

# Learning

- Learning agents
- Inductive learning
  - Different Learning Scenarios
  - Evaluation
- Neural Networks
  - Perceptrons
  - Multilayer Perceptrons
  - Deep Learning
- Reinforcement Learning
  - Temporal Differences
  - Q-Learning
  - SARSA

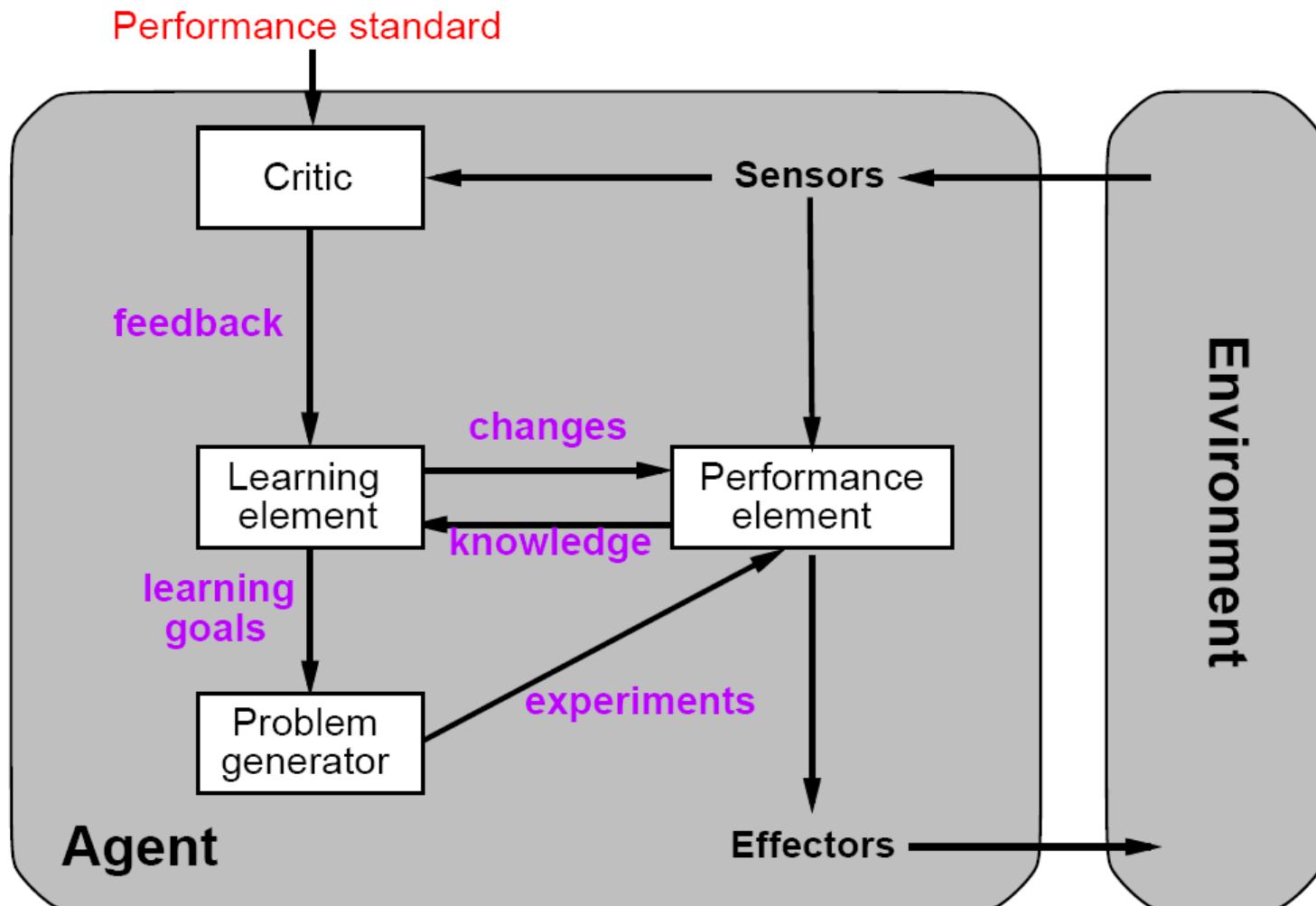
Material from  
Russell & Norvig,  
chapters 18.1,  
18.2, 20.5 and 21

Slides based on Slides  
by Russell/Norvig,  
Ronald Williams,  
and Torsten Reil

# Learning

- Learning is essential for **unknown environments**,
  - i.e., when designer lacks omniscience
- Learning is useful as a **system construction method**,
  - i.e., expose the agent to reality rather than trying to write it down
- Learning modifies the agent's decision mechanisms to **improve performance**

# Learning Agents



# Learning Element

- Design of a learning element is affected by
  - Which components of the performance element are to be learned
  - What feedback is available to learn these components
  - What representation is used for the components
- Type of feedback:
  - Supervised learning:
    - correct answers for each example
  - Unsupervised learning:
    - correct answers not given
  - Reinforcement learning:
    - occasional rewards for good actions

# Different Learning Scenarios

## Supervised Learning

- A teacher provides the value for the target function for all training examples (labeled examples)
- concept learning, classification, regression

## Reinforcement Learning

- The teacher only provides feedback but not example values

## Semi-supervised Learning

- Only a subset of the training examples are labeled

## Unsupervised Learning

- There is no information except the training examples
- clustering, subgroup discovery, association rule discovery

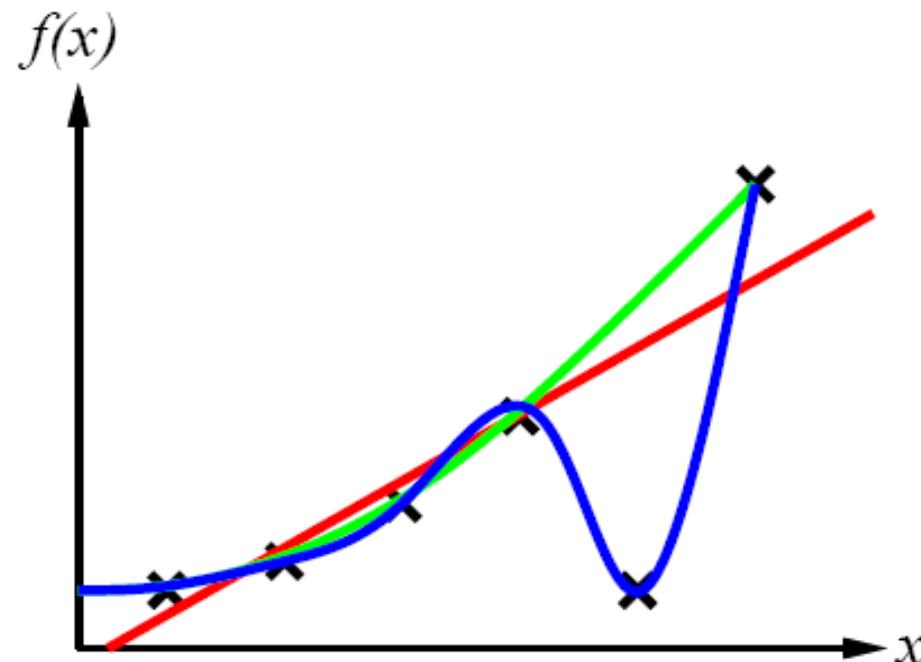
# Inductive Learning

Simplest form: learn a function from examples

- $f$  is the (unknown) target function
- An example is a pair  $(x, f(x))$
- Problem: find a hypothesis  $h$ 
  - given a training set of examples
  - such that  $h \approx f$
  - on all examples
    - i.e. the hypothesis must generalize from the training examples
- This is a highly simplified model of real learning:
  - Ignores prior knowledge
  - Assumes examples are given

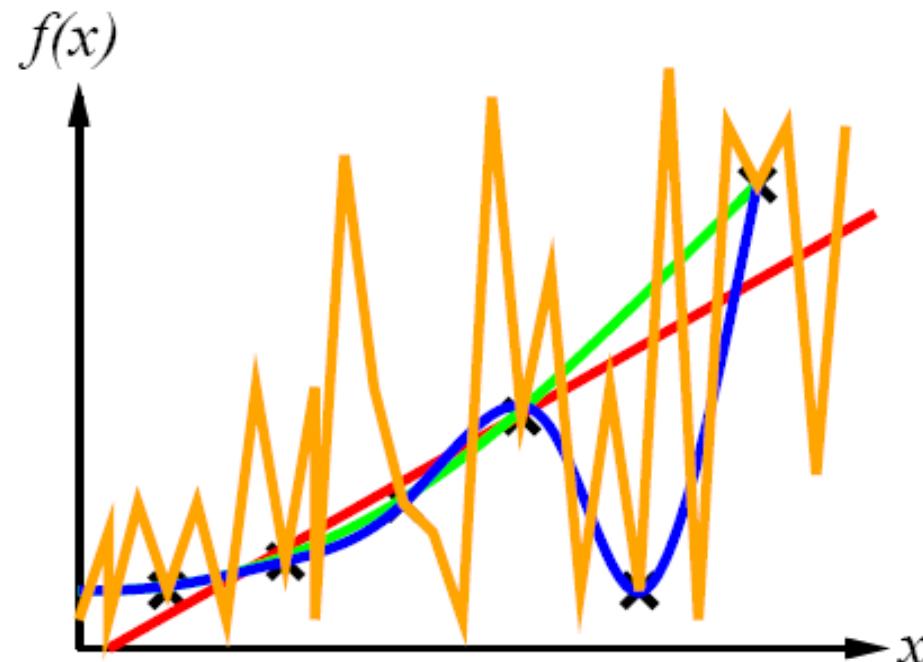
# Inductive Learning Method

- Construct/adjust  $h$  to agree with  $f$  on training set
  - $h$  is **consistent** if it agrees with  $f$  on all examples
- Example:
  - curve fitting



