

Physics 137B Lecture 4

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January 26, 2024

These are notes taken from lectures on Quantum Mechanics delivered by Professor Raúl A. Briceño for UC Berkeley's Physics 137B class in the Spring 2024 semester.

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1 January 24 - Introduction to Perturbation Theory

In 137A, we could solve $\hat{H}|n\rangle = E_n|n\rangle$ exactly and obtain the stationary states, then build the general solution as

$$|\psi(t=0)\rangle = \sum_n c_n |n\rangle$$

and

$$|\psi(t)\rangle = \sum_n c_n e^{-iE_n t/\hbar} |n\rangle$$

This was possible because we were studying systems with relatively simple/convenient Hamiltonians, but *most* situations that we want to study aren't so simple.

Where can we apply P.T.?

- Perturbation Theory allows us to study Hamiltonians of the form

$$\hat{H} = \hat{H}_0 + \lambda \hat{H}'$$

where \hat{H}_0 is a Hamiltonian we can solve

$$\hat{H}_0 |n^{(0)}\rangle = E_n^{(0)} |n^{(0)}\rangle$$

and $\lambda \in (0, 1]$.

- The idea is to parametrize our solutions in terms of λ and find the $\lambda \rightarrow 1$ solution.

Why avoid $\lambda = 0$?

We can think of PT as doing

$$\begin{aligned}\hat{H} &= \hat{H}_0 + \hat{H}_1 \\ &= \hat{H}_0 + \lambda \left(\frac{\hat{H}_1}{\lambda} \right) \\ &= \hat{H}_0 + \lambda \hat{H}'\end{aligned}$$

So, $\lambda = 0$ would be a problem.

1.1 Time Independent Perturbation Theory

We want to solve the Hamiltonian

$$\hat{H}|n\rangle = (\hat{H}_0 + \lambda \hat{H}')|n\rangle = E_n|n\rangle \quad (1)$$

We assume that our solutions can be parametrized as functions of λ . Then, we Taylor expand them as

$$\begin{aligned}|n\rangle &= \sum_{j=0}^{\infty} \lambda^j |n^{(j)}\rangle \\ E_n &= \sum_{j=0}^{\infty} E_n^{(j)} \lambda^j\end{aligned}$$

Now, if we bring the RHS of equation (1) to the left, we have

$$\begin{aligned}
0 &= \left(\hat{H}_0 + \lambda \hat{H}' - E_n \right) |n\rangle \\
&= \left(\hat{H}_0 + \lambda \hat{H}' - \sum_{j=0}^{\infty} E_n^{(j)} \lambda^j \right) \left(\sum_{k=0}^{\infty} \lambda^k |n^{(k)}\rangle \right) \\
&= \left(\hat{H}_0 + \lambda \hat{H}' - (E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots) \right) \left(|n\rangle^{(0)} + \lambda |n\rangle^{(1)} + \lambda^2 |n\rangle^{(2)} + \dots \right)
\end{aligned}$$

Now, let's see what happens when we truncate the resulting sum at different powers of λ :

$$\begin{aligned}
\mathcal{O}(\lambda^0) : & \left(\hat{H}_0 - E_n^{(0)} \right) |n^{(0)}\rangle = 0 \quad \left[\langle k^{(0)} | n^{(0)} \rangle = \delta_{kn} \right] \\
\mathcal{O}(\lambda^1) : & \lambda \left(\left(\hat{H}' - E_n^{(1)} \right) |n^{(0)}\rangle + \left(\hat{H}_0 - E_n^{(0)} \right) |n^{(1)}\rangle \right)
\end{aligned}$$

