

Data Structures for Disjoint Sets

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Contents

- **Disjoint-sets**
- **Disjoint-set operations**
- **An application of disjoint-set data structures**
- **Disjoint-set data structures**

Disjoint sets

• *Disjoint sets*

- Two sets A and B are disjoint if $A \cap B = \{\}$.

Ex> $A = \{1, 2\}, B = \{3, 4\}$

- Sets S_1, S_2, \dots, S_k are disjoint if **every two distinct** sets S_i and S_j are disjoint.

Ex> $S_1 = \{1, 2, 3\}, S_2 = \{4, 8\}, S_3 = \{5, 7\}$

S_1, S_3

S_1, S_2

S_2, S_3

Disjoint sets

● A *collection* of disjoint sets

- A set of disjoint sets is called a collection of disjoint sets.

Ex> $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\}$

- Each set in a collection has a *representative member* and the set is identified by the member.

Ex> $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\}$



대표자

representative number

Disjoint sets

- A collection of *dynamic disjoint sets*
 - **Dynamic:** Sets are **changing**.
 - New sets are created.
 - $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\} \rightarrow \{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}, \{9\}\}$
 - Two sets are united.
 - $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\} \rightarrow \{\{1, 2, 3\}, \{4, 8, 5, 7\}\}$

Disjoint-set operations

● Disjoint-set operations

- **MAKE-SET(x)** : x 를 새로운 세트 만들기
- **UNION(x, y)**
- **FIND-SET(x)** : Find representative number

Disjoint-set operations

● MAKE-SET(x)

- Given a member x , generate a set for x .
- MAKE-SET(9)

$\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\} \rightarrow \{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}, \{9\}\}$

q 33 set 22

q → representative node

Disjoint-set operations

• **UNION**(x, y)

representative *member*

- Given two members x and y , unite the set containing x and another set containing y .
- UNION(1,4)
- $\{\{1, 2, 3\}, \{4, 8\}, \{5, 7\}\} \rightarrow \{\{1, 2, 3, 4, 8\}, \{5, 7\}\}$

• **FIND-SET**(x)

- Find the representative of the set containing x .
- FIND-SET(5): 7

Disjoint-set data structures

● Problem

- *Developing data structures* to maintain a collection of dynamic disjoint sets supporting disjoint-set operations, which are MAKE-SET(x), UNION(x,y), FIND-SET(x).

Disjoint-set data structures

Parameters for running time analysis

- #Total operations: m
- #MAKE-SET ops: n
- #UNION ops: u
- #FIND-SET ops: f
- $m = n + u + f$

Disjoint-set data structures

Union ≤ make - 1

• $u \leq n - 1$

→ make는 n 개의 Set → $n-1$ 번 Union

- n is the number of sets generated by MAKE-SET ops.
- Each UNION op reduces the number of sets by 1. → Set 개수 1씩 감소
- So, after $n-1$ UNION ops, we have only 1 set and then we cannot do UNION op more.

$U = n - 1$ → 1개의 Set만 존재

Assumption

- The first n operations are MAKE-SET operations.

처음 n 개의 operation → MAKE-SET만 존재한다고 가정

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Application

$\{\{1,2,3,4\}, \{5\}, \{6,7\}\}$

Computing connected components (CC)

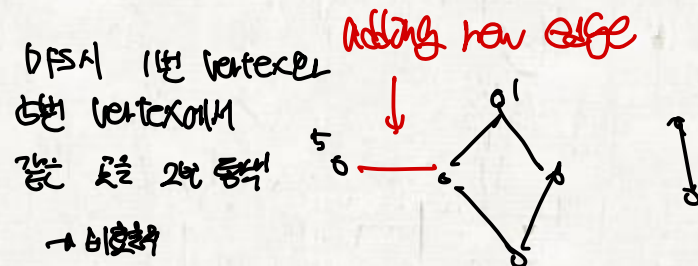
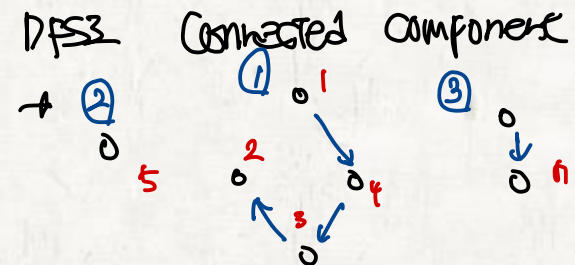
- Static graph → 변하지 않는 구조

