

# Physics 137B Homework 1

Keshav Balwant Deoskar

January 29, 2024

**Q1. Rotations about the z-axis:**

- (a) Let  $\hat{R}_z(\delta)$  be the operator that rotates a function about the  $z$ -axis by an angle  $\delta$ . It is defined by

$$\hat{R}_z(\delta)\psi(r, \theta, \phi) = \psi'(r, \theta, \phi) = \psi(r, \theta, \phi - \delta) \quad (1)$$

For an infinitesimal value of  $\delta$ , show that

$$\hat{R}_z(\delta) \approx 1 - \frac{i\delta}{\hbar} \hat{L}_z$$

where  $\hat{L}_z$  is the angular momentum operator about the  $z$ -axis.

- (b) Using the Taylor expansion for the right hand side of Equation (1), show that in general the operator  $\hat{R}_z(\delta)$  is given by

$$\hat{R}_z(\delta) = \exp \left[ -\frac{i\delta}{\hbar} \hat{L}_z \right]$$

- (c) Consider a small value of  $\delta$ , and evaluate the action of  $\hat{R}_z(\delta)$  on the position 3D vector

$$\vec{r} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Draw a picture depicting this transformation and reconcile it with your result for  $\hat{R}_z(\delta)\vec{r}$ .

- (d) We can readily write down the rotation operator for spin-1/2 particles, by replacing  $\hat{L}_z$  with  $\hat{S}_z = \frac{\hbar}{2}\sigma_z$  where

$$\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

is the Pauli matrix. With this, we find the rotation operator for a spin-1/2 particle to be

$$\hat{R}_{z,1/2}(\delta) = \exp \left[ -\frac{i\delta}{2} \sigma_z \right]$$

Using the Taylor series of this operator and the fact  $\sigma_z^2 = 1$ , write  $\hat{R}_{z,1/2}(\delta)$  explicitly as a two by two matrix. [Note: although you are using the Taylor series to arrive at your final expression, the final result should hold for any real value of  $\delta$ ]

- (e) Let  $\chi_+^{(z)}$  be an eigenvector of  $\sigma_z$  with eigenvalue +1. That is,  $\sigma_z \chi_+^{(z)} = \chi_+^{(z)}$ . Evaluate  $\hat{R}_z(\delta) \chi_+^{(z)}$ . Explain your result.

- (f) Find the normalized eigenvectors ( $\chi_{\pm}^{(z)}$ ) with eigenvalues  $\pm$  of the  $\sigma_y$  Pauli matrix

$$\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

- (g) Evaluate the action of the matrix you got above on the  $\chi_+^{(y)}$ , i.e.  $\hat{R}_z(\delta)(\chi_+^{(y)})$ . Explain your result.

**Solutions:**

(a) We define  $\hat{R}_z(\delta)$  as

$$\hat{R}_z(\delta)\psi(r, \theta, \phi) = \psi(r, \theta, \phi - \delta)$$

Taylor expanding in terms of  $\phi$ , we have

$$\psi(r, \theta, \phi - \delta) = \psi(r, \theta, \phi) - \delta \frac{\partial \psi}{\partial \phi} + \dots$$

and the Angular Momentum operator about the  $z$ -axis can be expressed as

$$\begin{aligned}\hat{L}_z &= -i\hbar \frac{\partial}{\partial \phi} \\ \implies \frac{\partial}{\partial \phi} &= \frac{i\hat{L}_z}{\hbar}\end{aligned}$$

Thus,

$$\psi(r, \theta, \phi - \delta) = \psi(r, \theta, \phi) - \frac{i\delta \hat{L}_z}{\hbar} \psi(r, \theta, \phi) + \dots$$

That is, to first order approximation, we have

$$\boxed{\hat{R}_z(\delta)\psi(r, \theta, \phi) = 1 - \frac{i\delta \hat{L}_z}{\hbar} \psi(r, \theta, \phi)}$$

(b) Using the Taylor expansion

$$\begin{aligned}\psi(r, \theta, \phi - \delta) &= \psi - \delta \frac{\partial \psi}{\partial \phi} + \frac{1}{2!} \frac{\partial^2 \psi}{\partial \phi^2} \delta^2 + \dots \\ \implies \hat{R}_z(\delta)\psi(r, \theta, \phi) &= \left(1 - \delta \frac{\partial}{\partial \phi} + \frac{1}{2!} \frac{\partial^2}{\partial \phi^2} \delta^2 + \dots\right) \psi(r, \theta, \phi) \\ \implies \hat{R}_z(\delta)\psi(r, \theta, \phi) &= \left(1 - \frac{i\delta}{\hbar} \hat{L}_z + \dots\right) \psi(r, \theta, \phi) \\ \implies \hat{R}_z(\delta) &= \sum_{n=0}^{\infty} \frac{1}{n!} \left(-\frac{i\delta}{\hbar} \hat{L}_z\right)^n\end{aligned}$$

