

# Deep Face Recognition: Survey

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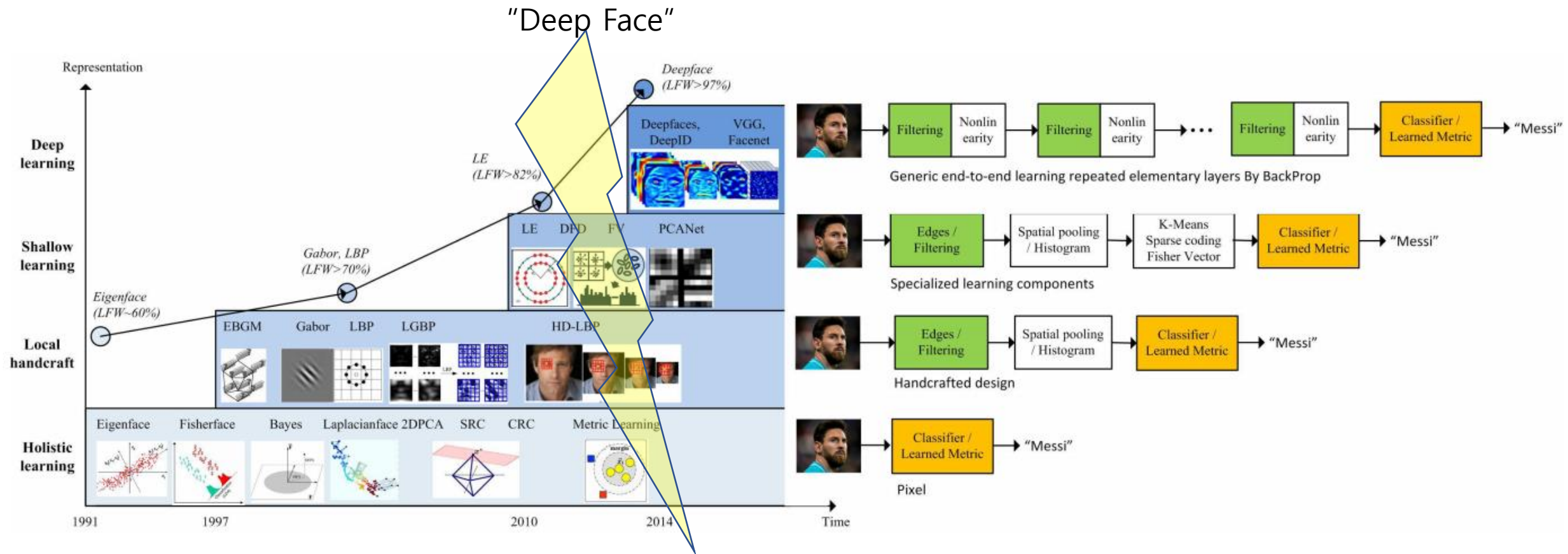
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20151739 공대현

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# • Face Recognition(FR)의 발전

-2014년을 기점으로 DL 사용 -> 비약적인 정확도 상승



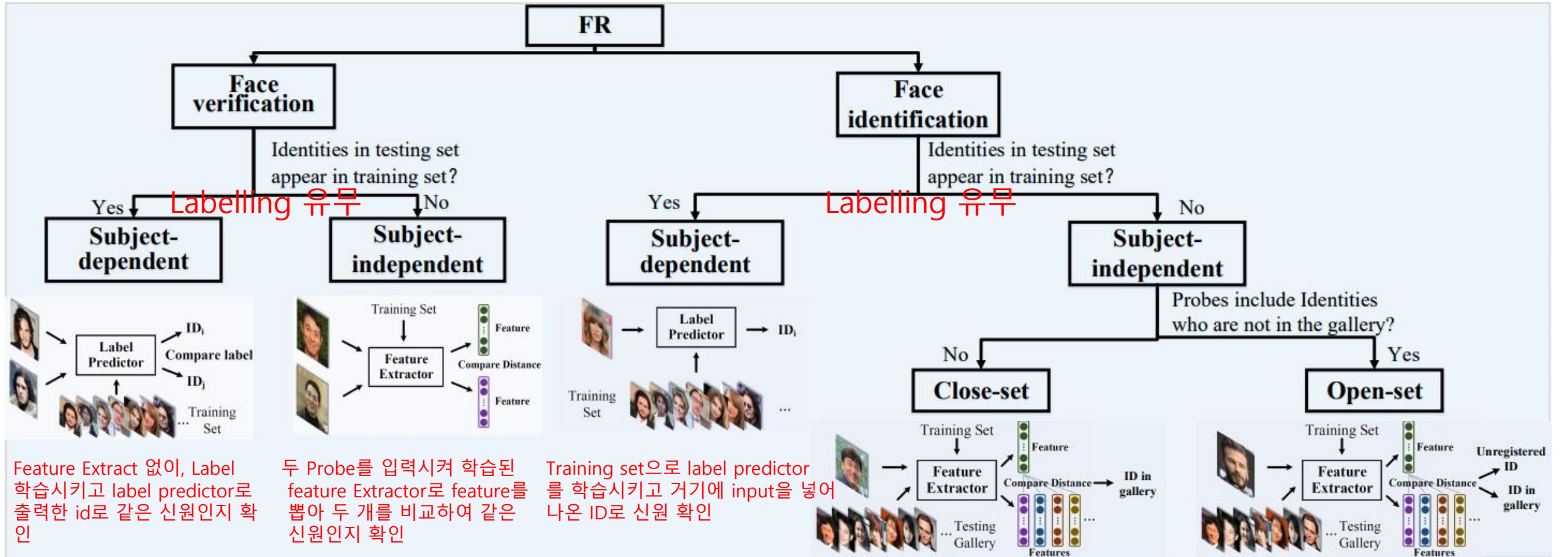
- **Main Point of Face Recognition**
  - **Face Processing**
  - **Loss Function**