# Inductive Learning Method

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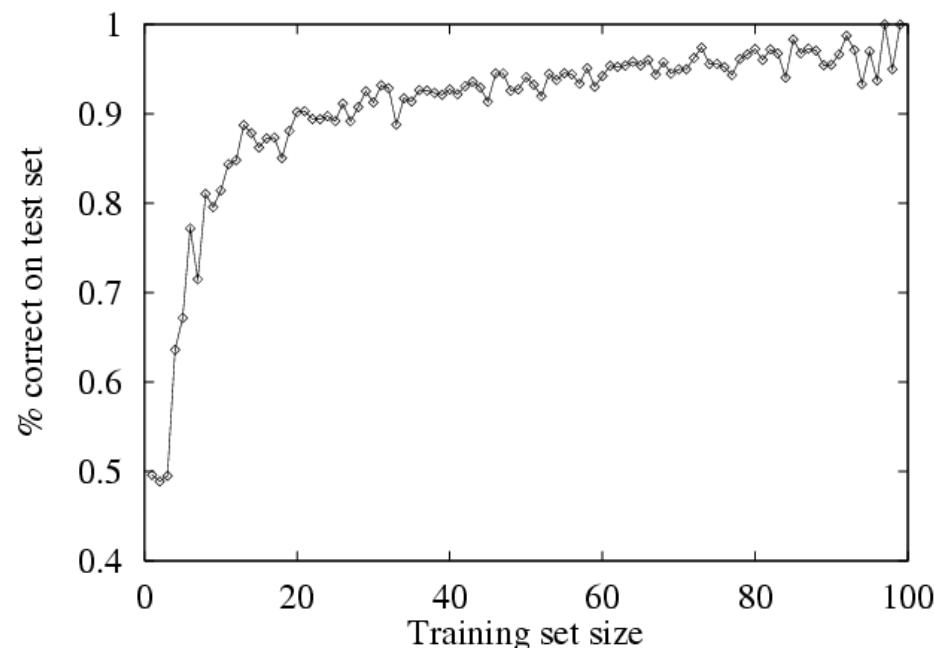


- **Ockham's Razor**
  - The best explanation is the simplest explanation that fits the data
- **Overfitting Avoidance**
  - maximize a combination of consistency and simplicity

# Performance Measurement

- How do we know that  $h \approx f$ ?
  - Use theorems of computational/statistical learning theory
  - Or try  $h$  on a new **test set** of examples where  $f$  is known  
(use **same distribution** over example space as training set)

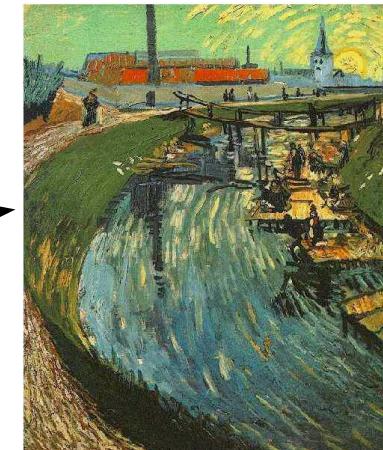
**Learning curve** = % correct on test set over training set size



# Pigeons as Art Experts

Famous experiment (Watanabe *et al.* 1995, 2001)

- Pigeon in Skinner box
- Present paintings of two different artists (e.g. Chagall / Van Gogh)
- Reward for pecking when presented a particular artist



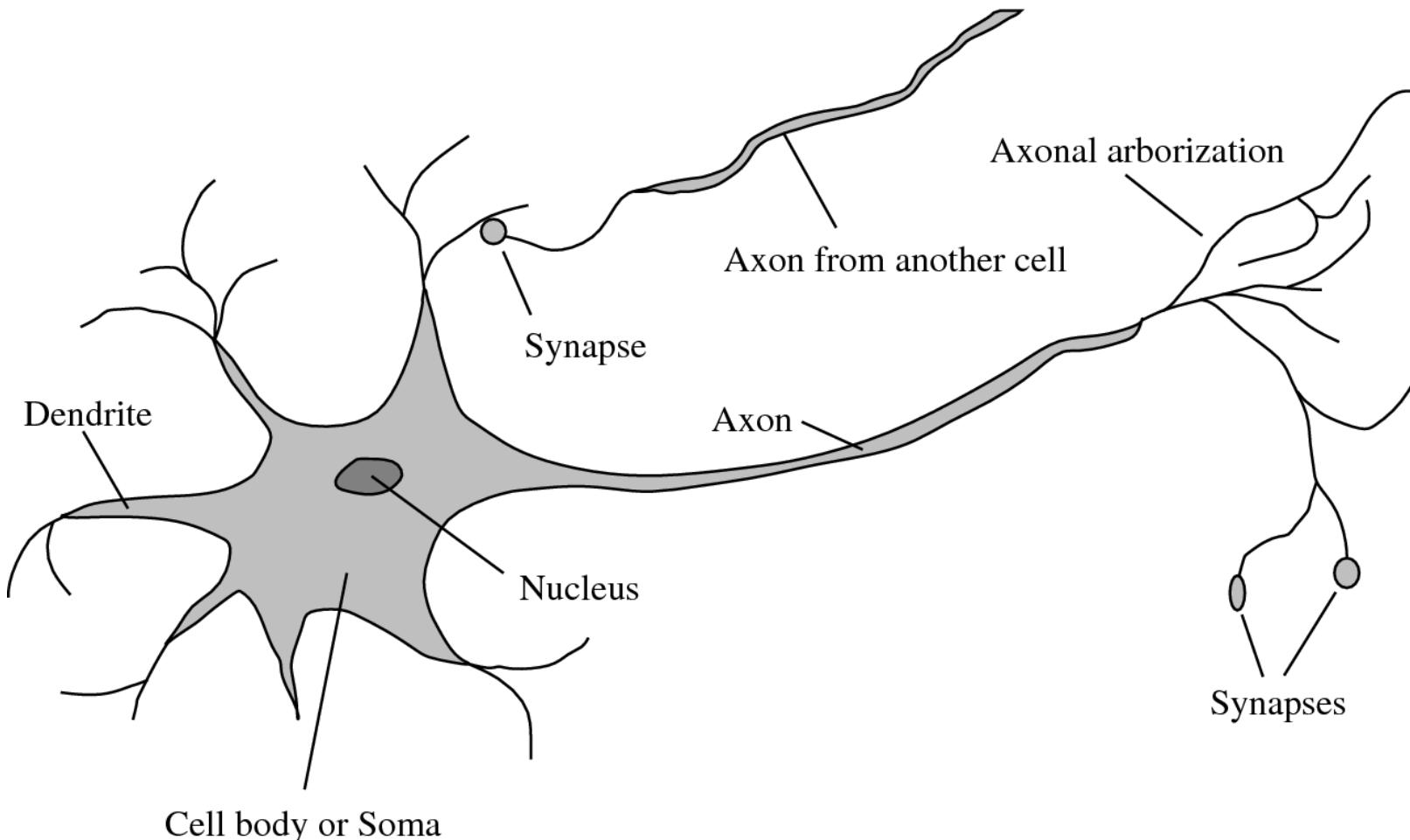
# Results

- Pigeons were able to discriminate between Van Gogh and Chagall with 95% accuracy
    - when presented with pictures they had been trained on
  - Discrimination still 85% successful for previously unseen paintings of the artists
- Pigeons do not simply memorise the pictures
- They can extract and recognise patterns (the ‘style’)
  - They generalise from the already seen to make predictions
- This is what neural networks (biological and artificial) are good at (unlike conventional computer)

# What are Neural Networks?

- Models of the brain and nervous system
- Highly parallel
  - Process information much more like the brain than a serial computer
- Learning
- Very simple principles
- Very complex behaviours
- Applications
  - As powerful problem solvers
  - As biological models

