identify "t2g-" and "eg-" bands (fat band plots)*
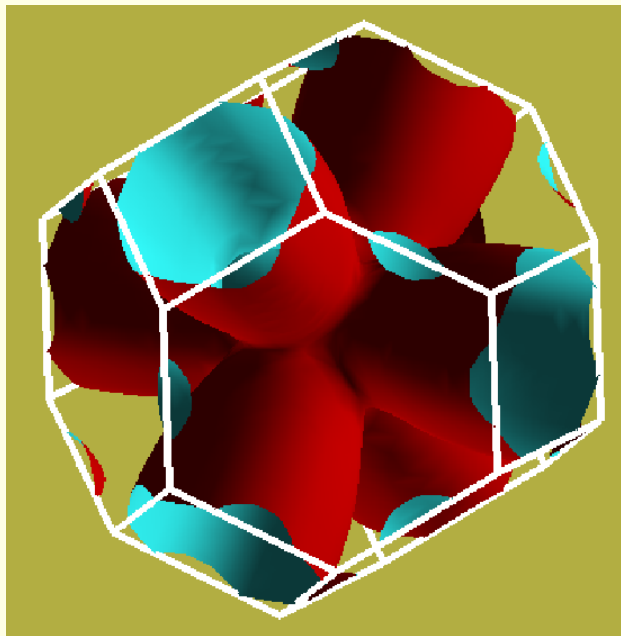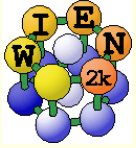
- *Fermi surfaces*
    - open a terminal, change into the TiN directory and issue:
    - `xcrysden --wien_fermisurface .`
        - choose a good k-mesh (eg. 10000 points);
        - plot the FS for all bands (**9**, 10,11) which cross E_F and compare to band structure
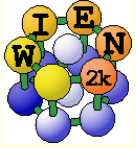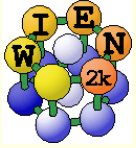
- TiC (fcc, **a=4.328** Ang, **setrmt 4%**)
- a) initialize in expert mode with **LDA, RKmax=5, 200 k-points** (bad values, on purpose !!)
- b) run x optimize and generate 6 structures (-12, -9, -6, -3, 0, 3% volume change)
    - *(because of LDA we expect 1-2% smaller lattice parameter (3-8% in volume) than experiment)*
- c) edit "optimize.job". Modify the "run_lapw" and "save_lapw" commands to:
    - *run_lapw –cc 0.001 –ec 0.00001*
    - *save_lapw ${i}_default_rkm5_200k*
- d) run optimize.job, plot the results (using *rkm5_200k)
- e) set **RKMAX=6.5** in TiC.in1 and x kgen with **1000k**
- f) edit "optimize.job". **Uncomment** the "**cp line**" and "**comment clmextrapol**" modify:
    - *cp ${i}_default_rkm5_200k.clmsum TiC.clmsum   # Using previously converged densities saves a lot of CPU time!!*
    - *# clmextrapol ...*
    - *save_lapw ${i}_default_rkm6.5_1000k*
- g) repeat step d)   (plot the results for "*_rkm6.5_1000k")

- *Find out how RKmax and k-points lead to smooth/non-smooth curves. Estimate good values and compare in particular B and BP (Bulkmodulus and its volume derivative). Fully converged results would require RKmax=8 - 9 , 10000 k and 10 volumes with $\Delta V=1\%$.*
- *You may also do this with another XC-potential (eg. PBEsol) and will see a very large effect ...*

- Remember: Depending on the specific property you want to calculate (just a DOS, or Energy-Volume curves, or EFG, or structure optimization with forces,..) and the desired accuracy, the types of atoms, insulator/metal and system size you may need different RKmax and k-point samplings:
    - *H: RKmax > 2.5; sp-elements: RKmax > 5; d-elements: RKmax > 6; f-elements: RKmax > 7; (see our faq-page)*
    - *1 atom/cell, metal: 1000-10000 k-points or more*
    - *1 atom/cell, insulator: 100-1000 k-points or more*
    - *For N atoms/cell you can reduce the k-mesh by a factor N*

- *Remember: Always test your **specific property** for convergence !!*

Volume optimization for TiC
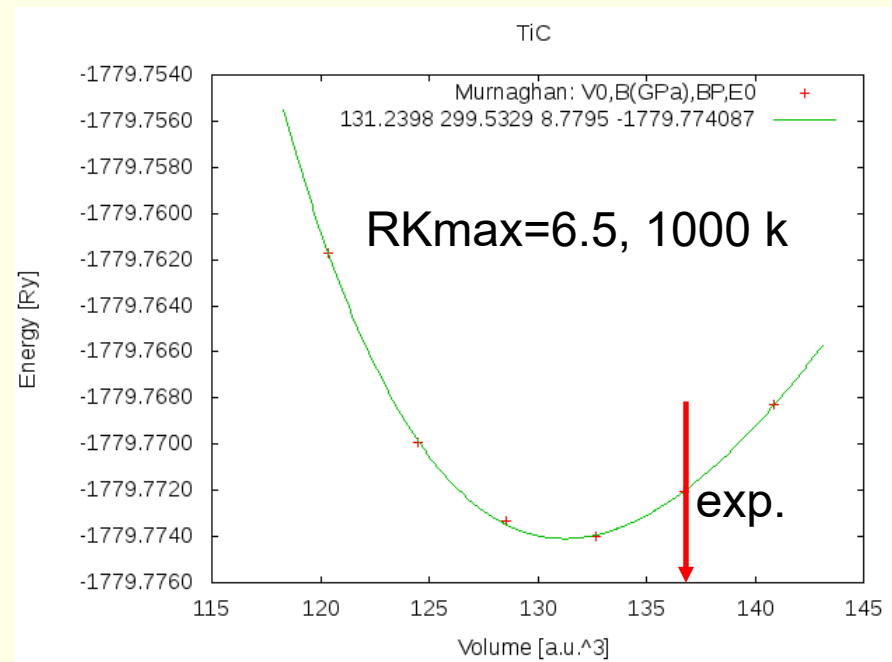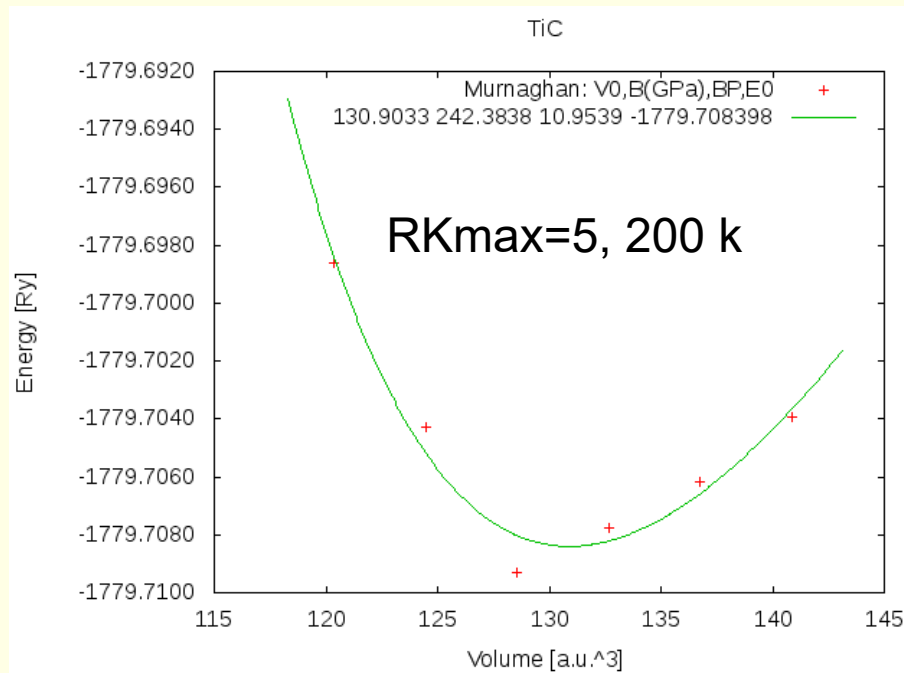
Exercise 3: optimization of positions in Mg(OH)$_2$

