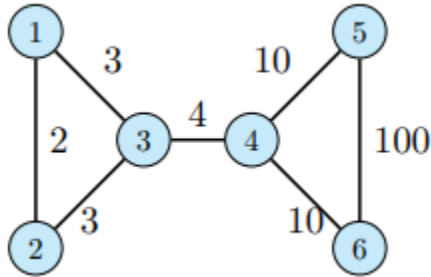


Assignment 4

Name: Kalpita Dapkekar

1)



	1	2	3	4	5	6
1	0	2	3	0	0	0
2	2	0	3	0	0	0
3	3	3	0	4	0	0
4	0	0	4	0	10	10
5	0	0	0	10	0	100
6	0	0	0	10	100	0

A) Cuts: Consider the following cuts:

cut 1: $S_1 = \{1, 2, 3\}$, $T_1 = \{4, 5, 6\}$

cut 2: $S_2 = \{1\}$, $T_2 = \{2, 3, 4, 5, 6\}$

cut 3: $S_3 = \{1, 2, 3, 4\}$, $T_3 = \{5, 6\}$

cut 1: $S_1 = \{1, 2, 3\}$, $T_1 = \{4, 5, 6\}$

$$1) W(S_1, T_1) = 0 + 0 + 4 + 0 + 0 + 0$$

$$= 4$$

$$2) \text{ Ratio Cut} = \frac{W(S_1, T_1)}{|S_1|} + \frac{W(S_1, T_1)}{|T_1|}$$

$$= \frac{4}{3} + \frac{4}{3} = \frac{8}{3} = 2.6$$

$$3) \text{ Normalized Cut} = \frac{W(S_1, T_1)}{\text{Vol}(S_1)} + \frac{W(S_1, T_1)}{\text{Vol}(T_1)}$$

$$= \frac{4}{20} + \frac{4}{244} = 0.216$$

cut 2: $S_2 = \{1\}$, $T_2 = \{2, 3, 4, 5, 6\}$

$$1) W(S_2, T_2) = 2 + 3$$

$$= 5$$

$$2) \text{ Ratio Cut} = \frac{W(S_2, T_2)}{|S_2|} + \frac{W(S_2, T_2)}{|T_2|}$$

$$= \frac{5}{1} + \frac{5}{5} = 6$$

$$3) \text{ Normalized Cut} = \frac{W(S_2, T_2)}{\text{Vol}(S_2)} + \frac{W(S_2, T_2)}{\text{Vol}(T_2)}$$

$$= \frac{5}{5} + \frac{5}{259} = 1.019$$

cut 3: $S_3 = \{1, 2, 3, 4\}$, $T_3 = \{5, 6\}$

$$1) W(S_3, T_3) = 10$$

$$2) \text{ Ratio Cut} = \frac{W(S_3, T_3)}{|S_3|} + \frac{W(S_3, T_3)}{|T_3|}$$

$$= \frac{10}{4} + \frac{10}{2} = 2.5 + 5 = 7.5$$

$$3) \text{ Normalized Cut} = \frac{W(S_3, T_3)}{\text{Vol}(S_3)} + \frac{W(S_3, T_3)}{\text{Vol}(T_3)}$$

$$= \frac{10}{34} + \frac{10}{210} = 0.23 + 0.045 = 0.275$$

From Smallest to highest cut criteria for **Cut Weight**: $\text{Cut1} \leq \text{Cut2} \leq \text{Cut3}$

From Smallest to highest cut criteria for **Ratio Cut**: $\text{Cut1} \leq \text{Cut2} \leq \text{Cut3}$

From Smallest to highest cut criteria for **Normalized Cut**: $\text{Cut1} \leq \text{Cut3} \leq \text{Cut2}$

B) Laplacians: Compute the adjacency matrix A, degree matrix D, Laplacian matrix $L = D - A$ and Symmetric Laplacian matrix $L_s = D - 1/2LD - 1/2$ of the graph above (do this by hand and show them in your Solutions file).