- Depth-first search: $\Theta(V+E)$

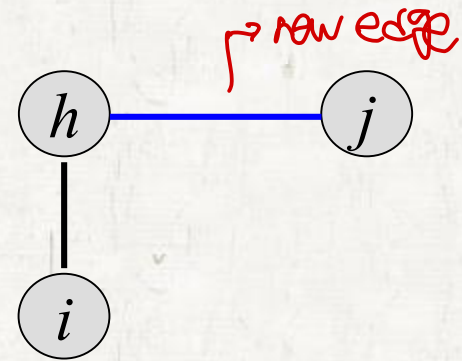
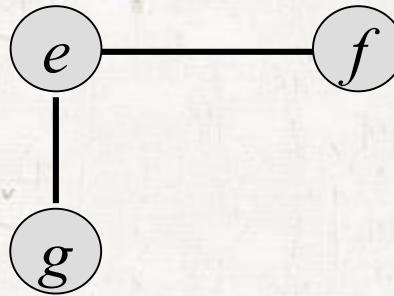
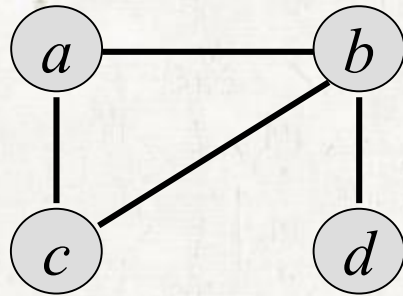
- Dynamic graph

- Depth-first search is inefficient. → G의 모든 정점을 방문해야 함
- Maintaining a disjoint-set data structure is more efficient. → 하나의 connected component

→ Connected components | 기하학 세기



Connected component computation



$\{\{a,b,c,d\}, \{e,f,g\}, \{h,i\}, \{j\}\}$

$\rightarrow \{\{a,b,c,d\}, \{e,f,g\}, \{h,i,j\}\}$

Depth first search: $\Theta(V + E)$

Disjoint-set data structures: **UNION**(h, j)

우리가 새로 edge를 추가하면
DFS를 매번 실행해야 하지만
Disjoint-set data structure은
UNION만 실행하면 된다.

Connected component computation

Computing CC using disjoint set operations

CONNECTED-COMPONENTS(G)

1 **for** each vertex $v \in G.V$

$\{a, b, c\}, \dots, \{z\}$

2 MAKE-SET(v)

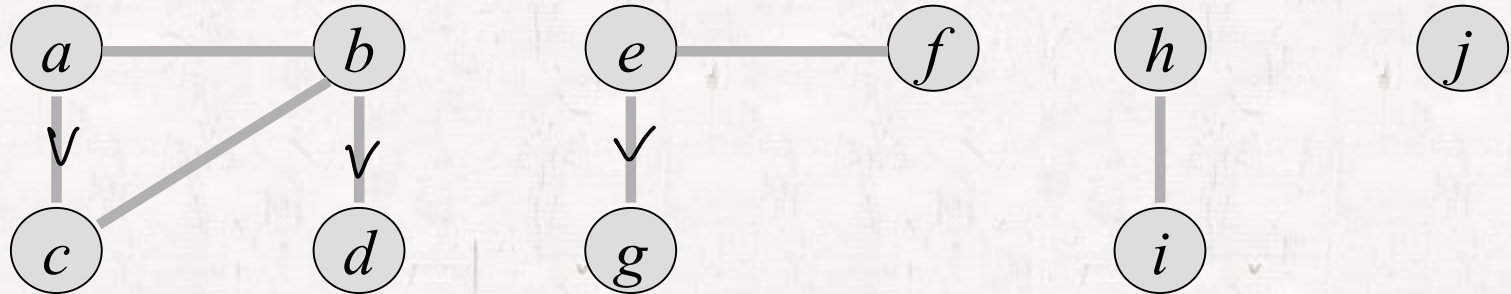
3 **for** each edge $(u, v) \in G.E$

4 **if** FIND-SET(u) \neq FIND-SET(v)

