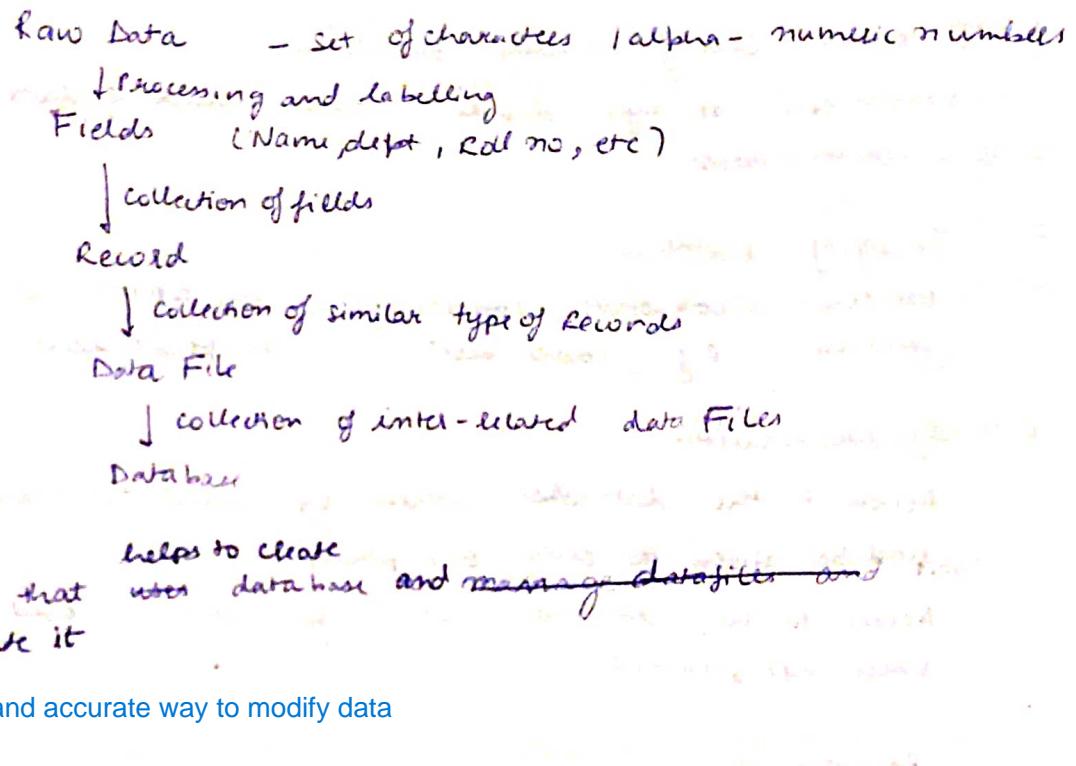


Books

- Principles of Database Systems - J. D. Ullman
- Database System Concepts - H. F. Karthik & A. Silbar
- DBMS - Rama Krishnam



Advantages / Need of database when high-level languages C, C++, Python already exists

1. Data Redundancy and Inconsistency

Duplicate data

Roll No.	Name	Hall	Game Name	Fee
1	John	1	Cricket	100
2	John	2	Football	150

File I File II File III

Game-Name, Fee, Hall, Name all will be repeated
Is this duplicacy required?
Can we manipulate this table itself or to break this into different tables

When breaking the file, the original properties should remain intact

Even now, game name and Roll number repeated
This will be removed if there exists any connection

Designing database - How will I group data with n fields into different data files to remove duplicacy, while being consistent (same changes being applied to all similar files)

2. Easy Access of Data

Once database is created, the user need not know the underlying structure (data types, etc) of the database.

3. Multiple users can access the database simultaneously.

4. It takes care of security problems

Admin can always decide which block of data is accessible to which users

5. Integrity problems

We can incorporate constraints in the DBMS at the time of creation. (e.g. lower birth to senior citizens, ladies)

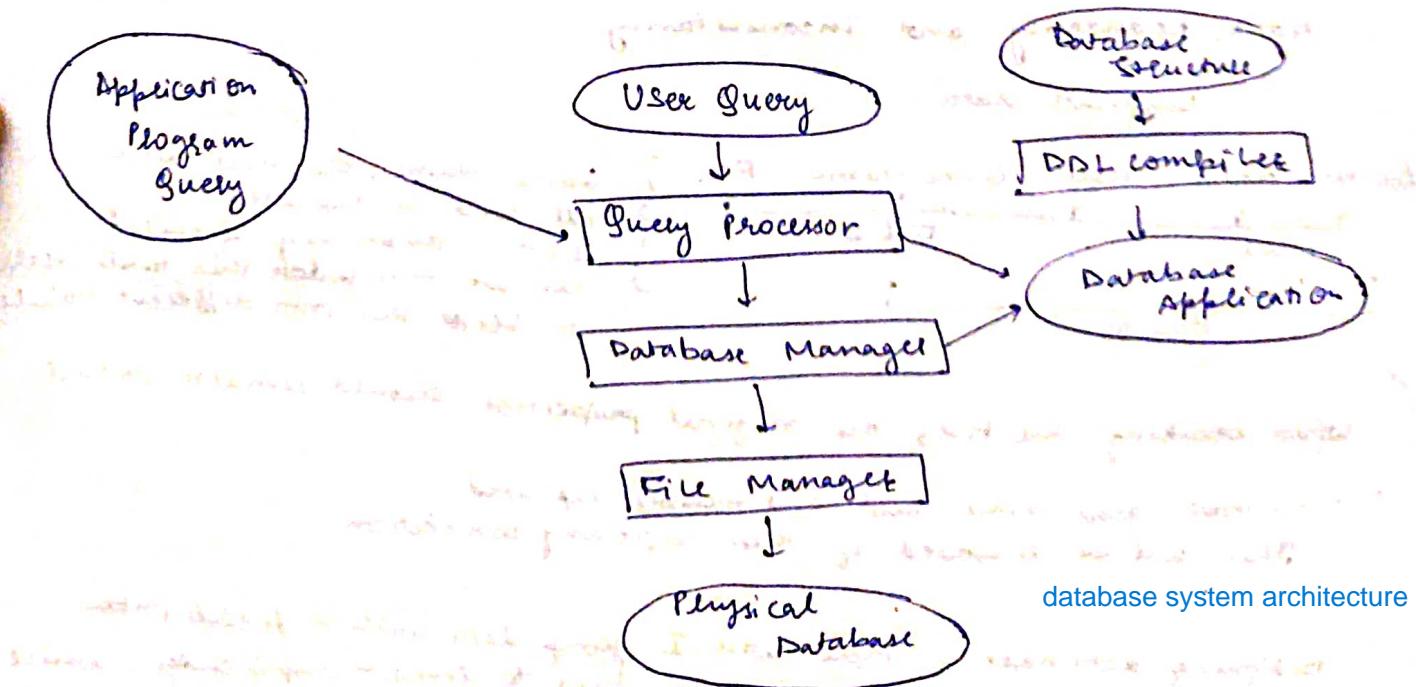
C. Synchronisation

Access to the database when you want to modify the database will be given to only one person.

Access to the database when you want to read only, multiple users are allowed.

Recovery Process

Periodically taking back up and storing it in a isolated system



- DML - process queries
- File manager - details about individual files
- Physical database

Levels of Abstraction

User Group

Conceptual Database
(while designing)

The way user is viewing it

Physical Database
(stored in binary) format

The way designer is viewing it.

Object Based Logical Methods

- 1) entity - relationship model
- 2) Binary model
- 3) Semantic data model
- 4) Infological model

Record Based Logical Methods

- 1) Relational Model (RDBMS)
- 2) Network model
- 3) Hierarchical model

Entity Relationship Model

Entity : Object that exists & is distinguishable from other objects

Collection of entity \rightarrow Entity Set

Customer (name, ph. no., city)
employee (name, ph. no.) } Entity sets

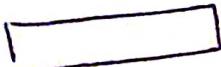


one-one
one-many
many-one
many-many } Relationships

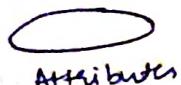
Primary Key : Collection of one or more than one attributes whose value will uniquely identify a record.

Minimum number of attributes which will uniquely identify records is key / primary key.

Diagram



Entity set

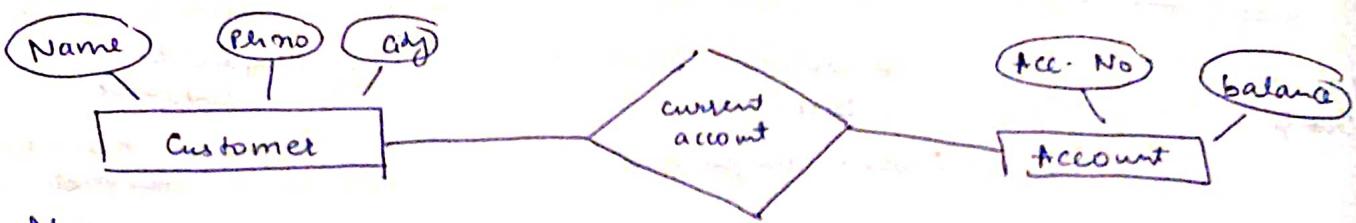


Attribute



Relationship

No arrow on both sides : many-many



Many-many

A Customer may have many accounts.

