

Master Thesis

Development of Galaxy Workflows for Sequence Data Analysis of Notifiable Viral Livestock Diseases

Viktoria Isabel Schwarz



Albert-Ludwigs-University Freiburg

Faculty of Engineering

Department of Computer Science

Bioinformatics Group

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Examiner

Prof. Dr. Rolf Backofen

Second Examiner

Prof. Dr. med. Marcus Panning

Advisor

Dr. Wolfgang Maier

Declaration

I hereby declare that I am the sole author and composer of my thesis and that no other sources or learning aids other than those listed have been used. Furthermore, I declare that I have acknowledged the work of others by providing detailed references of said work.

I hereby also declare that my thesis has not been prepared for another examination or assignment, either wholly or excerpts thereof.

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Acknowledgements

TODO

Abstract

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- What are notifiable viral livestock diseases
- main goal: improve surveillance
- how? by providing public infrastructure, reference-based mapping, hybrid mapping for AIV/FMDV
- why is it the right approach (fast, no knowledge required)
- from discussion: summarise findings + evaluate

Contents

1	Introduction	1
1.1	Viral Livestock Diseases	2
1.2	Prevention, Surveillance and Control	5
1.3	Motivation and Objectives of the Thesis	6
2	State-of-the-Art	9
2.1	Next-Generation Sequencing	9
2.1.1	Sequencing Approaches	10
2.1.2	NGS Platforms and Applications	11
2.1.3	Data Analysis Issues	15
2.2	Tools for Genomic Analysis with NGS Data	16
2.3	Pipelines for Genomic Analysis with Viral NGS Data	21
2.4	Poxvirus Analysis	22
2.4.1	Poxviruses	23
2.4.2	Pipelines for Genomic Analysis with Poxvirus NGS Data	27
2.5	Avian Influenza Virus Analysis	28
2.5.1	Avian Influenza Virus	28
2.5.2	Pipelines for Genomic Analysis with Avian Influenza Virus NGS Data	30
2.6	Foot-and-Mouth Disease Virus Analysis	32
2.6.1	Foot-and-Mouth Disease Virus	32

2.6.2	Pipelines for Genomic Analysis with Foot-and-Mouth Disease Virus	
	NGS Data	34
3	Materials and Methods	35
3.1	Galaxy Platform	35
3.2	SARS-CoV-2 Workflow and Requirements	37
3.3	Workflow Development	41
3.3.1	Poxvirus Illumina Amplicon Workflow	42
3.3.2	AIV Illumina Workflow	45
3.3.3	FMDV Illumina Workflow	49
4	Results	53
4.1	Validation of Poxvirus Workflow on Lumpy Skin Disease Virus Datasets .	53
4.2	Validation of AIV Workflow on H4N6 and H5N8 Samples	55
4.3	Validation of FMDV Workflows on Asia-1, A, SAT-1 and SAT-2 Samples	56
4.4	Workflow Profiling	59
5	Discussion	61
5.1	Contribution to the Field	61
5.2	Future Directions	64
6	Conclusion	67
	Bibliography	68
	Appendix	

List of Figures

1	Applications of next-generation sequencing in different fields.	13
2	Simplified SARS-CoV-2 analysis workflow for ampliconic Illumina-sequenced data.	38
3	Simplified poxvirus genomic analysis workflow for ampliconic Illumina-sequenced data.	43
4	Simplified Avian Influenza Virus (AIV) genomic analysis workflow for Illumina- sequenced data.	47
5	First Foot-and-mouth Disease Virus (FMDV) workflow with a <i>de novo</i> assembly and Basic Local Alignment Search Tool (BLAST)n search. . . .	49
6	Second FMDV workflow including reference-based mapping and consensus sequence construction.	50

List of Tables

1	Representative viruses from ten Chordopoxvirus genera.	24
2	Metrics after preprocessing and mapping for datasets 20L70 and 20L81. .	54
3	Metrics after preprocessing of EPI_ISL_7593059 and EPI_ISL_7596571.	55
4	Summarised results of the VAPOR run for two AIV samples. First number represents results for sample EPI_ISL_7593059, second number represents sample EPI_ISL_7596571.	56
5	Metrics after preprocessing and <i>de novo</i> assembly of Asia-1, A, SAT-1 and SAT-2 serotype reads.	57
6	Quality and coverage metrics of the alignment in the second FMDV workflow.	58

Acronyms

AIV Avian Influenza Virus

AWS Amazon Web Services, Inc.

BAM Binary Alignment Map

BED Browser Extensible Data

BLAST Basic Local Alignment Search Tool

BWA-MEM Burrow-Wheeler Aligner for short-read alignment with Maximal Exact Matches

CaPV Capripoxvirus

cDNA coding Deoxyribonucleic Acid

CWL Common Workflow Language

DNA Deoxyribonucleic Acid

COVID-19 Coronavirus Disease 19

drVM detect and reconstruct known Viral genomes from Metagenome

FMD Foot-and-mouth Disease

FMDV Foot-and-mouth Disease Virus

FPV False Positive Variant

GATK Genome Analysis Toolkit

HA Hemagglutinin

HPAI Highly Pathogenic Avian Influenza

HTS High-Throughput Sequencing

IAEA International Atomic Energy Agency

ICTV International Committee on Taxonomy of Viruses

INSaFLU “INSide the FLU”

IRIDA Integrated Rapid Infectious Disease Analysis

ITR Inverted Terminal Repeat

iVar intrahost Variant analysis of replicates

IWC Intergalactic Workflow Commission

KSP All k shortest path

LPAI Low Pathogenic Avian Influenza

LSD Lumpy Skin Disease

LSDV Lumpy Skin Disease Virus

MAFFT Multiple Alignment using Fast Fourier Transform

MSA Multiple Sequence Alignment

NA Neuraminidase

NCBI National Center for Biotechnology Information

NGS Next-Generation Sequencing

NP Nucleoprotein

OIE Office International des Epizooties

ONT Oxford Nanopore Technologies

ORF Open Reading Frame

PAIVS Prediction of Avian Influenza Virus Subtype

PCR Polymerase Chain Reaction

PDF Portable Document Format

RNA Ribonucleic Acid

RSV Respiratory Syncytial Virus

SAM Sequence Alignment Map

SARS-CoV-2 Severe Acute Respiratory Syndrome Coronavirus 2

SAM Sequence Alignment Map

SAT Southern African Territories

SMRT Single Molecule Real-Time Sequencing

SNP Single Nucleotide Polymorphism

SNV Single Nucleotide Variant

VCF Variant Call Format

VETLAB Veterinary Diagnostic Laboratory

WDL Workflow Description Language

WGS Whole-Genome Sequencing

WHO World Health Organization

WOAH World Organization for Animal Health

ZODIAC Zoonotic Disease Integrated Action

1 Introduction

Sharing environments means sharing diseases – this simple relationship expresses how pathogens spread among populations if they get in touch. The affected populations can be animal or human. Impacts of disease outbreaks can be as severe as the whole world experienced during the pandemic of Coronavirus Disease 19 (COVID-19) that originated in Wuhan, China in 2019. This highly contagious disease was caused by the Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2), an infectious virus of presumed zoonotic origin [1]. With more than 757.26 million reported cases and more than 6.85 million confirmed deaths as of February 24, 2023 **TODO: u**, this pandemic is a public health emergency that has caused estimated costs of 16 trillion U.S. dollars. Apart from this, it invoked an outstanding interest in virology research [2].

Professionals from many different fields, i.e. public health specialists, researchers, biomedical staff, bioinformaticians and veterinarians are carefully monitoring potentially dangerous viral diseases. International managing institutions with a globally distributed network work on safe and healthy environments for both animal and human populations. The World Organization for Animal Health (WOAH), founded as Office International des Epizooties (OIE), implements standards in animal health and the handling of zoonoses and other diseases. As an intergovernmental organisation following the multidisciplinary One Health principle, it supports its members in the prevention of animal diseases of concern. National veterinary authorities must notify the WOAH in case they detect cases of diseases that are listed by the WOAH. The most important definitions, the significance, impacts and surveillance measures of animal diseases are examined below.

1.1 Viral Livestock Diseases

Infectious diseases caused by viruses that affect domesticated animals, like for example cattle, pigs, goats, sheep, and poultry are referred to as viral livestock diseases. The most frequent and known diseases include Foot-and-Mouth Disease, African Swine Fever, Avian Influenza and Newcastle Disease. They can spread quickly among animals, and in some cases are transmitted from an animal host to humans, making them zoonotic diseases. There are over 200 known types of zoonoses, some of them, like rabies, being 100% preventable through vaccination and medication [3].

The term livestock is vague, and generally refers to any breed or animal population that is kept by humans for commercial or useful purpose. According to the 20th Livestock Census of the Department of Animal Husbandry and Dairying, given out by the Indian government, India holds the world's largest amount of livestock with 535.78 million animals as of 2019 [4]. Not only food production and economy, but also global trade, the agricultural sector and employment rates highly depend on livestock resources. These numbers illustrate the impressive interconnectedness between humans and livestock. The consequences of a collapse of the livestock industry would therefore be significant and far-reaching.

Historic Outbreaks of Zoonotic Diseases

Historically, zoonoses have shaped serious infectious events. Pathogens that cause zoonotic diseases are viruses (37.7%), and according to surveillance data also bacteria (41.4%), parasites (18.3%), fungi (2.0%) or prions (0.8%) [5]. Prior to the COVID-19 pandemic modern zoonotic diseases like Ebola virus disease and salmonellosis had high infection rates. Influenza viruses cause epidemics each year, and circulate in all parts of the world. Influenza appears in zoonotic and human-only spreads, but the different types of virus can recombine occasionally and cause events such as the 1918 Spanish

flu [6, 7]. Since the first detection of Highly Pathogenic Avian Influenza (HPAI) of the H5 subtype in China, 1996 it has been reported in many avian populations worldwide, both domestic and wild. Even though it has adapted to birds as the specific host, the virus can further adapt, spillover to humans and in rare cases be transmitted between humans [8]. Avian influenza has caused recent seasonal outbreaks, such as the 2014-15 outbreak in the United States resulting in almost 50 million birds that died as a consequence of an infection or of depopulation [9]. This is roughly a third of the national stock of laying hens. In 2020, there were several outbreaks reported in Europe, almost all with HPAI viruses from the H5 subtype [10]. It mainly affected farmed ducks due to the high density of animals in the facilities and the separation from wild birds due to domestication [10]. The latest outbreak of HPAI is spreading worldwide. Having started in early 2022, until today, February 23, 2023 **TODO: u** it has led to more than 58 million culled or died birds. Different H5 subtypes have been reported in 37 countries and so far, six human infections were reported in this outbreak [11]. This number is not nearly as high as for the animals affected, but considering that from 2003 to 2022, there were a total of 868 confirmed cases of H5N1 in humans with a mortality rate of 52%, each human infection is a risk [11].

Risk Factors and Impact of Disease Outbreaks

Reasons for recurring huge outbreaks of viral diseases in animal confinements are the advantageous circumstances for virus transmission, since it is warm and humid. In general, animal husbandry practises have evolved in the sense that domestic animal species are raised in relatively small and usually confined spaces at a high density. This domestication has given plenty of opportunities to develop more pathogens of viral and bacterial origin over time. The spread of international trading of farm animals has amplified the number of infected animals and the number of infectious diseases.

As transmission routes can differ depending on the disease, another factor is transmissibility, determining how easy the infectious agents spread. Vector-borne diseases

are transmitted by living organisms that transfer pathogenic microorganisms to other, uninfected animals or humans. Vectors can be mosquitoes, fleas or ticks. Another transmission mode is direct contact airborne transmission. Environmental factors such as a high temperature, humidity and precipitation can facilitate a virus to spread and keep it alive [12]. Inadequate food and water supplies, overpopulation and mass migration of animals pose additional risks for transmission of animal diseases in farming surroundings. Outbreaks of livestock diseases do not only affect animal and human health, but also cause high economic losses. Restrictions and containment measures, as well as the culling of animals lead to loss of income for farmers – since livestock and their products, such as milk, eggs or meat, are used for further production, other businesses that rely on these products are also affected by disease outbreaks. This can reflect in poor growth, production and feed conversion. Another impact of depopulating infected animal populations is the loss of biodiversity within endangered species of wildlife populations [13, 14, 15].

Notifiable Animal Diseases

For biosecurity and surveillance purposes, the WOAHA has agreed on a list of notifiable animal diseases that must be reported to in agricultural authorities. This list includes a total of 117 diseases, partly endemic or highly transmissible, such as Foot-and-mouth disease, lumpy skin disease, peste des petits ruminants, classical swine fever, highly pathogenic avian influenza and Newcastle disease [16].

Reports of illness cases of animals filed by national veterinary authorities are used to detect unusual incidents, including mortality or sickness of animals and have adverse effects on socio-economic or public health. The notifiable animal diseases include more than 50 wildlife diseases which can impact livestock health [16]. As the surveillance of viral animal diseases is still of highest priority in order to avoid expensive and dangerous outbreaks, this topic is discussed in more detail in the following introductory chapter.

1.2 Prevention, Surveillance and Control

Given the potential danger of disease outbreaks to animal and public health, the question is how to detect, monitor, control and prevent outbreaks in farm animal populations.

To avoid the impact that a disease outbreak can have, the best method is to avoid the disease in the first place. This leads to the principle of prevention, which has its main task in reducing the overall risk of a virus spreading. Corresponding measures are vaccinations and hygiene standards. For viral material that reassorts over time as the number of infections increases, the potential for a virus to exploit host cell genes that favour viral growth and survival may be high [17]. In-depth strategies to prevent viral diseases depend heavily on the characteristics of the virus, taking into account transmission mode, environmental stability, zoonotic risk and pathogenesis. Exclusion of infected livestock and vaccination of potentially infected flocks is increasingly practised worldwide [17].

Surveillance of viral diseases involves the collection of basic information about the disease, including incidence, prevalence and transmission patterns; the systematic and regular collection and analysis of these data is crucial to obtain a detailed overview of the spread. This need for data has led the WOAHA to publish the above-mentioned list of notifiable diseases. Based on the data collected, authorities can inform their decisions on the allocation of resources for disease control and other containment activities [17, 18]. Since efforts in tackling viral disease outbreaks or mass vaccination are very expensive, official budgets from the governments are needed. This makes it a political responsibility to prevent and control animal diseases.