- **Prior Knowledge**
  - Gallery : Subjects enrolled in the system (Training Data)
  - Probe : New Subjects (Test Data)

- **Prior Knowledge**

- Face Verification
$$r(x_1, x_2) = \log \frac{P(x_1, x_2 | H_I)}{P(x_1, x_2 | H_E)}$$
  - 두 사람(Gallery-Probe)이 같은 사람인지 비교
- Face Identification
  - 여러 사람 중 가장 비슷한 사람 찾아냄(Gallery에서 Probe와 가장 비슷한 사람 찾아냄)
- Both use Feature Similarity (Metric)

# • Prior Knowledge



Feature Extract 없이, Label 학습시키고 label predictor로 출력한 id로 같은 신원인지 확인

두 Probe를 입력시켜 학습된 feature Extractor로 feature를 뽑아 두 개를 비교하여 같은 신원인지 확인

Training set으로 label predictor를 학습시키고 거기에 input을 넣어 나온 ID로 신원 확인

Training set으로 Feature Extractor를 학습시키고 거기에 input을 넣어 나온 feature와 학습된 feature를 비교하여 신원확인

Training set으로 label predictor를 학습시키고 거기에 input을 넣어 나온 ID로 신원 확인하는데, input이 gallery에 없는 신원일 수 있음

# • Prior Knowledge

## Dataset

TABLE VI  
THE COMMONLY USED FR DATASETS FOR TRAINING

| Datasets                      | Publish Time | #photos                         | #subjects                       | # of photos per subject <sup>1</sup> | Key Features  |
|-------------------------------|--------------|---------------------------------|---------------------------------|--------------------------------------|---|
| MS-Celeb-1M (Challenge 1)[69] | 2016         | 10M<br>3.8M(clean)              | 100,000<br>85K(clean)           | 100                                  | breadth; central part of long tail; celebrity; knowledge base           |
| MS-Celeb-1M (Challenge 2)[69] | 2016         | 1.5M(base set)<br>1K(novel set) | 20K(base set)<br>1K(novel set)  | 1/-/100                              | low-shot learning; tailed data; celebrity                               |
| MS-Celeb-1M (Challenge 3) [2] | 2018         | 4M(MSv1c)<br>2.8M(Asian-Celeb)  | 80K(MSv1c)<br>100K(Asian-Celeb) | -                                    | breadth;central part of long tail; celebrity                            |
| MegaFace [105], [145]         | 2016         | 4.7M                            | 672,057                         | 3/7/2469                             | breadth; the whole long tail;commonalty                                 |
| VGGFace2 [22]                 | 2017         | 3.31M                           | 9,131                           | 87/362.6/843                         | depth; head part of long tail; cross pose, age and ethnicity; celebrity |
| CASIA WebFace [243]           | 2014         | 494,414                         | 10,575                          | 2/46.8/804                           | celebrity   |
| UMDFaces-Videos [10]          | 2017         | 22,075                          | 3,107                           | –                                    | video   |
| VGGFace [149]                 | 2015         | 2.6M                            | 2,622                           | 1,000                                | depth; celebrity; annotation with bounding boxes and coarse pose        |
| CelebFaces+ [187]             | 2014         | 202,599                         | 10,177                          | 19.9                                 | private   |
| Google [176]                  | 2015         | >500M                           | >10M                            | 50                                   | private   |
| Facebook [195]                | 2014         | 4.4M                            | 4K                              | 800/1100/1200                        | private   |