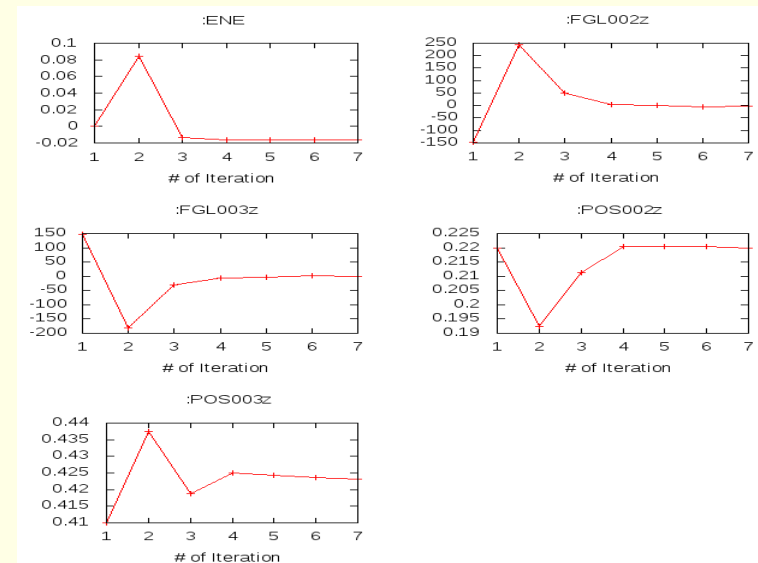- **create two "cases" (directories) for PORT and MSR1a optimization**
  - *initialize both cases (or copy after init one case to the other and use „rename_files")*
  - *P-3m1 (164), a=b=3.15 c=4.77 Å γ=120°; Mg(0,0,0) O(1/3,2/3,**0.22**) H(1/3,2/3,**0.41**); RMT: reduce by 7%*
  - *init_lapw -b –numk 100 –rkmax 3*
- **minimization using PORT:**
  - *min_lapw (or „mini-positions in w2web)*
  - *save_lapw case_relaxed_rkm3*
  - *analyze **case.scf_mini***
    - :ENE :FGL002z :POS002z :FGL003z :POS003z
  - *Find out how many scf cycles you needed*
    - grepline :ITE '*scf' 1   (in terminal)
- **check RKMAX convergence:**
  - *increase RKMAX to 3.5 (case.in1)*
  - *run –fc 1   (and check your forces)*

Mg(OH)$_2$ continue

- **minimization using MSR1a:**

  - ***run -min –fc 1 –cc 0.001 –ec 0.0001***

    - -min sets MSR1a in case.inm, (sometimes a crude scf cycle to come closer to „Born-Oppenheimer" surface is necessary (run -fc 20)

  - *analyze **case.scf** and find out how many scf cycles you needed*
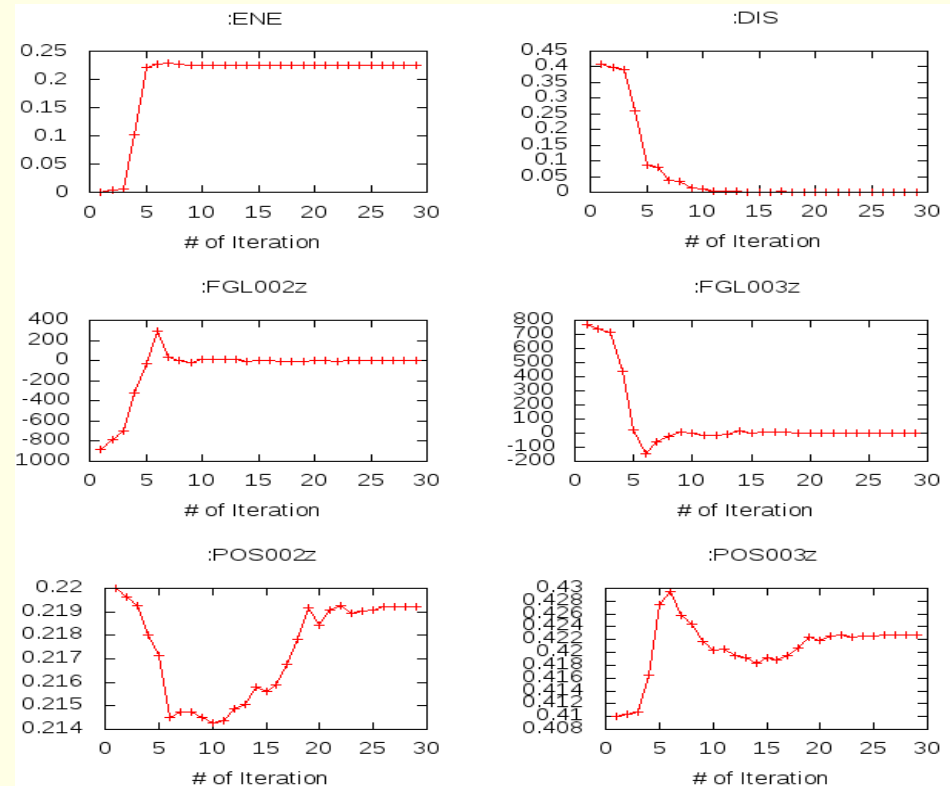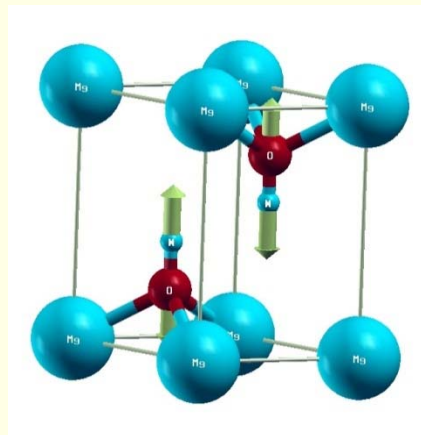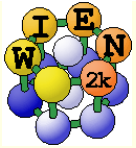
    - :ENE :FGL002z :POS002z :FGL003z :POS003z :ITE

  - *save_lapw case_final*
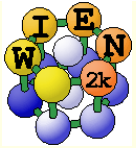
 - *use the „arrows" utility to display initial forces and final relaxations (see UG p.195)*