Therefore,

$$\boxed{\hat{R}_z(\delta) = \exp\left[-\frac{i\delta}{\hbar} \hat{L}_z\right]}$$

(c) We consider an arbitrary 3D position vector

$$\vec{r} = \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} r \sin(\theta) \cos(\phi) \\ r \sin(\theta) \sin(\phi) \\ r \cos(\theta) \end{pmatrix}$$

Now, acting on this vector with  $\hat{R}_z(\delta)$  has the effect of carrying out the transformation  $\phi \rightarrow \phi - \delta$ , so

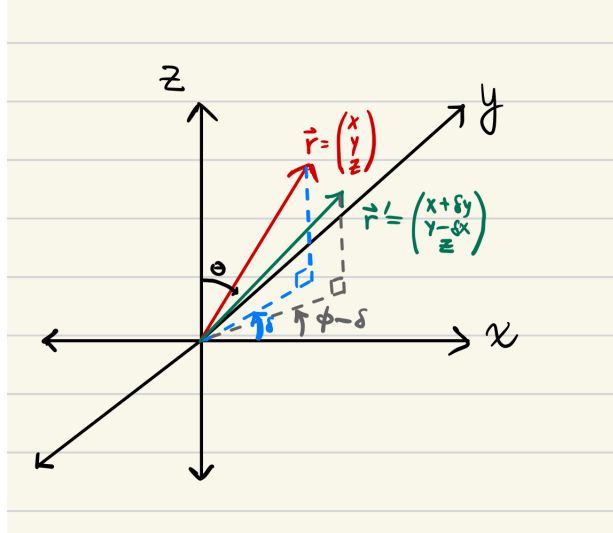
$$\begin{aligned}\vec{r}' &= \begin{pmatrix} r \sin(\theta) \cos(\phi - \delta) \\ r \sin(\theta) \sin(\phi - \delta) \\ r \cos(\theta) \end{pmatrix} \\ &= \begin{pmatrix} r \sin(\theta) [\cos(\phi) \cos(\delta) + \sin(\phi) \sin(\delta)] \\ r \sin(\theta) [\sin(\phi) \cos(\delta) - \cos(\phi) \sin(\delta)] \\ r \cos(\theta) \end{pmatrix}\end{aligned}$$

Since  $\delta$  is small,  $\cos(\delta) \approx 1$  and  $\sin(\delta) \approx \delta$ . So,

$$\begin{aligned} \vec{r}' &= \begin{pmatrix} r \sin(\theta) [\cos(\phi) \cdot 1 + \sin(\phi) \cdot \delta] \\ r \sin(\theta) [\sin(\phi) \cdot 1 - \cos(\phi) \cdot \delta] \\ r \cos(\theta) \end{pmatrix} \\ &= \begin{pmatrix} r \sin(\theta) \cos(\phi) + \delta \cdot r \sin(\theta) \cos(\phi) \\ r \sin(\theta) \sin(\phi) - \delta \cdot r \sin(\theta) \cos(\phi) \\ r \cos(\theta) \end{pmatrix} \\ &= \begin{pmatrix} x + \delta y \\ y - \delta x \\ z \end{pmatrix} \end{aligned}$$

Thus, applying the  $\hat{R}_z(\delta)$  operator with a small value of  $\delta$  has the effect of the transformation:

$$\begin{array}{ccc} x & & x + \delta y \\ y & \rightarrow & y - \delta x \\ z & & z \end{array}$$



(d) For a spin-1/2 particle, we have

$$\hat{R}_{z,1/2}(\delta) = \exp \left[ -\frac{i\delta}{2} \sigma_z \right]$$

We now want to explicitly find the matrix representation of this operator, using the Taylor series and the fact that  $\sigma_z^2 = \mathbb{1}$ .

Now,

$$\begin{aligned} \hat{R}_{z,1/2}(\delta) &= \exp \left[ -\frac{i\delta}{2} \sigma_z \right] \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \cdot \left( -\frac{i\delta}{2} \sigma_z \right)^n \end{aligned}$$

and

$$\begin{aligned}\sigma_z^2 = \mathbb{1} &\implies \sigma_z^{2k} = \mathbb{1} \\ \sigma_z^2 = \mathbb{1} &\implies \sigma_z^{2k+1} = \sigma_z\end{aligned}$$

So,

$$\begin{aligned}\hat{R}_{z,1/2}(\delta) &= \sum_{k \in \mathbb{N}} \left[ \frac{1}{(2k)!} \left( -\frac{i\delta}{2} \right)^{2k} \mathbb{1} \right] + \sum_{k \in \mathbb{N}} \left[ \frac{1}{(2k+1)!} \left( -\frac{i\delta}{2} \right)^{2k+1} \sigma_z \right] \\ &= \left[ \sum_{k \in \mathbb{N}} \frac{1}{(2k)!} \left( -\frac{i\delta}{2} \right)^{2k} \right] \mathbb{1} + \left[ \sum_{k \in \mathbb{N}} \frac{1}{(2k+1)!} \left( -\frac{i\delta}{2} \right)^{2k+1} \right] \sigma_z\end{aligned}$$

