



# **CS540 Introduction to Artificial Intelligence Convolutional Neural Networks (II)**

**University of Wisconsin-Madison**

**Spring 2023**

# Announcements

- **Homeworks:**
  - HW 7 due in two weeks
- Midterms are being graded; solutions on Canvas.
- Final exam is May 12, 5:05 - 7:05 pm.
- **Class roadmap:**

Thursday, Mar 30	Deep Learning II
Tuesday, April 4	Neural Network Review
Thursday, April 6	Uninformed Search
Tuesday, April 11	Informed Search

# Today's goals

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- Review (some of) convolutional computations.

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- Review (some of) convolutional computations.
  - 2D convolutions, multiple input channels, pooling.
- Understand how convolutions are used as layers in a (deep) neural network.
- Build intuition for output of convolutional layers.
- Overview the evolution of deeper convolutional networks

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Dual  
**12MP**  
wide-angle and  
telephoto cameras

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Dual  
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**36M** floats in a RGB image!

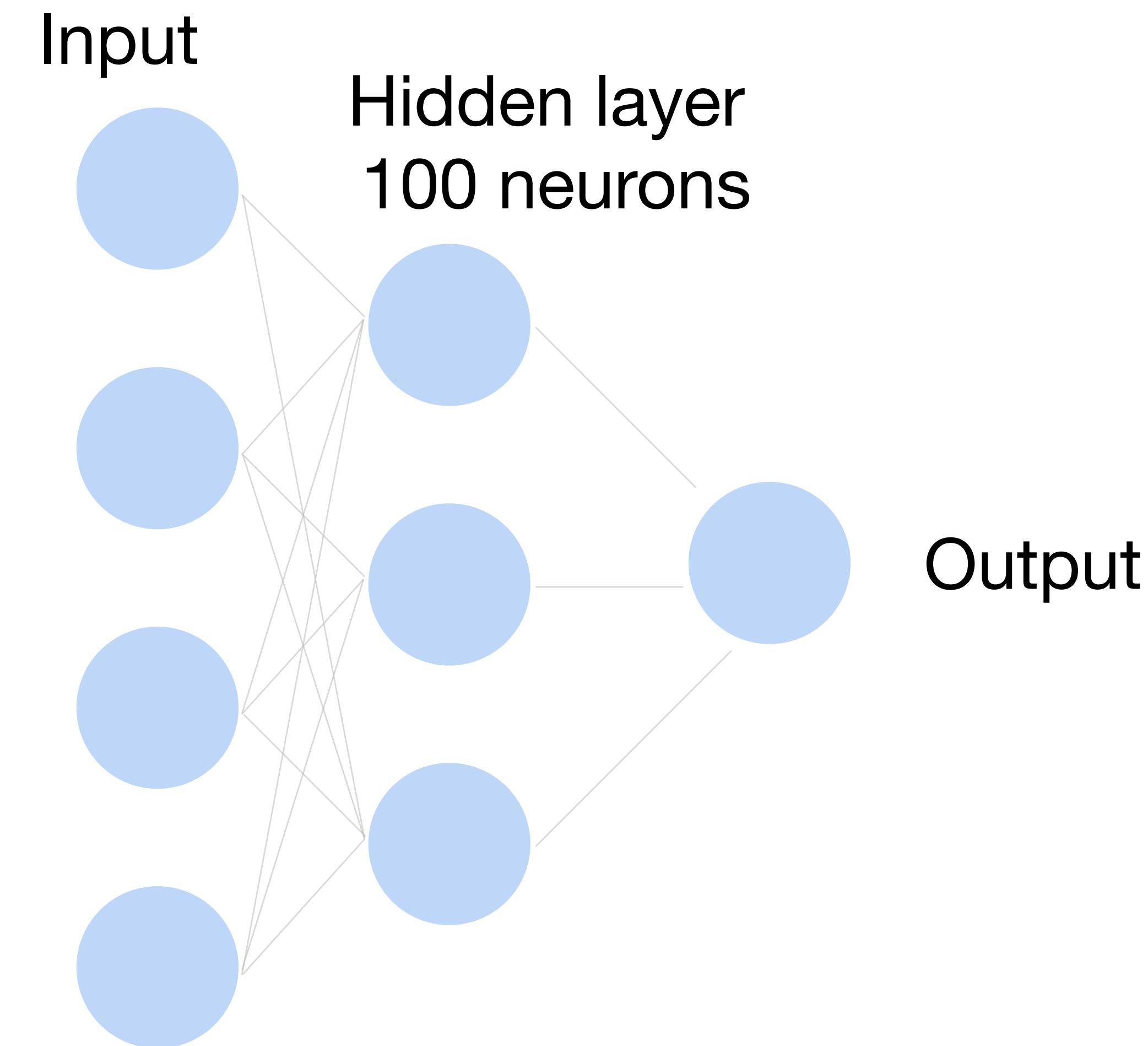
# Fully Connected Networks

Cats vs. dogs?



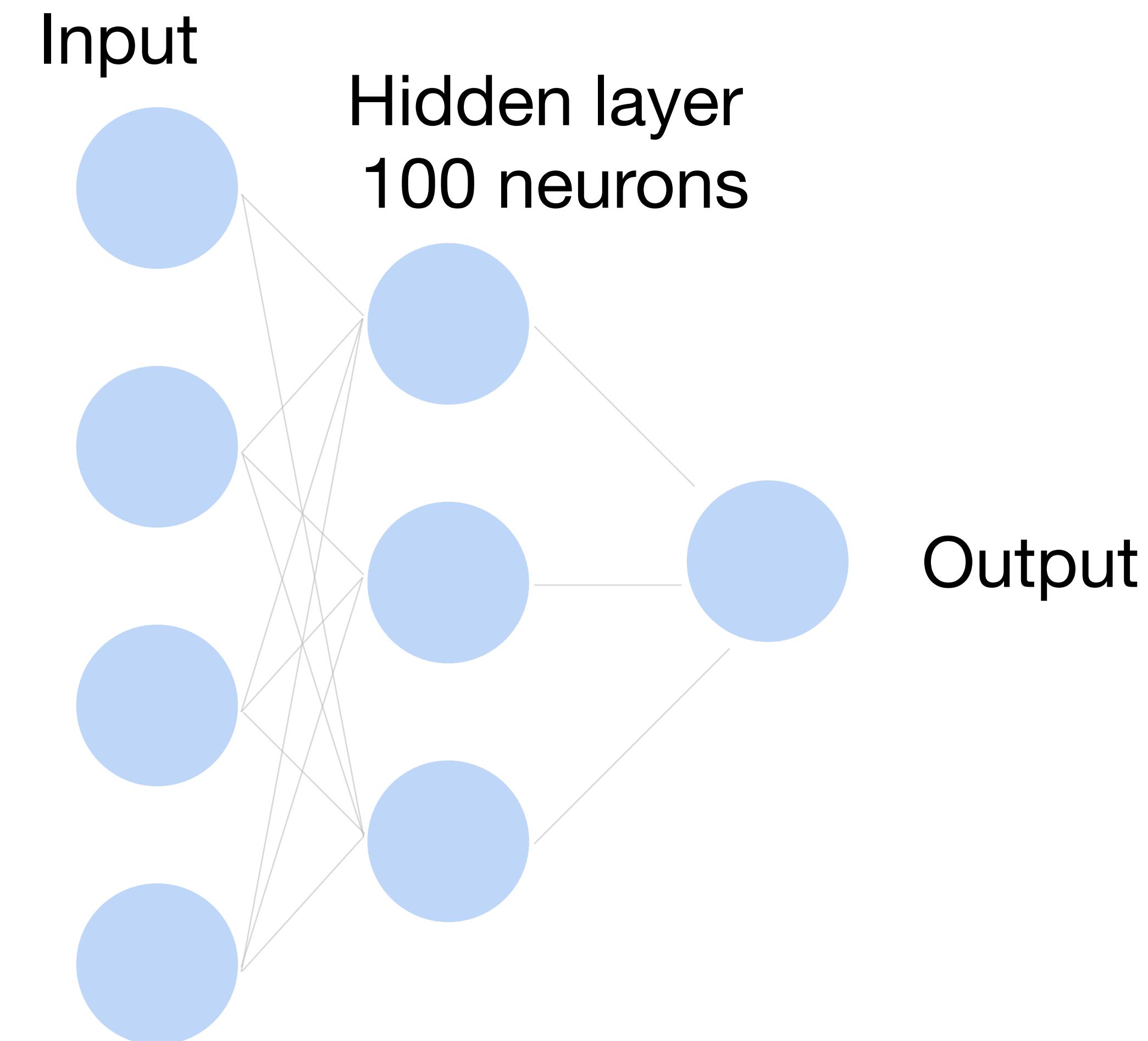
# Fully Connected Networks

Cats vs. dogs?



# Fully Connected Networks

Cats vs. dogs?



36M elements  $\times$  100 = **3.6B** parameters!

# Review: 2-D Convolution

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Input

0	1	2
3	4	5
6	7	8

Kernel

0	1
2	3

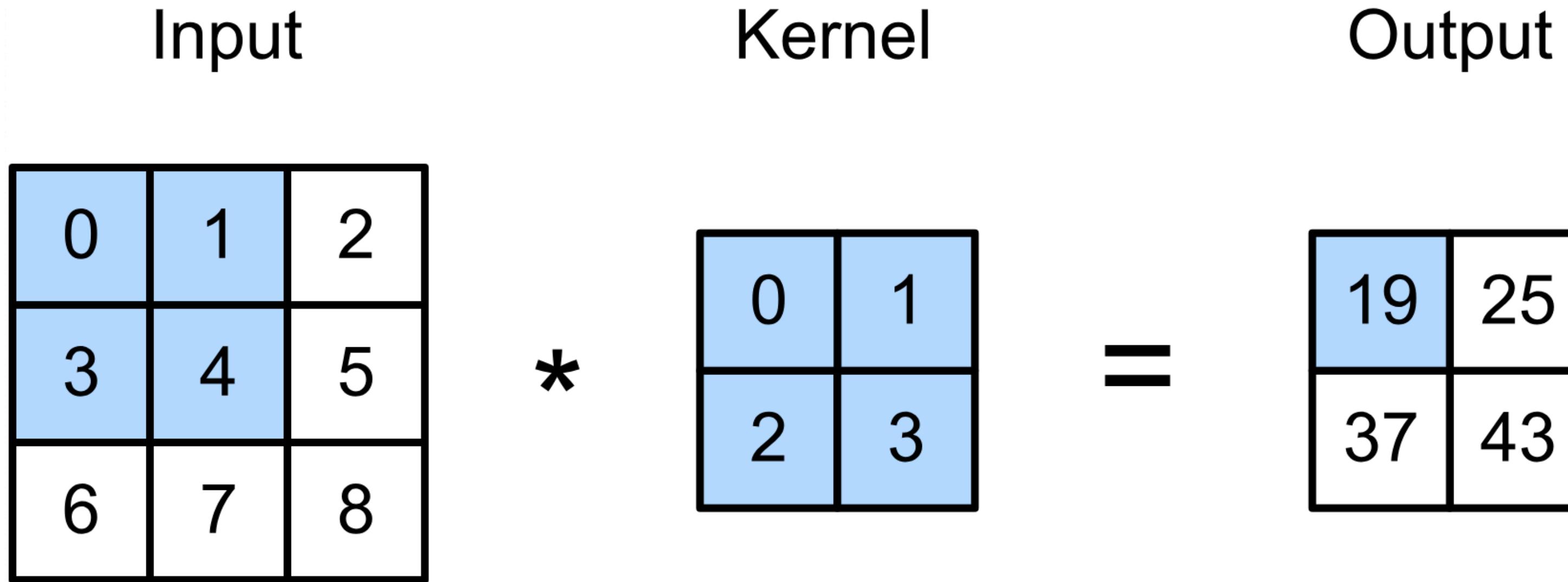
\*

=

Output

19	25
37	43

# Review: 2-D Convolution



$$0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3 = 19,$$

$$1 \times 0 + 2 \times 1 + 4 \times 2 + 5 \times 3 = 25,$$

$$3 \times 0 + 4 \times 1 + 6 \times 2 + 7 \times 3 = 37,$$

$$4 \times 0 + 5 \times 1 + 7 \times 2 + 8 \times 3 = 43.$$

# Review: 2-D Convolution

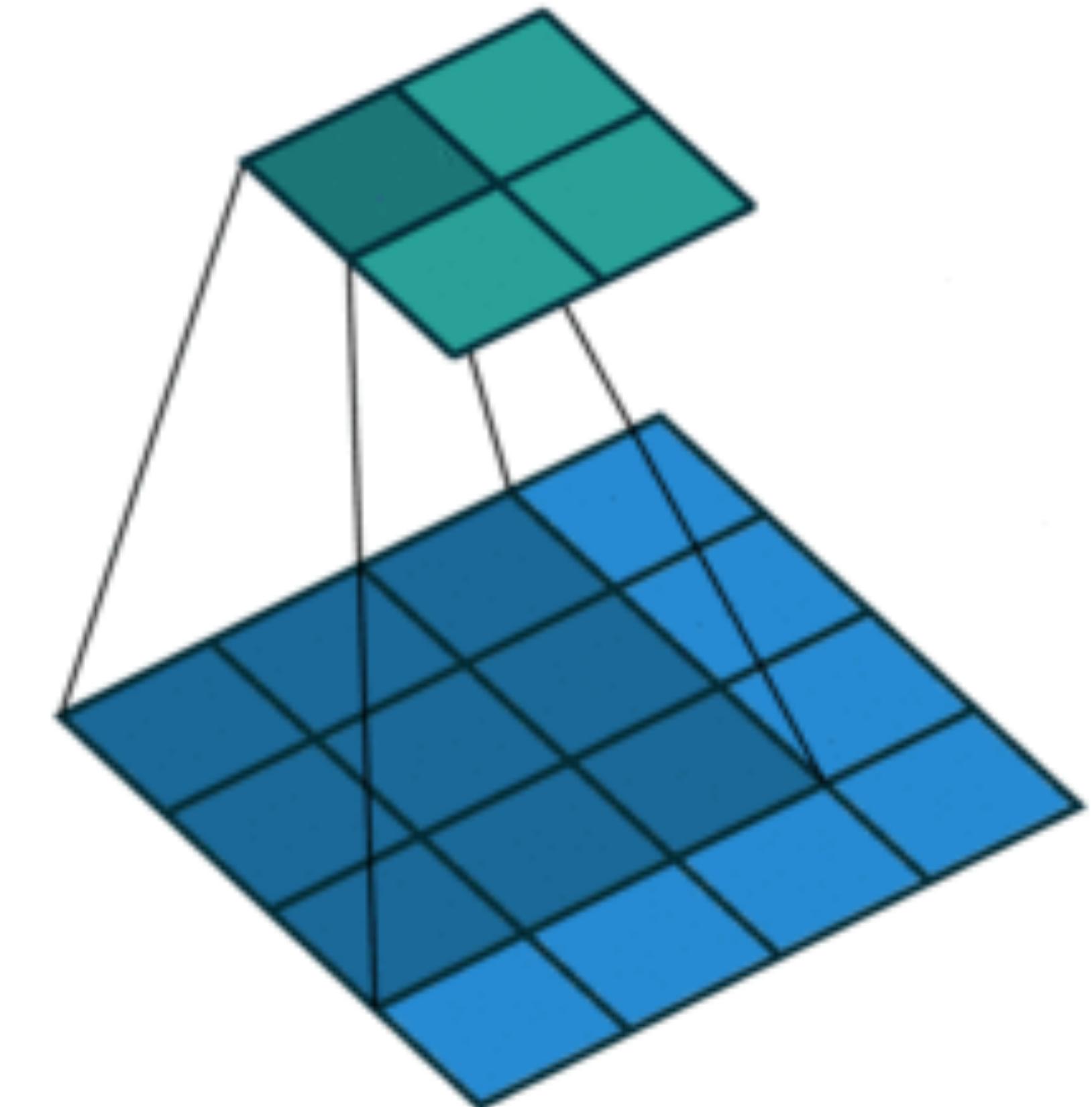
Input	Kernel	Output													
<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>0</td><td>1</td><td>2</td></tr><tr><td>3</td><td>4</td><td>5</td></tr><tr><td>6</td><td>7</td><td>8</td></tr></table>	0	1	2	3	4	5	6	7	8	$*$	<table border="1" style="display: inline-table; vertical-align: middle;"><tr><td>0</td><td>1</td></tr><tr><td>2</td><td>3</td></tr></table>	0	1	2	3
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(vdumoulin@ Github)