One important component of modern and accurate surveillance systems of viral diseases is the access to relevant data. Technologies to produce Deoxyribonucleic Acid (DNA) sequencing data have developed to be very cost and time efficient which makes the study of infectious diseases better and faster. At the same time, the amount of DNA sequencing data produced with Next-Generation Sequencing (NGS), also known as High-Throughput

Sequencing (HTS) platforms, prove this change. NGS platforms include IonTorrent, Illumina and Oxford Nanopore Technologies (ONT). Advances in the biotechnological application and evaluation of these data are revolutionising the field on the molecular level [19]. Sequencing technologies take a key role in describing viral diversity in humans and animals, in detecting pathogens and co-infections, in epidemiologic research about the evolution of viral material and in metagenomic characterisation of new microbial material. This is done by constructing the parts or the complete genetic information of a virus, the genome, where the nucleic acids store this information in single or double strands in a linear or circular sequence. Algorithms and analyses of the viral genome of concern are extensively studied and developed for many different purposes. With NGS methods, the genome sequence can be precisely determined. More detailed methods that are used for viral animal disease surveillance with NGS-based technologies are described in Chapter 2.

1.3 Motivation and Objectives of the Thesis

Bioinformatics and data analysis are crucial for understanding and monitoring viral diseases. However, there is a lack of knowledge and resources in many parts of the world. This is particularly true for poorer countries with small laboratories and national health organisations that are not well equipped with modern sequencers and surveillance systems. Additionally, transporting clinical samples across international borders is difficult and expensive. Nonetheless, efforts are made to establish global networks such as the Zoonotic Disease Integrated Action (ZODIAC). It is an initiative by the International Atomic Energy Agency (IAEA), launched in 2021, with five major objectives: (1) Strengthening member states' detection, diagnostic and monitoring capabilities, (2) Developing and making novel technologies available for the detection and monitoring of zoonotic diseases, (3) Making real-time decision-making support tools available for timely interventions, (4) Understanding the impact of zoonotic diseases on human health and (5) Providing

access to an agency coordinated response for zoonotic diseases [20]. In collaboration with technical experts from different fields from all over the world, and to support the Veterinary Diagnostic Laboratory (VETLAB) Network, the ZODIAC project has the resources to provide standardised, easy-access, public and integrated pipelines for virus surveillance on a long-term. This will enable laboratories and veterinarians to monitor and analyse their samples more effectively, leading to early detection and prevention of viral livestock diseases.

Due to the outstanding research efforts brought about by the COVID-19 pandemic, analysis pipelines for SARS-CoV-2 samples were developed on the Galaxy platform. Galaxy and the implementation of pipelines are discussed in more detail in Chapter 3. Reusing parts of the globally used SARS-CoV-2 pipeline with NGS input data for genomic analysis can help to understand other viruses and ultimately lead to a deeper understanding of viral genomes from isolates.

This work is part of the ZODIAC project and supports pillar (2) in the development of integrated pipelines that enable laboratories, veterinarians and other health professionals to analyse their data from samples obtained with HTS technologies. The developed pipelines concern avian influenza A for subtype identification and genome analysis, a poxvirus pipeline for determining poxvirus genomes sequenced as half-genomes in a tiled-amplicon approach and Foot-and-mouth Disease (FMD) for serotyping and genome analysis. These viruses are chosen for the availability of test samples that were used for validation of the pipelines, for relevance within the ZODIAC project and for their importance concerning animal and public health risk. All three pipelines follow an approach that relies on raw read data and enables monitoring of intra-sample minor allelic variant frequencies. The high resolution that allows early warning of epidemiological signs of a changing viruses, specifically important for the assessment of emerging variants in pathogenicity and vaccine sensitivity. The aim is to provide fast, exact pipelines that are characterised by automatic choice of the reference sequence that is used for alignment. In summary, the lack of bioinformatics resources in many countries poses a major challenge to effective viral livestock disease surveillance. However, established global networks

such as ZODIAC together with VETLAB can provide the necessary resources to enable effective surveillance and analysis of viral animal diseases. This in turn will lead to early detection, insights into transmission routes and changes of the virus, prevention of disease outbreaks and ultimately protect public health and reduce the impact of viral diseases on livestock.

2 State-of-the-Art

In the demand for an effective, high-quality approach to the analysis of isolates from infected animals, molecular studies help to investigate characteristics of the sample. Genome analysis has become an integral part of animal disease surveillance, especially since the advent of high-throughput sequencing technologies in the last 15 years. Next-generation techniques, applications and drawbacks are described below, software tools to use handle NGS data, state of the art in poxvirus and avian influenza virus analysis, and lastly pipelines for genomic analysis are discussed.

2.1 Next-Generation Sequencing

NGS has revolutionised the field of genomics and virology. With its ability to rapidly and cost-effectively sequence large amounts of DNA or Ribonucleic Acid (RNA), NGS has enabled researchers to explore genetic and epigenetic variations, identify novel genomic features and investigate the evolution and diversity of viruses. In the following section, applications of NGS in genomics and virology are discussed, including the different NGS platforms available for sequencing. Finally, pitfalls associated with NGS, software and techniques are examined.

2.1.1 Sequencing Approaches

When comparing DNA sequencing technologies, there are differences in speed, throughput and volume of sequences. The term “next-generation” in NGS is used to describe newer technologies in the field and implies a next step in the evolution of sequencing technologies. As sequencing machine technologies evolve rapidly, there are gradations such as “second-generation” and “third-generation”. Following the original Sanger sequencing method from 1977 using radioactivity and gels based on chain termination, second-generation sequencers are advancements of Sanger sequencing that apply sequencing by synthesis [21]. However, equipment is comparably expensive and samples require a high concentration of the DNA or RNA to be sequenced. In second-generation methods, reactions run in parallel and drastically reduce overall costs compared to Sanger sequencing. They produce short sequence read length and are able to detect reads without using electrophoresis. Reads are equal to single fragments of DNA or RNA. Third-generation sequencing technologies typically generate longer primary reads of DNA or RNA molecules while maintaining the massive speed and parallelism of the technology [22].

Not only sequencing methods themselves differ in terms of underlying technologies, but also the overall approach how to handle samples in genomics research can be different. Ampliconic and genomic sequencing represent two alternatives in sequencing that can be applied on the varying NGS platforms. Ampliconic sequencing is a targeted sequencing approach that amplifies specific regions of a genome by using Polymerase Chain Reaction (PCR) prior to sequencing. This method is particularly useful for studying very similar sequences in order to detect genetic variations within specific genomic regions. The ARTIC network, a collaborative group of researchers, contributed to the development of ampliconic sequencing for viral genomes, particularly for the detection and analysis of SARS-CoV-2 [23, 24]. The ARTIC protocol uses a specific approach, tiled amplicon sequencing, which involves designing primers that amplify overlapping regions of the target genome. The primers are designed to have a fixed length and to be

located at a fixed distance from each other, allowing for complete coverage of the target region [25]. The amplicons can cover only specific regions of the genome but depending on the primer design also cover the full-length genome. On the other hand, genomic sequencing is a Whole-Genome Sequencing (WGS) method that sequences the entire genome without prior amplification. This method allows for a comprehensive analysis of the entire genome, including detection of genomic variations, structural variations, and epigenetic modifications [23].

2.1.2 NGS Platforms and Applications

By far the biggest player in the field of high-throughput sequencing of DNA or RNA samples is the Illumina platform [26]. Illumina sequencing is based on bridge amplification, which creates clusters of copies of each DNA fragment. This technique involves repeated synthesis reactions with proprietary modified nucleotides containing a different fluorescent label for each of the four bases A, T, C and G. The reactions are performed over 300 or more rounds, and fluorescence allows for faster detection through direct imaging. All DNA and RNA is converted to coding Deoxyribonucleic Acid (cDNA) before sequencing, because Illumina sequencing requires it to be attached to a solid surface which is done by attaching adapters to the cDNA fragments. An Illumina sequencer outputs data in the form of sequence reads, which are short DNA fragments ranging from 50 to 600 base pairs in length depending on the specific instrument and protocol used, e.g. MiSeq sequencer for smaller-scale sequencing applications and HiSeq sequencer for whole-genome sequencing [26, 22, 21]. Error rates of Illumina MiSeq and HiSeq sequencers range from 0.1% to 1% depending on the experiment and platform used [26]. The output data from an Illumina sequencer typically is in the form of raw sequence files in FASTQ format, which contain the base calls and corresponding quality scores for each read. These reads can be used for further analyses.

ONT is a third-generation paradigm shifting sequencing technology. It measures changes in ionic current across membranes as single-stranded DNA nucleotides pass through a nanopore [27]. Nanopore-based DNA sequencing technologies are purchasable as a portable, small MinION (by ONT) device, allowing experts to use it for applications where space requirements or portability are important [28, 27]. The cyclic mode of sequencing used in second-generation approaches is replaced by sequencing in real-time with user-defined read lengths of up to 10,000 basepairs [27]. The real-time aspect allows for rapid samples analysis in various settings. Despite its advantages, the main pitfall of ONT is its relatively high error rates in single reads of 5% to 15% compared to other HTS methods [29, 30]. Due to the real-time nature and avoidance of PCR, the output quality highly depends on the quality and purity of the input sample. This makes ONT alone less suitable for single-nucleotide variant analysis that is required in some diagnostic applications [31, 32].

Other frequently used second-generation platforms are Roche/454 pyrosequencing, Ion-Torrent (Thermo Fisher) technology and SOLiD (Sequencing by Oligonucleotide Ligation and Detection). Third-generation platforms include Single Molecule Real-Time Sequencing (SMRT) by Pacific Biosciences (PacBio), nanopore sequencing by ONT and MGI sequencing [33].

As NGS platforms are widely used in biomedical and clinical contexts, some of the most important applications are depicted in Figure 1. Using different tools, hardware and software, samples are prepared for sequencing on an application-specific and available platform and the produced reads can be used for a variety of applications. In virology, metagenomics can be used to identify viruses in complex clinical or environmental samples that contain lots of different nucleic acids [34]. It allows for the detection of known and novel viruses without prior knowledge of the infectious agent. Metagenomics involves the sequencing of all genetic material in a sample, including viral genomes, with the aim to identify the presence of viruses and other microorganisms or microbes. This approach is also known as “shotgun sequencing” in diagnostics, infection surveillance and



Figure 1: Applications of next-generation sequencing in different fields.

the discovery of mutations and pathogens.

NGS produces huge amounts of data, which can be used to detect genetic variations in samples of clinical patients. Genetic variation refers to finding differences in the form of variations and associating them with particular phenotypes. Genetic variation data of patients are used for personalised treatments or complex disorders. In virology, it refers to differences in the DNA sequence of a virus between different strains or isolates. These variants can be used for tracking the spread of an outbreak, identification of sources of an infection, or information about virus virulence [35]. Variant detection provides insight to the genome on a every-base level and allows to reliably interpret and identify the many different possible variants [36]. Specific regions can be sequenced from a sample which is also known as targeted sequencing. NGS data can help identify genetic variants that contribute to genomic variations, which can include differences in size, rearrangements, or epigenetic modifications in a nucleic acid sequence. By detecting these genetic variants, NGS reads can provide insight into the underlying causes of complex diseases or the

evolution and virulence of viruses.

Taxonomic classification describes the placement of samples to existing profiles based on their genetic and structural characteristics. It is a form of clustering analysis and is done by assigning a rank to each read so that a profile is obtained which is used to place it in taxa-specific entities. These entities label and group the sequences. Traditional general-purpose classifiers in microbiology at the genus level are Kraken, Kraken2 and BLAST [37, 38, 39]. Taxonomic classification is used for the type identification of a virus causing infections and determination of its potential for transmission or pathogenicity, but also in microbial diversity studies and diagnosis [40].

Another important application of NGS is the whole-genome sequencing, allowing a base-by-base view of the sequenced genome. With this approach, large and small variants can be detected. It helps to analyse entire genomes. Since NGS methods don't produce the full-length genome at once but generate many reads, the sequencing data are processed and assembled to the complete genome sequence. This can be done by *de novo* assembly without prior knowledge of the genetic origin of the sequenced sample. Assembly software tools generate overlaps of reads and reconstruct the genome without a reference sequence. Alternatively, a reference sequence can be used to map the reads to. WGS is used to identify novel viruses, to study mutations in viral genomes and to track the evolution of a virus over time by checking variations on base-level [22]. It also provides detailed understanding of the genetic makeup of viral pathogens and can help to identify regions that may contribute to pathogenicity or resistance to vaccines.

Lastly, gene ontology is applied to NGS data in the analysis of genes and their products. It is used to annotate genes and their functions and to compare the gene expression profiles across biological systems. With this approach, it is possible to gain insights to biological processes and molecular mechanisms. With viral samples, it helps to identify pathways that are affected by different disease states, and also to identify biomarkers for diagnosis, treatment and prevention of diseases.

2.1.3 Data Analysis Issues

Since the surveillance of viral animal diseases with NGS is advancing rapidly, it is important that regions and health organisations that experience high damage of viral outbreaks but do not have their own facilities and know-how have access to the needed tools and knowledge. Costs for NGS sequencers are high and the access to appropriate laboratories is not given everywhere. Networks like VETLAB and standardisation of techniques, for example freely available and published by the WOAHA, can enable professionals worldwide independent of their equipment on site. In the scope of the ZODIAC project, this aspect is addressed by providing protocols for each step from taking samples of potentially infected animals to the detailed analysis and derived actions [20].

NGS methods themselves have downsides that need to be considered when applying these techniques. Generally, chimerical sequences are formed during sequencing, which may be interpreted as false positives for novel organisms if the data are not cleaned. Chimeric products are artefacts originating from joining sequences and are represented by point mutations, insertions and deletions. Chimera formation also occurs during PCR amplification [41].

During bioinformatics analysis steps using algorithms with computationally expensive steps, the choice of the algorithm as well as its configuration settings have huge impact on the final results. This includes algorithms in steps such as quality filtering, clustering and sequence classification [42]. The cleaning step or filtering phase eliminates low-quality reads from the dataset, whereas the error correction process distinguishes true variants from those caused by experimental noise. This is based on the concept that errors occur randomly with low frequency, while true mutations tend to be clustered, and their frequency can be measured [43]. Longer reads preclude this problem because contigs must not be assembled in the first place, avoiding clustering and filtering errors. This is why the shift in third-generation and later sequencing platforms is towards longer reads again. Due to the relatively high error rates of HTS technologies that base on the sequencing process itself, PCR amplification of the viral material and reverse transcription of viral

RNA to cDNA, it is crucial to include quality checks and filtering steps when using the HTS data [44].

Each application of software used with NGS data requires expertise in resolving limitations and drawbacks of specific methods. This in turn requires skills and experience in the field and the careful interpretation of results. Nevertheless, NGS technologies provide a large pool of methods, although also available algorithms for genome assembly and amplicon analysis have drawbacks and limitations [45].