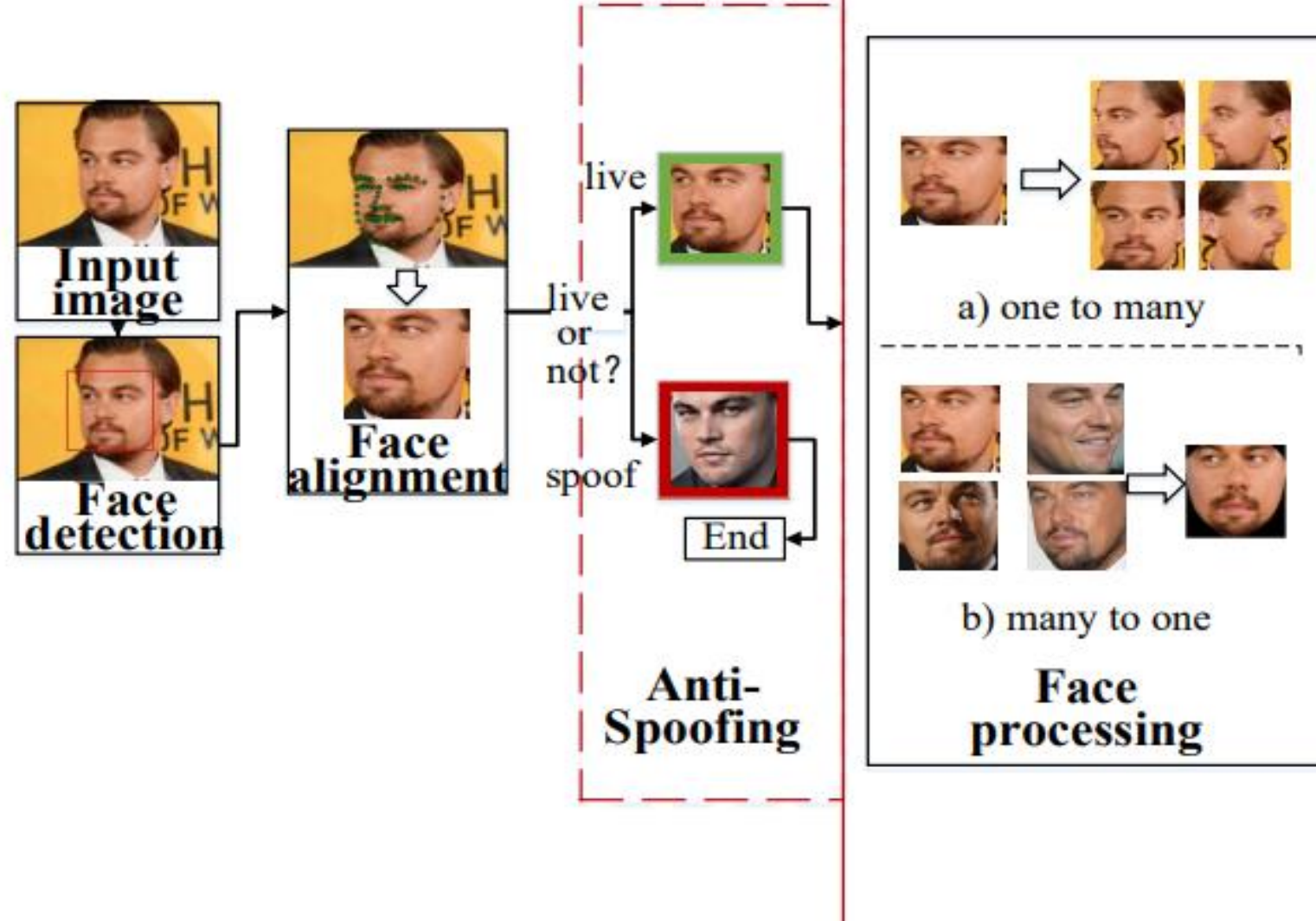
<sup>1</sup> The min/average/max numbers of photos or frames per subject

- **Face Processing**

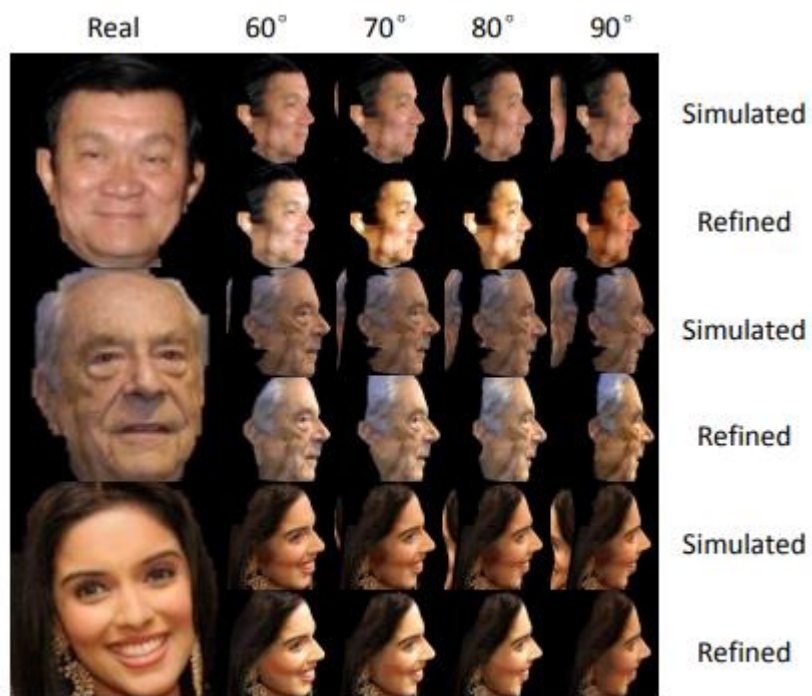
- Conditions( **Poeses**, illumination, expressions, occlusion ...) affect Performance of FR -> need to be data-processed
- "One-to-many augmentation": single image -> images of the pose variability ( ex: 정면 -> 여러각도의 얼굴 생성)
- "Many-to-one normalization" : recovering the canonical view of face images from one or many images of a nonfrontal view (ex : 여러각도의 얼굴 -> 정면으로 normalize)