An account may belong to many customers

Many-to-one

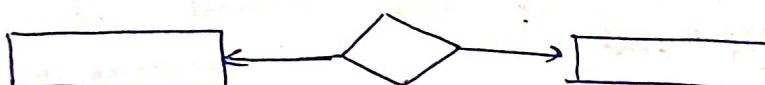
Customer

Account

Many - one

A customer will have only 1 account

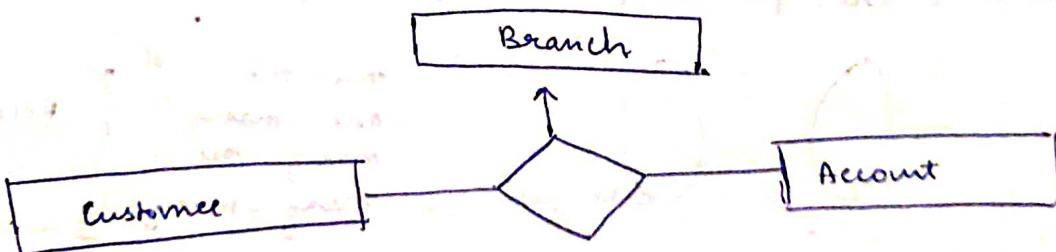
An account may belong to many customers



One - One

A customer will have only 1 account

An account will belong to only one customer



A customer may have multiple accounts but they belong to a single branch

Draw 1

diagram for a database representing

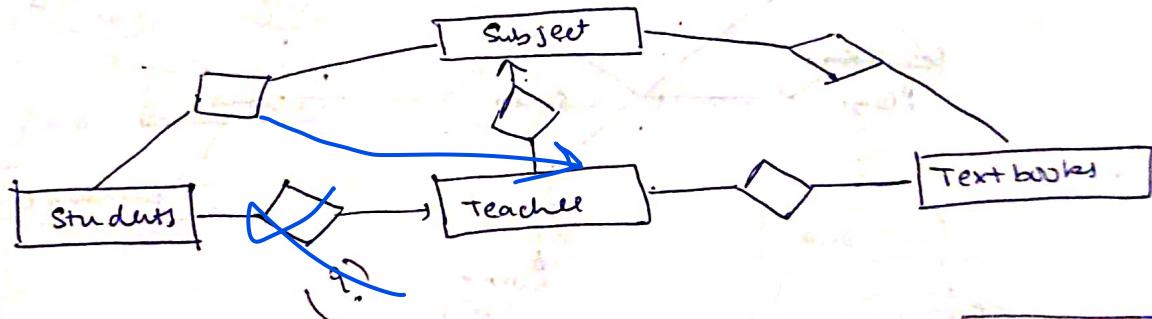
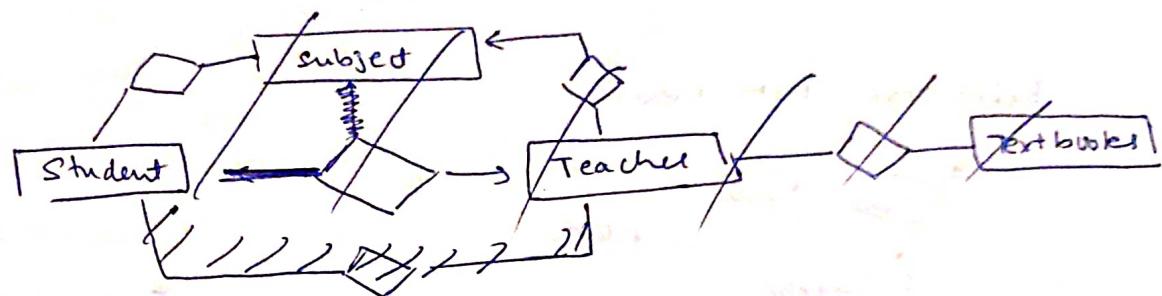
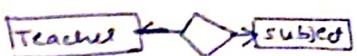
textbook, subject, student, teacher

For each subject, each student is taught by a single teacher

Each teacher teaches only 1 subject

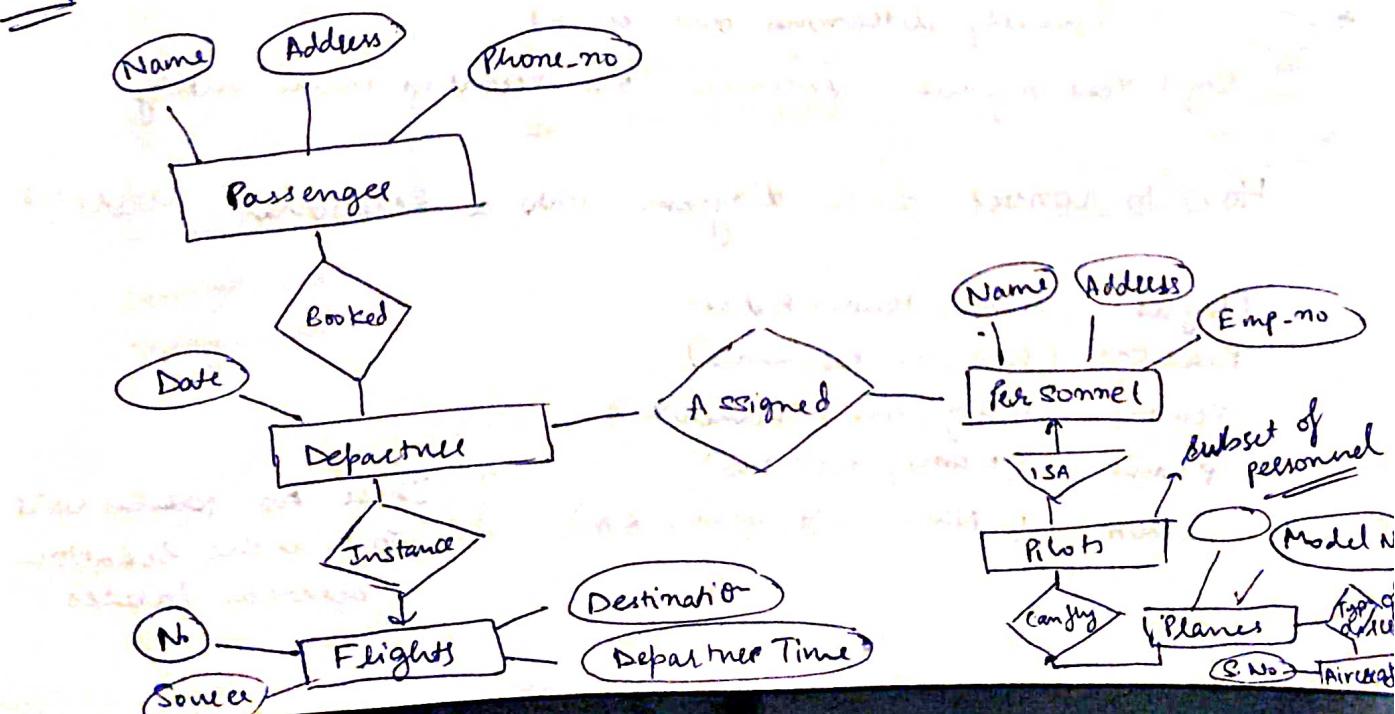
Each subject is taught by several teachers

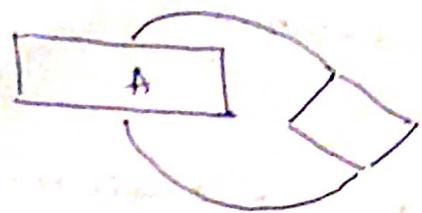
Teachers choose their respective text books



9.01.2023

Example



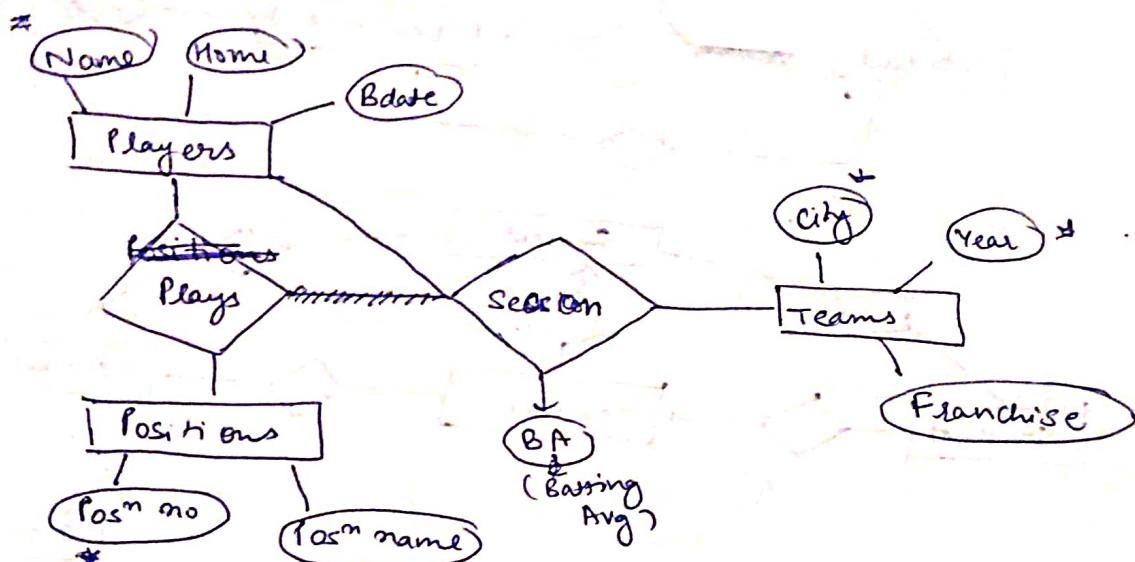


e.g. select employees whose salary is greater than that of his/her manager

E_1	1000	M_1
\vdots		
M_1	900	\dots

$E_1 \quad 1000 \quad M_1 \quad M_1 \quad 900$

Relational Data Model



- * : Uniquely determines one record
city & year together determines one record in teams entity

How to Convert E-R diagram into a Relational Model?

Players (Name, Home, Bdate)

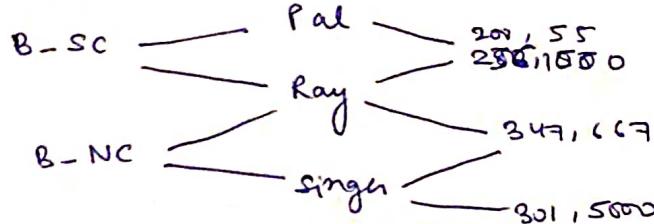
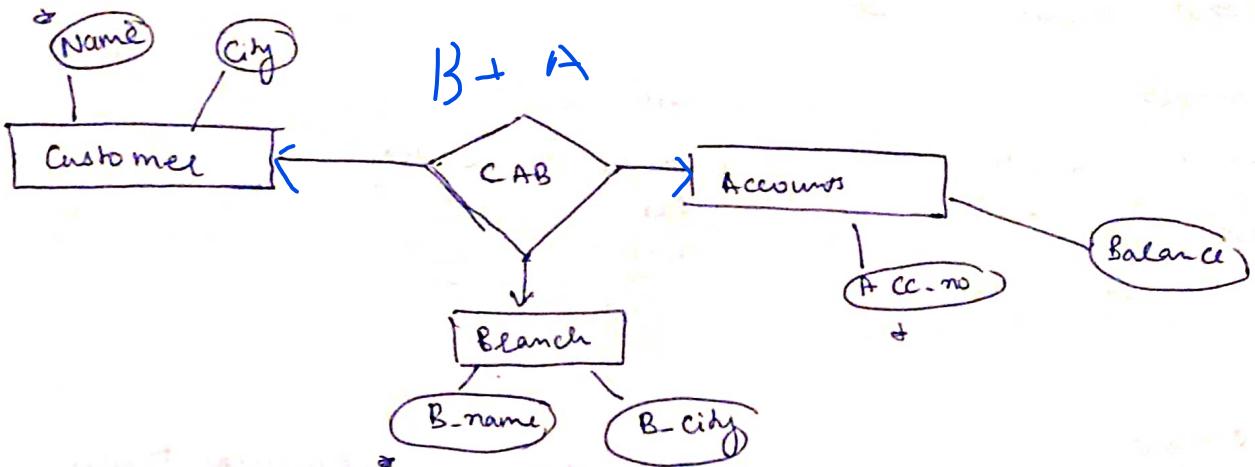
Positions (Posn_no, Posn_name)

Teams (City, Year, Franchise)

Plays (Name, Posn_no)

Season (Name, City, Year, BA)

} These two tables will give us the relation between tables



Relational Model

Customer

Accounts

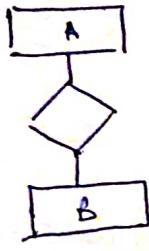
Branch

CAB (name , B-name , acc-no)

Pal B-SC 200

Network Model

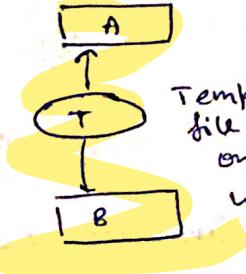
- Binary and Many-one Relationships
→ 2-entities



}

convert to many-one
for network model

2 entities but
many-many



Temporary
file with many
one relations
with A & B.

