

Similarity Search

CSE545 - Spring 2020
Stony Brook University

H. Andrew Schwartz

$$A \cap B$$

Big Data Analytics, The Class

Goal: Generalizations

A model or summarization of the data.



Data Frameworks

Algorithms and Analyses

Hadoop File System ✓

Spark ✓

Streaming ✓

MapReduce ✓

Tensorflow ✓

Similarity Search

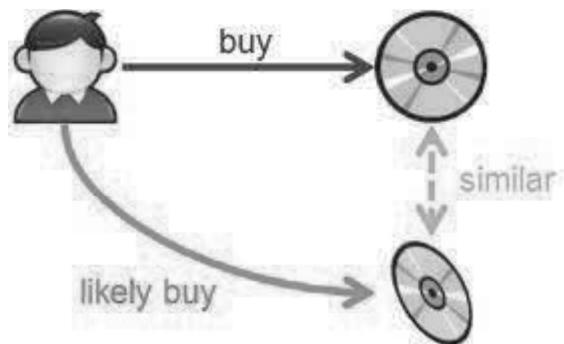
Hypothesis Testing

Link Analysis

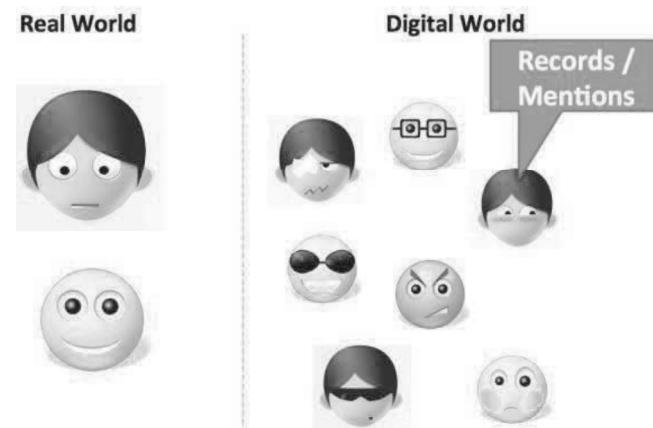
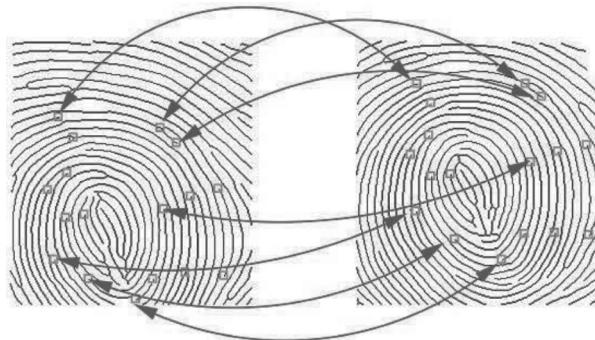
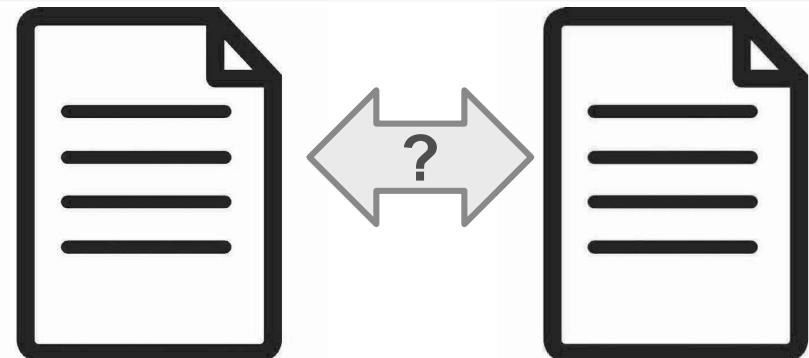
Recommendation Systems

Deep Learning

Finding Similar Items



(<http://blog.soton.ac.uk/hive/2012/05/10/recommendation-system-of-hive/>)



(<http://www.datacommunitydc.org/blog/2013/08/entity-resolution-for-big-data>)

Finding Similar Items: Topics

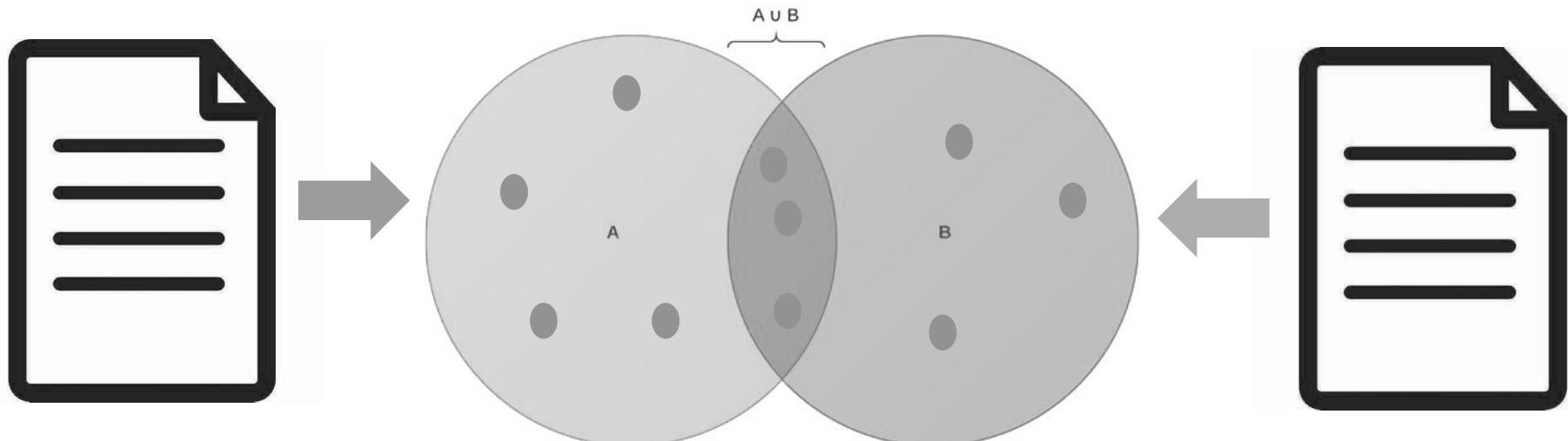
- Shingling
- Minhashing
- Locality-sensitive hashing
- Distance Metrics

Document Similarity

Challenge: How to represent the document in a way that can be efficiently encoded and compared?

Shingles

Goal: Convert documents to sets



Shingles

Goal: Convert documents to sets



k-shingles (aka “character n-grams”)
- sequence of k characters



E.g. $k=2$ doc="abcdabd"
 $\text{shingles}(\text{doc}, 2) = \{\text{ab}, \text{bc}, \text{cd}, \text{da}, \text{bd}\}$

Shingles

Goal: Convert documents to sets



k-shingles (aka “character n-grams”)
- sequence of k characters



E.g. $k=2$ doc="abcdabd"
 $\text{shingles}(\text{doc}, 2) = \{\text{ab}, \text{bc}, \text{cd}, \text{da}, \text{bd}\}$

- Similar documents have many common shingles
- Changing words or order has minimal effect.
- In practice use $5 < k < 10$

Shingles

Goal: Convert documents to sets



Large enough that any given shingle appearing in a document is highly unlikely
(e.g. < .1% chance)

Can hash large shingles to smaller
(e.g. 9-shingles into 4 bytes)

Can also use words (aka n-grams).

- Similar documents have many common shingles
- Changing words or order has minimal effect.
- **In practice use $5 < k < 10$**

Shingles

Problem: Even if hashing, sets of shingles are large
(e.g. 4 bytes => 4x the size of the document).

