

Math 214 Homework 3

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Q2-1. Define $f : \mathbb{R} \rightarrow \mathbb{R}$ by

$$f(x) = \begin{cases} 1, & x \geq 0 \\ 0, & x < 0 \end{cases}$$

Show that for every $x \in \mathbb{R}$, there are smooth coordinate charts (U, ϕ) containing x and (V, ψ) containing $f(x)$ such that $\psi \circ f \circ \phi^{-1}$ is smooth as a map from $\phi(U \cap f^{-1}(V))$ to $\psi(V)$, but f is not smooth in the sense we have defined in this chapter.

Proof:

The way we've defined smoothness implies continuity. Since $f : \mathbb{R} \rightarrow \mathbb{R}$ defined as

$$f(x) = \begin{cases} 1, & x \geq 0 \\ 0, & x < 0 \end{cases}$$

is clearly not continuous at $x = 0$, it cannot be smooth (according to our definition).

However, let's show there still exist smooth coordinate charts (U, ϕ) and (V, ψ) such that $\psi \circ f \circ \phi^{-1}$ is smooth as a map from $\phi(U \cap f^{-1}(V))$ to $\psi(V)$. Away from zero f is smooth so this is definitely true. To deal with the origin, let $\epsilon > 0$ and $U = (-\epsilon, \epsilon)$ and let $V = (\frac{1}{2}, \frac{3}{4})$.

Then, U contains x , V contains $f(0) = 1$, and (U, id) , (V, id) are charts on \mathbb{R} , and $\psi \circ f \circ \phi^{-1} = \text{id} \circ f \circ \text{id}^{-1}$ is just the constant map on $\phi(U \cap f^{-1}(V))$ to $\psi(V)$.

Q2-3. For each of the following maps between spheres, compute sufficiently many coordinate representations to prove that it is smooth.

- (a) $p_n : \mathbb{S}^1 \rightarrow \mathbb{S}^1$ is the n^{th} **power map** for $n \in \mathbb{Z}$, given in complex notation as $p_n(z) = z^n$.
- (b) $\alpha : \mathbb{S}^n \rightarrow \mathbb{S}^n$ is the **antipodal map** $\alpha(x) = -x$.
- (c) $F : \mathbb{S}^3 \rightarrow \mathbb{S}^2$ is given by

$$F(w, z) = (z\bar{w} + w\bar{z}, iw\bar{z} - iz\bar{w}, z\bar{z} - w\bar{w})$$

where we think of \mathbb{S}^3 as the subset $\{(w, z) : |w|^2 + |z|^2 = 1\}$ of \mathbb{C}^2 .

Proof:

- (a) For (a), let's recall,

From Problem 1-8 we know that if $U \subseteq \mathbb{S}^n$ is an open subset such that $U \neq \mathbb{S}^n$ there exists a continuous (angle) function $\theta : U \rightarrow \mathbb{R}$ such that $e^{i\theta(z)} = z$ and $\theta^{-1}(x) = e^{i\theta(\theta^{-1}(x))} = e^{ix}$.

We have that $e^{i\theta(z)} = z$, so $\theta(z) = -i \log(z)$ where we can make a branch cut on the line passing through the origin and any $p \in \mathbb{S}^{-1} \setminus U$.

If $z = e^{it}$, then

$$\theta(e^{it}) = -i \log(e^{it}) \quad (1)$$

$$= -i(it) + k(e^{it}) \cdot 2\pi \quad (2)$$

$$= t + k(e^{it}) \cdot 2\pi \quad (3)$$

for some $k(e^{it})$ which is integer valued. But, θ is continuous, so k must also be continuous, so it must attain constant values on each connected component of U .

So,

$$\theta(e^{it}) = t + k \cdot 2\pi$$

Let $z \in \mathbb{S}^1$, and let (U, θ) be a chart containing z where θ is an angle function, and let (V, ϕ) be a chart containing z^n where ϕ is an angle function as well. Then, the coordinate representation is $\phi \circ p_n \theta^{-1}(x) = \phi \circ p_n(e^{ix}) = \phi(e^{inx}) = nx + k \cdot 2\pi$ for some k which must be constant on each connected component of $U \cap p^{-1}(V)$. Note that $U \cap p^{-1}(V)$ is open since p_n is continuous, meaning $p^{-1}(V)$ is open.