# Review: Multiple Input Channels

- Input and kernel can be 3D, e.g., an RGB image have 3 channels
- Have a kernel for each channel, and then sum results over channels

Input

1	2	3	
0	1	2	
3	4	5	
6	7	8	

\*

=

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Input

Kernel

$$\begin{matrix} & \begin{matrix} 1 & 2 \\ 0 & 1 \\ 3 & 4 \\ 6 & 7 \end{matrix} & \begin{matrix} 3 \\ 2 \\ 5 \\ 8 \end{matrix} \\ \begin{matrix} 1 \\ 0 \\ 3 \\ 6 \end{matrix} & * & \begin{matrix} 1 \\ 0 \\ 2 \\ 3 \end{matrix} \end{matrix} =$$

The diagram illustrates a convolution operation. On the left, labeled 'Input', is a 4x4 matrix with values 1, 0, 3, 6 in the first row; 2, 1, 4, 7 in the second; 3, 5, 0, 8 in the third; and 6, 7, 8, 0 in the fourth. The top-right 2x2 submatrix (values 1, 2, 0, 1) is highlighted in blue. On the right, labeled 'Kernel', is a 2x2 matrix with values 3, 2, 5, 8. The bottom-right 2x2 submatrix (values 2, 3, 3, 4) is highlighted in blue. Between them is a multiplication symbol (\*). To the right is an equals sign (=).

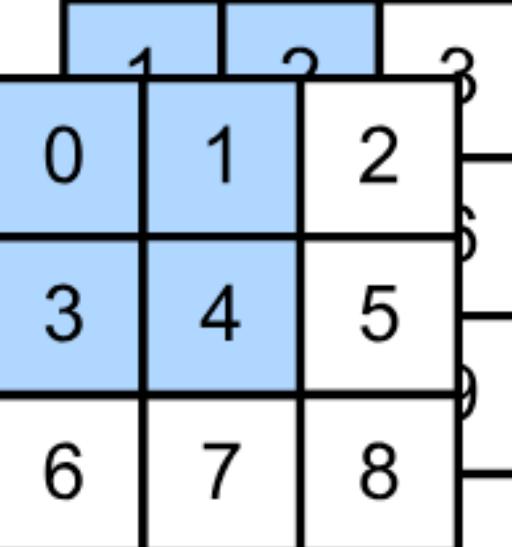
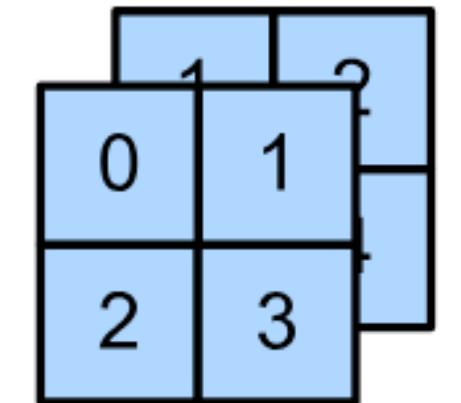
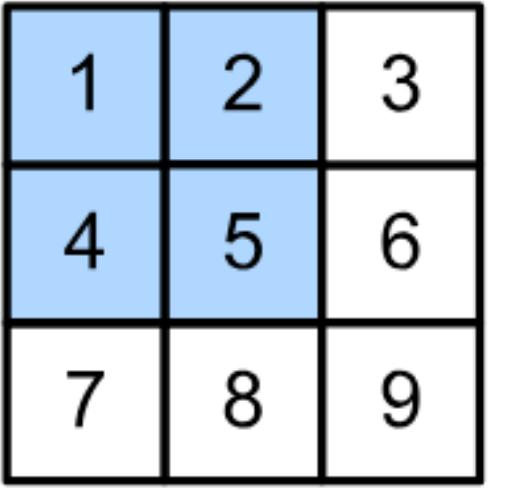
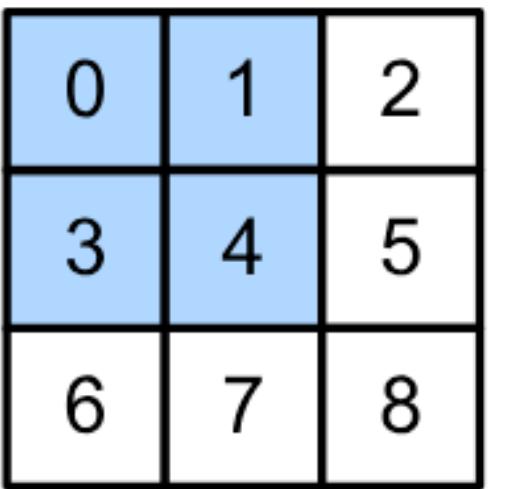
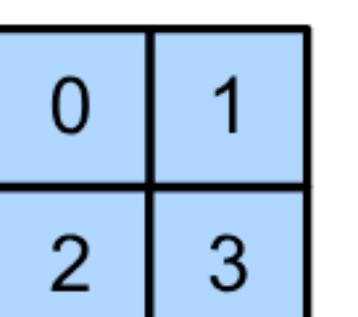
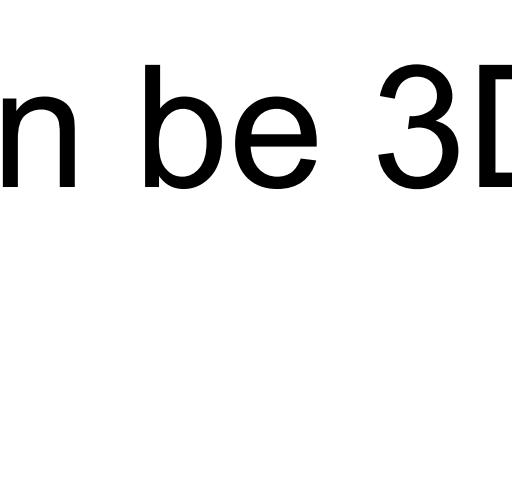
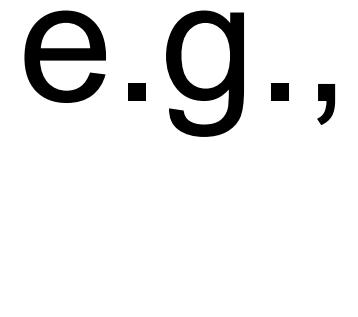
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$$\begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|c|} \hline & 1 & 2 & 3 \\ \hline 0 & 1 & 2 & 3 \\ \hline 3 & 4 & 5 & 6 \\ \hline 6 & 7 & 8 & 9 \\ \hline \end{array} \end{array} * \begin{array}{c} \text{Kernel} \\ \begin{array}{|c|c|} \hline 0 & 1 \\ \hline 2 & 3 \\ \hline \end{array} \end{array} = \begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline 4 & 5 & 6 \\ \hline 7 & 8 & 9 \\ \hline \end{array} \end{array} * \begin{array}{c} \text{Kernel} \\ \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline \end{array} \end{array} + \begin{array}{c} \text{Input} \\ \begin{array}{|c|c|c|} \hline 0 & 1 & 2 \\ \hline 1 & 2 & 3 \\ \hline \end{array} \end{array}$$

# Review: Multiple Input Channels

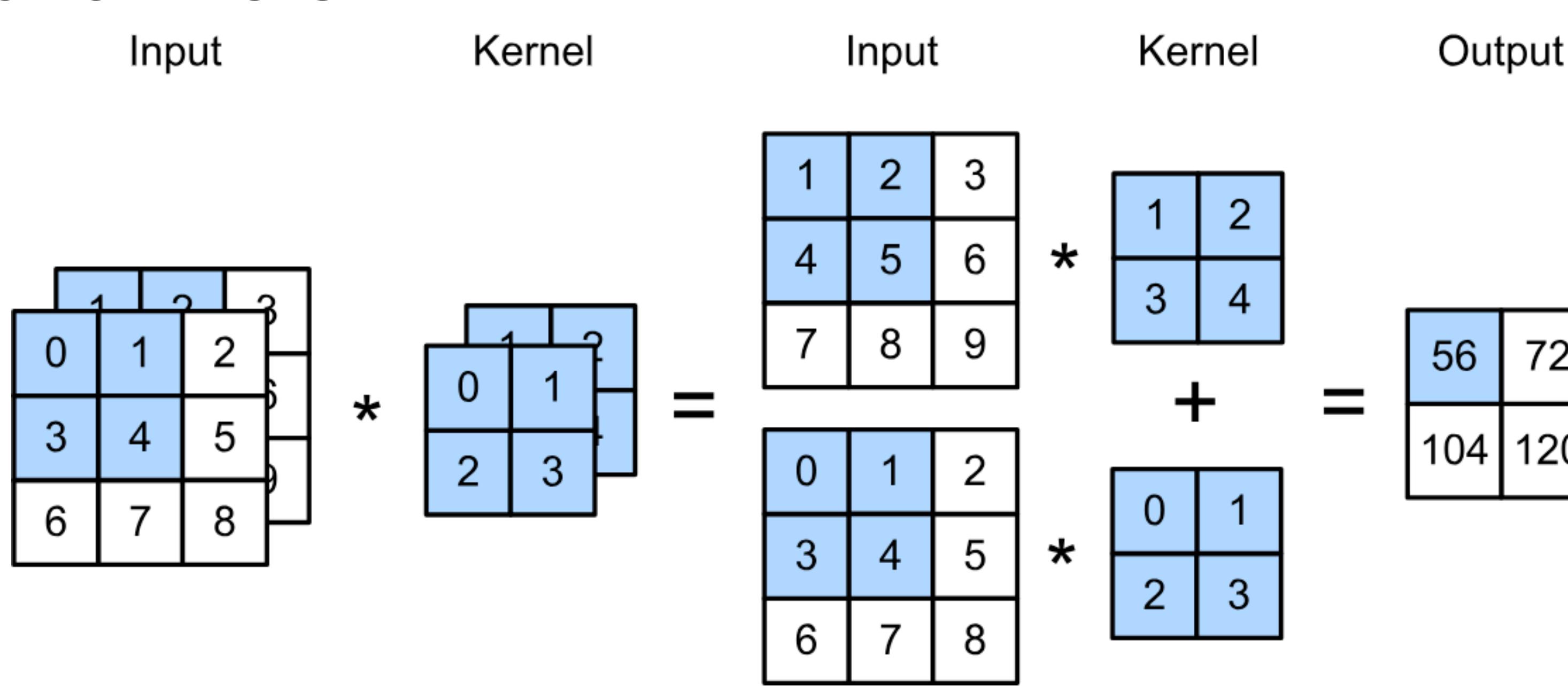
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Input	Kernel	Input	Kernel
			
*	=		
			
			

The diagram illustrates the convolution process for multiple input channels. It shows two input matrices (3x3) and three kernel matrices (2x2). The first input matrix has its top row highlighted in blue. The first kernel matrix has its top-left cell highlighted in blue. The result of the multiplication of the first input by the first kernel is shown below. This result is then added to the result of the multiplication of the second input by the second kernel, which also has its top-left cell highlighted in blue. The final result is the sum of these two intermediate results.

