

# *Quicksort*

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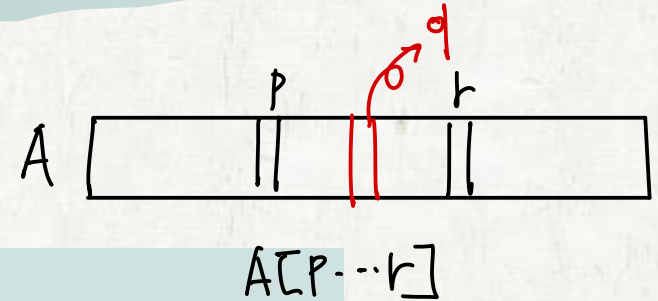
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# Contents

- **Quicksort**
- **Randomized quicksort**

# Quicksort

## ● Divide-and-Conquer paradigm



QUICKSORT( $A, p, r$ )

if  $p < r$

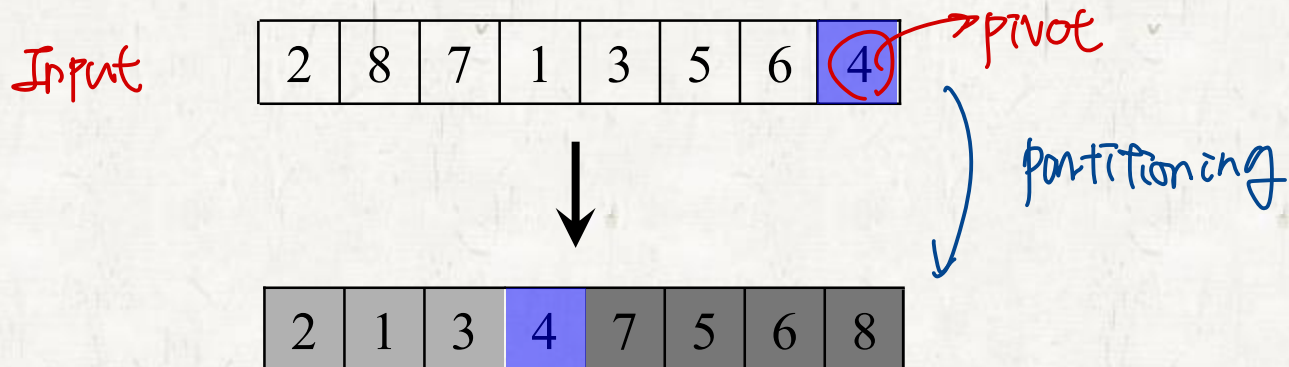
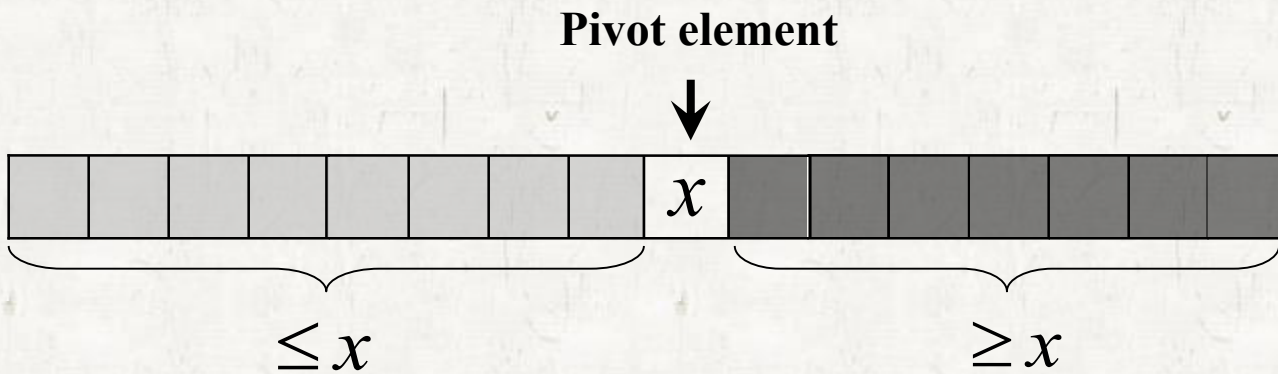
*pivot*  $q = \text{PARTITION}(A, p, r)$