Minhashing

Goal: Convert sets to shorter ids, signatures

Minhashing

Goal: Convert sets to shorter ids, “signatures”

Characteristic Matrix, X :

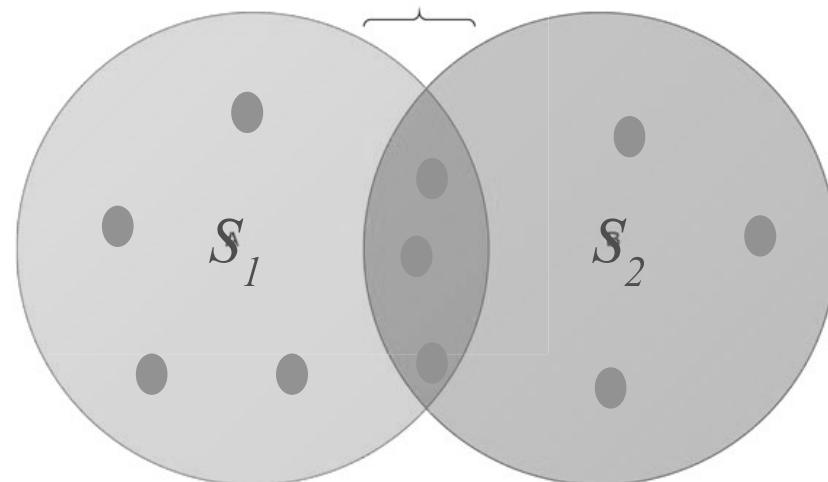
| Element | S_1 | S_2 | S_3 | S_4 | ... |
|---------|-------|-------|-------|-------|-----|
| a | 1 | 0 | 0 | 1 | |
| b | 0 | 0 | 1 | 0 | |
| c | 0 | 1 | 0 | 1 | |
| d | 1 | 0 | 1 | 1 | |
| e | 0 | 0 | 1 | 0 | |

(Leskovec et al., 2014; <http://www.mmds.org/>)

often very sparse! (lots of zeros)

Jaccard Similarity:

$$sim(S_1, S_2) = \frac{S_1 \cap S_2}{S_1 \cup S_2}$$



Minhashing

Characteristic Matrix:

| | S_1 | S_2 |
|----|-------|-------|
| ab | 1 | 1 |
| bc | 0 | 1 |
| de | 1 | 0 |
| ah | 1 | 1 |
| ha | 0 | 0 |
| ed | 1 | 1 |
| ca | 0 | 1 |

Jaccard Similarity:

$$sim(S_1, S_2) = \frac{S_1 \cap S_2}{S_1 \cup S_2}$$

Minhashing

Characteristic Matrix:

| | S_1 | S_2 | |
|----|-------|-------|----|
| ab | 1 | 1 | ** |
| bc | 0 | 1 | * |
| de | 1 | 0 | * |
| ah | 1 | 1 | ** |
| ha | 0 | 0 | |
| ed | 1 | 1 | ** |
| ca | 0 | 1 | * |

Jaccard Similarity:

$$sim(S_1, S_2) = \frac{S_1 \cap S_2}{S_1 \cup S_2}$$

Minhashing

Characteristic Matrix:

| | S_1 | S_2 | |
|----|-------|-------|----|
| ab | 1 | 1 | ** |
| bc | 0 | 1 | * |
| de | 1 | 0 | * |
| ah | 1 | 1 | ** |
| ha | 0 | 0 | |
| ed | 1 | 1 | ** |
| ca | 0 | 1 | * |

Jaccard Similarity:

$$sim(S_1, S_2) = \frac{S_1 \cap S_2}{S_1 \cup S_2}$$

$$sim(S_1, S_2) = 3 / 6$$

both have / # at least one has

Minhashing

Problem: Even if hashing shingle contents,
sets of shingles are large

e.g. 4 byte integer per shingle: assume all unique shingles,
=> 4x the size of the document
(since there are as many shingles as characters and 1byte per char).

Minhashing

Goal: Convert sets to shorter ids, “signatures”

Characteristic Matrix: X

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhashing

Goal: Convert sets to shorter ids, “signatures”

Characteristic Matrix: X

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

Approximate Approach:

- 1) Instead of keeping whole characteristic matrix, just keep first row where 1 is encountered.
- 2) Shuffle and repeat to get a “signature” for each set.

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhashing

Goal: Convert sets to shorter ids, “signatures”

Characteristic Matrix: X



| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

Approximate Approach:

- 1) Instead of keeping whole characteristic matrix, just keep first row where 1 is encountered.
- 2) Shuffle and repeat to get a “signature” for each set.

Minhashing

Goal: Convert sets to shorter ids, “signatures”

Characteristic Matrix: X

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Approximate Approach:

1) Instead of keeping whole characteristic matrix, just keep first row where 1 is encountered.

2) Shuffle and repeat to get a “signature”.

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ah | 0 | 1 | 0 | 1 |
| ca | 1 | 0 | 1 | 0 |
| ed | 1 | 0 | 1 | 0 |
| de | 0 | 1 | 0 | 1 |
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |

....

Minhashing

Goal: Convert sets to shorter ids, “signatures”

Characteristic Matrix: X

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Approximate Approach:

1) Instead of keeping whole characteristic matrix, just keep first row where 1 is encountered.

2) Shuffle and repeat to get a “signature”.

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ah | 0 | 1 | 0 | 1 |
| ca | 1 | 0 | 1 | 0 |
| ed | 1 | 0 | 1 | 0 |
| de | 0 | 1 | 0 | 1 |
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |

signatures

| S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|
| 1 | 3 | 1 | 2 |
| 2 | 1 | 2 | 1 |
| ... | ... | ... | ... |

....

Minhashing

Goal: Convert sets to shorter ids, “signatures”

Characteristic Matrix: X

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

Approximate Approach:

- 1) Instead of keeping whole characteristic matrix, just keep first row where 1 is encountered.
- 2) Shuffle and repeat to get a “signature” for each set.

Idea: We don't need to actually shuffle. We can just permute row ids.

Minhashing

Characteristic Matrix:

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to first row where set appears.

Minhashing

Characteristic Matrix:

| | S_1 | S_2 | S_3 | S_4 |
|----|-------|-------|-------|-------|
| ab | 1 | 0 | 1 | 0 |
| bc | 1 | 0 | 0 | 1 |
| de | 0 | 1 | 0 | 1 |
| ah | 0 | 1 | 0 | 1 |
| ha | 0 | 1 | 0 | 1 |
| ed | 1 | 0 | 1 | 0 |
| ca | 1 | 0 | 1 | 0 |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to first row where set appears.

| permuted order |
|----------------|
| 1 ha |
| 2 ed |
| 3 ab |
| 4 bc |
| 5 ca |
| 6 ah |
| 7 de |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | S_3 | S_4 |
|---|----|-------|-------|-------|-------|
| 3 | ab | 1 | 0 | 1 | 0 |
| 4 | bc | 1 | 0 | 0 | 1 |
| 7 | de | 0 | 1 | 0 | 1 |
| 6 | ah | 0 | 1 | 0 | 1 |
| 1 | ha | 0 | 1 | 0 | 1 |
| 2 | ed | 1 | 0 | 1 | 0 |
| 5 | ca | 1 | 0 | 1 | 0 |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to first row where set appears.

| permuted order |
|----------------|
| 1 ha |
| 2 ed |
| 3 ab |
| 4 bc |
| 5 ca |
| 6 ah |
| 7 de |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | S_3 | S_4 |
|---|----|-------|-------|-------|-------|
| 3 | ab | 1 | 0 | 1 | 0 |
| 4 | bc | 1 | 0 | 0 | 1 |
| 7 | de | 0 | 1 | 0 | 1 |
| 6 | ah | 0 | 1 | 0 | 1 |
| 1 | ha | 0 | 1 | 0 | 1 |
| 2 | ed | 1 | 0 | 1 | 0 |
| 5 | ca | 1 | 0 | 1 | 0 |

| permuted order |
|----------------|
| 1 ha |
| 2 ed |
| 3 ab |
| 4 bc |
| 5 ca |
| 6 ah |
| 7 de |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to first row where set appears.

$$h(S_1) = \text{ed} \quad \# \text{permuted row 2}$$
$$h(S_2) = \text{ha} \quad \# \text{permuted row 1}$$
$$h(S_3) =$$