2.2 Tools for Genomic Analysis with NGS Data

A variety of suites and software packages is available to process NGS-generated data. Depending on the user's research and analysis interest, tools are used independently and/or subsequently. A tool represents a modular program to use with data input from the user. Different suites for bioinformatic analyses offer different interfaces to execute a tool, either on the command-line to work on a server, or via a web-interface. The software of a tool can be complex algorithms and expensive calculations, or simple and fast formatting programs.

Pursuing the goal to construct the full-length genome, short NGS-sequenced raw reads in FASTQ format, which is the text-based standard format to store nucleotide sequences with numeric quality scores for each nucleotide, serve as the primary input for any analysis steps. For the central steps of the bioinformatics pipelines described in Section 2.4.2, Section 2.5.2, Section 2.3 and importantly the newly designed pipelines in Section 3.3.1, Section 3.3.2 and Section 3.3.3, tools and software suites that can work with NGS-generated data from different techniques are discussed in the following.

Preprocessing

Working with raw NGS reads requires quality control before executing any further steps. Preprocessing with quality control helps the user to understand the sequencing data and to check its overall quality so sequencing errors, PCR artefacts and contaminations can be detected. Usually, a quality filtering to keep only reads above a certain length and quality threshold is conducted in the preprocessing, as well as when working with ampliconic data, trimming typical sequencing artefacts. The remnants of adapters, artificially introduced during sequencing, need to be removed as they are not part of the transcriptome. Common tools for this purpose are **FastQC**, **Trimmomatic**, **Cutadapt** or **fastp** [46, 47, 48, 49]. Being developed specifically for adapter-trimming of Illumina and SOLiD data, **Cutadapt** is a command-line tool written in Python that at the time of publishing was the only tool to support colour-space data. It also provides some read-filtering options [48]. **Trimmomatic** was developed to solve similar tasks but with higher performance and correct handling of paired-end data. It works with Illumina sequenced data and the user can upload the adapter sequences for adapter trimming if they deviate from standard protocols. It performs quality pruning with a sliding-window cutting algorithm [47]. **FastQC** is a Java-based tool for quality control and provides per-base and per-read quality profiling options [46]. The newest tool for preprocessing **fastp** provides an all-in-one solution for quality control of FASTQ data, which includes all of the options the formerly mentioned tools provide. Additionally, **fastp** outperforms them in terms of speed by being 2 to 5 times faster [49]. From the point of view of the user who wants to perform all the steps of quality control, filtering and trimming, none of the tools except **fastp** offers all the functions, which slows down the preprocessing because several tools have to be started. Additional features such as unique molecular identifier preprocessing and per-read polyguanine (polyG) tail trimming are integrated into **fastp** [49]. Its multithreading implementation in C/C++ makes it much faster than the previously mentioned tools. Reporting of the quality results to compare statistics of

the reads before and after the preprocessing run is possible with all tools in combination with **MultiQC** [50].

Alignment

In order to obtain the full-length sample sequence and to identify Single Nucleotide Polymorphisms (SNPs) in an isolate, short sequenced reads need to be aligned to a reference genome. Assembly of short reads can also be done as *de novo* assembly without a reference, however this approach requires greater computational capacities and greater sequencing depth to ensure a sufficient overlap of the reads for an accurate assembly [51]. The choice of alignment method depends on the amount, length and origin of read data. In genomic analyses and routine surveillance, this choice can be challenging and typically, fixed references are utilised for mapping. Often, these are arbitrarily or old sequences. Especially true for RNA virus genome analysis, as with the avian influenza virus, the RNA genome is mutable and diverse. This leads to divergence on regular basis and also leads to antigenic drift. Thus, an intelligent choice of reference sequence for mapping is essential for a meaningful analysis.

For reference-based alignment approaches, typically **minimap2** is used with ONT, PacBio or Illumina-sequenced data. **minimap2** is a pairwise aligner for short reads of at least 100 basepairs in length [52]. It states to be faster and more accurate than other domain-specific alignment tools [52].

Other frequently used tools are **BWA-MEM** for Illumina data and **Bowtie2** for ONT data. Like most other full-genome aligners, **BWA-MEM** follows the seed-chain-align pattern [53]. Using a Burrows-Wheeler Transform, both **BWA-MEM** and **Bowtie2** are shown to be faster aligners than others with reads of 100 basepairs in length [54].

For *de novo* assembly with Illumina, PacBio or IonTorrent data, **SPAdes** can be used. It is based on a De Bruijn graph algorithm building k-mers [55]. For ONT or PacBio data to align long error-prone reads, **Flye** is a modern *de novo* assembler, shown to be highly performant with relatively low error rates [56, 57]. Its underlying algorithm

is an A-Brujin assembly graph construction that attempts to generate arbitrary paths with overlaps, unlike other De Bruijn-based assemblers which attempt to generate long accurate contigs [56]. The A-Brujin graph is a variant of the De Bruijn graph algorithm that claims to distinguish better between true variants and sequencing errors, and it can more effectively combine repetitive regions into a single assembly sequence [56].

Consensus Sequence Construction

Representing the alignment results in the form of a full-length genome, based on the calculated order of the most frequent residues for each position the consensus sequence is constructed. With aligned Illumina reads, the **iVar** suite provides tools to generate the consensus sequence. Its features also include primer and quality trimming (**iVar trim**) and intra-host variant detection (**iVar variants**) [58]. On the **Geneious** platform, similar analyses can be executed in order to produce a consensus sequence from raw reads[59]. **bcftools** as a tool suite also offers a package for consensus sequence construction from Variant Call Format (VCF) files [60].

For ONT generated data, the **medaka** tools suite provides a module to generate the consensus sequence.

Phylogenetic Analysis

Having multiple virus strain samples and wanting to express their relations, evolutionary or phylogenetic trees are a common method to use with nucleotide sequences. Most common tools are **FastTree**, **RAxML** (Randomized Axelerated Maximum Likelihood) and **IQ-Tree**, all based on inferring relations using the maximum-likelihood criterion [61, 62, 63]. These tools require a multiple sequence alignment as input data, which can be obtained by multiple sequence aligners like **MAFFT** or **ClustalW** [64, 65]. The generated phylogenetic trees can be visualised to infer topologies and study deep relationships of taxon-groups, while only the **IQ-Tree** tool provides an in-built visualisation in

iqtree format. With the phylogenetic tree in Newick format (*nhx*) and the **PhyloCanvas** tree viewer, trees can be exported, extended and visualised with other tools [66].

Other approaches for phylogenetic analysis include a BLAST search to identify similar sequences in a database, a widely used method to get an idea of the phylogenetic relationships of the sequence [39].

Classification of Sequences

Many applications of genomic analysis require the placement of the inferred sequence compared to other, similar sequences. Especially in molecular epidemiology, it is useful to query the assembled sequence from the raw reads against a large database of virus genomes. The results give hints for epidemiological linkage or could determine possible regions or countries of origin. This search can be achieved by global alignment or faster techniques based on string comparison. There are many databases available for different categories of sequences, offering database searches to find the closest sequence compared to the query sequence.

BLAST is a popular search program, while there are different heuristics and variations depending on the specific database and search string characterisations [67]. For nucleotide sequences and databases, the National Center for Biotechnology Information (NCBI) provides a web-based BLAST search form [68, 39]. The underlying algorithm is a similarity measure that performs a trade-off between speed and sensitivity [39].

Having BLAST classifying full-length genomes, short sequencing reads can be used for a database search, too.

Specifically developed for and tested with influenza reads, **VAPOR** is an algorithm that infers a scoring based on a De Bruijn graph construction. It emits the closest sequences from a database of reference sequences [69]. **VAPOR** directly maps reads to a De Bruijn graph without prior assembly and therefore accelerates the classification search as compared to a BLAST search while still achieving similar or better results [69]. **VAPOR** provides options to fine-tune the classification run, depending on read length, database size, k-mer

size and other measures. It has the option to output a file with the scoring, generated by a scoring function that favours sequences with a high coverage of the reference and those that cover large proportions of the reference [69]. It has been argued previously that mapping short reads directly to a De Bruijn graph is less biased than that of mapping to (*de novo*) assembled contigs [70].

2.3 Pipelines for Genomic Analysis with Viral NGS Data

In the following, pipelines are presented that can be used with unspecified or unknown virus data. They cover some general parts of the later mentioned pipelines but focus mainly on virus discovery, assembly and consensus sequence generation.

ViReflow is a pipeline for viral consensus sequence generation and provides a mapping-based approach to variant calling and many optional downstream analyses such as *de novo* assembly and lineage assignment [71]. The pipeline is based on the Reflow suite, and all computations run in an Amazon Web Services, Inc. (AWS) container in a cloud. Reflow emphasises versioning, testing and workflow sharing and does not provide a user-friendly web interface. Instead, it is accessible via a command-line interface. The user chooses from a tool pool of read trimmers, mappers, variant callers and optional downstream analyses. Defaults are `iVar` for trimming, `minimap2` for mapping, `LoFreq` as a variant caller and consensus sequence calling with `bcftools`. As a result, it may not be as easy to use as Galaxy and its workflows, including workflow development, as this requires programming in Go language. Similar to other pipelines, ViReflow was originally created for the consensus genome construction of SARS-CoV-2 samples and has been extended for use with all viral genomes [71].

Another automated pipeline for viral genome assembly, lineage assignment, mutation and intra-host variant detection is V-Pipe, a computational pipeline assessing genetic diversity and introducing a new alignment method *ngshmmalign* specifically for small and highly diverse viral genomes. It includes local and global haplotype reconstruction and a

module for detection of flow cell cross-contamination [72]. Although V-Pipe is suitable for all viral genomes, it was tested for the identification of the eight influenza segments and successfully identified them from the test sample. V-Pipe is based on Snakemake as a workflow and dependency manager.

Other freely available pipelines for the analysis of viral genomes from NGS data with several focuses in genomics are VirFind [73] and Integrated Rapid Infectious Disease Analysis (IRIDA) [74]. These pipelines focus on rapid identification of viral materials and do not provide steps for detailed downstream analyses. Automated pipelines for metagenomic NGS data are detect and reconstruct known Viral genomes from Metagenome (drVM) and VirMAP [75, 76]. However, they do not consider the segmented influenza genome and do not provide output data for custom downstream analyses. To our knowledge, there is no freely available pipeline that uses a mapping-based approach that focuses on the viral segments of the AIV genome and uses the closest possible reference for each segment. For the various possible downstream analyses, depending on the specific research question, it is critical for a pipeline to provide data outputs and endpoints that enable user-specific assays. This holds not only for avian influenza virus samples, but also for isolates containing other viral material. Galaxy workflows covering the above points for Illumina-sequenced data have been developed in this thesis and are described in Chapter 3.

2.4 Poxvirus Analysis

Among the family of poxviruses, there are some diseases that circulate in livestock and pose a risk so that they are on the list of notifiable animal diseases. Among others, mpox, sheepox and goat pox are the diseases of concern. In the following, characteristics of poxviruses and current approaches to analyse NGS data of poxviruses are described.

2.4.1 Poxviruses

Throughout human history, poxviruses have played a significant role with variola being the most notorious as it is the causative agent of smallpox. Smallpox has been described in Chinese texts dating back to the 4th Century AD, and evidence of pox-like scars found on Egyptian mummies suggests the disease may have existed as far back as the 2nd millennium BC [77]. The discovery of a vaccine for smallpox made it the first disease to be eradicated by human efforts, so variola was the first human virus to be successfully eliminated [78]. Modern vaccinology owes its origins to Edward Jenner's discovery in the late 18th century that zoonotic infections with the "cowpox virus" provided immunity to smallpox [77]. Furthermore, vaccinia virus, which is now used for smallpox vaccination, was the first animal virus to be observed using electron microscopy and the first to be utilized as a vector for transporting foreign genes into animals. This is why poxviruses are among the best-studied viruses.

The family of poxviruses, *Poxviridae*, is a family of double-stranded DNA viruses. Its natural hosts are vertebrates and arthropods and there are currently 83 species within 22 genera in this family. The *Poxviridae* family is divided into two subfamilies, *Entomopoxvirinae* (insect-infecting viruses) and *Chordopoxvirinae* (vertebrate-infecting viruses).

Historically, poxviruses were classified based on disease symptoms and the animal species that was infected. Humans, cows, sheep, goats, horses and pigs have been studied to determine not only clinical symptoms but with the aim to classify poxviruses. This genus classification has been confirmed by recent comparative genome analysis [79]. Symptoms of disease caused by a poxvirus infection are skin lesions that can differ in size. Depending on the type of poxvirus, the papules can vary from small and pearly papules in infections of Lumpy Skin Disease Virus (LSDV) to larger crusts and spread generalised pustules in infections with the variola virus. Other general symptoms include fever, headache and rash.

Genus	Virus Species	Natural Hosts
Avipoxvirus	Canarypox virus	Songbirds
	Fowlpox virus	Chickens, turkeys
Capripoxvirus	Sheeppox virus	Sheep
	Lumpy skin disease virus	Cattle
Centapoxvirus	Yokapox virus ¹	Humans, mosquitoes
Cervidpoxvirus	Deerpox virus	Deer
Crocodylidpoxvirus	Crocodilepox virus	Crocodiles
Leporipoxvirus	Myxoma virus	Rabbits, hares
Molluscipoxvirus	Molluscum contagiosum virus ¹	Humans, primates, birds, dogs
Orthopoxvirus	Variola virus (Smallpox)	Humans (eradicated)
	Mpox virus ¹	Humans, primates
	Cowpox virus ¹	Humans, cats, cows, elephants
	Vaccinia virus ¹	Humans, cattle, buffaloes, rabbits
	Camelpox virus	Camels
Parapoxvirus	Pseudocowpox virus ¹	Humans, cattle
	Orf virus ¹	Humans, sheep, goats, etc.
Suipoxvirus	Swinepox virus	Pigs
Yatapoxvirus	Yaba monkey tumour virus ¹	Humans, rhesus monkeys

¹ Zoonotic disease

Table 1: Representative viruses from ten Chordopoxvirus genera.

Table 1 shows ten representatives of the 18 Chordopoxvirus genera according to the newest International Committee on Taxonomy of Viruses (ICTV) Taxonomy Release from 2021, while at least five genera contain zoonotic poxviruses [80]. Orthopoxviruses

have the biggest impact on human and animal health, and are remarkable for their broad host spectrum ranging from humans to wild and domestic animals [78]. The Chordopoxvirus subfamily is characterised by its large, linear double-stranded genome. Size varies between 134 to 365 kilobases [81, 82]. Chordopoxvirus genomes contain 130 to 328 Open Reading Frames (ORFs), and typically, two identical Inverted Terminal Repeats (ITRs) are located at both ends of poxvirus genomes.