In the $\mathcal{O}(1)$ series, we don't know what $E_n^{(1)}$ is. To obtain this correction, we simply **act** $\langle n^{(0)} |$ **on the equation**:

$$\begin{aligned}
0 &= \langle n^{(0)} | \left(\hat{H}' - E_n^{(1)} \right) |n^{(0)}\rangle + \underbrace{\langle n^{(0)} | \left(\hat{H}_0^{(0)} - E_n^{(0)} \right) |n^{(1)}\rangle}_{=0, \text{ since } E_n^{(0)} \langle n^{(0)} | - E_n^{(0)} \langle n^{(0)} | = 0} \\
&= \langle n^{(0)} | \hat{H}' |n^{(0)}\rangle - E_n^{(1)} \underbrace{\langle n^{(0)} | n^{(0)} \rangle}_1 \\
&\implies \boxed{E_n^{(1)} = \langle n^{(0)} | \hat{H}' |n^{(0)}\rangle}
\end{aligned}$$

This gives us the leading order Eigenenergy correction! But we still need to find actual states. So, next, we need to solve for $|n^{(1)}\rangle$.

So far we've been studying Non-degenerate Perturbation Theory. This only applies for Hamiltonians with no degeneracies i.e. Hamiltonians for which

$$E_n^{(0)} = E_k^{(0)} \iff n = k$$

We can solve for $|n\rangle^{(0)}$ in terms of the non-perturbative stationary states $|k^{(0)}\rangle$ as

$$\begin{aligned}
|n^{(1)}\rangle &= \sum_k c_{nk}^{(1)} |k^{(0)}\rangle \\
&= c_{nn}^{(1)} |n^{(0)}\rangle + \sum_{k \neq n} c_{nk}^{(1)} |k^{(0)}\rangle
\end{aligned}$$

Note that $|n\rangle$ is not yet normalized, so for now we can assume $|n^{(\lambda)}\rangle$ is some arbitrary linear combination of the $\{|n^{(0)}\rangle, |n^{(1)}\rangle, \dots\}$ and worry about the norm *later*.

So,

$$\begin{aligned}
|n^{(\lambda)}\rangle &= |n^{(0)}\rangle + \lambda |n^{(1)}\rangle + \dots \\
&= \underbrace{\left(1 + \lambda c_{nn}^{(0)} \right)}_A \left(|n^{(0)}\rangle + \underbrace{\left(\frac{\lambda}{A} \right) \sum_{k \neq n} c_{nk}^{(1)} |k^{(0)}\rangle}_{\lambda^1} + \dots \right)
\end{aligned}$$

Let

$$|n^{(1)}\rangle = \sum_{k \neq n} c_{nk}^{(1)} |k^{(0)}\rangle$$

Our current goal, then, is to find $c_{nk}^{(1)}$.
Then,

$$\begin{aligned} 0 &= \left(\hat{H}_0 - E_n^{(0)} \right) |n^{(1)}\rangle + \left(\hat{H}' + E_n^{(1)} \right) |n^{(0)}\rangle \\ &= \left(\hat{H}_0 - E_n^{(0)} \right) \sum_{k \neq n} c_{nk}^{(1)} |k^{(0)}\rangle + \left(\hat{H}' - E_n^{(1)} \right) |n^{(0)}\rangle \\ &= \sum_{k \neq n} c_{nk}^{(1)} \left(E_k^{(0)} - E_n^{(0)} \right) |k^{(0)}\rangle + \left(\hat{H}' - E_n^{(1)} \right) |n^{(0)}\rangle \end{aligned}$$

Now, to extract $|n^{(0)}\rangle$, we act using another stationary state $|l^{(0)}\rangle$ where $l \neq n$.

$$\Rightarrow \sum_{k \neq n} c_{nk}^{(1)} \left(E_k^{(0)} - E_n^{(0)} \right) \underbrace{\langle l^{(0)} | k^{(0)} \rangle}_{\delta_{lk}} + \langle l^{(0)} | \hat{H}' | n^{(0)} \rangle - E_n^{(1)} \underbrace{\langle l^{(0)} | n^{(0)} \rangle}_0 = 0$$

[Lecture ended, so stopped abruptly. Pick up from here in lec 5 notes.]