

07-09-21

Recap

$$\underbrace{|\omega\rangle\langle v|}_{\downarrow} (|v'\rangle) = |\omega\rangle\langle v|v'\rangle$$

$$= \langle v|v'\rangle |\omega\rangle$$

This is called outer product

Linearity of Outer Product  $\Rightarrow \sum_i a_i |\omega_i\rangle\langle v_i|$

$$\left( \sum_i a_i |\omega_i\rangle\langle v_i| \right) |v'\rangle = \sum_i a_i |\omega_i\rangle\langle v_i|v'\rangle$$

This concept can be used to another result i.e.: Completeness relation for orthonormal vectors

Let  $|i\rangle$  be an orthonormal basis on vector space  $V$

$$|v\rangle \in V \quad \text{can be written as} \quad |v\rangle = \sum_i v_i |i\rangle$$

$$\text{so } \langle i|v\rangle = v_i \quad \begin{array}{l} \swarrow \text{complex no.} \end{array}$$

$$\left( \sum_i |i\rangle\langle i| \right) (|v\rangle) = \sum_i |i\rangle\langle i|v\rangle$$

$$= \sum_i |i\rangle v_i$$

$$= \sum_i v_i |i\rangle$$

$$= |v\rangle$$

$$= \underbrace{I}_{\downarrow \text{identity}} |v\rangle$$

Thus,

$$\sum_i |i\rangle\langle i| = I$$

$\downarrow$   
Completeness Relation

So, for orthonormal basis vectors  $|i\rangle$  on vector space  $V$

$$\sum_i |i\rangle\langle i| = I$$

\* Outer Product is used to give vector representation to operators

↓  
outer product representation  
↓  
represented as matrices

Suppose  $A: V \rightarrow W$  is a linear operator,  $|v_i\rangle$  orthonormal basis for  $V$  and  $|w_j\rangle$  for  $W$

$$A = I_W A I_V$$

$\downarrow$  op                      Identity

$$j \text{ row} \left\{ \begin{matrix} i \text{ col} \\ A \end{matrix} \right\} \begin{bmatrix} | \\ | \\ | \\ | \end{bmatrix} \begin{matrix} \text{row} \\ \} \end{matrix} = \begin{bmatrix} | \\ | \\ | \\ | \end{bmatrix} \begin{matrix} \text{row} \\ \} \end{matrix}$$

$$A = \left( \sum_j |w_j\rangle\langle w_j| \right) A \left( \sum_i |v_i\rangle\langle v_i| \right)$$

$$= \sum_{i,j} |w_j\rangle\langle w_j| A |v_i\rangle\langle v_i|$$

over all pairs of  $i,j$

$$= \sum_{i,j} \underbrace{\langle w_j|A|v_i\rangle}_{\text{corresponds to } A_{ji}} \langle w_j|v_i\rangle = \sum_{i,j} A_{ji} \langle w_j|v_i\rangle$$

corresponds to  $A_{ji}$  ( $i^{\text{th}}$  column  $j^{\text{th}}$  row)

Reason:  
 $A|v_i\rangle$

$$\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & \dots & \dots & a_{mn} \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ \vdots \\ v_i \\ 0 \end{bmatrix} = \begin{bmatrix} a_{1i} \\ a_{2i} \\ \vdots \\ a_{mi} \end{bmatrix}$$

$$\langle w_j|A|v_i\rangle$$

$$= [0 \ 0 \ \dots \ w_j \ \dots \ 0] \begin{bmatrix} a_{1i} \\ a_{2i} \\ \vdots \\ a_{mi} \end{bmatrix} = \boxed{a_{ji}}$$

## Cauchy - Schwarz Inequality

for two vectors  $|v\rangle, |w\rangle$

$$|\langle v|w\rangle|^2 \leq \langle v|v\rangle \langle w|w\rangle$$

Proof:

We use Gram-Schmidt procedure to construct an orthonormal basis vector for vector space - Let it be  $|i\rangle$

$|i\rangle \rightarrow$  orthonormal vector basis

Construct first vector in  $|i\rangle$  as  $\frac{|w\rangle}{\sqrt{\langle w|w\rangle}} \rightarrow$  call it  $|0\rangle$