Relational model

Branch

B-name
B-SC
B-NC

B-city
—
—

Customer

C-name	C-city
Pal	—
Ray	—
Singh	—

Account

AC-no	balance
200	—
256	—
347	—
301	—

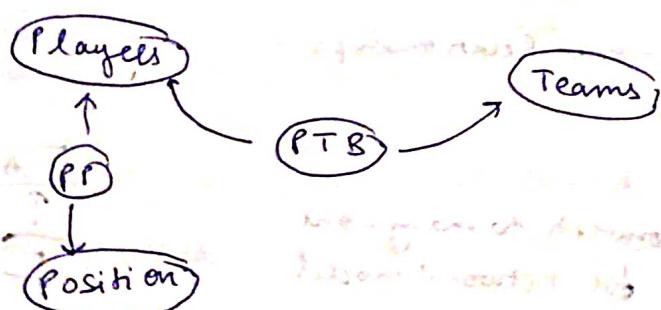
Now,

CAB

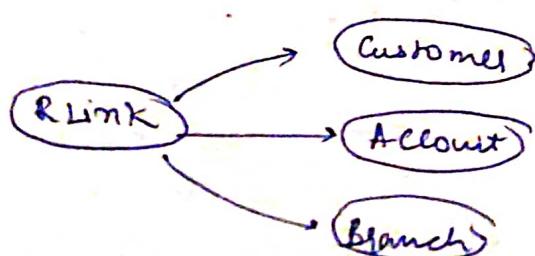
C-name	B-name	AC-no
Pal	B-SC	200
Ray	B-SC	256
Ray	B-NC	347
Singh	B-NC	347
Singh	B-NC	301

These three are individual tables corresponding to the entities

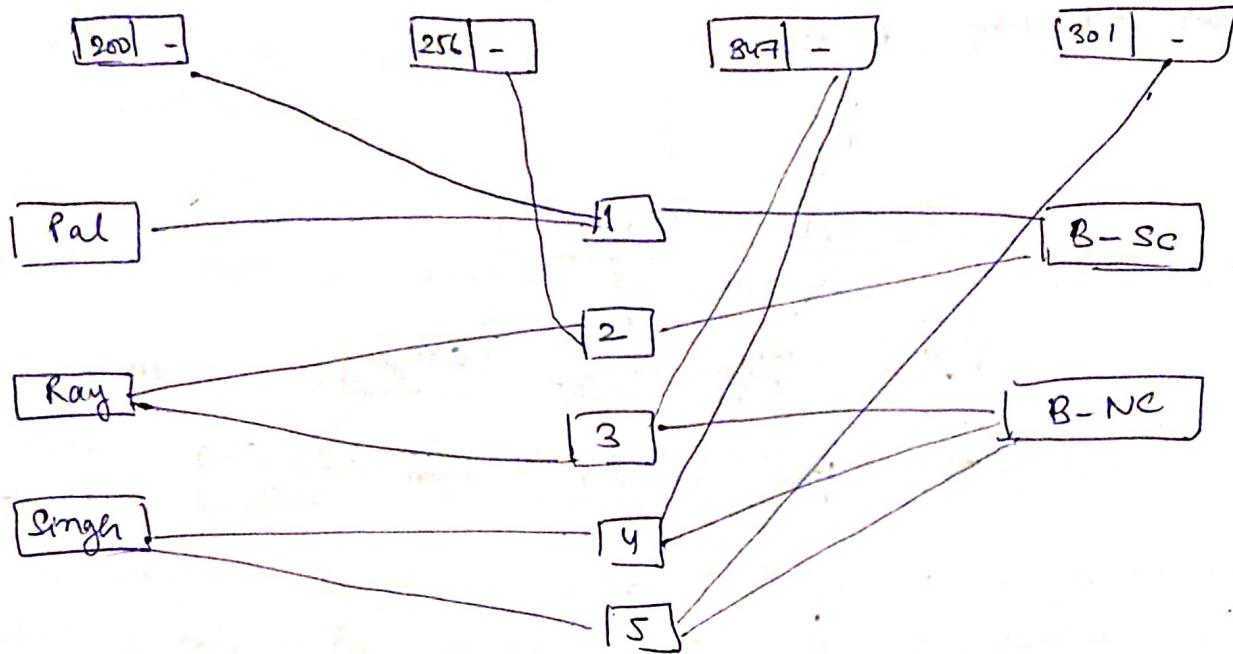
Consider player example



Consider customer-bank example



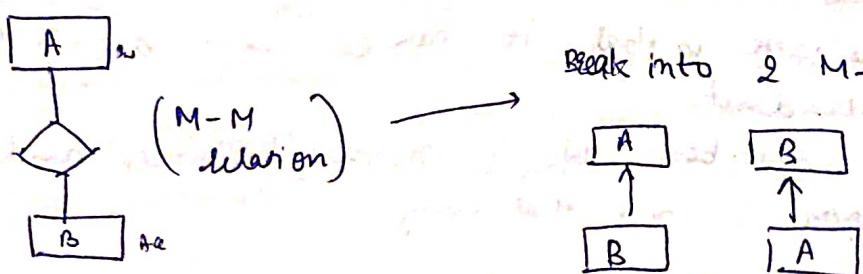
Converting relational model into network model



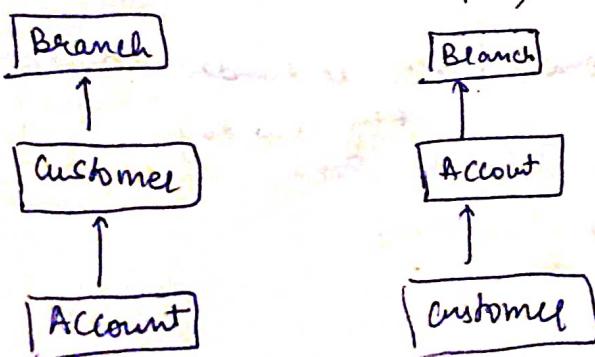
Here, there is **no redundancy** in data (all keys are mentioned only once) whereas, in relational models, the key may repeat again. Thus, this works faster but complex & implementation might be difficult. Also, adding new data is easy in relational model (just add a new record), while it's difficult in NW model.

Thus, hierarchical model was invented

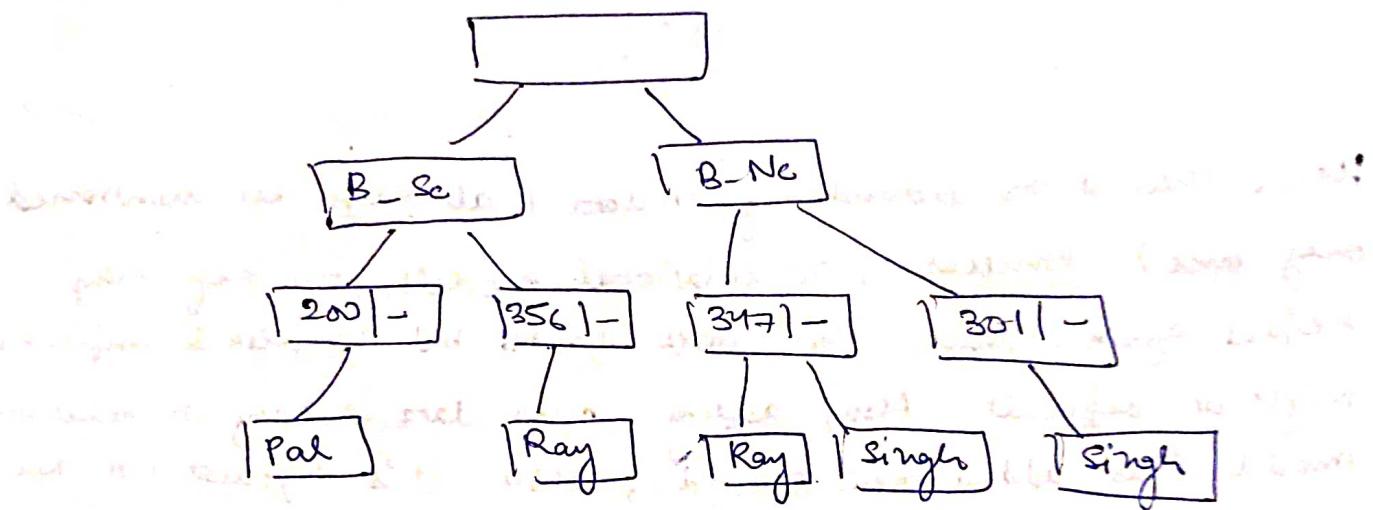
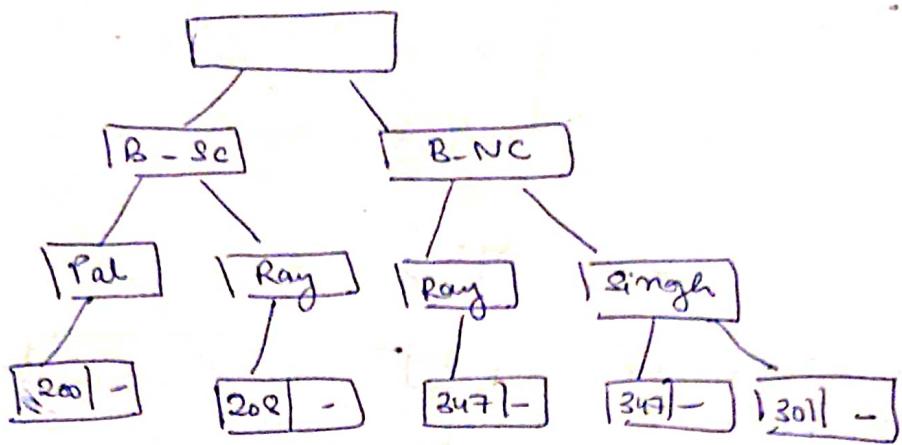
Hierarchical model



In branch-customer example,



If all the relations in the example are M-M, then we will have G-trees.