- This exercise should be done WITHOUT w2web in a terminal window !
- **creation of basic structure: MgO**
- mkdir super; cd super;
- makestruct   (and type in the following information). It creates **init.struct**
    - *MgO: lattice type: F, a= 7.96 bohr*
    - *Mg (0,0,0), O (0.5,0.5, 0.5)*
- cp init.struct super.struct
- view the structure using: xcrysden --wien_struct init.struct

- **16-atom supercell**
- x supercell (use **super.struct**, select **2x2x2** and **F-cell):**
- cp super_super.struct super.struct
- edit super.struct   and mark first Mg atom as "**Mg1**"
- x nn   and if :WARNINGs appear do the next line:
    - *cp super.struct_nn super.struct;   and repeat the "x nn" step above*
- x sgroup   and view super.outputsgroup (no errors, but gives you a spacegroup)
    - *how many non-equivalent atoms do you have now ? view the structure with xcrysden. Now you would be ready to run i**nit_lapw -b ....,** but we just save it using **cp super.struct super_16.struct***

- **32, 64 and 128-atom supercells** (as above, but with B, P cell or 4x4x4-F)
- cp init.struct super.struct
- x supercell (use **super.struct**, …**):**
- cp super_super.struct super.struct
- edit super.struct   and mark first Mg atom as "**Mg1**"
- x nn   and if :WARNINGs appear do the next line:
  - *cp super.struct_nn super.struct;   and repeat the "x nn" step above*
- x sgroup   and view super.outputsgroup (no errors, but gives you a spacegroup)
  - *how many non-equivalent atoms do you have now ? view the structure with xcrysden. Now you would be ready to run i**nit_lapw -b ….,***
  - *save the structures using **cp super.struct super_32.struct***

- Instead of labelling "Mg1", one could also **remove** an atom (vacancy) or **replace** an atom by another (impurity).
- Replacing atoms is better done in w2web, because this will also update radial meshes. (change **name** of atom AND **remove Z** !!)
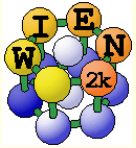
- **(001) surface with 11 layers:**
- cp init.struct super.struct
- x supercell (use **super.struct**, 1x1x5, 30 bohr vacuum in z; repeat atom at 0:y)**:**
- cp super_super.struct super.struct
- xcrysden --wien_struct super_super.struct &   (leave it open for comparison)
- x sgroup   and view super.outputsgroup (it created a new structure for you)
- cp super.struct_sgroup super.struct
- xcrysden --wien_struct super.struct
  - *what has sgroup done ?? how many total and non-equivalent atoms and how many* ***atoms/layer*** *do you have before/after sgroup ? Do you have inversion symmetry ?*
  - *save the structure using* ***cp super.struct super_surface-001.struct***

- If you now want to study **adsorption** of an atom you could simply add **2 equivalent** atoms manually (w2web !!) at a suitable starting position, eg. (0,0,+/-z) (2 atoms to keep inversion symmetry !!)
- This structure could serve as base for a bigger supercell (for instance 2x2x1) to simulate reduced "coverage".
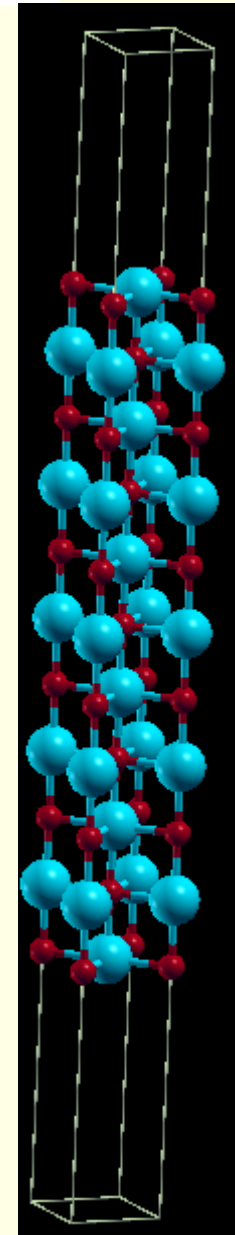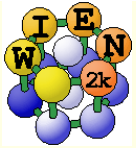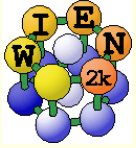
- **(110) surface with 9 layers: (**using the **structeditor)**
- octave   (use repeat-key arrow-up !)
  - *helpstruct*   *# list all possible commands*
  - *a=loadstruct("init.struct");*
  - *ac=makeconventional(a);*   *# convert F into P cell*
  - *help makesurface*   *# explains the syntax*
  - *sr=makesurface(ac, [1 1 0], 1, 20., 30.);*
  - *showstruct(sr)*   *# check out the number of layers and repeat the* **sr=makesurface** *command with larger thickness until you get 9 layers. How do you get an O-atom at the origin ?*
  - *savestruct(sr,"super.struct")*
  - *quit*
- xcrysden --wien_struct super.struct &
- x sgroup   and view super.outputsgroup
- cp super.struct_sgroup super.struct
- xcrysden --wien_struct super.struct
  - *what has sgroup done ?? how many total and non-equivalent atoms and how many* **atoms/layer** *do you have before/after sgroup ? Do you have inversion symmetry ?*
  - *save the structure using* **cp super.struct super_surface-110.struct**
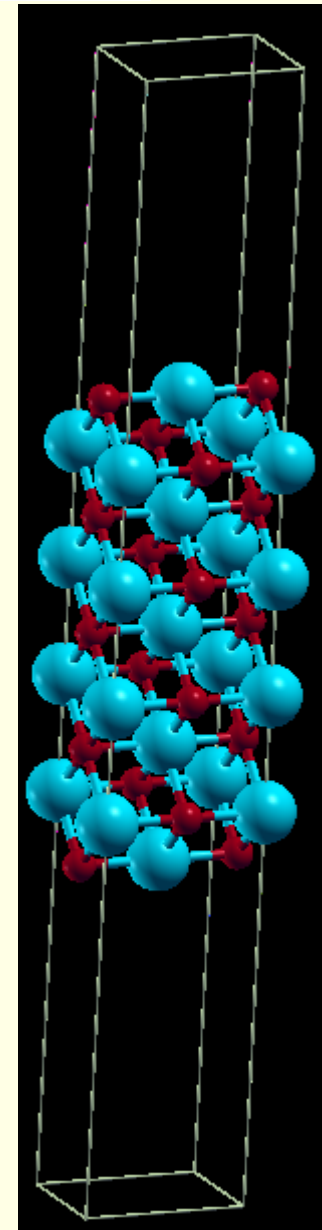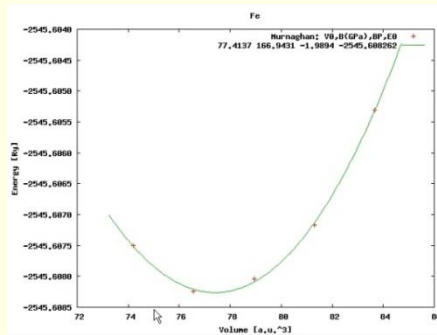
Exercise 5: spin-polarized calculations