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | S_3 | S_4 |
|---|----|-------|-------|-------|-------|
| 3 | ab | 1 | 0 | 1 | 0 |
| 4 | bc | 1 | 0 | 0 | 1 |
| 7 | de | 0 | 1 | 0 | 1 |
| 6 | ah | 0 | 1 | 0 | 1 |
| 1 | ha | 0 | 1 | 0 | 1 |
| 2 | ed | 1 | 0 | 1 | 0 |
| 5 | ca | 1 | 0 | 1 | 0 |

| permuted order |
|----------------|
| 1 ha |
| 2 ed |
| 3 ab |
| 4 bc |
| 5 ca |
| 6 ah |
| 7 de |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to first row where set appears.

$$h(S_1) = \text{ed} \quad \# \text{permuted row 2}$$
$$h(S_2) = \text{ha} \quad \# \text{permuted row 1}$$
$$h(S_3) = \text{ed} \quad \# \text{permuted row 2}$$
$$h(S_4) =$$

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | S_3 | S_4 |
|---|----|-------|-------|-------|-------|
| 3 | ab | 1 | 0 | 1 | 0 |
| 4 | bc | 1 | 0 | 0 | 1 |
| 7 | de | 0 | 1 | 0 | 1 |
| 6 | ah | 0 | 1 | 0 | 1 |
| 1 | ha | 0 | 1 | 0 | 1 |
| 2 | ed | 1 | 0 | 1 | 0 |
| 5 | ca | 1 | 0 | 1 | 0 |

| permuted order |
|----------------|
| 1 ha |
| 2 ed |
| 3 ab |
| 4 bc |
| 5 ca |
| 6 ah |
| 7 de |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to first row where set appears.

$$\begin{aligned} h(S_1) &= \text{ed} \quad \# \text{permuted row 2} \\ h(S_2) &= \text{ha} \quad \# \text{permuted row 1} \\ h(S_3) &= \text{ed} \quad \# \text{permuted row 2} \\ h(S_4) &= \text{ha} \quad \# \text{permuted row 1} \end{aligned}$$

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | S_3 | S_4 |
|---|----|-------|-------|-------|-------|
| 3 | ab | 1 | 0 | 1 | 0 |
| 4 | bc | 1 | 0 | 0 | 1 |
| 7 | de | 0 | 1 | 0 | 1 |
| 6 | ah | 0 | 1 | 0 | 1 |
| 1 | ha | 0 | 1 | 0 | 1 |
| 2 | ed | 1 | 0 | 1 | 0 |
| 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |

$$h_1(S_1) = ed \text{ #permuted row 2}$$

$$h_1(S_2) = ha \text{ #permuted row 1}$$

$$h_1(S_3) = ed \text{ #permuted row 2}$$

$$h_1(S_4) = ha \text{ #permuted row 1}$$

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | S_3 | S_4 |
|---|----|-------|-------|-------|-------|
| 3 | ab | 1 | 0 | 1 | 0 |
| 4 | bc | 1 | 0 | 0 | 1 |
| 7 | de | 0 | 1 | 0 | 1 |
| 6 | ah | 0 | 1 | 0 | 1 |
| 1 | ha | 0 | 1 | 0 | 1 |
| 2 | ed | 1 | 0 | 1 | 0 |
| 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |

$$h_1(S_1) = ed \text{ #permuted row}$$

2

$$h_1(S_2) = ha \text{ #permuted row}$$

1

$$h_1(S_3) = ed \text{ #permuted row}$$

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | S_3 | S_4 |
|---|----|-------|-------|-------|-------|
| 3 | ab | 1 | 0 | 1 | 0 |
| 4 | bc | 1 | 0 | 0 | 1 |
| 7 | de | 0 | 1 | 0 | 1 |
| 6 | ah | 0 | 1 | 0 | 1 |
| 1 | ha | 0 | 1 | 0 | 1 |
| 2 | ed | 1 | 0 | 1 | 0 |
| 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |

1

$$h_1(S_1) = ed \text{ #permuted row}$$

2

$$h_1(S_2) = ha \text{ #permuted row}$$

$$h_1(S_3) = ed \text{ #permuted row}$$

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 |
|---|---|----|-------|-------|-------|-------|
| 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 1 | 7 | de | 0 | 1 | 0 | 1 |
| 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 5 | 5 | ca | 1 | 0 | 1 | 0 |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | | | | |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 |
|---|---|----|-------|-------|-------|-------|
| 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 1 | 7 | de | 0 | 1 | 0 | 1 |
| 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 5 | 5 | ca | 1 | 0 | 1 | 0 |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | | | | |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Minhash function: h

- Based on permutation of rows in the characteristic matrix, h maps sets to rows.

Signature matrix: M

- Record first row where each set had a 1 in the given permutation

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |
| ... | | | | |
| ... | | | | |

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Property of signature matrix:

The probability for any h_i (i.e. any row), that $h_i(S_1) = h_i(S_2)$ is the same as $\text{Sim}(S_1, S_2)$

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |
| ... | | | | |
| ... | | | | |

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Property of signature matrix:

The probability for any h_i (i.e. any row), that $h_i(S_1) = h_i(S_2)$ is the same as $\text{Sim}(S_1, S_2)$

Thus, similarity of signatures S_1, S_2 is the fraction of minhash functions (i.e. rows) in which they agree.

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |
| ... | | | | |
| ... | | | | |

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | | |
|---|---|-------|-------|---|---|
| 1 | 4 | 3 | ab | 1 | 0 |
| 2 | 3 | 2 | | | |
| 3 | 6 | 3 | an | 0 | 1 |
| 4 | 2 | 6 | ha | 0 | 1 |
| 5 | 5 | 7 | ed | 1 | 0 |
| 6 | 4 | 5 | ca | 1 | 0 |

Property of signature matrix:
The probability for any h_i (i.e. any row), that $h_i(S_1) = h_i(S_2)$ is the same as $\text{Sim}(S_1, S_2)$

Thus, similarity of signatures S_1, S_2 is the fraction of minhash functions (i.e. rows) in which they agree.

Estimate with a random sample of permutations (i.e. ~ 100)

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |
| ... | | | | |
| ... | | | | |

Minhashing

Characteristic Matrix:

| | | S_1 | S_2 | | |
|---|---|-------|-------|---|---|
| 1 | 4 | 3 | ab | 1 | 0 |
| 2 | 3 | 2 | | | |
| 3 | 2 | | | | |
| 4 | 5 | 5 | an | 0 | 1 |
| 5 | 7 | 2 | ha | 0 | 1 |
| 6 | 3 | 6 | ed | 1 | 0 |
| 7 | | | ca | 1 | 0 |

Property of signature matrix:
The probability for any h_i (i.e. any row), that $h_i(S_1) = h_i(S_2)$ is the same as $\text{Sim}(S_1, S_2)$

Thus, similarity of signatures S_1, S_2 is the fraction of minhash functions (i.e. rows) in which they agree.

