



Seung Gyu Hyun<sup>†</sup>, Vincent Neiger<sup>\*</sup>, Hamid Rahkooy<sup>†</sup>, Éric Schost<sup>†</sup>

<sup>†</sup> University of Waterloo, \* DTU Compute



### Main Problem

Input:  $I \subset \mathbb{K}[x_1, \dots, x_n]$  zero-dimensional

- ullet monomial basis of  $Q=\mathbb{K}[x_1,\ldots,x_n]/I$
- multiplication matrices  $T_1,\ldots,T_n\in\mathbb{K}^{D imes D}$  of  $x_1,\ldots,x_n$ , with  $D=\dim_{\mathbb{K}}(Q)$

# Output:

• lex Gröbner basis of  $\sqrt{I}$ 

## Assumptions

- ullet characteristic of  ${\mathbb K}$  larger than D
- $x_n$  generic coordinate

Consequence: output is

$$x_1 - R_1(x_n),$$
  
 $\vdots$   
 $x_{n-1} - R_{n-1}(x_n),$   
 $R(x_n)$ 

### Previous work

[Faugère *et al.*'93] **FGLM** 

dense matrix computations

[Rouillier'99] **RUR** 

- linearly generated sequence using the trace [Bostan *et al.*'03]
- trace  $\rightarrow$  random linear form

[Faugère, Mou'17] Sparse FGLM

- ullet lex basis of I
- uses Berlekamp-Massey-Sakata in some cases

## At a glance

### Cons:

• computes a lex basis of  $\sqrt{I}$  (weaker output)

## Pros:

- few assumptions
- simple algorithm

**Key idea:** use sequences of small matrices, requires less terms than scalar sequences [Coppersmith'93]. **Correctness** from the analysis of Coppersmith's algorithm [Villard'97], [Kaltofen, Villard'04] and generating series properties [Bostan *et al.*'03]. See also [Kaltofen'95], [Kaltofen, Yuhasz'13].

# Algorithm

- 1. choose  $U, V \in \mathbb{K}^{m \times D}$
- **2.**  $s = (UT_n^i V^t)_{0 \le i < 2d}$ , with  $d = \frac{D}{m}$
- 3. S = MatrixBerlekampMassey(s) and  $N = S \sum_{i>0} \frac{s_i}{r^{i+1}}$
- **4.**  $P = \text{largest invariant factor of } S \text{ and } R_n = \text{SquareFreePart}(P)$
- 5.  $a = [0 \cdots 0P]S^{-1}$
- 6.  $N^* =$ first entry of aN
- 7. for  $j = 1 \dots n 1$ :
- 7.1.  $s_j = (UT_n^i T_j V^t)_{0 \le i < d}$  and  $N_j = S \sum_{i \ge 0} \frac{s_{j,i}}{r^{i+1}}$
- 7.2.  $N_i^* = \text{first entry of } aN_i$
- 7.3.  $R_j = N_j^*/N^* \mod R_n$

# Example

**Input:**  $I = \langle f_1^2, f_2^2, f_3 \rangle \subset \mathbb{F}_{9001}[x_1, x_2, x_3]$ , non radical of degree D = 32, with

$$f_1 = 4979x_1^2 + 6202x_1x_2 + \dots$$
,  $f_2 = 4682x_1^2 + 8290x_1x_2 + \dots$ ,  $f_3 = 4199x_1^2 + 2325x_1x_2 + \dots$ 

Step 1 with m=2

$$V = \begin{bmatrix} 1898 & 6830 & 3494 & 169 & 7991 & 3352 \dots \\ 3161 & 8858 & 8467 & 5882 & 8037 & 3726 \dots \end{bmatrix} \quad V = \begin{bmatrix} 7595 & 8416 & 2285 & 8351 & 550 & 7012 \dots \\ 823 & 5686 & 6539 & 7884 & 7105 & 3427 \dots \end{bmatrix}^t$$

Step 2 & 3 with d=16

$$s = \left(\begin{bmatrix} 31 & 6977 \\ 1178 & 1695 \end{bmatrix}, \begin{bmatrix} 8212 & 1663 \\ 4811 & 4837 \end{bmatrix} \dots \right) \xrightarrow{\text{MatrixBerlekampMassey}} S = \begin{bmatrix} \boldsymbol{x^{16}} + \dots & 423\boldsymbol{x^{15}} + \dots \\ 6426\boldsymbol{x^{15}} + \dots & \boldsymbol{x^{16}} + \dots \end{bmatrix}$$

$$N = \begin{bmatrix} 6191\boldsymbol{x^{15}} + \dots & 8101\boldsymbol{x^{15}} + \dots \\ 7116\boldsymbol{x^{15}} + \dots & 2129\boldsymbol{x^{15}} + \dots \end{bmatrix}$$