Using completeness relation,  $\sum_i |i\rangle \langle i| = I$

$$\langle v|v\rangle \langle w|w\rangle$$

$$= \sum_i \langle v|i\rangle \langle i|v\rangle \langle w|w\rangle$$

$$\geq \langle v|0\rangle \langle 0|v\rangle \langle w|w\rangle$$

$$\geq \frac{\langle v|w\rangle \langle w|v\rangle}{\sqrt{\langle w|w\rangle} \sqrt{\langle w|w\rangle}} \langle w|w\rangle$$

$$\geq \langle v|w\rangle \langle w|v\rangle$$

$$\geq |\langle v|w\rangle|^2$$

$$\text{Thus } \langle v|v\rangle \langle w|w\rangle \geq |\langle v|w\rangle|^2$$

When  $|v\rangle = k|w\rangle$ , the equality occurs in above eqn

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## Eigen Vectors / Eigen Values

For operator  $A$ , its eigen vector (on vector space  $V$ ) is non-zero vector  $|v\rangle$  such that

$$A|v\rangle = \underset{\substack{\downarrow \\ \text{complex scalar}}}{\vartheta}|v\rangle$$

property of op.  $A$

⊛  $\vartheta$  is called eigen-value of  $A$  corresponding to eigen vector  $|v\rangle$

→ How do we find eigen vectors ?

(Assume you have matrix rep. of operator)

∴ Using characteristic equation

characteristic function :  $c(\lambda)$

$$c(\lambda) = \det|A - \lambda I|$$

$c(\lambda) = 0 \rightarrow$  used to find eigen-values

solutions of characteristic eqn  $c(\lambda) = 0$  are eigen-values of operator  $A$

Eigenspace : Corresponding to eigen value  $\lambda$ , there could be set of vectors which have Eigen vector  $v$ . It is a subspace of the vector space  $V$

⊛ Why is eigen space a subspace ?

For  $V'$  to be subspace

$V'$  is not empty  $\rightarrow$  eigenspace is not empty as it contains atleast one eigen vector

If  $u, v \in V'$  then  $\alpha u + \beta v \in V'$   
where  $\alpha, \beta \in \mathbb{C}$

$$A(\alpha|u\rangle + \beta|v\rangle) = A(\alpha|u\rangle) + A(\beta|v\rangle)$$

$$= \alpha A|u\rangle + \beta A|v\rangle$$

$$= \lambda(\alpha|u\rangle + \beta|v\rangle) \in V'$$

Thus eigenspace is a subspace

Diagonal Representation (for an operator)

For an operator  $A$  on vector space  $V$ ,

$$A = \sum_i \lambda_i |i\rangle\langle i|$$

$\rightarrow$  Diagonal Representation

where vectors  $|i\rangle$  form orthonormal ~~basis~~ <sup>set</sup> of eigen vectors for  $A$  with corresponding eigenvalues  $\lambda_i$

$$A|q\rangle = \sum_i \lambda_i |i\rangle\langle i|(|q\rangle)$$

$$= \sum_i \lambda_i |i\rangle\langle i|q\rangle$$

$$= \sum_i \lambda_i \langle i|q\rangle |i\rangle$$



When an eigenspace has more than one dimension, we call it "degenerate"

For same eigen vector

Ex:

$$A = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$C(\lambda) = \begin{bmatrix} 2-\lambda & 0 & 0 \\ 0 & 2-\lambda & 0 \\ 0 & 0 & -\lambda \end{bmatrix}$$

$$(2-\lambda)(2-\lambda)(-\lambda) = 0$$

$$\Rightarrow (2-\lambda)^2(-\lambda) = 0$$

$$\Rightarrow \lambda = 0, \lambda = 2$$

Eigen Vectors

$$\lambda = 0, 2$$

$$\begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -2 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

$$z = 0$$

Q: Prove that  $\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$  is not diagonalisable

$$\begin{vmatrix} 1-\lambda & 0 \\ 1 & 1-\lambda \end{vmatrix} = 0$$

$$(1-\lambda)^2 = 0 \Rightarrow \lambda = 1 \Rightarrow \boxed{|\lambda\rangle = |1\rangle}$$

$$\begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} c_1 \\ c_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$\boxed{c_1 = 0, \quad c_2 = 1}$$

$$\boxed{|\lambda\rangle = |1\rangle}$$

Only 1 eigen vector

$$|1\rangle\langle 1| = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} = c \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