Vaccination is available for smallpox, and the vaccine is even considered protective against symptoms of all orthopoxvirus infections. It is recommended for laboratory staff that works with mpox, cowpox, vaccinia and variola [83]. For animals, there is a smallpox-based vaccine that is used to protect elephants against cowpox [84]. Sheep and goats are broadly vaccinated with an orf vaccine, which is, similar to smallpox vaccine, a live virus. The effective vaccination against existing poxvirus diseases and further microbiological studies, as well as similarities between poxviruses, motivate the expansion of existing data analysis pipelines that work for a specific poxvirus so that they can also work with other poxviruses.

Lumpy Skin Disease Virus

Lumpy Skin Disease (LSD) is caused by the lumpy skin disease virus belonging to the *Capripoxvirus* (CaPV) genus within the family of poxviruses, subfamily *Chordopoxvirinae* [85]. The LSD virus genome is a double-stranded linear DNA molecule of circa 151 kilobasepairs in length. It contains between 147 and 156 open reading frames. Similar to other poxviruses, the LSDV genome consists of a central coding region which is bounded by two identical ITR regions with a length of circa 2,400 basepairs at both ends of the genome. This is a key characteristic to consider during reconstruction of the genome. With a sequence identity of over 96% with the other CaPV genus members sheeppox and goatpox, the LSDV genome is highly similar to the other CaPV genomes [86].

LSDV is not known to be transmissible to humans and therefore not a zoonosis. Natural hosts of LSDV are cattle and Asian water buffaloes. Although CaPV is considered to be

host specific, sheeppox and goatpox strains can naturally cross-infect in both host species. There have been no cases of natural infection of sheep or goats with LSDV reported [87]. The three CaPV viruses are the most serious poxvirus diseases of livestock in terms of economic losses in the case of an outbreak.

Cattle infected with the LSDV typically show symptoms like fever, reduced feed and water uptake and characteristic skin nodules. The number of lesions varies from a few to many, covering the whole body [88]. From these symptoms alone, it is impossible to differentiate the diagnosis between sheeppox, goatpox and lumpy skin disease. Even with classical methods like cell culture and electron microscopy the highly similar viruses cannot be distinguished. Nowadays, PCR and sequencing are the techniques used to provide the sensitive detection of CaPV [89].

LSDV has spread from the African continent and since 2019 reached major cattle producer countries in Asia, mainly India, Republic of China and Bangladesh. Other bigger outbreaks in south-west Europe were reported in 2014 to 2018, although these countries opted for a strict vaccination program and successfully eliminated LSDV from these regions [90]. In African and Asian countries, veterinarians struggle to fight endemic LSDV outbreaks because of a lacking financial support by governments, justified by low mortality and morbidity rates.

One strain of LSDV that has been extensively studied is the “Neethling” strain, first isolated in Kenya in 1958. It constitutes the strain used for the live attenuated vaccine that is widely used, if accessible, for cattle against LSDV. Some countries use sheeppox vaccines to protect cattle against LSDV, even though it does not provide complete immunity. Nevertheless they are used in regions where all CaPV are prevalent [91].

In 2017, a novel LSDV was discovered in Russia, called the Saratov strain [92]. It seems to have arisen through recombination events between field and vaccine strains, which Gershon and his colleagues had predicted much earlier, in 1989, due to the close similarities between Capripoxviruses [93].

2.4.2 Pipelines for Genomic Analysis with Poxvirus NGS Data

The need for rapid identification of a virus sample to distinguish between species of poxviruses requires sensitive analysis of NGS data. Challenges in alignment against a reference are the identical ITRs at both ends of Capripoxviruses, which is omitted from many pipelines and not part of the analysis, as well as the high identity of 96%-97% between the three Capripoxviruses. In order to reach a sufficiently high coverage in all parts of the genome, the reference and the reads can be split into two parts to map against the identical ITR regions. With a tiling approach, there is no ambiguity in where to map a read from the ITRs to. However, the reads have to be sequenced in two pools, which is not the standard protocol. These challenges make it difficult to differentiate between LSDV, goatpox and sheeppox [86].

An ampliconic assembly-based approach to distinguish Capripoxviruses is described by Mathijs et al. [94]. They develop a sequencing protocol in two pools to separate the ITR regions. After preprocessing with **Trimmomatic** and **FastQC**, the pools of reads are *de novo* assembled with **SPAdes** and the resulting contigs of each pool are merged into a single contig. To find the correct merging location, an overlap of one amplicon in the middle is assembled in both pools. The test results with various samples show that this approach reconstructs nearly complete CaPV genomes.

The presented tiling amplicon approach is not usable as an automated pipeline, but can be implemented using the tool specifications in the article. Other viral genomes have been examined in a similar tiling amplicon approach with Illumina, ONT or PacBio sequenced data [58, 95, 96, 23].

A pipeline of Zhao et al. was designed to study the whole genome of mpox samples [97]. After preprocessing with **FastQC** and the *de novo* assembly step, a neural network method is used for smart gap filling between the assembled contigs. The method shows that gap filling of a genome is an *all k shortest path* (KSP) problem and can be used in an automated pipeline from HTS reads to the whole genome sequence. They conclude that it is a promising method to find the “correct” sequence, though it did not find the

correct sequence assembly for five cases in a sample sequence of mpox [97]. Therefore, this method can be used as a guiding first-shot feature, but should not be used for sensitive analyses. Also, the neural-KSP method requires knowledge in how to fine-tune the pipeline parameters.

Other methods to detect the species of Capripoxvirus of a given sample is nucleic acid extraction and real-time PCR [98]. This approach is based on the presence of specific genes to distinguish between Capripoxviruses, but since it does not work with NGS data, it does not allow for more analyses and is not comparable to the previous methods.

2.5 Avian Influenza Virus Analysis

NGS-based sequencing data from AIV samples need to be thoroughly processed to gain insights into the subtype and variants within the sequence. In the following, the avian influenza pathogen, the avian influenza virus, is described in detail and modern methods in the form of automated pipelines for the analysis of such data are presented.

2.5.1 Avian Influenza Virus

Informally known as bird flu, avian influenza is a viral infectious disease that affects wild birds and poultry. The AIV has occasionally crossed the species barrier and infects mammals, including humans. This makes it a high-priority zoonotic viral disease that has been designated as notifiable by World Health Organization (WHO) and WOA [16]. Avian influenza occurs in two variants that determine severity: Low Pathogenic Avian Influenza (LPAI) and HPAI, with only HPAI cases requiring notification. The virus spreads indirectly via contaminated material, e.g. feed, water supplies, feces or feathers. It is transmitted directly from bird to bird via the air, mainly through the transregional movement of wild birds and through long distance bird migration, and in the poultry industry in closed confinements. Humans become infected through close contact with

infected material, and most reported human avian influenza infections are from farm workers and others who are exposed in markets, production or clinical contexts [8].

Symptoms of severe illness are characterised by influenza-like signs such as fever, nasal discharge, coughing and conjunctivitis. This applies to infections in both humans and mammals, while infected birds show signs such as swollen heads, loss of appetite, breathing difficulties and a decrease in egg production.

AIV contains a negative-sense, single-stranded segmented RNA genome, and due to the segmented nature of the virus, co-infection of different influenza strains can lead to reassortment events. Avian influenza viruses are members of the *Orthomyxoviridae* family and the four species of influenza viruses A, B, C and D are distinguished on the basis of the presence of the Nucleoprotein (NP) and matrix (M1) proteins [8]. AIV subtypes are determined by the Hemagglutinin (HA) and Neuraminidase (NA) segments and only occur in the influenza A strain, which include all known influenza A virus subtypes H1-H16 in combination with N1-N11, resulting in subtype designations such as H5N1 or H7N9 [8, 99]. To be infectious, a virus particle must contain one of eleven proteins in each of the eight unique segments PB2 (polymerase), PB1/PB1-F2 (polymerase), PA/PA-X (polymerase), HA, NP, NA, M1/M2 and NS1/NEP (distinct non-structural proteins). Genome size differs due to different possible combinations of proteins, though the typical size of a H5N1 genome is 13.5 kilobases. Mutations in the HA and NA genes occur relatively frequently due to the prone-error RNA polymerase in the viral genome which lacks the proof-reading exonuclease activity. LPAI subtypes H5 and H7 usually infect poultry, although the natural hosts of avian influenza A are wild waterfowl. These subtypes can transform into HPAI during circulation in poultry stocks by recombination with other gene segments or the host genome [100]. Both LPAI and HPAI infections have been reported in domestic poultry, i.e. ducks and chickens, turkeys, caged birds, aquatic birds and wild birds. While some influenza species infect specific animal hosts, all of them can infect pigs and humans.

Influenza A strains are the most virulent virus species, and have caused all major historic

flu outbreaks through reassortment. Subtypes H5, H7 and H9 are responsible for the largest outbreaks of AIV that also spread to humans [101]. The first confirmed report of human infection with an animal avian influenza virus dates to 1958, and since then 16 subtypes have been detected in humans [102]. Zoonotic spillover events have become increasingly common since the early 20th century and have led to major endemics such as a huge H5 outbreak in the U.S. in 2014/2015. It resulted in more than 25 million bird deaths [103]. A current AIV outbreak is resulting in more than 58 million dead birds and costs of around 661 million U.S. dollars, which began in 2022 and is spreading across the U.S. [104]. Vaccination against HPAI in poultry are used worldwide to ward off avian influenza. They also serve as a preventive measure in the event of an outbreak to reduce the risk of introducing the virus into poultry populations [105, 106].

2.5.2 Pipelines for Genomic Analysis with Avian Influenza Virus NGS Data

Surveillance systems in the field of genotyping emerging viral strains include classical phylogenetic methods for classifying viral strains, assessing tree topologies, distinguishing between novel and emerging strains, and discovering novel disease-causing variants [36]. These analyses are essential given the high genetic variability of the genome, and since it consists of eight segments, specific bioinformatics workflows are required for the analysis. The challenge in identifying subtypes and detecting variants lies in the diversity of HA and NA genes, the main targets of the host immune response. The HA and NA genes have evolved into several subfamilies and require a dynamic reference selection approach for sequencing analysis. There is a growing number of web platforms, suites and pipelines that enable the analysis of influenza-specific samples with NGS data and resources for further analysis, e.g. Influenza Research Database/FluDB [107], Epi-FLU/GISAID [108], Nextflu [109], NCBI Influenza Virus Resource [110], FluNet [111] and OpenFluDB [112]. Many existing suites for automated analysis of influenza samples are based on SARS-CoV-2 research and have been adapted for the similarly large influenza genome. “INSide the FLU” (INSaFLU) and Prediction of Avian Influenza Virus Sub-

type (PAIVS) are two pipelines specifically designed for the analysis of NGS-generated (avian) influenza samples and are discussed in more detail below.

INSaFLU

One prominent pipeline for viral metagenomic detection and routine genomic surveillance, INSaFLU, provides a web-based protocol for data generated by Illumina, IonTorrent or ONT sequencers [113]. It is an influenza-focused suite to process NGS data to automatically get outputs to answer key questions in influenza genomic surveillance. INSaFLU can be used for seasonal influenza, avian influenza, SARS-CoV-2, mpox virus or Respiratory Syncytial Virus (RSV). For unspecified viruses, a generic pipeline is provided. The INSaFLU pipeline consists of the following steps: (1) Reads quality analysis and improvement with **FastQC** and **Trimmomatic**, (2a) classification using a *de novo* assembly with **SPAdes** and searching a provided database with **ABRICate**. Alignment is performed with mapping against a user-input reference sequence and the **BWA** tool. In the following, (2b) mutation detection and consensus generation with **Prokka** and **Snippy** (using the **Medaka** suite for ONT data), (3a) intra-host minor variant detection using **FreeBayes**, (3b) alignment and phylogeny with **FastTree** and the tree visualiser **PhyloCanvas**, and lastly (3c) coverage analysis with a INSaFLU specific Python script are performed. Using the output data of step (3b), a downstream integrative phylogenetic and geotemporal analysis with Nextstrain can be started. A reference sequence for the mapping step must be provided by the user as input data from the beginning. Currently, INSaFLU is accepting NGS data from influenza, SARS-CoV-2 and mpox samples [113]. The INSaFLU pipeline is installed locally via the command-line on any server instance, which requires technical knowledge to set up, but can also be used via the website. The pipeline steps cannot be customised via the web interface, instead general configurations can be set at the beginning. The pipeline is constantly being developed to integrate new features and modules.

PAIVS

PAIVS (Prediction of Avian Influenza Virus Subtype) is a pipeline specifically designed for avian influenza virus samples. It consists of five steps: (1) preprocessing with **FastQC** and **Trimmomatic**, (2a) reference-based alignment with **BWA** or (2b) *de novo* assembly with **IVA**, (3) subtyping using the **samtools** suite, (4) variant calling with **bcftools** and identification of the closest sequences by (5) **BLAST+** for nucleotides [114]. PAIVS uses a similar approach to **INSaFLU**, but leaves it up to the user to decide whether to include a *de novo* assembly step. The results are presented in a downloadable format for the user and include a graphical summary. The pipeline is written in Python and is freely available on the internet, being a web-based platform with additional material only available in Korean [114]. This is a very limiting factor for the usability of PAIVS.

2.6 Foot-and-Mouth Disease Virus Analysis

In the following, the pathogen of foot-and-mouth disease, FMDV, as a severe and highly contagious viral disease is described. It is of great importance to study in the livestock industry and estimated to circulate in 77% of the livestock population in Asia, Africa and the Middle East [115].

2.6.1 Foot-and-Mouth Disease Virus

Cloven hoofed animals such as cattle, swine, sheep and goats are the ruminants affected by FMD. It was the first viral disease the WOAHP established a list for with disease-free countries and defined zones, motivated by the huge economic impacts the FMD outbreaks have. There are 40 reported cases of human infections with FMDV, but the virus is not classified as a public health risk by the WOAHP [115]. FMD must not be mistaken with hand, foot and mouth disease, which occurs more often in humans.

Infected livestock show clinical symptoms of viremia, fever and lesions mainly in the mouth, tongue and feet [116]. Although infected animals can completely recover from an infection, they are oftentimes culled in order to prevent spreading and avoid production loss. Mortality is high for young calves, piglets and lambs with 20% but lower for adult animals (1%-5%) [115].

The causative virus is a small positive-sense RNA virus genome with a size of 8.3 kilobases, belonging to the Aphthovirus genus in the *Picornaviridae* family [80]. It is notable that the FMDV, similar to other Picornaviruses, has a polycytidine (polyC) tract in its 5' non-coding region that is highly conserved among the different virus strains [117]. The exact length of the polyC tract in FMDV varies between 50 and 400 nucleotides and is presumably responsible for translation efficiency and pathogenicity [117]. There are seven distinct strains (A, O, C, SAT-1, SAT-2, SAT-3, and Asia-1) and all of them are endemic in different regions of the world, for example the SAT strains in Southern African Territories (SAT), serotype C in the Indian sub-continent and Asia-1 in southern Asia [118]. Types O and A are broadly distributed in the non-free countries mainly in Africa, southern Asia and South America. Vaccination against the strains exist, although each strain requires a specific vaccine due to the high antigenic heterogeneity of the virus even within one serotype.