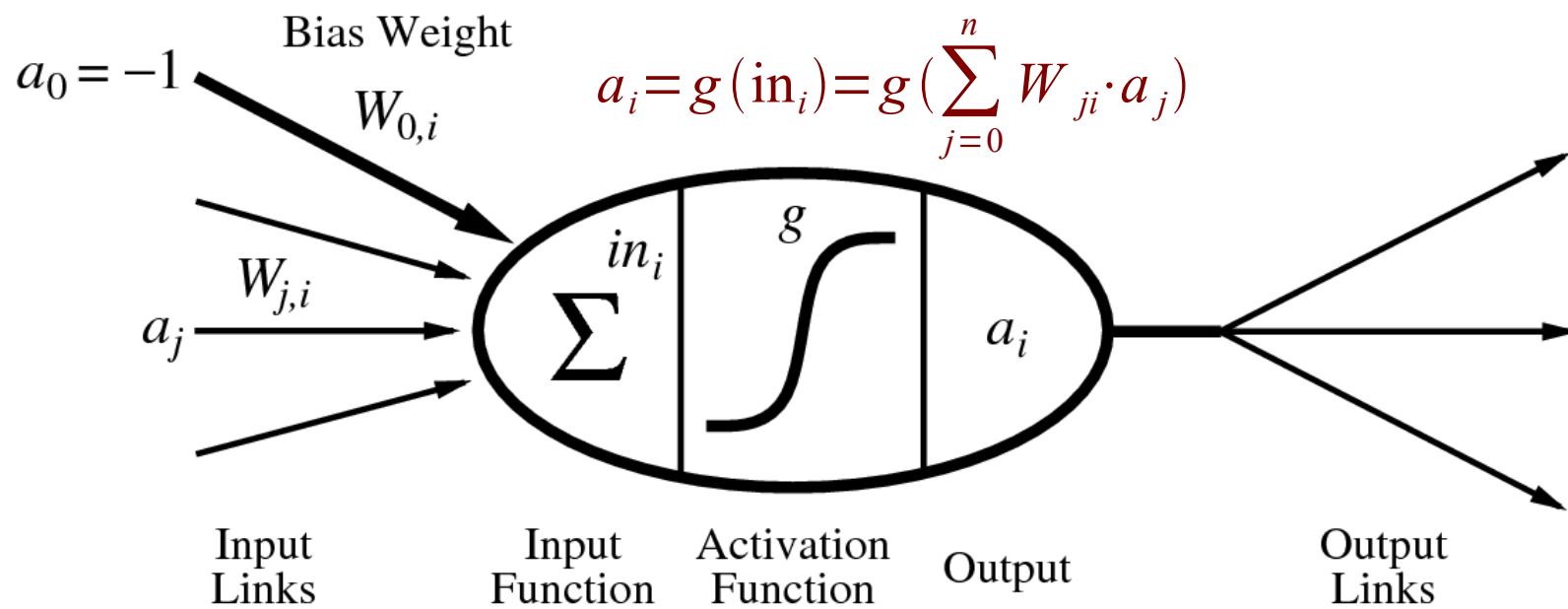
# A Biological Neuron



- Neurons are connected to each other via synapses
- If a neuron is activated, it spreads its activation to all connected neurons

# An Artificial Neuron

(McCulloch-Pitts, 1943)

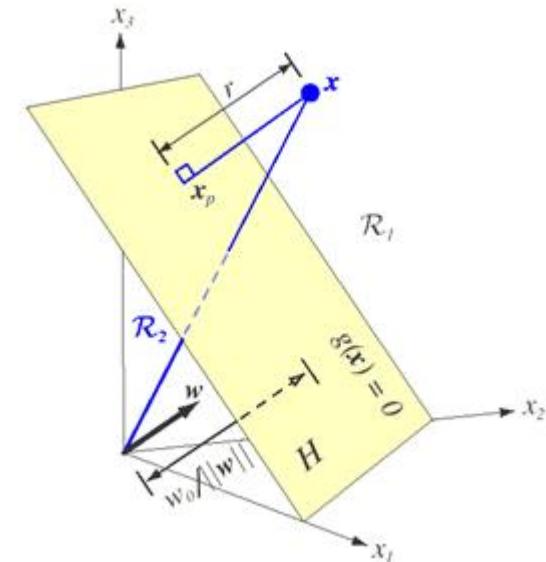


- Neurons correspond to nodes or **units**
- A **link** from unit  $j$  to unit  $i$  propagates activation  $a_j$  from  $j$  to  $i$
- The **weight**  $W_{j,i}$  of the link determines the strength and sign of the connection
- The total **input activation** is the sum of the input activations
- The **output activation** is determined by the activation function  $g$

# Perceptron

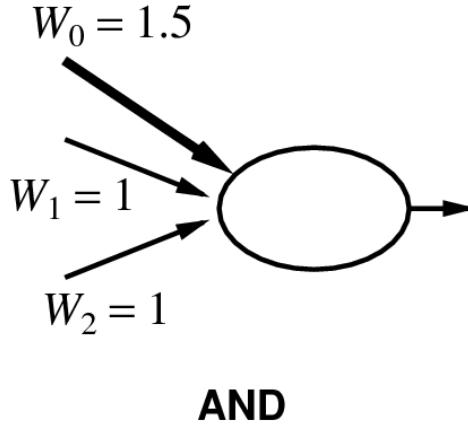
(Rosenblatt 1957, 1960)

- A single node
  - connecting  $n$  input signals  $a_j$  with one output signal  $a$
  - typically signals are  $-1$  or  $+1$
  
- Activation function
  - A simple threshold function:
$$a = \begin{cases} -1 & \text{if } \sum_{j=0}^n W_j \cdot a_j \leq 0 \\ 1 & \text{if } \sum_{j=0}^n W_j \cdot a_j > 0 \end{cases}$$
  
- Thus it implements a **linear separator**
  - i.e., a hyperplane that divides  $n$ -dimensional space into a region with output  $-1$  and a region with output  $1$

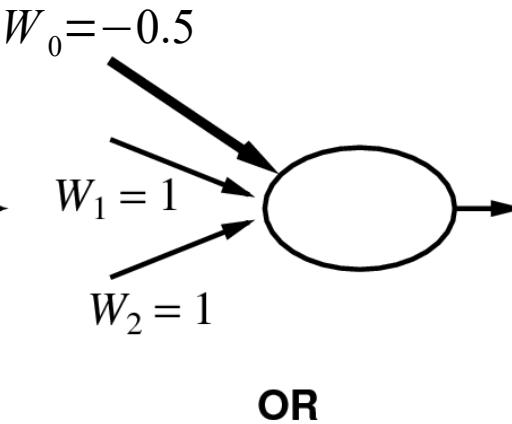


# Perceptrons and Boolean Functions

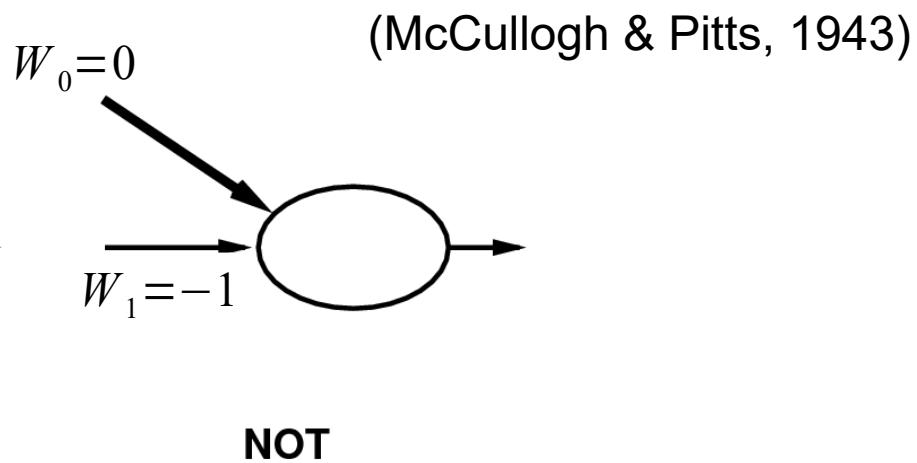
- a Perceptron can implement all elementary logical functions



AND

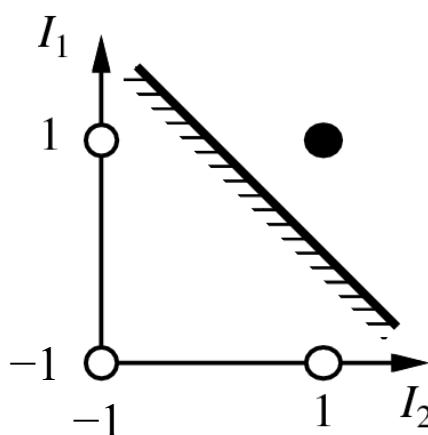
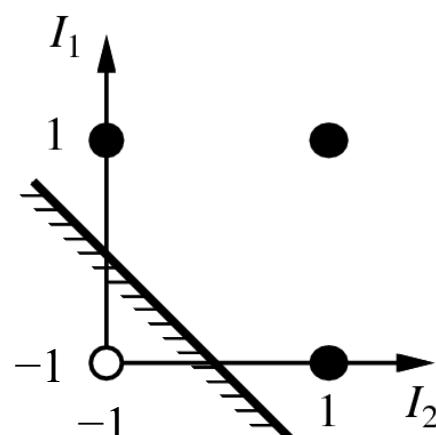
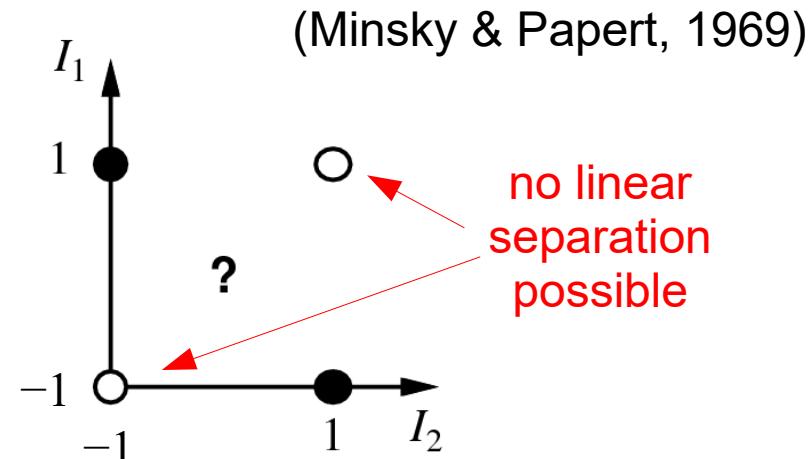