There exists no such  $c$

10-9-21

## Adjoint & Hermitian Operators

→ Dirac Representation

Adjoint → conjugate of transpose of matrix

↓  
all elements in matrix converted to their complex conjugates

### Hermitian Conjugate

⊗ Suppose  $A$  is a linear operator on Hilbert space  $V$ , then there exists a unique linear operator  $A^\dagger$  on  $V$  such that for all vector  $|v\rangle, |w\rangle \in V$

$$(|v\rangle, A|w\rangle) = (A^\dagger|v\rangle, |w\rangle)$$

$A^\dagger$  is called Adjoint / Hermitian conjugate of  $A$

$$\textcircled{1} (AB)^\dagger = B^\dagger A^\dagger$$

Proof:

$$\begin{aligned} (|v\rangle, (AB)^\dagger |w\rangle) &= (AB|v\rangle, |w\rangle) \\ &= (B|v\rangle, A^\dagger |w\rangle) \\ &= (|v\rangle, B^\dagger (A^\dagger |w\rangle)) \\ &= (|v\rangle, B^\dagger A^\dagger |w\rangle) \end{aligned}$$



By usual convention,

$$|v\rangle^\dagger = \langle v|$$

$$* \quad (A|v\rangle)^\dagger = \langle v|A^\dagger$$

Proof

→ [Correct Proof in next class]

we know  $(|w\rangle, A|v\rangle) = \langle w|A|v\rangle$

$$\star \quad (|w\rangle, (A|v\rangle)^\dagger) = \langle w|(A|v\rangle)^\dagger$$

$$= |(A|v\rangle)^\dagger \rangle \langle w|$$

$$(A|v\rangle)^\dagger \rightarrow \text{dual vector of } (A|v\rangle)$$

$$= \langle v|A^\dagger$$

Ex: Show that for any two vectors  $|w\rangle, |v\rangle$ ,

$$(|w\rangle \langle v|)^\dagger = |v\rangle \langle w|$$

for arbitrary vectors  $|x\rangle, |y\rangle$

$$(|x\rangle, (|w\rangle \langle v|)^\dagger |y\rangle) = (|w\rangle \langle v| |x\rangle, |y\rangle)$$

[defn of hermitian conjugate]

$$= (\langle v|x\rangle |w\rangle, |y\rangle)$$

$$= (\langle v|x\rangle)^\dagger \langle w|y\rangle$$

$$= \langle x|v\rangle \langle w|y\rangle$$

$$= (\langle x|) (|v\rangle \langle w|) |y\rangle$$

$$= (|x\rangle, \underline{|v\rangle \langle w|} |y\rangle)$$

$$\text{so } (|w\rangle \langle v|)^\dagger = |v\rangle \langle w|$$

## Anti-linearity of Adjoint

$$\left(\sum_i a_i A_i\right)^\dagger = \sum_i a_i^* (A_i)^\dagger$$

Proof:

$$\left(\left(\sum_i a_i A_i\right)^\dagger |v\rangle, |w\rangle\right) = \left(|v\rangle, \left(\sum_i a_i A_i\right) |w\rangle\right)$$

$$= \sum_i a_i \left(|v\rangle, A_i |w\rangle\right) \quad \left(\begin{array}{l} \text{property of} \\ \text{inner} \\ \text{product} \end{array}\right)$$

$$= \sum_i a_i \left(A_i^\dagger |v\rangle, |w\rangle\right)$$

$$= \sum_i \left(a_i^* A_i^\dagger |v\rangle, |w\rangle\right)$$

$$= \left(\left(\sum_i a_i^* A_i^\dagger\right) |v\rangle, |w\rangle\right)$$

Thus  $\boxed{\left(\sum_i a_i A_i\right)^\dagger = \sum_i a_i^* A_i^\dagger}$

\*: Prove  $(A^\dagger)^\dagger = A$

A: so we need to prove  $\left((A^\dagger)^\dagger |v\rangle, |w\rangle\right) = \left(A |v\rangle, |w\rangle\right)$

$$\left((A^\dagger)^\dagger |v\rangle, |w\rangle\right) = \left(|v\rangle, A^\dagger |w\rangle\right)$$

$$= \left(A^\dagger |w\rangle, |v\rangle\right)^*$$

$$= \left(|w\rangle, A |v\rangle\right)^*$$

$$= \left(A |v\rangle, |w\rangle\right)$$

## Implication of Hermitian conjugate in matrix notation

$$A^\dagger = (A^*)^T$$

eg:  $A = \begin{bmatrix} i & -i \\ 2+i & 3-i \end{bmatrix}$

$$A^\dagger = \begin{bmatrix} -i & -(i+2) \\ i & i-3 \end{bmatrix}$$

## Hermitian Operator (also called as self Adjoint Operator)



definition:  $A^\dagger = A$   
where  $A$  is an operator

Q.

