

# Math H185 Lecture 34

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# 1 Normal Families

## Normal Families

A family of functions  $\mathcal{F}$  on  $U \subseteq \mathbb{C}$  is said to be **normal** if for all sequences  $f_1, f_2, f_3, \dots \in \mathcal{F}$ , there exists a convergent subsequence.

This definition expresses that a family of functions is compact in a sense.

**Theorem (Arzela-Ascoli):** If  $\mathcal{F}$  is Uniformly bounded and Equicontinuous on all compact subsets, then it is normal.

- Here, **uniformly bounded** on a (compact) subset  $K \subseteq U$  means there exists  $B$  such that  $|f(z)| < B$  for all  $f \in \mathcal{F}$  and  $z \in K$ . i.e. the same bound  $B$  applies to *all* functions in the family.

Ex: Each function of the form  $f_n(z) = n$  is bounded, but the family  $\{f_n\}$  is not uniformly bounded.

- A function being **Equicontinuous** on  $K$  means that for all  $\epsilon > 0$ , there exists  $\delta > 0$  such that if  $|z_1 - z_2| < \delta$  then  $|f(z_1) - f(z_2)| < \epsilon$  for all  $z_1, z_2 \in K$ .

Ex: Suppose  $\mathcal{F}$  is a family of functions on  $[0, 1]$ . If  $\{f'(z)\}_{f \in \mathcal{F}}$  is uniformly bounded, then  $\mathcal{F}$  is equicontinuous.

Ex:  $f_n(x) = x^n$  on  $[0, 1]$  is *not* equicontinuous. We can see this by letting  $x_1 = 1, x_2 = 1 - \delta$ . Then,

$$|f_n(x_1) - f_n(x_2)|$$

[Complete this example later]

To prove the Arzela-Ascoli Theorem, the key idea we'll use is *diagonalization* (to arrange countably many conditions).

**Principle of Diagonalization:** Given countably many conditions on a sequence  $\text{cond}_1, \text{cond}_2, \text{cond}_3, \dots$  which are inherited on subsequences and sequence  $f_1, f_2, f_3, \dots$  such that for all  $j$  any subsequence has a further subsequence which condition  $\text{cond}_j$ . Then, there exists a subsequence  $f_1^{(\infty)}, f_2^{(\infty)}, \dots$  satisfying all  $\text{cond}_j$ .

**Proof-ish:** Suppose we have

$$\begin{array}{ccccccc} f_1^{(1)} & f_2^{(1)} & f_3^{(1)} & \cdots & \text{satisfying } \text{cond}_1 \\ f_1^{(2)} & f_2^{(2)} & f_3^{(2)} & \cdots & \text{satisfying } \text{cond}_2 \\ f_1^{(3)} & f_2^{(3)} & f_3^{(3)} & \cdots & \text{satisfying } \text{cond}_3 \\ \vdots & \vdots & \vdots & \ddots & \end{array}$$

Then, taking the diagonal gives us a subsequence of  $\mathcal{F}$  satisfying all conditions  $\text{cond}_j$ .

[Write the rest from recording]