While there is a huge list of FMD-free countries, which is all of North and Central America, continental Europe, Australia, New Zealand and Indonesia, there are regions that successfully put effort into the elimination of FMDV using mass vaccination. This is mainly true for Latin America, though there are sporadic outbreaks in Venezuela and Bolivia. FMD is an endemic disease in Asia, Africa and the Middle East [119]. Similar to poxviruses and AIV, FMDV is very difficult to control due to its contagiousness, wide host range, multiple transmission modes and the potential for long-term carrier status in livestock [120].

Efforts with next-generation sequencing data with samples from infected stock are made to reconstruct the viral genome in order to understand within-host diversity and downstream analyses.

2.6.2 Pipelines for Genomic Analysis with Foot-and-Mouth Disease Virus NGS Data

Genomic analysis of FMDV samples gives valuable insights, and may help understand transmission routes and mutations. The analyses can vary depending on the specific objective and typically consist of several subsequent steps, starting with sequenced reads from the sample. However, there are no such ready-to-use pipelines available. Protocols that exactly describe the single steps used for genomic analysis are rare and highly depend on the input data.

One protocol by Munir et al. working with Illumina-sequenced data describes a Genome Analysis Toolkit (GATK) 4.0 pipeline to run on a local machine [121]. Its preprocessing step includes quality checks with **MultiQC** and trimming with **fastp**. Mapping is performed using **Bowtie2** and a variant calling step is performed with **Mutect2**. The variants are annotated with **SnpEff**. This protocol does not provide insight into parameters or detailed settings for the single tools.

Another FMDV-specific protocol to analyse NGS data produced using ONT-sequenced data is described by Brown et al. [122]. They compare the resulting consensus sequence with Illumina sequenced data. For this analysis, quality control is performed with **FastQC**, trimming the read ends using **Prinseq-lite** and **sickle** for quality and length filtering. Afterwards, the preprocessed reads are assembled using **IDBA_UD** and a BLASTn search. The resulting contig is used as a reference sequence for mapping with **BWA-MEM**. The final consensus sequence is obtained using **samtools** [122]. Again, this protocol is not a start-to-end pipeline but requires the manual execution of the single tools.

3 Materials and Methods

The challenges in genomic analysis of viral material using NGS raw read data are the major motivation for this thesis. Ready-to-use pipelines that can be executed without deeper biological or bioinformatic knowledge specifically designed for the viral genomes of avian influenza, pox and foot-and-mouth disease are presented below. They run on the Galaxy platform and show that for development of the pipelines, large parts of existing viral genomic analysis pipelines as such for SARS-CoV-2 can be reused and adapted.

3.1 Galaxy Platform

Galaxy is a web-based scientific platform that has become a major player in many fields of life sciences and bioinformatics. Founded in 2007, it has provided an emerging amount of resources and tools to empower scientists and researchers to work with biomedical datasets. The platform is free to use and collaborative, as all related codebases are open-sourced on GitHub. Resources on Galaxy cover genomics, metagenomics, transcriptomics, proteomics, drug discovery and non-biology fields like natural language processing and social sciences.

Galaxy's primary objective is to make analyses more accessible, reproducible, and easier to communicate among researchers. The platform's distinctive success is attributed to four core elements: a very active community, a public server for analyses,

an open-source software ecosystem, and the Galaxy ToolShed. The community adheres to the FAIR practises (Findable, Accessible, Interoperable and Reusable) [123].

The Galaxy community is thriving, with over 124,000 users who also contribute to subcommunities. The public server for analyses provides access to public datasets and workflows. The open-source software ecosystem ensures automated setup and deployment of all tools and services, making it simple for beginners and professionals to use. The Galaxy ToolShed is a server dedicated to hosting, sharing, and installing tools used on the platform. A Galaxy tool is the abstraction layer that makes external software usable from within Galaxy with a front-end, i.e. lets users use the program with all its parameters and inputs from within Galaxy. Each program that is available as a Galaxy tool is XML-wrapped to make dependency requirements, parameter and data inputs and other settings possible via the Galaxy web-interface.

Galaxy workflows are a key feature that allow the user to stack tools in a chain and to configure them so that the workflow user only has to upload or enter data for the input fields. The automated subsequent order and execution of tools in a workflow is used for modular, longer analyses that are executed repeatedly. Each user gets 250 GB of disk space to run computations.

Workflows that are available on and accepted by the Intergalactic Workflow Commission (IWC) on GitHub are conform with the community's best practise standards and tested on the latest Galaxy release. Dockstore for availability in the U.S. and WorkflowHub for EU users publish the IWC workflows and guarantee the availability in Docker-based environments [124] and on the workflow collaborative WorkflowHub [125].

Important contributions of Galaxy, as stated by the Galaxy Community (2022), include Vertebrate Genome Project assembly workflows and research collaborations about SARS-CoV-2. Another toolkit leveraged in Galaxy is Galaxy-ML, a set of tools that form a suite for analyses based on machine learning. With growing publicity, more topics are covered by and moved to Galaxy. It has contributed to over 5,700 scientific publications and has many tutorials available for researchers to use.

The Galaxy platform is continuously enhanced, and it still attracts around 2,000 new users every month, indicating its quality and significance. The team and infrastructure of Galaxy initially come from the Nekrutenko lab in the Center for Comparative Genomics and Bioinformatics at Penn State, the Taylor lab at Johns Hopkins University, and the Goecks Lab at Oregon Health & Science University. There are 138 public servers available worldwide as of 2023, while the most prominent general-purpose server instances are hosted by teams at University of Freiburg, Germany for UseGalaxy.eu, Texas Advanced Computing Center for UseGalaxy.org and Genomics Virtual Laboratory, formerly at the University of Queensland for UseGalaxy.org.au. These public servers are synchronised in a set of reference tools [123].

The platform serves as a public infrastructure that can be used in many different contexts and by professionals from all fields and backgrounds. It therefore is very suitable for offering publicly available and transparent resources for surveillance of diseases.

3.2 SARS-CoV-2 Workflow and Requirements

The COVID-19 pandemic motivated many researchers to study and develop analysis workflows of SARS-CoV-2 sequencing data. In the IWC repository, there are seven workflows available and ready to use on Galaxy for the different kind of NGS data (ONT/Illumina) and with varying objectives (variant calling/variation reporting/consensus construction). Specifically for Illumina ARTIC reads, a workflow for genomic analysis based on the **iVar** suite has been released [126]. It is conceptually similar to other existing pipelines outside of Galaxy, written in Nextflow, Snakemake and Workflow Description Language (WDL). The workflow for ampliconic Illumina paired-end reads consists of the following steps: (1) read adapters are trimmed with **fastp** and (2) mapped to a reference genome with **BWA-MEM**. The alignment is (3) quality filtered using **Samtools view**, keeping the reads with a minimum length of 20 and only if they are mapped and properly paired. After generating quality and coverage reports,

(4) `iVar trim` is run with the primer scheme to cut out the primers from the filtered alignment. The cleaned alignment file is processed (5) with `iVar consensus` to call the consensus sequence and (6) with `iVar variants` to call variants. The resulting outputs are used for variant annotation, phylogenetic assignment of the outbreak lineages and clade assignment. The structure of the workflow is depicted in Figure 2.

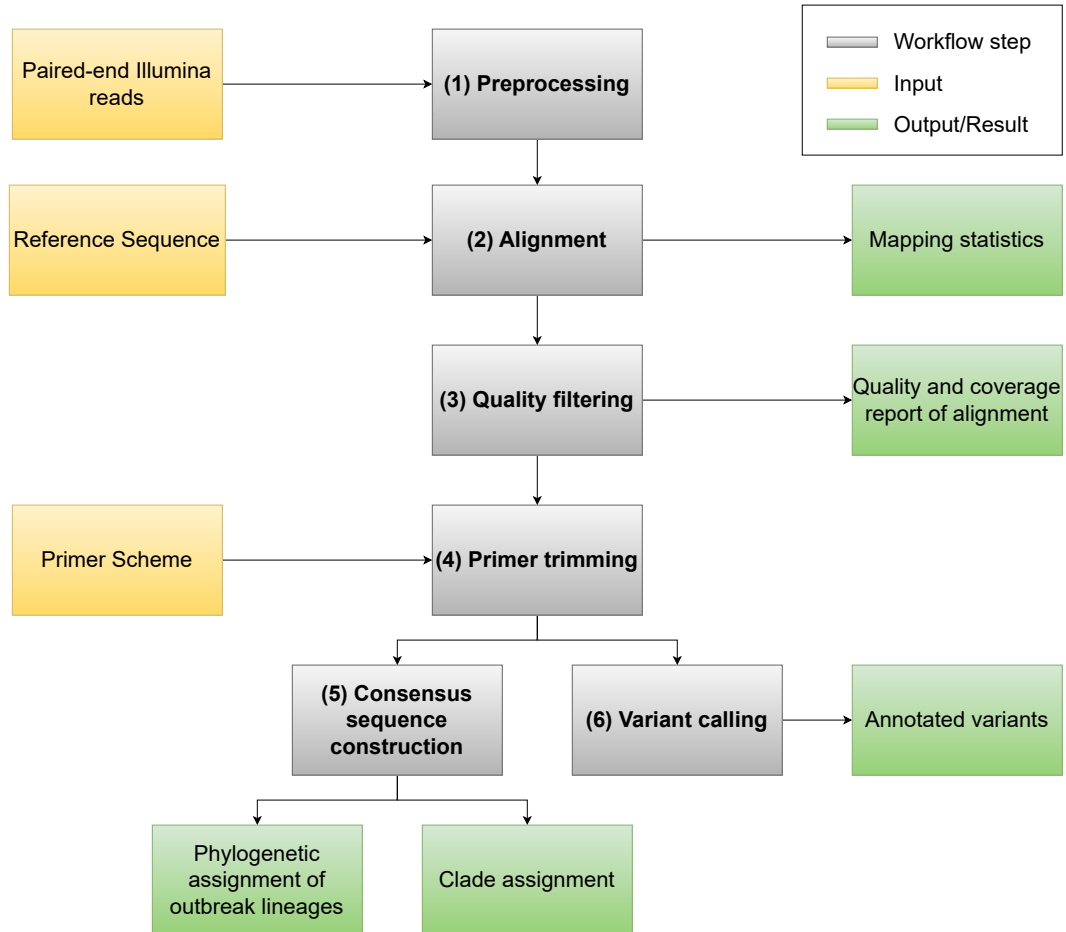


Figure 2: Simplified SARS-CoV-2 analysis workflow for ampliconic Illumina-sequenced data.

This workflow is designed for the specifics of the SARS-CoV-2 genome, however most viral genomes can be analysed in similar ways. Accounting for the genomic structure and composition of each virus, analysis workflows for poxviruses, avian influenza virus and foot-and-mouth disease virus are developed, reusing modified components of the

described SARS-CoV-2 workflow. The requirements for the viruses and the workflows are described below, before the developed workflows are examined.

Requirements for Poxvirus Analysis Workflow

As explained in Section 2.4, the genome of most poxviruses is bound by identical sequences located at the termini of the genome. It is shown that the size of such differs for some poxviruses, such as rabbitpox and vaccinia virus, while mpox, cowpox and capripoxviruses have shorter ITRs [127]. For a whole-genome reconstruction from HTS-generated reads, alignment algorithms look for the unambiguous location of a read. Since this is impossible for repeated identical sequences neither for reference-based mapping approaches nor *de novo* assembly, a new approach has to be used that splits the sequencing reads into two parts, separating the identical sequences and running alignment algorithms for each of the splits. To build the full-length genome, the alignments need to be “glued” together. This approach requires the reads to be sequenced in two pools with two libraries. A similar protocol has been described by Mathijs et al. and the ARTIC network for SARS-CoV-2 data [94, 24].

As a consequence, a requirement for a reference-based surveillance of the genomics of poxviruses is the availability of the primer scheme that was used for amplicon-based sequencing with an Illumina sequencer. The Browser Extensible Data (BED) file containing the primers, their positions and the pool identifier is essential for the correct linking of the alignments when splitting the pipeline into two parts and merging it back together. Apart from the split approach with a masked reference sequence for alignment, the poxvirus reads can be processed in the same way as SARS-CoV-2 reads. In the SARS-CoV-2 workflow, clade and lineage assignment, with **Nextclade** and **Pangolin** respectively, work with SARS-CoV-2 specific databases. Although the tools are designed to work with the SARS-CoV-2 genome, the **Nextclade** tool is adapted and expanded to work with other viruses (mpox, Influenza A H1N1 and H3N2 HA gene, Influenza B Victoria and Yamagata HA) [128].

Requirements for AIV Analysis Workflow

The main objectives of surveillance of AIV on the genetic level are to get phylogenetic insights and to check for new variants that could occur in the HA and NA proteins as a consequence of reassortment.

A pipeline for an avian influenza virus sample that should build a consensus sequence in order to check for mutations needs a reference sequence that it can align the sequence to. A main caveat of many existing pipelines is the user's choice of reference sequence, since it is an arbitrary choice, and has a direct impact on the alignment. A reference is needed if the reads are aligned by mapping to this reference, while another, computationally very expensive approach would be assembly. Since the influenza segments can have very similar regions at the segment's ends and mapping is much faster, a reference-guided mapping method is favoured for the analysis of AIV samples. The goal is to choose a reference that is representative of the sample being analysed. In the SARS-CoV-2 pipeline, a reference genome is recommended from a recent strain. For avian influenza virus, multiple reference sequences exist depending on the strain and subtype. Hence, a dynamic approach that is sensitive enough for the segmented structure of the AIV genome is needed to pick a representative reference. The diversity of HA and NA segments' sequences is significant enough to make it challenging to map sequenced reads to a single, full-length influenza A reference sequence. Although this approach may be effective for the other six segments, the mapping software would frequently be unable to achieve sufficiently plausible matches for sequenced reads of HA and NA segments to continue with the analysis. By using a split approach that finds the best reference sequence from a database before the actual alignment, the expensive assembly step is avoided, the user is not required to choose an arbitrary reference and mapping to a suitable reference with minimal bias can finally be performed.

Compared to analyses with genomes such as SARS-CoV-2 and due to the segmented structure of the AIV genome, duplicates among the mapped reads of the AIV sample should not be dismissed but kept for maintaining a reasonable high coverage for the

further analyses. Downstream analyses for phylogenetic placing are useful for the HA and NA genes, as well as visual summaries of SNPs to identify genetic variation in different regions.