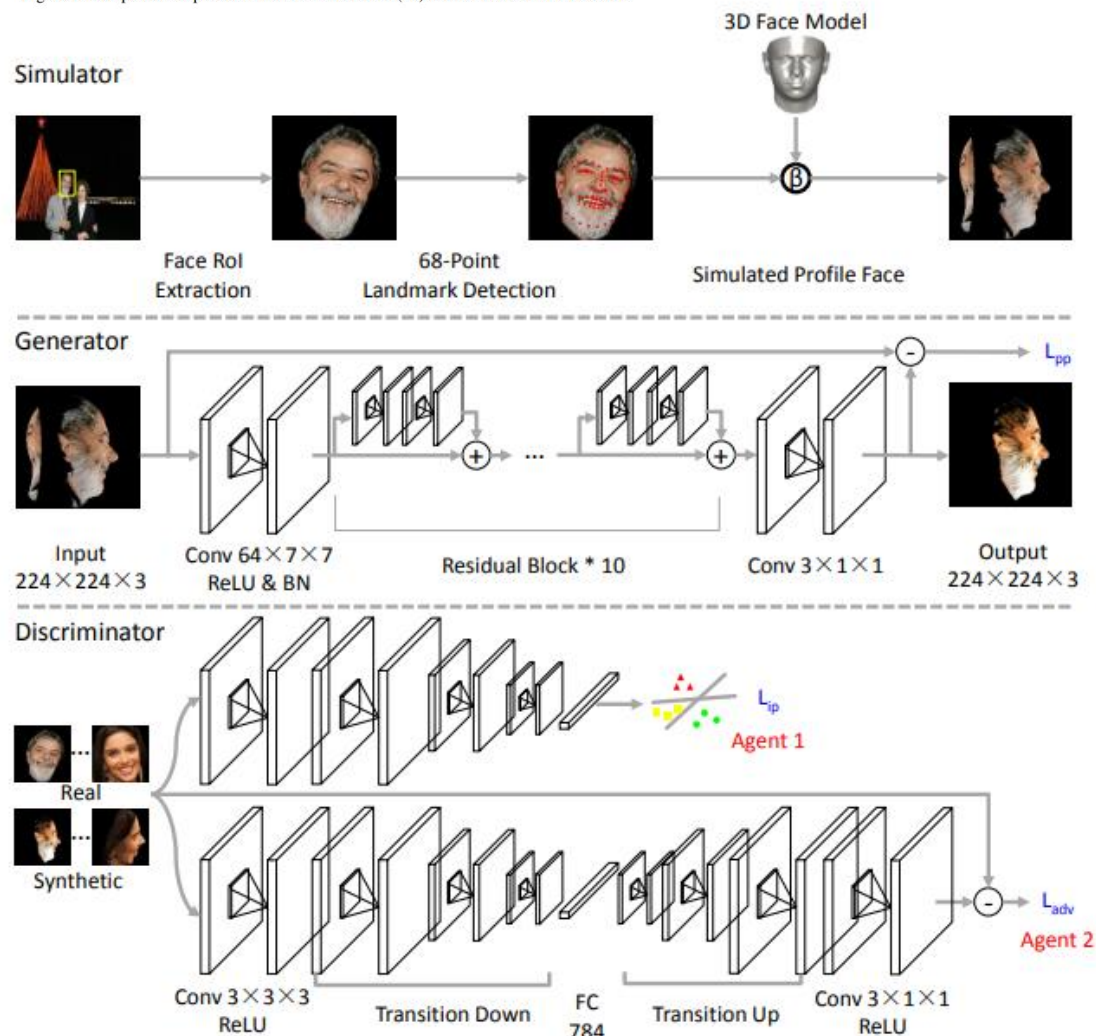
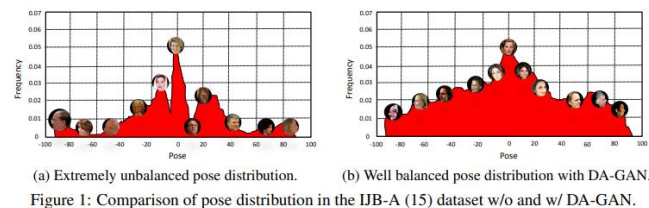
- Face Processing



- **Face Processing**
  - DA GAN (Dual Agent GAN)



(a) Refined results of DA-GAN.



# • Face Processing

## - CG GAN (Dual Agent GAN)

Input -60° -45° -30° -15° 0° 15° 30° 45° 60°



use the first 200 subjects under 9 poses ( $-60^\circ \sim +60^\circ$ ) and 20 illuminations for training,  
and use the remaining 137 subjects under 9 poses and 20 illuminations for testing

Table I  
RECOGNITION RATES (%) COMPARING CROSS-GENERATING, FRONTAL REPRESENTATION AND REMOTE CODING

| FIR Method             | 0°          | 15°         | 30°         | 45°         | 60°         | Average     |
|------------------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Cross-generating       | <b>99.7</b> | <b>99.1</b> | <b>97.2</b> | <b>93.9</b> | <b>85.3</b> | <b>94.5</b> |
| Frontal representation | 99.1        | 97.9        | 93.8        | 91.2        | 82.4        | 92.1        |
| Remote coding          | 98.7        | 96.2        | 93.0        | 89.8        | 80.5        | 90.9        |