- **Magnetism: bcc Fe (a_0=2.86 Å)**
  - *setrmt: 3%; 5000k; spin-polarization:yes, use RKmax=7, then 8*
  - *do a volume optimization (-6, -3, 0, 3, 6 %) (activate runsp_lapw instead of run_lapw !)*
    - check equilibrium volume, :MMT as function of volume


```
--- MMTOT ----------- in 5 files:
Fe_vol___0.0_rk8_5000k.scf::MMTOT: 2.21
Fe_vol___3.0_rk8_5000k.scf::MMTOT: 2.26
Fe_vol__-3.0_rk8_5000k.scf::MMTOT: 2.16
Fe_vol___6.0_rk8_5000k.scf::MMTOT: 2.31
Fe_vol__-6.0_rk8_5000k.scf::MMTOT: 2.13
```
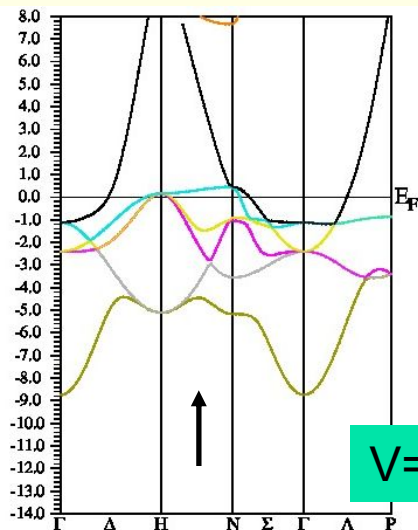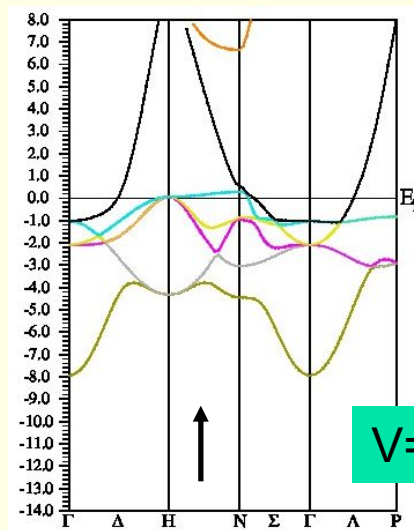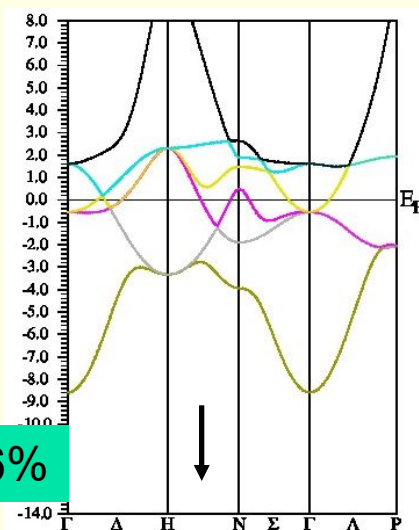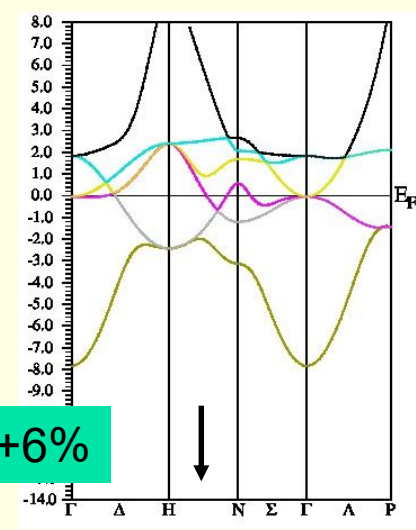
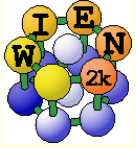 - *compare bandstructure and DOS for large/small volumes (restore_lapw for desired volume; x lapw0 "recreates" potentials, adjust EF in case.insp)*
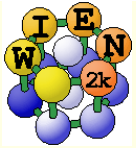

V=-6%

V=+6%

Exercise 6: antiferromagnetic calc.

- **Antiferromagnetism: bcc Cr (a_0=2.885 Å)** (use 5000k, -cc 0.001)
  - *try 2 different calculations:*
  - *ferromagnetic solution (bcc cell with 1 Cr)*
  - *antiferromagnetic calculation (P cell with Cr1 and Cr2 (at 0.5,0.5,0.5))*
    - choose up/dn for the two Cr atoms when creating case.inst
    - for afminput your symmetry operation is "identity+(0.5,0.5,0.5)"
  - *is FM or AFM Cr more stable? (:ENE)*
  - *is FM stable at all ? check moments (MMI001: what "means" 0.000x ???)*
  - *plot spin-densities in the (110) planes*
    - do lapw2 for both spins
    - observe "spatial localization"
    - t_{2g}-asymmetry
    - negative spin-density in interstitial
      - where does it come from ?
      - compare :QTLxxx
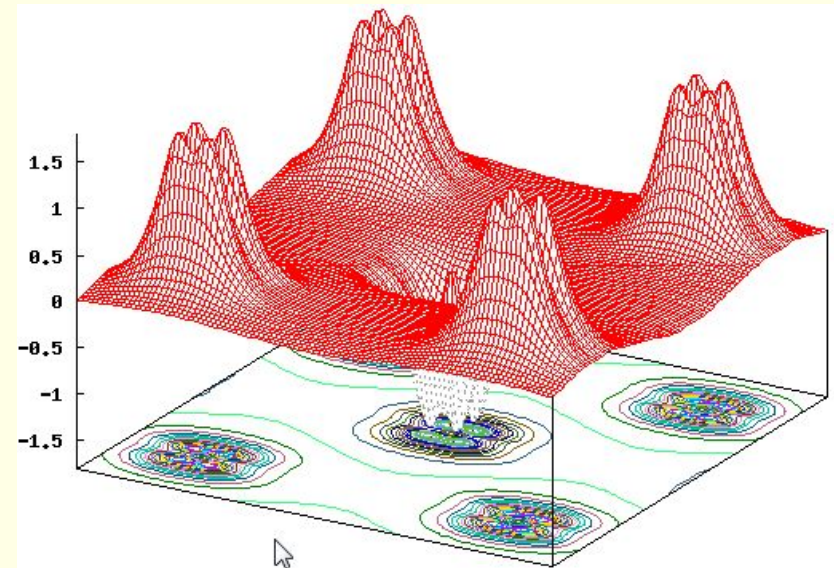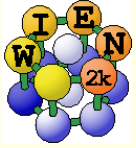
Exercise 7: band gaps of MgO

- **MgO** (NaCl, a=7.96 bohr; default initialization; scf-cycle)
  - *PBE: check the gap (:GAP from "anaylysis"),*
    - plot a band structure in PBE (E-range from -19 to 18 eV)
  - *TB-mBJ:*
    - save the PBE calculation, execute:
      - init_mbj_lapw (in utils)   „phase 1" of the initialization (see also in the UG 4.5.9)
      - run_lapw -NI -i 1
      - rm *.bro*
      - init_mbj_lapw   „phase 2", use original mBJ parameters
    - run scf cycle (note, it may not converge in 40 cycles, submit another run with -NI option)
    - monitor the change of the :GAP
    - plot a band structure (fcc) and compare with PBE