We've shown the coordinate representation is smooth on any chart of \mathbb{S}^1 , so the map p_n is smooth.

- (b) WLOG suppose $x \in \mathbb{S}^n$ such that x is contained in the chart $(\mathbb{S} \setminus \{N\}, \sigma)$ where σ denotes the usual stereographic projection. Then, the chart $(\mathbb{S}^n \setminus \{S\}, \tilde{\sigma})$ contains the antipodal point $\alpha(x)$.

We can calculate the coordinate representation to be

$$\begin{aligned} (\tilde{\sigma} \circ \alpha \circ \sigma^{-1})(u^1, \dots, u^n) &= (\tilde{\sigma} \circ \alpha) \left(\frac{(2u^1, \dots, 2u^n, |u|^2 - 1)}{|u|^2 + 1} \right) \\ &= \tilde{\sigma} \left(\frac{(-2u^1, \dots, -2u^n, -(|u|^2 - 1))}{|u|^2 + 1} \right) \\ &= -\sigma \left(\frac{(2u^1, \dots, 2u^n, |u|^2 - 1)}{|u|^2 + 1} \right) \quad (\text{Since } \tilde{\sigma} = -\sigma(-x)) \\ &= -u \end{aligned}$$

Since the coordinate representation is smooth, so too is the map α .

- (c) Denote the stereographic projection (from the north) on the \mathbb{S}^3 , identified with a subset of \mathbb{R}^4 as σ_3 (respectively σ_2 for the 2-sphere, which has one less coordinate in the chart, and denote projection from the south as $\tilde{\sigma}_i$), which we know are given by

$$\sigma_3 : (x^1, \dots, x^4) \mapsto \left(\frac{x^1}{1 - x^4}, \dots, \frac{x^3}{1 - x^4} \right) \text{ defined on } \mathbb{S}^3 \setminus \{(0, 0, 0, 1)\}$$

$$\sigma_{-1}^3 : (x^1, x^2, x^3) \mapsto \left(\frac{2x^1}{(x^1)^2 + (x^2)^2 + (x^3)^2 + 1}, \frac{2x^2}{(x^1)^2 + (x^2)^2 + (x^3)^2 + 1}, \frac{2x^3}{(x^1)^2 + (x^2)^2 + (x^3)^2 + 1} \right)$$

Then, define f as the real counterpart to F , given by:

$$\begin{aligned} f(x^1, x^2, x^3, x^4) &= F(x^1 + ix^2, x^3 + ix^4) \\ &= (2x^1x^3 + 2x^2x^4, 2x^2x^3 - 2x^1x^4, (x^1)^2 + (x^2)^2 - (x^3)^2 - (x^4)^2) \end{aligned}$$

Writing out all the coordinate representations of $f : \mathbb{S}^3 \rightarrow \mathbb{S}^2$ for the required charts gives us

$$\begin{aligned} \sigma^2 \circ f \circ \sigma_3^{-1}(x^1, x^2, x^3) &= \left(\frac{2x^1}{(x^1)^2 + (x^2 - 1)^2 + (x^3)^2}, x^2 \right) \\ \sigma^2 \circ f \circ \tilde{\sigma}_3^{-1}(x^1, x^2, x^3) &= \left(\frac{2x^1}{(x^1)^2 + (x^2 - 1)^2 + (x^3)^2}, \frac{x^2}{(x^1)^2 + (x^2)^2 + (x^3)^2} \right) \\ \tilde{\sigma}^2 \circ f \circ \tilde{\sigma}_3^{-1}(x^1, x^2, x^3) &= \left(\frac{2x^1}{(x^1)^2 + (x^2 + 1)^2 + (x^3)^2}, x^2 \right) \\ \tilde{\sigma}^2 \circ f \circ \sigma_3^{-1}(x^1, x^2, x^3) &= \left(\frac{2x^1}{(x^1)^2 + (x^2 + 1)^2 + (x^3)^2}, \frac{x^2}{(x^1)^2 + (x^2)^2 + (x^3)^2} \right) \end{aligned}$$

Which are all smooth as rational functions with no singularities in the domains. Then, the smoothness of f implies the smoothness of F .