OR



NOT

- more complex functions like XOR cannot be modeled

(a)  $I_1$  and  $I_2$ (b)  $I_1$  or  $I_2$ (c)  $I_1$  xor  $I_2$

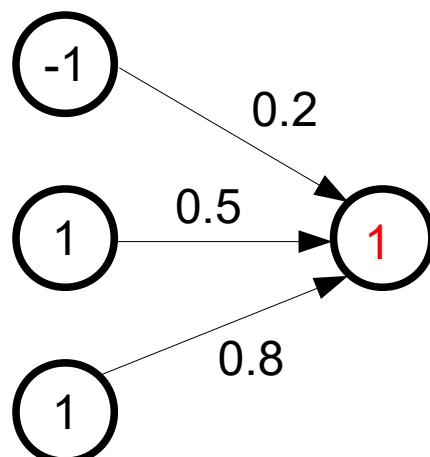
# Perceptron Learning

- Perceptron Learning Rule for Supervised Learning

$$W_j \leftarrow W_j + \alpha \cdot (f(\mathbf{x}) - h(\mathbf{x})) \cdot x_j$$

learning rate    error

- Example:



Computation of output signal  $h(x)$

$$\text{in}(x) = -1 \cdot 0.2 + 1 \cdot 0.5 + 1 \cdot 0.8 = 1.1$$

$h(x) = 1$  because  $\text{in}(x) > 0$  (activation function)

Assume target value  $f(x) = -1$  (and  $\alpha = 0.5$ )

$$W_0 \leftarrow 0.2 + 0.5 \cdot (-1 - 1) \cdot -1 = 0.2 + 1 = 1.2$$

$$W_1 \leftarrow 0.5 + 0.5 \cdot (-1 - 1) \cdot 1 = 0.5 - 1 = -0.5$$

$$W_2 \leftarrow 0.8 + 0.5 \cdot (-1 - 1) \cdot 1 = 0.8 - 1 = -0.2$$

# Measuring the Error of a Network

- The error for one training example  $\mathbf{x}$  can be measured by the squared error
  - the squared difference of the output value  $h(\mathbf{x})$  and the desired target value  $f(\mathbf{x})$

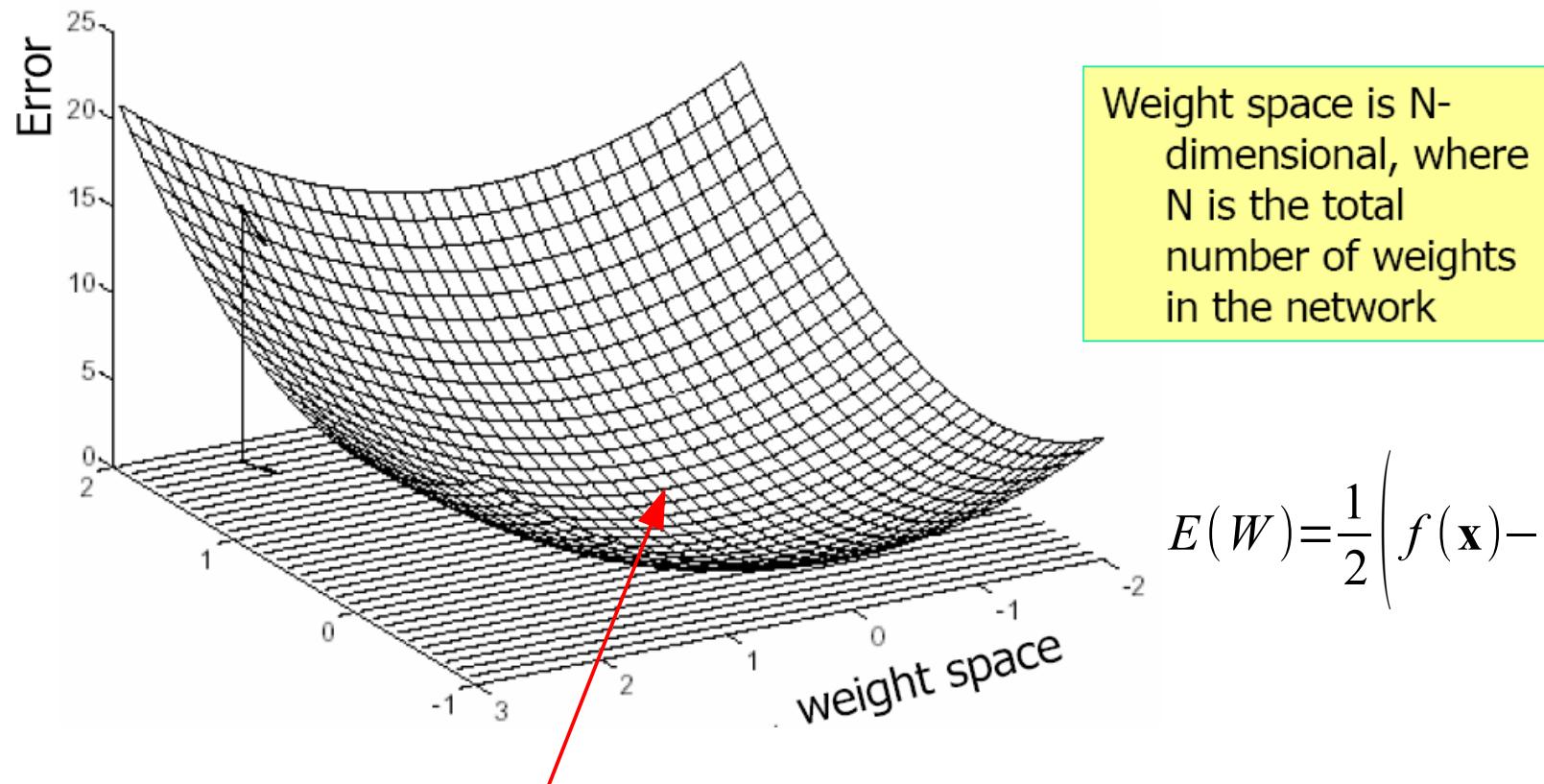
$$E(\mathbf{x}) = \frac{1}{2} Err^2 = \frac{1}{2} (f(\mathbf{x}) - h(\mathbf{x}))^2 = \frac{1}{2} \left| f(\mathbf{x}) - g\left(\sum_{j=0}^n W_j \cdot x_j\right) \right|^2$$

- For evaluating the performance of a network, we can try the network on a set of datapoints and average the value (= sum of squared errors)

$$E(\text{Network}) = \sum_{i=1}^N E(\mathbf{x}_i)$$

# Error Landscape

- The error function for one training example may be considered as a function in a multi-dimensional weight space