In this model, we can add / delete on elements easily

- Searching for a query is easy
- Complexity is less.
- But, the drawback is that it takes more data & the method is redundant.

Still, it is not the best b/c it involves pointers and pointer application is not that easy.

The flow is network

↓
Hierarchical
↓
Relational (less redundant in the key records)

Relational Database design :

- 1) Redundancy
- 2) Update anomalies
- 3) Insertion anomalies
- 4) Deletion anomalies

Relational database design has the above 4 drawbacks

e.g. S-name Roll-no Hall Game Fee

A student can have multiple game participation & hence there is data redundancy.

- If we have to update the fee of cricket, if we forget for one record by mistake for the game cricket, then the data becomes inconsistent.

This is update anomaly and to get rid of that, every record has to be updated.

- whenever new info has to be added, we need the values of all the attributes. This is insertion anomaly.
- For suppose, there is a record with game hockey, and if we delete it, we also delete the fee of the game (which may become imp. data) while deleting the records of the student. This is deletion anomaly.

To avoid this, we can form additional tables as follows.

(S-name, RN, Hall) } This will have redundancy in the
(RN, Game) } key attributes but will solve the
(Game, Fee) } anomalies discussed above

Functional Dependency (FD)

$U \rightarrow U_j \subset U$
Universal set of attributes $X \rightarrow Y$
 X and Y are subsets of U_j

$X \rightarrow Y$: X functionally determines Y

or Y is functionally dependent on X

Relation, $R : X \rightarrow Y$ μ_1, μ_2 tuples (records)

If $\mu_1[x] = \mu_2[x]$ then $\mu_1[y] = \mu_2[y]$

Then we say that X functionally determines Y

$R(A, B, C, D)$

A	B	C	D
a_1	b_1	c_1	d_1
a_1	b_2	c_1	d_2
a_2	b_2	c_2	d_2
a_2	b_3	c_2	d_3
a_3	b_3	c_3	d_4

$$\begin{matrix} A \xrightarrow{\quad} C \\ D \xrightarrow{\quad} B \end{matrix}$$

$R : X \rightarrow Y$ has to be a valid f^n (1-1 mapping)

Also, FD's can be

$$A \rightarrow B \rightarrow D \quad (?)$$

$B \rightarrow D$ X not

$$A \rightarrow A \quad (\text{Trivial FD})$$

$$\textcircled{*} \quad A \rightarrow B, B \rightarrow C \Rightarrow A \rightarrow C$$

If $\mu_1[a] = \mu_2[a]$, $\mu_1[b] = \mu_2[b] \Rightarrow \mu_1[c] = \mu_2[c]$

* Closure of a set of FD's

Let F be a set of FD's

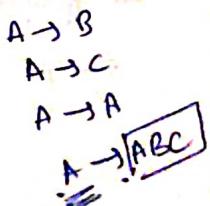
$$\text{Then, } F^* = \{x \rightarrow y \mid F \Rightarrow x \rightarrow y\}$$

Closure of F

$x \rightarrow y$ can be logically derived from FD's of set F

Ex $R(A, B, C) ; F \{ A \rightarrow B, B \rightarrow C \}$

$$F^* = \{ \underbrace{A \rightarrow A, B \rightarrow B, A \rightarrow C}_{\text{Trivial}}, A \rightarrow ABC, AB \rightarrow ABC \dots \}$$



Primary Key

$R(A_1, A_2, \dots, A_n)$

$X \subseteq A_1, A_2, \dots, A_n$ (X has to be minimal)

1) $X \rightarrow A_1 A_2 \dots A_n$ is in F^*

2) $Y \subseteq X$ & $Y \rightarrow A_1 A_2 \dots A_n$ is in F^* then $Y=X$

Armstrong's Axioms

1) Reflexivity : If $Y \subseteq X \subseteq U$ then $X \rightarrow Y$

2) Augmentation : If $X \rightarrow Y$ and $Z \subseteq U$, then $XZ \rightarrow YZ$.

3) Transitivity : If $A \rightarrow B$, $B \rightarrow C$ then $A \rightarrow C$

e.g. $R(\text{city}, \text{street}, \text{pin})$

$F = \{\text{city street} \rightarrow \text{pin}, \text{pin} \rightarrow \text{city}\}$

⊕

* city street \rightarrow pin city street (from (1))

+ Key

* $\text{pin} \rightarrow \text{city}$

$\text{pin street} \rightarrow \text{city street}$

$\Rightarrow \text{pin street} \rightarrow \text{pin}$

$\rightarrow \text{city street pin}$

$\therefore \text{pin street}$ is also a key

Inference Rules

1) Union Rule : - $\{x \rightarrow y, x \rightarrow z\} \quad F \quad x \rightarrow yz$

$xz \rightarrow yz \quad \& \quad x \rightarrow xz \quad (\text{from } ①)$

$\therefore x \rightarrow yz \quad (\text{Transitivity})$

2) Pseudo transitivity Rule : -

~~If $x \rightarrow y \quad \& \quad z \subseteq y$, then $x \rightarrow z$~~ $\{x \rightarrow y, wy \rightarrow z\} \quad F \quad xw \rightarrow z$

3) Decomposition Rule :

If $x \rightarrow y \quad \& \quad z \subseteq y$, then $x \rightarrow z$

4) If $x \rightarrow yz$ then $x \rightarrow y \quad \& \quad x \rightarrow z$

$x \rightarrow yz \quad \& \quad yz \rightarrow y \Rightarrow x \rightarrow y$

$yz \rightarrow z \Rightarrow x \rightarrow z$

* Closure of a set of attributes

X is a set of attributes, then X^+ (closure of X)

is a closure of X if it is derived from X using A's axioms

* Lemma: $X \rightarrow Y$ iff $Y \subseteq X^+$

* Theorem: Armstrong's axioms are sound & complete

+ Complete: No other FD can be obtained beyond one's obtained from armstrong's axioms

+ Sound: we can derive any invalid FD (invalid w.r.t given F) from armstrong's axioms

X^+ algorithm of Bethstein:-

1) Set $N=0$ and $X(N)=X$

2) If there is $A \rightarrow B$ in F whose LHS A is contained in $X(N)$. But RHS B is not contained in $X(N)$, then make

$$X(N+1) = X(N) \cup B$$

otherwise, terminate

3) $N=N+1$, and go to step (2)

Ex: $R(A, B, C, D, E, G)$

$$F = \{ AB \rightarrow C, C \rightarrow A, BC \rightarrow D, AC \rightarrow B, D \rightarrow EG, BE \rightarrow C, CG \rightarrow BD, CE \rightarrow AG \}$$

$$(BD)^+ = ?$$

$$N=0; X(0)=\emptyset$$

$$D \rightarrow EG; X(1)=BDEC$$

$$BE \rightarrow C; X(2)=BDFGCA$$

$$CE \rightarrow AG; X(3)=BDEGCA$$

+ $R(FI, MO, SAL, SP, JOB, COR, LUCK, ED, EFF, SEM)$

$$F = \{ FI \rightarrow MO, SAL \rightarrow SS \rightarrow FT, JOB \rightarrow FI \rightarrow COR,$$

$$LUCK \rightarrow ED \rightarrow JOB, JOB \rightarrow ED, EFF \rightarrow SEM, JOB \rightarrow SAL \}$$

Note: Attribute not on the RHS must be there in the set of attributes (min in size) whose closure is a set of attributes

We can observe that

$$(LUCK, SS, JOB, SEN, EFF)^+ = X \text{ &}$$

$$(LUCK, SS, ED, SEN, EFF)^+ = X$$

These 2 are keys now (since they are minimal)

Partial dependency (Redundant attributes)

$$X \rightarrow Y \quad X' \subset X \text{ s.t. } X' \rightarrow Y$$

elementarily FD, if there is no $X' \subset X$ s.t. $X' \rightarrow Y$

Ex: $AB \rightarrow C$ & $A \rightarrow C$ then B is redundant, since A uniquely determines C.

+ F, set of FD's and

$$f: X \rightarrow A$$

if $B \in X$ & $(X \rightarrow \{B\}) \rightarrow A$ is in F, then

B is extraneous attribute

Ex

$$F = \{ AB \rightarrow DEF, AC \rightarrow G, A \rightarrow C \}$$

$$(A)^+ = ACG$$

$$(AC)^+ = ACC$$

Now observe C is redundant

$$\text{So, } F^1 = \{ AB \rightarrow DEF, A \rightarrow G, A \rightarrow C \}$$

$$\{ AB \rightarrow DEF, A \rightarrow CG \}$$

+ Redundant FD:

f in \textcircled{F} $f: X \rightarrow A$ is redundant w.r.t. Y

$$(F - f)^+ = F^+$$

A set of FD's F is minimum if there is no set G with less number of FD's than F s.t. $G^+ = F^+$

e.g. $\{A \rightarrow B, A \rightarrow C\}$
F

f is not minimum since $G = \{A \rightarrow BC\}$ is minimum.

* L-minimum :- A set of FD's, F is L-minimum if

1) F is minimum

2) \exists no partial dependency in every FP

e.g. $\{ABC \rightarrow D, A \rightarrow B\}$

It is minimum but not L-minimum

$\{AC \rightarrow D, A \rightarrow B\}$

O L-minimum: A set of FD's, F is L-minimum if it is L-minimum & minimum on RHS

Ex: $A \rightarrow AB$

LR minimum is $A \rightarrow B$

Ex: R(A, B, C, D, E, F)

F = $\{AB \rightarrow E, AC \rightarrow F, AD \rightarrow B, B \rightarrow C, C \rightarrow D\}$

Step 1: Check for partial dependencies

These all are no partial dependencies

Step 2: Check for redundant FD's

$AB \rightarrow E$:- $(AB)^+ = ABCFDP$

\downarrow
No E, so it's not redundant.

$AC \rightarrow F$:- $(AC)^+ = ACDBE$
 $\Rightarrow NR$

$AD \rightarrow B$:- $(AD)^+ = AD$
 $\Rightarrow NR$

$B \rightarrow C$:- $(B)^+ = BD$
 $\Rightarrow NR$

$C \rightarrow D$:- $(C)^+ = C$
 $\Rightarrow NR$

No redundant FD's

Step 3: Minimize the no. of FD's

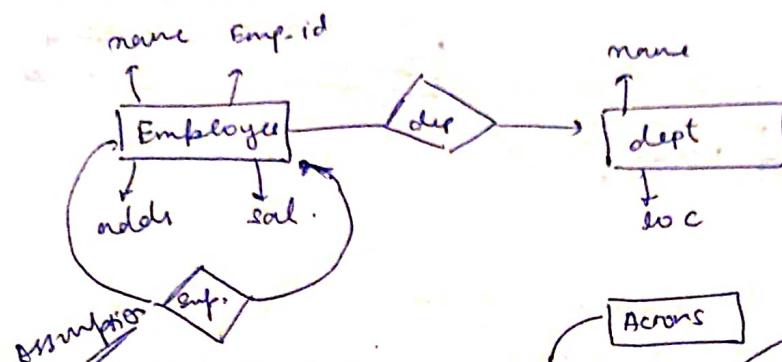
Note: You can minimize only if there are 2 FD's with same LHS, minimization can be done by merging the RHS, or else few info will be lost because of which some queries cannot be answered.

