

Recursive Sorting algorithms

1. Divide and conquer methods

- **MergeSort:** Mergesort is a divide and conquer algorithm which is divided in two parts, **divide** and **combine**.

It uses recursive calls to itself, therefore the complexity is calculated with recursive equations.

$$W_{MS}(N) \leq 2 \cdot W_{MS}\left(\frac{N}{2}\right) + N - 1;$$

$$W_{MS}(N) \leq N \cdot \log(N) + O(N)$$

$$B_{MS}(N) \geq 2 \cdot B_{MS}\left(\frac{N}{2}\right) + \frac{N}{2}$$

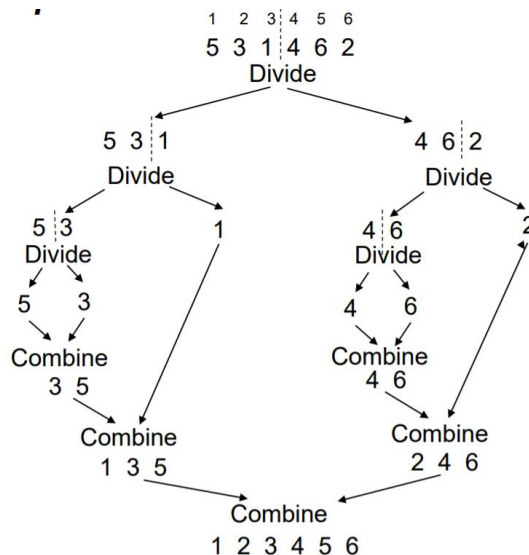
$$B_{MS}(N) \geq \frac{1}{2} \cdot N \cdot \log(N)$$

$$A_{MS}(N) = \theta(N \cdot \log(N))$$

The comparisons made by combine in mergesort are:

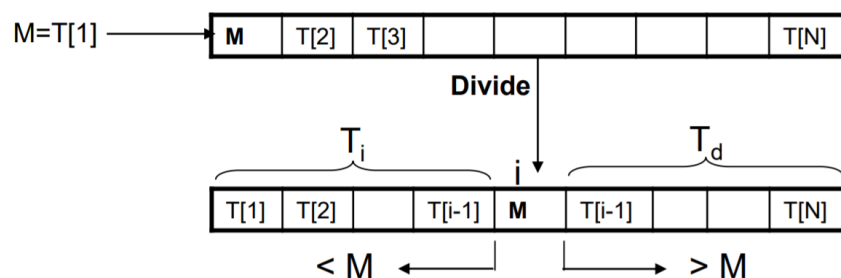
$$\left\lceil \frac{N}{2} \right\rceil \leq n_{combine}(\sigma, \sigma_l, \sigma_r) \leq N - 1$$

The runtime of the algorithm is good, but it uses dynamic memory and being recursive makes it less efficient regarding the stack.



- **Quicksort:** Quicksort is also a divide and conquer algorithm which is divided in two parts, **divide** and **combine**.

Divide takes an element of the array and then the array is sorted based in that element.



The **pivot** (M) can be selected in a lot of ways, not just the first element.

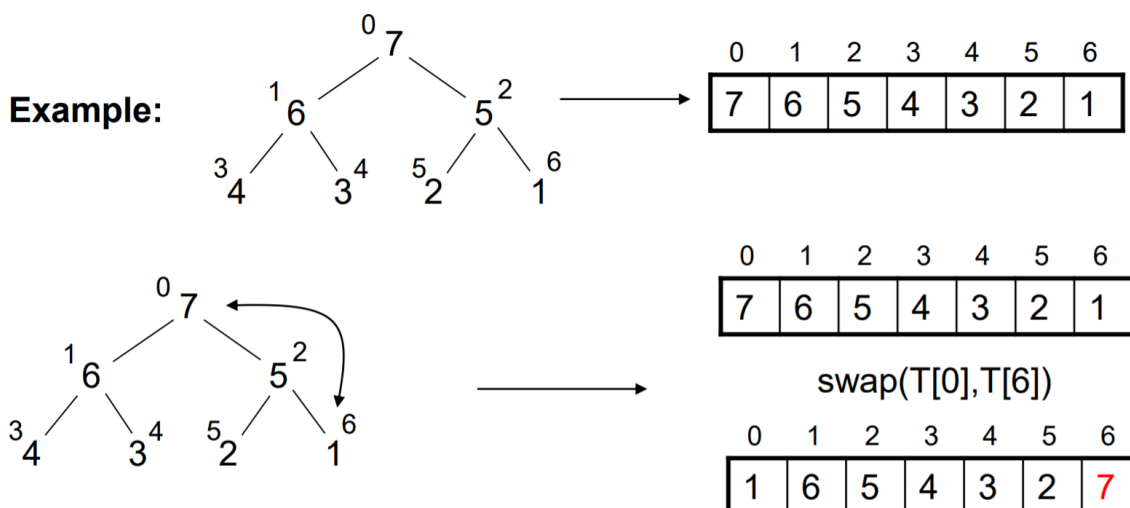
$$W_{QS}(N) \leq \frac{N^2}{2} - \frac{N}{2}$$

$$A_{QS}(N) = 2 \cdot N \cdot \log(N) + O(N)$$

$$B_{QS}(N) \leq O(N \cdot \log(N))$$

Mergesort uses another table and quicksort has a worse worst case.

- **HeapSort:** A heap is an almost complete binary tree. A **Max-heap** is a heap such that for all subtrees, the children are smaller than the parent.



In order to get the index of a child given the index of a parent we just apply this formula:

Parent: J Left child: $2 \cdot J + 1$ Right child: $2 \cdot J + 2$

If we have the child index we apply this:

Child: J Parent: $\lfloor (J - 1) / 2 \rfloor$

Heapsort is divided in 2 parts, first we **create the Max-heap** with the function **heapify** and finally you **sort the Max-heap**.

The height of the **Max-heap** depends on the number of nodes. **Height(T) = $\lceil \log(N) \rceil$**

Abstract runtime of heapsort:

$$W_{HS}(N) = \theta(N \cdot \log(N)) = B_{HS}(N) = A_{HS}(N)$$

2. Decision trees for sorting algorithms

There is not any sorting algorithm which is better than heapsort($\theta(N \cdot \log(N))$), in order to prove this, we have decision trees.

A decision tree T_A^N is composed by a **comparison-based sorting algorithm A** and a **size N**.

The **height of a node** in a tree is the number of edges on the longest path from a node to a leaf.

The **depth of a node** in a tree is the number of edges from the node to the root node.

The **height of a tree** = **Depth of a tree** is the height of the root node.

The **number of leaves** in T_A^N is **N!**, and the **height of a decision tree** with N! leaves is $\lceil \log(N!) \rceil$

The **$n_A(\sigma)$ = number of key comparisons** is $n_A(\sigma) = \text{depth}_{T_A^N}(L_\sigma)$

The worst case of an algorithm is $W_A(N) = \max_{\sigma \in \Sigma_N} n_A(\sigma) = \max \text{depth}_{\sigma \in \Sigma_N} n_A(\sigma)$

The **minimum height/worst case of an algorithm**, regarding comparisons, with N! leaves is $\lceil \log(N!) \rceil$.

The **minimum average height/average case of an algorithm**, regarding comparisons, with N! leaves is $A_A(N) = \Omega(N \cdot \log(N))$

The **external path length (EPL)** are the sum of lengths of all paths from the root to a leaf

$$EPL_{\min}(K) = K \cdot \lceil \log(K) \rceil + K - 2^{\lceil \log(K) \rceil}$$