→ 둘이 이미 같은 Set에
존재하니까 합친다

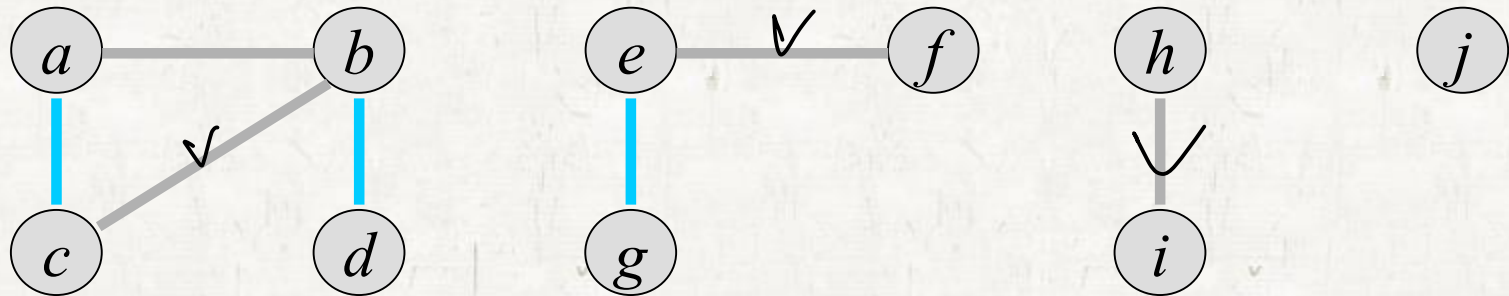
5 UNION(u, v)

Connected component computation



Initial sets	$\{a\}$	$\{b\}$	$\{c\}$	$\{d\}$	$\{e\}$	$\{f\}$	$\{g\}$	$\{h\}$	$\{i\}$	$\{j\}$
(b,d)	$\{a\}$	$\{b,d\}$	$\{c\}$	$\{e\}$	$\{f\}$	$\{g\}$	$\{h\}$	$\{i\}$	$\{j\}$	
(e,g)	$\{a\}$	$\{b,d\}$	$\{c\}$	$\{e,g\}$	$\{f\}$	$\{h\}$	$\{i\}$	$\{j\}$		
(a,c)	$\{a,c\}$	$\{b,d\}$		$\{e,g\}$	$\{f\}$	$\{h\}$	$\{i\}$	$\{j\}$		

Connected component computation



(a,c)	$\{a,c\}$	$\{b,d\}$	$\{e,g\}$	$\{f\}$	$\{h\}$	$\{i\}$	$\{j\}$
(h,i)	$\{a,c\}$	$\{b,d\}$	$\{e,g\}$	$\{f\}$	$\{h,i\}$		$\{j\}$
(a,b)	$\{a,b,c,d\}$		$\{e,g\}$	$\{f\}$	$\{h,i\}$		$\{j\}$
(e,f)	$\{a,b,c,d\}$		$\{e,f,g\}$		$\{h,i\}$		$\{j\}$
(b,c)	$\{a,b,c,d\}$		$\{e,f,g\}$		$\{h,i\}$		$\{j\}$

Connected component computation

SAME-COMPONENT(u, v)

```

1  if FIND-SET( $u$ ) == FIND-SET( $v$ )

```

```
2 return TRUE
```

```
3 else return FALSE
```

Connected-Component
같은 SAME-COMPONENT
3 개이상을 수 있다.

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Disjoint-set data structures

• Disjoint-set data structures

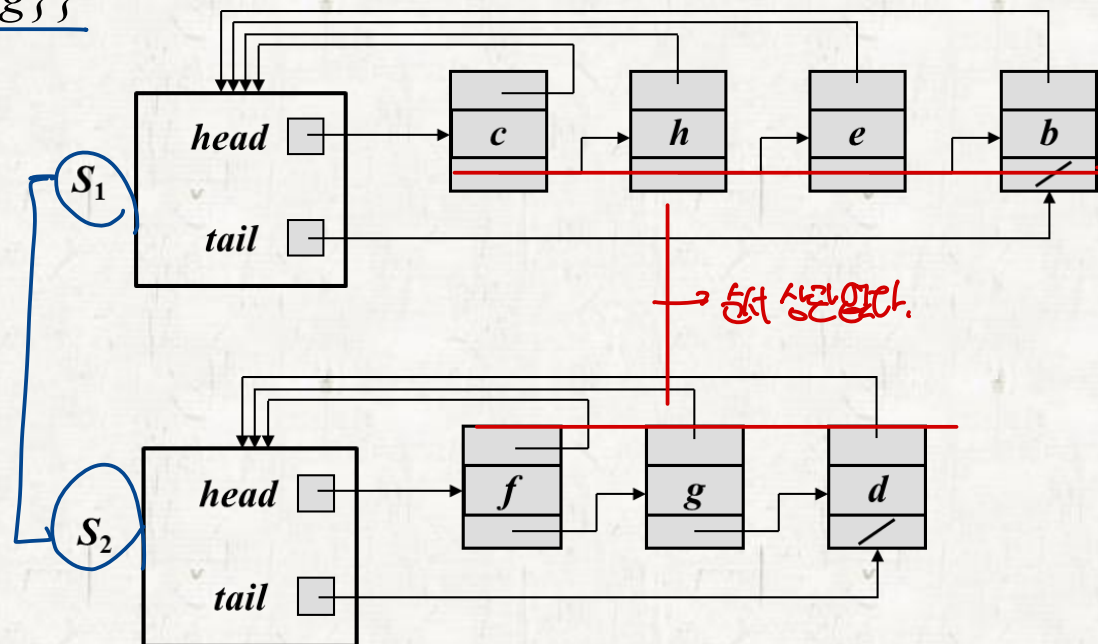
- Linked-list representation
- Forest representation