QUICKSORT( $A, p, q - 1$ ) *LEFT*

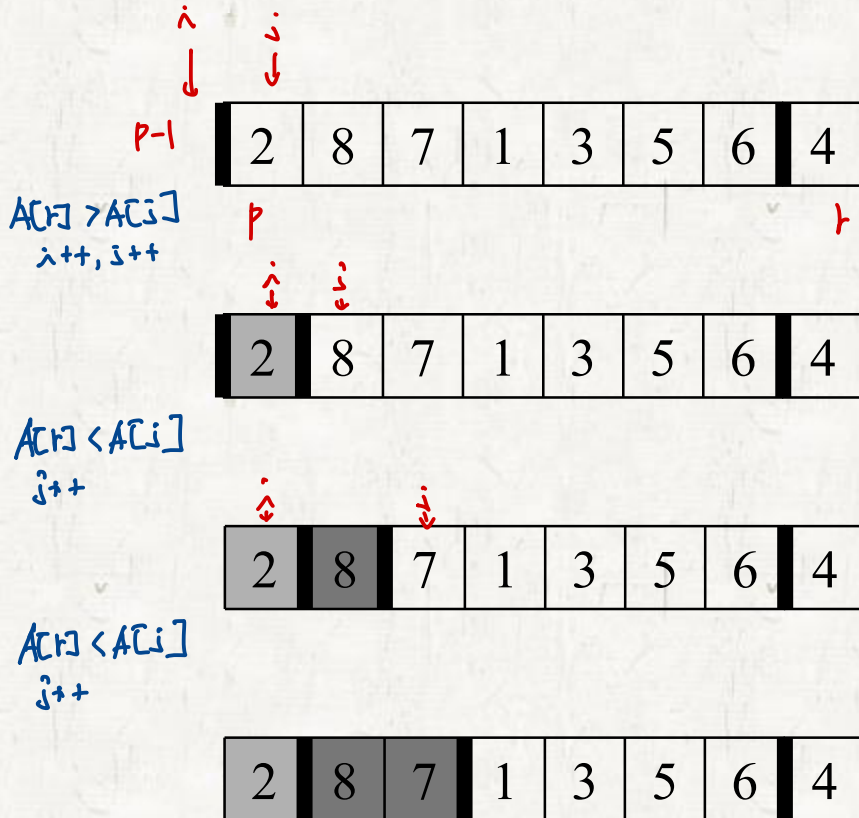
QUICKSORT( $A, q + 1, r$ ) *RIGHT*

# Quicksort

## Partition



# Quicksort



# Quicksort

## Partition

PARTITION( $A, p, r$ )

1  $x = A[r]$   $\rightarrow x$  is pivot

2  $i = p - 1$

3 **for**  $j = p$  **to**  $r - 1 \rightarrow \theta(r-p) = \theta(n)$

4 **if**  $A[j] \leq x$

5  $i = i + 1$

6 exchange  $A[i]$  with  $A[j]$

7 exchange  $A[i+1]$  with  $A[r]$

8 **return**  $i+1$   $\rightarrow$  equal to  $q$

$q$  is the index of  
the pivot after  
partitioning

Swap  
 $A[i+1]$  &  $A[r]$

# Quicksort

## Partition

- $\Theta(n)$  time.  $\rightarrow n = p - r$  (Size of ~~partition~~ array)

## Balanced partitioning vs. unbalanced partitioning

$\rightarrow$  partition 한 후의 좌, 우 배열이  
크기 상에 비례적인 경우



# Performance of quicksort

## • **Balanced partitioning**

- When PARTITION produces two subproblems of sizes  $\lfloor n/2 \rfloor$  and  $\lfloor n/2 \rfloor - 1$ .