Are Pauli Matrices self adjoint?

$$\sigma_1 \quad I \quad I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \quad I^\dagger = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\sigma_2 \quad X \quad X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \quad X^\dagger = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\sigma_3 \quad Y \quad Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} \quad Y^\dagger = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$$

$$\sigma_4 \quad Z \quad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \quad Z^\dagger = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

∴ All Pauli Matrices are self-adjoint  
and hence hermitian operators

→ There's an important class of <sup>Hermitian</sup> ~~operators~~ operators called Projectors

Projectors

Suppose  $W$  is a  $k$ -dim vector subspace within  $d$ -dimensional vector space  $V$ . We can construct using Gram Schmidt procedure, an orthonormal basis set  $|1\rangle \dots |d\rangle$  for  $V$

$$\begin{aligned} \sum_{i=1}^d |i\rangle &\rightarrow \text{Orthonormal basis for } V (|1\rangle, |2\rangle, \dots, |d\rangle) \\ \downarrow & \\ \sum_{i=1}^k |i\rangle &\rightarrow \text{Orthonormal basis for } W \text{ (and } (|1\rangle, \dots, |k\rangle)) \end{aligned}$$

then

$$P = \sum_{i=1}^k |i\rangle \langle i|$$

$P$  is a projector onto vector subspace  $W$

⊛ Consider

$$|V\rangle \in V \text{ then } |V\rangle = \sum_{i=1}^d \alpha_i |i\rangle$$

$$P(|V\rangle) = \sum_{i=1}^k |i\rangle \langle i| \left( \sum_{j=1}^d \alpha_j |j\rangle \right)$$

$$= \sum_{i=1}^k |i\rangle \alpha_i \quad \left( \begin{array}{l} \text{i.e. when if } j \text{ then} \\ \langle i|j\rangle = 0 \text{ so those terms} \\ \text{are eliminated} \end{array} \right)$$

↓

⇒ we get a vector that belongs to subspace  $W$

so many vectors  $|V\rangle$  in  $V$  can get mapped to some  $|W\rangle$  in  $W$  (like many-one relation)

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## Recap

### Hermitian conjugate of operator

$$(A|v\rangle)^{\dagger} = \langle v|A^{\dagger}$$

$$(|v\rangle)^{\dagger} = \langle v|$$

Proof:

✓ ~~Proof~~ Clarification in proof

$(A|v\rangle)^{\dagger}$  is dual vector of  $A|v\rangle$

$$(A|v\rangle, |v\rangle) = \langle (A|v\rangle)^{\dagger} | v \rangle \quad - (1)$$

↓ Also

$$(A|v\rangle, |v\rangle) = (|v\rangle, A^{\dagger}|v\rangle)$$

$$= \langle v|A^{\dagger}|v\rangle \quad - (2)$$

As (1) & (2) are same

$$\langle (A|v\rangle)^{\dagger} | v \rangle = \langle v|A^{\dagger}|v\rangle$$

$$\boxed{(A|v\rangle)^{\dagger} = \langle v|A^{\dagger}}$$

### Hermitian / self-Adjoint Operator ( $A^{\dagger} = A$ )

→ Special Class - Projector

↓

Vector subspace  $W$  of vectorspace  $V$

↓  
 $K$  dim

orthonormal  
basis  
 $|i\rangle$

$\{1, \dots, K\}$

↓  
 $d$  dim

$\{1, \dots, K, K+1, \dots, d\}$

$$\leftarrow P = \sum_{i=1}^K |i\rangle\langle i| \text{ is a projector for } V$$

↓

It projects onto subspace  $W$

usually  
also called  
subspace projected  
by  $P$



Orthogonal Component of a Projector

$Q = I - P$  is called orthogonal complement of  $P$

$$I = \sum_{i=1}^d |i\rangle\langle i|$$

$$Q = \sum_{i=1}^d |i\rangle\langle i| - \sum_{j=1}^k |j\rangle\langle j|$$

$$= \sum_{i=k+1}^d |i\rangle\langle i|$$

This vector space also  $\downarrow$  projects onto  
called as Orthogonal  $\leftarrow$  vector space spanned by  $\{k+1, \dots, d\}$   
Complement of vector space of  $P$