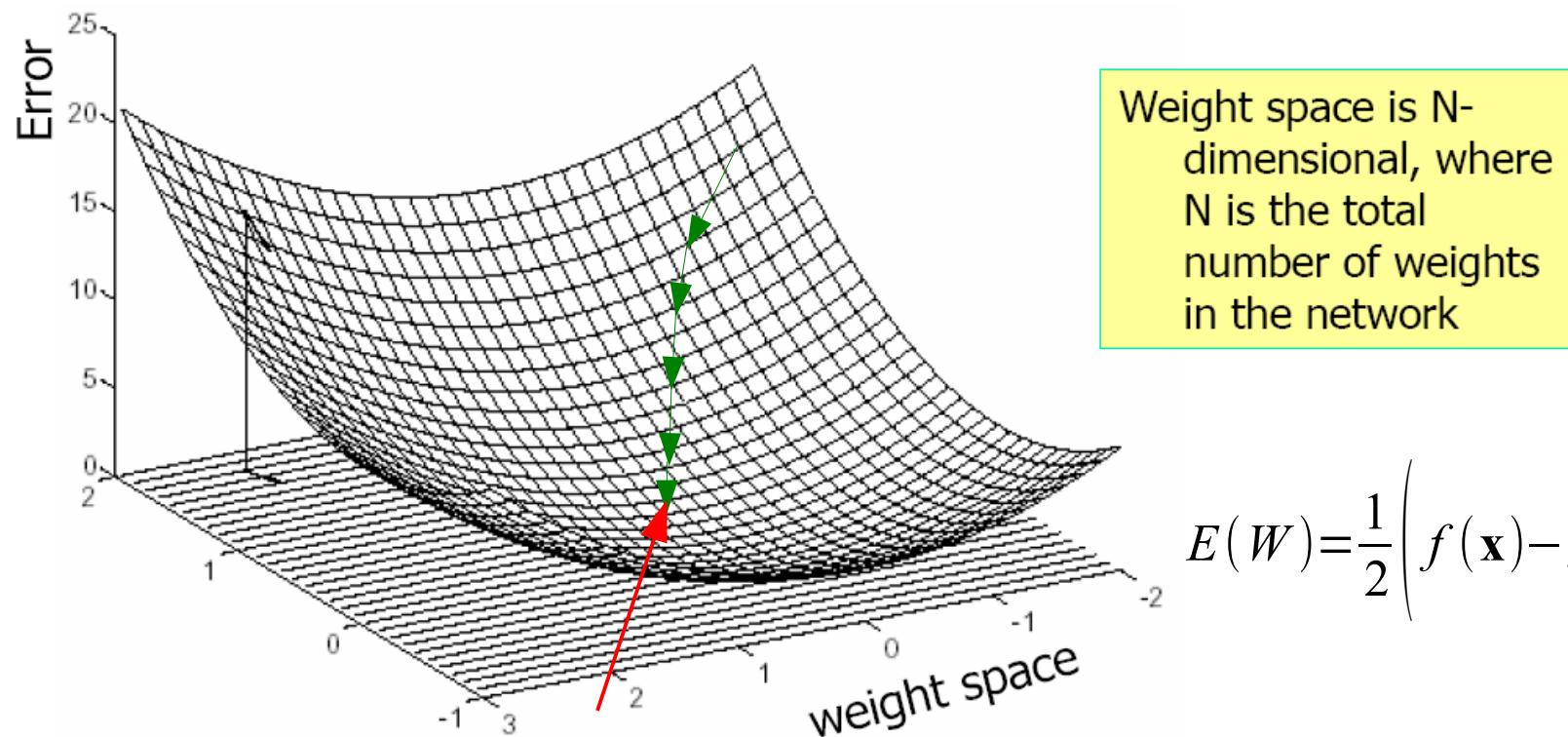


$$E(W) = \frac{1}{2} \left( f(\mathbf{x}) - g \left( \sum_{j=0}^n W_j \cdot x_j \right) \right)^2$$

- The best weight setting for one example is where the error measure for this example is minimal

# Error Minimization via Gradient Descent

- In order to find the point with the minimal error:
  - go downhill in the direction where it is steepest



- ... but make small steps, or you might shoot over the target

# Error Minimization

- It is easy to derive a perceptron training algorithm that minimizes the squared error

$$E = \frac{1}{2} Err^2 = \frac{1}{2} (f(\mathbf{x}) - h(\mathbf{x}))^2 = \frac{1}{2} \left( f(\mathbf{x}) - g\left(\sum_{j=0}^n W_j \cdot x_j\right) \right)^2$$

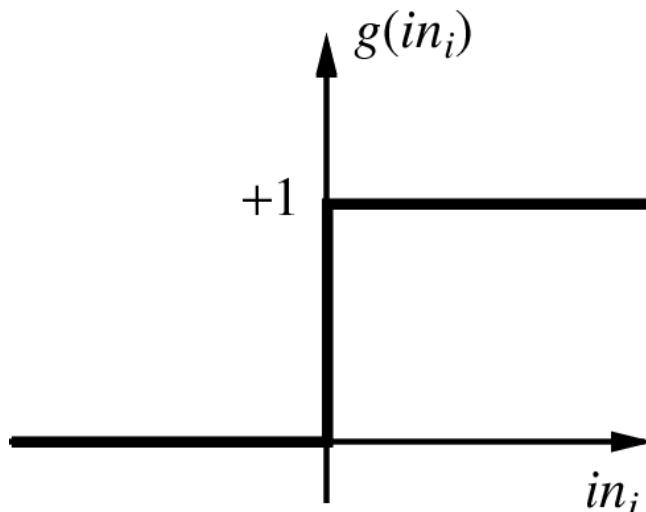
- Change weights into the direction of the steepest descent of the error function

$$\frac{\partial E}{\partial W_j} = Err \cdot \frac{\partial Err}{\partial W_j} = Err \cdot \frac{\partial}{\partial W_j} \left( f(\mathbf{x}) - g\left(\sum_{k=0}^n W_k \cdot x_k\right) \right) = -Err \cdot g'(\text{in}) \cdot x_j$$

- To compute this, we need a continuous and differentiable activation function  $g$ !
- Weight update with learning rate  $\alpha$ :  $W_j \leftarrow W_j + \alpha \cdot Err \cdot g'(\text{in}) \cdot x_j$ 
  - positive error → increase network output
    - increase weights of nodes with positive input
    - decrease weights of nodes with negative input

# Threshold Activation Function

- The regular threshold activation function is problematic
  - $g'(x) = 0$ , therefore  $\frac{\partial E}{\partial W_{j,i}} = -Err \cdot g'(in_i) \cdot x_j = 0$   
→ no weight changes!

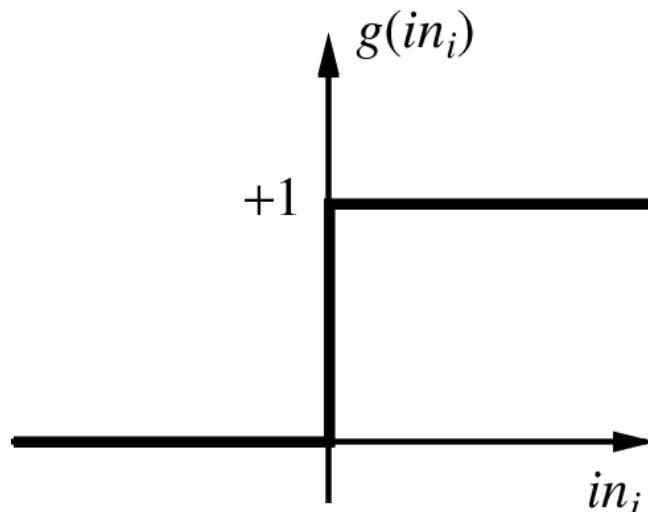


$$g(x) = \begin{cases} 0 & \text{if } x \leq 0 \\ 1 & \text{if } x > 0 \end{cases}$$

$$g'(x) = 0$$

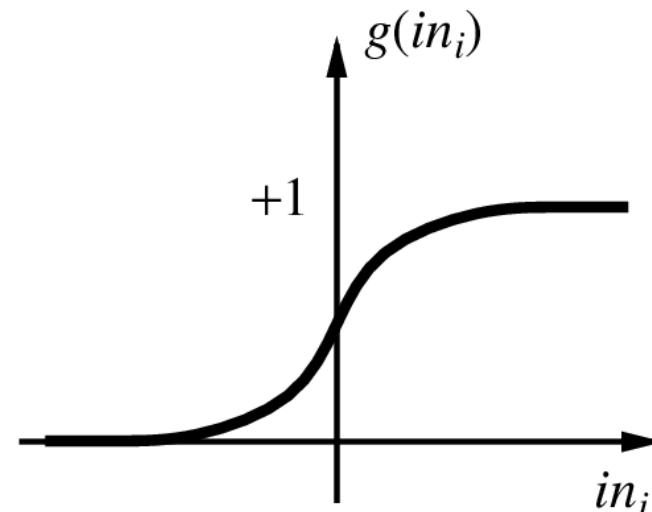
# Sigmoid Activation Function

- A commonly used activation function is the sigmoid function
  - similar to the threshold function
  - easy to differentiate
  - non-linear



$$g(x) = \begin{cases} 0 & \text{if } x \leq 0 \\ 1 & \text{if } x > 0 \end{cases}$$