PBE

mBJ

:GAP

changing of gap
from PBE to mBJ
(exp: 7.8 eV)
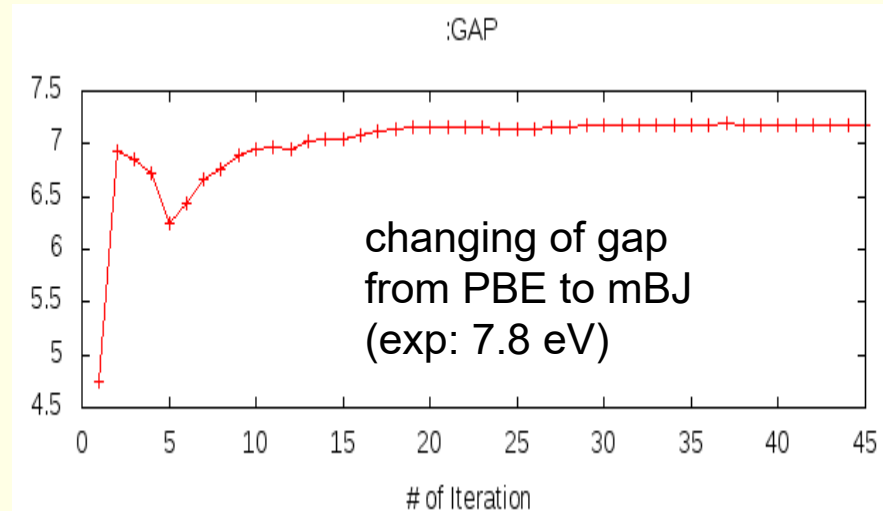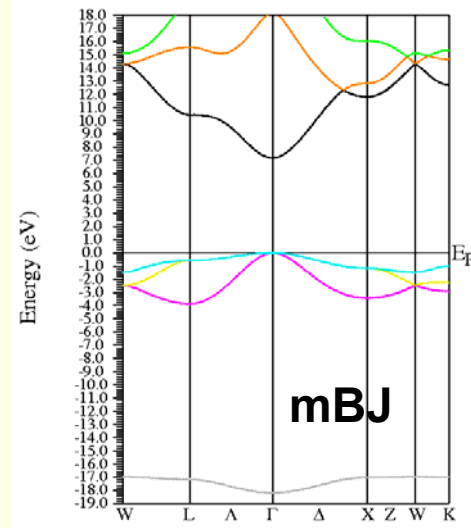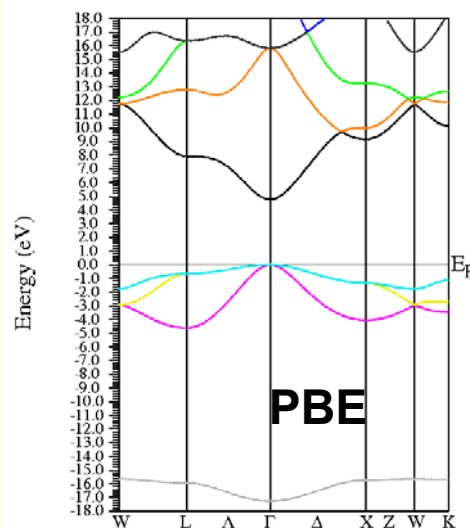
- **Perform a hybrid-DFT calculation using YS-PBE0**
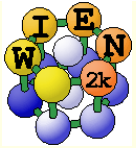 - create a new case, perform a PBE calculation and save the results.
  - the setup for hybrid-calculations can be made in w2web (Utils/init_hf_lapw), or in a terminal-window using „init_hf_lapw". (More details are given in the UG 4.5.8)
    - Select NBAND=12 (case.inhf)
    - and a 4x4x4 / 4x4x4 k-point mesh (no reduction)
  - scf cycle with **-hf -p -scratch ./** (insert 2 lines with **1:localhost** into **.machines**)
    - we do this in k-parallel since it will take more time, alternatively we could also use a „reduced" hf-k-mesh, see UG
  - monitor the change of the :GAP and compare it with mBJ and exp. gaps (only every 2nd value is from HF !)
  - plot a band structure:
    - only the k-mesh selection can be done in w2web, then open a terminal and change into the proper directory
    - run_bandplothf_lapw -p
    - cp $WIENROOT/SRC_templates/case.insp case.insp (insert E$_F$ and increase the plotting energy range).
    - x spaghetti -hf -p
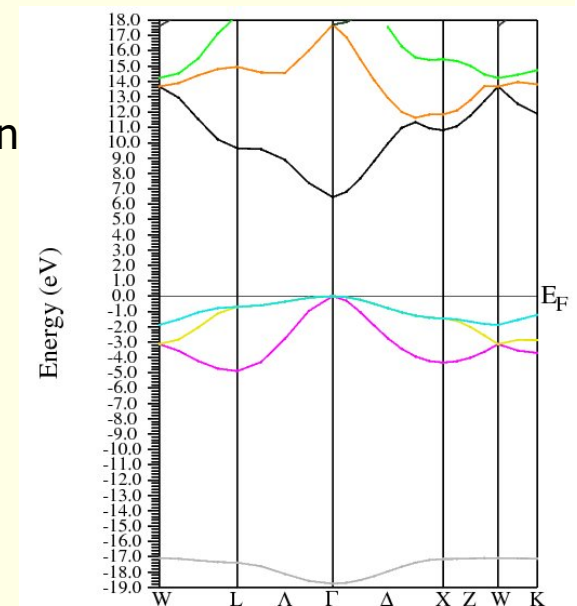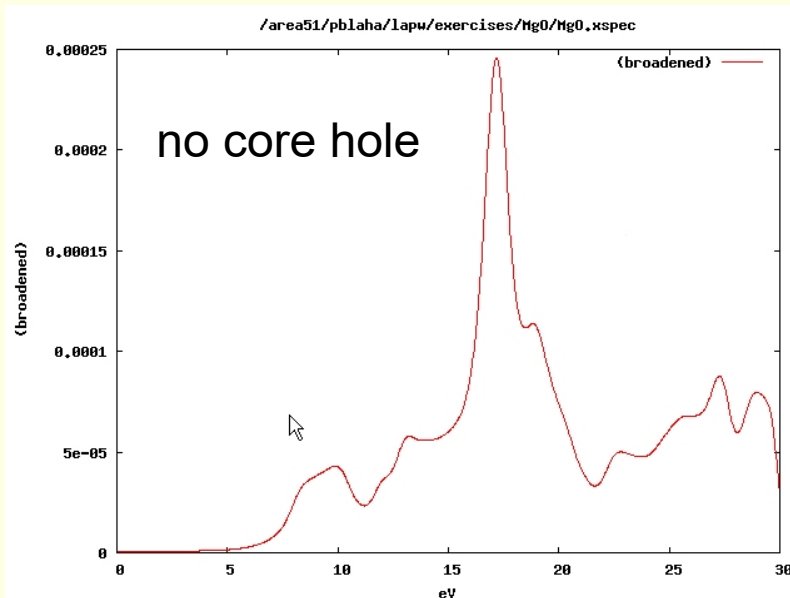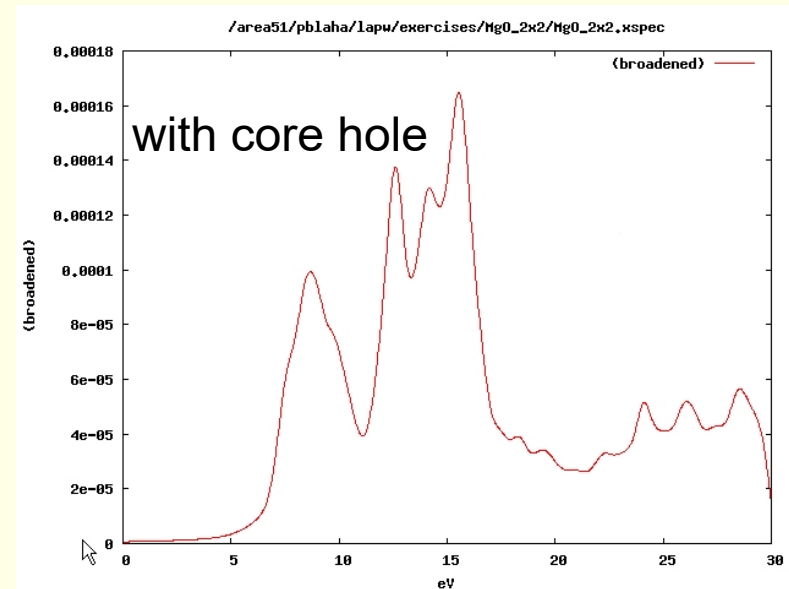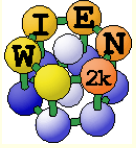
- **MgO** (NaCl structure, a=7.96 bohr; default initialization with 1000 k-points; scf-cycle)
  - *XSPEC task: larger EMAX in MgO.in1; select in MgO.inxs: Mg-K ABS from 0-30 eV, vary broadening)*
- **Supercells: MgO 2x2x2 FCC-supercell for core-hole simulation**
  - *create new "session", copy MgO.struct into new directory*
  - *x supercell; (specify proper struct-filename, 2x2x2, F-lattice)*
  - *cp supercell-struct file to correct name "case.struct"; "**label**" 1st atom (Mg → Mg1)*
  - *init_lapw (with 200k, RKmax=6.5)*
  - *edit case.inc (remove a core electron from 1st atom)*
  - *edit case.in2 (add one valence electron)*
  - *run_lapw   (for bigger calc. use -it and compare timings for 1st and later iterations!)*
  - *edit case.in2 (remove extra valence electron)*
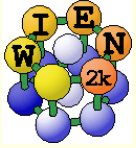 - *XSPEC task for Mg-K XAS (see above)*