Linked-list representation

Linked-list representation

- Each set is represented by a linked list. If a collection has two disjoint sets, two linked lists are needed.
- Each set member is contained by an object in its linked list.
- The objects may appear in any order in a linked list.
- $\{\{b, c, e, h\}, \{d, f, g\}\}$

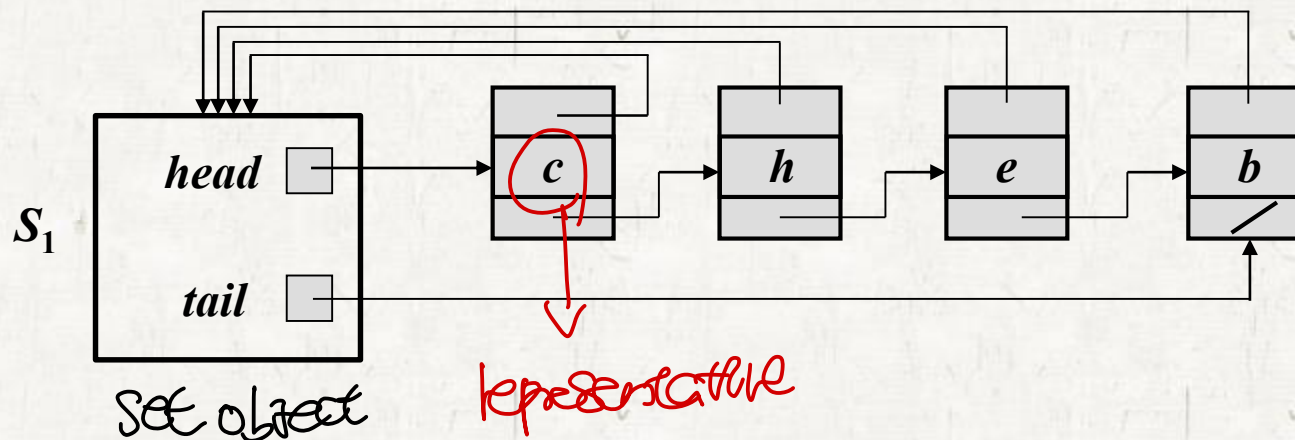
2nd set
→ 2nd Linked List



Linked-list representation

Linked-list representation

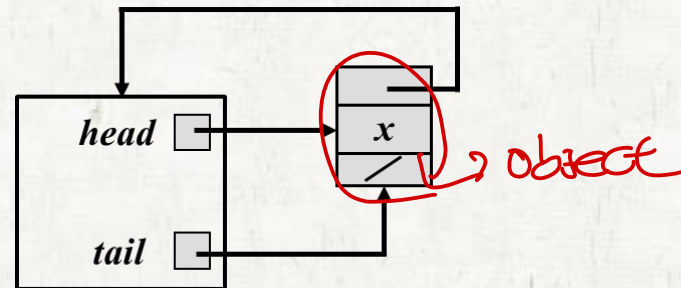
- The object for each set has two attributes *head* and *tail*.
 - Attribute *head* points to the first object.
 - Attribute *tail* points to the last object.
- All objects have pointers to the set object.
- The first object in the linked list is the representative.



Linked-list representation

● MAKE-SET(x) ^{→ member}

- Create a new linked list whose only object is x. ^{→ object including member x}
- $\Theta(1)$



● FIND-SET(x) ^{→ pointer to an set object}

- Follow the pointer from x back to its set object and then return the member in the object that head points to.
- $\Theta(1)$

1. x가 가리키는 object(set)을 반환.
 2. object: 3개의 element (member, tail, head pointer)를 가진