Q. Prove  $P^2 = P$

Sol:  $P = \sum_i |i\rangle\langle i|$

$$P^2 = P \cdot P = \left( \sum_i |i\rangle\langle i| \right) \left( \sum_j |j\rangle\langle j| \right)$$

$$= \sum_{i,j} |i\rangle\langle i|j\rangle\langle j|$$

$\downarrow$   
 $\delta_{ij}$

$$= \sum_{i,j} |i\rangle\langle j| \delta_{ij}$$

$$\delta_{ij} = \begin{cases} 0 & i \neq j \\ 1 & i = j \end{cases}$$

$$= \sum_i |i\rangle\langle i|$$

$$= P$$

Thus  $\boxed{P^2 = P}$

Let  $P(v_1|i\rangle + v_2|2\rangle + \dots + v_d|d\rangle)$

where  $P = \sum_{i=1}^k |i\rangle\langle i|$

we get only those vectors  $\{1, 2, \dots, k\}$

i.e.  $v_1|i\rangle + \dots + v_k|k\rangle$

Now  $P(v_1|i\rangle + \dots + v_k|k\rangle)$

will give same vector back

$$= v_1|i\rangle + \dots + v_k|k\rangle$$

## Normal Operator

An operator  $A$  is said to be normal if  $AA^\dagger = A^\dagger A$

Ex: Prove that an operator that is hermitian is also normal

Sol: As  $A$  is hermitian,  $A^\dagger = A$

so  $AA^\dagger = A^\dagger A = A^2$ , Hence its normal

Q: Is ~~converse~~ reverse true? Does  $A$  is normal means  $A$  is hermitian?

Ans: false, it need not be,

Consider  $A = \begin{bmatrix} 1 & i \\ i & 1 \end{bmatrix}$   $A \neq A^\dagger$

$$A^\dagger = \begin{bmatrix} 1 & -i \\ -i & 1 \end{bmatrix}$$

$$AA^\dagger = \begin{bmatrix} 1 & i \\ i & 1 \end{bmatrix} \begin{bmatrix} 1 & -i \\ -i & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$$

$$A^\dagger A = \begin{bmatrix} 1 & -i \\ -i & 1 \end{bmatrix} \begin{bmatrix} 1 & i \\ i & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$$

$$AA^\dagger = A^\dagger A$$

$$\text{but } A \neq A^\dagger$$

## Spectral Decomposition

### Theorem

Any normal operator  $M$  on vector space  $V$  is diagonal with respect to some orthonormal basis for  $V$ .

Conversely every diagonalizable operator is normal

$$M = \sum_i \lambda_i |i\rangle\langle i| \rightarrow \begin{array}{l} \lambda_i \text{'s are eigen values} \\ |i\rangle \text{ form orthonormal basis} \\ \text{formed with eigen vectors} \\ \text{corresponding to eigen values} \end{array}$$

part-II - If eigen values are real, then the normal matrix is also hermitian

sol.

Using Spectral Theorem,

$$A = \sum_i \lambda_i |\lambda_i\rangle \langle \lambda_i|$$

↓  
eigen values  
(given  $\lambda_i$ 's are real)

→ Eigen vectors that form orthonormal basis

$$\begin{aligned} A^\dagger &= \sum_i \lambda_i^* (|\lambda_i\rangle \langle \lambda_i|)^\dagger \\ &= \sum_i \lambda_i^* (|\lambda_i\rangle \langle \lambda_i|) \quad [ \because (|w\rangle \langle v|)^\dagger = |v\rangle \langle w| ] \\ &= \sum_i \lambda_i (|\lambda_i\rangle \langle \lambda_i|) \\ &= A \end{aligned}$$

So  $\boxed{A^\dagger = A} \Rightarrow A$  is Hermitian

### Unitary Matrices

$$U^\dagger U = U U^\dagger = I$$

operator is unitary  $\Rightarrow$  Each of its matrix representations is also unitary

depends on chosen basis

As  $U^\dagger U = U U^\dagger$ ,  $U$  is also normal and it has spectral decomposition

Unitary  $\rightarrow$  They preserve inner product of two vectors

i.e. Let's consider  $|v\rangle, |w\rangle$  be two vectors,  $U$  is unitary operator

$$(U|v\rangle, U|w\rangle) = \langle (U|v\rangle)^\dagger | U|w\rangle$$

$$= \langle v | U^\dagger U | w \rangle$$

$$[\because (A|v\rangle)^\dagger = \langle v|A]$$

$$= \langle v | (U^\dagger U) | w \rangle$$

$$= \langle v | I | w \rangle$$

$$[\because U U^\dagger = I]$$

$$= \langle v | w \rangle$$