

# ***Growth of Functions***

***Heejin Park***

*Hanyang University*

# Contents

- **Asymptotic notation**
  - $\Theta$ -notation
  - $O$ -notation
  - $\Omega$ -notation

# Analogy

•  $f(n) = \Theta(g(n)) \approx f(n) = g(n)$  in degree.  
차수

- $3n^2 + 2n - 1 = \Theta(n^2)$

- $3n - 1 = \Theta(n)$

- $3n - 1 \neq \Theta(n^2)$

only highest degree

# Analogy

•  $f(n) = O(g(n)) \approx f(n) \leq g(n)$  in degree.

- $3n^2 + 2n - 1 = O(n^2)$
- $3n - 1 = O(n)$
- $3n - 1 = O(n^2)$

# Analogy

•  $f(n) = \Omega(g(n)) \approx f(n) \geq g(n)$  in degree.

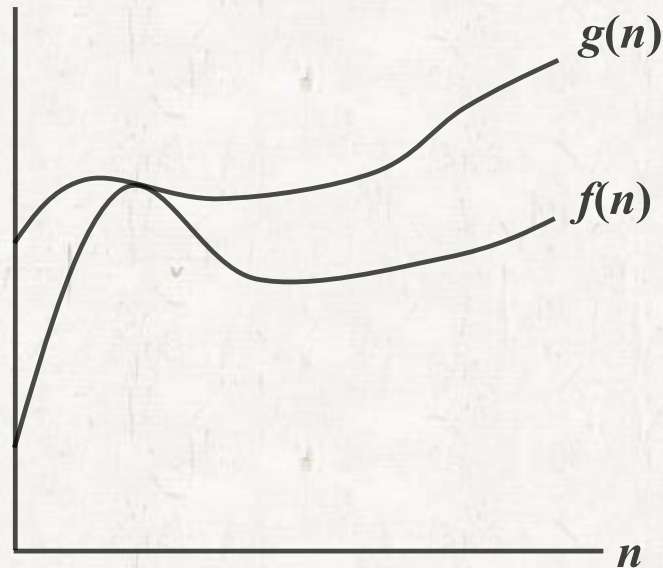
- $3n - 1 = \Omega(n)$

- $3n^2 - 1 = \Omega(n)$

# *O*-notation

- *Upper bound*

- $g(n)$  is an *upper bound* of  $f(n)$ .  $g(n) \geq f(n)$  for all positive  $n$





# O-notation

•  $g(n)$  is an **asymptotic upper bound** of  $f(n)$ .

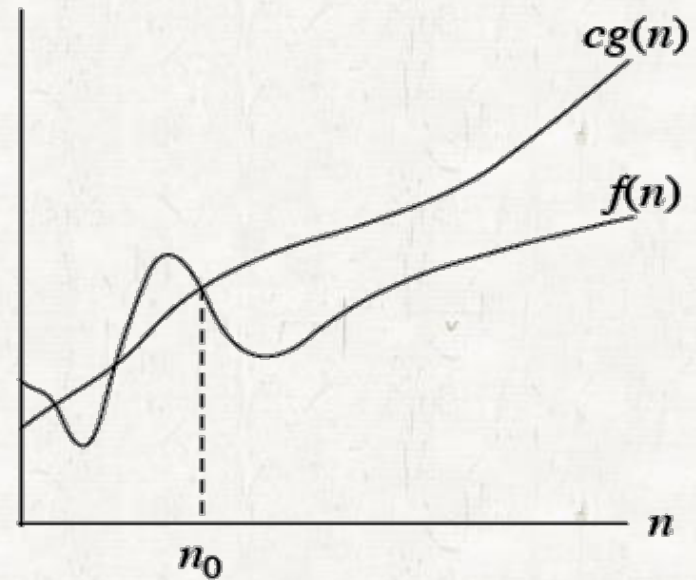
$$f(n) = O(g(n))$$

There exist positive constants

$c$  and  $n_0$  such that

$0 \leq f(n) \leq cg(n)$  for all  $n \geq n_0$ .