Q2-4. Show that the inclusion map $\overline{B}^n \hookrightarrow \mathbb{R}^n$ is smooth when \overline{B}^n is regarded as a smooth manifold with boundary.

Proof:

Consider the collection of charts $\{(U_i^\pm, \phi_i^\pm)\}$ where

$$\begin{aligned} U_i^+ &= \{x = (x^1, \dots, x^n) : |x|^2 \leq 1, x^i > 0\} \\ U_i^- &= \{x = (x^1, \dots, x^n) : |x|^2 \leq 1, x^i < 0\} \end{aligned}$$

and $\phi_i^\pm : U_i^\pm \rightarrow B_i^{n,\pm}$ is defined by the mapping

$$(x^1, \dots, x^n) \mapsto \left(x^1, \dots, x^i \mp \sqrt{1 - (x^1)^2 - \dots - \widehat{(x^i)^2} - \dots - (x^n)^2}, \dots, (x^n)^2 \right)$$

These define a smooth structure on $\overline{\mathbb{B}^n}$. Now, let $x \in \overline{\mathbb{B}^n}$ be contained in some chart (U_i^\pm, ϕ_i^\pm) . The image of x under inclusion is simply $\iota(x) = x$ and is certainly contained in the chart (\mathbb{R}, id) . Then, $\text{id} \circ \iota \circ (\phi_i^\pm)^{-1} = (\phi_i^\pm)^{-1}$ is smooth.

Q2-6. Let $P : \mathbb{R}^{n+1} \setminus \{0\} \rightarrow \mathbb{R}^{k+1} \setminus \{0\}$ be a smooth function, and suppose that for some $d \in \mathbb{Z}$, $P(\lambda x) = \lambda^d P(x)$ for all $\lambda \in \mathbb{R} \setminus \{0\}$ and $x \in \mathbb{R}^{n+1} \setminus \{0\}$. Show that the map $\tilde{P} : \mathbb{RP}^n \rightarrow \mathbb{RP}^k$ defined by $\tilde{P}([x]) = [P(x)]$ is well defined and smooth.

Proof:

$$\begin{array}{ccc} \mathbb{R}^{n+1} \setminus \{0\} & \xrightarrow{P} & \mathbb{R}^{k+1} \setminus \{0\} \\ \pi^n \downarrow & & \downarrow \pi^k \\ \mathbb{RP}^n & \xrightarrow{\tilde{P}} & \mathbb{RP}^k \end{array}$$

Well-defined:

If we have two points in n -Real Projective space $[x], [y] \in \mathbb{RP}^n$ such that $[x] = [y]$, then $x = \lambda y$ for some $\lambda \in \mathbb{R} \setminus \{0\}$. So,

$$P(x) = P(\lambda y) = \lambda^d P(y)$$

Then, under the action of the quotient map $\pi^k : \mathbb{R}^{k+1} \rightarrow \mathbb{RP}^k$, defined in the usual way, $P(x)$ and $P(y)$ get mapped to the same point i.e. $\pi^k(P(x)) = \pi^k(P(y)) = [P(x)]$. Therefore, the map $\tilde{P}(x)$ which has the action $[x] \mapsto [P(x)]$ is well defined.

Smoothness:

The quotient maps π^n, π^k are diffeomorphisms, as shown when we proved Real Projective Spaces are smooth manifolds in class meaning $(\pi^n)^{-1}, \pi^k$ are smooth, and P is smooth by hypothesis. Therefore, their composition $\pi^k \circ P \circ (\pi^n)^{-1} = \tilde{P}$ is smooth.

Q2-10. For any topological space M , let $C(M)$ denote the algebra of continuous functions $f : M \rightarrow \mathbb{R}$. Given a continuous map $F : M \rightarrow N$, define $F^* : C^\infty(N) \rightarrow C^\infty(M)$ by $F^*(f) := f \circ F$.