For the sake of convenience, let's denote the two sums as

$$\begin{aligned}A &\equiv \sum_{k \in \mathbb{N}} \frac{1}{(2k)!} \left( -\frac{i\delta}{2} \right)^{2k} \\ B &\equiv \sum_{k \in \mathbb{N}} \frac{1}{(2k+1)!} \left( -\frac{i\delta}{2} \right)^{2k+1}\end{aligned}$$

Recall that the matrix representations of  $\mathbb{1}$  and  $\sigma_z$  are

$$\begin{aligned}\mathbb{1} &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \\ \sigma_z &= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\end{aligned}$$

Then,

$$\begin{aligned}\hat{R}_{z,1/2}(\delta) &= A\mathbb{1} + B\sigma_z \\ &= \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix} + \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix} \\ &= \begin{pmatrix} A+B & 0 \\ 0 & A-B \end{pmatrix}\end{aligned}$$

But notice that

$$\begin{aligned}A+B &= \sum_{k \in \mathbb{N}} \frac{1}{k!} \left( -\frac{i\delta}{2} \right)^k \\ &= e^{-\frac{i\delta}{2}}\end{aligned}$$

and

$$\begin{aligned}A-B &= \sum_{k \in \mathbb{N}} \frac{1}{k!} \cdot (-1)^k \left( -\frac{i\delta}{2} \right)^k \\ &= \sum_{k \in \mathbb{N}} \frac{1}{k!} \cdot \left( -1 \times -\frac{i\delta}{2} \right)^k \\ &= \sum_{k \in \mathbb{N}} \frac{1}{k!} \left( \frac{i\delta}{2} \right)^k \\ &= e^{+\frac{i\delta}{2}}\end{aligned}$$

Therefore, the final expression we get for the rotation operator is

$$\hat{R}_z(\delta) = \begin{pmatrix} e^{-\frac{i\delta}{2}} & 0 \\ 0 & e^{+\frac{i\delta}{2}} \end{pmatrix}$$

(e) We let  $\chi_+^{(z)}$  be the eigenvector of  $\sigma_z$  having eigenvalue +1 i.e.  $\sigma_z \chi_+^{(z)} = \chi_+^{(z)}$ .

$$\begin{aligned} \sigma_z \chi_+^{(z)} &= \chi_+^{(z)} \\ \Rightarrow \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} &= \begin{pmatrix} a \\ b \end{pmatrix} \\ \Rightarrow \begin{cases} a = a \\ b = -b \end{cases} \end{aligned}$$

So,  $b = 0$  and  $a$  can be any real number (before normalization), but as per convention let's choose  $a = 1$ . So,

$$\chi_+^{(z)} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Applying the rotation operator then gives us

$$\begin{aligned} \hat{R}_z(\delta) \chi_+^{(z)} &= \begin{pmatrix} e^{-i\delta/2} & 0 \\ 0 & e^{+i\delta/2} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ &= \begin{pmatrix} e^{-i\delta/2} \\ 0 \end{pmatrix} \\ &= e^{-i\delta/2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \end{aligned}$$

Thus,

$$\hat{R}_{z,1/2}(\delta) \chi_+^{(z)} = e^{-i\delta/2} \chi_+^{(z)}$$

So, applying the rotation matrix does not change the physical system, which makes sense.

$$\begin{aligned} \sigma_z \left( \hat{R}_{z,1/2}(\delta) \chi_+^{(z)} \right) &= \sigma_z \left( e^{-i\delta/2} \chi_+^{(z)} \right) \\ &= e^{-i\delta/2} \left( \sigma_z \chi_+^{(z)} \right) \\ &= e^{-i\delta/2} \chi_+^{(z)} \\ &= \hat{R}_{z,1/2}(\delta) \chi_+^{(z)} \end{aligned}$$

So, the rotated spinor is *also* an eigenspinor of  $\sigma_z$  with eigenvalue +1. Intuitively this makes sense, because  $\chi_+^{(z)}$  was already completely "aligned" with the  $z$ -axis, so rotation about the  $z$ -axis should make no observable difference.

(f) We want to find the normalized eigenvectors ( $\chi_{\pm}^{(y)}$ ) with eigenvalue  $\pm 1$  of the  $\sigma_y$  Pauli Matrix,

$$\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

Let  $\chi = \begin{pmatrix} a \\ b \end{pmatrix}$  be an eigenvector of  $\sigma_y$  with eigenvalue  $\pm 1$ . Then,

$$\begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \pm \begin{pmatrix} a \\ b \end{pmatrix} \\ \Rightarrow \begin{pmatrix} -ib \\ ia \end{pmatrix} = \pm \begin{pmatrix} a \\ b \end{pmatrix}$$

For the +1 case:

$$\begin{aligned} -ib &= a \\ ia &= b \end{aligned}$$

$$\begin{aligned} \Rightarrow -i(ia) &= a \\ \Rightarrow \boxed{a = a} \end{aligned}$$

and

$$\begin{aligned} b &= -\frac{1}{i}a \\ \Rightarrow \boxed{b = ia} \end{aligned}$$

i.e we can arbitrarily choose  $a$ , get  $b$  from  $b = ia$ , and then normalize the spinor as  $\chi^\dagger \chi = 1$ .