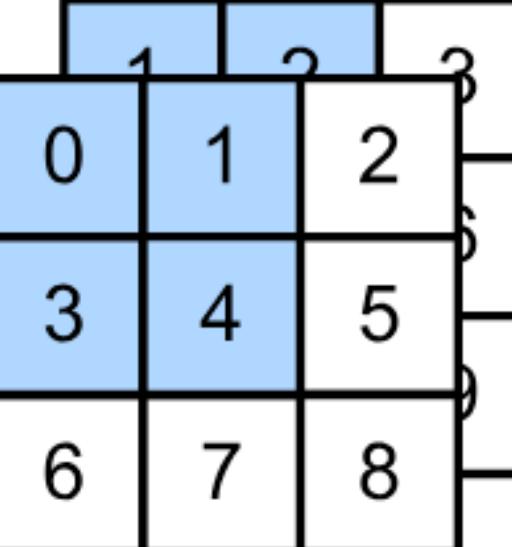
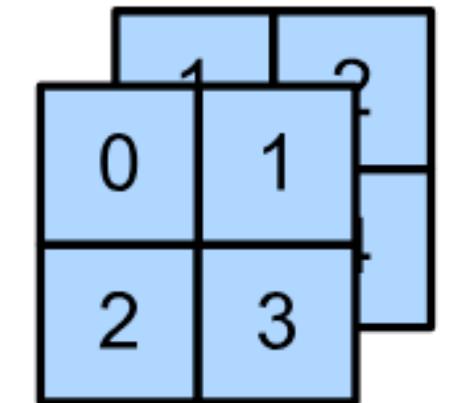
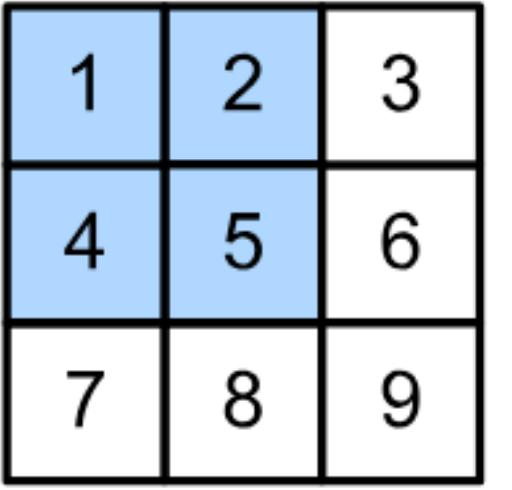
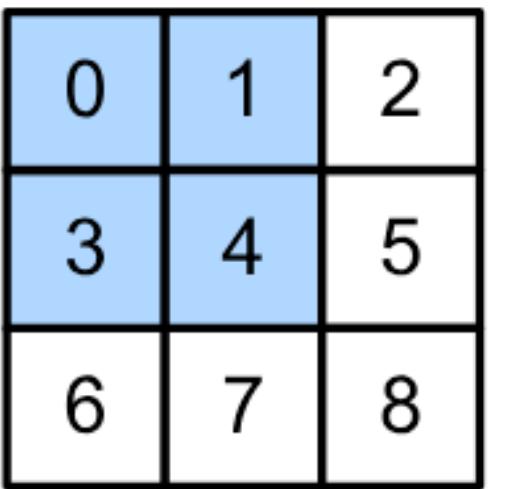
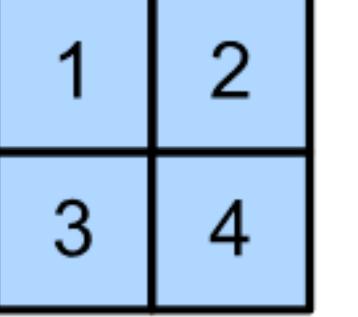
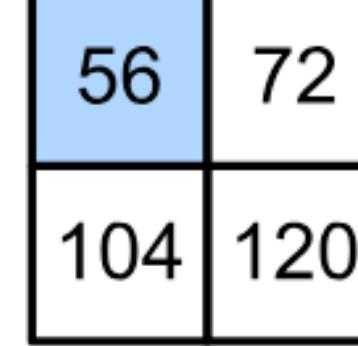
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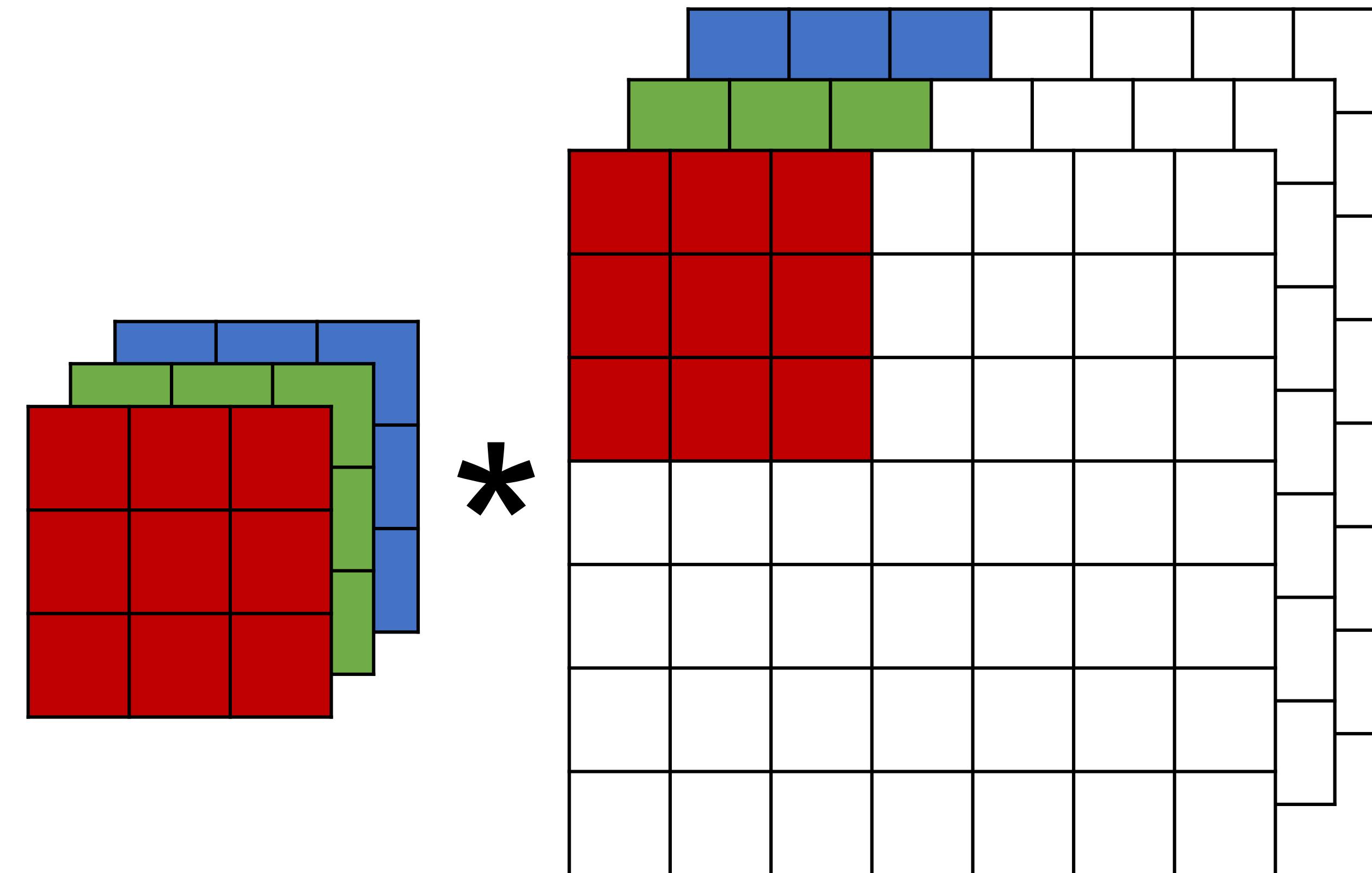
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Input	Kernel	Input	Kernel	Output
		$*$	 $=$	
			$*$	 $+$
			$*$	 $=$

$(1 \times 1 + 2 \times 2 + 4 \times 3 + 5 \times 4)$   
 $+(0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3)$   
 $= 56$

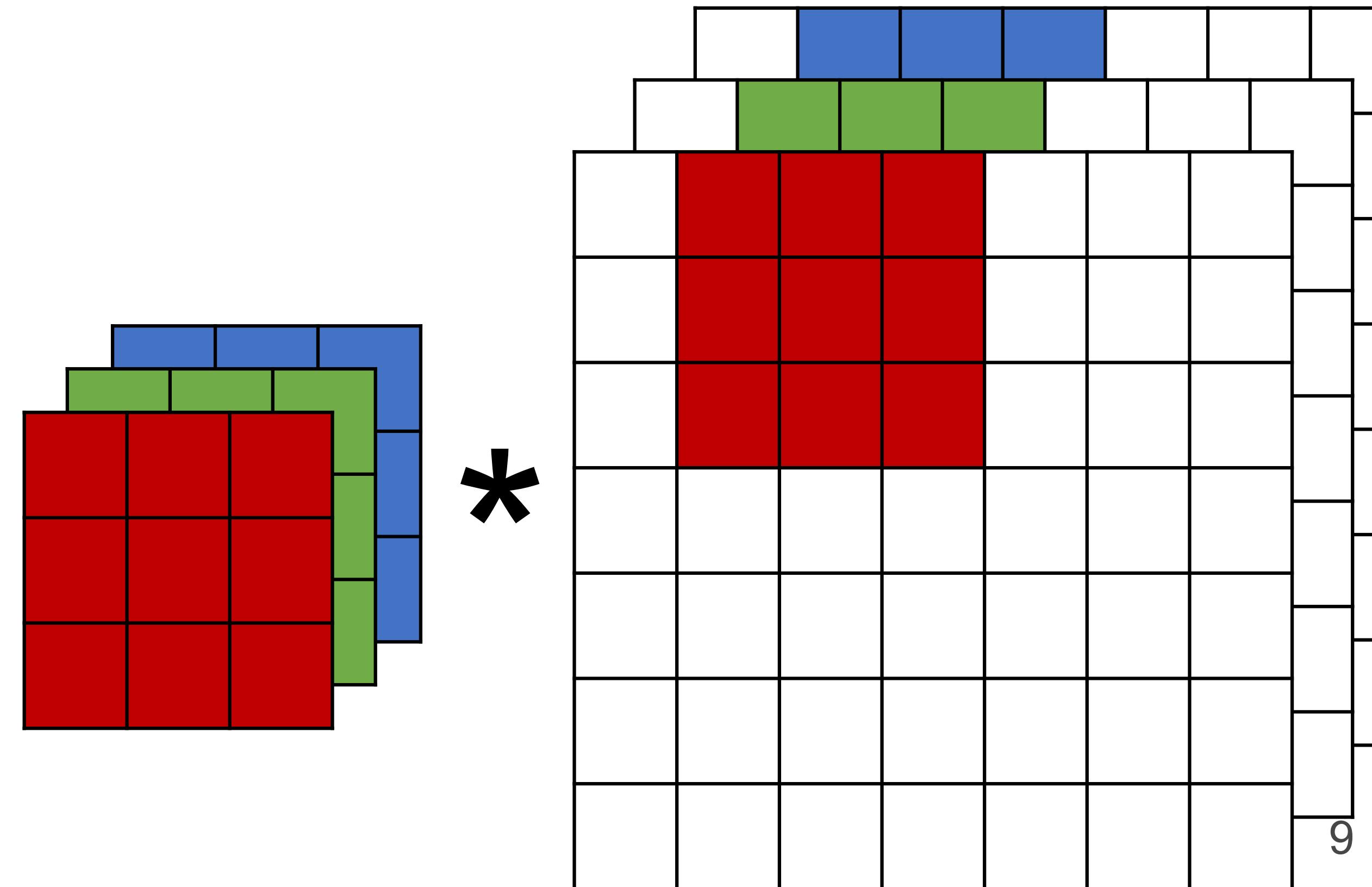
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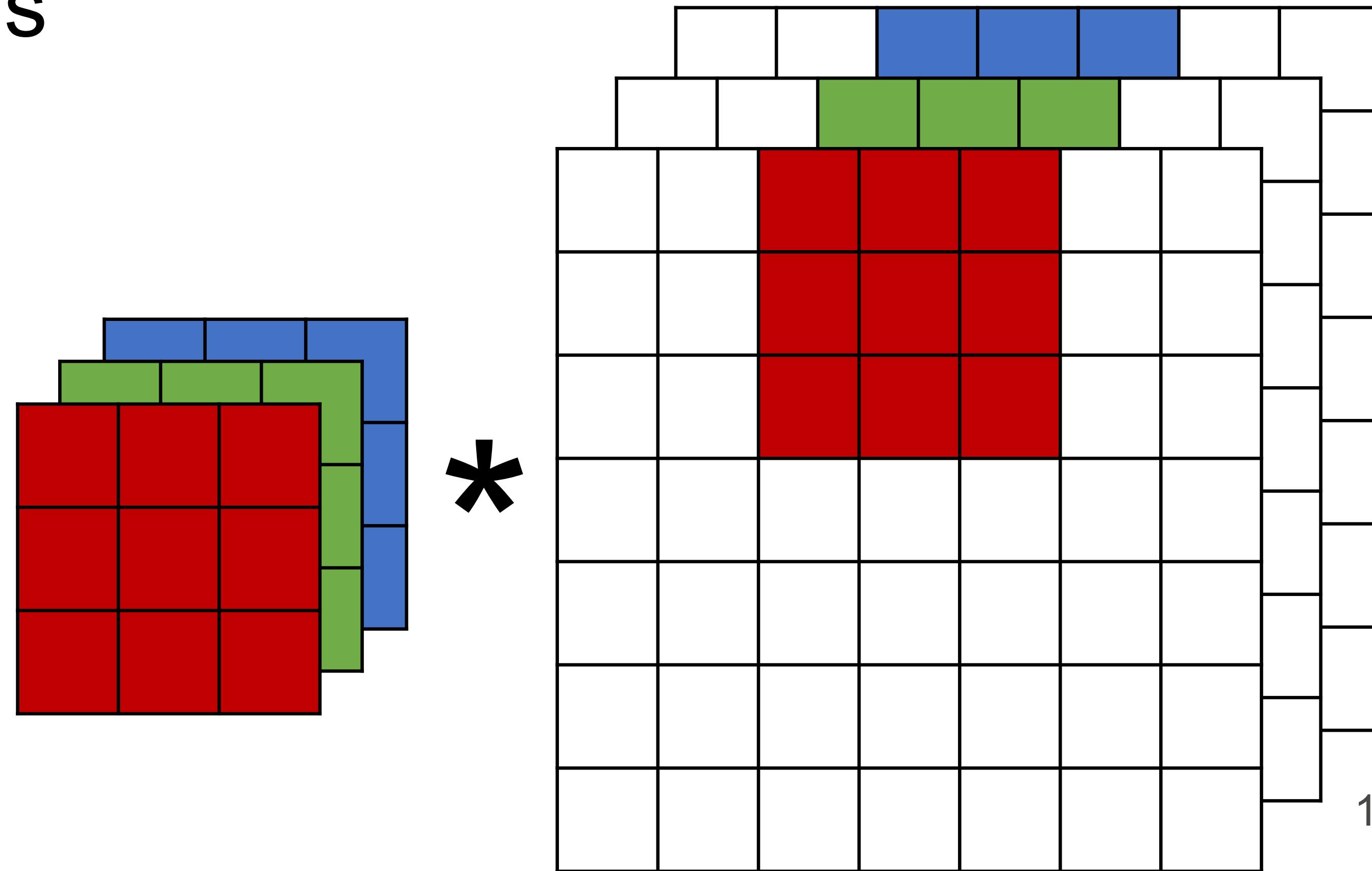
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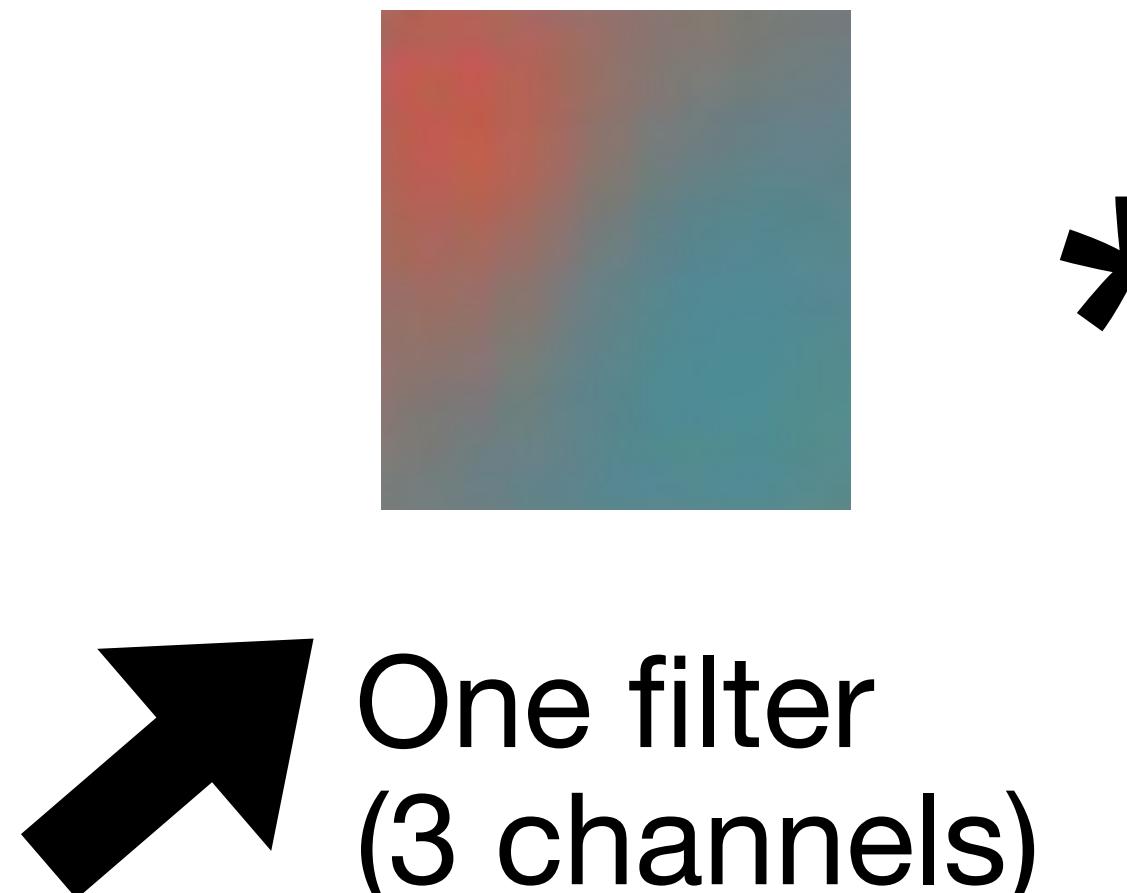
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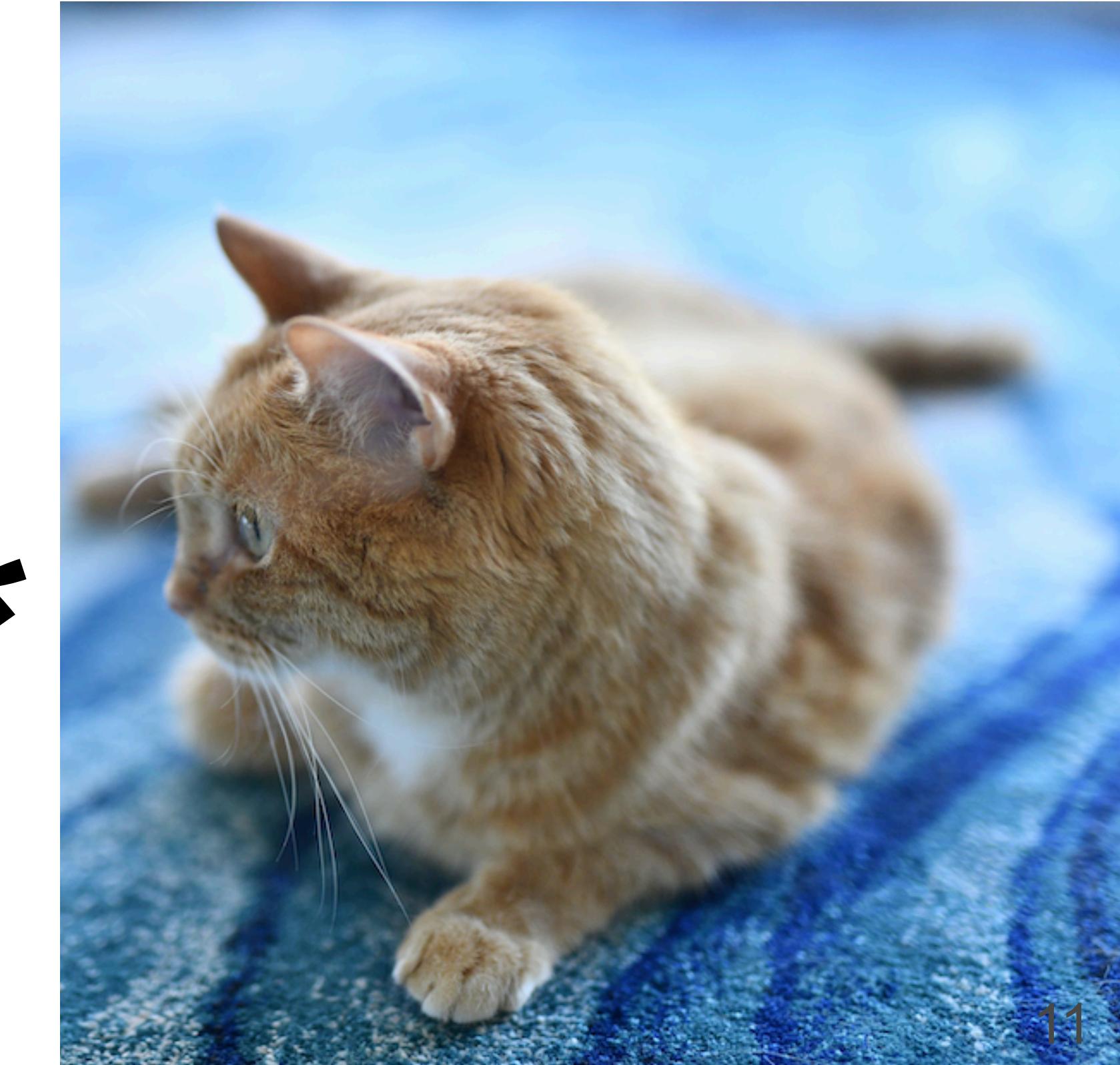


