

Math H185 Lecture (not sure)

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April 8, 2024

These are notes taken from lectures on Complex Analysis delivered by Professor Tony Feng for UC Berkeley's Math H185 class in the Spring 2024 semester.

Contents

1	April 8 - The Argument Principle	2
1.1	Some Consequences the Argument Principle	2

1 April 8 - The Argument Principle

To start, let's recall a lemma from the homework.

Lemma: Let f be meromorphic at z_0 with zero of order $n \in \mathbb{Z}$. Then, f'/f has a simple pole at z_0 if $n \neq 0$ or a removable pole at z_0 if $n = 0$ with residue n .

Proof: (outline) By the structure theorem,

$$f(z) = (z - z_0)^n h(z)$$

where $h(z)$ is holomorphic and non-vanishing at z_0 . Then,

$$\begin{aligned} \frac{f'}{f} &= \frac{n(z - z_0)^{n-1} h(z) + h'(z)(z - z_0)^n}{(z - z_0)^n h(z)} \\ &= \frac{n}{z - z_0} + \underbrace{\frac{h'(z)}{h(z)}}_{\text{holomorphic}} \end{aligned}$$

The Argument Principle arises from combining this observation with the Residue theorem.

Theorem: (The Argument Principle) Let f be meromorphic on a neighborhood \bar{U} . Then,

$$\frac{1}{2\pi i} \int_{\partial U} \frac{f'(z)}{f(z)} dz = \begin{array}{l} \# \text{ of zeroes of } f \text{ in } U \\ - \# \text{ of poles of } f \text{ in } U \end{array}$$

where the zeroes and poles are counted *with* multiplicity.

Proof: Using the Residue Theorem,

$$\frac{1}{2\pi i} \int_{\partial U} \frac{f'(z)}{f(z)} dz = \sum_{z_j} \text{Res}_{z_j} \left(\frac{f'(z)}{f(z)} \right)$$

where the z_j 's are zeros/poles of f . By the lemma above, $\text{Res}_{z_j} \left(\frac{f'(z)}{f(z)} \right)$ is just the order of each zero (or "minus" the order if it is a pole). So, we have arrived at the desired result.

1.1 Some Consequences the Argument Principle

Rouche's Theorem: Suppose f, g are holomorphic on a neighborhood of $\bar{U} \subseteq \mathbb{C}$. Assume that $|f(z)| > |g(z)|$ for all $z \in \partial U$. Then, the number of zeroes of f in U equals the number of zeroes of $f + g$ in U .

Proof:

Let $f_\lambda = f + \lambda g$ for $\lambda \in [0, 1]$.

- f_λ is holomorphic around \bar{U} .
- $f_0 = f$, $f_1 = f + g$.

By the argument principle,

$$\# \text{ of zeroes of } f_\lambda = \frac{1}{2\pi i} \int_{\partial U} \frac{f'_\lambda(z)}{f_\lambda(z)} dz$$

The RHS is continuous in λ (why?) and is never zero on the boundary because $|f| > |g|$ on ∂U . The RHS is a continuous function, whereas the LHS is just an integer. So, if the RHS to be a continuous function $[0, 1] \rightarrow \mathbb{Z}$ then it must be a constant function.

Cor: A polynomial of the form

$$P(z) = z^n + a_{n-1}z^{n-1} + \cdots + a_0, \quad n > 0$$

has n roots (with multiplicity).

Proof: Let $f(z) = z^n$ and $g(z) = a_{n-1}z^{n-1} + \cdots + a_0$. Then, $P(z) = f(z) + g(z)$. Let's try to apply Rouché's Theorem. In order to do so let's choose $R > 0$ such that for all $r \geq R$,

$$\begin{aligned} r^n &> |a_{n-1}|r^{n-1} + \cdots + a_0 \\ \implies |f| &> |g| \text{ on } \partial B_r(0) \forall r \geq R \end{aligned}$$

Then, Rouché's Theorem tells us that $n = \#$ of zeros(f) in $B_r(0) = \#$ of zeros(P) in $B_r(0)$.

Another consequence of Rouché's Theorem is the Open Mapping Theorem.

Open maps

Definition: A map $f : U \rightarrow V$ between topological spaces U, V is said to be **open** if $f(\text{Open set in } U)$ is open in V .

Ex: $V = \mathbb{C}$ and $f = \text{constant}$ is an open map.

Ex: [Insert image from lecture.] Idea is that any map that sends an open interval to a single point is *not* open.

Theorem: Let $f : U \rightarrow \mathbb{C}$ be nonconstant and holomorphic. If U is connected, then f is open.

Proof: Let $z_0 \in U$. It suffices to show that \forall sufficiently small $\epsilon > 0$, $f(B_\epsilon(z_0))$ is open. Moreover it suffices to show that $f(B_\epsilon(z_0))$ contains $B_r(w_0)$ for some $r > 0$ where $w_0 = f(z_0)$.

[Insert image from lecture]

i.e. we want: $\forall w \in B_r(w_0), \exists z \in B_\epsilon(z_0)$ such that $f(z) = w$, i.e. $f(z) - w = 0$.

"Aha! A zero counting problem" - Tony.

Now, since $f(z_0) = w_0 \neq w$ we can pick ϵ small enough so that $f(z) \neq w_0$ on $\partial B_\epsilon(z_0)$.

$$\begin{aligned} \implies |f(z) - w_0| &> \delta > 0 \quad \forall z \in \partial B_\epsilon(z_0) \\ \implies |f(z) - w| &> \frac{\delta}{2} > 0 \quad \forall w \in \partial B_{\delta/2}(w_0) \end{aligned}$$

Take $r = \delta/2$ Then,

$$\begin{aligned} \implies |f_w(z_0)| &> 0 \quad \forall z \in \partial B_\epsilon(z_0) \\ \implies \frac{f'_w(z)}{f_w(z)} &\text{ is continuous in } z \in \partial B_\epsilon(z_0) \text{ i.e. } B_r(w_0) \\ \# \text{ zeroes of } f_w &= \frac{1}{2\pi i} \int_{\partial B_\epsilon(z_0)} \frac{f'_w(z)}{f_w(z)} dz \text{ is continuous in } w \end{aligned}$$

Then, by the same reasoning as Rouché's Theorem, both sides are constant > 0 .