Labwork

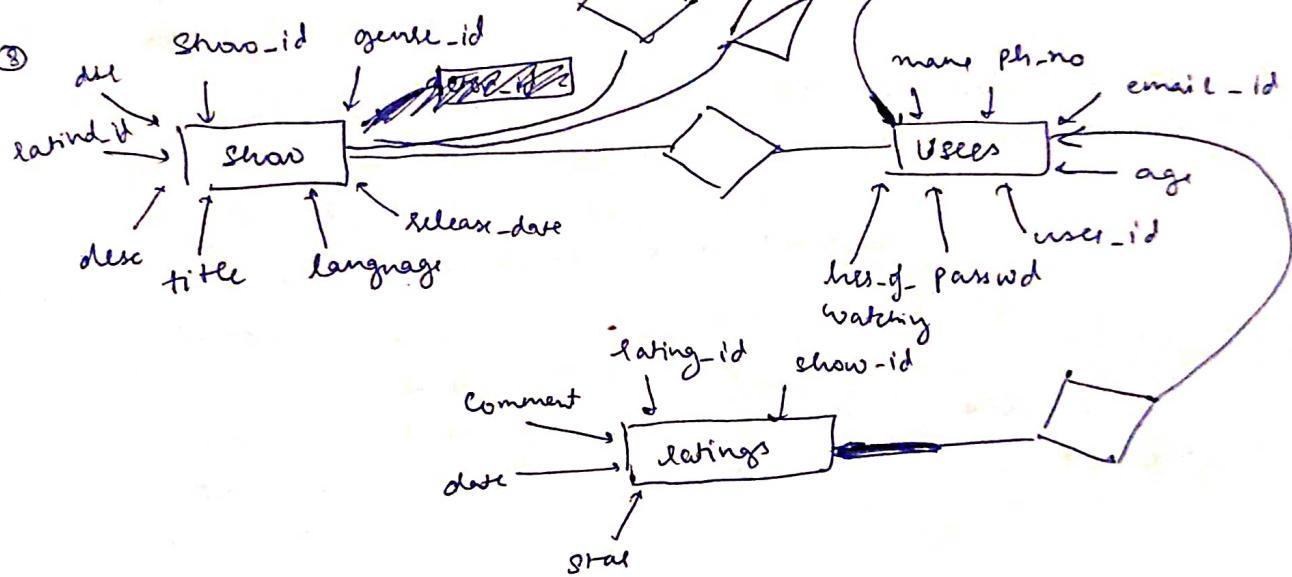
— X —

passed

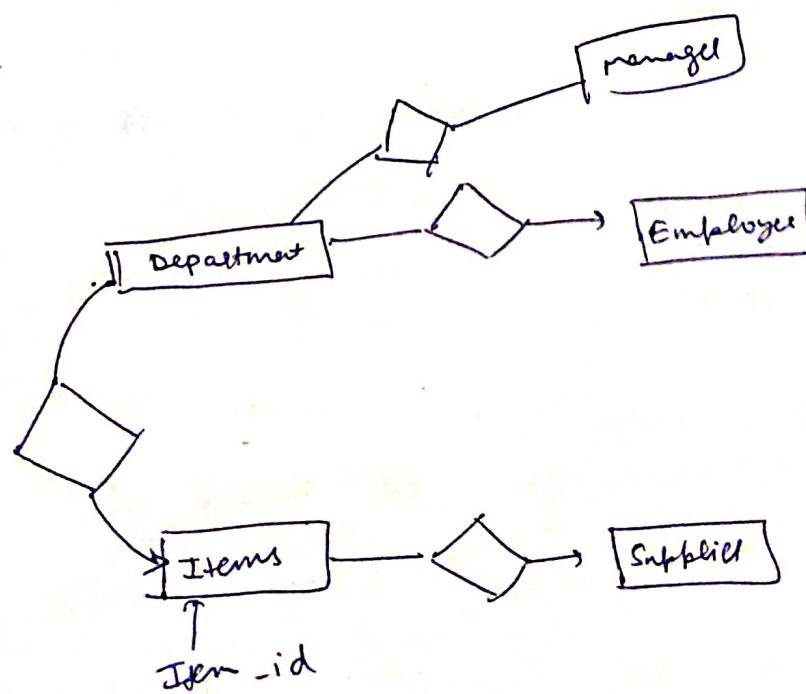
(2)



(3)



(4)



1 item
1 dept ?

Partial Dependency

$$X \rightarrow Y$$

$$\{x - B\} \rightarrow Y$$

$$B \subseteq X$$

$$AB \rightarrow C$$

$$A \rightarrow C$$

$$FD: f = X \rightarrow Y$$

F (system of FD's)

when can we say that F is redundant?

$$(F-f)^+ = F^+$$

Redundancy: if we can reach from lhs to rhs without using the current relation, then the current relation is redundant

$$F = \{AB \rightarrow C, C \rightarrow A, BC \rightarrow D, ACD \rightarrow B, D \rightarrow EG, BE \rightarrow C, CG \rightarrow BD, CE \rightarrow AG\}$$

Attributes : A, B, C, D, E, G

Rewrite the FD's s.t. RHS of each FD is a single attribute so that you have the minimum in the very beginning

Step I: check Redundant dependencies

$AB \rightarrow C$	N.R.
$C \rightarrow A$	N.R.
$BC \rightarrow D$	N.R.
$ACD \rightarrow B$	R \times
$D \rightarrow E$	N.R.
$D \rightarrow G$	N.R.

$BE \rightarrow C$	N.R.
$CG \rightarrow B$	N.R. (ACD removed)
$CG \rightarrow D$	N.R. \times
$CE \rightarrow A$	N.R. \times
$CE \rightarrow G$	N.R.

Step II: Check for Partial dependency

$AB \rightarrow C$
$C \rightarrow A$
$BC \rightarrow D$
$D \rightarrow E$
$D \rightarrow G$

$BE \rightarrow C$
$CG \rightarrow B$
$CE \rightarrow G$

No partial dependency

So, Now, LHS is minimum & RHS was already min.

Step III: Is it minimum or can we reduce it?

If for any two FD's, if RHS is same, merge the RHS

what is the advantage of merging?

Each FD will determine one table

↪ I have to handle less number of tables and less no. of data so that I can run my query in less time.

Decomposition of Relational Scheme

$R(A_1, A_2, \dots, A_n)$ → n attributes
 $P = \{R_1, R_2, \dots, R_K\}$ decompose into
 R_1, R_2, \dots, R_K

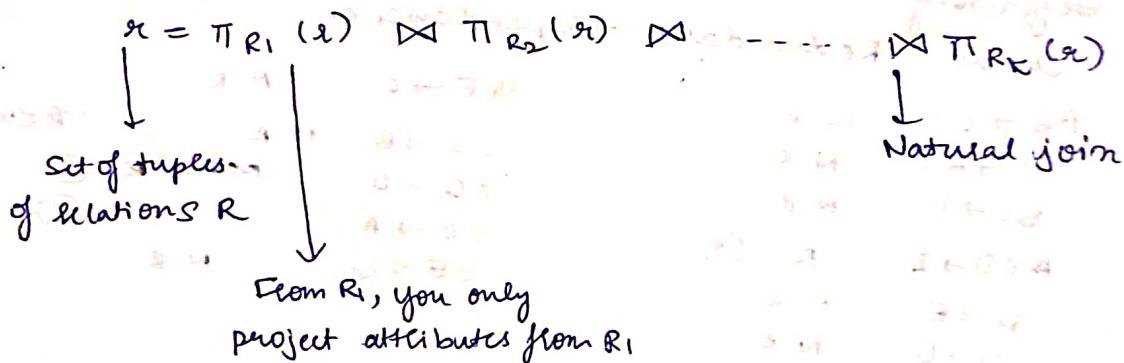
If I join them, I must get R

$$R = R_1 \cup R_2 \cup \dots \cup R_K$$

① Lossless Join Property

$$R = R_1, R_2, \dots, R_K$$

Δ is a set of dependencies



A	B	C
a ₁	b ₁	c ₁
a ₂	b ₂	c ₂
a ₂	b ₃	c ₂

$$R(A, B, C)$$

$$\pi_R(A, C)$$

$$A \quad C$$

a ₁	a ₁
a ₂	c ₂
a ₂	c ₂

Theorem: $P = (R_1, R_2)$ is a decomposition of R

$F \rightarrow$ set of dependencies. Then P is a lossless join decomposition w.r.t F

★ iff $(R_1 \cap R_2) \rightarrow (R_1 - R_2) \text{ or } (R_1 \cap R_2) \rightarrow (R_2 - R_1)$

$$R(A, B, C) \quad F = \{ A \rightarrow B \}$$

Decomposition I: $R_1(A, B)$, $R_2 \subseteq A, C^3$

$$R_1 \cap R_2 \subseteq A$$

$$R_1 - R_2 = B$$

$$(R_1 \cap R_2')$$

$$\boxed{A \rightarrow B}$$

$$R_2 - R_1 = C$$

$$F \propto F'$$

Lossless Join Prop. ✓

Decomposition II: $R_1(A, B)$, $R_2(B, C)$

$$r = \{ a_1 b_1 c_1, a_2 b_1 c_2 \}$$

$$\pi_{AB}(r) = \{ a_1 b_1, a_2 b_1 \}$$

$$\pi_{BC}(r) = \{ b_1 c_1, b_1 c_2 \}$$

$$\pi_{AB}(r) \bowtie \pi_{BC}(r)$$

$$= \{ a_1 b_1 c_1, a_1 b_1 c_2, a_2 b_1 c_1, a_2 b_1 c_2 \}$$

$$\neq r$$

⇒ Not a loss less decomposition

Preservation of dependencies

$$R \Rightarrow R_1, R_2, \dots, R_K$$

$$\bigcup_{i=1}^K \pi_{R_i}(F)$$

$$= F$$

16/01/23
S-E

* If dependencies are not preserved, we may not be able to answer all the queries.

Let $X \rightarrow Y$ be there in F , but not in union, then we cannot determine Y if we have X .