# Multiple Input Channels

- Input and kernel can be 3D, e.g., an RGB image has 3 channels
- Also call each 3D kernel a “**filter**”, which produce only **one** output channel (due to summation over channels)

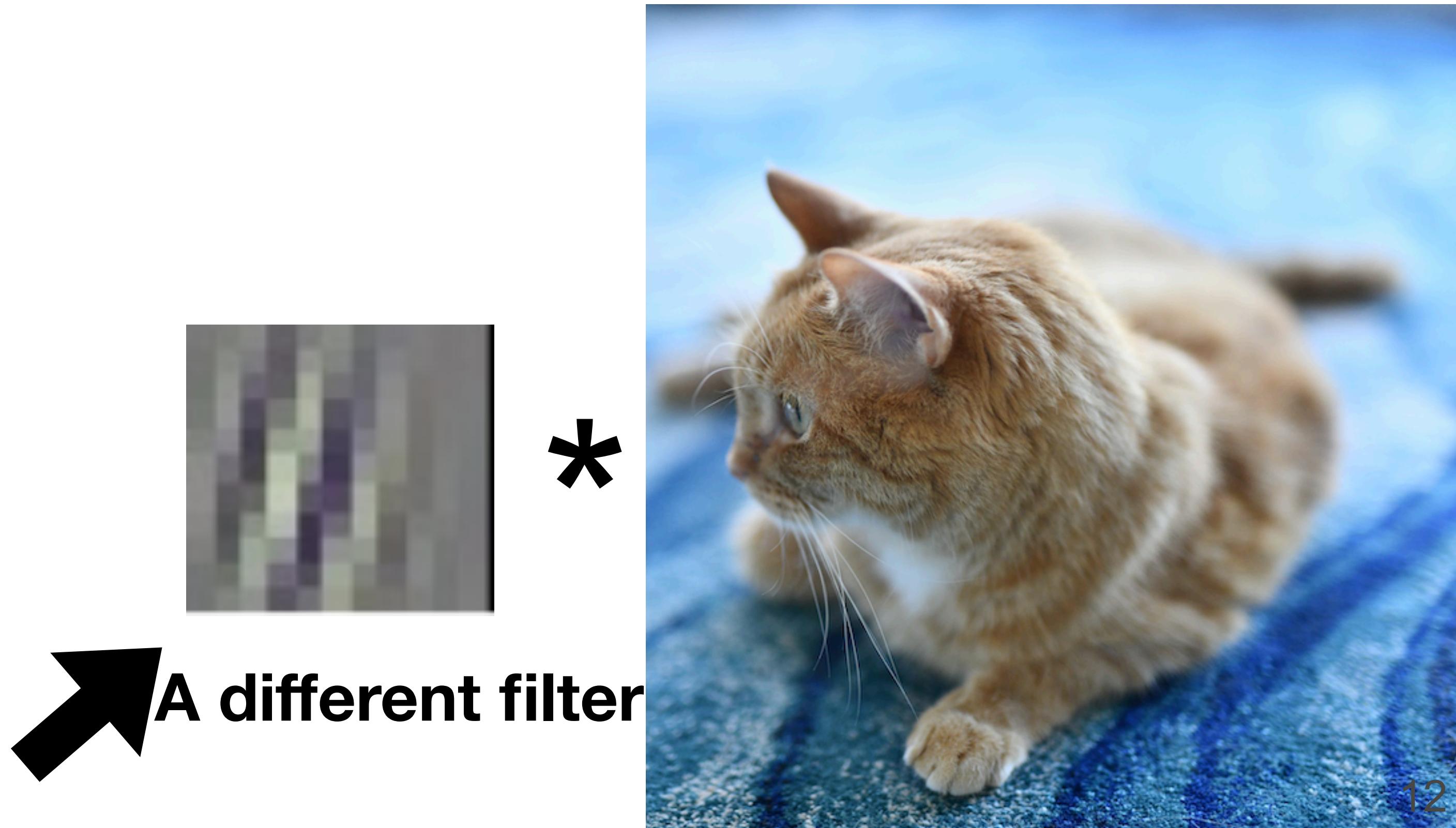


One filter  
(3 channels)



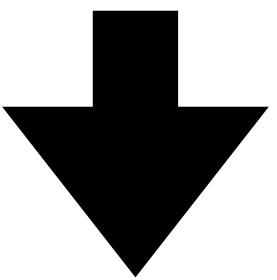
# Multiple filters (in one layer)

- Apply multiple filters on the input
- Each filter may learn different features about the input
- Each filter (3D kernel) produces one output channel

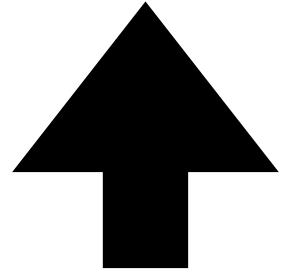


# Output shape

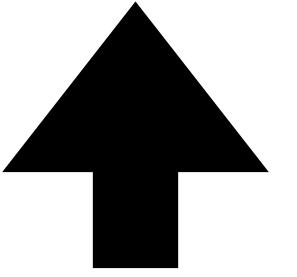
**Kernel/filter size**



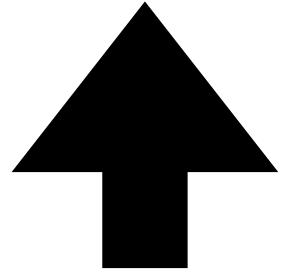
$$\lfloor (n_h - k_h + p_h + s_h) / s_h \rfloor \times \lfloor (n_w - k_w + p_w + s_w) / s_w \rfloor$$



**Input size**



**Pad**



**Stride**

Consider a convolution layer with 16 filters. Each filter has a size of  $11 \times 11 \times 3$ , a stride of  $2 \times 2$ . Given an input image of size  $22 \times 22 \times 3$ , if we don't allow a filter to fall outside of the input, what is the output size?

- $11 \times 11 \times 16$
- $6 \times 6 \times 16$
- $7 \times 7 \times 16$
- $5 \times 5 \times 16$

Consider a convolution layer with 16 filters. Each filter has a size of 11x11x3, a stride of 2x2. Given an input image of size 22x22x3, if we don't allow a filter to fall outside of the input, what is the output size?