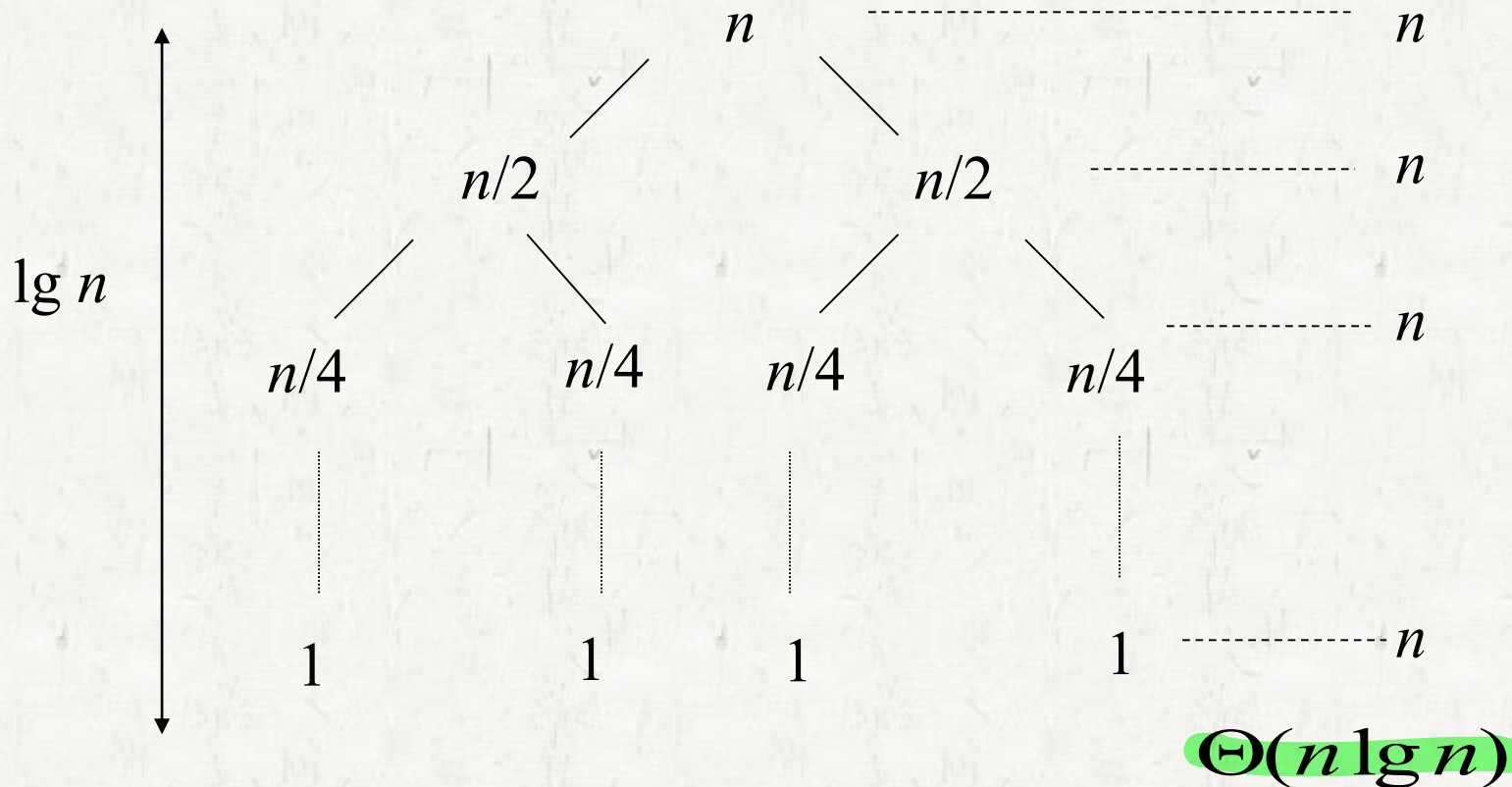


- $T(n) \leq 2T(n/2) + \Theta(n) = O(n \lg n)$



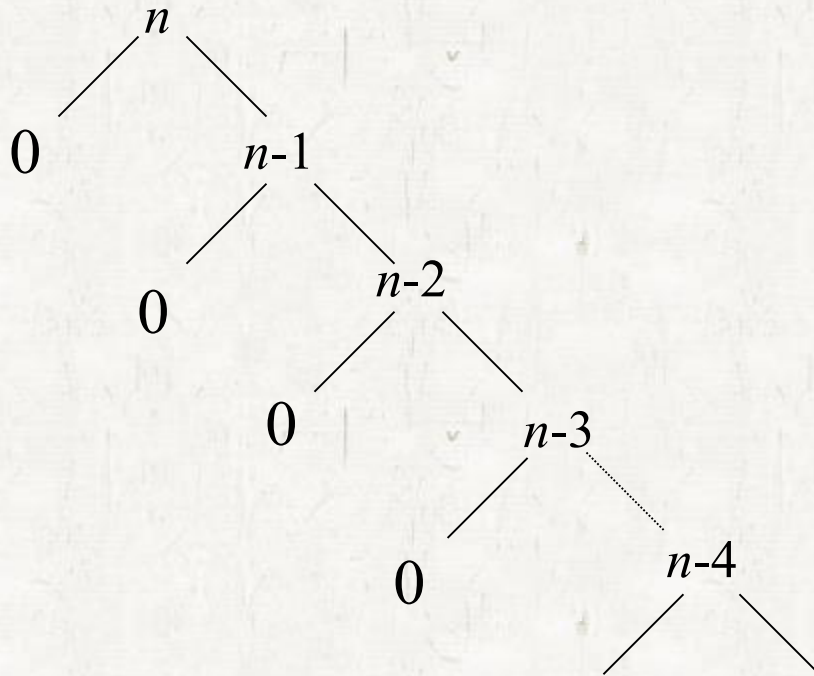
# Performance of quicksort

## Balanced partitioning



# Performance of quicksort

## ● Unbalanced partitioning



# Performance of quicksort

## Unbalanced partitioning

$$T(n) = \overset{\text{Quick Sort}}{T(n-1)} + \overset{\text{Partitioning}}{\Theta(n)} + \cancel{T(0)}$$

$$= \sum_{k=1}^n \Theta(k)$$

$$= \Theta\left(\sum_{k=1}^n k\right)$$

$$= \Theta(n^2).$$

$$T(n) - T(n-1) = \Theta(n)$$

$$T(n-1) - T(n-2) = \Theta(n-1)$$

⋮

$$T(2) - T(1) = \Theta(2)$$

$$T(n) = \Theta(1) + \Theta(2) + \dots + \Theta(n)$$

# Worst-case Analysis

## • Worst-case analysis

- Quicksort takes  $\Omega(n^2)$  time in worst case.
  - Consider the unbalanced partitioning.
- Is the unbalanced partitioning the worst case?

$\Theta(n^2)$ 에 대한 증명은 아니다.

# Worst-case Analysis



## Worst-case analysis

- Show that the running time of quicksort is  $O(n^2)$  by substitution method.

$$T(n) = \max_{0 \leq q \leq n-1} (T(q) + T(n-q-1)) + \Theta(n)$$

*Handwritten notes:* "worst case running time" under  $T(n)$ ; "partitioning" under  $\Theta(n)$ ; red arrows pointing to  $T(0) + T(n-1)$  and  $T(1) + T(n-2)$  with a bracket;  $T(n-1) + T(0)$  written below the main equation.