We found that the (+1)-eigenspinor has the form  $\chi_+^{(y)} = \begin{pmatrix} a \\ ia \end{pmatrix}$ .

$$\begin{aligned} (\chi_+^{(y)})^\dagger \chi_+^{(y)} &= 1 \\ \Rightarrow (a \quad -ia) \begin{pmatrix} a \\ ia \end{pmatrix} &= 1 \\ \Rightarrow a^2 + a^2 &= 1 \\ \Rightarrow a^2 &= \frac{1}{2} \\ \Rightarrow \boxed{a = \frac{1}{\sqrt{2}}} \end{aligned}$$

So, the +1 eigenspinor is

$$\boxed{\chi_+^{(y)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}}$$

For the -1 case:

$$\begin{aligned} -ib &= -a \\ ia &= -b \end{aligned}$$

$$\begin{aligned} \Rightarrow i(-ia) &= a \\ \Rightarrow \boxed{a = a} \end{aligned}$$

and

$$\boxed{b = -ia}$$

So, following the same argument as before,  $(\chi_-^{(y)})^\dagger \chi_-^{(y)} = 1$  gives

$$\begin{aligned} (a \quad ia) \begin{pmatrix} a \\ -ia \end{pmatrix} &= 1 \\ \implies a^2 + a^2 &= 1 \\ \implies a &= \frac{1}{\sqrt{2}} \end{aligned}$$

So, the  $(-1)$ -eigenspinor is

$$\chi_-^{(y)} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}$$

To summarise, the normalized eigenspinors of the  $\sigma_z$  Pauli matrix are  $\chi_+^{(y)}$  and  $\chi_-^{(y)}$  given by

$$\begin{aligned} \chi_+^{(y)} &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix} \\ \chi_-^{(y)} &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} \end{aligned}$$

(g) Now, let's evaluate the rotation matrix on  $\chi_+^{(y)}$ .

$$\begin{aligned} \hat{R}_z(\delta) \chi_+^{(y)} &= \begin{pmatrix} e^{-i\delta/2} & 0 \\ 0 & e^{+i\delta/2} \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\delta/2} \cdot 1 + 0 \cdot i \\ 0 \cdot 1 + e^{+i\delta/2} \cdot i \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\delta/2} \\ ie^{+i\delta/2} \end{pmatrix} \end{aligned}$$

Is this rotated spinor still an eigenspinor of  $\sigma_y$ ?

$$\begin{aligned} \sigma_y \left( \hat{R}_z(\delta) \chi_+^{(y)} \right) &= \sigma_y \left( \frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\delta/2} \\ ie^{+i\delta/2} \end{pmatrix} \right) \\ &= \frac{1}{\sqrt{2}} \sigma_y \begin{pmatrix} e^{-i\delta/2} \\ ie^{+i\delta/2} \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} e^{-i\delta/2} \\ ie^{+i\delta/2} \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \cdot e^{-i\delta/2} - i \cdot ie^{+i\delta/2} \\ ie^{-i\delta/2} + 0 \cdot e^{+i\delta/2} \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} \begin{pmatrix} e^{+i\delta/2} \\ ie^{-i\delta/2} \end{pmatrix} \\ &= \frac{1}{\sqrt{2}} e^{+i\delta} \begin{pmatrix} e^{-i\delta/2} \\ ie^{-3i\delta/2} \end{pmatrix} \end{aligned}$$

So,  $\left( \hat{R}_z(\delta) \chi_+^{(y)} \right)$  is not an eigenspinor of  $\sigma_y$ . Intuitively, this makes sense since rotating the eigenspinor  $\chi_+^{(y)}$  (which was originally "completely oriented in the y-direction" in some sense) about the  $z$ -axis would seem to introduce some  $x$ -axis alignment.





**Q2. Unitary Operators:** We have now seen two examples of continuous unitary transformations that are of the form  $\hat{U}(\delta) = \exp(-i\hat{M}\delta)$  where  $\hat{M}$  is hermitian. Prove that any operator of this form is unitary as long as  $\hat{M}$  is hermitian.