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |

Estimated $\text{Sim}(S_1, S_3) = \frac{\text{agree}}{\text{all}} = \frac{2}{3}$

Minhashing

Characteristic Matrix:

| | | | | | | | | S_1 | S_2 | S_3 | S_4 |
|---|---|---|--|----|--|--|--|-------|-------|-------|-------|
| 1 | 4 | 3 | | ab | | | | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | | bc | | | | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | | de | | | | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | | ah | | | | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | | ha | | | | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | | ed | | | | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | | ca | | | | 1 | 0 | 1 | 0 |

Property of signature matrix:

The probability for any h_i (i.e. any row), that $h_i(S_1) = h_i(S_2)$ is the same as $\text{Sim}(S_1, S_2)$

Thus, similarity of signatures S_1, S_2 is the fraction of minhash functions (i.e. rows) in which they agree.

| | | S_1 | S_2 | S_3 | S_4 |
|-------|---|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 | |
| h_2 | 2 | 1 | 4 | 1 | |
| h_3 | 1 | 2 | 1 | 2 | |

Estimated $\text{Sim}(S_1, S_3) =$
agree / all = $2/3$

Real $\text{Sim}(S_1, S_3) =$
 $\text{Type a} / (\text{a} + \text{b} + \text{c}) = 3/4$

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Property of signature matrix:

The probability for any h_i (i.e. any row), that $h_i(S_1) = h_i(S_2)$ is the same as $\text{Sim}(S_1, S_2)$

Thus, similarity of signatures S_1, S_2 is the fraction of minhash functions (i.e. rows) in which they agree.

| | | S_1 | S_2 | S_3 | S_4 |
|-------|--|-------|-------|-------|-------|
| h_1 | | 2 | 1 | 2 | 1 |
| h_2 | | 2 | 1 | 4 | 1 |
| h_3 | | 1 | 2 | 1 | 2 |

Estimated $\text{Sim}(S_1, S_3) =$
agree / all = 2/3

Real $\text{Sim}(S_1, S_3) =$
 $\text{Type a} / (\text{a} + \text{b} + \text{c}) = 3/4$

Try $\text{Sim}(S_2, S_4)$ and
 $\text{Sim}(S_1, S_2)$

Minhashing

Error Bound?

Characteristic Matrix:

| | | | | S_1 | S_2 | S_3 | S_4 |
|---|---|---|----|-------|-------|-------|-------|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |

Estimated Sim(S_1, S_3) =
agree / all = 2/3

Real Sim(S_1, S_3) =
Type a / (a + b + c) = 3/4

Try Sim(S_2, S_4) and
Sim(S_1, S_2)

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Error Bound?

Expect error: $O(1/\sqrt{k})$ (k hashes)

Why? Each row is a random observation of 1 or 0 (match or not) with $P(\text{match}=1) = \text{Sim}(S_1, S_2)$.

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |

Estimated $\text{Sim}(S_1, S_3) =$
agree / all = 2/3

Real $\text{Sim}(S_1, S_3) =$
Type a / (a + b + c) = 3/4

Try $\text{Sim}(S_2, S_4)$ and
 $\text{Sim}(S_1, S_2)$

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Error Bound?

Expect error: $O(1/\sqrt{k})$ (k hashes)

Why? Each row is a random observation of 1 or 0 (match or not) with $P(\text{match}=1) = \text{Sim}(S_1, S_2)$.

N = k observations

Standard deviation(std)? < 1 (worst case is 0.5)

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |

Estimated $\text{Sim}(S_1, S_3) =$
agree / all = 2/3

Real $\text{Sim}(S_1, S_3) =$
Type a / (a + b + c) = 3/4

Try $\text{Sim}(S_2, S_4)$ and
 $\text{Sim}(S_1, S_2)$

Minhashing

Characteristic Matrix:

| | | | S_1 | S_2 | S_3 | S_4 | |
|---|---|---|-------|-------|-------|-------|---|
| 1 | 4 | 3 | ab | 1 | 0 | 1 | 0 |
| 3 | 2 | 4 | bc | 1 | 0 | 0 | 1 |
| 7 | 1 | 7 | de | 0 | 1 | 0 | 1 |
| 6 | 3 | 6 | ah | 0 | 1 | 0 | 1 |
| 2 | 6 | 1 | ha | 0 | 1 | 0 | 1 |
| 5 | 7 | 2 | ed | 1 | 0 | 1 | 0 |
| 4 | 5 | 5 | ca | 1 | 0 | 1 | 0 |

(Leskovec et al., 2014; <http://www.mmds.org/>)

Error Bound?

Expect error: $O(1/\sqrt{k})$ (k hashes)

Why? Each row is a random observation of 1 or 0 (match or not) with $P(\text{match}=1) = \text{Sim}(S_1, S_2)$.

$N = k$ observations

Standard deviation(std)? < 1 (worst case is 0.5)

Standard Error of Mean = std/\sqrt{N}

| | S_1 | S_2 | S_3 | S_4 |
|-------|-------|-------|-------|-------|
| h_1 | 2 | 1 | 2 | 1 |
| h_2 | 2 | 1 | 4 | 1 |
| h_3 | 1 | 2 | 1 | 2 |

Estimated $\text{Sim}(S_1, S_3) =$
agree / all = $2/3$

Real $\text{Sim}(S_1, S_3) =$
Type a / (a + b + c) = $3/4$

Try $\text{Sim}(S_2, S_4)$ and
 $\text{Sim}(S_1, S_2)$

Minhashing

In Practice

Problem:

- Can't reasonably do permutations (huge space)
- Can't randomly grab rows according to an order
(random disk seeks = slow!)

Minhashing

In Practice

Problem:

- Can't reasonably do permutations (huge space)
- Can't randomly grab rows according to an order
(random disk seeks = slow!)

Solution: Use “random” hash functions.

- Setup:
 - Pick ~100 hash functions, hashes
 - Store $M[i][s] = \text{a potential minimum } h_i(r)$
#initialized to infinity (num hashs x num sets)

Minhashing

Solution: Use “random” hash functions.

Setup:

```
hashes = [getHfunc(i) for i in rand(1, num=100)]  
for i in hashes: for s in sets:  
    Sig[i][s] = np.inf #represents a potential minimum  $h_i(r)$  ; initially infinity
```

#100 hash functions, seeded random

Minhashing

Solution: Use “random” hash functions.

Setup:

```
hashes = [getHfunc(i) for i in rand(1, num=100)]
```

#100 hash functions, seeded random

```
for i in hashes: for s in sets:
```

Sig[i][s] = np.inf #represents a potential minimum $h_i(r)$; initially infinity

Algorithm (“efficient minhashing”):

```
for r in rows of cm: #cm is characteristic matrix
```

compute $h_i(r)$ for all i in hashes #precompute 100 values

```
for each set s in sets: #columns of cm
```

```
if cm[r][s] == 1:
```

for i in hashes: #check which hash produces smallest value

```
    if  $h_i(r) < \text{Sig}[i][s]$ :  $\text{Sig}[i][s] = h_i(r)$ 
```

Minhashing

Solution: Use “random” hash functions.

Setup:

```
hashes = [getHfunc(i) for i in rand(1, num=100)]
```

#100 hash functions, seeded random

```
for i in hashes: for s in sets:
```

Sig[i][s] = np.inf #represents a potential minimum $h_i(r)$; initially infinity

Algorithm (“efficient minhashing”) without charact matrix:

```
for feat in shins: #shins is all unique shingles
```

compute $h_i(feat)$ for all i in hashes #precompute 100 values

for each set s in sets: #sets is list of shingle sets

```
if feat in s:
```

for i in hashes: #check which hash produces smallest value

```
if  $h_i(feat) < \text{Sig}[i][s_{id}]$ :  $\text{Sig}[i][s_{id}] = h_i(feat)$ 
```

Minhashing

Problem: Even if hashing, sets of shingles are large (e.g. 4 bytes => 4x the size of the document).

Minhashing

Problem: Even if hashing, sets of shingles are large (e.g. 4 bytes => 4x the size of the document).

New Problem: Even if the size of signatures are small, it can be computationally expensive to find similar pairs.

E.g. 1m documents; $1,000,000 \text{ choose } 2 = 500,000,000,000$ pairs!

Minhashing

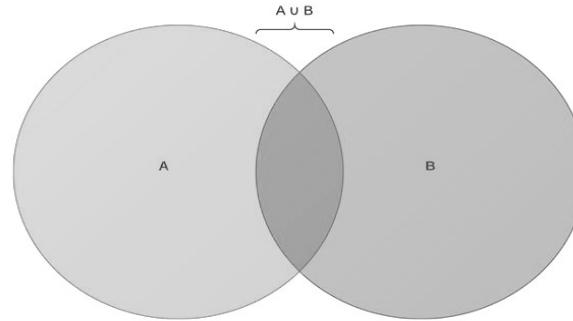
Problem: Even if hashing, sets of shingles are large (e.g. 4 bytes => 4x the size of the document).