Requirements for FMDV Analysis Workflow

Genomic analysis of the FMDV viral RNA genome requires a workflow that accounts for its high mutation rate. Finding a representative reference sequence from a database as it is done in the AIV workflow with **VAPOR**, for FMDV reads this approach would regularly fail due to the large differences between the query reads and the database sequences. Therefore, a different approach to find a suitable reference sequence for mapping is required. Since the FMDV viral genome is relatively short with approximately 8.3 kilobases, a *de novo* assembly takes only little amount of computational resources for a run. An assembly with a subsequent BLASTn search in the nucleotides database is one method to find similar sequences that allow for a high-quality mapping. Additionally, the workflow should include steps for quality control, including the removal of low-quality reads and the identification and removal of potential contaminants or other sources of error. Finally, the workflow should accommodate Illumina-sequenced data and be able to scale up for working with multiple samples at a time.

3.3 Workflow Development

Galaxy workflows developed for poxviruses, AIV and FMDV that account for the genomic structure of each virus and the NGS approaches are described below.

3.3.1 Poxvirus Illumina Amplicon Workflow

The proposed Galaxy workflow for poxvirus samples that were Illumina-sequenced with a tiling amplicon approach is available on WorkflowHub, Dockstore and on IWC to use on Galaxy EU (links in Supplementary Section 1.1).

This workflow is the first public pipeline for ampliconic Illumina-sequenced data that provides a ready-to-use infrastructure for genomic analysis of poxviruses with a tiled amplicon approach. It aims at constructing the full genome from ampliconic Illumina-sequenced reads and providing alignment files, consensus sequence and intermediate results and reports that give insights into reads, mapping quality and mapping coverage. The pipeline is clearly and summarised shown in Figure 3.

To account for the repeated ITRs at the ends of the poxvirus genome, the workflow is based on a tiled amplicon approach. During the first steps, the reads of the two pools from each genome half are processed individually as half genomes. Input data for the workflow are two distinct collections of reads from *pool1* and *pool2*, sourced from the sequencing with two libraries; the used primer scheme in BED file format that contains an indicator for *pool1* or *pool2* in the *SCORE* column, and a reference sequence that is used for mapping.

As a first step, (1) the provided reference sequence is prepared for the mapping of the two read pools. Hence, the primer scheme is needed to determine the exact start and end position of the pools so that the remaining bases are N-masked. For mapping *pool1* against the full-length reference, the second half of the reference sequence is N-masked and therefore the interval for the remaining bases is constructed as a text parameter. The masking starts at the minimal start position of the first primer of *pool2*. If the pools and primers are of similar size, this position is in the middle part of the reference sequence. It is important that this position that separates the pools is between the ITRs so the individual mappings of each pool only contain one ITR. Accordingly for the mapping of *pool2*, the interval of the remaining bases is constructed by taking the maximal end position of the *pool1* primers and the full length of the reference sequence so that the

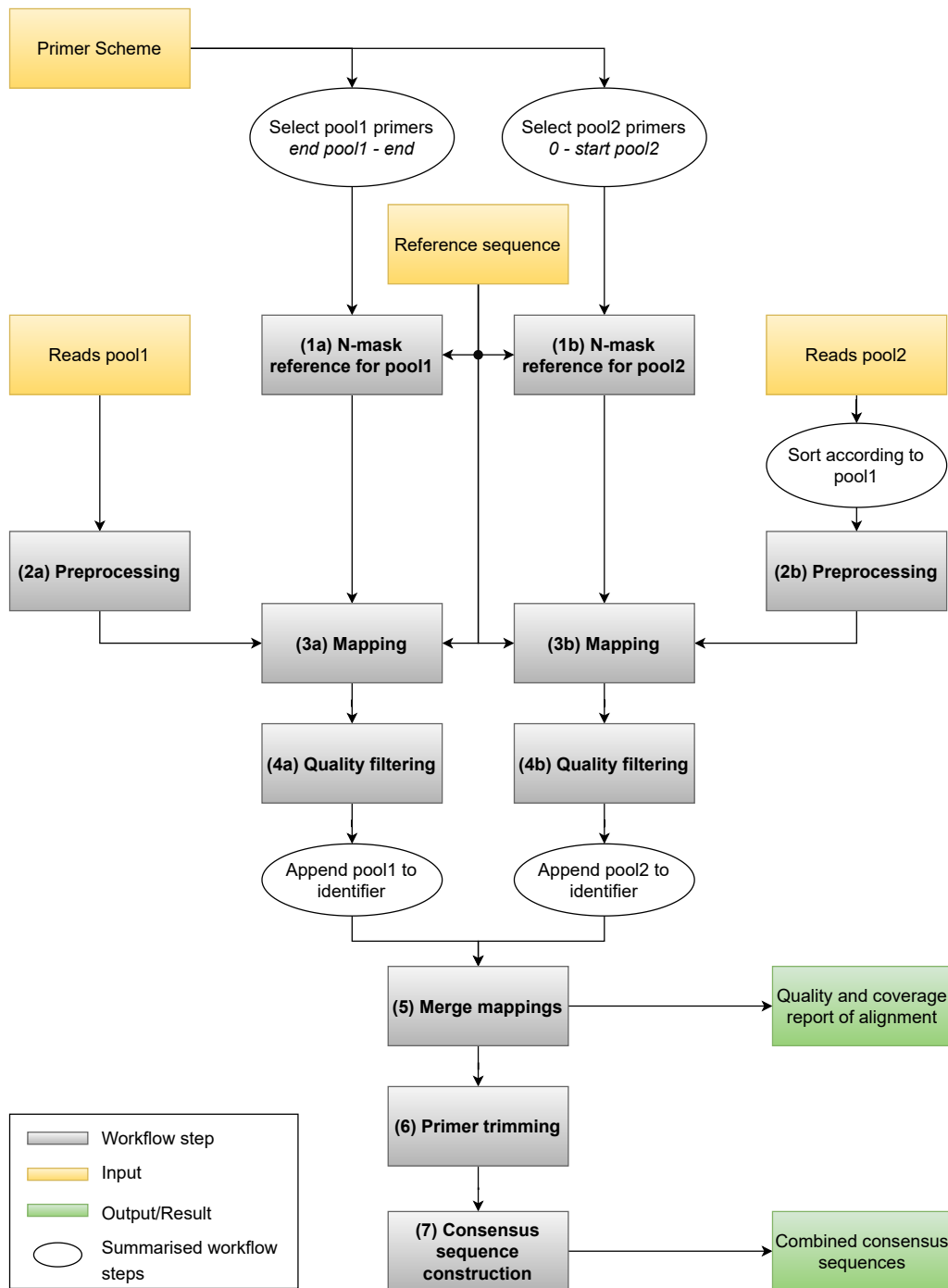


Figure 3: Simplified poxvirus genomic analysis workflow for ampliconic Illumina-sequenced data.

masking of the first half can be conducted. The construction of the text parameter in the correct input format is done by multiple Galaxy-specific text-processing tools.

Using this approach, it is ensured that the ITRs are unambiguously mapped and coverage statistics are expressive, which would not be the case if mapping would be performed on the full-length reference and reads from the ITR regions could be mapped to either one ITR.

The workflow is designed to process multiple samples in one run, thus for better comparison the samples of the second pool are sorted by the order of how they are listed in *pool1*. Before mapping, (2) the reads of both pools are preprocessed with **fastp** to automatically trim Illumina-specific polyG tails of the reads. The following (3) mapping step with **BWA-MEM** takes the corresponding masked reference sequence for each genome-half. A statistics report for each alignment is generated using **Samtools stats** and allows the user to inspect the mapping quality and coverage. The alignments are (4) filtered for quality with **Samtools view** to keep reads with a minimum length of 20 and only properly paired and mapped reads. Additionally, the pool identifiers (*pool1/pool2*) are prepended to the sample names so that using external software to check variants, the pool and sample identification is maintained and unambiguous. In the next step (5), the two alignments are merged while retaining the identifiers for each sample and pool. For the full-length mapping, a coverage report is generated with **QualiMap BamQC** so that the ITRs and the part where the mappings are merged can be inspected. The mean coverage depth is an important standard parameter when performing NGS. It indicates how often each base occurs on average in the individual reads. For smaller segments or amplicon-based data, checking the depth of coverage in each region is crucial as it provides information on how close the sequenced sample is to the reference sequence selected for mapping. Low coverage indicates incorrect mapping due to many genetic differences. Therefore, coverage plots are given for each sample.

(6) Primer-trimming with **iVar trim** removes the loose primer ends and cleans the alignment for the consensus sequence construction. The (7) consensus sequence is called with **iVar consensus** and a 10-fold minimum depth. For this step, the user can either

use provided default settings (minimum quality score to count base: 20, minimum allele frequency threshold to call Single Nucleotide Variant (SNV): 0.7, minimum allele frequency to call indel: 0.8) or enter their own values before starting the workflow. These settings yield for the minimum of ten sequenced reads per base in coverage. With the final combined consensus sequence in FASTA format for each input sample, any downstream analyses can be started.

3.3.2 AIV Illumina Workflow

We propose a fully automated pipeline for the analysis with a reference-based mapping approach of Illumina-sequenced paired-end reads from avian influenza samples. The workflow is integrated in the Galaxy platform and is available with all related material via links provided in Supplementary Section 1.2. Furthermore, to the best of our knowledge this pipeline is the first ready-to-use workflow that uses a hybrid reference sequence for a fast mapping and provides various outputs for downstream analyses. It is designed to take one input sample at a time and besides a summarising results report, the outputs of the analysis steps can be used for further research based on the user's interest. **TODO: intelligent choice of ref, avoid challenging and arbitrary user choice, goal is to minimize bias**, The workflow is outlined in Figure 4, where the nine main steps of the workflow are visualised. A link to the full workflow of 48 steps with the tools, tool version and settings in *.cwl* and Galaxy-specific *.ga* format can be found in Supplementary Section 1.2.

One novelty of the workflow is the consideration of the different segments of the influenza virus genome. After uploading paired-end reads and a reference sequence database, the workflow builds a hybrid reference from the given database for each of the segments of the genome. The reference sequence database consists of eight FASTA files, one per segment (PB2, PB1/PB1-F2, PA/PA-X, HA, NP, NA, M1/M2, and NS1/NEP), containing multiple full-length sequences per segment. The provided database file consists

of **TODO: many** sequences for each segment. The reference collection is ready to import into a new history and publicly available on Galaxy EU (link in Supplementary Section 1.2). If a user decides to upload their own references, it is important to follow the sequence identifier pattern so that the extraction of sequence identifiers in the workflow works as expected: `>segment_name|influenza_strain|subtype|accession_number`. For example, one entry's identifier is `>PB1|A/duck/Manitoba/1953|A/H10N7|KF435047.1` followed by the sequence in the next line.

After (1) preprocessing of the reads with **fastp** to dismiss reads shorter than 30 basepairs, filter out 5' and 3' ends with a mean quality of below Q30 and automatic trimming polyG tails of the Illumina reads, the database of reference sequences is used to (2) find the closest possible reference for each of the segments. The tool **VAPOR** outputs a table with a scoring based on the weighted graph construction, and should not be confused with the identity of the sequence compared to the reference. As **VAPOR** is running once per segment but has independent inputs, this step is executed in parallel. **VAPOR** is a graph-based classifier that maps k-mers to a weighted De Bruijn graph to find sequences from a database that are as similar as possible to the query sequence [69]. Benchmarking shows that it runs significantly faster than BLAST and default configurations lead to reasonable matches similar to Mash, as long as the given sample is not very different from or novel to the provided sequences in the reference database.

Retrieving the highest scoring sequences from the eight **VAPOR** runs, a hybrid reference sequence is built. To control the statistics of the graph and adapt the configuration, a table with the highest **VAPOR** scores of each run is generated.

The hybrid reference sequence is composed of the eight segments and is used for the third step of the pipeline, (3) mapping with **BWA-MEM**. The segment names in the hybrid reference genome are truncated and shortened to just the segment identifier. Mapping of the preprocessed reads against the prepared hybrid reference is run with default parameters of **BWA-MEM**. The Burrow-Wheeler Aligner for short-read alignment with Maximal Exact Matches (BWA-MEM) algorithm aligns 70-1000 basepairs long reads

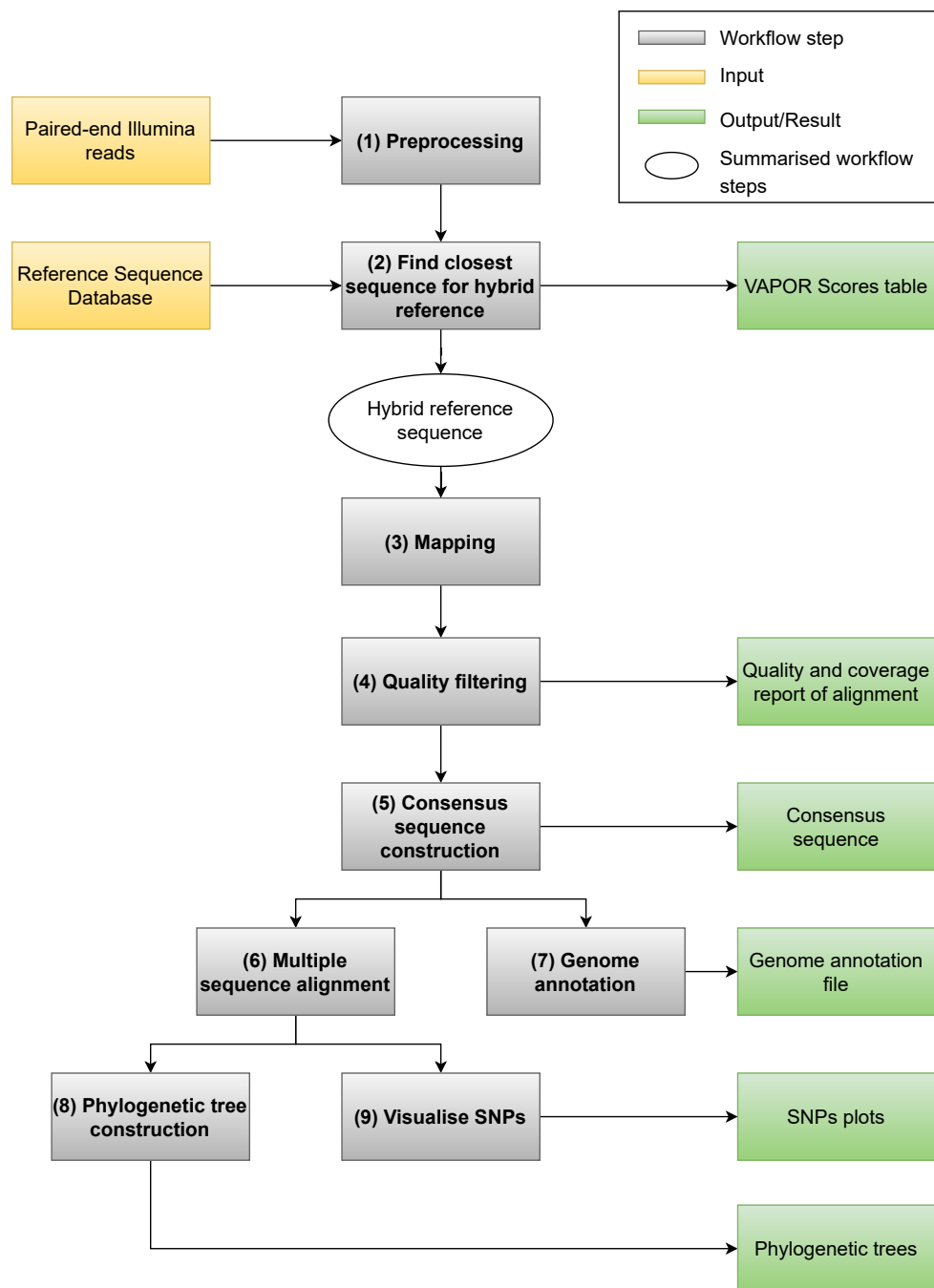


Figure 4: Simplified AIV genomic analysis workflow for Illumina-sequenced data.

by seeding alignments with maximal exact matches, and extending the seeds using the affine-gap Smith-Waterman algorithm [53]. After mapping, the resulting Binary Alignment Map (BAM) dataset is (4) quality filtered using **Samtools view**. Reads with a minimum quality of 20 and only those that are paired and mapped in a proper pair are kept. The alignment and quality results as well as coverage statistics for each segment are reported using **QualiMap BamQC**.