- Show that  $T(n) \leq cn^2$  for some constant  $c$ .  $\Rightarrow T(n) = O(n^2)$

$$\begin{aligned} T(n) &\leq \max_{0 \leq q \leq n-1} (cq^2 + c(n-q-1)^2) + \Theta(n) \\ &= c \cdot \max_{0 \leq q \leq n-1} (q^2 + (n-q-1)^2) + \Theta(n) \\ &= c \cdot \max_{0 \leq q \leq n-1} (2q^2 - 2q(n-1) + (n-1)^2) + \Theta(n) \\ &= c \cdot \max_{0 \leq q \leq n-1} (2(q - (n-1)/2)^2 + (n-1)^2/2) + \Theta(n) \end{aligned}$$

*Handwritten notes:*  $((n-1)-q)^2$  above the second term in the second line;  $2q^2 - 2(n-1)q + (n-1)^2$  below the third line.

# Worst-case Analysis

## Worst-case analysis

- The internal expression is maximized when  $q = 0$  or  $n-1$ .

$$T(n) \leq c \cdot \max_{0 \leq q \leq n-1} (2(q - (n-1)/2)^2 + (n-1)^2 / 2) + \Theta(n)$$

minimize:  $q = \frac{n-1}{2}$   
maximize:  $q=0$  or  $q=n-1$

$$= c \cdot (n-1)^2 + \Theta(n)$$

$$= \underbrace{cn^2 - c(2n-1)}_{\leq 0} + \Theta(n)$$

$\Theta(n) \Rightarrow d_1 n \leq \Theta(n) \leq d_2 n$   
if  $c$  large enough so that  $C(2n-1) \geq d_1 n$

$$\leq cn^2$$

- We can pick the constant  $c$  large enough so that the  $c(2n-1)$  term dominates the  $\Theta(n)$  term.
- Thus,  $T(n) = O(n^2)$ .