$n \geq n_0$ 인 모든 positive  $n$ 에 대해  
 $0 \leq f(n) \leq cg(n)$ 이 만족시키는  $c$ 가 있을 때



# *O*-notation

## • Example

$$3n + 1 = O(n^2)$$

- Show there are  $c$  and  $n_0$  such that  $3n + 1 \leq cn^2$  for all  $n \geq n_0$ .

- Dividing by  $n^2$  yields  $\frac{3}{n} + \frac{1}{n^2} \leq c$ .  
*maximized at  $\frac{3}{n} + \frac{1}{n^2}$*   
*decreasing  $\rightarrow$  'n=1'*

- The inequality holds for any  $n \geq 1$  ( $n_0 = 1$ ) and  $c = 4$ .

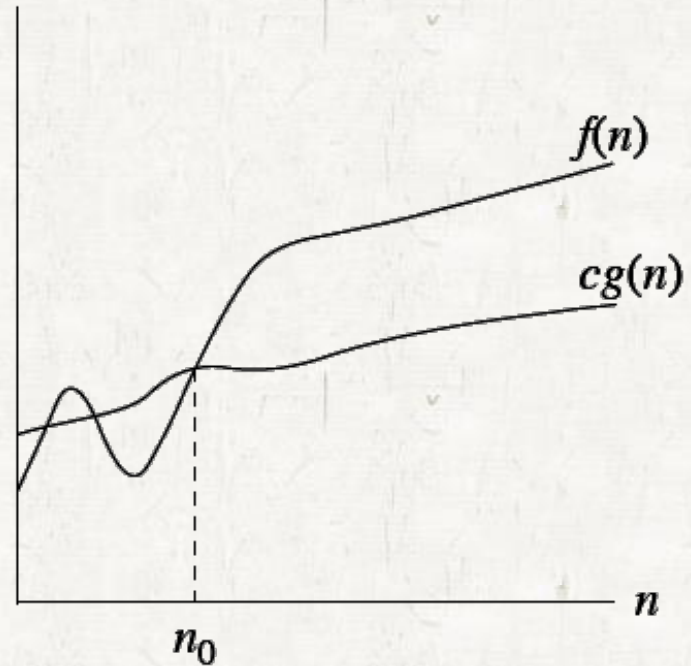


# $\Omega$ -notation

## Asymptotic **lower** bound

$$f(n) = \Omega(g(n))$$

There exist positive constants  $c$  and  $n_0$  such that  
 $0 \leq cg(n) \leq f(n)$  for all  $n \geq n_0$ .



# $\Omega$ -notation

## • Example

$$3n^2 - 4n + 1 = \Omega(n)$$

- Show there are  $c$  and  $n_0$  such that  $3n^2 - 4n + 1 \geq cn$  for all  $n \geq n_0$ .

• Dividing by  $n$  yields  $3n - 4 + \frac{1}{n} \geq c$ .

*Handwritten notes:*  
 $n=1 \rightarrow 0$   
 $n=2 \rightarrow 2.5$   
 $n=3 \rightarrow 5.33$   
 $\rightarrow C=2$   
 $n_0=2$

- The inequality holds for any  $n \geq 2$  ( $n_0 = 2$ ) and  $c = 2$ .