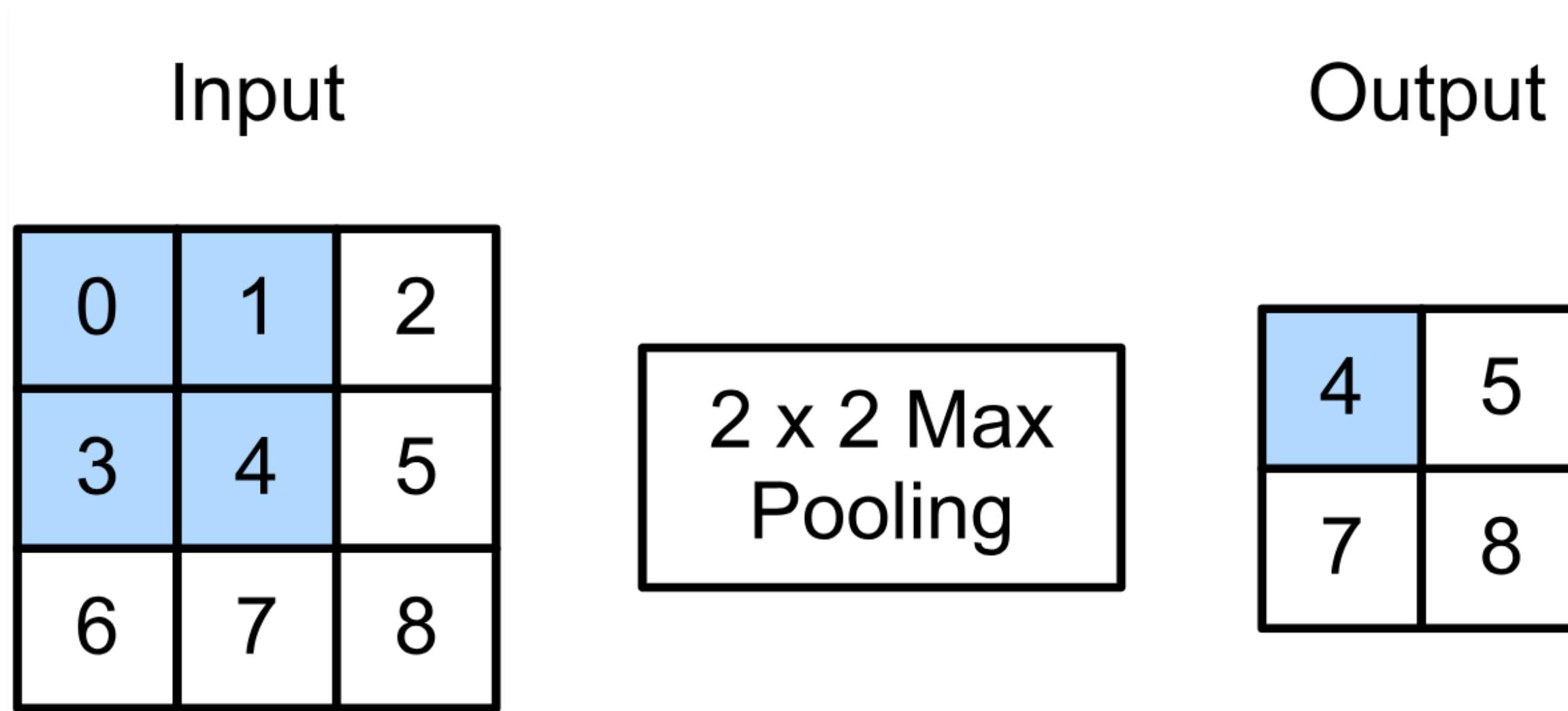
- 11x11x16
- 6x6x16
- 7x7x16
- 5x5x16

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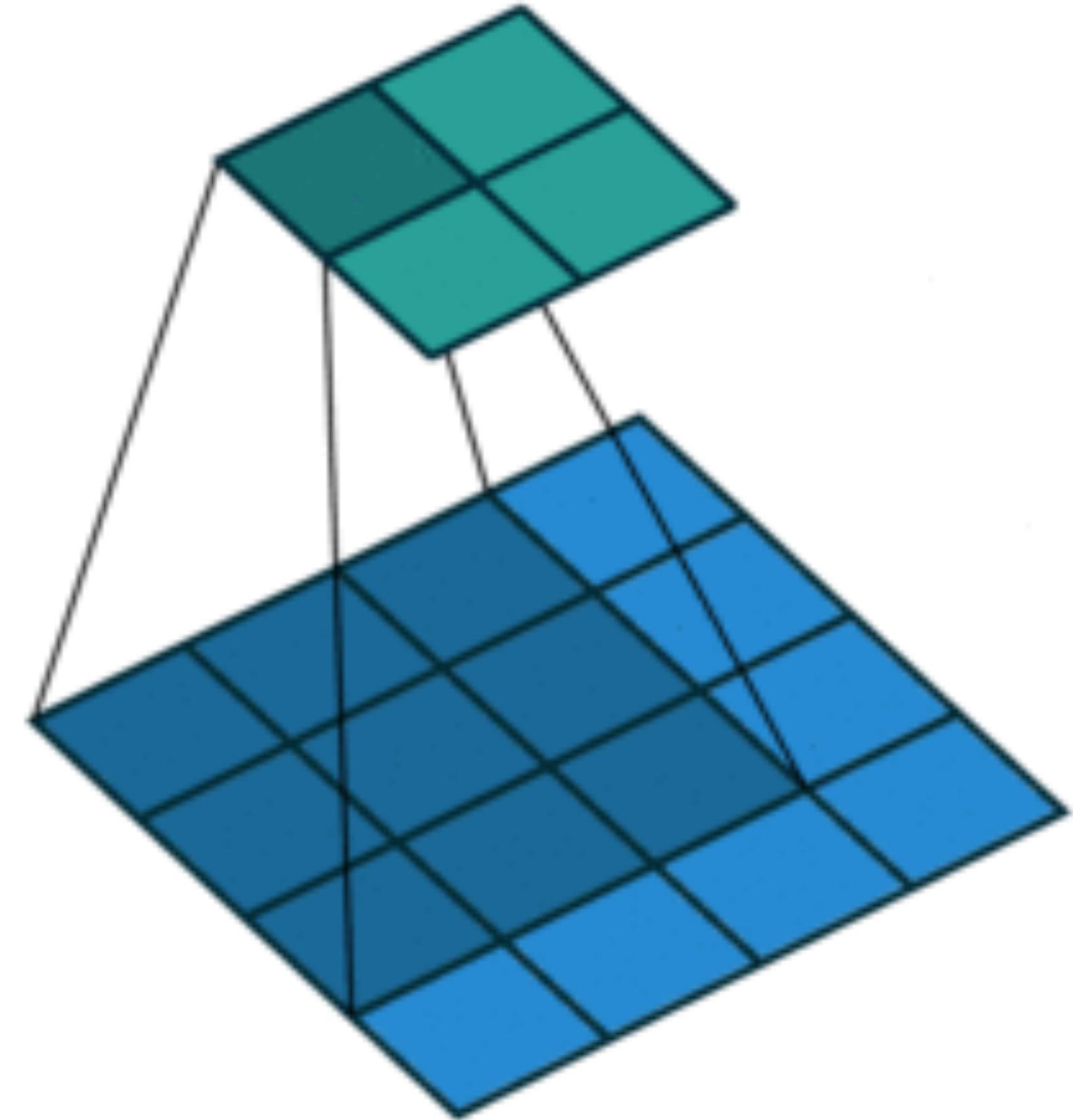
# Pooling Layer

# 2-D Max Pooling

- Returns the maximal value in the sliding window

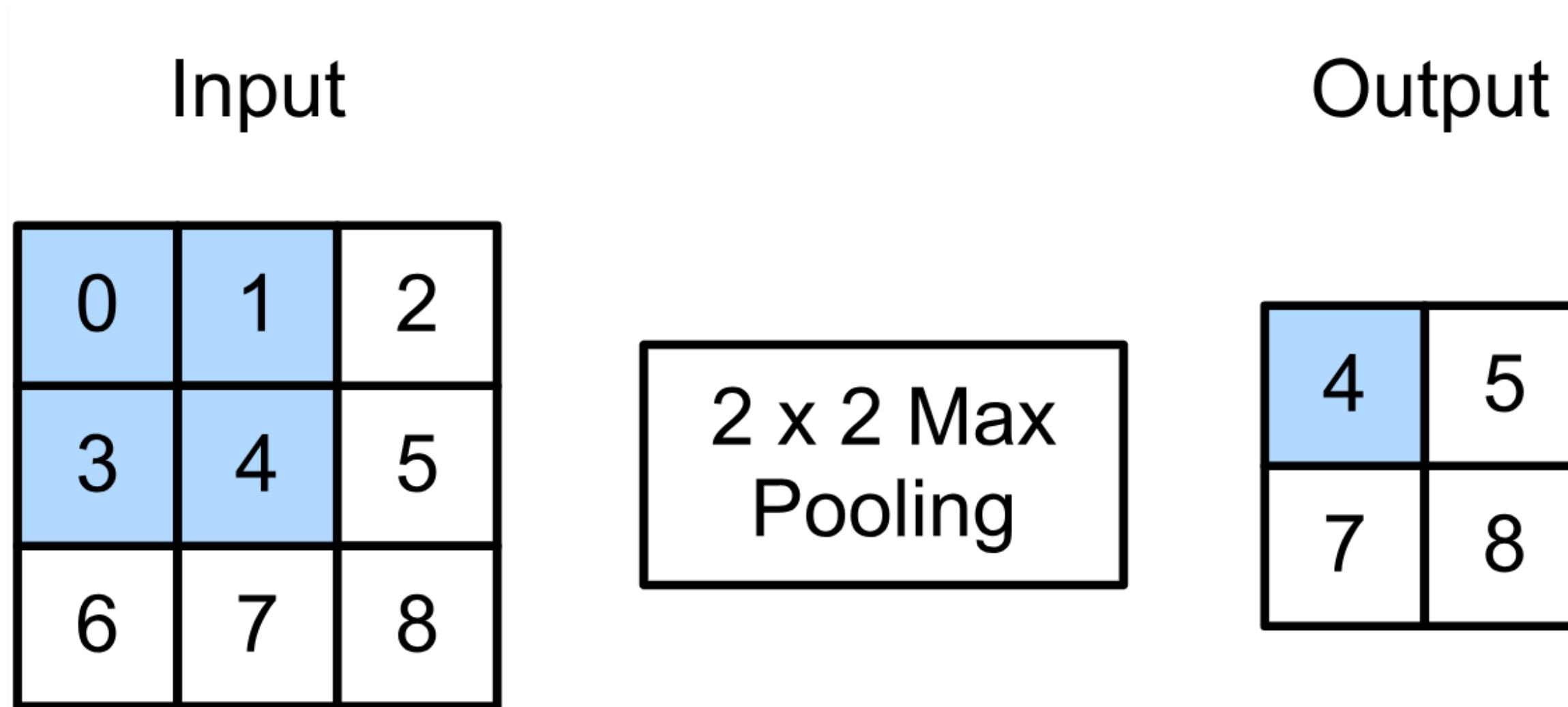


$$\max(0,1,3,4) = 4$$

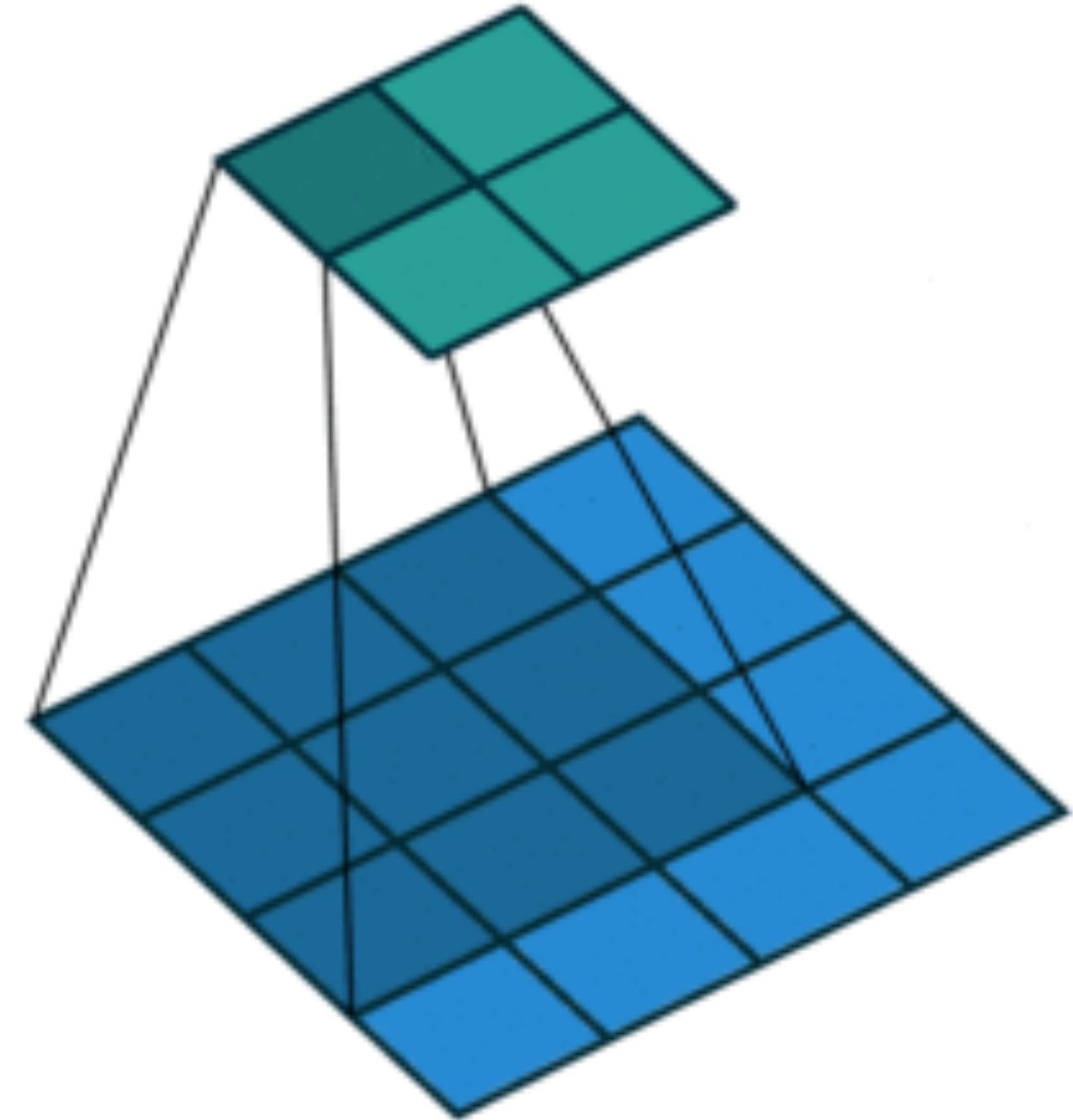


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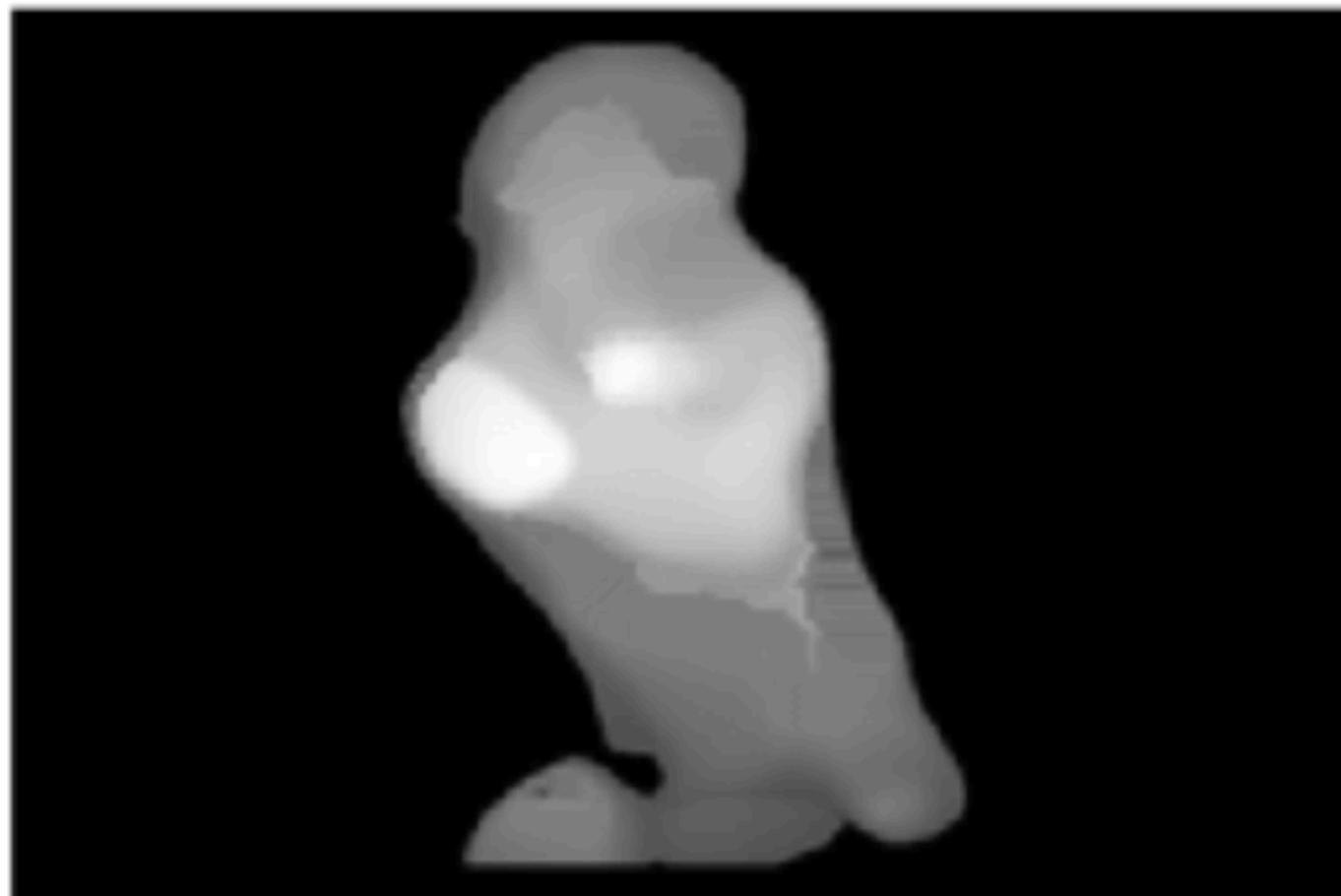
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# Average Pooling

- Max pooling: the strongest pattern signal in a window
- Average pooling: replace max with mean in max pooling
  - The average signal strength in a window

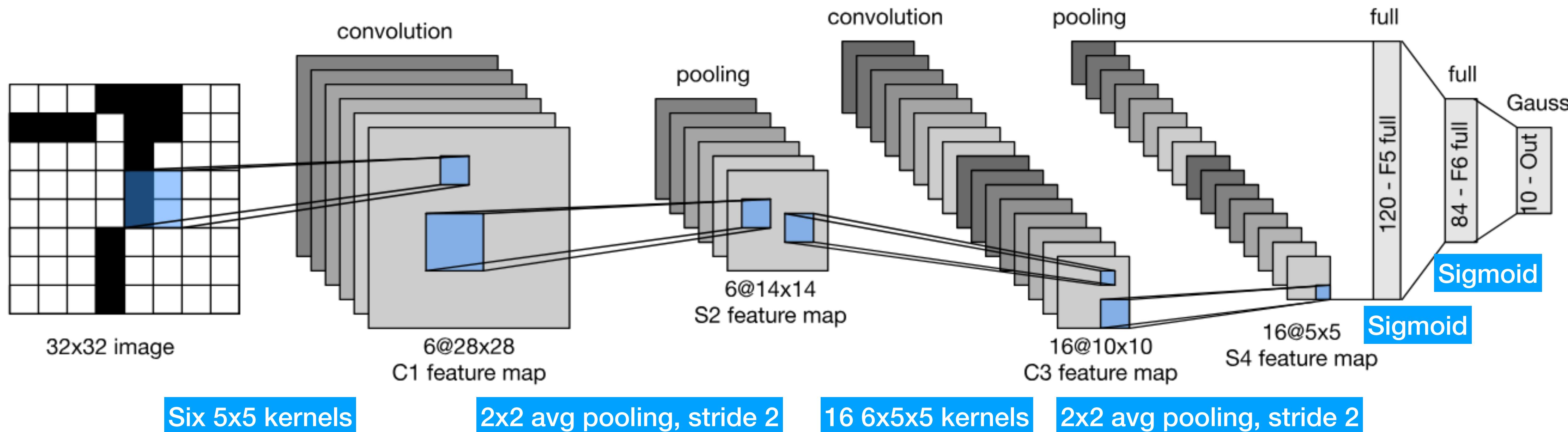
Max pooling



Average pooling



# Convolutional Neural Network Architecture



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Early layers recognize simple visual features, later layers recognize more complex visual features.