**Proof:** Let  $\hat{M}$  be a Hermitian operator i.e.  $\hat{M} = \hat{M}^\dagger$ , and consider the operator

$$\hat{U}(\delta) = \exp(-i\hat{M}\delta)$$

We want to show that  $\hat{U}$  is unitary i.e.

$$\hat{U}(\delta)^\dagger \hat{U}(\delta) = \mathbb{1}$$

What is  $\hat{U}^\dagger$ ?

$$\begin{aligned} \hat{U}^\dagger &= \exp(-i\hat{M}\delta) \\ &= \left[ \sum_{n=0}^{\infty} \frac{1}{n!} (-i\hat{M}\delta)^n \right]^\dagger \\ &= \sum_{n=0}^{\infty} \left[ \frac{1}{n!} (-i\hat{M}\delta)^n \right]^\dagger \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \delta^n [(-i\hat{M})^n]^\dagger \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \delta^n (\hat{M}^n)^\dagger [(-i)^n]^\dagger \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \delta^n \hat{M}^n [(-i)^n]^\dagger \end{aligned}$$

Let's break into cases and see what  $[(-i)^n]^\dagger = [(-1)^n(i)^n]^\dagger$  evaluates to in each case.

For  $n = 4k + 0, k \in \mathbb{Z}$ :

$$\begin{aligned} (-1)^n \cdot i^n &= 1 \cdot 1 \\ \implies [(-i)^n]^\dagger &= 1^\dagger = 1 \end{aligned}$$

For  $n = 4k + 1, k \in \mathbb{Z}$ :

$$\begin{aligned} (-1)^n \cdot i^n &= -1 \cdot i \\ \implies [(-i)^n]^\dagger &= (-i)^\dagger = i \end{aligned}$$

For  $n = 4k + 2, k \in \mathbb{Z}$ :

$$\begin{aligned} (-1)^n \cdot i^n &= 1 \cdot (-1) \\ \implies [(-i)^n]^\dagger &= (-1)^\dagger = -1 \end{aligned}$$

For  $n = 4k + 3, k \in \mathbb{Z}$ :

$$\begin{aligned} (-1)^n \cdot i^n &= (-1) \cdot (-i) \\ \implies [(-i)^n]^\dagger &= i^\dagger = -i \end{aligned}$$

We notice that these coincide exactly with the powers of  $i$ , so

$$\begin{aligned}\hat{U}^\dagger &= \sum_{n=0}^{\infty} \frac{1}{n!} \delta^n \hat{M}^n i^n \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} (i\hat{M}\delta)^n \\ &= \exp(+i\hat{M}\delta)\end{aligned}$$

So, if  $\hat{U} = \exp(-i\hat{M}\delta)$  then  $\hat{U}^\dagger = \exp(+i\hat{M}\delta)$ .

To show that  $\hat{U}^\dagger \hat{U} = \mathbb{1}$ , we use the following well-known identity:

For linear operators  $\hat{A}$  and  $\hat{B}$ ,

$$e^{\hat{A}} \hat{B} e^{-\hat{A}} = \mathbb{1} + [\hat{A}, \hat{B}] + \frac{1}{2!} [\hat{A}, [\hat{A}, \hat{B}]] + \dots$$

Proof Sketch: Through some brute force, we can show

$$\begin{aligned}e^{\hat{A}} \hat{B} e^{-\hat{A}} &= \left( \mathbb{1} + \hat{A} + \frac{1}{2!} \hat{A}^2 + \frac{1}{3!} \hat{A}^3 + \dots \right) \hat{B} \left( \mathbb{1} - \hat{A} + \frac{1}{2!} \hat{A}^2 - \frac{1}{3!} \hat{A}^3 + \dots \right) \\ &= \mathbb{1} + (\hat{A}\hat{B} - \hat{B}\hat{A}) + \frac{1}{2!} \hat{A}^2 \hat{B} - \hat{A} \hat{B} \hat{A} + \frac{1}{2!} \hat{B} \hat{A}^2 + \dots \\ &= \mathbb{1} + (\hat{A}\hat{B} - \hat{B}\hat{A}) + \frac{1}{2!} [\hat{A}, [\hat{A}, \hat{B}]] - \frac{1}{2!} [\hat{A}, \hat{B}] \hat{A} + \dots \\ \implies e^{\hat{A}} \hat{B} e^{-\hat{A}} &= \mathbb{1} + [\hat{A}, \hat{B}] + \frac{1}{2!} [\hat{A}, [\hat{A}, \hat{B}]] + \frac{1}{3!} [\hat{A}, [\hat{A}, [\hat{A}, \hat{B}]]] + \dots\end{aligned}$$

So, applying this formula with  $\hat{A} = i\hat{M}\delta$  and  $\hat{B} = \mathbb{1}$  we get

$$e^{i\hat{M}\delta} \mathbb{1} e^{-i\hat{M}\delta} = \mathbb{1} + [e^{i\hat{M}\delta}, \mathbb{1}] + \frac{1}{2!} [e^{i\hat{M}\delta}, [e^{i\hat{M}\delta}, \mathbb{1}]] + \dots$$

Now, the identity operator  $\mathbb{1}$  commutes with every linear operator, so the only non-vanishing term on the RHS is the first term i.e.  $\mathbb{1}$  itself.