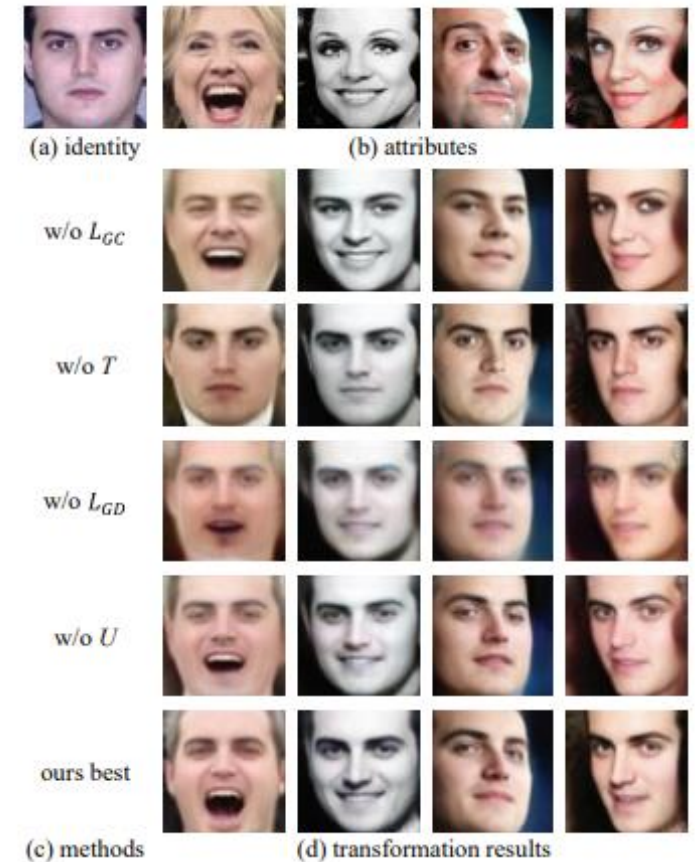
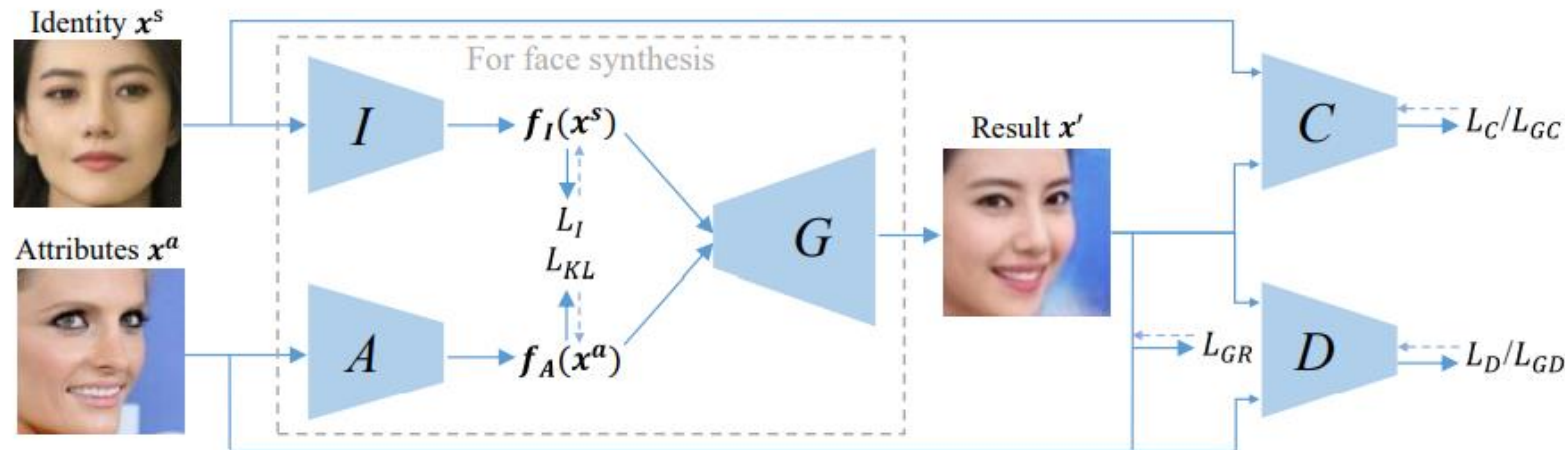
Table III  
BENCHMARK COMPARISON OF RECOGNITION RATES (%) ON MULTI-PIE

| Method          | 0°          | 15°         | 30°         | 45°         | 60°         | Average     |
|-----------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Zhu et al. [3]  | 94.3        | 90.7        | 80.7        | 64.1        | 45.9        | 72.9        |
| Yim et al. [7]  | 99.5        | 95.0        | 88.5        | 79.9        | 61.9        | 83.3        |
| Tran et al. [2] | 97.0        | 94.0        | 90.1        | 86.2        | 83.2        | 89.2        |
| CG-GAN          | <b>99.7</b> | <b>99.1</b> | <b>97.2</b> | <b>93.9</b> | <b>85.3</b> | <b>94.5</b> |