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- Example: cats have cat-like faces, dogs have dog-like faces.

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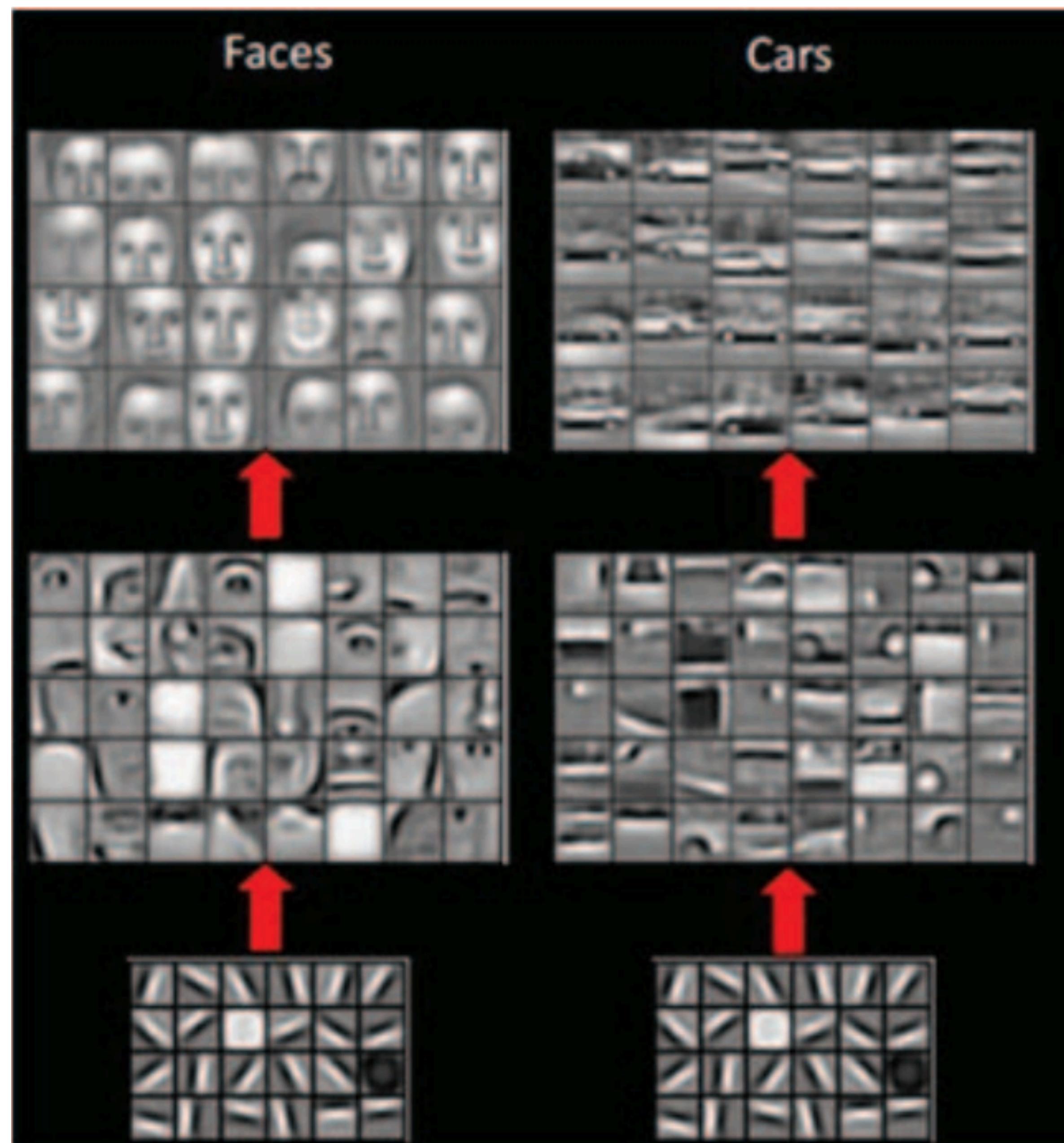
- Example: Dogs have longer snouts.
- How do you determine what is a long snout?

# Feature Learning

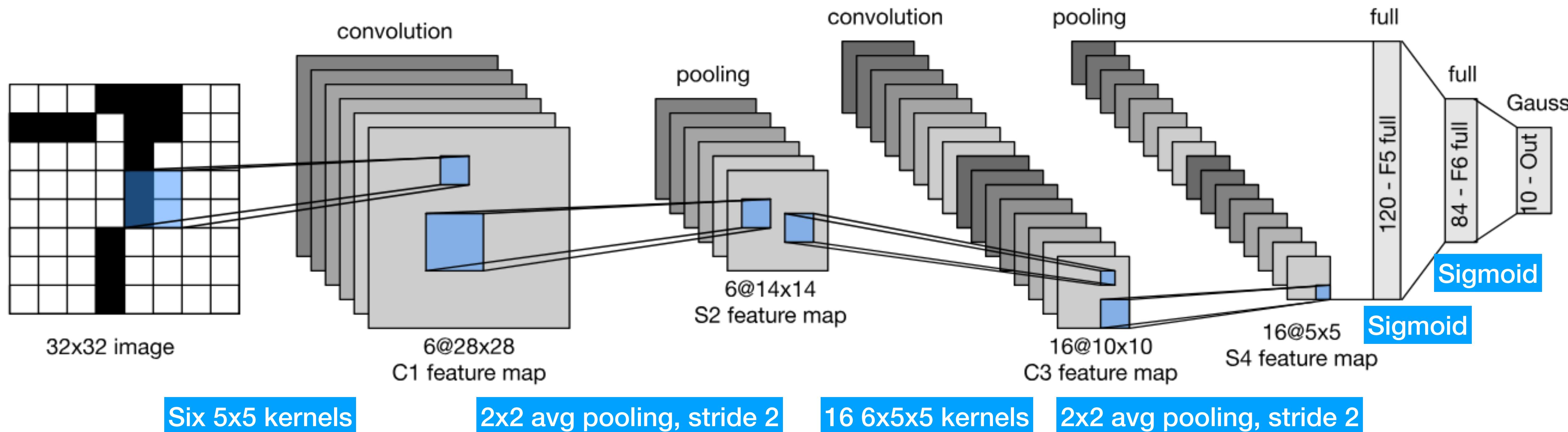
Later layers recognize complete objects

Middle layers recognize parts of objects

Early layers recognize simple patterns



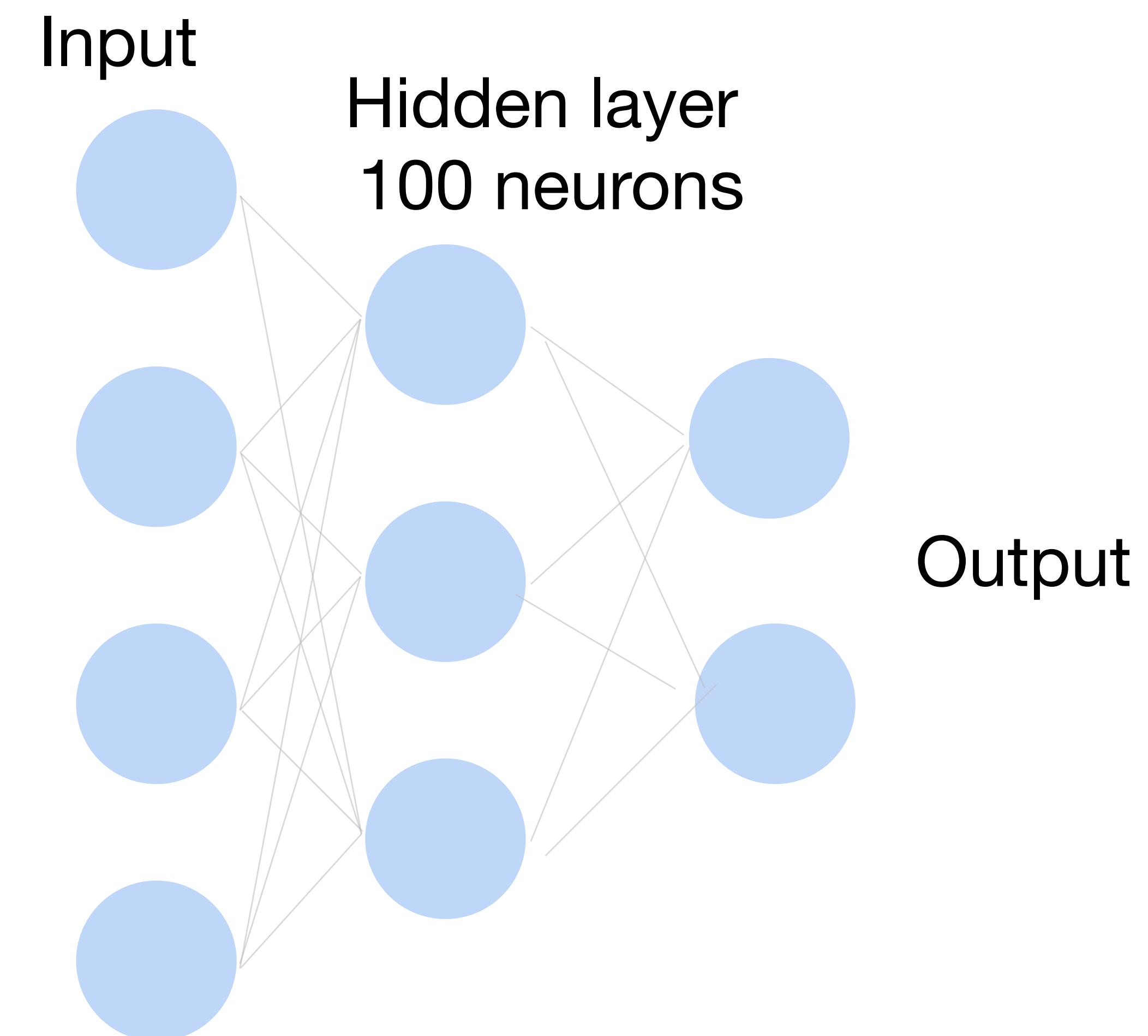
# Convolutional Neural Network Architecture



Gradient-based learning applied to document recognition,  
by Y. LeCun, L. Bottou, Y. Bengio and P. Haffner