- (a) Show that F^* is a linear map.
- (b) Suppose M and N are smooth manifolds. Show that $F : M \rightarrow N$ is smooth if and only if $F^*(C^\infty(N)) \subseteq C^\infty(M)$.
- (c) Suppose $F : M \rightarrow N$ is a homeomorphism between smooth manifolds. Show that it is a diffeomorphism if and only if F^* restricts to an isomorphism from $C^\infty(N)$ to $C^\infty(M)$.

Proof:

- (a) The linearity of F^* follows from the distributivity of function composition, and associativity of scalar multiplication.

- For $x \in M$

$$\begin{aligned} F^*(f + g)(x) &= [(f + g) \circ F](x) \\ &= [(f \circ F) + (g \circ F)](x) \\ &= (f \circ F)(x) + (g \circ F)(x) \\ &= F^*(f)(x) + F^*(g)(x) \end{aligned}$$

$$\implies \boxed{F^*(f + g) = F^*(f) + F^*(g)}$$

- For $x \in M, a \in \mathbb{R}$

$$\begin{aligned} F^*(af)(x) &= ((af) \circ F)(x) \\ &= a(f \circ F)(x) \\ &= aF^*(f)(x) \end{aligned}$$

$$\implies \boxed{F^*(af) = aF^*(f)}$$

Thus, F^* is a linear map.

- (b) " \implies " Direction: Consider any $f \in C^\infty(N)$. By hypothesis, F is smooth, therefore their composition $F^*(f) := f \circ F$ is also smooth. Thus, $F^*(C^\infty(N)) \subseteq C^\infty(M)$.

" \Leftarrow " Direction: Suppose $F^*(C^\infty(N)) \subseteq C^\infty(M)$. Let \mathcal{B}_N be the atlas of coordinate balls (V, ψ) covering N^n , and let \mathcal{A}_M be an atlas of M^m . By the Extension Lemma for smooth functions, each ψ can be extended to a smooth function $\tilde{\psi} = (\tilde{\psi}^1(x), \dots, \tilde{\psi}^n(x)) : N \rightarrow \mathbb{R}^n$. Further, notice that each coordinate function is smooth i.e. $\tilde{\psi}^i(x) : N \rightarrow \mathbb{R} \in C^\infty(N)$

Now, for any $p \in M$ contained in chart (U, ϕ) such that $F(p)$ is contained in the chart (V, ψ) , we want to show the smoothness of the coordinate function $\psi \circ F \circ \phi^{-1}$ is smooth from $\phi(U \cap F^{-1}(V))$ to $\psi(V)$.

Note that $U \cap F^{-1}(V)$ is open in M since F is continuous. Now, since each $\tilde{\psi}^i$ is continuous, we have that $\tilde{\psi} \circ F = (\tilde{\psi}^1 \circ F, \dots, \tilde{\psi}^n \circ F)$ is smooth as each coordinate function $\tilde{\psi}^i \in F^*(C^\infty(N)) \subseteq C^\infty(M)$. Since $U \cap F^{-1}(V)$, the restriction $\tilde{\psi} \circ F|_{U \cap F^{-1}(V)}$ is also smooth. As a result, the coordinate representaton

$$\psi \circ F \circ \phi^{-1}|_{\phi(U \cap F^{-1}(V))} : \phi(U \cap F^{-1}(V)) \rightarrow \psi(V)$$

is smooth. So, we conclude that F is smooth.

(c) Suppose $F : M \rightarrow N$ is a homeomorphism.

" \Rightarrow " Direction: If F is additionally a diffeomorphism, then F, F^{-1} are smooth homeomorphisms between M and N . Applying the result from part (b) in each direction gives $F^*(C^\infty(N)) \subseteq C^\infty(M)$ and $(F^{-1})^*(C^\infty(M)) \subseteq C^\infty(N)$. Further, F is a homeomorphism so it's bijective meaning F^* must be injective. We conclude that $F^* : C^\infty(N) \rightarrow C^\infty(M)$ is a bijection.