New Problem: Even if the size of signatures are small, it can be computationally expensive to find similar pairs.

E.g. 1m documents; $1,000,000 \text{ choose } 2 = 500,000,000,000$ pairs!

(1m documents isn't even “big data”)

Document Similarity



Duplicate web pages (useful for ranking)

Plagiarism

Cluster News Articles

Anything similar to documents: movie/music/art tastes, product characteristics

COVID-19 Report matching

Locality-Sensitive Hashing

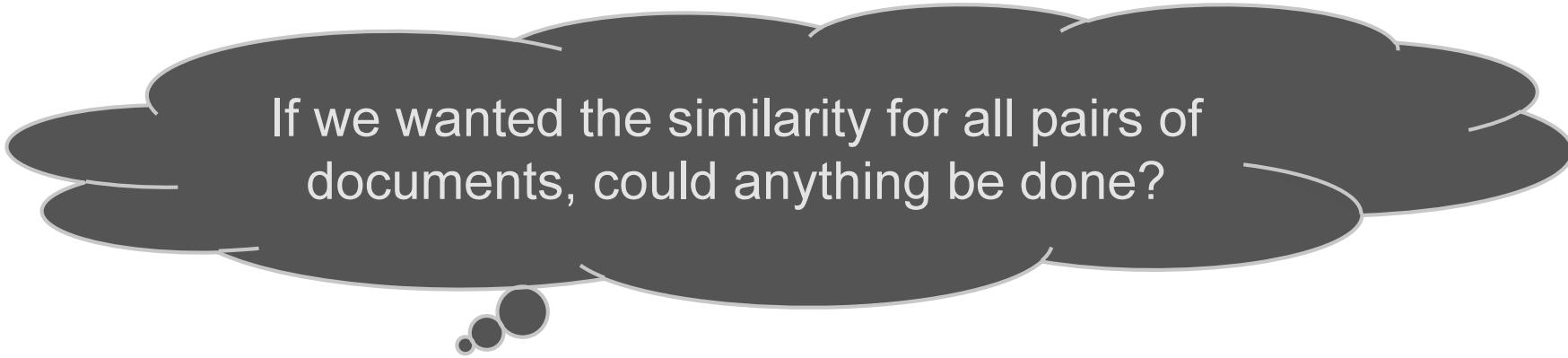
Goal: find pairs of minhashes *likely* to be similar (in order to then test more precisely for similarity).

Candidate pairs: pairs of elements to be evaluated for similarity.

Locality-Sensitive Hashing

Goal: find pairs of minhashes *likely* to be similar (in order to then test more precisely for similarity).

Candidate pairs: pairs of elements to be evaluated for similarity.



If we wanted the similarity for all pairs of documents, could anything be done?

Locality-Sensitive Hashing

Goal: find pairs of minhashes likely to be similar (in order to then test more precisely for similarity).

Candidate pairs: pairs of elements to be evaluated for similarity.

Approach: Hash multiple times over subsets of data: similar items are likely in the same bucket once.

Locality-Sensitive Hashing

Goal: find pairs of minhashes likely to be similar (in order to then test more precisely for similarity).

Candidate pairs: pairs of elements to be evaluated for similarity.

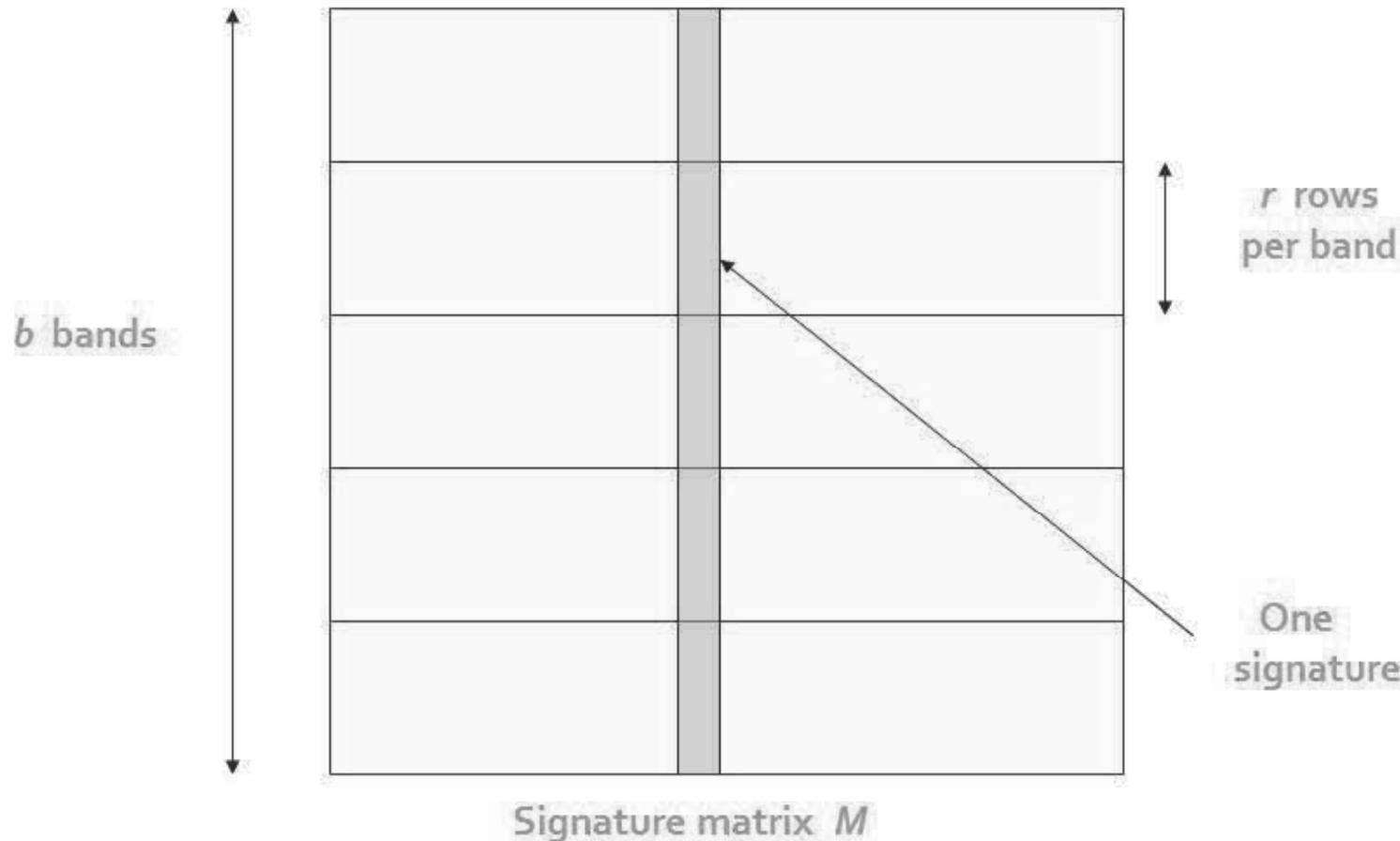
Approach: Hash multiple times over subsets of data: similar items are likely in the same bucket once.

Approach from MinHash: Hash columns of signature matrix

→ Candidate pairs end up in the same bucket.