* Both loss less join prop. & preservation fails all equally.

e.g. $R(C, S, P)$

$$F = \{ CS \rightarrow P, P \rightarrow C \}$$

$$R_1(S, P) \quad R_2(C, P)$$

$$R_1 \cap R_2 = \Gamma$$

$$\therefore P \rightarrow C, \quad P \rightarrow R_2 - R_1$$

∴ Loss less prop satisfies

$$\pi_{R_1}(F) = \{S \rightarrow S, P \rightarrow P\}$$

$$\pi_{R_2}(F) = \{P \rightarrow C\}$$

$$\pi_{R_1}(F) \cup \pi_{R_2}(F) = \{S \rightarrow S, P \rightarrow P, P \rightarrow C\} \\ \neq F$$

It does not preserve dependencies

$\pi_{R_1}(F) \rightarrow$ FDs containing attributes of R_1

→ $R(A, B, C, D)$ $F = \{A \rightarrow B, C \rightarrow D\}$

$$R_1(A, B), R_2(C, D)$$

$$R_1 \cap R_2 = \emptyset \rightarrow AB \times$$

$$R_2 \cap R_1 = \emptyset \rightarrow CD \times \quad \} \text{No loss less}$$

$$\pi_{R_1}(F) = \{A \rightarrow B\}$$

$$\pi_{R_2}(F) = \{C \rightarrow D\}$$

$$\pi_{R_1}(F) \cup \pi_{R_2}(F) = F \quad \} \text{Preserves dependencies}$$

Candidate keys

These are individual columns in a table that qualifies for the uniqueness of all rows

Primary keys

It is the columns we choose to maintain uniqueness in a table.

Foreign keys

Collection of fields (one or more) in 1 table that uniquely identifies a row of another table

Super key

In primary key, if we add another column, it becomes super key

(S-name, RN, Hall)

$RN \rightarrow$ Primary

$Hall \rightarrow$ Super key

Normal Forms

Normalisation is a process by which relations can be decomposed into sub-relations with no anomalies.

* / Boyce Codd Normal form (BCNF)

$R \rightarrow$ Relation

$F \rightarrow$ Set of dependencies

Every FD $x \rightarrow y$ is in BCNF if
 x is the superkey of the table

✓ $F : x \rightarrow y$ if $y \not\subseteq x$ (y not a subset of F) and there is a key attribute in X , then we say F is in BCNF (X is a key of relation R)

If all the FD's are in BCNF, then the relation is in BCNF.

→ $R (PAN, PI, DI, DRUG, QTY, COST)$

$F = \{ PAN \rightarrow PI, PI \rightarrow DI, PI, DRUG \rightarrow QTY, DRUG, QTY \rightarrow COST \}$

key :- $\{ PAN, DRUG \}$

Because $\{ PAN, DRUG \}^+ = \text{all attributes}$

$PAN \rightarrow PI, PI \not\subseteq PAN \} \text{ Not in BCNF}$

PAN is not key $\Rightarrow R$ is not in BCNF

Now, break it into two sub relations

① $R_1 (PAN \rightarrow PI), R_2 (PAN, DI, DRUG, QTY, COST)$

↓
contains attributes
of FD not in BCNF

↳ Contains attributes other
than RNS in R,

FD's become $DRUG, QTY \rightarrow COST$

Not in BCNF. Again
break it

Find out the FD's of
 R_2 means FD's in F⁺
involving attributes of R_2 only.

② $R_3 (DRUG, QTY, COST)$

$DRUG, QTY \rightarrow COST$

contains attributes
of R_2 excluding
RNS of R_3

$R_4 (DRUG, QTY, DI, PAN)$

$PAN \rightarrow DI$ (Present in F⁺)

Not in BCNF

Normal Forms

Normalisation is a process by which relations can be decomposed into sub-relations with no anomalies.

* Boyce Codd Normal form (BCNF)

$R \rightarrow$ Relation

$F \rightarrow$ Set of dependencies

Every FD $X \rightarrow Y$ is in BCNF if X is the super key of the table

* $F : X \rightarrow Y$ if $Y \not\subseteq X$ (Y not a subset of F) and there is a key attribute in X , then we say F is in BCNF (X is a key of relation R)

If all the FD's are in BCNF, then the relation is in BCNF.

* $R (\text{PAN}, \text{PI}, \text{DI}, \text{DRUG}, \text{QTY}, \text{COST})$

$F = \{\text{PAN} \rightarrow \text{PI}, \text{PI} \rightarrow \text{DI}, \text{PI DRUG} \rightarrow \text{QTY}, \text{DRUG QTY} \rightarrow \text{COST}\}$

key :- $\{\text{PAN}, \text{DRUG}\}$

Because $\{\text{PAN}, \text{DRUG}\}^+ = \text{all attributes}$

$\text{PAN} \rightarrow \text{PI}, \text{PI} \not\subseteq \text{PAN}$ } Not in BCNF

PAN is not key } $\Rightarrow R$ is not in BCNF

Now, break it into two sub relations

① $R_1 (\text{PAN} \rightarrow \text{PI}), R_2 (\text{PAN}, \text{DI}, \text{DRUG}, \text{QTY}, \text{COST})$

↓
contains attributes
of FD not in BCNF

FD's become $\text{DRUG QTY} \rightarrow \text{COST}$

Find out the FD's of
 R_2 means FD's in F^+ . Not in BCNF. Again
involving attributes of R_2 only. break it

② $R_3 (\text{DRUG}, \text{QTY}, \text{COST})$

$\text{DRUG QTY} \rightarrow \text{COST}$

contains attributes
of R_2 excluding
RNS of R_3

$R_4 (\text{DRUG}, \text{QTY}, \text{DI}, \text{PAN})$

$\text{PAN} \rightarrow \text{DI}$ (Present in F^+)

Not in BCNF

③ R_5 (PAN, DI)

R_6 (PAN, DRUG, QTY) \rightarrow all the relation in R_6 contain key

Decomposition of R is $R_1, R_3, R_5 \& R_6$

Theorem: BCNF decomposition produces loss less join decomposition always

(The key has to be there in one of the tables ultimately)

For the above example, $P \rightarrow D$ is lost on combining the FD's of subrelation \Rightarrow BCNF does not preserve FD.

+ R (A, B, C, D, E, F)

$$F = \{ C \rightarrow A, AE \rightarrow B, BF \rightarrow C, CD \rightarrow EF, EF \rightarrow AD \}$$

key :- CD

Prime Attribute

An attribute is called a prime attribute if it is a member of key of R . otherwise, it is called non-prime attribute.

e.g. keys : AB, BC

A, B, C \Rightarrow prime attributes

Third Normal Form (3NF)

used to reduce data duplication

$R \rightarrow$ set of relations is in 3NF if there is a FD, $X \rightarrow A$ if ($A \not\subseteq X$)

X is a key of R (or) A is a prime attribute (super key)

+ A relation in 3NF may / may not be in BCNF

+ A relation in BCNF is also in 3NF.

Bernstein's 3NF Algorithm :-

Input : A set of attributes and a relation

Output : Subrelations from diff anomalies

1) Rewrite the FD's $\Rightarrow \exists$ only one attribute on RHS of each FD.

2) Rewrite the list of FD's $\Rightarrow \exists$ no redundant FD

3) Rewrite FD's \Rightarrow no proper subset of LHS functionally determines RHS (Removing partial dependencies)

4) Combine the dependences with same LHS using union rule.

Then, if $X \rightarrow Y$ is in the list, make a decomposition with attributes $\{X, Y\}$. (Ensures preservation of dependence)

5) Calc. Keys of original relation. If no key is included in any of the decomposed sub-relations, then create a new subrelation with the attributes of key only (Ensures lossless join prop.)

6) If any of the subrelation is a subset of the other, remove smaller sub-relation.

Ex. $R(\text{PAN}, \text{PI}, \text{DI}, \text{DRUG}, \text{QTY}, \text{COST})$

$F = \{\text{PAN} \rightarrow \text{PI}, \text{PI} \rightarrow \text{DI}, \text{PI DRUG} \rightarrow \text{QTY}, \text{DRUG QTY} \rightarrow \text{COST}\}$

1) RHS is only single attribute

2) Same as F

3) No partial dependencies

4) No chance of union. Now, break into sub-relations

$R_1(\text{PAN}, \text{PI}), R_2(\text{PI}, \text{DI}), R_3(\text{PI}, \text{DRUG}, \text{QTY}),$

$R_4(\text{DRUG}, \text{QTY}, \text{COST})$

5) Key :- PAN DRUG

Relation containing PAN DRUG is not there. So, make a new one

$R_5(\text{PAN}, \text{DRUG})$

e.g. $R(A, B, C, D, E, F, G, H, I)$

$$F = \{ A \rightarrow BCD, AE \rightarrow FG, F \rightarrow AEG, C \rightarrow HI \}$$

1) $A \rightarrow B$, $A \rightarrow C$, $A \rightarrow D$, $AE \rightarrow F$, $A \rightarrow G$, $F \rightarrow A$, $F \rightarrow E$, $F \rightarrow G$,
 $C \rightarrow H$, $C \rightarrow I$

2) $A \rightarrow B$

$$A \rightarrow C$$

$$A \rightarrow D$$

$$AE \rightarrow F$$

$$F \rightarrow A$$

$$F \rightarrow E$$

$$F \rightarrow G$$

$$C \rightarrow H$$

$$C \rightarrow I$$

3) Same as above

4) $A \rightarrow BCD$; $AE \rightarrow F$, $F \rightarrow AEG$, $C \rightarrow HI$

$$R_1(A, B, C, D) ; R_2(A, E, F) ; R_3(F, A, E, G)$$

$$R_4(C, H, I)$$

5) Key :- F

There are - relations with AE & AF

So, BNF form is

$$R_1(A, B, C, D) ; R_2(A, E, F, G) ; R_3(F, H, I)$$

suppose AE and AF are keys
and if only AE is included in a
relation and not AF then
should we construct a new $R_{\{AF\}}$

Multi-valued Dependency

Math 1 T1 B1 Combinations
Math 1 T1 B2 can be
Math 1 T2 B1 ← →
Math 1 T2 B2

Course	Teacher	Books
MATH	T1	B1
	T2	B1
	T3	B2

DSA

T1

D1

T12

D2

P3

$\Rightarrow x \rightarrow\rightarrow y \in (M \vee D)$

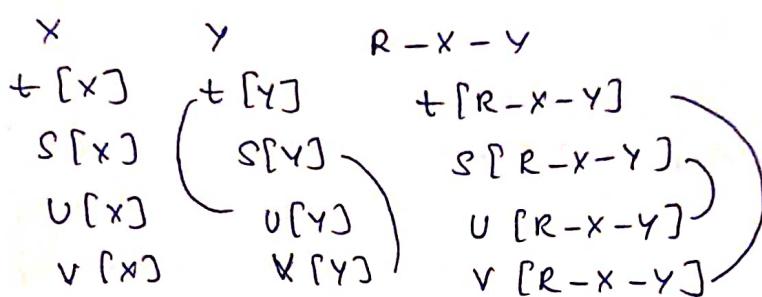
An MV D would mean that for some single value of 'x',
multiple values of 'y' can exist.