$$g'(x) = 0$$

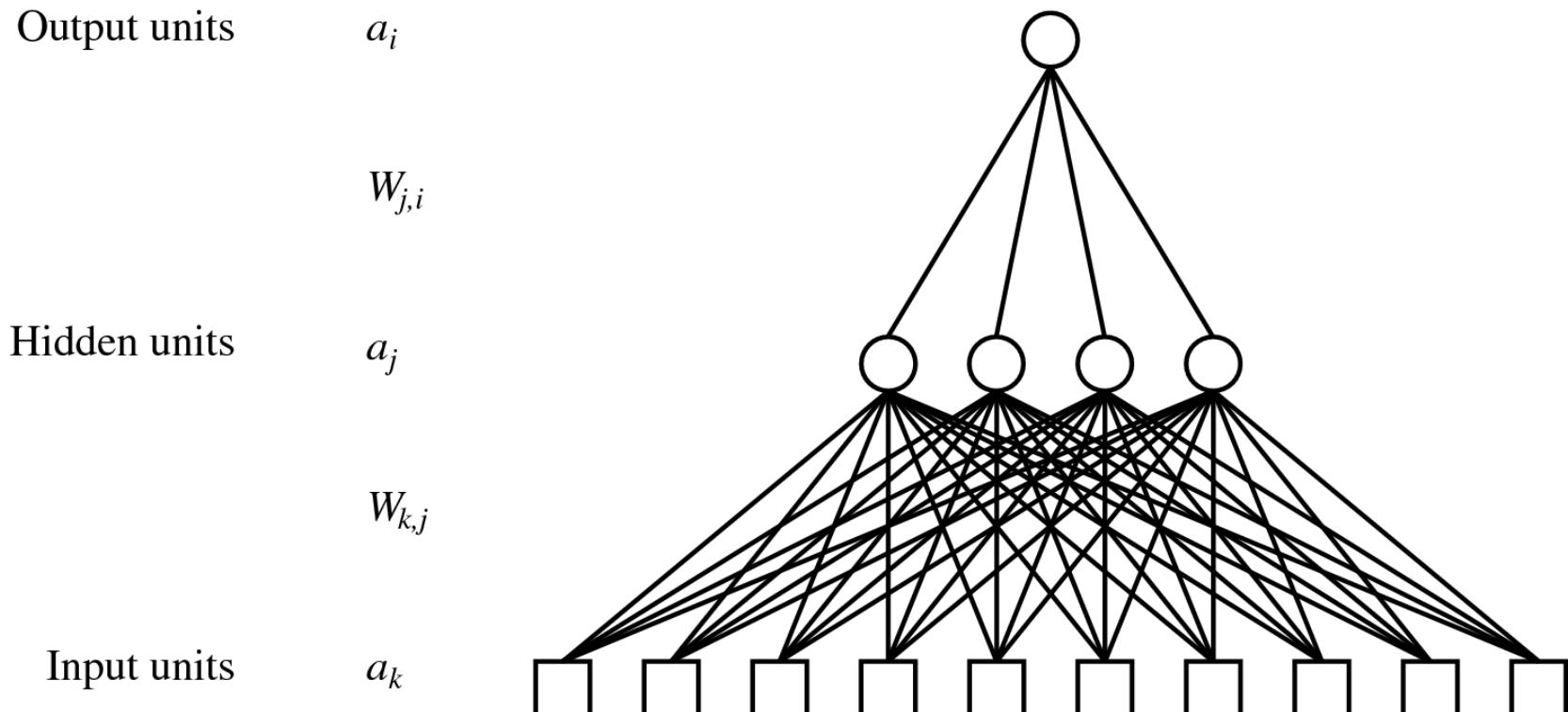


$$g(x) = \frac{1}{1 + e^{-x}}$$

$$g'(x) = g(x)(1 - g(x))$$

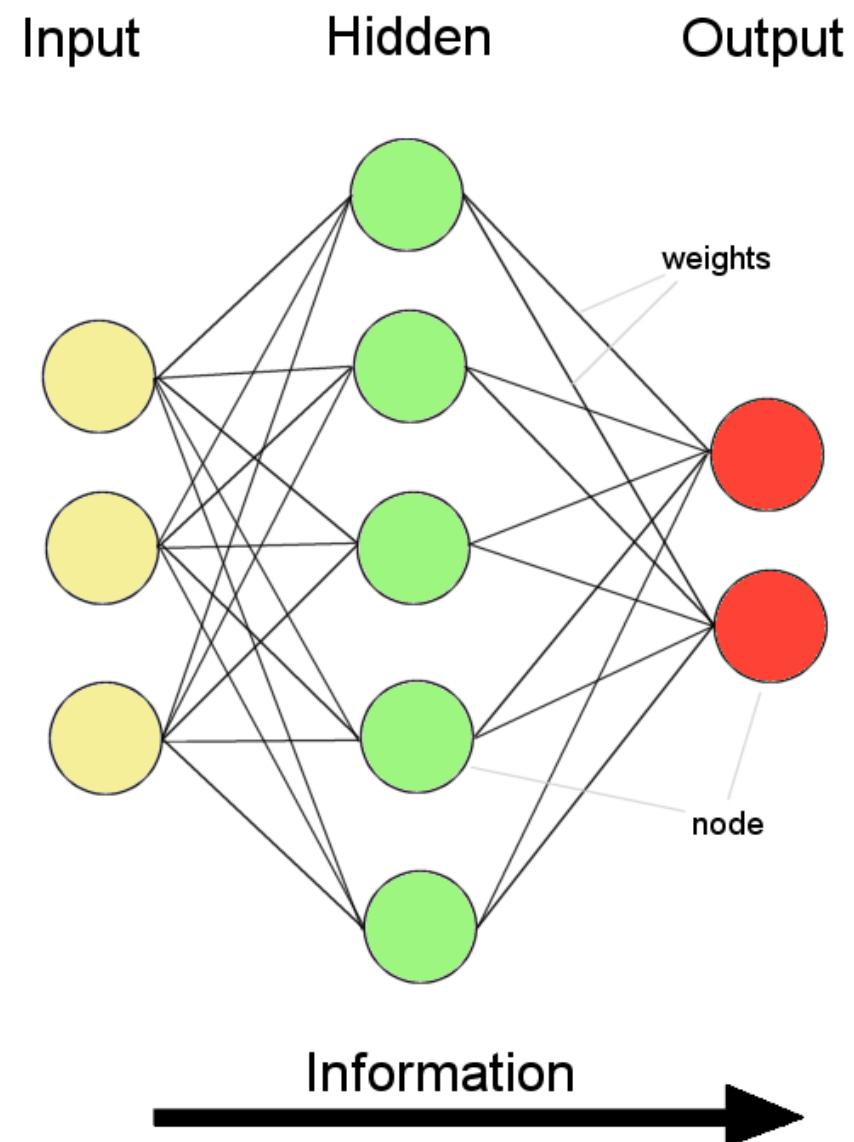
# Multilayer Perceptrons

- Perceptrons may have multiple output nodes
  - may be viewed as multiple parallel perceptrons
- The output nodes may be combined with another perceptron
  - which may also have multiple output nodes
- The size of this **hidden layer** is determined manually



# Multilayer Perceptrons

- Information flow is unidirectional
  - Data is presented to *Input layer*
  - Passed on to *Hidden Layer*
  - Passed on to *Output layer*
- Information is distributed
- Information processing is parallel



# Expressiveness of MLPs

- Every continuous function can be modeled with three layers
  - i.e., with one hidden layer
- Every function can be modeled with four layers
  - i.e., with two hidden layers

# Backpropagation Learning

- The **output nodes** are trained like a normal perceptron

$$W_{ji} \leftarrow W_{ji} + \alpha \cdot Err_i \cdot g'(\text{in}_i) \cdot x_j = W_{ji} + \alpha \cdot \Delta_i \cdot x_j$$

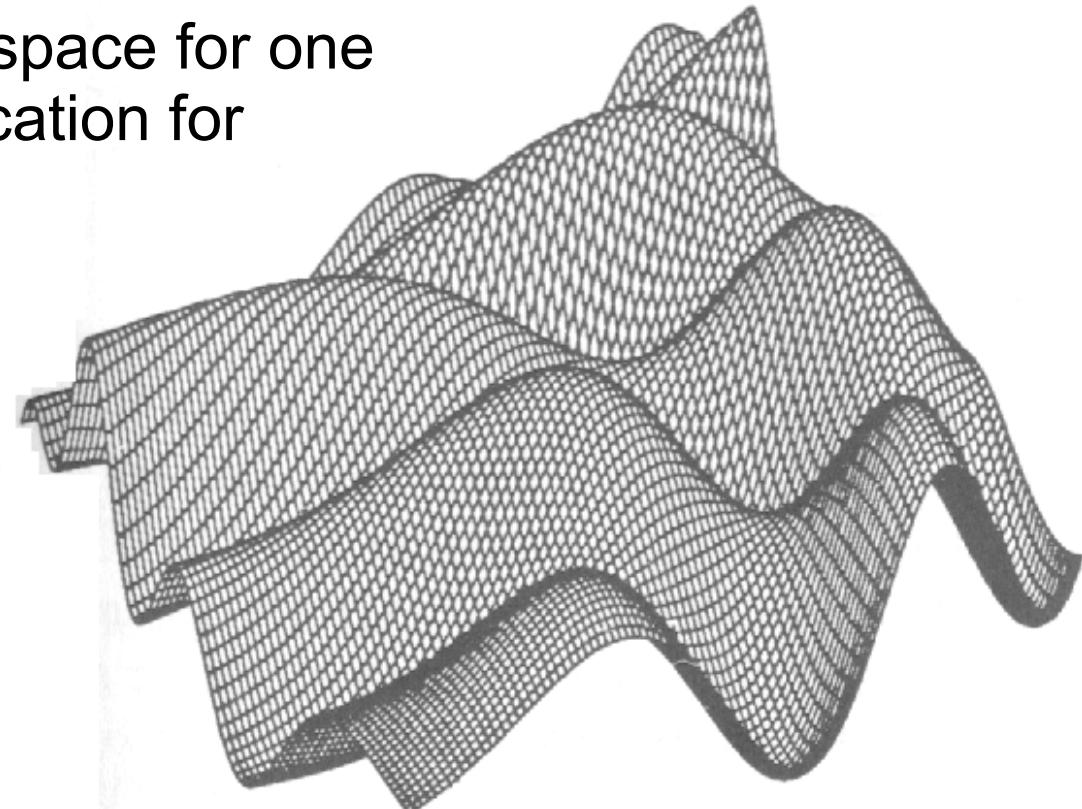
- $\Delta_i$  is the error term of output node  $i$  times the derivation of its inputs
- the error term  $\Delta_i$  of the output layers is propagated back to the **hidden layer**

$$\Delta_j = \left( \sum_i W_{ji} \cdot \Delta_i \right) \cdot g'(\text{in}_j) \quad W_{kj} \leftarrow W_{kj} + \alpha \cdot \Delta_j \cdot x_k$$