no core hole — /area51/pblaha/lapw/exercises/MgO/MgO.xspec

Mg-K XAS

with core hole — /area51/pblaha/lapw/exercises/MgO_2x2/MgO_2x2.xspec

Exercise 9: LDA+U calculations

- **NiO:** NaCl structure, A-type AFM along [111]
  - *R-cell: 5.605236 5.605236 27.459934 bohr; angles: 90,90,120*
  - *3 non-equivalent atoms: Ni1 (0,0,0), Ni2 (0.5,0.5,0.5), O ±(.25,.25,.25) ("add 2nd position" **after** first "save_structure"). View and understand the structure (Xcrysden)*
  - *case.inst: flip spin for Ni2, make O "non-magnetic"; use 100k-points*
  - *GGA calculations (save_lapw NiO_gga)*
  - *GGA+U calculations (save_lapw NiO_gga+u)*
    - (use U=7eV, J=0; search the UG to understand case.inorb/indm)
  - *GGA+SO calculations (M=[111], without relativistic LO, Emax=5.0)*
    - after scf: x lapwdm –up –so (for :orb001 in NiO.scfdmup)
  - *GGA+U+SO calculations (cp NiO.indm NiO.indmc)*
  - *compare DOS (total, Ni1, Ni2, O) for GGA and GGA+U*
    - observe the change in gaps (exp: 4eV) and shift of Ni/O weights
    - compare spin moments (GGA: 1.41; GGA+U: 1.76; GGA+U+SO:1.76;GGA+SO: 1.41μB)
    - compare orbital moments for SO and SO+U calculations (0.12 and 0.09μB)
  - *try a TB-mBJ calculation for NiO (start new case, starting from GGA; follow instructions given in P.Blaha's lecture) and compare gap/DOS*
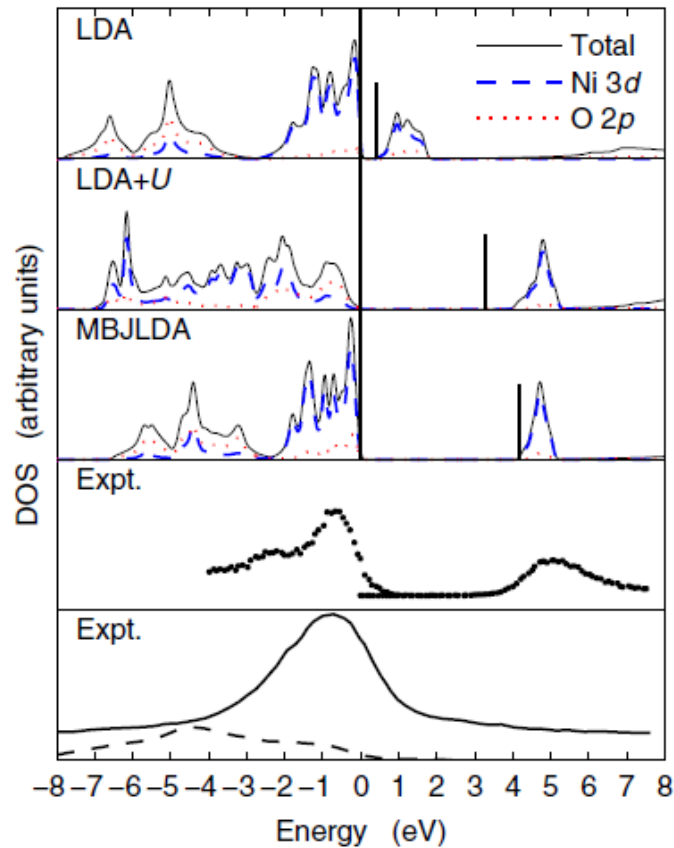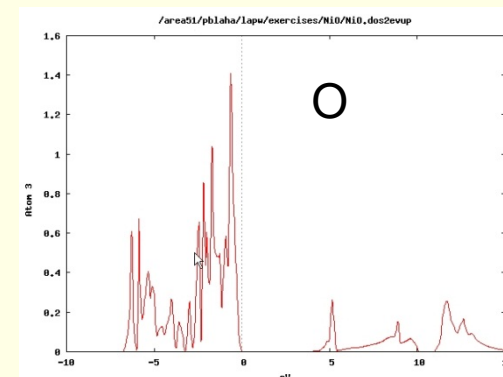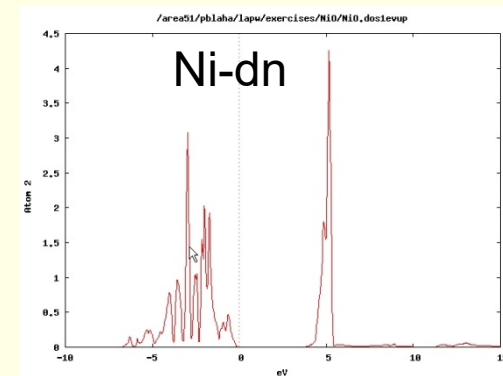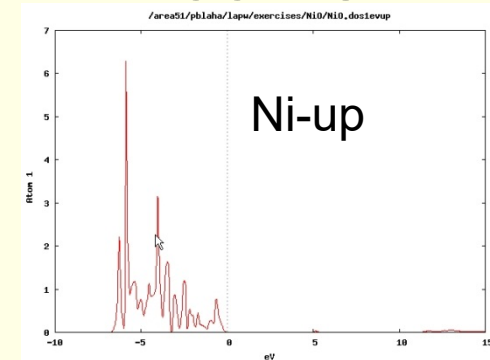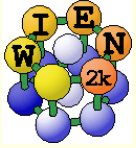
NiO cont...