$(c, t_1, x_1), (c, t_2, x_2)$ appears then (c, t_1, x_2) ,
 (c, t_2, x_1) will also appear. This is called multi valued dependency.

* * $x \rightarrow\rightarrow y$ holds in R is

* t, s st $t[x] = s[x]$, then \exists two more tuples u, v
 \downarrow
 (pair of tuples in R) such that

- 1) $u[x] = v[x] \& t[x] = s[x]$
- 2) $u[y] = t[y] \& u[R-x-y] = s[R-x-y]$
- 3) $u[y] = s[y] \& u[R-x-y] = t[R-x-y]$



R(x, y, z)

* $x \rightarrow\rightarrow y$ then $x \rightarrow\rightarrow z$

(x multi determines y)

+ $\{x \rightarrow\rightarrow y, y \rightarrow\rightarrow z\} = x \rightarrow\rightarrow (z-y)$

$\Rightarrow \{x \rightarrow\rightarrow y\} + x \rightarrow\rightarrow y$

/ 4th Normal Form (4NF) (BCNF + no MVD)

R(x, y, z) \rightarrow Relation is 4NF if $\exists x \rightarrow\rightarrow y$ where
 $y \notin x$ and $x \rightarrow y$ does not include all the attributes of R.

if then x is a key of R

[No non-trivial MVD other than candidate key]

* R(A, B, C, D, E, F, G)

D = {A $\rightarrow\rightarrow$ B, B $\rightarrow\rightarrow$ C, B $\rightarrow\rightarrow$ E, F, CD $\rightarrow\rightarrow$ E}

key : ACD

A $\rightarrow\rightarrow$ B not in 4NF (So, Break down)

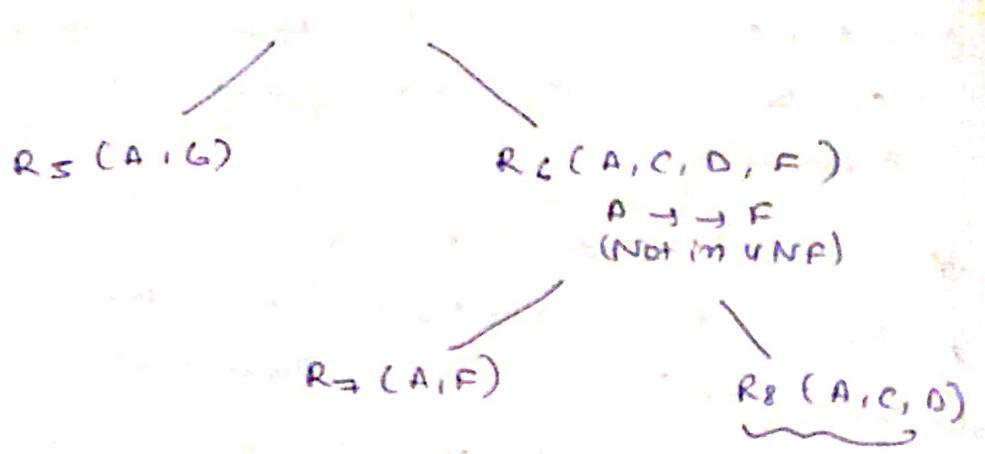
(?) R₁ (A, B) ✓

A $\rightarrow\rightarrow$ B
 B $\rightarrow\rightarrow$ EF
 A $\rightarrow\rightarrow$ EF-B
 A $\rightarrow\rightarrow$ EF, A $\rightarrow\rightarrow$ F

, R₂ (A, C, D, E, F, G) $\xrightarrow{\text{similar to}}$
 $\xrightarrow{\text{B (NR)}}$
 $\xrightarrow{\text{CD} \rightarrow\rightarrow \text{E}}$ (not in 4NF)

R₃ (C, D, E) ✓

$\xrightarrow{\text{R}_4 (\text{A}, \text{C}, \text{D}, \text{F}, \text{G})}$
 $\xrightarrow{\text{A} \rightarrow\rightarrow \text{C-B} \Rightarrow \text{A} \rightarrow\rightarrow \text{G}}$



This is always
the key

DBMS

SQ L Documentation

- Select g, a, d, a from Z
↑
Project

g	a	d	a
6	4	3	4
4	7	6	7
9	4	1	4
8	3	4	3
6	7	3	7

database:

a	g	d
4	6	3
7	4	2
4	9	1
3	8	4
7	6	3

- Select * from Z where a+d > g [this select is used to drop the rows]

a	g	d
4	6	3
7	4	6
7	6	3

- Select max(g) from Z
- Select sum(d) from Z
- Select a, max(g), d from Z ~~xx INVALID xx~~
- Select * from Z where g = a
- Select * from Z where g = (select max(g) from Z)

a	g	d
4	9	1

- Select * from Z where g in (9, 8, 6)

a	g	d
4	6	3
4	9	1
3	8	4
7	6	3

- Select max(g) from Z group by a
⇒ Rows having same value of a are used, and we find max(g) is returned.

Output : 9, 6, 8

- Select $a, \max(g)$ from Z group by a

a	$\max(g)$
4	9
7	6
3	8
5	

- Select $d, \max(g)$ from Z group by a
~~MEANINGLESS OPERATION~~

However, intuitively, we want to create a table as follows -

d	$\max(g)$
1	9
3	6
4	8

This can be done by

- Select d, g from Z where (a, g) in (select $a, \max(g)$ from Z group by a).

Why would

"Select d, g from Z where g in (select $\max(g)$ from Z group by a)" not work?

- Select $a, \sum(g), d$, group by a

a	$\sum(g)$	d
4	15	
7	10	
3	8	

- Select d, g from Z where (a, g) in (select $a, \sum(g)$ from Z group by a)

$\{(4, 15), (7, 10), (3, 8)\}$

d	g
4	8

There exists some integrity constraints

- Create table U (a int, k int, check $a < k$)

↳ INTEGRITY CONSTRAINT

- insert into U values (5, 9)

a	k
5	9

- insert into U values (3, 3)

a	k
3	9
3	3

- insert into U values (8, 4) ✗ ✗ INVALID ✗ ✗

- Create table U (a int, k int, primary key a)

- insert into U values (8, 4)

a	k
5	9
3	3
8	4

- insert into U values (3, 1)

✗ ✗ INVALID ✗ ✗ (a is primary key)

- Create table V (t int, n int, m int, primary key t, n, m, foreign key m references U(k))

Table V

t	n	m

- insert into V values (4, 3, 6)

- insert into V values (4, 8, 1)

- insert into V values (6, 7, 1)

t	n	m
4	3	6
4	8	1
6	7	1

- insert into V values (9,1,7)

XX Invalid XX

[m is a foreign key referencing V(K) and 7 is not present in V(K)]

- insert into V values (8,1,7)

- insert into V values (9,1,7)

Example : Pawan Premier League

Players :

Name	Age
Arul	43
Dipu	17
Gyan	29
Hari	23
Jalaj	18
Kalpil	37

Matches :

No.	Place
# 1	Delhi
# 2	Bombay
# 3	Kanpur
# 4	Patna
# 5	Delhi

Performance :

Name	No.	Runs
Gyan	# 3	65
Dipu	# 4	71
Hari	# 3	82
Gyan	# 1	73
Jalaj	# 4	71
Dipu	# 2	63
Hari	# 5	89

- create table player (Name, char(10), age int, primary key Name)
- create table matches (no. int, place char(5), primary key no.)
- (?) update matches insert key place
- create table performance (Name, char(10), no int, runs int, primary key (Name, no), foreign key Name references player (Name), foreign key no references matches (no))

K

P	g
3	6
2	9
8	4

• select σ from Z, K

↳ $\text{Join}(x) \in \text{cartesian product}$

a	g	d	f	y
4	6	3	3	6
4	6	3	2	9
4	6	3	8	4
.
!	!	!	!	!

$6 \times 3 = 18 \text{ rows}$

Suppose still all columns with same name (say g) in both the tables , then Z, K =

a	Z.g	d	p	K.g

• select σ from Z, K where $Z.g = K.g$.
Natural join

a	Z.g	d	f	K.g
4	6	3	3	6
7	4	6	8	4
4	9	1	2	9
7	6	3	3	6
4	9	5	2	9

• select a, Z.g, d, p from (select σ from Z, K where $Z.g = K.g$)

K.g column not displayed

we see that ad \rightarrow g

P	Q	R
4	2	8
4	7	6
5	1	9
4	7	8
5	1	3
4	2	6

$\neg P \rightarrow Q$ abschneit $\neg P \rightarrow Q$

$Q = \{2, 7\}$ all independent

Hence $P \not\rightarrow Q$

$$\begin{matrix} \{2, 7\} \\ \swarrow \searrow \\ \{8, 6\} \end{matrix}$$

Relational Algebra :

Operations

1. Union (\cup) RUS

	A	B	C
R:	a	b	c
	d	a	f
	e	b	d

S:	D	E	F
	b	g	a
	d	a	f

RUS :

a	b	c
d	a	f
c	b	d
b	g	a

2. Set difference (-) : R-S

A	B	C
C	b	d

3. Cartesian Product (\times) : R \times S

$$R^{k_1} \times S^{k_2}$$

$$(k_1 + k_2)$$

A	B	C	D	E	F
a	b	c	b	g	a
a	b	c	d	a	f
d	a	f	b	g	a
d	a	f	d	a	f
c	b	d	b	g	a
c	b	d	d	a	f

projection $\pi_{i_1 i_2 \dots i_m}$

$\pi_{i_1}, \pi_{i_2}, \dots, \pi_{i_m}(R)$

$\pi_{c, A}(R)$

C	A
c	a
f	d
d	c

$\pi_{2,3}(S)$

E	F
g	a
a	f

Remark: We select all values based on operations, from all tuples without checking if the low values are unique or not. It is possible that the values can duplicate.