# $\Theta$ -notation

- Asymptotically **tight** bound

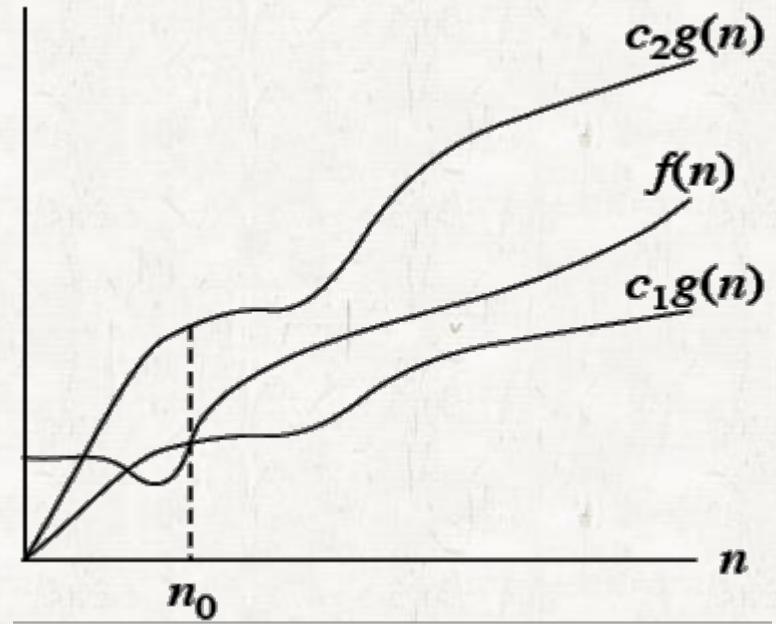
- $f(n) = \Theta(g(n))$

there exist positive constants

$c_1$ ,  $c_2$ , and  $n_0$  such that

$$0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)$$

for all  $n \geq n_0$ .



# $\Theta$ -notation

## • Example

$$\frac{1}{2}n^2 - 3n = \Theta(n^2)$$

To show there exist positive constants  $c_1$ ,  $c_2$  and  $n_0$  such that

$$c_1 n^2 \leq \frac{1}{2}n^2 - 3n \leq c_2 n^2 \text{ for all } n \geq n_0.$$

Dividing by  $n^2$  yields  $c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2.$

# $\Theta$ -notation

## Example

$$c_1 \leq \frac{1}{2} - \frac{3}{n} \leq c_2.$$

The right-hand inequality holds for  $n \geq 1$  by choosing  $c_2 \geq 1/2$ .

The left-hand inequality holds for  $n \geq 7$  by choosing  $c_1 \leq 1/14$ .

Thus, by choosing  $c_1 = 1/14$ ,  $c_2 = 1/2$ , and  $n_0 = 7$ ,

we can verify that  $\frac{1}{2}n^2 - 3n = \Theta(n^2)$

max

$\frac{1}{2} - \frac{3}{n} > 0 \Leftrightarrow$   
양자하는 가장 작은  
 $n = 7$



# $\Theta$ -notation

## Example

- Consider any quadratic function  $f(n) = an^2 + bn + c$ , where  $a, b$ , and  $c$  are constants and  $a > 0$ .
- Throwing away the lower-order terms and ignoring the constant yields  $f(n) = \Theta(n^2)$ .
- The reader may verify that  $0 \leq c_1 n^2 \leq an^2 + bn + c \leq c_2 n^2$  for all  $n \geq n_0$ . (Self-study)
- In general, for any polynomial  $p(n) = \sum_{i=0}^d a_i n^i$  where the  $a_i$  are constants and  $a_d > 0$ , we have  $p(n) = \Theta(n^d)$ .

$C_1 n^2 \leq an^2 + bn + c$   $\hat{=}$   $\text{성립함}$   $\text{non case}$   $\text{else case}$

$C_1 \leq a + b + c$

$an^2 + bn + c \leq c_2 n^2$

$(c_2 - a)n^2 + bn + c \geq 0 \rightarrow \text{C를 바꿔}$

$\rightarrow p(n) = \Theta(n^d)$



# Examples

The running time is  $O(n^2)$  &  $\Omega(n)$

## ● Insertion sort

- $O(n^2)$ ,  $\Omega(n)$

## ● Selection sort

- $\Theta(n^2)$

## ● Merge sort

- $\Theta(n \lg n)$

## ● Binary search

- $O(\lg n)$ ,  $\Omega(1)$

worst case	best case
$\Theta(n^2)$	$\Theta(n)$
$\Theta(n^2)$	$\Theta(n^2)$
$\Theta(n \lg n)$	$\Theta(n \lg n)$
$\Theta(\lg n)$	$\Theta(1)$

$$\begin{aligned} * O(\lg n) &= O\left(\frac{\log_2 n}{\log_2 2}\right) = O(\log_2 n) \\ &= O(\ln n) \end{aligned}$$