FIG. 2 (color online). DOS of NiO. The vertical bars indicate the end of the fundamental band gap which starts at $E = 0$ eV. The panels labeled "Expt." show photoelectron [25] (upper panel) and XES [33] [lower panel, Ni (solid line) and O (dashed line) spectra] measurements.

from Tran,Blaha, PRL 102, 226401 (2009)

GGA+U

Ni-up

Ni-dn

O

- **Optical properties: fcc Al**
  - *a_0=4.05 Å*
  - *init_lapw (use 165 **IBZ** k-points only!)*
  - *run_lapw*
  - *calculate optics (as described in the optics lecture, compare with the Al - Fig.)*
    - calculate plasma frequency (case.outputjoint) and dielectric function
    - check your results with respect to k-mesh
      - x kgen (check for about 1000 and 4000 **IBZ**-points)
      - x lapw1
      - x lapw2 –fermi
      - x optic, x joint, x kram
- **Optical properties: fcc Ag and Au (both have a_0=4.08 Å)**
  - *compare optics without / with spin-orbit coupling (compare with RL)*
    - do NREL (change RELA to NREL in case.struct) first, do the optics
    - do scalar-relativistic calc., do the optics
    - include spin-orbit: run_lapw -so (case.inso **without RLOs** since optic does not support RLOs; put large Emax in case.in1); optics
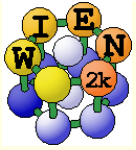
Ag and Au: a relativistic effect

DOS

absorption
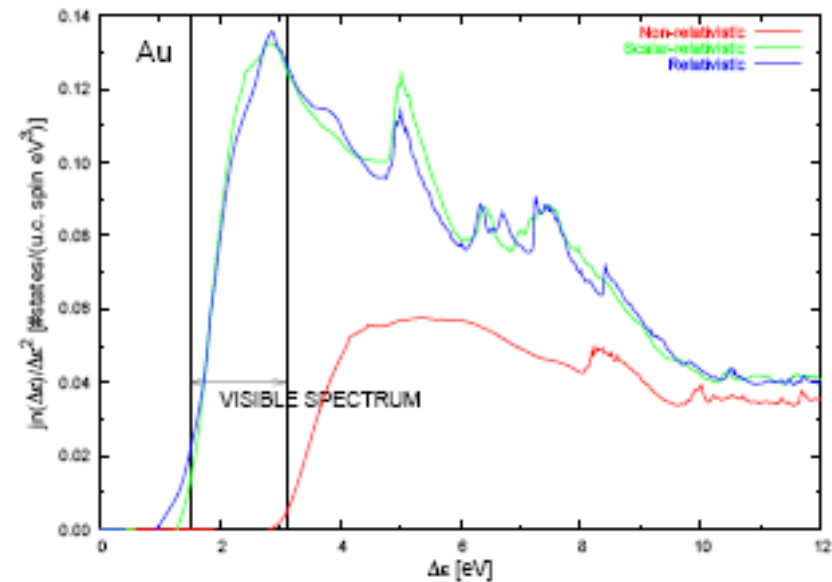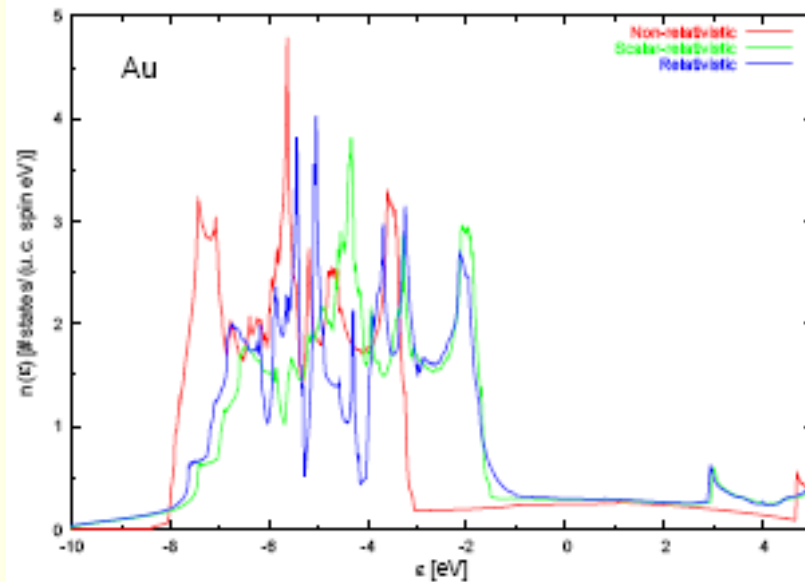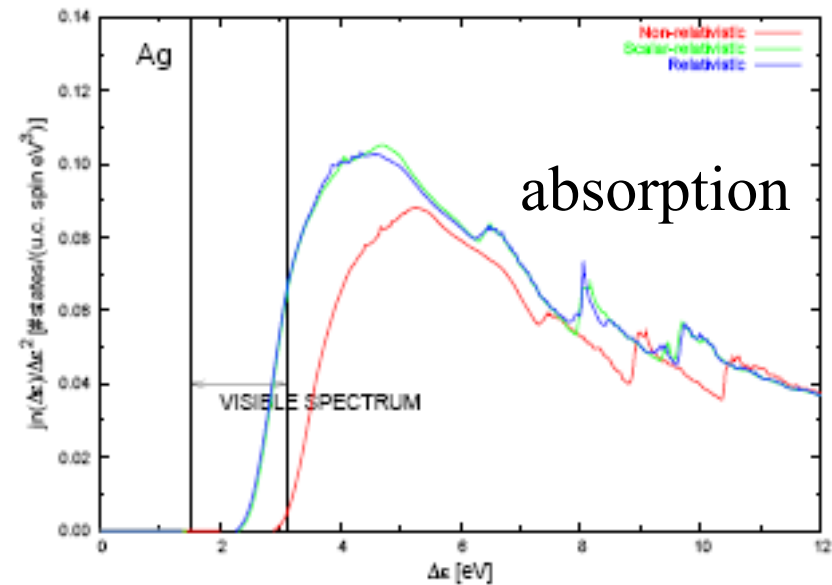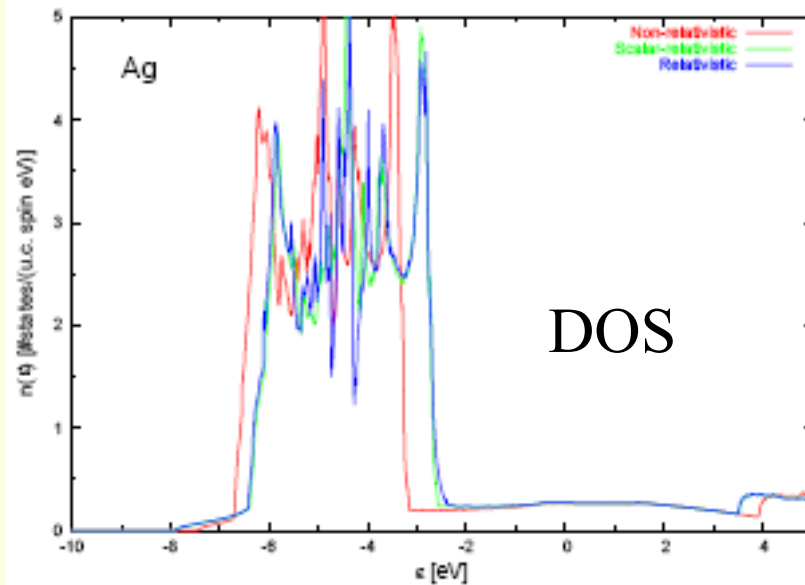
Exercise 11: Phonons of $SrTiO_3$