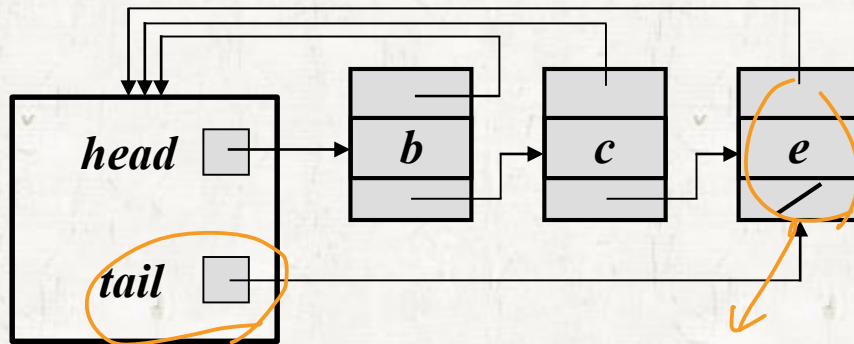
Linked-list representation

points each linked list

- **UNION(x,y)**: Attaching a linked list to the other

see object 가리키

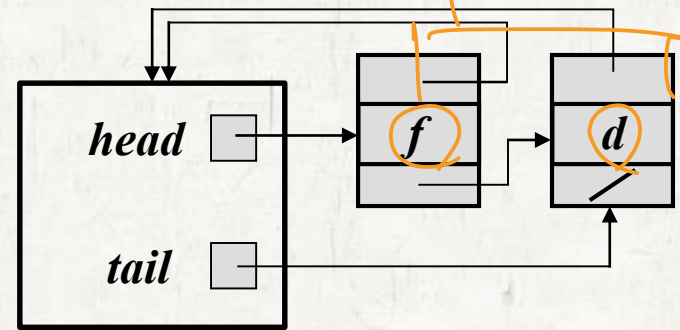
y를 x에 이음
x.head
→ x를 가리키는 것



x

→ x.tail이 가리키는
것을 가리키는 것

x.head가 가리키는 것
가리키는 것

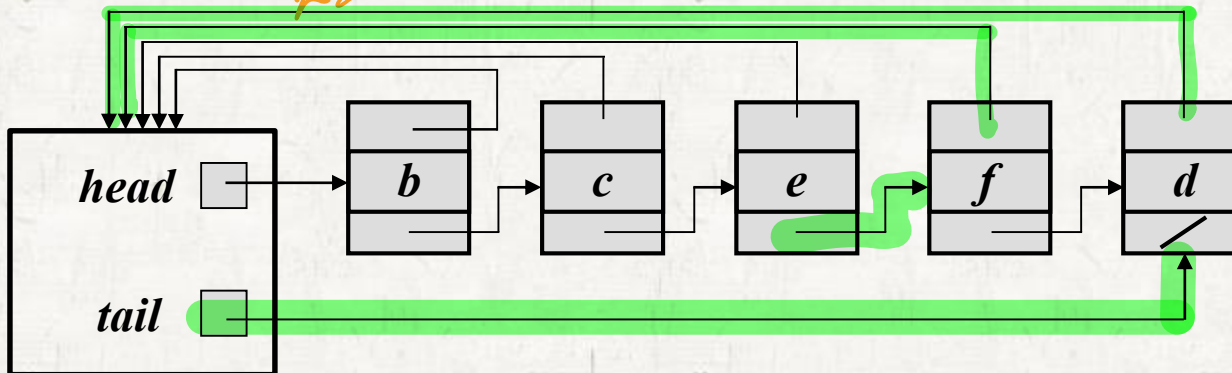


y

Struct Node {
 member
 * next
 * parent
}

$\Theta(1)$ $\begin{cases} X.\text{tail} \rightarrow \text{next} = Y.\text{head} \\ X.\text{tail} = Y.\text{tail} \end{cases}$

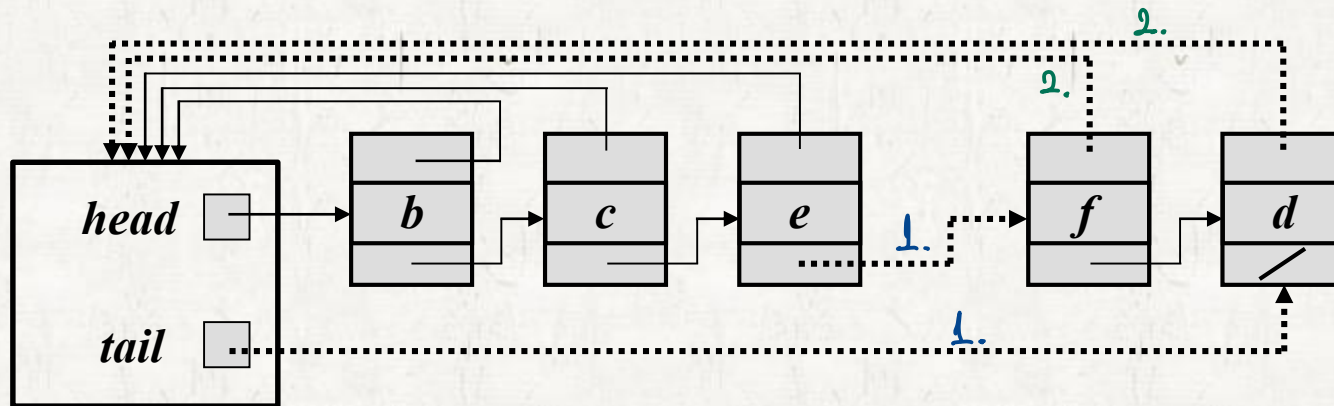
$\Theta(m_y)$ $\begin{aligned} &\text{Current} = y \rightarrow \text{head} \\ &\text{while (Current} \neq \text{NULL)} \\ &\quad \text{Current.parent} = x \\ &\quad \text{Current} = \text{Current} \rightarrow \text{next} \end{aligned}$