Therefore,

$$\begin{aligned}e^{i\hat{M}\delta} e^{-i\hat{M}\delta} &= \mathbb{1} \\ \implies \boxed{\hat{U}^\dagger \hat{U} = \mathbb{1}}\end{aligned}$$

We can also show  $\hat{U} \hat{U}^\dagger = \mathbb{1}$  in exactly the same way. So,  $U$  is unitary.

**Q3. Conservation Laws:** For each of the Hamiltonians below, determine which of the following are conserved quantities:

$$\{p_x, p_y, p_z, p^2, S_x, S_y, S_z, L_x, L_y, L_z, L^2\}$$

where  $p_j, S_j, L_j$  are the *expectation* values of the momentum, spin, and orbital angular momentum along the  $j^{\text{th}}$  direction.

- (a) The Hamiltonian of a Free Electron:  $\hat{H} = \frac{\hat{p}^2}{2m}$ , where  $\hat{p}^2 = \hat{p}_x^2 + \hat{p}_y^2 + \hat{p}_z^2$ .
- (b) Hamiltonian of an electron in the presence of a constant background electric field pointing along the  $z$ -axis:  $\hat{H}_E = \frac{\hat{p}^2}{2m} + eE_0\hat{z}$ , where  $E$  is the value of the electric field intensity.
- (c) Hamiltonian of an electron in the presence of a weak constant magnetic field ( $B_z$ ) along the  $z$ -axis:  $\hat{H}_E = \frac{\hat{p}^2}{2m} + B_z \left( e \frac{\hat{L}_z}{2m} - \gamma \hat{S}_z \right)$ , where  $\gamma$  is the gyromagnetic ratio of the electron, i.e. it is constant.

We know that for an operator  $\hat{Q}$ , the expectation value  $\langle \hat{Q} \rangle$  is a conserved quantity if  $\hat{Q}$  *commutes with the Hamiltonian* i.e.  $[\hat{H}, \hat{Q}] = 0$ .

The Angular Momentum operator in the  $i^{\text{th}}$  direction is

$$\hat{L}_i = \epsilon_{ijk} \hat{X}_j \hat{P}_k$$

where we are using the Einstein Summation Convention, rather than explicitly writing the summations out.

Now, starting from the canonical commutation relations

$$[\hat{X}_i, \hat{X}_j] = 0 = [\hat{P}_i, \hat{P}_j]; \quad [\hat{X}_i, \hat{P}_j] = i\hbar\delta_{ij};$$

we can obtain some useful relations:

1. Between  $\hat{L}_i$  and  $\hat{X}_l$ :

$$\begin{aligned} [\hat{L}_i, \hat{X}_l] &= [\epsilon_{ijk} \hat{X}_j \hat{P}_k, X_l] \\ &= \epsilon_{ijk} [X_j P_k, X_l] \\ &= \epsilon_{ijk} ([X_j, X_l] P_k + X_j [P_k, X_l]) \\ &= \epsilon_{ijk} (0 \cdot P_k + X_j (-i\hbar\delta_{lk})) \\ &= -i\hbar\epsilon_{ijk} X_j \delta_{lk} \\ &= -i\hbar\epsilon_{ijl} X_j \\ \implies [\hat{L}_i, \hat{X}_l] &= i\hbar\epsilon_{ilj} X_j \end{aligned}$$

$$\boxed{[\hat{L}_i, \hat{X}_l] = i\hbar\epsilon_{ilj} X_j}$$

2. Between  $\hat{L}_i$  and  $\hat{P}_l$ :

$$\begin{aligned} [\hat{L}_i, \hat{P}_l] &= [\epsilon_{ijk} X_j P_k, P_l] \\ &= \epsilon_{ijk} [X_j P_k, P_l] \\ &= \epsilon_{ijk} ([X_j, P_l] P_k + X_j [P_k, P_l]) \\ &= \epsilon_{ijk} (i\hbar\delta_{jl} P_k + X_j \cdot 0) \\ &= i\hbar\epsilon_{ilk} P_k \end{aligned}$$