# • Face Processing

## Towards Open-Set Identity Preserving Face Synthesis



- **Face Processing**
  - Different Data Processing Approaches

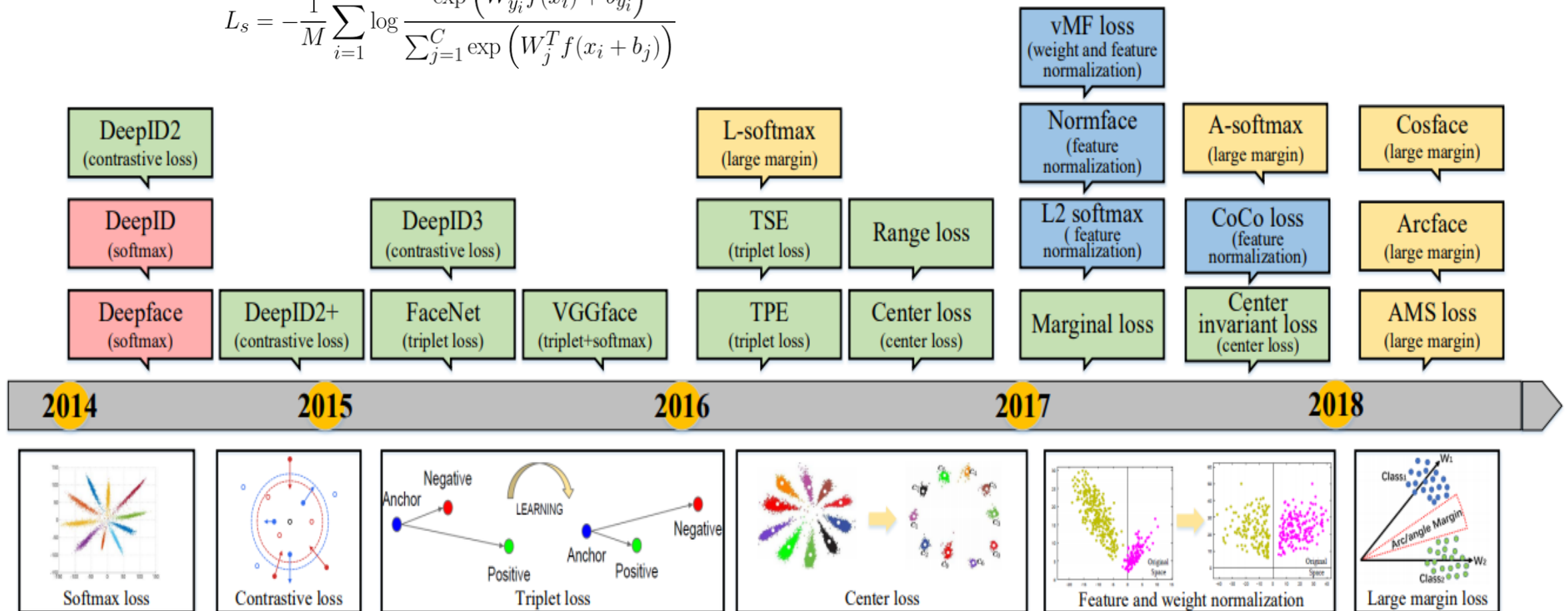
TABLE I  
DIFFERENT DATA PREPROCESSING APPROACHES

| Data processing | Brief Description   | Subsettings   |
|-----------------|---|---|
| one to many     | generating many patches or images of the pose variability from a single image           | 3D model [139], [137], [165], [166], [53]<br>[67], [197], [196]           |
|                 |   | 2D deep model [279], [267], [182]   |
|                 |   | data augmentation [124], [276], [51]<br>[222], [187], [188], [192], [202] |
| many to one     | recovering the canonical view of face images from one or many images of nonfrontal view | SAE [101], [264], [240]   |
|                 |   | CNN [278], [280], [89], [37], [246]                                       |
|                 |   | GAN [91], [198], [41], [249]  |

# Loss Function

- Softmax Loss : if intra-variation > inter-variation, not good

$$L_s = -\frac{1}{M} \sum_{i=1}^M \log \frac{\exp(W_{y_i}^T f(x_i) + b_{y_i})}{\sum_{j=1}^C \exp(W_j^T f(x_i + b_j))}$$



# • Loss Function

## • Euclidean-distance-based loss : metric learning method

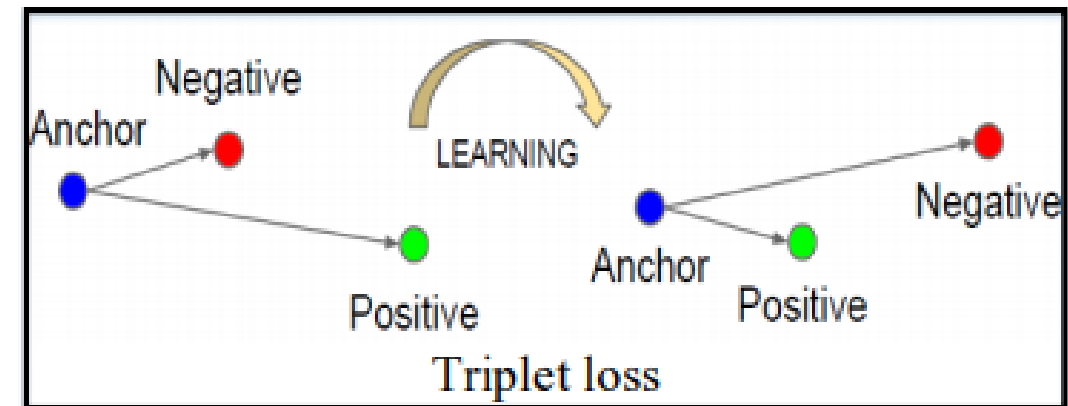
$$\mathcal{L} = y_{ij} \max(0, \|f(x_i) - f(x_j)\|_2 - \epsilon^+) + (1 - y_{ij}) \max(0, \epsilon^- - \|f(x_i) - f(x_j)\|_2)$$

$$\begin{cases} \text{If } x_i = x_j, y_{ij} = 1 \rightarrow \text{verification} \\ \text{If } x_i \neq x_j, y_{ij} = -1 \rightarrow \text{identification} \end{cases}$$