# How to train a neural network?

**Loss function:**  $\frac{1}{|D|} \sum_i \ell(\mathbf{x}_i, y_i)$

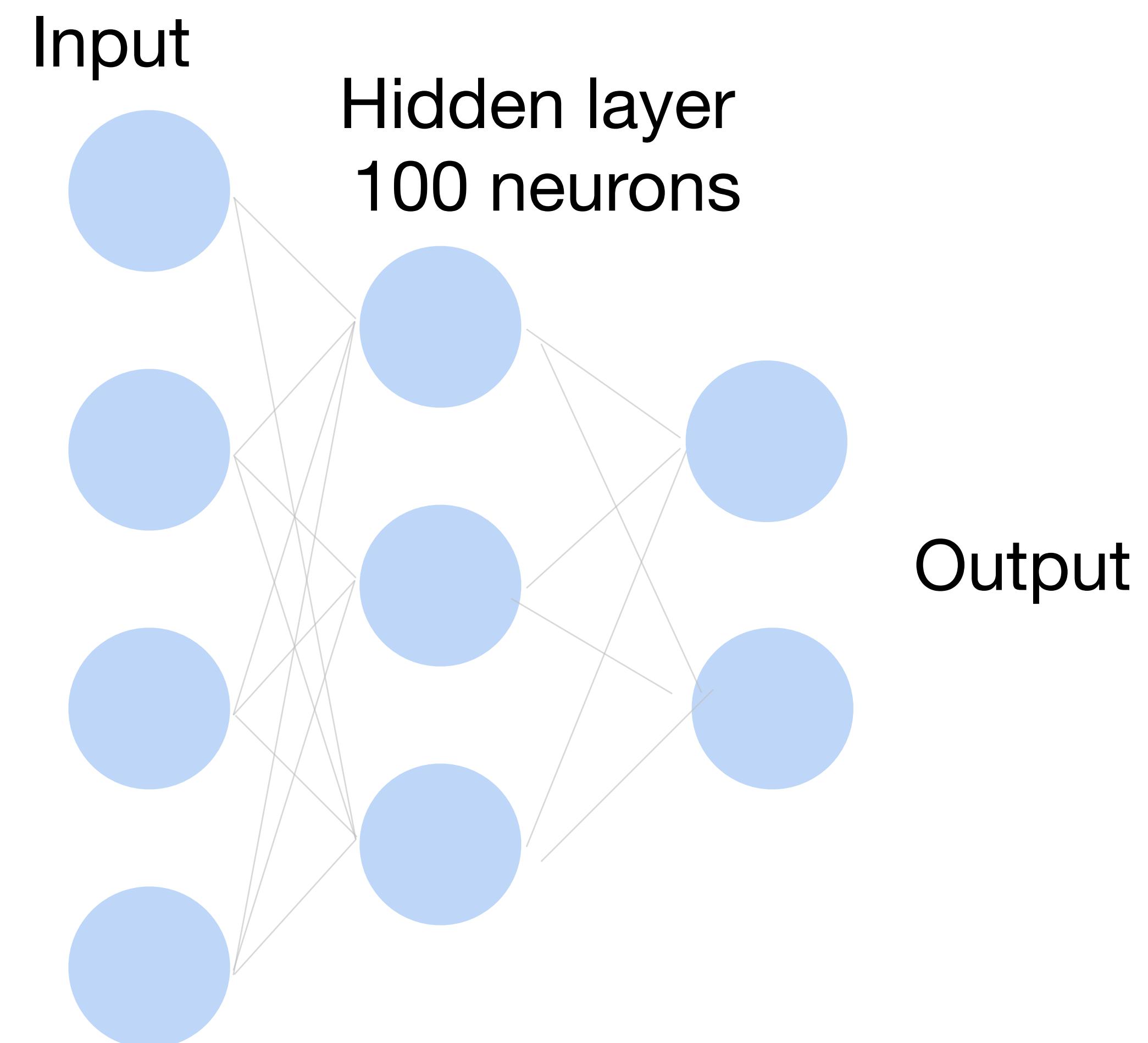


# How to train a neural network?

**Loss function:**  $\frac{1}{|D|} \sum_i \ell(\mathbf{x}_i, y_i)$

**Per-sample loss:**

$$\ell(\mathbf{x}, y) = \sum_{j=1}^K -y_j \log p_j$$

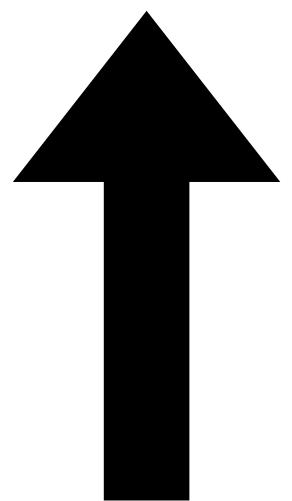


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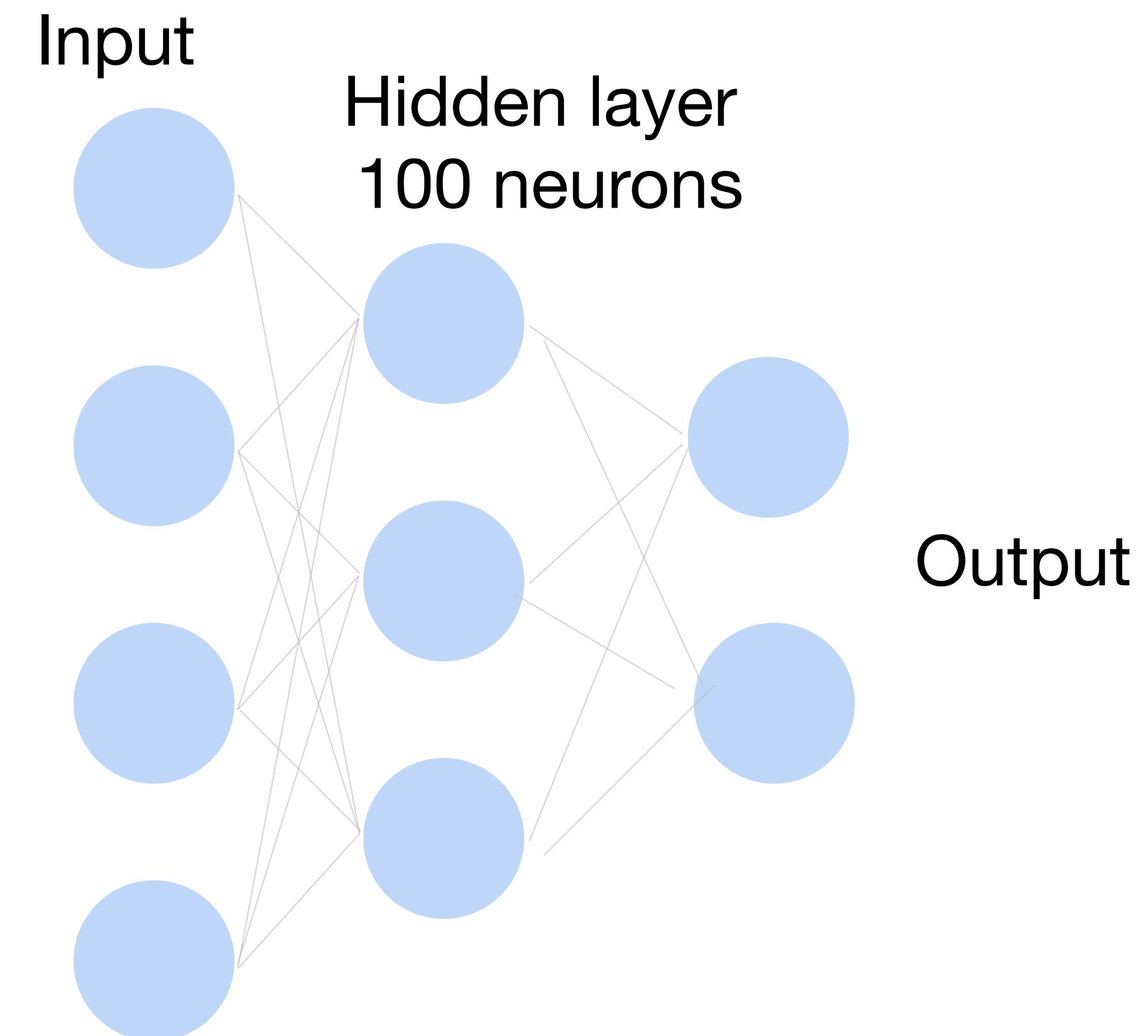
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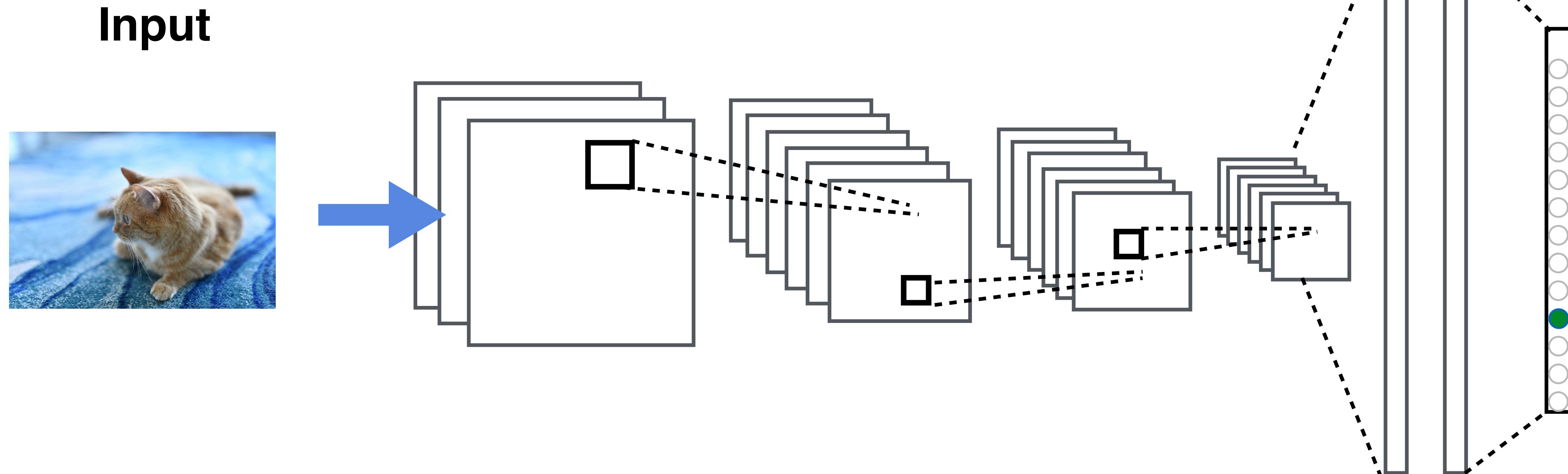
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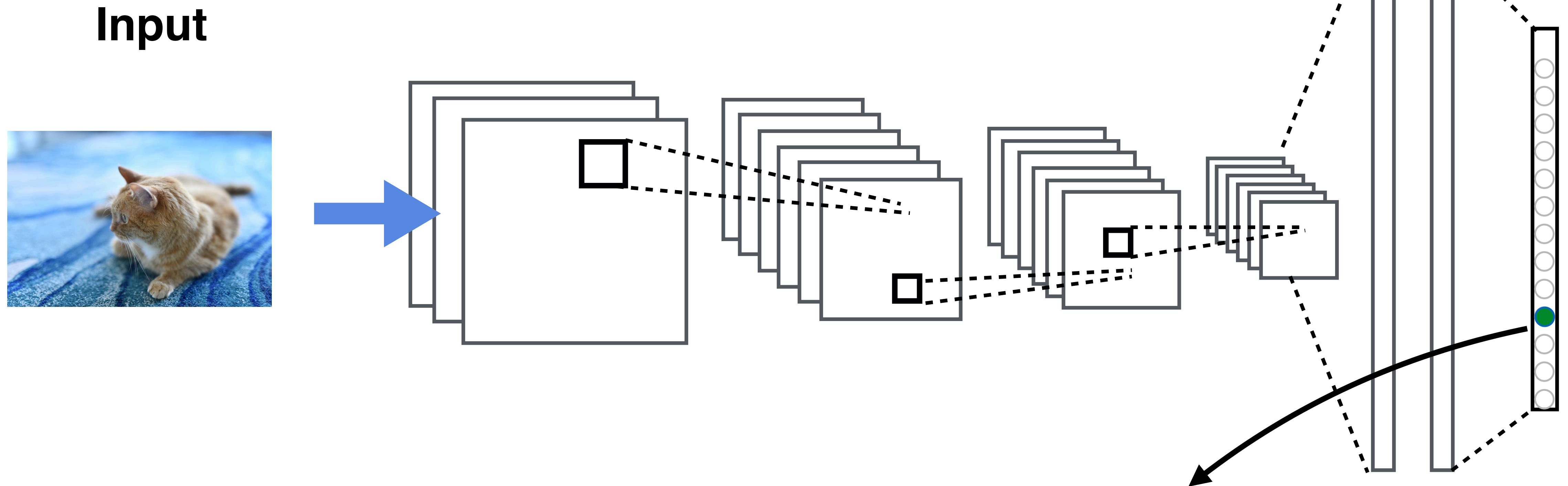
Also known as **cross-entropy loss**  
or **softmax loss**



# How to train a convolutional neural network?



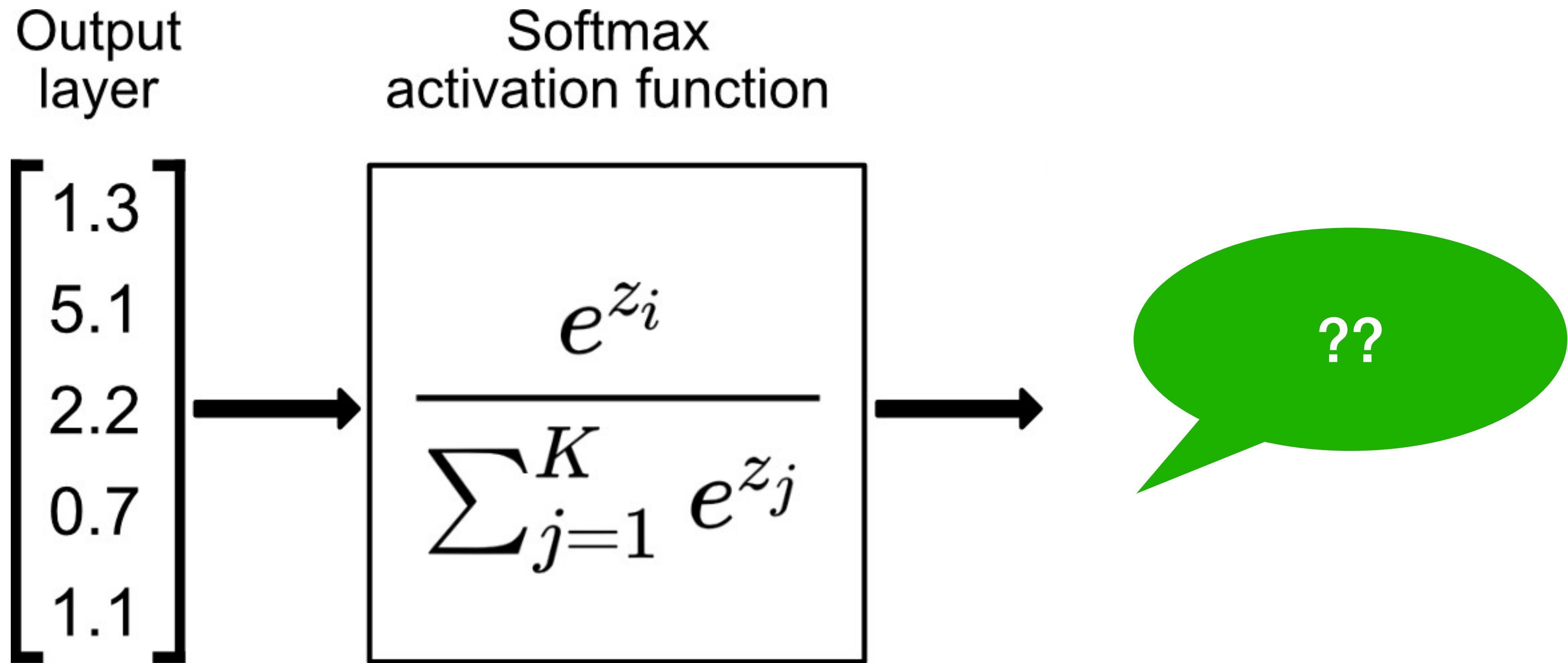
# How to train a convolutional neural network?



$$p_i(\mathbf{x}) = \frac{\exp(f_i(\mathbf{x}))}{\sum_{j=1}^N \exp(f_j(\mathbf{x}))}, \text{ softmax}$$

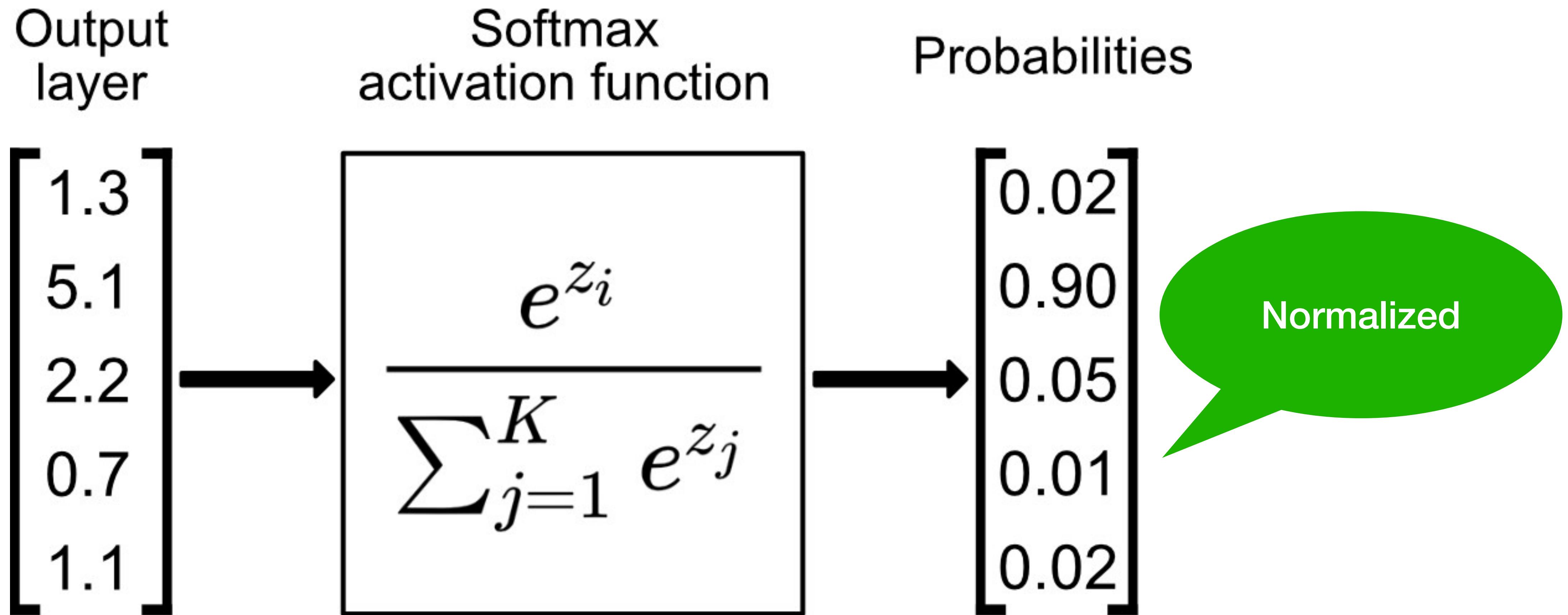
# Recall Softmax

Turns outputs  $f$  into probabilities (sum up to 1 across  $k$  classes)