Adjacency Matrix (A)

	1	2	3	4	5	6
1	0	2	3	0	0	0
2	2	0	3	0	0	0
3	3	3	0	4	0	0
4	0	0	4	0	10	10
5	0	0	0	10	0	100
6	0	0	0	10	100	0

Degree Matrix (D)

	1	2	3	4	5	6
1	5	0	0	0	0	0
2	0	5	0	0	0	0
3	0	0	10	0	0	0
4	0	0	0	24	0	0
5	0	0	0	0	110	0
6	0	0	0	0	0	110

$L = D - A$

	1	2	3	4	5	6
1	5	-2	-3	0	0	0
2	-2	5	-3	0	0	0
3	-3	-3	10	-4	0	0
4	0	0	-4	24	-10	-10
5	0	0	0	-10	110	-100
6	0	0	0	-10	-100	110

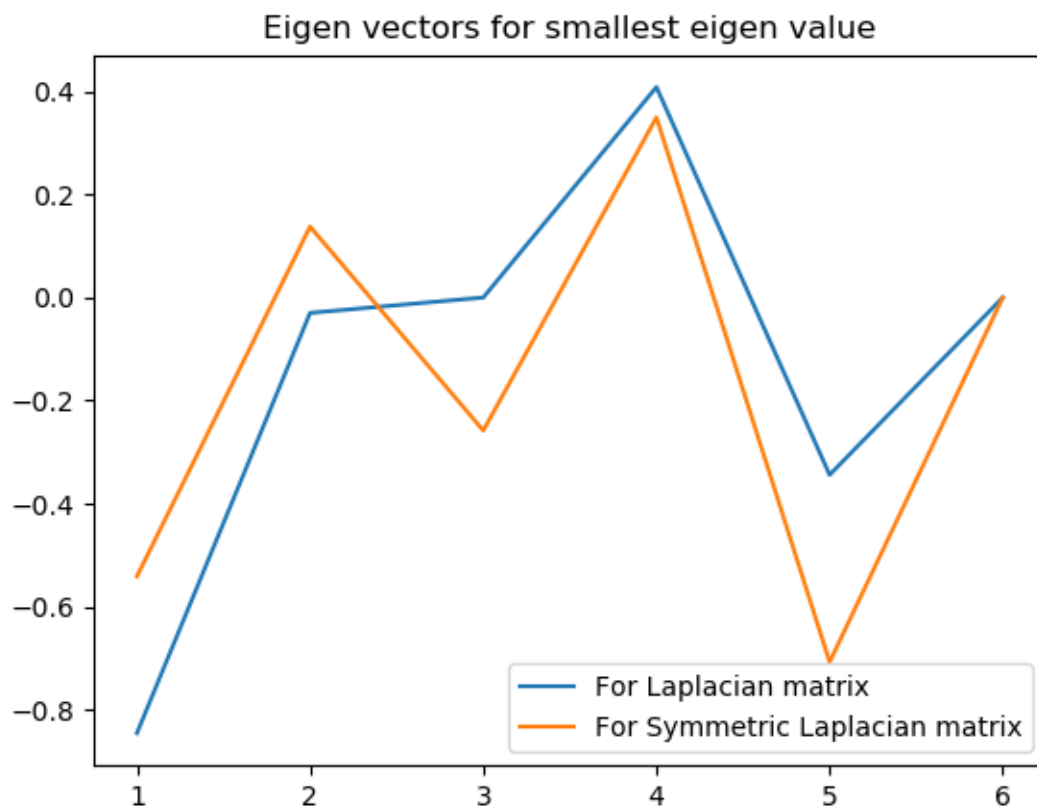
Symmetric Laplacian Matrix

$$L_S = D^{-1/2} L D^{-1/2}$$