- This exercise should be done WITHOUT w2web in a terminal window !

- mkdir SrTiO3; cd SrTiO3;

- makestruct
    - *$SrTiO_3$: SG 221(P m-3m), a=b=c=7.38 bohr, $\alpha=\beta=\gamma=90°$*
    - *Sr (0.5, 0.5, 0.5), Ti (0, 0, 0), O (0.5, 0 , 0)*
    - *setrmt 3%*

- cp init.struct SrTiO3.struct

- init_lapw -b -numk 10 -rkmax 6   # (batch mode)

- phonopy --wien2k -c SrTiO3.struct -d --dim="2 2 2"

- mkdir 1; mkdir 2; mkdir 3

- Copy SrTiO3.structS-001, SrTiO3.structS-002 and SrTiO3.structS-003 in 1,2 and 3

- Do the same for directories 1, 2 & 3 (open 3 terminals and do it in parallel):
    - *cd 1*
    - *mv SrTiO3.structS-001 1.struct*
    - *init_lapw -b -numk 10 -rkmax 6   #(batch mode)*
    - *run_lapw -fc 0.1*
    - *cp 1.scf ..*

Phonons continued

- phonopy --wien2k -f 1.scf 2.scf 3.scf
- create band.conf with editor, containing the following information:
    - *ATOM_NAME = Sr Ti O*
    - *DIM = 2 2 2*
    - *PRIMITIVE_AXIS = 1.0 0.0 0.0 0.0 1.0 0.0 0.0 0.0 1.0*
    - *BAND = 0 0 0 1/2 1/2 0 0 1/2 0 1/2 1/2 1/2 0 0 0*
    - *BAND_LABELS = G   M X R G*
    - *BAND_CONNECTION = .TRUE.*
- phonopy --wien2k -c SrTiO3.struct band.conf –p
- save with: phonopy --wien2k -c SrTiO3.struct band.conf –p –s #(pdf format)
- your result should be like:

phonons are unstable because $SrTiO_3$ has distorted, tetragonal structure at low temperatures
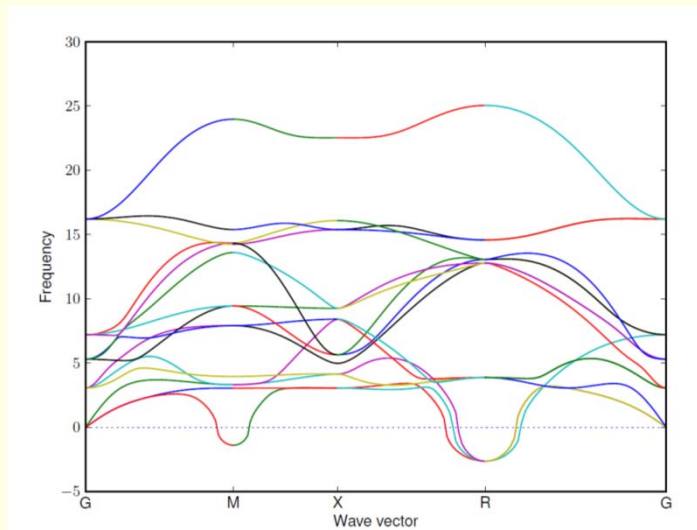
Exercise 12: O-NMR of cubic/tetragonal BaTiO$_3$

- This exercise should be done WITHOUT w2web in a terminal window !
- mkdir BaTiO3; cd BaTiO3; mkdir cubic; mkdir tet; cd tet
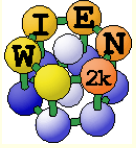- makestruct   (and type in the following information)
  - *BaTiO$_3$: SG 99 (P 4 m m), a= 3.9926 3.9926 4.0294 Ang*
  - *Ba (0,0, 0.0217), Ti (0.5,0.5, 0.5363), O_1 (0.5,0.5, 0.99805), O_2 (0,0.5, 0.50663)*
- cp init.struct tet.struct
- init_lapw -b -numk 300 -rkmax 6   (batch mode)
- edit .machines (insert 2 lines with 1:localhost)
- run_lapw -p -fc 1 -cc 0.001
- tail *scf   and verify that the forces are "small" (no struct opt. necessary)
- x_nmr_lapw -mode in1 -focus O   (and view the resulting *in1c_nmr file)
- x_nmr_lapw -p
  - *check tet.outputnmr_integ for σ_{iso} and $\delta_{ax}=1/2(\sigma_{iso}-\sigma_z)$ (σ_z: smallest tensor component)*
  - *grep :EFG003 *scf*
  - *grep :EFG004 *scf*

NMR continued ..

- cd ../cubic

- makestruct   (and type in the following information)
    - $BaTiO_3$: SG 221 (P-m3m), a= 4.006 Ang
    - Ba (0,0, 0), Ti (0.5,0.5, 0.5), O (0.5,0.5, 0)

- continue as in the tetragonal case (run_lapw WITHOUT –fc 1, as all positions are fixed).

- compare with experiment: (R.Blinc et al., J.Phys:Cond.Mat. 20, 085204 (2008))

case	V_{zz}(exp)	V_{zz}(th)	δ_{iso}(exp)	δ_{iso}(th)	δ_{ax}(exp)	δ_{ax}(th)
cubic	2.46		546		-150	
tet-O1	2.06		520		-142	
tet-O2	2.56		570		-171	

- Estimate $\delta_{iso}(th)=(\sigma_{iso}(th)-\sigma_{ref}(th))$; estimate $\sigma_{ref}(th)$ to obtain "best" agreement with exp.
- $\sigma_{ax} =1/2(\sigma_{iso}- \sigma_z)$
- The results are quite sensitive to small structural changes (c/a, positions) and the XC-approximation. You may repeat it using mBJ (with original BJ parameters)