x

Linked-list representation

● UNION(x,y): Time complexity



- $\Theta(m_y)$ time where m_y is the number of objects of y .

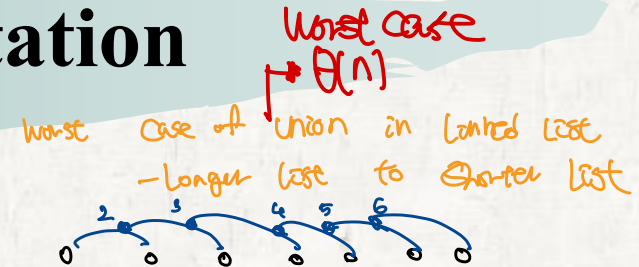
1. ● Changing **tail pointer** & linking two linked lists: $\Theta(1)$

2. ● Changing pointers to the set object: $\Theta(m_y)$

↳ yes, object refs

$$O(m_y + 2)$$

Linked-list representation



Running time for $m (= n + f + u)$ operations

- Simple implementation of union

$O(n+f+n^2)$ time $\rightarrow O(m+n^2)$ time

Handwritten notes: $n \times 1$, $f \times 1$, $n+f \approx m$

- Because $u \leq n-1$

$\rightarrow (n-1) \times \Theta(n) = \Theta(n^2)$

- A weighted-union heuristic

$O(n+f+u+n \lg n)$ time $\rightarrow O(m+n \lg n)$ time

Union $\Theta(n)$
 \rightarrow 하나의 집도 set에
 $n-1$ 개의 다른 set에
 연결함

\rightarrow Shorter list to longer list

Short list : | longer list 최대

\rightarrow 절반씩 끊어

2배씩 늘려

UNION의 결과 linked list를
 Short list가 더 짧아진다.

Length: $1 \times 2 \times 2 \times \dots \times 2 = 2^k$

Shortest list

$n \geq 2^k$

$\rightarrow \lg n$

Set object pointer
 Curious

Set object pointer를
 변경하는 횟수 관측하기
 가능함.

Forest representation

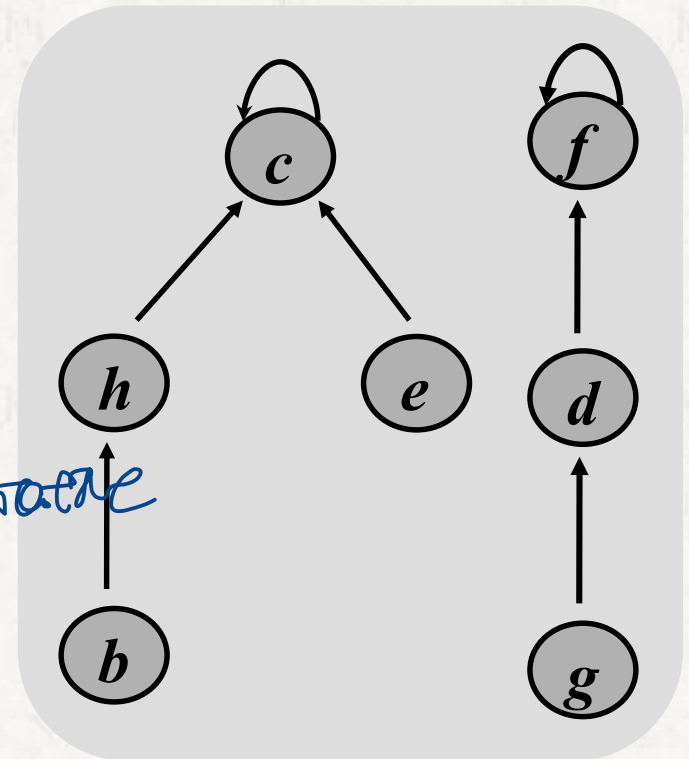
Forest representation

- Each set is represented by a tree.
- Each member points to its parent.
- The root of each tree is the rep.