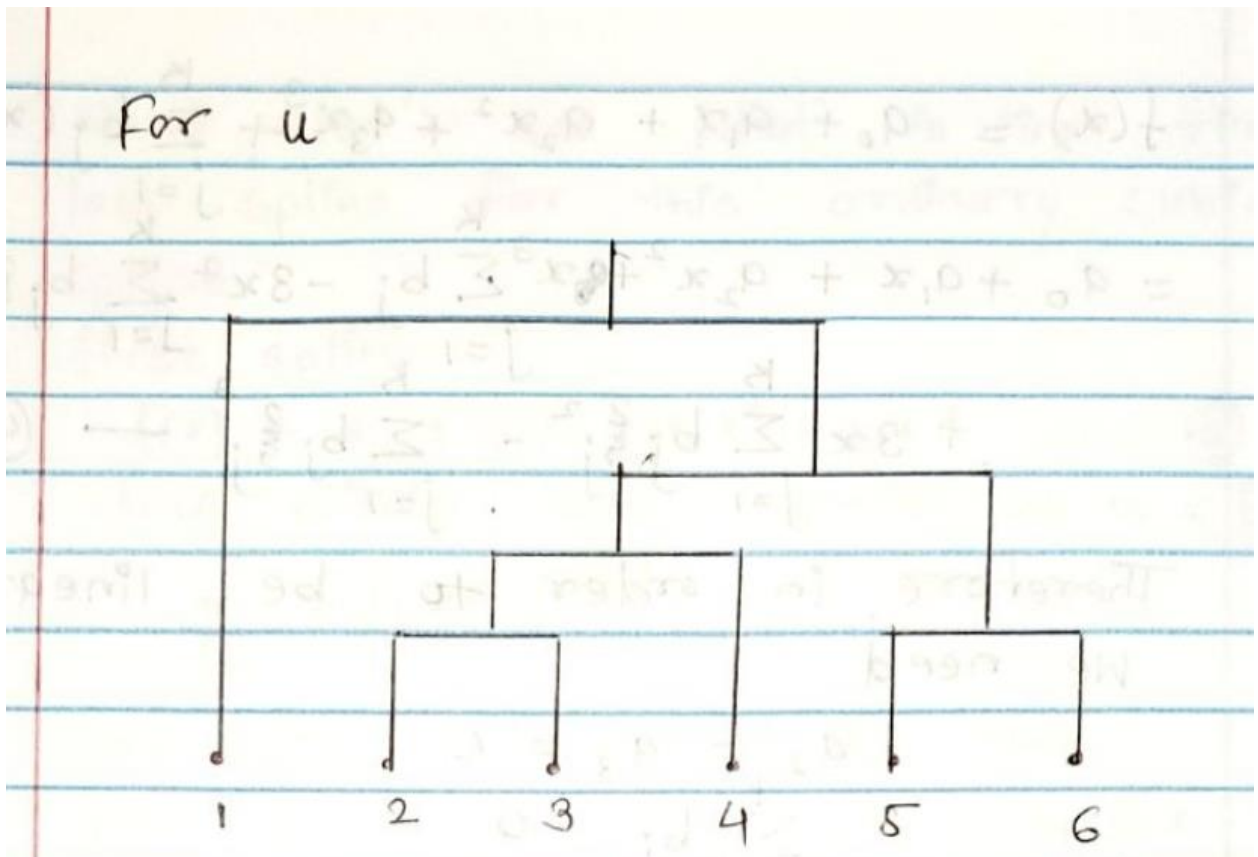
$L_S =$

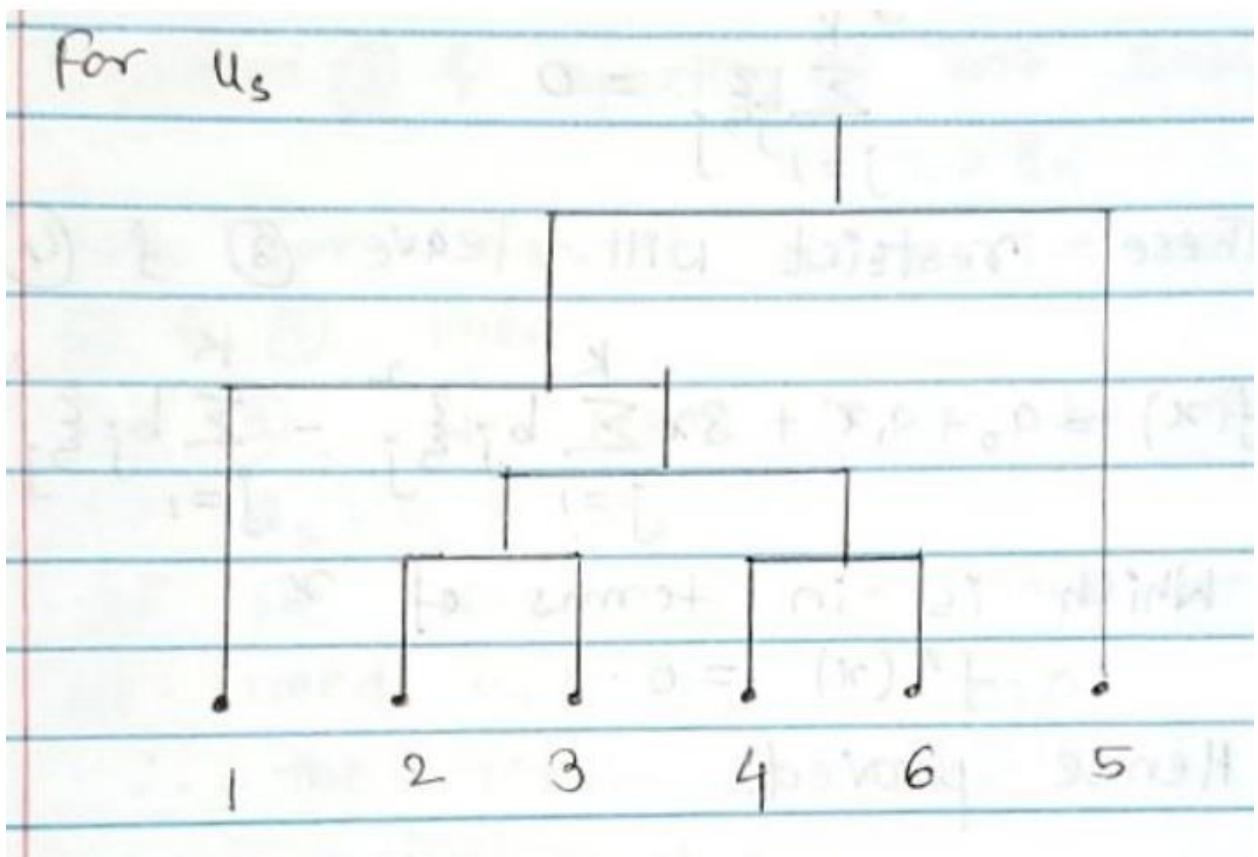
1	-0.4	-0.42	0	0	0
-0.42	1	-0.42	0	0	0
-0.42	-0.42	1	-0.25	0	0
0	0	-0.25	1	-0.19	-0.19
0	0	0	-0.19	1	-0.90
0	0	0	-0.19	-0.90	1

C) Eigen values and eigen vector:



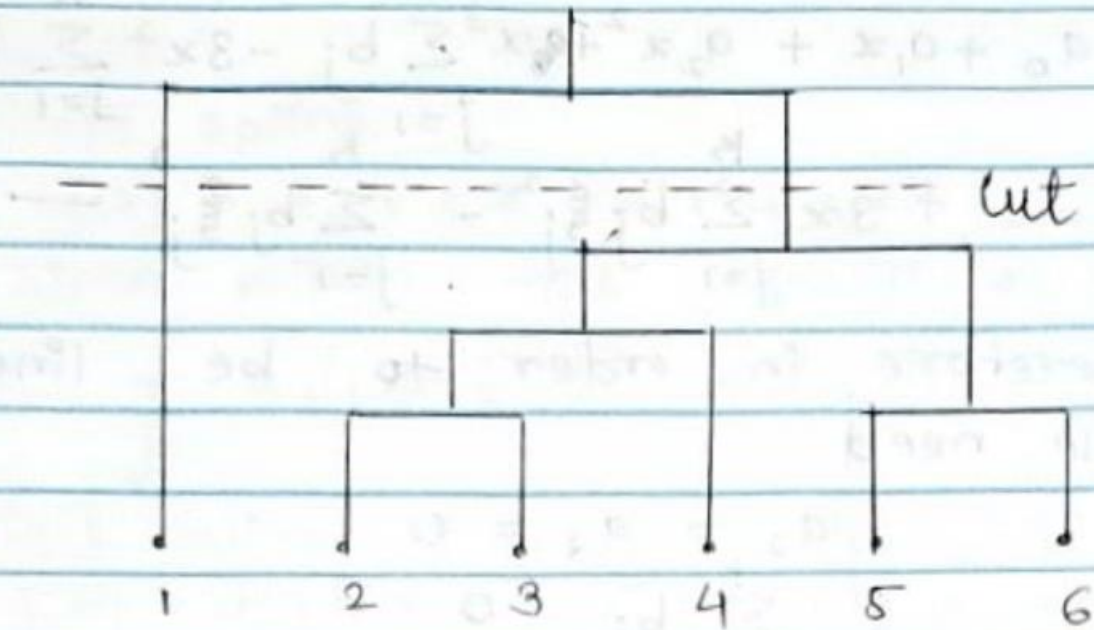
D) Hierarchical clusters from eigen vectors:



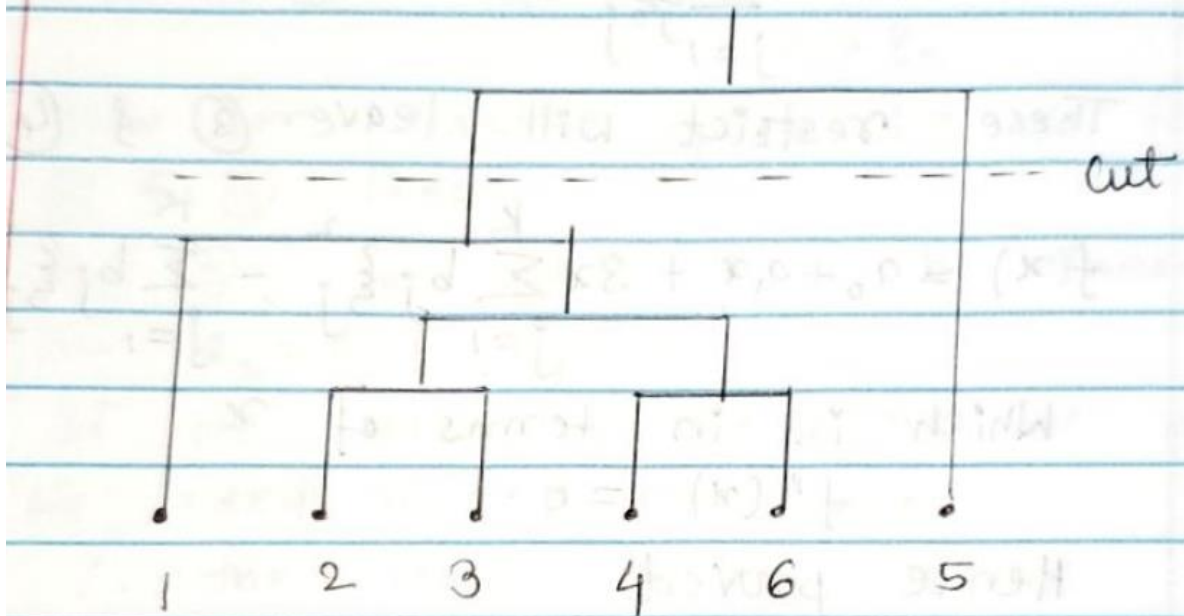


E) Hierarchical clusters from eigen vectors:

For u_2



For u_3



2

A) Given points: {0, 1, 2, 2, 10}

$$\mu = 3$$

$$m = 2$$

$$\begin{aligned} 1) \sum_i (x_i - \mu)^2 &= (0-3)^2 + (1-3)^2 + (2-3)^2 + (2-3)^2 + (10-3)^2 \\ &= 9 + 4 + 1 + 1 + 49 = 64 \end{aligned}$$

$$\begin{aligned} \sum_i (x_i - m)^2 &= (0-2)^2 + (1-2)^2 + (2-2)^2 + (2-2)^2 + (10-2)^2 \\ &= 4 + 1 + 0 + 0 + 64 = 69 \end{aligned}$$

$$64 < 69$$

$$\sum_i (x_i - \mu)^2 \leq \sum_i (x_i - m)^2$$

$$\begin{aligned} 2) \sum_i |x_i - m| &= |0-2| + |1-2| + |2-2| + |2-2| + |10-2| \\ &= 2 + 1 + 0 + 0 + 8 = 11 \end{aligned}$$

$$\begin{aligned} \sum_i |x_i - \mu| &= |0-3| + |1-3| + |2-3| + |2-3| + |10-3| \\ &= 3 + 2 + 1 + 1 + 7 = 14 \end{aligned}$$

$$11 < 14$$

$$\sum_i |x_i - m| \leq \sum_i |x_i - \mu|$$

B) b) $\mu = \arg \min_a \sum_i (x_i - a)^2$

The above expression can be written in the form of L_2 norm

$$\mu = \arg \min_a \sum_{x \in X} \|x_i - a\|^2 = \arg \min_a \sum_{x \in X} \langle x - a, x - a \rangle$$

$$= \arg \min_a \sum_{x \in X} (\langle x, x \rangle - 2 \langle x, a \rangle + \langle a, a \rangle) \dots\dots\dots \text{by taking the inner product}$$

$$= \arg \min_a \langle a, a \rangle - 2n \langle \frac{1}{n} \sum_{x \in X} x, \mu \rangle$$

$$= \arg \min_a \|a - \bar{x}\|^2 \text{ Where, } \bar{x} = \frac{1}{n} \sum_{x \in X} x$$

L_2 norm can never be smaller than 0, "a" should be equal to \bar{x} so that $\arg \min_a \|a - \bar{x}\|^2$ is minimum i.e., zero

That is, $a = \bar{x}$

c) $m = \arg \min_a \sum_{i=1}^n |x_i - a| \quad \dots\dots\dots x_i \in X$

So, for calculating median, we need to arrange all numbers x_i in X set in ascending order.

$$X_1 \leq X_2 \leq X_3 \dots \leq X_n$$

Case 1: The odd case, where there are $2n - 1$ points, choose " a " such that the sum of absolute distance is minimized.

Let us consider a point on number line (not between X_1 & X_{2n-1})

The sum of absolute distances of $2n-1$ points from that point would be greater than a point which is chosen between X_1 & X_{2n-1}

If $X_1 < a < X_{2n-1}$ then the absolute distance $|X_1 - a| + |X_{2n-1} - a|$ will be less choose a such that $|X_4 - a|$ is least *This is least when $X_4 - a = 0$*