$$\boxed{[\hat{L}_i, \hat{P}_l] = i\hbar\epsilon_{ilk}P_k}$$

3. Between  $\hat{L}_i$  and  $\hat{L}_j$ :

$$\begin{aligned}
[L_i, L_j] &= [\epsilon_{iab}\hat{X}_a\hat{P}_b, \epsilon_{jmn}\hat{X}_m\hat{P}_n] \\
&= \epsilon_{iab}\epsilon_{jmn}[\hat{X}_a\hat{P}_b, \hat{X}_m\hat{P}_n] \\
&= \epsilon_{iab}\epsilon_{jmn}\left([\hat{X}_a\hat{P}_b, \hat{X}_m]\hat{P}_n + \hat{X}_m[\hat{X}_a\hat{P}_b, \hat{P}_n]\right) \\
&= \epsilon_{iab}\epsilon_{jmn}\left([\hat{X}_a\hat{P}_b, \hat{X}_m]\hat{P}_n + \hat{X}_m[\hat{X}_a\hat{P}_b, \hat{P}_n]\right) \\
&= \epsilon_{iab}\epsilon_{jmn}\left(\underbrace{[\hat{X}_a, \hat{X}_m]}_0\hat{P}_b\hat{P}_n + \hat{X}_a[\hat{P}_b, \hat{X}_m]\hat{P}_n + \hat{X}_m[\hat{X}_a, \hat{P}_n]\hat{P}_b + \hat{X}_m\hat{X}_a\underbrace{[\hat{P}_b, \hat{P}_n]}_0\right) \\
&= \epsilon_{iab}\epsilon_{jmn}\left(\hat{X}_a[\hat{P}_b, \hat{X}_m]\hat{P}_n + \hat{X}_m[\hat{X}_a, \hat{P}_n]\hat{P}_b\right) \\
&= \epsilon_{iab}\epsilon_{jmn}\left(\hat{X}_a(-i\hbar\delta_{bm})\hat{P}_n + \hat{X}_m(i\hbar\delta_{an})\hat{P}_b\right) \\
&= -i\hbar\epsilon_{iab}\epsilon_{jnb}\hat{X}_a\hat{P}_n + i\hbar\epsilon_{iab}\epsilon_{jma}\hat{X}_m\hat{P}_b \\
&= i\hbar\epsilon_{iab}\epsilon_{jnb}\hat{X}_a\hat{P}_n - i\hbar\epsilon_{iba}\epsilon_{jma}\hat{X}_m\hat{P}_b
\end{aligned}$$

Using the well known identity for the product of two levi-civita symbols, we can express  $\epsilon_{iab}\epsilon_{jbn}$  as

$$\epsilon_{iab}\epsilon_{jbn} = \delta_{ij}\delta_{an} - \delta_{in}\delta_{aj}$$

and  $\epsilon_{iab}\epsilon_{jma}$  as

$$\epsilon_{iab}\epsilon_{jma} = \delta_{ij}\delta_{bm} - \delta_{im}\delta_{bj}$$

So,

$$\begin{aligned}
[\hat{L}_i, \hat{L}_j] &= i\hbar(\delta_{ij}\delta_{an} - \delta_{in}\delta_{aj})\hat{X}_a\hat{P}_n - i\hbar(\delta_{ij}\delta_{bm} - \delta_{im}\delta_{bj})\hat{X}_m\hat{P}_b \\
&= \cancel{i\hbar\delta_{ij}\hat{X}_a\hat{P}_a} - i\hat{X}_j\hat{P}_i - \cancel{i\hbar\hat{X}_b\hat{P}_b} + i\hbar\hat{X}_i\hat{P}_j \\
&= i\hbar(\hat{X}_i\hat{P}_j - \hat{X}_j\hat{P}_i) \\
&= i\hbar\epsilon_{ijk}\hat{L}_k
\end{aligned}$$

Thus,

$$\boxed{[L_i, L_k] = i\hbar\epsilon_{ijk}\hat{L}_k}$$

Also, the Spin Operators follow exactly the same algebra as the Orbital Angular Momentum Operators i.e.

$$[\hat{S}_i, \hat{S}_k] = i\hbar\epsilon_{ijk}\hat{S}_k$$

However, for an electron, spin is an internal degree of freedom and is completely independent of the particle's position or momentum.

We can describe the Hilbert space of the Electron  $\mathbb{V}_e$ , as being a tensor product of an infinite-dimensional hilbert space  $\mathbb{V}_o$  describing its orbital degrees of freedom and a two-dimensional space  $\mathbb{V}_s$  describing its spin degrees of freedom:

$$\mathbb{V}_e = \mathbb{V}_o \otimes \mathbb{V}_s$$

Thus, the Spin operators  $\hat{S}_i$  (which act on  $\mathbb{V}_s$ ) act on a different hilbert space than the position and momentum operators (which act on  $\mathbb{V}_o$ ), and thus commute with them in all directions:

$$\boxed{[\hat{S}_i, \hat{X}_j] = 0}$$

$$\boxed{[\hat{S}_i, \hat{P}_j] = 0}$$

for all  $i, j$ . As a result, **the expected values associated with the spin operators are always conserved.**

Now that we have all of our basic commutation relations in hand, lets tackle each Hamiltonian:

(a) **Free Electron:**

$$\hat{H} = \frac{\hat{P}^2}{2m}$$

- Since the Hamiltonian only has the momentum squared operator in it, certainly it commutes with  $\hat{P}^2$

$$[\hat{H}, \hat{P}^2] = \left[\frac{\hat{P}^2}{2m}, \hat{P}^2\right] = \frac{1}{2m}[\hat{P}^2, \hat{P}^2] = 0$$