The subsequent steps before generating the consensus sequence of the sample prepare the BAM file and deconstruct the mapped reads into a collection of eight datasets and relabel the elements, so that (5) **iVar consensus** can perform consensus sequence construction in parallel. Per-segment consensus construction is run with a minimum quality score threshold of 20, minimum frequency threshold of 0.7, minimum depth to call consensus of 10, which does not exclude regions with smaller depth than the minimum threshold and uses N instead of “-” for regions with less than the minimum coverage. These settings accept any base as the consensus base for a genome position with a base calling quality of 20 or higher in order to avoid false bases that come from sequencing errors. If there is no consensus base to be found with the above thresholds, an N is inserted instead.

To place the consensus sequence of the genome segments in a set of samples from the reference sequences to generate phylogenetic tree data, (6) a multiple sequence alignment for a user-specified number of sequences (i.e. determines the size of the resulting phylogenetic trees) is done with **MAFFT** (Multiple Alignment using Fast Fourier Transform). The consensus sequence is added using **MAFFT add**. The multiple sequence alignment is also used for (9) a visualisation of SNPs, produced with the **snipit** tool. It provides a graphical summary of the variations on base-resolution compared to the reference sequence and other close sequences from the reference database.

The next step in the pipeline using the consensus sequence is the (7) generation of genome annotation files with **Prokka**. Because the input sample is a viral genome, the *Kingdom* parameter is set to *Viruses*. With this file, open reading frames can be predicted using other tools and further downstream analyses can be started.

(8) Phylogenetic trees for the HA and NA segments are built using **IQ-Tree**. The

taxonomy of the sample segments visualised in the phylogenetic trees give insight into spatial and temporal spread of the genome. The consensus sequence from the input sample is assigned to the most likely lineage [63]. Trees can be explored by downloading one of the standard tree formats (*.nhx*, *.mldist* or *.iqtree*) for further analysis, visualisation or using the Galaxy web-interface with other tools.

The presented workflow avoids the computationally expensive *de novo* assembly, instead it uses a mapping approach with a dynamically composed reference genome of close sequences for each of the eight influenza segments. This accounts for a high quality mapping and is evaluated in Chapter 4.2. To control and look up intermediate outputs, quality reports are emitted during the workflow process and after finishing, which can be downloaded as a Portable Document Format (PDF) for each workflow run.

Due to a variety of possible downstream analyses that can be of a user's interest, the pipeline provides results of the individual steps so that they can be used with other tools as discussed in Chapter 5.

3.3.3 FMDV Illumina Workflow

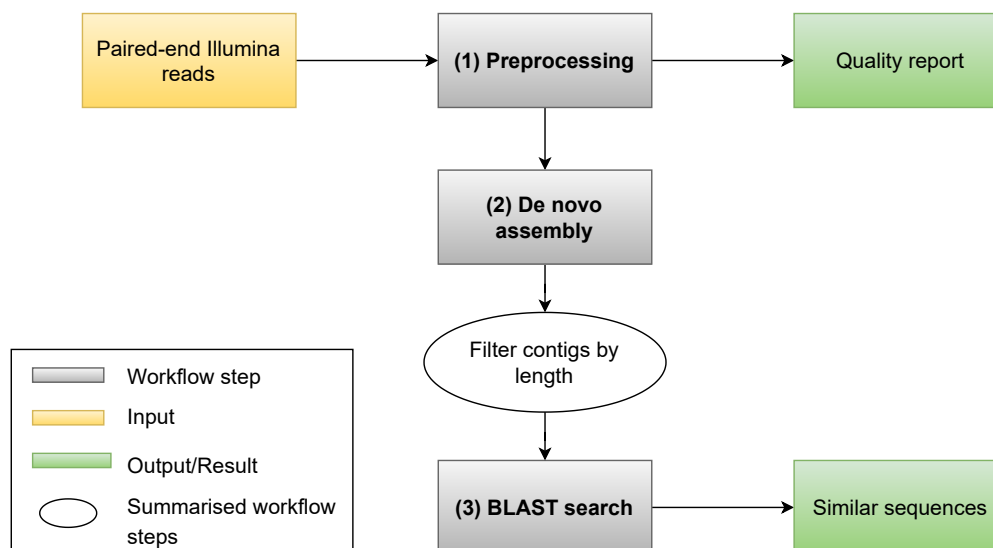


Figure 5: First FMDV workflow with a *de novo* assembly and BLASTn search.

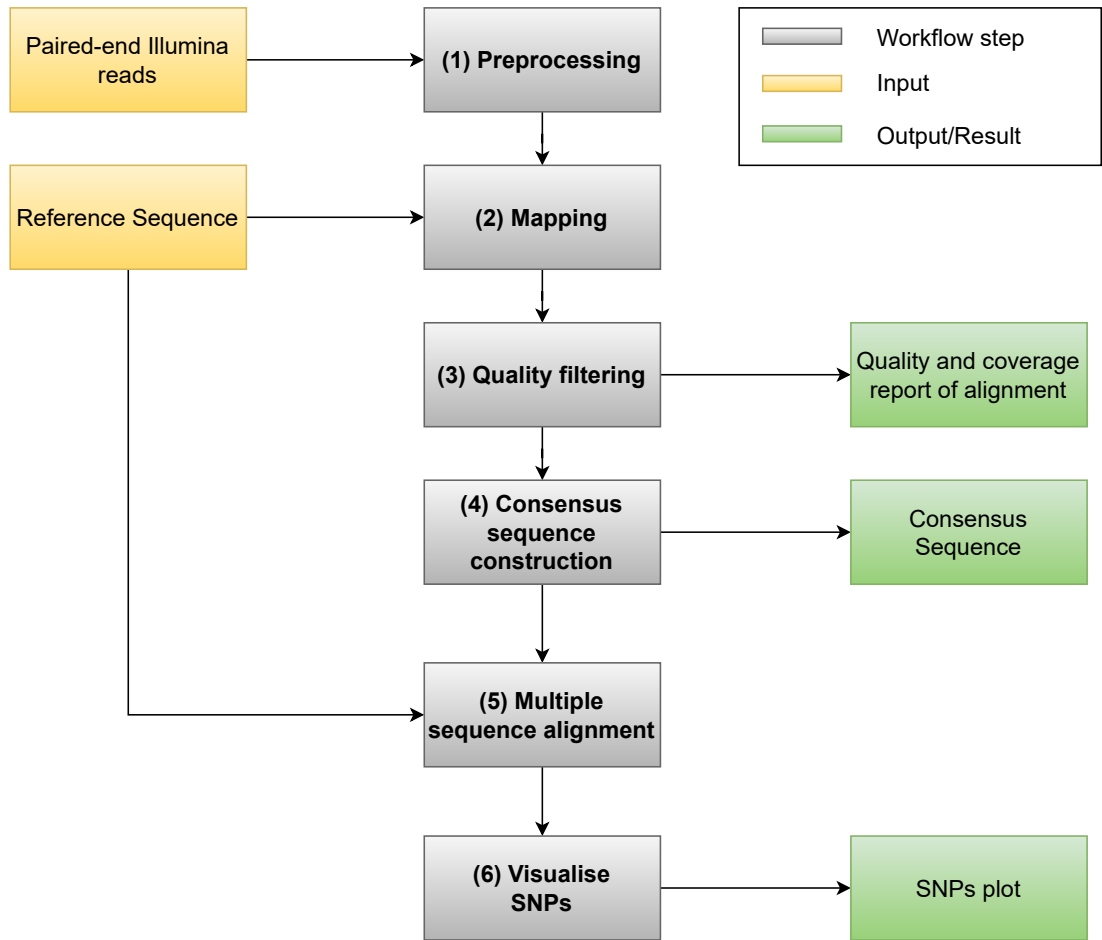


Figure 6: Second FMDV workflow including reference-based mapping and consensus sequence construction.

We propose a workflow for the analysis of data from Foot-and-mouth disease virus using Illumina sequencing technology. The workflow is split into two parts and requires action by the user to The workflow takes multiple samples in a collection and is integrated into the Galaxy platform. Links to the ready-to-use workflows in *.ga* and *.cwl* format can be found in Supplementary Section 1.3.

The first part of the workflow starts with (1) preprocessing of the reads using **fastp**. Reads shorter than 30 base pairs are discarded, and polyG tails of the Illumina reads are

trimmed automatically.

In order to find the most similar existing sequence that can be used as a reference for mapping, this workflow first includes a *de novo* assembly with **rnaviralSPAdes**. It is an assembler tailored for RNA viral data and was in a specific version developed for the assembly of SARS-CoV-2 samples. It improves the existing **SPAdes** assembler by using knowledge about the structure of viral RNA genomes [129]. The contigs are then (2) filtered by length to dismiss short contigs that represent only fragments of the viral genome. The cut-off is set to almost half the size of the FMDV (min. length 4 kilobases). This allows the subsequent (3) megablast search on the NCBI NT database to find similar genomes even in the case of co-infection or recombination. The user is then required to inspect the hits of the megablast search, and to identify the best matching reference genome based on their own criteria, such as coverage and identity. The results may prove plausibility of the sample or reveal the presence of other nucleic material.

The second workflow for FMDV genomic analysis is designed for multiple raw reads in a collection that are mapped to the same reference sequence. This is useful in an outbreak scenario where a workflow user has multiple sequenced samples from the same outbreak and seeks to compare the samples, identify similarities and origin of the virus. However, this workflow can be used as a stand-alone pipeline without the first workflow in case the user aims to map the raw reads to a specific reference sequence. After uploading the reference sequence in FASTA format, identified from the megablast search and retrieved via the NCBI upload tool (**NCBI Accession Download**) or from other sources, the workflow runs a (1) preprocessing with **fastp** to dismiss short reads and to trim polyG tails of the reads. The second step involves (2) mapping of the preprocessed reads to the reference genome using **BWA-MEM** with default configurations. This step generates a Sequence Alignment Map (SAM) file, which is then (3) filtered for quality using **samtools**. Paired and mapped reads are kept that have a minimal quality of 20. Alignment and quality reports including coverage statistics are generated per sample using **QualiMap BamQC**. The filtered SAM file is then used to generate a consensus sequence for each

sample using the (4) **iVar consensus** tool. This step allows the workflow to generate a high-quality consensus sequence for each sample, which can be used for downstream analyses, such as multiple sequence alignment and phylogenetic analysis. The resulting consensus sequences from all samples are aligned to the reference genome using (5) **MAFFT**, and the resulting alignment is used to (6) identify SNPs with **snipit**. The workflow produces a summary report of the results of each step and allows the user to investigate the output of each step further for additional research.

4 Results

The Galaxy workflows are validated using real-world datasets from different laboratories. The analysis results for each workflow with complying test samples are described below.

4.1 Validation of Poxvirus Workflow on Lumpy Skin Disease

Virus Datasets

We employed our pipeline using a tiling amplicon approach with masked reference sequences for each half genome. Two public LSDV samples, 20L70 (SRR15145276 and SRR15145275) and 20L81 (SRR15145274 and SRR15145273), that were sequenced with the required tiled amplicon method in two pools are used. Collected from cattle in 2020 during a lumpy skin disease outbreak in Northern Vietnam (20L70_Dinh-To/VNM/20 and 20L81_Bang-Thanh/VNM/20), both samples were sequenced on an MiSeq System using a NexteraXT. The used CaPV primer scheme in BED format contains pool identifier in the *SCORE* column. The widely-used vaccine “Neethling” strain was used as reference genome (NC_003027.1 or AF325528.1). The raw FASTQ files for each sample were trimmed with `fastp` and mapped to each half-masked reference.

The used primer scheme contains a total of 23 primers, while the first 12 primers cover *pool1* and the remaining 11 primers cover *pool2*. Inspection of the masking intervals for N-masking the reference confirms that the right-most position of Ns of masking the

Output Metric	20L70	20L81
Paired-end raw reads	863 820	1 016 168
Paired-end reads after quality trimming	856 138	947 064
Proportion of reads mapping to reference	99.6%	77.3%
Proportion of reference covered	99.68%	99.68%
Mean coverage	2 705.2 ×	2 411.4 ×
Alignment error rate	1.25%	1.30%

Table 2: Metrics after preprocessing and mapping for datasets 20L70 and 20L81.

first half (i.e. preparing the reference for mapping *pool2* reads) is the minimal start position of *pool2* primers (“1 – 79081”, and 79081 being the start position of primer 13). Accordingly for N-masking the reference for mapping of *pool1* reads, the interval from the right-most primer-end of *pool1* primers is 80202, the end position of primer 12, resulting in the interval “80202 – 150773”. The final position is the maximal end position and the total length of the reference sequence. Since the reference genome and primer scheme are the same for both datasets 20L70 and 20L81, the N-masked references are used for both mappings. Mapping of each pool is done with **BWA-MEM** and default settings for Illumina-sequenced reads, using the N-masked reference for *pool1* and *pool2* respectively. After merging the mappings with **Samtools merge**, statistics for preprocessing and mapping are reported and summarised in Table 2.