FaceNet : Triplet Loss  $\|f(x_i^a) - f(x_i^p)\|_2^2 + \alpha < -\|f(x_i^a) - f(x_i^n)\|_2^2$

Minimizes the distance between an anchor and a positive sample of the same identity and maximizes the distance between the anchor and a negative sample of a different identity

단점: Training instability due to the selection of effective training samples



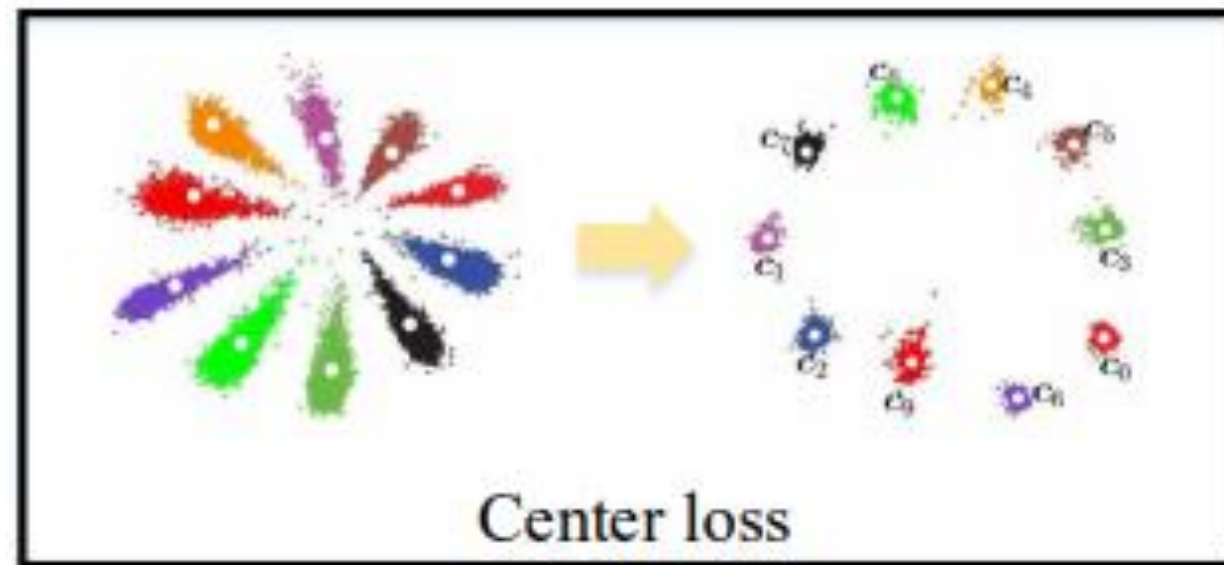
- **Loss Function**

- **Euclidean-distance-based loss : metric learning method**

$$\mathcal{L}_C = \frac{1}{2} \sum_{i=1}^m \|x_i - c_{y_i}\|_2^2$$

단점 : Massive GPU memory consumption  
on the classification layer

Center loss:

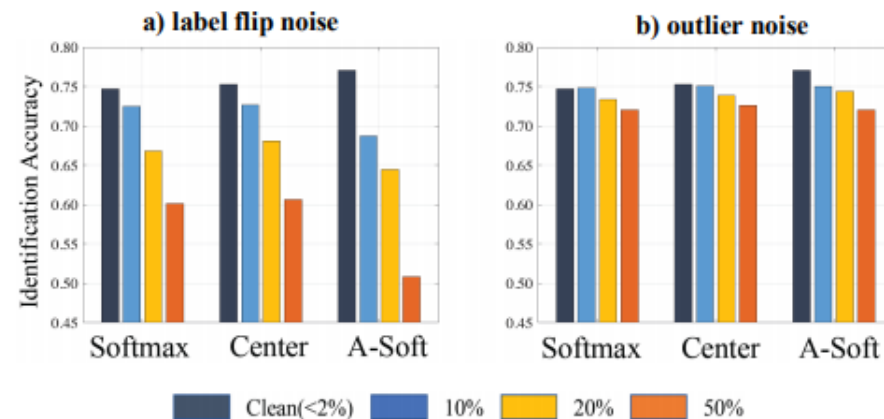




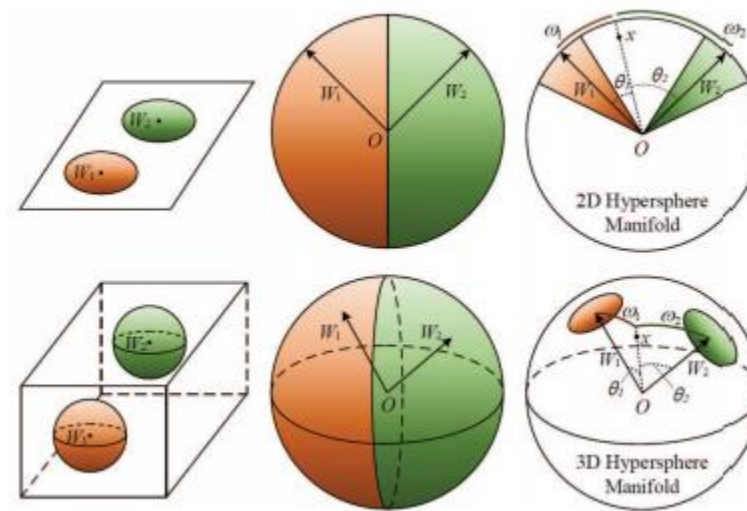
- Loss Function
  - Angular/cosine-margin-based Loss