- the training signal of hidden layer node  $j$  is the weighted sum of the errors of the output nodes
- Thus the information provided by the **gradient flows backwards** through the network

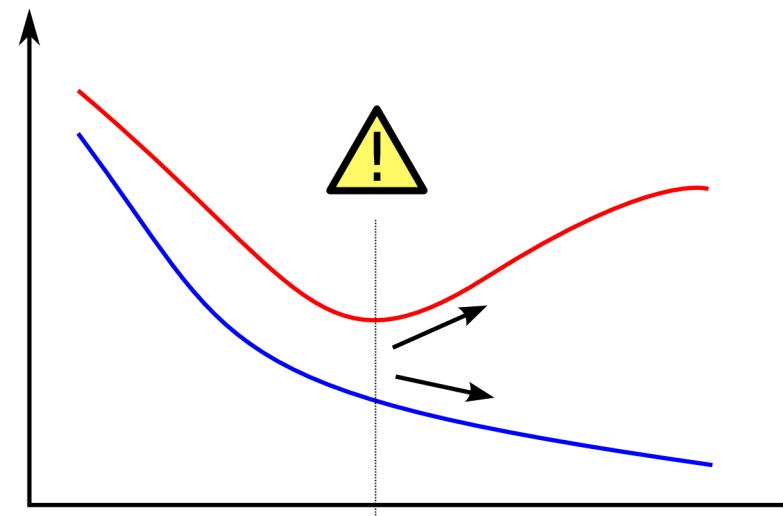
# Minimizing the Network Error

- The error landscape for the entire network may be thought of as the sum of the error functions of all examples
  - will yield many local minima → hard to find global minimum
- Minimizing the error for one training example may destroy what has been learned for other examples
  - a good location in weight space for one example may be a bad location for another examples
- **Training procedure:**
  - try all examples in turn
  - make small adjustments for each example
  - repeat until convergence
- One Epoch = One iteration through all examples



# Overfitting

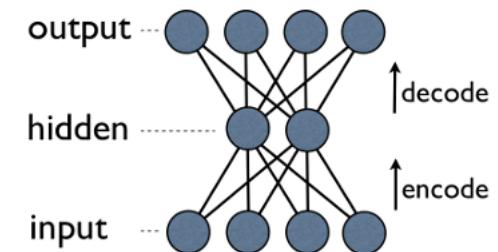
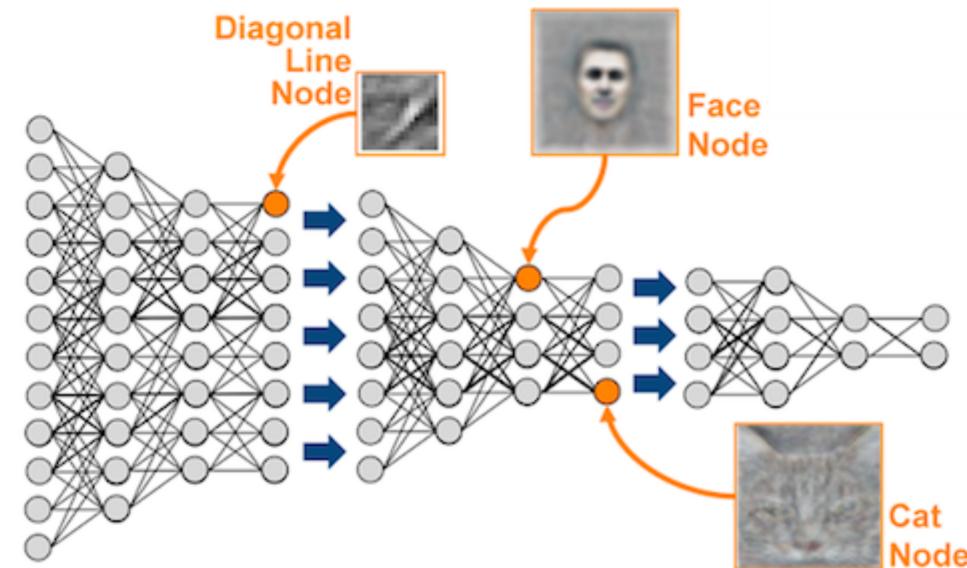
- **Training Set Error** continues to decrease with increasing number of training examples / number of epochs
  - an epoch is a complete pass through all training examples
- **Test Set Error** will start to increase because of overfitting



- Simple training protocol:
  - keep a separate **validation set** to watch the performance
    - validation set is different from training and test sets!
  - stop training if error on validation set gets down

# Deep Learning

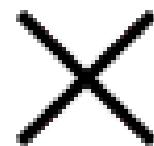
- In the last years, great success has been observed with training „deep“ neural networks
  - Deep networks are networks with multiple layers
- Successes in particular in image classification
  - Idea is that layers sequentially extract information from image
    - 1st layer → edges,
    - 2nd layer → corners, etc...
- Key ingredients:
  - A lot of training data are needed and available (big data)
  - Fast processing and a few new tricks made fast training for big data possible
  - Unsupervised pre-training of layers
    - **Autoencoder** use the previous layer as input and output for the next layer



# Convolutional Neural Networks

- Convolution:
  - for each pixel of an image, a new feature is computed using a weighted combination of its  $n \times n$  neighborhood

35	40	41	45	50
40	40	42	46	52
42	46	50	55	55
48	52	56	58	60
56	60	65	70	75



5x5 image

0	1	0		
0	0	0		
0	0	0		

**3x3 convolution**  
runs over all  
possible 3x3  
subimages  
of picture



		42		

**resulting image**  
only one  
pixel shown

# Convolution - Blur



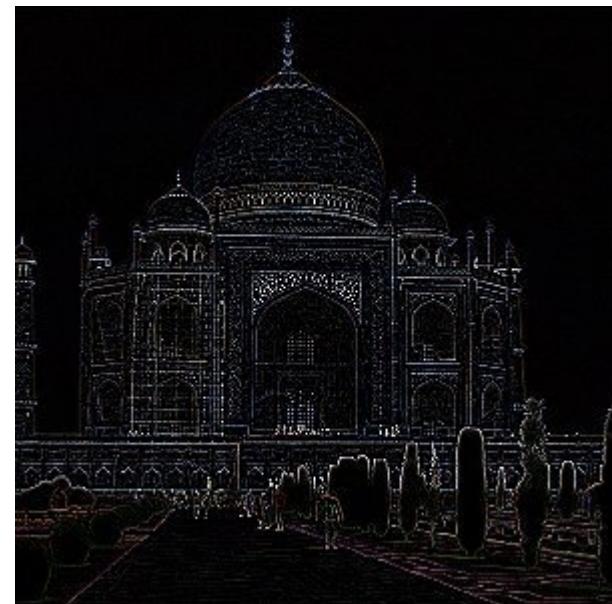
0	0	0	0	0
0	1	1	1	0
0	1	1	1	0
0	1	1	1	0
0	0	0	0	0



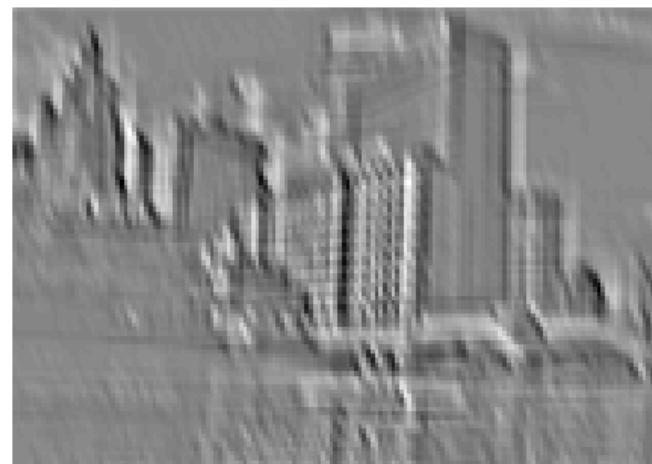
# Convolution - Edge detection



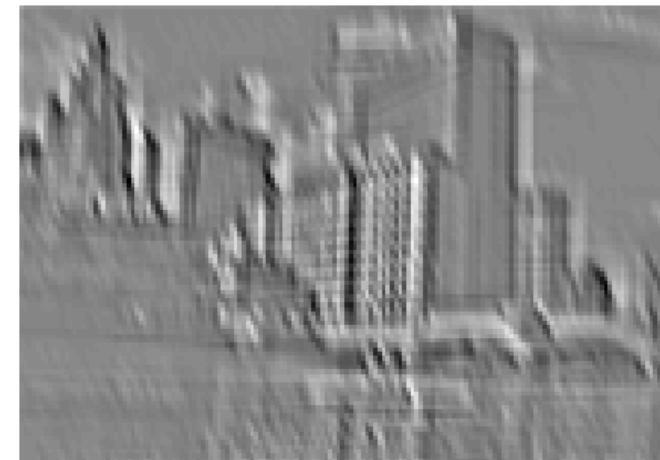
$$\begin{array}{|c|c|c|} \hline & & \\ \hline 0 & 1 & 0 \\ \hline 1 & -4 & 1 \\ \hline 0 & 1 & 0 \\ \hline \end{array}$$