# Analogy

## ● Analogy

- $f(n) = \Theta(g(n)) \approx f(n) = g(n)$  in degree.
  - $f(n) = O(g(n)) \approx f(n) \leq g(n)$  in degree.
  - $f(n) = \Omega(g(n)) \approx f(n) \geq g(n)$  in degree.
  - $f(n) = o(g(n)) \approx f(n) < g(n)$  in degree.
  - $f(n) = \omega(g(n)) \approx f(n) > g(n)$  in degree.
- } 다른 제기

# Comparison of functions

$R$ : relation

## ● Comparison of functions

- Transitivity :  $aR_b \text{ and } bR_c \rightarrow aR_c$        $a=b \text{ \& } b=c \Rightarrow a=c$
- Reflexivity :  $aRa$  이 성립하는
- Symmetry :  $aR_b$  이 성립하면  $bRa$  이 성립할 때
- Transpose symmetry :  $aR_1b \Rightarrow bR_2a$   
ex)  $a \leq b \Rightarrow b \geq a$   
 $a < b \Rightarrow b > a$

# Comparison of functions

## ● Comparison of functions

- Transitivity (  $=, \leq, \geq, <, >$  )
- Reflexivity (  $=, \leq, \geq$  )
- Symmetry (  $=$  )
- Transpose symmetry (  $\leq \leftrightarrow \geq, < \leftrightarrow >$  )  
↳ 등호 포함

# Transitivity

## • Transitivity ( $=, \leq, \geq, <, >$ )

- $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n))$  imply  $f(n) = \Theta(h(n))$ ,
- $f(n) = O(g(n))$  and  $g(n) = O(h(n))$  imply  $f(n) = O(h(n))$ ,
- $f(n) = \Omega(g(n))$  and  $g(n) = \Omega(h(n))$  imply  $f(n) = \Omega(h(n))$ ,
- $f(n) = o(g(n))$  and  $g(n) = o(h(n))$  imply  $f(n) = o(h(n))$ ,
- $f(n) = \omega(g(n))$  and  $g(n) = \omega(h(n))$  imply  $f(n) = \omega(h(n))$ .

그런데  
해석이 가능

# Reflexivity

## ● Reflexivity ( $=, \leq, \geq$ )

- $f(n) = \Theta(f(n))$
- $f(n) = O(f(n))$
- $f(n) = \Omega(f(n))$



# Symmetry and transpose symmetry

## • Symmetry ( = )

- $f(n) = \Theta(g(n))$  if and only if  $g(n) = \Theta(f(n))$ .

*f(n)과 g(n) 같은 차수를 가진다.*

## • Transpose symmetry ( $\leq \leftrightarrow \geq$ , $< \leftrightarrow >$ )

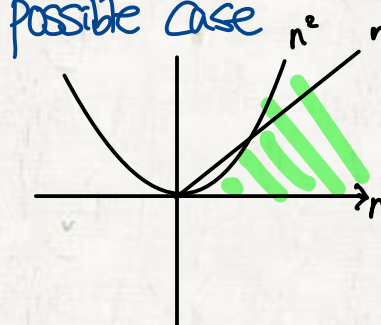
- $f(n) = O(g(n))$  if and only if  $g(n) = \Omega(f(n))$ ,
- $f(n) = o(g(n))$  if and only if  $g(n) = \omega(f(n))$ .

# Comparison of functions

## Trichotomy

- For any two real numbers  $a$  and  $b$ , exactly one of the following must hold:  $a < b$ ,  $a = b$ ,  $a > b$ .
- That is, any two numbers are comparable.
- Are any two functions asymptotically comparable?
  - Is it possible  $f(n) \neq O(g(n))$  and  $f(n) \neq \Omega(g(n))$  ?
  - $n$  and  $n^{1+\sin n}$

$$n^0 < n^{1+\sin n} < n^2$$



# Self-study

## • Exercise 3.1-1

- Show  $\max(f(n), g(n)) = \Theta(f(n) + g(n))$

## • Exercise 3.1-4

- Is  $2^{n+1} = O(2^n)$ ?  $\rightarrow \Theta(2 \cdot 2^n) = O(2^n)$
- Is  $2^{2n} = O(2^n)$ ?  $\times$

## • Problem 3-2 for $O$ , $\Theta$ , and $\Omega$ .

- Use  $\lg(n!) = \Theta(n \lg n)$