In worst case



# Average-case Analysis

## • Average-case analysis

Balanced  $O(n \log n)$   $\Rightarrow$  Average  $O(n \log n)$   
unbalanced  $O(n^2)$

$$\begin{aligned} E[T(n)] &= \frac{1}{n} \left( \sum_{q=1}^n (E[T(q-1)] + E[T(n-q)]) + \Theta(n) \right) \\ &= \frac{2}{n} \left( \sum_{q=2}^{n-1} (E[T(q)]) + \Theta(n) \right) \end{aligned}$$

- By substitution method, show  $T(n) \leq cn \lg n$  for some  $c$ .
- Problem 7-3.



# Average-case Analysis II

## • Average Case Analysis II

- Let  $X$  be the <sup>total</sup> number of comparisons over the entire execution of QUICKSORT on an  $n$ -element array.
- Then the average running time of QUICKSORT is
  - $O(n + \underline{E[X]})$ .
- We will not attempt to analyze how many comparisons are made in *each* PARTITION.
- Rather, we will derive an overall bound on the total number of comparisons.

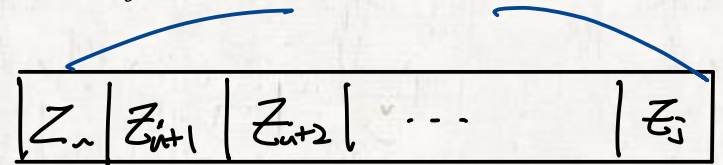
# Average-case Analysis II

- Let  $z_i$  denote the  $i$ th smallest element in the sorted array.
- $Z_{ij} = \{z_i, z_{i+1}, \dots, z_j\}$  ↳ 모든 element은 pivot과 최소 한번이상 비교되기 때문
- Each pair of elements  $z_i$  and  $z_j$  is compared at most once.
  - An element is compared only to the pivot element in each PARTITION.
  - The pivot element used in a PARTITION is never again compared to any other elements. → pivot은 더 이상 비교되지 않는다.

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \Pr\{z_i \text{ is compared to } z_j\} \quad (i < j)$$

# Average-case Analysis II

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \Pr\{z_i \text{ is compared to } z_j\}$$



- $\Pr\{z_i \text{ is compared to } z_j\}$
- $\Pr\{z_i \text{ or } z_j \text{ is first pivot chosen from } Z_{ij}\}$

$z_i$  나  $z_j$  를 pivot-로  
고를 확률

$$= \frac{2}{j-i+1}$$

$Z_{ij}$ 에서 pivot 하나를  
선택하면 다른 배열은  
partitioning 됨  
 $\Rightarrow$  Already Sorted!!

$$E[x] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1}$$

# Average-case Analysis II

$k = j - i$ , the harmonic series

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1}$$

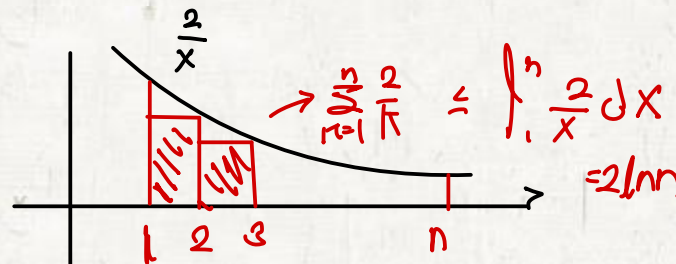
$$= \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1}$$

$$< \sum_{i=1}^{n-1} \sum_{k=1}^n \frac{2}{k}$$

$$= \sum_{i=1}^{n-1} O(\lg n)$$

$$= O(n \lg n)$$

$$j-i = k, n = i+k, k = n-i$$



equation(A.7)

$$\sum_{k=1}^n \frac{2}{k} \leq 2 \ln n + 2 = O(\lg n)$$

# Randomized quicksort

**RANDOMIZED-PARTITION( $A, p, r$ )**

1.  **$i = \text{RANDOM}(p, r)$**
2. exchange  $A[r]$  with  $A[i]$
3. **return** PARTITION( $A, p, r$ )

# Randomized quicksort

**RANDOMIZED-QUICKSORT( $A, p, r$ )**

**1 if  $p < r$**

**2      $q = \text{RANDOMIZED-PARTITION}(A, p, r)$**

**3     RANDOMIZED-QUICKSORT( $A, p, q - 1$ )**

**4     RANDOMIZED-QUICKSORT( $A, q + 1, r$ )**



# Self-study

- **Exercise 7.1-2**
  - Balanced partition with same elements
- **Exercise 7.2-4**
  - Sorting almost-sorted input