Locality-Sensitive Hashing

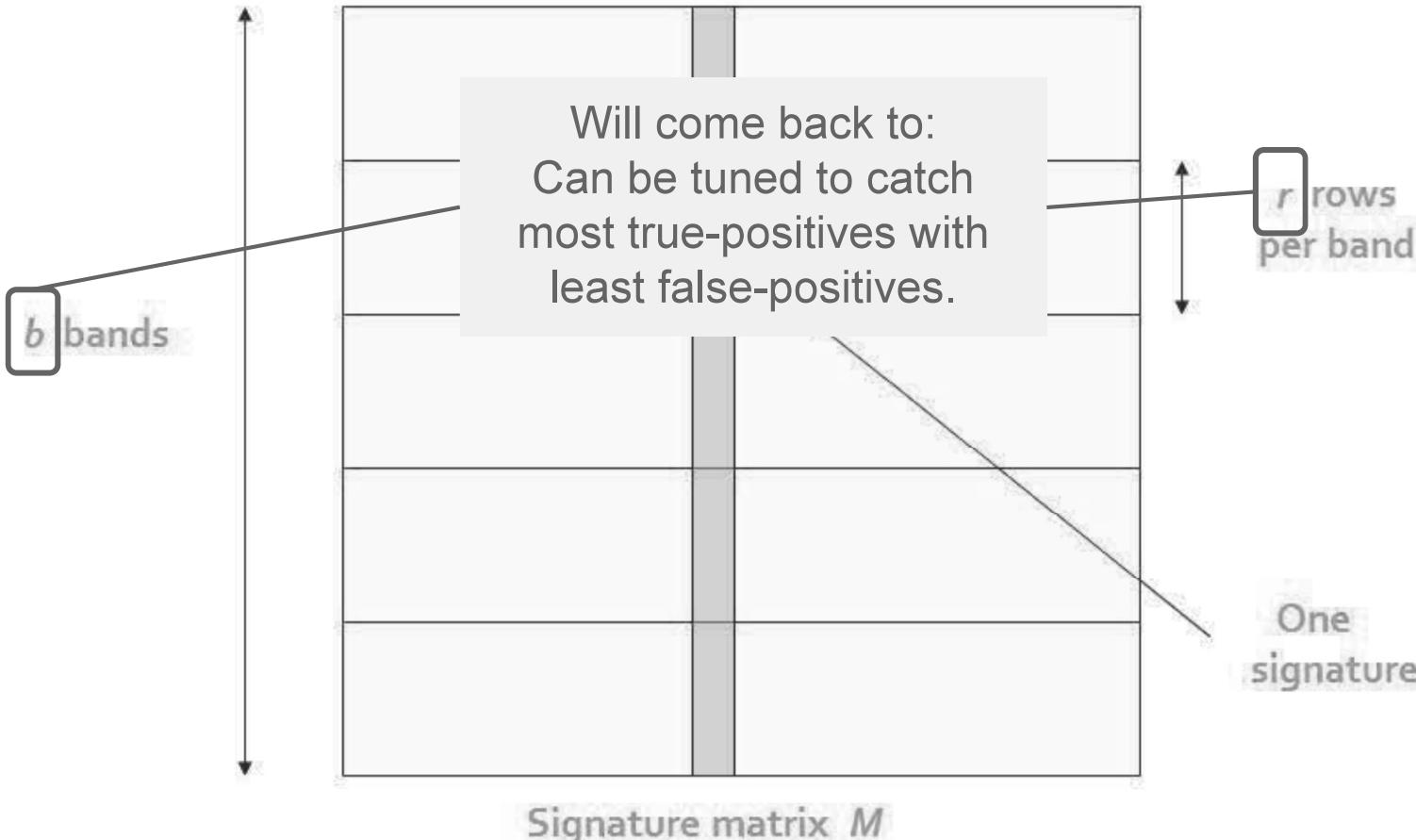
Step 1: Divide signature matrix into b bands



(Leskovec et al., 2014; <http://www.mmds.org/>)

Locality-Sensitive Hashing

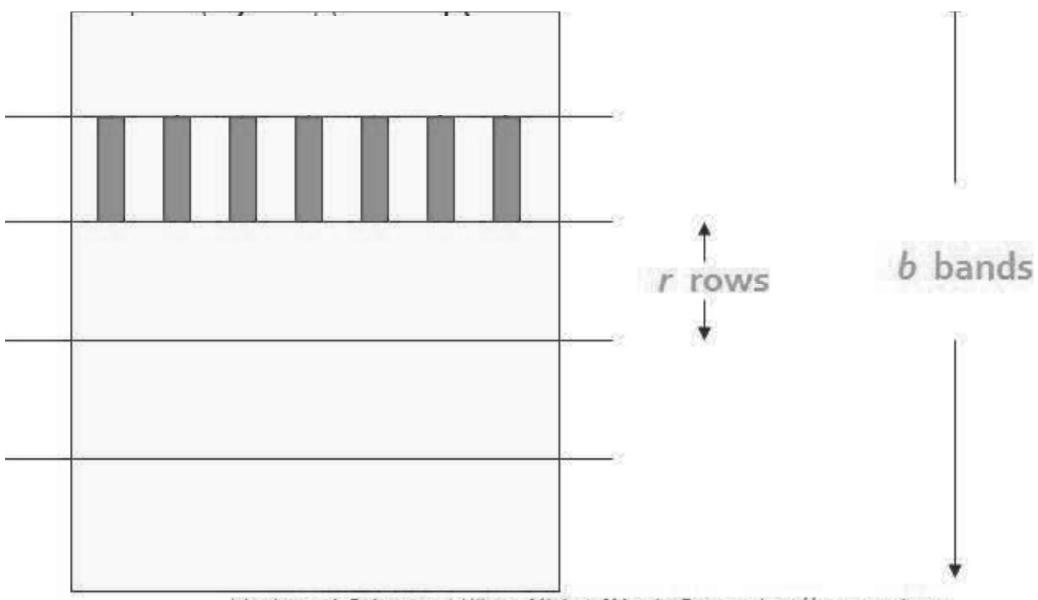
Step 1: Divide into b bands



(Leskovec et al., 2014; <http://www.mmds.org/>)

Locality-Sensitive Hashing

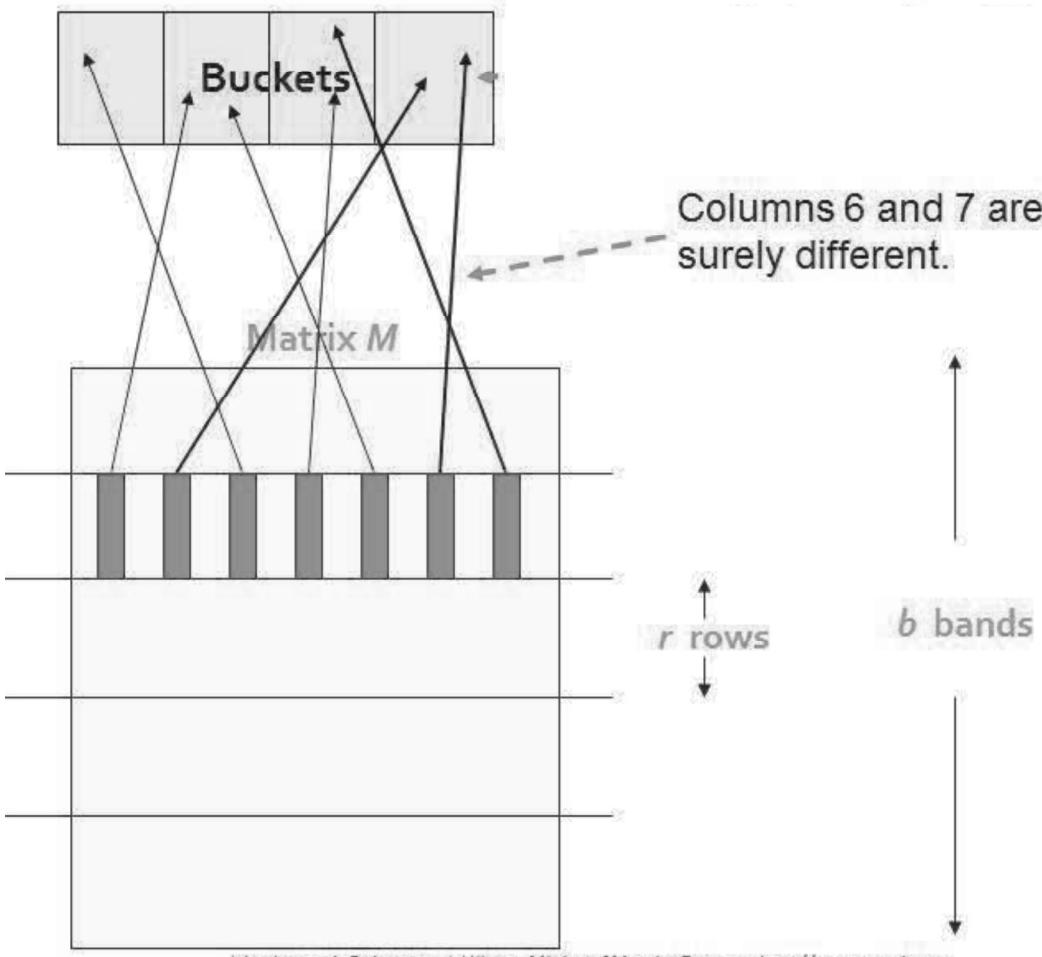
Step 1: Divide into b bands
Step 2: Hash columns
within bands
(one hash per band)