self loop

representative

$\{\{b,c,e,h\}, \{f,d,g\}\}$



Forest representation

object
MAKE-SET(x)

1 $x.p = x \rightarrow$ *self loop*

FIND-SET(x)

1 **if** $x == x.p \rightarrow$ *if self loop*

2 **return** x

3 **else return** **FIND-SET($x.p$)**
parent

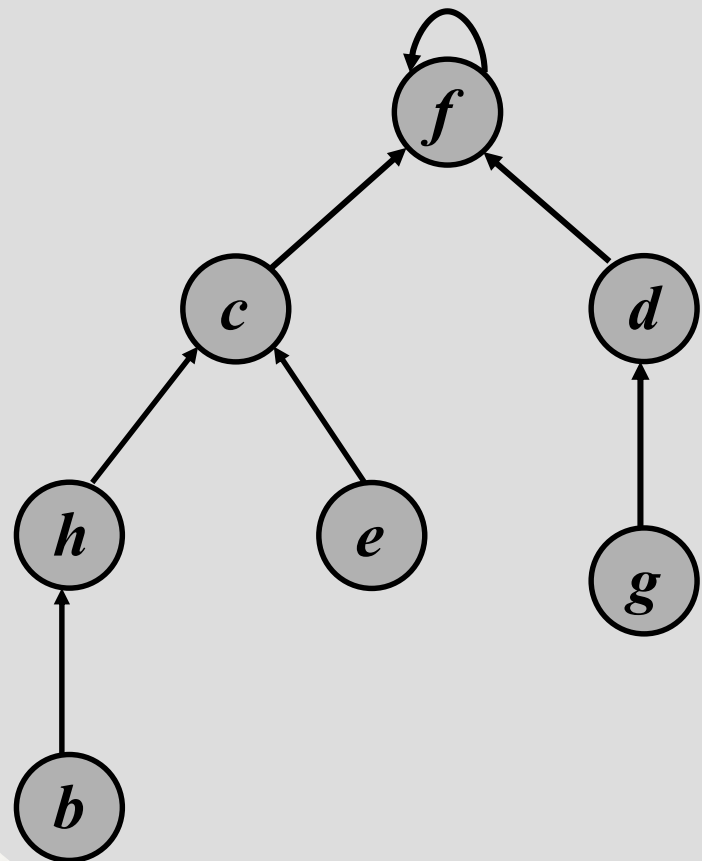
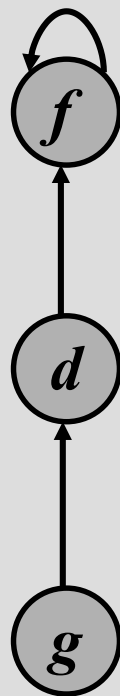
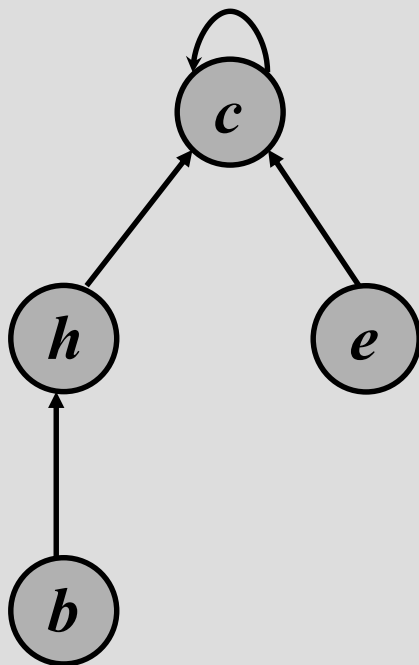
Forest representation

• Union by rank

rank가 작은 것을 큰 것에 연결

- **Idea:** Attach the shorter tree to the higher tree.
- Each node maintains a **rank**, which is an upper bound on the height of the node.
- Compare the ranks of the two roots and attach the tree whose root's rank is smaller to the other.