- Now, since  $\hat{P}^2 = \hat{P}_x^2 + \hat{P}_y^2 + \hat{P}_z^2$  and  $[\hat{P}_i, \hat{P}_j] = 0$  for  $i, j = x, y, z$  we immediately find that

$$[\hat{H}, \hat{P}^2] = 0 \implies [\hat{H}, \hat{P}_i^2] = 0$$

- The Hamiltonian is rotationally invariant, so  $\hat{H}$  commutes with each  $\hat{L}_i$  and with  $\hat{L}^2$ . We can show this explicitly as

$$\begin{aligned} \left[\frac{\hat{P}^2}{2m}, \hat{L}_m\right] &= -\frac{1}{2m} [\hat{L}_m, \hat{P}_i \hat{P}_i] \quad (\text{Using Einstein Summation Convention}) \\ &= -\frac{1}{2m} ([\hat{L}_m, \hat{P}_i] \hat{P}_i + \hat{P}_i [\hat{L}_m, \hat{P}_i]) \\ &= -\frac{1}{2m} [(\epsilon_{mik} i\hbar \hat{P}_k) \hat{P}_i + \hat{P}_i (\epsilon_{mik} i\hbar \hat{P}_k)] \\ &= -\frac{i\hbar}{2m} \epsilon_{mik} [\hat{P}_k, \hat{P}_i] \\ &= 0 \quad (\text{Momentum components always commute}) \end{aligned}$$

$$\boxed{[\hat{H}, \hat{L}_m] = 0}$$

So, the Hamiltonian commutes with each  $\hat{L}_m$  and thus also commutes with  $\hat{L}^2$  as

$$\begin{aligned} [\hat{H}, \hat{L}^2] &= [\hat{H}, \hat{L}_m \hat{L}_m] \\ &= [\hat{H}, \hat{L}_m] \hat{L}_m + \hat{L}_m [\hat{H}, \hat{L}_m] \\ &= 0 + 0 \\ &= 0 \end{aligned}$$

**Summary:** The Free Electron Hamiltonian commutes with all the operators associated with the quantities we're interested in, so **all of their expectation values are conserved.**

(b) **Electron in Constant Electric Field:**

$$\hat{H}_E = \frac{\hat{p}^2}{2m} + eE_0\hat{z}$$

which can be re-written in slightly different notation as

$$\hat{H}_E = \frac{\hat{P}^2}{2m} + eE_0\hat{X}_3$$

We know of course that the free part of the hamiltonian commutes with all the operators, so we are interested in the behavior of  $eE_0\hat{X}_3$ .

- We know that  $[\hat{X}_i, \hat{P}_j] = i\hbar\delta_{ij}$  so

$$[eE_0\hat{X}_3, \hat{P}_j] = eE_0i\hbar\delta_{i3}$$

This means that the Hamiltonian commutes with the momentum operators other than  $\hat{P}_3 = \hat{p}_z$ .

- Since it doesn't commute with the momenta in every direction, it also doesn't commute with  $\hat{P}^2$ .
- We know that  $[\hat{X}_l, \hat{L}_i] = -i\hbar\epsilon_{ilj}X_j$ .

So,

$$[eE_0\hat{X}_3, \hat{L}_i] = -i\hbar\epsilon_{i3j}X_j$$

When  $i = 3$ , the levi-civita tensor becomes zero for all terms, so we have  $[eE_0\hat{X}_3, \hat{L}_3] = 0$  i.e. the Hamiltonian commutes with the  $\hat{L}_z$  operator. However, it *doesn't commute with the other directions*.

- Since the Hamiltonian doesn't commute with the Angular Momentum with respect to all axes, it doesn't commute with the total angular momentum  $\hat{L}^2$ .

**Summary:** The  $p_x, p_y, l_z$  and spin expected values are conserved. But the  $p_z, p^2, L_x, L_y, L^2$  values are not.

(c) **Electron in a weak Constant Magnetic Field:**

$$\hat{H}_E = \frac{\hat{P}^2}{2m} + B_z \left( e \frac{\hat{L}_z}{2m} - \gamma \hat{S}_z \right)$$

Again, we know the free part  $\hat{P}^2/2m$  commutes with all of the operators, so we only discuss the other components of the Hamiltonian

- Using the commutation relations between  $\hat{L}_i$  and  $\hat{P}_j$  found earlier, we know that  $\hat{L}_z$  only commutes with  $\hat{P}_z$ . The  $\hat{S}_z$  present in the hamiltonian commutes with all momentum operators since they act on different hilbert spaces.
- Now, the spin matrices  $\hat{S}_x$  and  $\hat{S}_y$  commute with  $\hat{P}^2$  and  $\hat{L}_z$ , but do not commute with  $\hat{S}_z$  due to the commutation relation

$$[\hat{S}_i, \hat{S}_k] = i\hbar\epsilon_{ijk}\hat{S}_k$$

The operator  $\hat{S}_z$  does commute with itself so it commutes with the hamiltonian as a whole.

- The angular momentum operators all commute with the spin operators since they act on different hilbert spaces. However,  $\hat{L}_x$  and  $\hat{L}_y$  do not commute with  $\hat{L}_z$ , so only  $\hat{L}_z$  commutes with the hamiltonian as a whole.

**Summary:** The conserved expected values are:  $p_z, s_z, l_z$ . All other expected values are not conserved.