(Leskovec et al., 2014; <http://www.mmds.org/>)

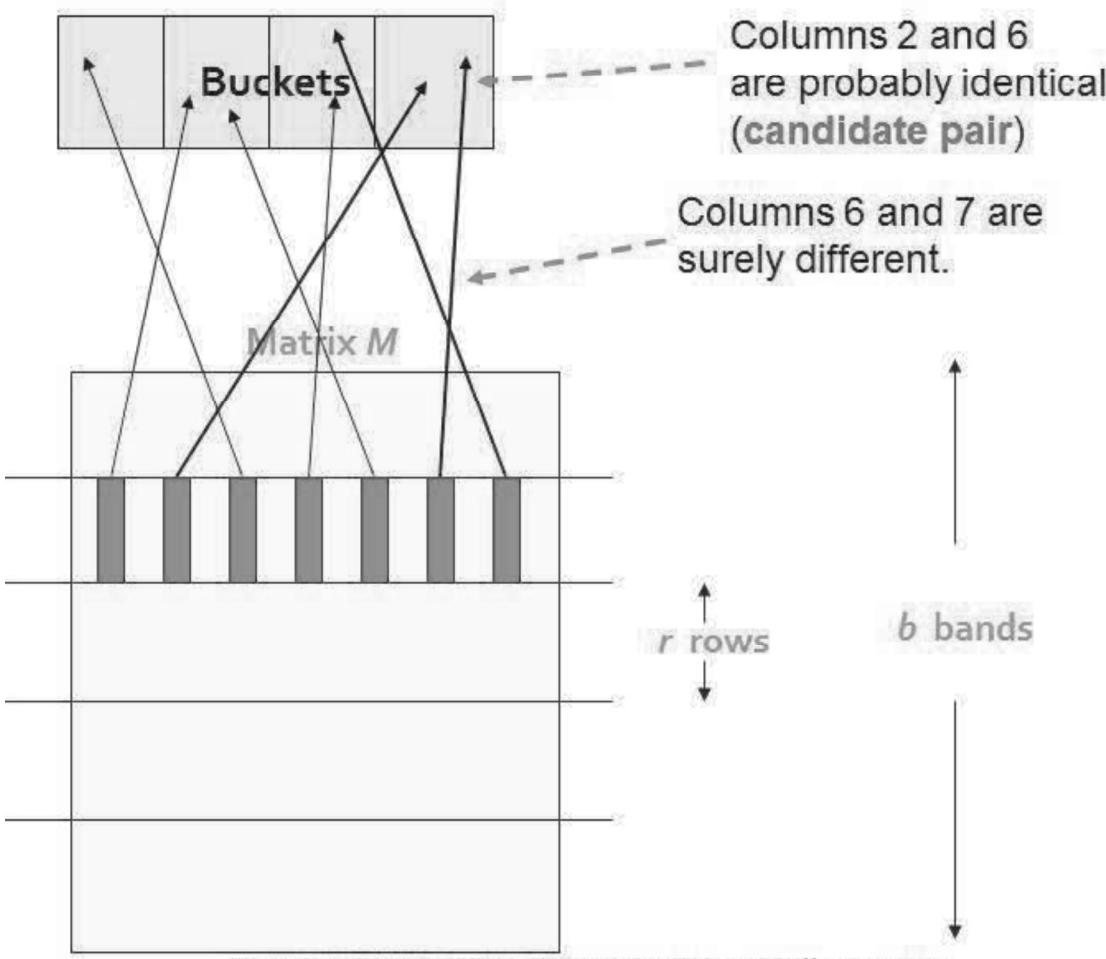
Locality-Sensitive Hashing

Step 1: Divide into b bands
Step 2: Hash columns
within bands
(one hash per band)



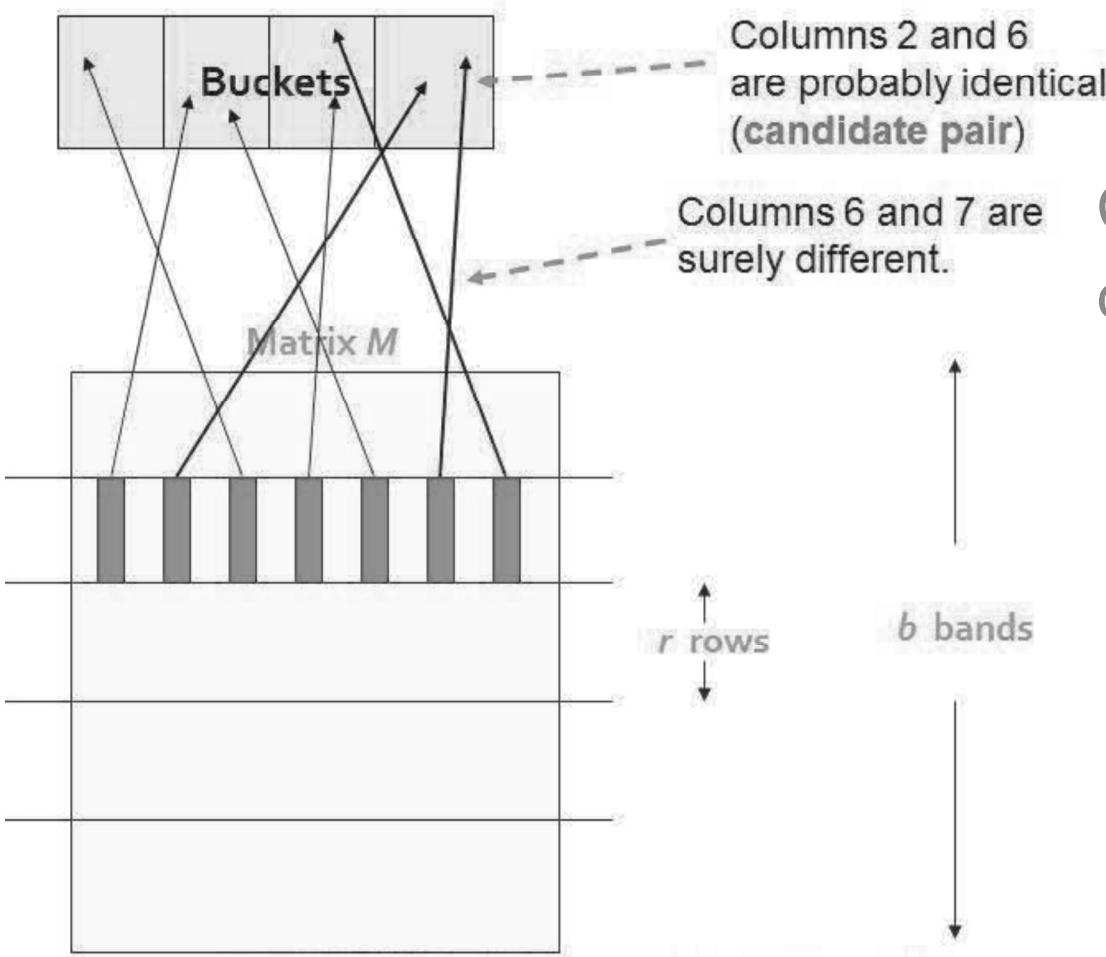
(Leskovec et al., 2014; <http://www.mmds.org/>)