From part (a), we know it is a linear map. Furthermore, for functions $f, g \in C^\infty(N)$ we have

$$F^*(fg) := (fg) \circ F = (f \circ F) \cdot (g \circ F) = F^*(f) \cdot F^*(g)$$

So, F^* respects the binary operation of pointwise-multiplication on the algebra.

Therefore, F^* is an algebra isomorphism between $C^\infty(N)$ and $C^\infty(M)$.

" \Leftarrow " Direction: Suppose $F^*(C^\infty(N)) \cong C^\infty(M)$. Then, $F^*(C^\infty(N)) \subseteq C^\infty(M)$ and $F^*(C^\infty(M)) \subseteq C^\infty(N)$ combined with the result from part (b) show that F and F^{-1} are smooth maps. Therefore, F is a diffeomorphism.

Q2-11. Suppose that V is a real vector space of dimension $n \geq 1$. Define the **projectivization** of V , denoted by $\mathbb{P}(V)$, to be the set of 1-D linear subspaces of V with the quotient topology induced by $\pi : V \setminus \{0\} \rightarrow \mathbb{P}(V)$ that sends $v \in V \setminus \{0\}$ to its span. Show that $\mathbb{P}(V)$ is an $(n-1)$ -topological manifold, and has a unique smooth structure with the property that for each basis (E_1, \dots, E_n) for V , the map $E : \mathbb{RP}^{n-1} \rightarrow \mathbb{P}(V)$ defined by $E[v^1, \dots, v^n] = [v^i E_i]$ is a diffeomorphism.

Proof: Rather than proving that $\mathbb{P}(V)$ has each of the three properties required of Topological Manifolds, let's instead show that the projectivization $\mathbb{P}(V)$ is homeomorphic to Real Projective space \mathbb{RP}^n .

Consider two n -dimensional vector spaces V, W with the standard topologies induced by their norms and let $T : V \rightarrow W$ be a linear transformation between them. Let $\pi^V : V \setminus \{0\} \rightarrow \mathbb{P}(V)$ and $\pi^W : W \setminus \{0\} \rightarrow \mathbb{P}(W)$ be the natural projections and let $\tilde{T} : \mathbb{P}(V) \rightarrow \mathbb{P}(W)$ be the linear transformation between them defined as

$$[v] \mapsto [T(v)]$$

We show that \tilde{T} is a homeomorphism.

The map is well defined as for $[u], [v] \in \mathbb{P}(V)$ which are equal, we have

$$\begin{aligned} [u]_{\mathbb{P}(\mathbb{P}(W))} &= [v]_{\mathbb{P}(\mathbb{P}(W))} \\ \implies u &= \lambda v, \lambda \in \mathbb{R} \setminus \{0\} \\ \implies T(u) &= T(\lambda v) = \lambda T(v) \\ \implies \pi^W(T(u)) &= \pi^W(\lambda T(v)) \\ \implies [T(u)]_{\mathbb{P}(W)} &= [T(v)]_{\mathbb{P}(W)} \end{aligned}$$

\tilde{T} is bijective

Injectivity: Suppose $[u]_{\mathbb{P}(\mathbb{P}(W))} \neq [v]_{\mathbb{P}(\mathbb{P}(W))} \in \mathbb{P}(V)$. Then,

$$\begin{aligned} [u]_{\mathbb{P}(\mathbb{P}(W))} &\neq [v]_{\mathbb{P}(\mathbb{P}(W))} \\ \implies u &\neq \lambda v, \lambda \in \mathbb{R} \setminus \{0\} \\ \implies T(u) &\neq T(\lambda v) = \lambda T(v) \\ \implies \pi^W(T(u)) &\neq \pi^W(\lambda T(v)) \\ \implies [T(u)]_{\mathbb{P}(W)} &\neq [T(v)]_{\mathbb{P}(W)} \end{aligned}$$

Surjectivity: We know that T and the two natural projections are all surjective maps. So for any $[w] \in \mathbb{P}(W)$, there exists an element $[v] = (\pi^V \circ T \circ (\pi^W)^{-1})([w])$ such that $\tilde{T}([v]) = [w]$.