Hypersphere Manifold with an angular margin

단점: clean dataset이 아니면 성능이  
Center loss나 Softmax보다 안좋다



$$\mathcal{L}_i = -\log \left( \frac{e^{\|W_{y_i}\| \|x_i\| \varphi(\theta_{y_i})}}{e^{\|W_{y_i}\| \|x_i\| \varphi(\theta_{y_i})} + \sum_{j \neq y_i} e^{\|W_{y_i}\| \|x_i\| \cos(\theta_j)}} \right)$$



A-Softmax

- **Loss Function**
  - Angular/cosine-margin-based Loss

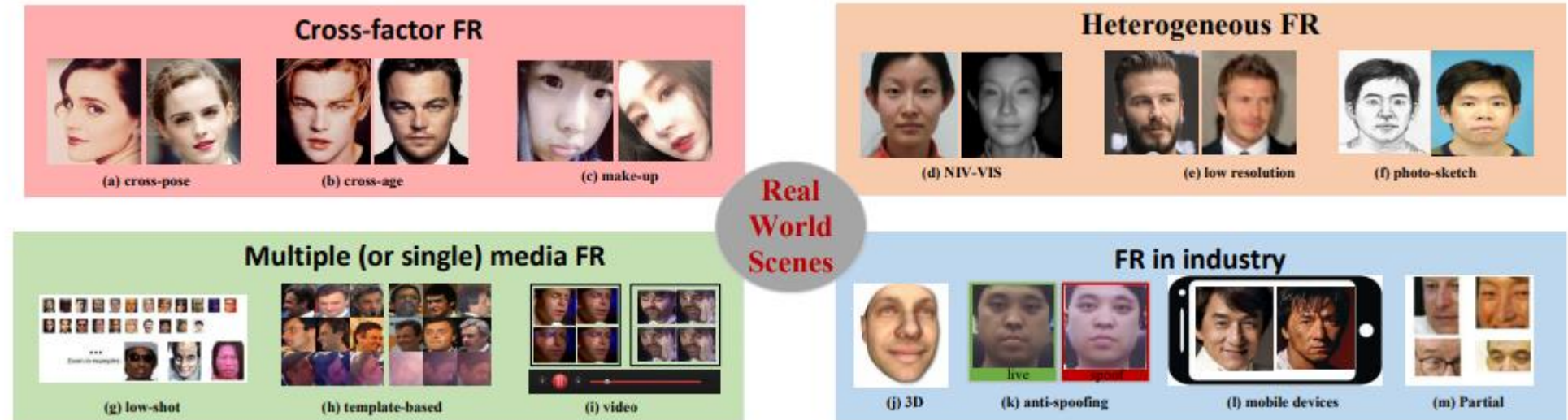
TABLE V  
DECISION BOUNDARIES FOR CLASS 1 UNDER BINARY CLASSIFICATION CASE, WHERE  $\hat{x}$  IS THE NORMALIZED FEATURE. [42]

| Loss Functions   | Decision Boundaries  |
|------------------|--|
| Softmax          | $(W_1 - W_2)x + b_1 - b_2 = 0$                                 |
| L-Softmax [126]  | $\ x\  (\ W_1\  \cos(m\theta_1) - \ W_2\  \cos(\theta_2)) > 0$ |
| A-Softmax [125]  | $\ x\  (\cos m\theta_1 - \cos\theta_2) = 0$                    |
| CosineFace [205] | $\hat{x} (\cos\theta_1 - m - \cos\theta_2) = 0$                |
| ArcFace [42]     | $\hat{x} (\cos(\theta_1 + m) - \cos\theta_2) = 0$              |

|                    | Megaface challenge1       |                              |                           |                              |
|--------------------|---------------------------|------------------------------|---------------------------|------------------------------|
|                    | FaceScrub                 |                              | FGNet                     |                              |
| Method             | Rank1<br>@10 <sup>6</sup> | TPR<br>@10 <sup>-6</sup> FPR | Rank1<br>@10 <sup>6</sup> | TPR<br>@10 <sup>-6</sup> FPR |
| Arcface [42]       | 0.9836                    | 0.9848                       | -                         | -                            |
| Cosface [205]      | 0.9833                    | 0.9841                       | -                         | -                            |
| A-softmax [125]    | 0.9743                    | 0.9766                       | -                         | -                            |
| Marginal loss [43] | 0.8028                    | 0.9264                       | 0.6643                    | 0.4370                       |

- Challenges

- Data noise
- Data bias (Age, Race, Pose...)



- **Reference**

<https://arxiv.org/abs/1804.06655>