Locality-Sensitive Hashing



Step 1: Divide into b bands
Step 2: Hash columns within bands (one hash per band)

Locality-Sensitive Hashing

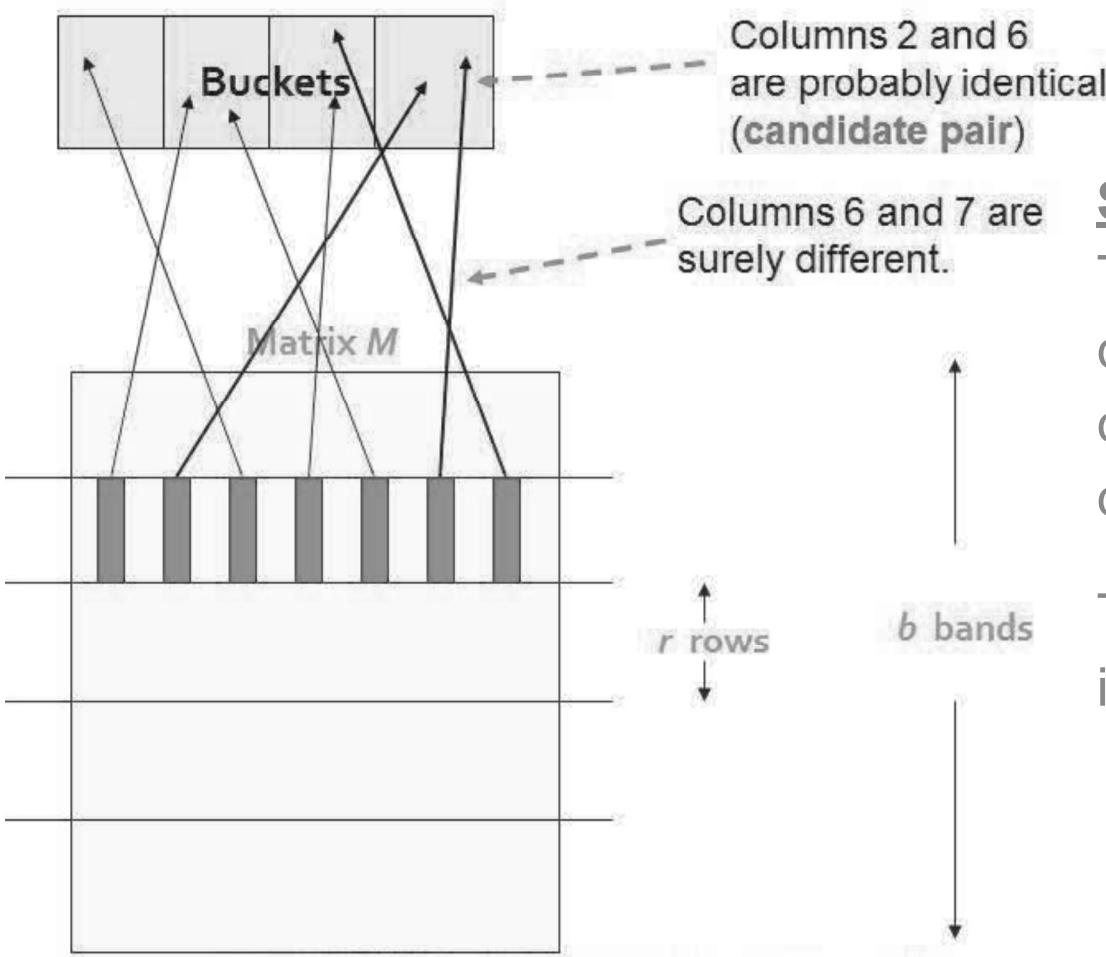


Step 1: Divide into b bands
Step 2: Hash columns
within bands
(one hash per band)

Criteria for being
candidate pair:

- They end up in same
bucket for at least 1
band.

Locality-Sensitive Hashing



Step 1: Divide into b bands

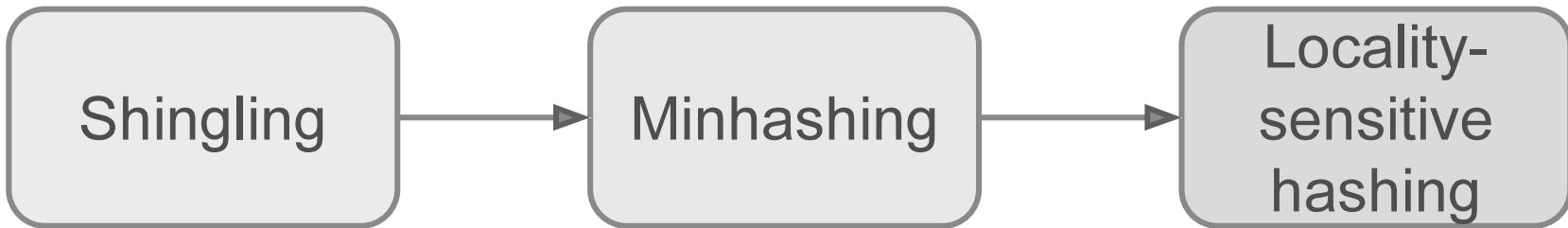
**Step 2: Hash columns
within bands
(one hash per band)**

Simplification:

There are enough buckets compared to rows per band that columns must be identical in order to hash into same bucket.

Thus, we only need to check if identical within a band.

Document-Similarity Pipeline



Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)

Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)
- 20 bands of 5 rows
- Want 80% Jaccard Similarity ; for any row $p(S_1 == S_2) = .8$

Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)
- 20 bands of 5 rows
- Want 80% Jaccard Similarity ; for any row $p(S_1 == S_2) = .8$

$P(S_1 == S_2 | b^{(5)})$: probability S1 and S2 agree within a given band

Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)
- 20 bands of 5 rows
- Want 80% Jaccard Similarity ; for any row $p(S_1 == S_2) = .8$

$P(S_1 == S_2 | b^{(5)})$: probability S1 and S2 agree within a given band
 $= 0.8^5 = .328$

Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)
- 20 bands of 5 rows
- Want 80% Jaccard Similarity ; for any row $p(S_1 == S_2) = .8$

$P(S_1 == S_2 | b^{(5)})$: probability S1 and S2 agree within a given band
 $= 0.8^5 = .328 \Rightarrow P(S_1 != S_2 | b) = 1 - .328 = .672$

Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)
- 20 bands of 5 rows
- Want 80% Jaccard Similarity ; for any row $p(S_1 == S_2) = .8$

$P(S_1 == S_2 | b^{(5)})$: probability S_1 and S_2 agree within a given band
 $= 0.8^5 = .328 \Rightarrow P(S_1 != S_2 | b) = 1 - .328 = .672$

$P(S_1 != S_2)$: probability S_1 and S_2 do not agree in any band

Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)
- 20 bands of 5 rows
- Want 80% Jaccard Similarity ; for any row $p(S_1 == S_2) = .8$

$P(S_1 == S_2 | b^{(5)})$: probability S_1 and S_2 agree within a given band
 $= 0.8^5 = .328 \Rightarrow P(S_1 != S_2 | b) = 1 - .328 = .672$

$P(S_1 != S_2)$: probability S_1 and S_2 do not agree in any band
 $= .672^{20} = .00035$

Probability of Agreement

- 100,000 documents
- 100 random permutations/hash functions/rows
 - => if 4byte integers then 40Mb to hold signature matrix
 - => still 100k choose 2 is a lot (~5billion)
- 20 bands of 5 rows
- Want 80% Jaccard Similarity ; for any row $p(S_1 == S_2) = .8$

$P(S_1 == S_2 | b)$: probability S1 and S2 agree within a given band
 $= 0.8^5 = .328 \Rightarrow P(S_1 != S_2 | b) = 1 - .328 = .672$

$P(S_1 != S_2)$: probability S1 and S2 do not agree in any band
 $= .672^{20} = .00035$

What if wanting 40% Jaccard Similarity?

Document-Similarity Pipeline