5. Selection (σ)

$\sigma_F(R)$: a formula

$\sigma_F(R)$

$\sigma_{2>3}(R)$

$\sigma_1 = \sigma_1 \vee \sigma_2 = \sigma_2(R)$

operation is or

$\sigma_B = \sigma_b(R)$

A	B	C
a	b	c
c	b	d

6. Additional Operations

1. Intersection (\cap)

$R \cap S =$

d	a	f
---	---	---

$$R \cap S = R - (R - S)$$

2. Quotient (\div)

$R^{(1)} \quad S^{(2)}$ $R > S$, $S \neq \emptyset$

then $R \div S$ is the set of tuples with attributes no. $(n-s)$ such that + tuples in S , + is in R , + is a tuple of $R \div S$

R

a	b	c	d
a	b	e	f
b	c	e	f
e	d	c	d
e	d	e	f
a	b	d	e

S

c	d
e	f

$R \div S$

a	b
e	d

3. Theta-join: $R \bowtie_{i \Theta j} S$

Take the cartesian product $R \times S$

$R \bowtie_{i \Theta j} S$

$\sigma_{(i \Theta j)}$

R:

A	B	C
1	2	3
4	5	f
7	8	9

$R \bowtie S$
 $S < D$

A	B	C	D	E
1	2	3	3	1
1	2	3	c	2
4	5	f	c	2

S:

D	E
3	1
6	2

4. Natural Join (\bowtie)

i) $R \times S$

ii) $R.A = S.A$

iii) Remove S.A

A	B	C
a	b	c
b	d	c
b	b	f
c	a	d

$R \bowtie S$

A	B	C	D
a	b	c	d
a	b	c	e
d	b	c	d
d	b	c	e
c	a	d	b

B	C	D
b	c	d
b	c	e
a	d	b

* Employee (emp-id, name, salary, m_id)
 ↳ 1 for manager
 0 otherwise

Q Find out the name of employees whose salary is more than atleast one manager

Soln: - $\Pi_2 (\sigma_{4=10} \wedge 8=11 \wedge 3 > 7)$ Employee \times Employee

*	Customer	(c-name, street, c-city)
+	Deposit	(b-name, ac-no, c-name, balance)
*	Borrow	(b-name, loan-no, c-name, amt)
+	Branch	(b-name, b-city, asset)
+	client	(c-name, emp-name)

Q Find all customer names such that customer name & employee name are the same

$\Pi_1 (\sigma_{1=2} (\text{client}))$ it should be 1=2 right?

Q Find all clients of company "xyz" and corresponding cities of those clients

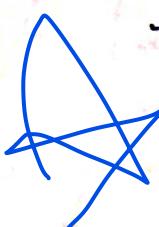
$\Pi_{1,5} (\sigma_{2='xyz'} \wedge 1=3)$ (client \times customer)

Q Find all customers who have both account & loan at kgp branch.

$\Pi_3 (\sigma_{1='kgp'} (\text{borrow} \times \text{deposit}))$

Q Find all customers who have account in all branches in the city of kolkata

$\Pi_{e-name, b-name} (\text{Deposit}) \div \Pi_{bname} (\sigma_{B-city='kol'} (\text{Branch}))$



lives (p-name, street, city)

works (p-name, c-name, salary)

located in (c-name, c-city)

manages (p-name, m-name)

Q Find name, street & city of all employees who work for HCL company and whose salary is more than 50,000.

$\Pi_{1,3} (\text{LIVES} \bowtie \text{works})$
p-name = 'HCL'
salary > 50,000

Q Find all employees who live in the same city & company they work for?

$\Pi_1 (\sigma_{3=8} (\text{Lives} \bowtie \text{works} \bowtie \text{located in}))$

Q Find all employees who live in the same city & street as their manager?

$\Pi_1 [\sigma_{p-name=m-name \wedge 2=4 \wedge 3=5} (\text{Lives} \bowtie \text{Manages})]$

Q Find all employees whose salary is more than every employee of "XYZ" company?

$\Pi_3 (\text{works})$

$P = \Pi_3 (\sigma_{c-name='XYZ'} (\text{works}))$

10
5
7
20



$Q = \Pi_1 (\sigma_{1<2} (P \times P))$

10
5
7

$\beta = P - Q$

20

$\Pi_1 (\sigma_{\text{salary} > P} (\text{works}))$

Propositional logic

\neg (not), \wedge (and), \vee (or)

\Rightarrow (implication or if-then)

\equiv (equivalence or iff)

Proposition: Any

statement which is either true or false.

Symbols to represent propositions : atom(s)

Tuple Relational Calculus

Expression form : $\{t \mid \psi(t)\}$

↑
tuple variable
of some fixed length

ψ(t) is a formula
built using atom(s)

$R(s)$, $s \in R$: s is a tuple of relation R

$s[i] \in u[j]$: i th component of tuple s is
in relation u with j th
component / attribute of tuple u

↓
tuple ↓
attribute no / name

* Relation is in terms of tuple (whereas in relational algebra relation was in terms of attributes)

1) Existential Quantifier (\exists)

$(\exists x) \psi(x)$: There exists at least one tuple x for which $\psi(x)$ is true

2) Universal Quantifier (\forall)

$(\forall x) \psi(x)$

e.g. $(\exists s) (R(s))$: \exists at least one tuple s which is a tuple of relation R

e.g. $(\forall s) (\psi(s))$: Any tuple s you take, it is a member of ψ

These form of formulae

Lemma: If you can express 1 query in relational algebra, then you can express that query in Tuple Relational Calculus

e.g. $R \cup S$: $\{t \mid R(t) \vee S(t)\}$

$R - S$: $\{t \mid R(t) \wedge \neg S(t)\}$

$$R^{(n)} \times S^{(k)} : \{t^{(n+k)} \mid (\exists u)(\exists v) (\underbrace{R(u) \wedge S(v)}_{\substack{u \text{ is a} \\ \text{tuple variable} \\ \text{of } R}} \wedge \underbrace{\downarrow}_{\substack{v \text{ is a} \\ \text{variable} \\ \text{of } S}})$$

$$\begin{aligned} & (t[1] = u[1] \wedge t[2] = u[2] \wedge \dots \wedge t[n] \\ & = u[n]) \wedge (t[n+1] = v[1] \wedge \dots \wedge t[n+k] \\ & = v[k]) \} \end{aligned}$$

$$\pi_{i_1, i_2, \dots, i_k}(R) : \{t^{(n)} \mid (\exists u) (R(u) \wedge (t[i_1] = u[i_1] \wedge \dots \wedge t[i_k] \\ = u[i_k]))\}$$

$$\pi_{A, C}(R) = \{t^{(n)} \mid (\exists u) (R(u) \wedge t[1] = u[2] \wedge t[2] = u[3])\} \quad \boxed{ABC}$$

$$r_F(R) : \{t^{(n)} \mid R(t) \wedge F'\} \quad \text{seems wrong}$$

expression that we'll write
in relational algebra is
complement of the exp.
that we'll write in tuple
calculus

$$\pi_{1, 4} (r_{2 \neq 3} (R \bowtie S))$$

$$= \{t^{(n)} \mid (\exists u)(\exists v) (R(u) \wedge S(v) \wedge (u[2] = v[1] \wedge t[1] = u[1] \wedge \\ + v[2] = v[2]))\}$$

$$\text{Note } (2 = 3) \stackrel{?}{=} (u[2] = v[1])$$

$$R \bowtie S = \{t^{(n)} \mid (\exists u)(\exists v) (R(u) \wedge S(v) \wedge (t[1] = u[1] \wedge t[2] = u[2] \wedge \\ \wedge t[3] = v[1] \wedge t[4] = v[2]))\}$$

g Find the branch, loan-no, customer-name and amount
for loan > 10000.

$$\{t^{(4)} \mid (\exists u) (t \in Borrow \wedge u \in Branch \wedge \\ t[4] > 10,000)\}$$

$$\{t^{(4)} \mid t \in Borrow \wedge t[4] > 10,000\}$$

(If exactly type of some table \Rightarrow

g Find all customers who have loan more than 10,000

$$\{t^{(4)} \mid (\exists u) (u \in Borrow \wedge u[4] > 10,000 \wedge t[1] = u[3])\}$$

g Find all customers who have loan in 'IT' branch and their
cities where they live.

$$\{t^{(4)} \mid (\exists u) (u \in Borrow \wedge u[1] = 'IT' \wedge t[1] = u[3] \wedge \\ (\exists v) (v \in customer \wedge u[2] = v[1] \wedge t[2] = v[3])\}$$

$$\{t^{(4)} \mid (\exists u) (\exists v) (u \in Borrow \wedge v \in customer \wedge u[1] = 'IT' \wedge \\ u[3] = v[1] \wedge t[1] = u[3] \wedge t[2] = v[3])\}$$

Or

Q Find all customers having a loan and account of both at 'IIT' branch.

wrong
 $\{ + | (\exists u)(\exists v) (u \in \text{Deposit} \wedge v \in \text{Borrow} \wedge (u[1] = 'IIT' \vee v[1] = 'IIT') \wedge (u[3] = v[3]) \wedge t[1] = u[3]) \}$
or

right
 $\{ + | (\exists u) (u \in \text{Deposit} \wedge u[1] = 'IIT' \wedge t[1] = u[3]) \vee (\exists v) (v \in \text{Borrow} \wedge v[1] = 'IIT' \wedge t[1] = v[3]) \}$

Q Find all customers who have account at all branches in the city KGP.

~~ATM details~~



$\{ + | (\forall u) (u \in \text{Branch} \wedge u[2] = 'KGP') \Rightarrow (\exists v) (v \in \text{Deposit} \wedge u[1] = v[1] \wedge t[1] = v[3]) \}$

Note: $P \Rightarrow Q \quad \neg P \vee Q$

$\{ + | (\nexists u) (u \notin \text{Branch} \vee u[2] \neq 'KGP') \vee (\exists v) (v \in \text{Deposit} \wedge u[1] = v[1] \wedge t[1] = v[3]) \}$

SQ2

Select A_1, A_2, \dots, A_m (A_i are attributes from
 from R_1, R_2, \dots, R_m relations R_i)
 where P ; (Predicate / condition)

$\Pi_{A_1, A_2, \dots, A_m} (\sigma_P (R_1 \times R_2 \times \dots \times R_m))$

Note: union ~~intersection~~ and or not

Q Find the name from of all branches in deposit relation.

distinct (for unique branch names)

Select b-name
from ^ Deposit;

Q Find all customers who have account at 'IIT' branch

Select c-name
from Deposit
where b-name = 'IIT';

Q Find all customers having a loan and account or both at 'IIT' branch

(Select c-name
from Deposit
where b-name = 'IIT')

union

(Select c-name
from Borrow
where b-name = 'IIT');

Q Find all customers having a loan at any branch and their city.

Select c.c-name, c.city
from customer c, borrow b
where c.c-name = b.c-name;

Note: If we want loan only from 'IIT' branch

Add condn in where clause

and b-name = 'IIT'

Q Find all customers who have both loan & account at 'IIT' branch.

Select c-name
from customer deposit, borrow
where (deposit.c-name = borrow.c-name) and
(deposit.b-name = 'IIT') and (borrow.c-name = 'IIT')

Or

```
Select b-name  
from Deposit  
where b-name = 'IIT'  
and c-name in
```

```
(select c-name  
from Borrow  
where b-name = 'IIT')
```