\tilde{T} is continuous For any open set $Y \subseteq \mathbb{P}(W)$, the preimage \tilde{Y} is continuous if and only if $(\pi^W)^{-1}(\tilde{T}^{-1}(Y)) = (\tilde{T} \circ \pi^W)^{-1}$ is continuous (By the characteristic property). But $\tilde{T} \circ \pi^W = \pi^V \circ T$, which is continuous. Therefore, the map \tilde{T} is continuous. Exactly the same argument works for \tilde{T}^{-1} because T^{-1} is also continuous.

$$\begin{array}{ccc} V & \xrightarrow{T} & W \\ \pi^V \downarrow & & \downarrow \pi^W \\ \mathbb{P}(V) & \xrightarrow{\tilde{T}} & \mathbb{P}(W) \end{array}$$

This shows that \tilde{T} is a homeomorphism. Since P is homeomorphic to \mathbb{R}^n , taking via any linear map which sends the basis of V to the standard basis of \mathbb{R}^n , setting $W = \mathbb{R}^n$ gives us that

$$\mathbb{P}(V) \cong_h \mathbb{P}(\mathbb{R}^n) = \mathbb{RP}^{n-1}$$

Since, \mathbb{RP}^n is an $(n-1)$ -dimension topological manifold, so is $\mathbb{P}(V)$.

Now, let (E_1, \dots, E_n) be a basis for V . To show the map $E : \mathbb{RP}^{n-1} \rightarrow \mathbb{P}(V)$ defined by

$$E([v^1, \dots, v^n]) = [v^i E_i]$$

is a diffeomorphism, we must show it is a smooth homeomorphism with smooth inverse.

If we define a linear transformation $T : \mathbb{R}^n \rightarrow V$ as

$$(v^1, \dots, v^n) \mapsto v^i E_i$$

Then, the map $\tilde{T} : \mathbb{RP}^{n-1} \rightarrow \mathbb{P}(V)$, $[v] \mapsto [T(v)]$ is exactly our map E . So, it follows from the work we did earlier that E is a homeomorphism.

Now, the coordinate representation of E is

$$(\phi_i \circ \tilde{T}^{-1}) \circ \phi_j^{-1}(x^1, \dots, x^{n-1}) = \phi_i [T^{-1} \circ F(x^1, \dots, x^{j-1}, 1, x^{i+1}, \dots, x^{n-1})]$$

where $F : \mathbb{R}^n \rightarrow V$ is defined by $F(v^1, \dots, v^n) = v^i E_i$. Now, $T^{-1} \circ F$ is an invertible linear map from $\mathbb{R}^n \rightarrow \mathbb{R}^n$ so it is a diffeomorphism. Now, ϕ_i and its inverse π_i are also smooth. Therefore, the map is smooth.

The coordinate representation of E^{-1} is

$$\phi_i \circ E^{-1} \circ (\phi_j \circ \tilde{T}^{-1})^{-1}(x^1, \dots, x^{n-1}) = \phi_i[F T^{-1}(x^1, \dots, x^{j-1}), 1, x^{j+1}, \dots, x^{n-1}]$$

So, E^{-1} is also smooth.

Q2-14. Suppose that A and B are two disjoint closed subsets of a smooth manifold M . Show that there exists a smooth function $f \in C^\infty(M)$ such that $f^{-1}(0) = A$ and $f^{-1}(1) = B$.

Proof: This follows from the following theorem:

Level sets of Smooth Functions: (Theorem 2.29 in LeeSM) Let M be a smooth manifold. If K is any closed subset of M , then there is a smooth non-negative function $f : M \rightarrow \mathbb{R}$ such that $f^{-1}(0) = K$.

So, there exist smooth functions $f, g : M \rightarrow [0, \infty)$ such that $f^{-1}(0) = A$ and $g^{-1}(0) = B$. Then, consider the function

$$F := \frac{f}{f + g}$$

Then, $F = 0$ if and only if $f = 0$, so $F^{-1}(0) = f^{-1}(0) = A$, and $F = 1$ if and only if $f = f + g$, which occurs for $g = 0$, so $F^{-1}(1) = g^{-1}(0) = B$.