# Outputs of Convolution



# Outputs of Convolution

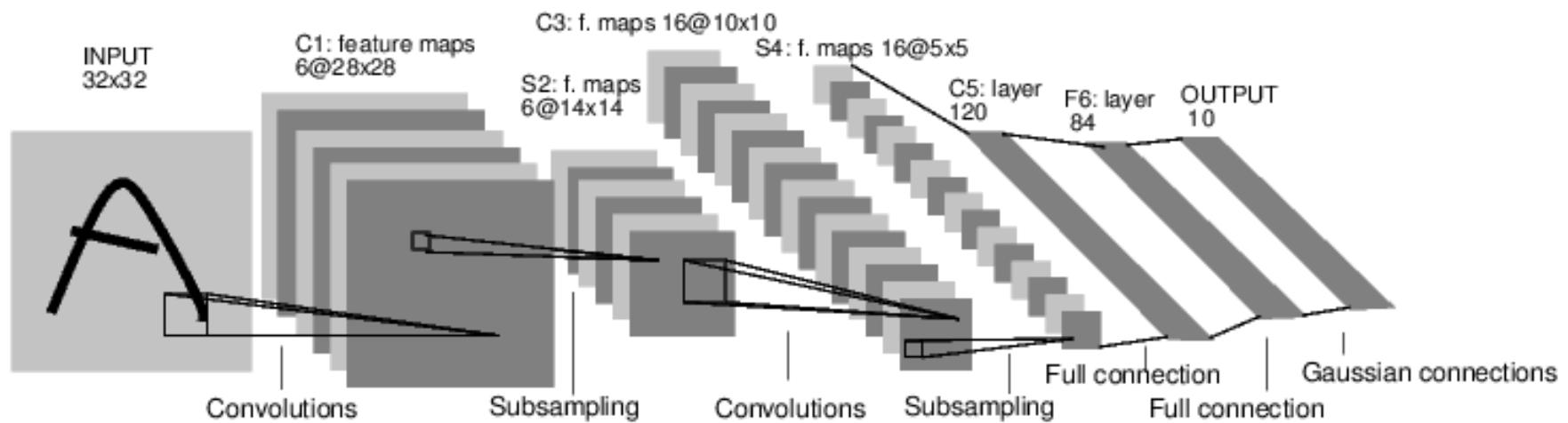


# Outputs of Convolution



# Image Processing Networks

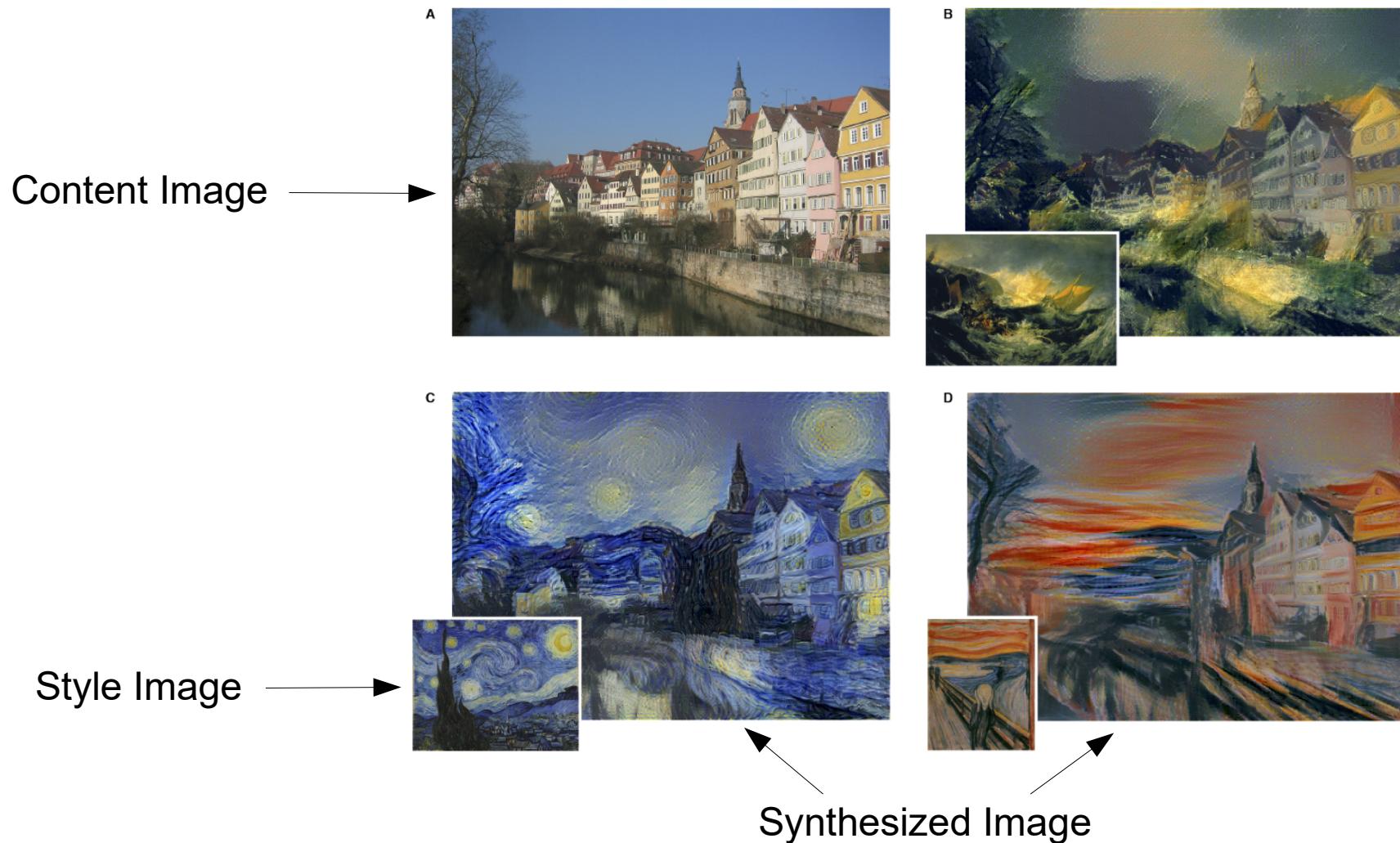
- Convolutions can be encoded as network layers
  - all possible 3x3 pixels of the input image are connected to the corresponding pixel in the next layer
- Convolutional Layers are at the heart of Image Recognition
  - Several stacked on top of each other and parallel to each other
- **Example:** LeNet (LeCun et al. 1989)



- GoogLeNet is a modern variant of this architecture

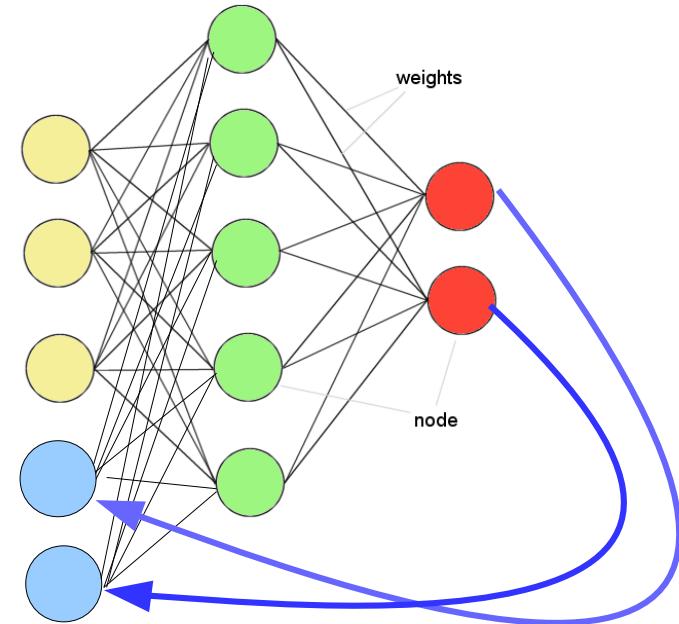
# Neural Artistic Art Transfer

(Gatys et al., 2016)



# Recurrent Neural Networks

- Recurrent Neural Networks (RNN)
  - allow to process sequential data
  - by feeding back the output of the network into the next input
- Long-Short Term Memory (LSTM)
  - add „forgetting“ to RNNs
  - good for mapping sequential input data into sequential output data
    - e.g., text to text, or time series to time series
- Deep Learning often allows „end-to-end learning“
  - e.g., learn a network that does the complete translation of text in one language into another language
  - previously, learning often concentrated on individual components (e.g. word sense disambiguation)



# Wide Variety of Applications

- Speech Recognition
  - Autonomous Driving
  - Handwritten Digit Recognition
  - Credit Approval
  - Backgammon
  - etc.
- 
- Good for problems where the final output depends on combinations of many input features
    - rule learning is better when only a few features are relevant
  - Bad if explicit representations of the learned concept are needed
    - takes some effort to interpret the concepts that form in the hidden layers
- 