Thus $X_4 = a$

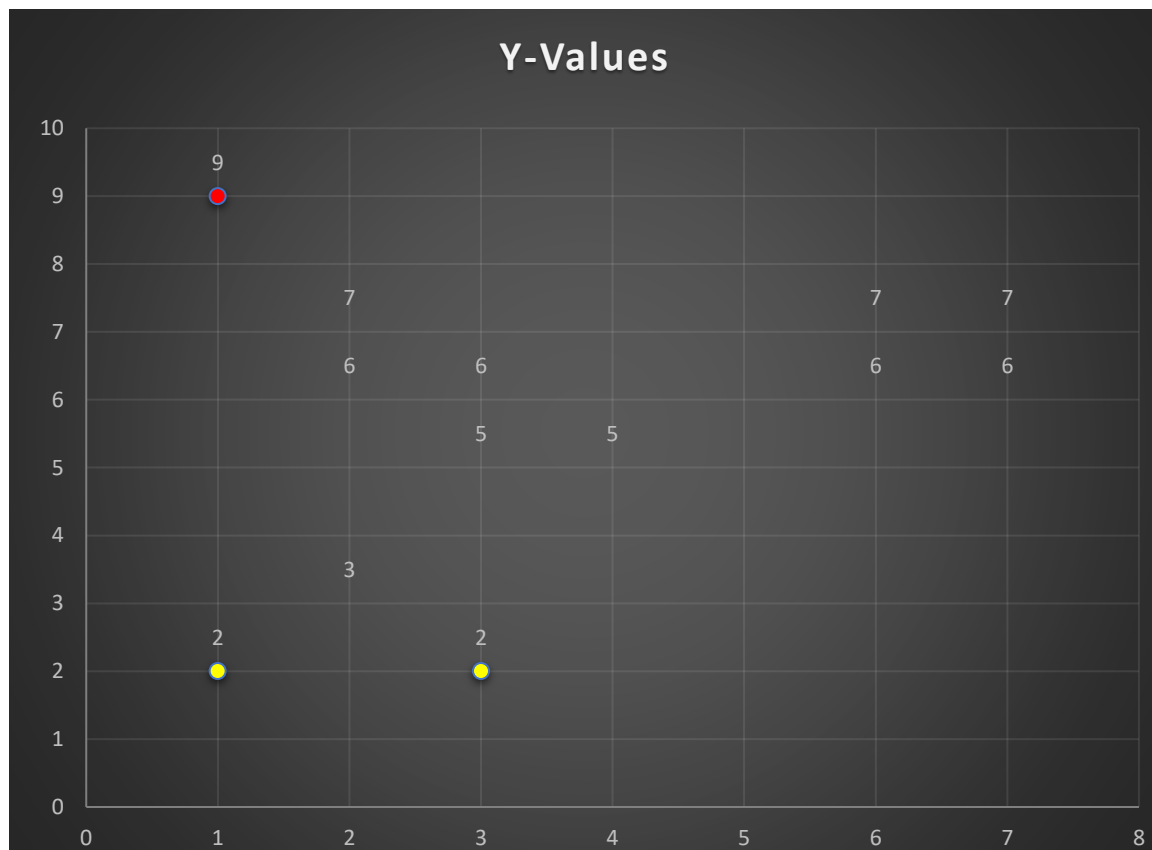
$$\begin{aligned} m &= \arg \min_{a=X_4} \sum |X_i - X_4| \\ &= |X_4 - X_1| + |X_4 - X_2| + |X_4 - X_3| + |X_4 - X_4| + |X_5 - X_4| + |X_6 - X_4| + |X_7 - X_4| \\ &= (X_5 + X_6 + X_7) - (X_1 + X_2 + X_3) \end{aligned}$$

Case 2: Even number, where there are $2n$ points, choose " a " such that the sum of absolute distance is minimized that is $X_n \leq a \leq X_{n+1}$

" a " will be between X_n & X_{n+1} to minimize $\sum_{i=1}^n |x_i - a|$

3

A)



Blue point are **core**

Yellow points are **Border**

Red points are **noise**

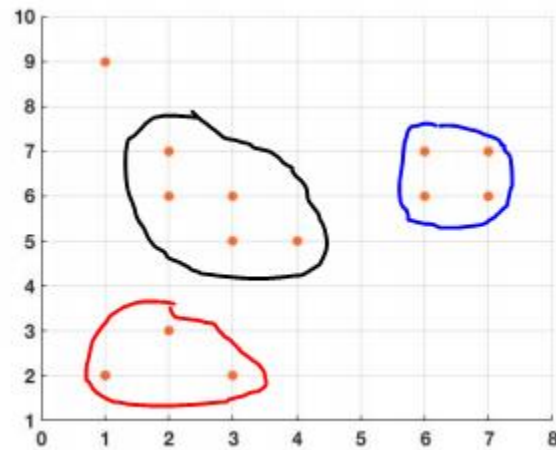
B) Clusters

A Clusters is a subset of samples that satisfy following two criteria:

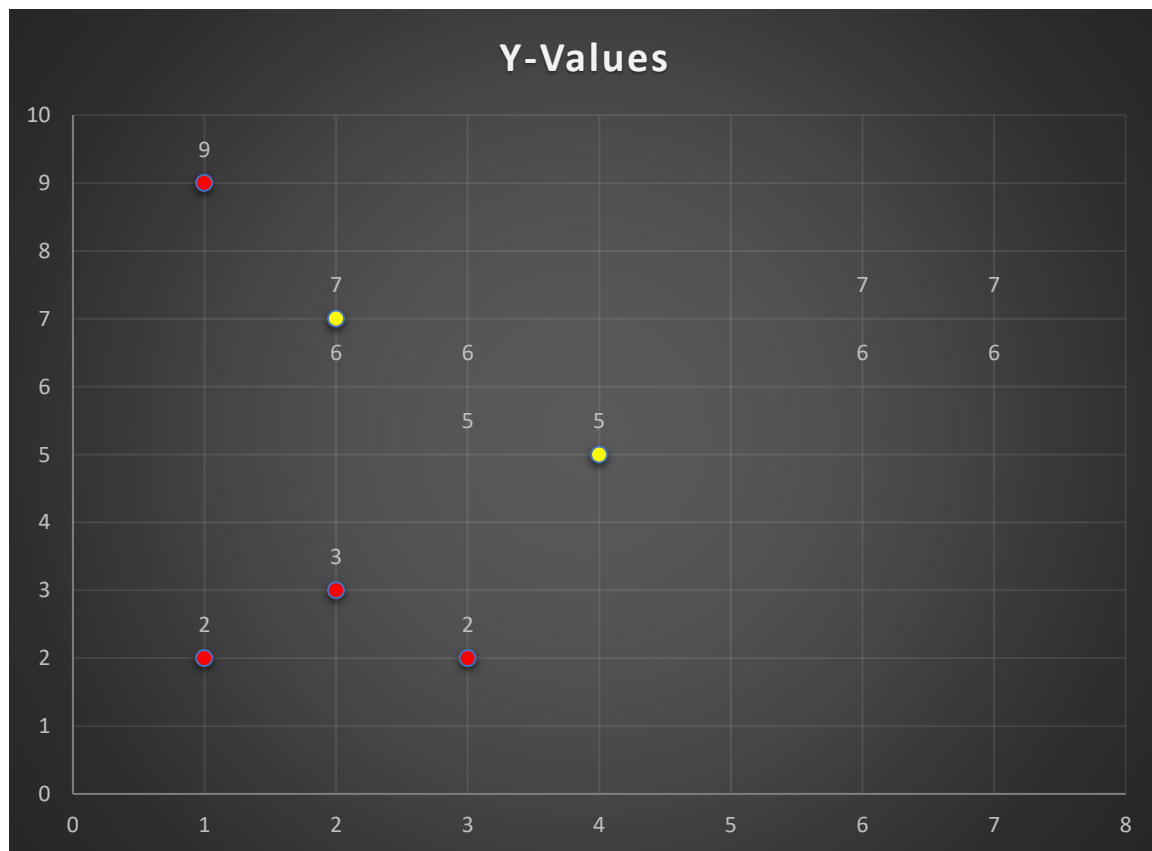
1. **Connected**

2. **Maximal**

- Points in bottom of the graph labeled as 2,2 and 3 satisfies connected and maximal criteria, so they form a clusters, $C = \{(1,2),(2,3),(3,2)\}$.
- Points in the middle of the graph satisfies both criteria
- Points to the right of the graph forms another cluster.



c)



Blue point are **core**

Yellow points are **Border**

Red points are **noise**

D) Clusters

- Points in the middle of the graph Satisfies both the criteria.

- b. Points to the right of the graph Satisfies both the criteria.

