

Let A be in $\mathbb{K}^{D \times D}$. Let s_1, \dots, s_r be the invariant factors of $tI - A$, ordered in such a way that s_2 divides s_1 , s_3 divides s_2 , etc, and let $d_i = \deg(s_i)$ for all i ; for $i > r$, we let $s_i = 1$, with $d_i = 0$.

We fix an integer M and we define $\nu = d_1 + \dots + d_M \leq D$ and $\delta = \lceil \nu/M \rceil \leq \lceil D/M \rceil$. We choose matrices $X, Y \in \mathbb{K}^{D \times M}$, and we let $F_X^{A,Y}$ be the minimal generator in Popov form of the sequence $(X^\perp A^i Y)_{i \geq 0}$. We also denote by $\sigma_1, \dots, \sigma_t$ the invariant factors of $F_X^{A,Y}$, for some $t \leq M$. As above, for $i > t$, we let $\sigma_i = 1$.

Theorem 1. *For a generic choice of X and Y , we have:*

- $F_X^{A,Y}$ has degree δ ;
- $s_i = \sigma_i$ for $1 \leq i \leq M$.

Proof. Let \mathcal{Y} be a matrix of indeterminates of size $N \times M$. Then, by [?, Proposition 6.1], the minimal generating polynomial $F_{A,\mathcal{Y}}$ for the generic sequence $(A^i \mathcal{Y})_{i \geq 0}$ has determinantal degree ν and degree $\delta = \lceil \nu/n \rceil$.

- $\dim(\langle \mathcal{Y} \rangle) = \nu$.

This is proved in the proof of [?, Proposition 6.1].

- For a generic Y in $\mathbb{K}^{N \times n}$, $N_Y = N_{\mathcal{Y}}$, where $N_Y = \dim(\langle Y \rangle)$.

This is because $\langle \mathcal{Y} \rangle$ is the span of $K_{\mathcal{Y}} = [\mathcal{Y} | A\mathcal{Y} | \dots | A^{N-1}\mathcal{Y}]$, whereas $\langle Y \rangle$ is the span of $K_Y = [Y | AY | \dots | A^{N-1}Y]$. Take a maximal non-zero minor μ of $K_{\mathcal{Y}}$; as soon as $\mu(Y) \neq 0$, we have equality of the dimensions.

- For any Y (including \mathcal{Y}), the degree δ_Y of $F_{A,Y}$ is equal to the first index d such that $\dim(\text{span}([Y | AY | \dots | A^{d-1}Y])) = N_Y$.

This is [?, Lemma 4.3].

- For a generic Y , $\delta_Y = \lceil \nu/n \rceil$.

By [?, Proposition 6.1], the minimal generating polynomial $F_{A,\mathcal{Y}}$ for the generic sequence $(A^i \mathcal{Y})_{i \geq 0}$ has degree $\delta_{\mathcal{Y}} = \lceil \nu/n \rceil$. The first restriction on Y is that $N_Y = N_{\mathcal{Y}}$. Then the claim follows from the previous item.

- For any Y in $\mathbb{K}^{N \times n}$, for a generic X in $\mathbb{K}^{n \times N}$, $F_{A,Y,X} = F_{A,Y}$.

By [?, Lemma 4.2], there exists matrices P_Y in $\mathbb{K}^{N \times N_Y}$ and $A_Y \in \mathbb{K}^{N_Y \times N_Y}$, with P_Y of full rank N_Y , such that $F_{A,Y,X} = F_{A,Y}$ if and only if the dimension of the span of the rows of $XP_Y, XP_Y A_Y, XP_Y A_Y^2, \dots$ is equal to N_Y .

Let B_Y be the transpose of A_Y . Then, the dimension above is the dimension of the span of $(XP_Y)^t, B_Y(XP_Y)^t, B_Y^2(XP_Y)^t, \dots$. The number of invariant factors of A_Y (and thus of B_Y) is at most n . As a result, for a generic Z in $\mathbb{K}^{N_Y \times n}$, by the previous items, $\text{span}([Z | B_Y Z | B_Y^2 Z | \dots])$ has dimension N_Y (since the number of columns in Z is at least equal to the number of invariant factors of B_Y).

- $\text{rank}(\text{Hk}(X, Y)) = \deg(\det(F_{A,Y,X}))$.

By [?, Eq. (2.6)], $\text{rank}(\text{Hk}_{e,d}) = \deg(\det(F_{A,Y,X}))$ for $d \geq \deg(F_{A,Y,X})$ and $e \geq n$. We take $e = n$, so that $\text{rank}(\text{Hk}_{n,d}) = \deg(\det(F_{A,Y,X}))$ for $d \geq \deg(F_{A,Y,X})$. On the other hand, for fixed n , the sequence $\text{rank}(\text{Hk}_{n,d})$ is constant for $d \geq n$. As a result, $\text{rank}(\text{Hk}_{n,n}) = \deg(\det(F_{A,Y,X}))$.

- For generic X, Y , the invariant factors of $F_{A,Y,X}$ are s_1, \dots, s_n .

By [?, Theorem 2.12], for any X and Y in $\mathbb{K}^{n \times N} \times \mathbb{K}^{N \times n}$, for $i = 1, \dots, n$, the i^{th} invariant factor of $F_{A,Y,X}$ divides s_i , so that $\deg(\det(F_{A,Y,X})) \leq \nu$.

Furthermore, there exist matrices X_0, Y_0 such that for $i = 1, \dots, n$, the i^{th} invariant factor of F_{A,Y_0,X_0} is equal to s_i . In this case, $\deg(\det(F_{A,Y_0,X_0})) = \nu$.

Thus, $\text{rank}(\text{Hk}(X, Y))$ has rank at most ν , and exactly ν for at least one pair (X_0, Y_0) . So we have equality for a generic (X, Y) . When equality holds, the i^{th} invariant factor of $F_{A,Y,X}$ equals s_i for all i .

□