The merged mapping of both read pools is quality trimmed with **iVar trim** to remove primers and reads with a length of less than 30. The remaining reads are used for full-length consensus sequence construction with *iVar consensus*, developed for amplicon-based sequencing data. Inspection of the consensus sequences for both samples shows that apart from the front and tail until the first and last primer positions a consensus sequence was produced and a base at each position could be found.

TODO: primer scheme?

4.2 Validation of AIV Workflow on H4N6 and H5N8 Samples

The AIV Illumina workflow on the Galaxy platform was evaluated using two field isolates provided by the Belgian Sciensano lab. The isolates were extracted in Belgium in 2020 from an H4N6 infected magpie (EPI_ISL_7593059) and an H5N8 infected duck (EPI_ISL_7596571). The samples were sequenced on an Illumina platform in paired-end mode and are utilised one sample per workflow run. For the AIV Illumina workflow, a reference database in FASTA format is required as a collection with eight datasets, one per AIV segment, which is uploaded in Galaxy. The database we used contains multiple sequences per segment. A detailed list is provided in Supplementary Table 1.2.1.

TODO: which ref database? describe within-subtype variation

Output Metric	EPI_ISL_7593059	EPI_ISL_7596571
Paired-end raw reads	1 537 722	858 610
Paired-end reads after quality trimming	1 507 396	830 176

Table 3: Metrics after preprocessing of EPI_ISL_7593059 and EPI_ISL_7596571.

After starting the workflow with one sample each, the paired-end reads are preprocessed and serve as query reads for VAPOR. Metrics are shown in Table 3. Since the reference database contains eight FASTA files in a collection, the tool runs once per segment and outputs the highest scoring sequences per segment, i.e. the most similar sequences from the database to the query sequence.

The VAPOR search was able to successfully identify the avian influenza virus subtypes present in each sample: for the H5N8 sample, the **TODO: x** most similar sequences in the HA segment origin from samples with the H5 subtype, while the **TODO: x** most similar sequences in the NA segment origin from samples with the N8 subtype. Similarly,

	% of query bases in reads	Mean score	Query description
PB2	0 / 0	0 / 0	0 / 0
PB1	0 / 0	0 / 0	0 / 0
PA	0 / 0	0 / 0	0 / 0
HA	0 / 0	0 / 0	0 / 0
NP	0 / 0	0 / 0	0 / 0
NA	0 / 0	0 / 0	0 / 0
M1	0 / 0	0 / 0	0 / 0
NS1	0 / 0	0 / 0	0 / 0

Table 4: Summarised results of the VAPOR run for two AIV samples. First number represents results for sample EPI_ISL_7593059, second number represents sample EPI_ISL_7596571.

the H4N6 sample was correctly identified. The results of the VAPOR run are summarised in Table 4.

TODO

Reference database for each influenza gene segment, prepared the collection from public INSaFLU/NCBI data. Consists of **TODO: x** sequences

within-subtype variation IS captured well by this ref. database (many references per subtype)

VAPOR scores, consensus reference, snipit plots, IQ-Tree for HA/NA, point out output for downstream analyses

4.3 Validation of FMDV Workflows on Asia-1, A, SAT-1 and SAT-2 Samples

The samples used for workflow validation are downloaded from the NCBI and were chosen exemplary for four of the seven different FMDV serotypes. All four samples

were sequenced on an Illumina NextSeq 550 platform. Two samples (Asia-1 serotype, SRR17960053 and A serotype, SRR18751245) were taken from infected cattle and buffalo during an outbreak in Pakistan from 2008 to 2012. One sample (SAT-1 serotype, SRR18685689) was isolated from buffaloes in Kenya in 2016 and plaque purified before sequencing, and the fourth sample (SAT-2 serotype, SRR9328470) was taken from an FMD outbreak in Nigeria in 2014.

The metrics for preprocessing of the raw reads are described in Table 5. The SAT-2 sample contains a very low number of reads, however to show the ability of the developed workflows, it was kept in the test sample collection.

Output Metric	Asia-1	A	SAT-1	SAT-2
Paired-end raw reads	577 360	2 297 706	903 052	11 816
Paired-end reads after quality trimming	561 280	2 112 856	806 712	11 576
Length of assembled contigs with > 4000 basepairs	7760	12133 7558	7329	7696

Table 5: Metrics after preprocessing and *de novo* assembly of Asia-1, A, SAT-1 and SAT-2 serotype reads.

After *de novo* assembly with `rnaviralSPAdes`, contigs less than half the length of the FMDV genome size were discarded. This resulted in one contig per sample for the BLASTn search, except for the A serotype reads, for which two contigs were assembled. As the longer contig is far larger than the FMDV genome size, a contamination or co-infection with another virus is indicated. The BLASTn search was performed against the NCBI nucleotide database to identify the closest viral sequence matches. The results of the BLASTn search showed that all the contigs were closely related to FMDV. The highest sequence identity was observed for the Asia-1 serotype sample, with 96.74% identity, followed by the A, SAT-1 and SAT-2 serotypes, with 94.87%, 93.77% and 91.42% identity, respectively. These results were consistent with the clinical samples being positive for FMDV infection. However, the second contig of the A sample resulted in a

Output Metric	Asia-1	A	SAT-1	SAT-2
Accession no. of reference	KM268898.1	JN006722.1	KM268899.1	JX014256.1
Proportion of reads mapping to reference	100%	100%	100%	100%
Proportion of reference covered	99.67%	100%	99.60%	98.16%
Mean coverage	1 525.9 ×	15 895.5 ×	188.0 ×	9 302.8 ×
Alignment error rate	3.47%	5.08%	8.18%	5.87%

Table 6: Quality and coverage metrics of the alignment in the second FMDV workflow.

BLASTn hit for pestivirus (formerly known as bovine viral diarrhea virus 1) with 93.16% identity [130]. This suggests the presence of a co-infection or contamination with the pestivirus in the sample. The run with the discussed samples of this first FMDV workflow is provided in a Galaxy history and is available via link, see Supplementary Section 1.3.

In order to run the second workflow for reference-based mapping and consensus sequence construction for each of the four samples, the top BLASTn hit of each sample is downloaded in FASTA format to be used as reference sequence for the respective sample. This method ensures that the consensus sequence for each sample is generated based on the closest available reference sequence, which can improve the accuracy and reliability of the final assembly. Quality and coverage measures are provided for each sample are provided in Table 6. With the NCBI **Accession Download** tool, the plausible FMDV sequences are downloaded to Galaxy and with **Collapse Collection** the FASTA file is extracted from the list to a single file, so that it is suitable for the workflow.

4.4 Workflow Profiling

TODO

Assembly vs. mapping? blast, vapor

5 Discussion

TODO

5.1 Contribution to the Field

TODO

Poxvirus Analysis

LSDV interesting for all poxviruses and adjustable due to ITRs and tiled-amplicon approach

further pox viruses, pipelines can be more or less easily applied/adjusted

single sample vs. multi sample (reality check, what is needed? maybe multiple samples from one outbreak)

Avian Influenza Virus Analysis

AIV downstream - everything is possible. Highlight key minor assets that indicate adaption to mammals -> databases needed to check against, detect mutation of isolates?

Generally: annotate on amino acid layer (most information)

AIV: Choice of reference, why not BLAST or lookup in GISAID with many hundreds of thousands of RNA virus genomes;

AIV: Alternatives, such as read classification by mapping to a large database of influenza sequences and subsequent de novo assembly can help to resolve this issue, such pipelines are often complex, slow, and require expertise that is not necessarily available in routine surveillance or public health laboratories. Secondly, even if bioinformatics pipelines are chosen judiciously, sequences of zoonotic origin may fail to be identified, resulting in a dataset that appears to below coverage, missing segments, or missing potential future pandemic reassortments. Furthermore, even with recent assembly programs, misassembly can occur.

However using an optimal reference is ideal, since for later advanced applications, such as transmission events, or study of intra-host variation, the closest possible reference may be necessary. Whilst BLAST performed well for individual read classification, it is often too slow for general application. With regards to de novo assembly, in the cases where not enough initial data exists to assemble fragments, mapping allows analysis of limited fragments. Furthermore, assembly of virus genomes can be slow, often taking several days for a single sample when contaminant reads are present.

Make phylogenetic trees publicly accessible, not one sample per strain but in high resolution and greater details, strains from different countries, single sample vs. multi sample (reality check, what is needed? maybe multiple samples from one outbreak)

Foot-and-mouth Disease Virus Analysis

If the goal in a broad and rapid surveillance is a high number of sample throughput and analysis, assembly is too cost and time sensitive for large viral genomes. However

small viral genomes such as FMDV perform reasonably faster in a de novo assembly than larger DNA genomes and achieve sufficiently good results. Long assembled contigs can be used for choosing a good, representative reference sequence that can be used for mapping. BLAST provides a large database however needs regular updates to deliver current hits and proper surveillance, also relevant for downstream/phylogeny. Workflow in two parts requires knowledge and interaction by the user -> error prone?

Nevertheless this is the most suitable approach for high resolution genomic analysis, as a direct mapping fails due to not fitting reference sequences

Workflows that solve common problems, provide useful information, are user-friendly, customisable, extendable

limitations

“The high sensitivity of the NGS technology ensures that major kinds of viral pathogens in mixed samples can be detected.” One strength of NGS is that it can be used to detect emerging viral diseases with a high genetic variation. Like AIV. Since it can analyse a full sequence instead of targeting a specific gene. -> makes sense to use virus-specific primers for PCR or NGS

“Comparison of the whole genome sequences of recent LSDV isolates from the 2015–2016 epidemic in southern Europe revealed only a limited number of point mutations between the isolates” WGS is essential to capture all genetic variation at once

In sequencers, false positive variants (False Positive Variant (FPV)) must be avoided (happens when too many amplification cycles are made)

BWA-MEM vs. BWA-MEM2 for small viral genomes: no big speed gain, error-prone as a new tool. preferably stick to known tools in Galaxy that are proved to work well and have a maintenance

-> caveat of tools with small development teams: abundance of technological advancements or error solving, but dependence on the reliability -> choose established software

5.2 Future Directions

TODO

further validation and improvement of the developed pipelines, application to other viral livestock diseases, integration with existing surveillance systems; expand the VETLAB network to entitle even more professionals to professionally analyse their samples. more testing, more training material

workflows offer many possible directions for downstream analysis that could be integrated into the main workflows if needed:

- * consensus sequence for each segment -> compare consensus sequence to others can help identify outbreaks and patterns of transmission, get more insights how the virus spreads and its evolution
- * Prokka annotation file. Predict the protein coding regions of the virus, to understand the function of the viral proteins and how they interact with host cells
- * SNPs relative to the reference sequence
- * Multiple Sequence Alignment (MSA) and phylogenetic tree for broad or detailed phylogenetic analysis and understand evolutionary relationships between the sample and other strains. could also use clusters or subtypes within the sample. make trees available so that new isolates can be immediately arranged
- * more visualisation of the data
- * long-term objective: build public high-resolution databases to enable researchers to detect mutation of an isolate.

this is crucial for a global surveillance system to work. * develop workflows for the same purpose that work with other NGS sequencing data (ONT, PacBio etc.)

fine-tune settings of individual tools, improve quality of tools (VAPOR)

6 Conclusion

TODO

Summary of objectives, achievements and discussion

By relying on raw read data rather than assembled genomes and allowing every result to be traced back to its raw data, it goes a step beyond current surveillance efforts.

We show that viral genome analyses can be performed with public scientific infrastructure that is ready to use and based on a community-approved effort in an open-source software. Galaxy WFs can be exported, adapted and used in other systems such as CWL, Nextflow, Snakemake

-> transparent data analyses tool that is robust and transparent to ensure quality and efficiency, all to empower scientists and health professionals to biomedical research

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Appendix

1 Links to Workflows and Additional Materials

All workflows in Galaxy-specific GA and CWL formats are available on GitHub:

<https://github.com/kciy/msc-thesis/tree/main/workflows/>

1.1 Poxvirus Workflow

- Galaxy EU:
<https://usegalaxy.eu/u/vyetoria/w/pox-virus-illumina-amplicon>
- GitHub Intergalactic Workflow Commission:
<https://github.com/galaxyproject/iwc/tree/main/workflows/virology/pox-virus-amplicon>
- WorkflowHub:
<https://workflowhub.eu/workflows/439>
- Dockstore:
<https://dockstore.org/workflows/github.com/iwc-workflows/pox-virus-amplicon/main:main?tab=info>
- Galaxy Training Material: **TODO**

- Galaxy history with workflow test run (LSDV samples 20L70 and 20L81):
<https://usegalaxy.eu/u/vyectoria/h/pox-virus-illumina-amplicon-sample-lsdv>

1.2 Avian Influenza Virus Workflow

- Galaxy EU:
<https://usegalaxy.eu/u/vyectoria/w/aiv-illumina-analysis>
- Reference Database:
TODO: new filtered set <https://usegalaxy.eu/u/vyectoria/h/aiv-reference-collection>
- Galaxy Training Material:
<https://training.galaxyproject.org/training-material/topics/variant-analysis/tutorials/aiv-analysis/tutorial.html>
- Galaxy history with workflow test run (samples xy): **TODO**

1.2.1 Reference Sequences Database

TODO

1.3 Foot-and-mouth Disease Virus Workflows

- Part 1 (*De novo* assembly and BLASTn search) Galaxy EU:
<https://usegalaxy.eu/u/vyectoria/w/fmdv-sequence-analysis-part-1-assembly-and-blastn-search>

- Part 2 (Mapping and consensus sequence construction) Galaxy EU:
<https://usegalaxy.eu/u/vyetoria/w/fmdv-sequence-analysis-part-2-mapping-consensus>
- Galaxy history with workflow 1 test run (SRR17960053, SRR18751245, SRR18685689 and SRR9328470):
<https://usegalaxy.eu/u/vyetoria/h/fmdv-sequence-analysis-1-2>
- Galaxy histories of workflow 2 test runs:
 - SRR17960053 (Asia-1 sample):
<https://usegalaxy.eu/u/vyetoria/h/fmdv-sequence-analysis-2-2-sample-asia-1>
 - SRR18751245 (A sample):
<https://usegalaxy.eu/u/vyetoria/h/fmdv-sequence-analysis-2-2-sample-a>
 - SRR18685689 (SAT-1 sample):
<https://usegalaxy.eu/u/vyetoria/h/fmdv-sequence-analysis-2-2-sample-sat-1>
 - SRR9328470 (SAT-2 sample):
<https://usegalaxy.eu/u/vyetoria/h/fmdv-sequence-analysis-2-2-sample-sat-2>