**Step 4 & 5:**  $a = \begin{bmatrix} 2575x^7 + \dots & x^8 + \dots \end{bmatrix}$  and  $R_3 = x^8 + 6990x^7 + \dots$ 

Step 6:

$$N^* = \begin{bmatrix} 2575 \mathbf{x^7} + \dots & \mathbf{x^8} + \dots \end{bmatrix} \begin{bmatrix} 6191 \mathbf{x^{15}} + \dots \\ 7116 \mathbf{x^{15}} + \dots \end{bmatrix} = \begin{bmatrix} 1178 \mathbf{x^{23}} + 8727 x^{22} + \dots \end{bmatrix}$$

Step 7 for j=1

$$s_1 = \begin{pmatrix} \begin{bmatrix} 3029 \ 8903 \\ 1538 \ 5610 \end{bmatrix}, \begin{bmatrix} 1914 \ 3734 \\ 5221 \ 5431 \end{bmatrix} \dots \end{pmatrix} \longrightarrow N_1 = \begin{bmatrix} 1374 \boldsymbol{x^{15}} + \dots \ 3271 \boldsymbol{x^{15}} + \dots \\ 4027 \boldsymbol{x^{15}} + \dots \ 1575 \boldsymbol{x^{15}} + \dots \end{bmatrix}$$

$$N_1^* = \begin{bmatrix} 2575 \boldsymbol{x^7} + \dots & \boldsymbol{x^8} + \dots \end{bmatrix} \begin{bmatrix} 1374 \boldsymbol{x^{15}} + \dots \\ 4027 \boldsymbol{x^{15}} + \dots \end{bmatrix} = \begin{bmatrix} 1538 \boldsymbol{x^{23}} + 6498 \boldsymbol{x^{22}} + \dots \end{bmatrix}$$

$$R_1 = \frac{1538\boldsymbol{x^{23}} + 6498\boldsymbol{x^{22}} + \dots}{1178\boldsymbol{x^{23}} + 8727\boldsymbol{x^{22}} + \dots} \bmod \boldsymbol{x^8} + 6990\boldsymbol{x^7} + \dots = 7964\boldsymbol{x^7} + 4071\boldsymbol{x^6} + \dots$$

#### Parallelization

**Bottleneck:** computing  $(UT_n^i)_{0 \le i < 2d}$ Bottleneck: easy to parallelize!

experiments in **LinBox** modulo p=65537

name	$\mid n \mid$	D	ratio (8 cores)	sparsity
katsura8	9	256	1136/213= <b>5.33</b>	0.63
katsura9	10	512	8903/1651 = 5.39	0.64
cyclic7	7	924	6585/1235 = <b>5.33</b>	0.08
katsura10	11	1024	70211/13019= <b>5.39</b>	0.63
gametwo7	7	1854	35051/64673 = <b>5.41</b>	0.54
schwarz11	11	2048	21699/4129 = 5.25	0.27
eco12	12	1024	61605/11296= <b>5.45</b>	0.55
	II			

(parallel speed-up for the sequence computation)

## References

[1] A. Bostan, B. Salvy, and É. Schost.

Fast algorithms for zero-dimensional polynomial systems using duality.

AAECC, 14:239–272, 2003.

[2] D. Coppersmith.

Solving homogeneous linear equations over GF(2) via block Wiedemann algorithm.

 $Mathematics\ of\ Computation,\ 62(205):330-350,\ 1994.$ 

[3] J.-C. Faugère, P. Gianni, D. Lazard, and T. Mora. Efficient computation of zero-dimensional Gröbner bases by change of ordering.

JSC, 16(4):329–344, 1993.

[4] J.-C. Faugère and C. Mou. Sparse FGLM algorithms. JSC, 80(3):538–569, 2017.

[5] E. Kaltofen.

Analysis of Coppersmith's block Wiedemann algorithm for the parallel solution of sparse linear systems.

Mathematics of Computation, 64(210):777–806, 1995.

[6] E. Kaltofen and G. Villard.

On the complexity of computing determinants. Comput. Complexity, 13(3-4):91–130, 2004.

[7] E. Kaltofen and G. Yuhasz.

On the matrix Berlekamp-Massey algorithm. *ACM Trans. on Algorithms*, 9(4):33:1–33:24, 2013.

[8] F. Rouillier.

Solving zero-dimensional systems through the Rational Univariate Representation.

AAECC, 9(5):433–461, 1999.

[9] G. Villard.

Further analysis of Coppersmith's block Wiedemann algorithm for the solution of sparse linear systems.

ISSAC'97, 32–39, 1997.