Forest representation



Forest representation

MAKE-SET(x)

```
1  $x.p = x$   
2  $x.rank = 0$ 
```

UNION(x, y)

```
1 LINK(FIND-SET( $x$ ), FIND-SET( $y$ ))
```

root of each tree
LINK(x, y)

```
1 if  $x.rank > y.rank$   
2    $y.p = x$   
3 else  $x.p = y$   
4   if  $x.rank == y.rank$   
5      $y.rank = y.rank + 1$ 
```



*find-set을
2개가 된다.*

Forest representation

• Path compression

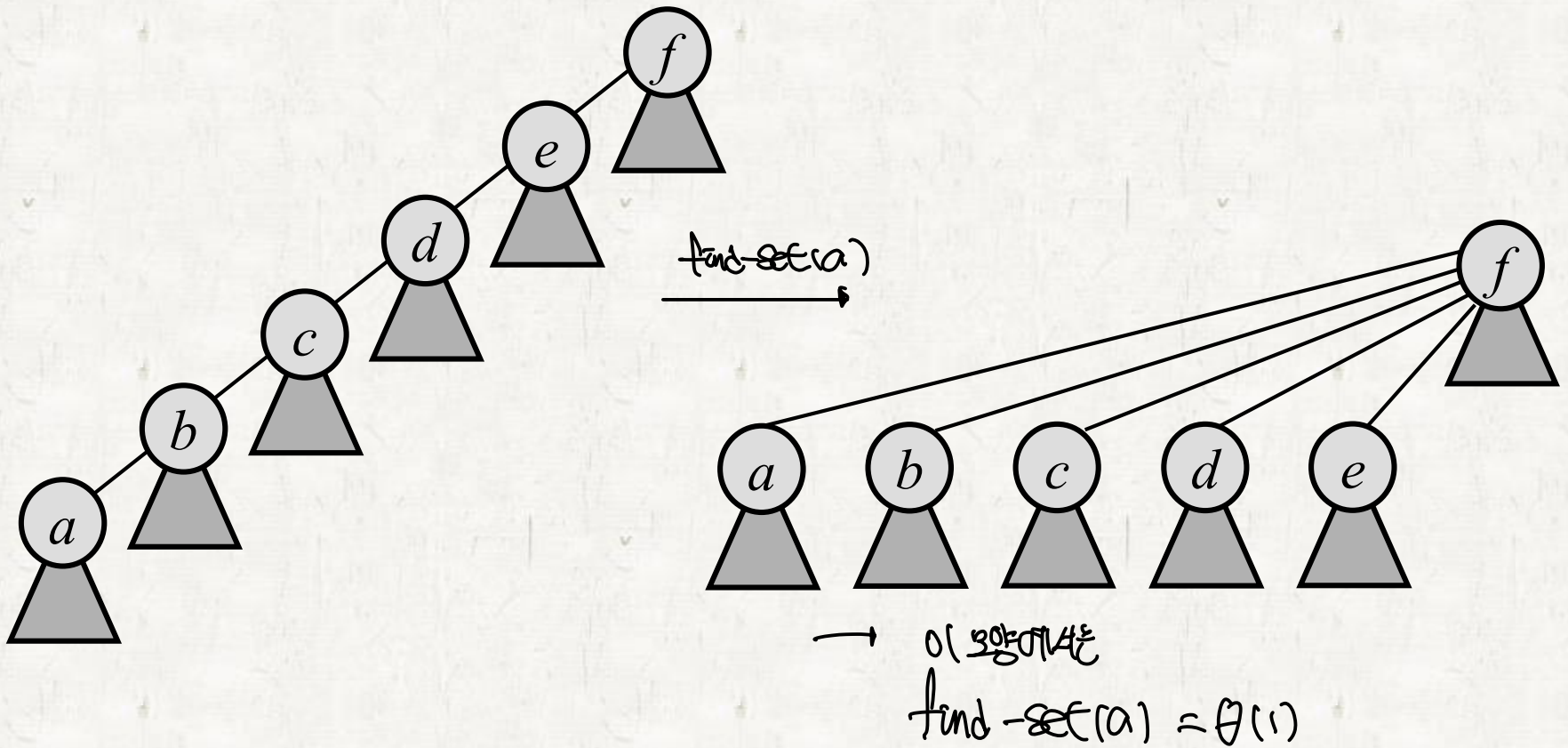
- Change the parent to the root during FIND-SET(x).

parent² root node ~~leaf~~

FIND-SET(x)

```
1  if  $x \neq x.p$      $x \neq \text{root}$   
2       $x.p = \text{FIND-SET}(x.p)$   
3  return  $x.p$ 
```


Forest representation



Forest representation

$$m = n + t + ll$$

→ for m operations

- Worst case running time : $O(m \alpha(n))$ → make-set 기법

→ 평균 \times

↳ very slowly increasing function

- $\alpha(n) \leq 4$: for all practical situations.

↳ $O(m) \approx O(1)$ slowly increase
constant time

$$O(m \alpha(n)) \approx O(m) \approx O(1)$$