

# Design report

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## Constructor:

For the B-tree constructor we chose to initialize an empty non-leaf root as well as a single empty leaf child. These decision slightly increase the space usage of very small trees, but help to simplify insertions and splitting of nodes. We also use INT\_MAX to denote an empty key and 0 for empty values. INT\_MAX allows the B-TREE logic to know that all keys are on the left of missing nodes and since page numbers are always at least 1, 0 is a good null value. The constructor also insert the keys into the relation one at a time. This runs the insert method below  $N$  times where  $n$  is the number keys to be inserted.

The constructor leaves no pages pinned after running.

## Insert:

Our insert method performs a recursive call to a recursive insert method. This recursive method recurs down to the leaf where it inserts the new key, value pair. If a split is required the method performs it and return the key& value of the right side of the split to the caller. When a recursive call returns a pair it inserts at the appropriate position.

Insert keeps no pages pinned after it has finished running.

Insert has at most  $H + 1$  pages pinned while inserting where  $H$  is height of the tree. We did this because we need to read those pages in order to locate the key location, and it is more efficient to keep the keys in the buffer so that we do not have to re-read pages in the case that we have cascading inserts up the tree. Because B-Trees do not have very large heights we thought this was a reasonable amount of storage to expect the buffer to provide.

This method typically requires  $H$  IO's where  $H$  is the height of the tree. It can be slightly worse (still  $\mathcal{O}(H)$ ) in cases with many splits, but these cases are infrequent.

## Scan:

When a scan is started by start scan, the b-tree is traversed down to find the first valid key. If no valid key is found it throws an exception. It then stores the page and location where the key was found. scanNext continues on the page start scan found and increments the stored pointers, if the next key is valid.

startScan will have 1 page pinned at any given time and when it returns it will leave one page pinned (the page with the first entry of the desired search). Throughout the entire scan process, from start scan until the last entry is found via scanNext, one page is kept pinned. We chose to keep one page pinned because we expect a sequential scan to refer to the same page in consecutive calls of scanNext and it is more efficient to store that page than to have to re-read it hundreds of times.

This method performs a scan down the tree to find the first node and then is a leaf scan through leaves from there. Thus, the method takes  $H + N$  IO's where  $H$  is the height of the tree and  $N$  is the number of leaf nodes containing entries in the range.

# Test report

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We implemented the following tests:

## **manySearchKeyTest:**

This test simply creates an index on a relation of integers [0,5000)

It then scans over the range [-1000,6000]

This test ensures that a scan over the entire b-tree runs correctly and thus, that all leaf nodes are properly connected. It also tests the edges of starting and ending a scan outside of the bounds of the relation.

## **testBuildIndexOnExisting:**

This test creates an index on a relation, deletes it and then creates a new index on the same relation. It then runs several scans to test the performance of the new index.

This is to test the functionality that allows an index to re-open an old index that was created prior.

## **largeSizeRelation:**

This test creates a relation with 1,000,00 entries in a insert order. It then constructs a b-tree on these entries.

This test ensures that root node is split and tests to ensure that leaf splits, internal node splits, and root splits all function correctly.

## **size3000RelationSparse:**

It creates a relation with 3000 numbers randomly chosen from the range [0,5000]. It then performs various scans. This test ensures that the b-tree performs well on non-consecutive keys.