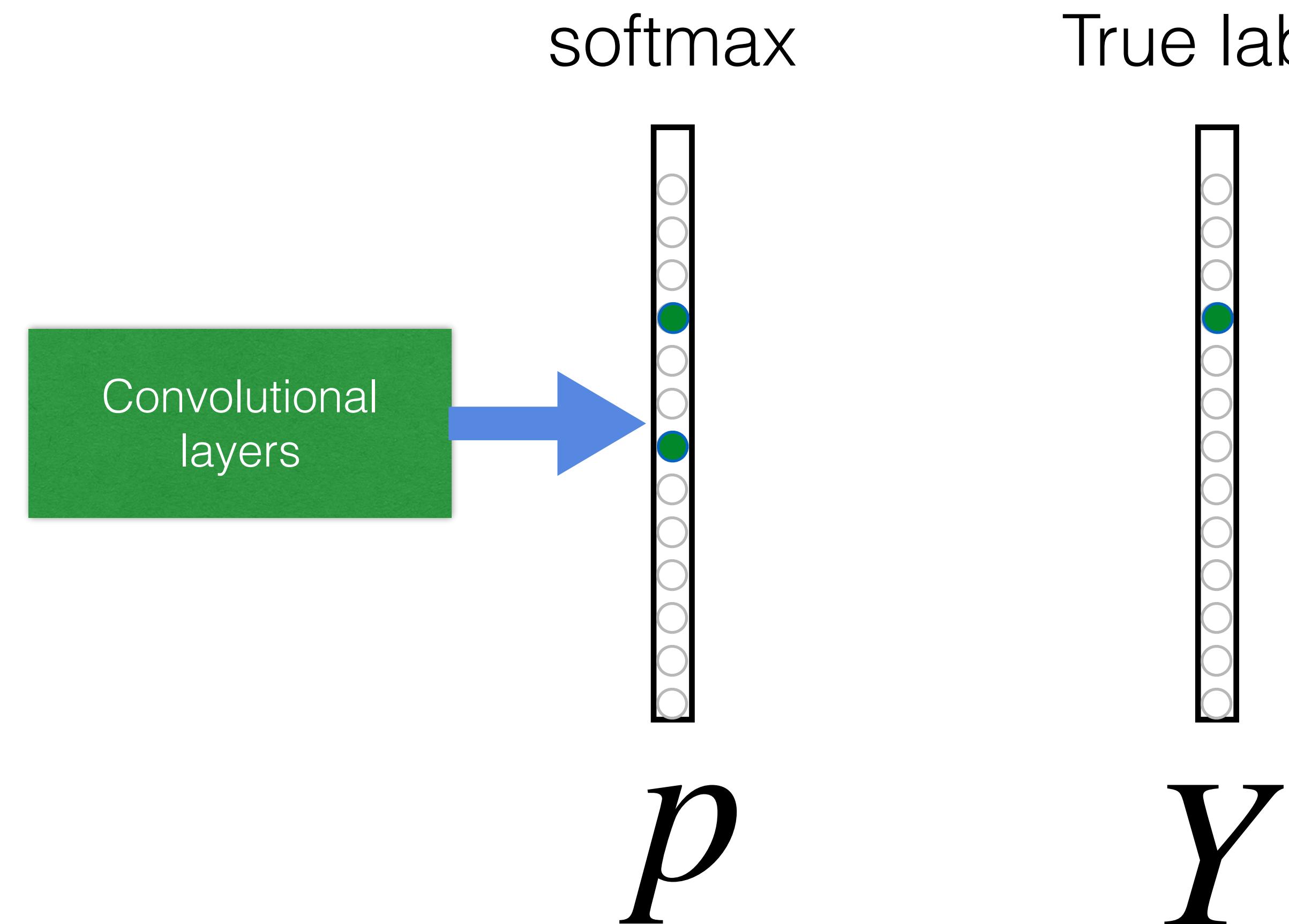


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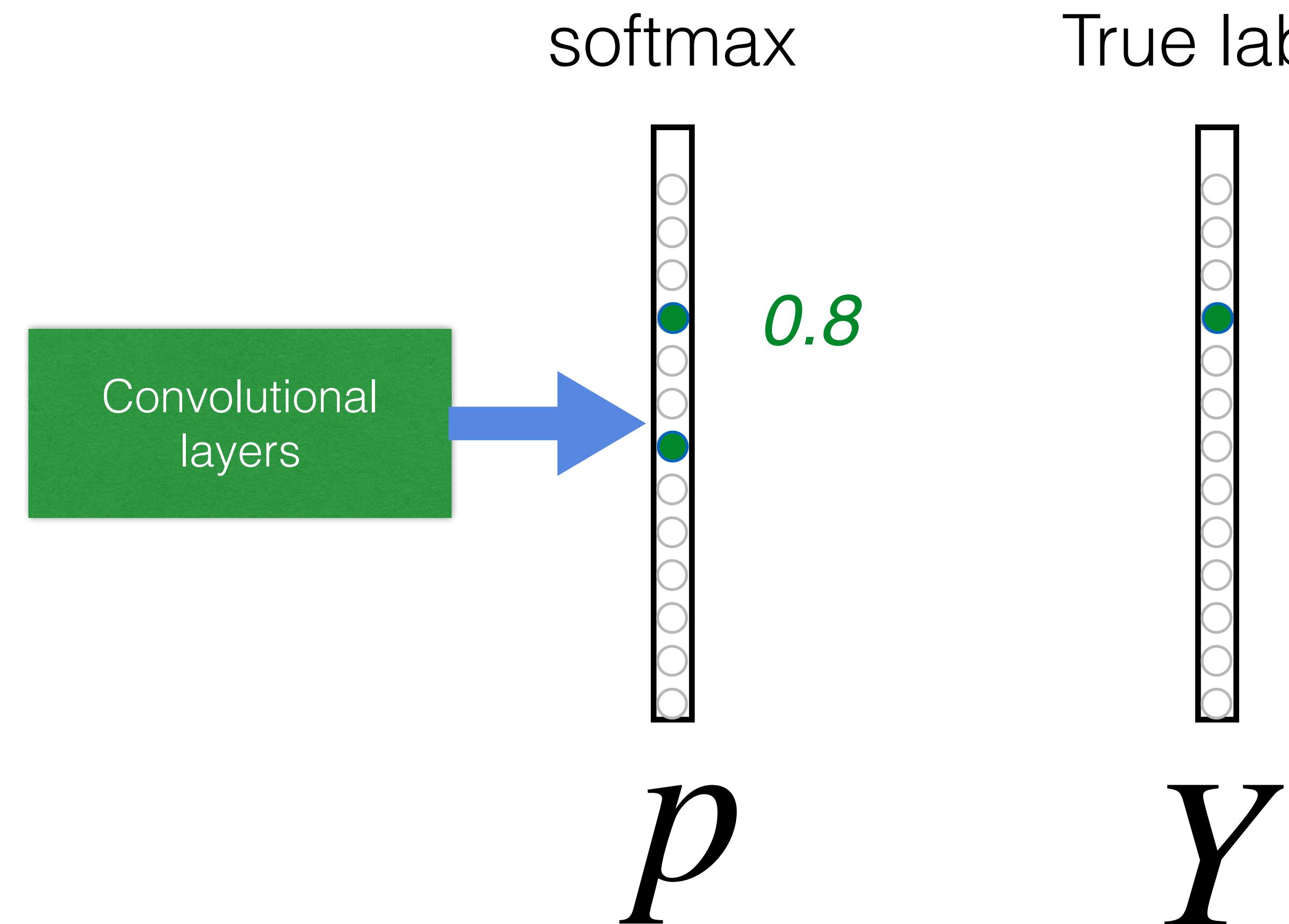
# Cross-Entropy Loss



$$\begin{aligned} L_{CE} &= \sum_i -Y_i \log(p_i) \\ &= -\log(0.8) \end{aligned}$$

**Goal:** push  $\mathbf{p}$  and  $\mathbf{Y}$  to be identical

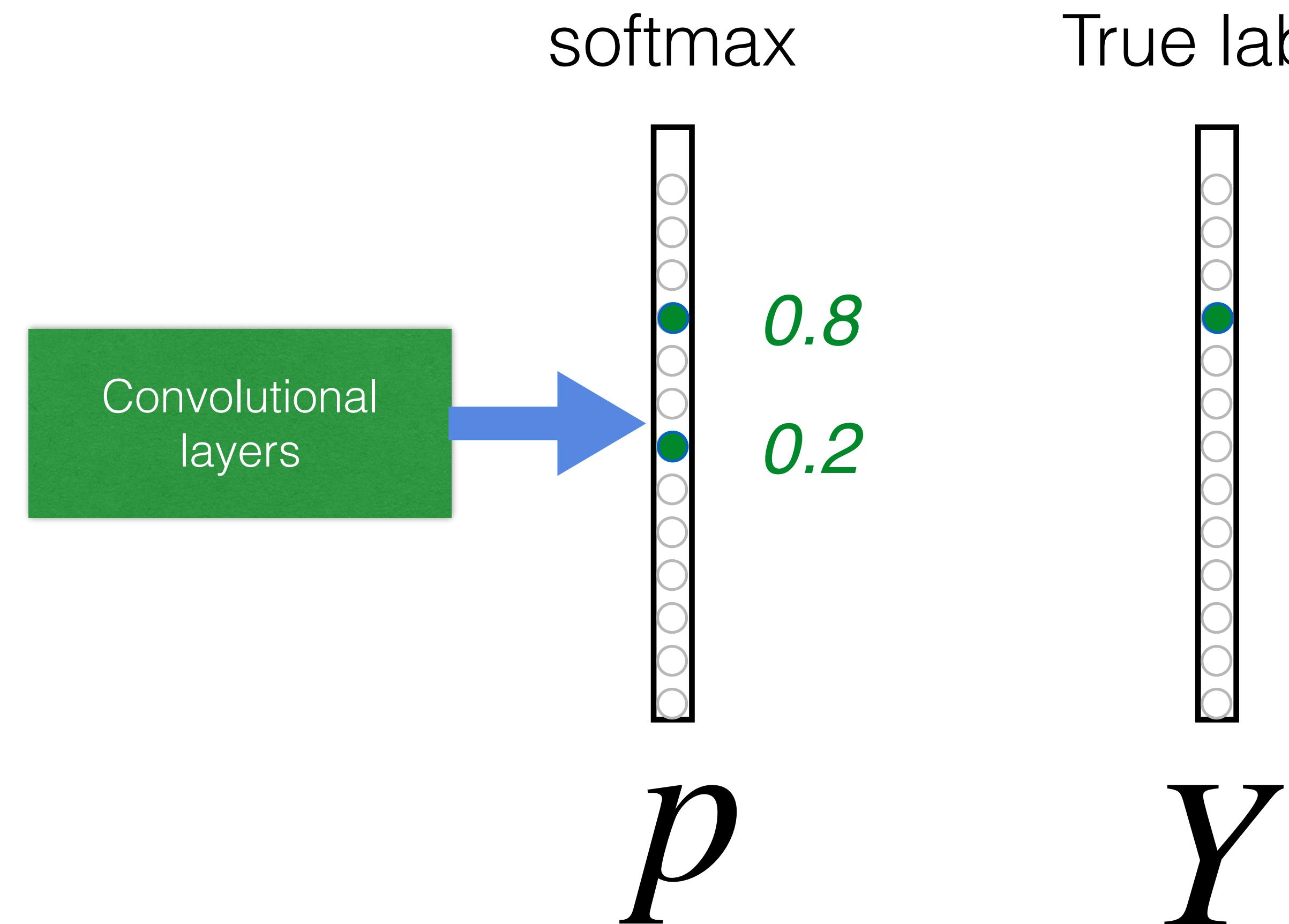
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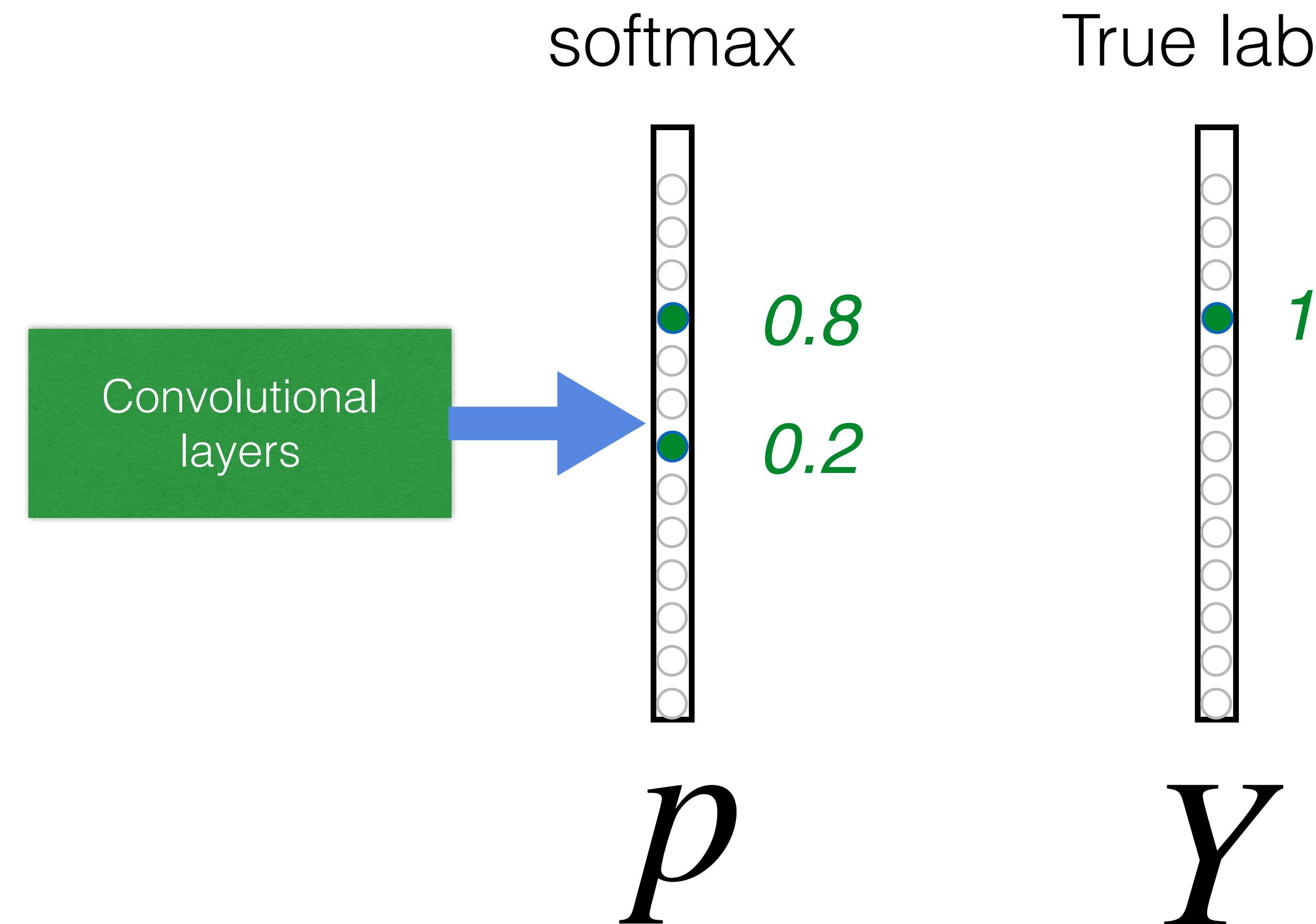
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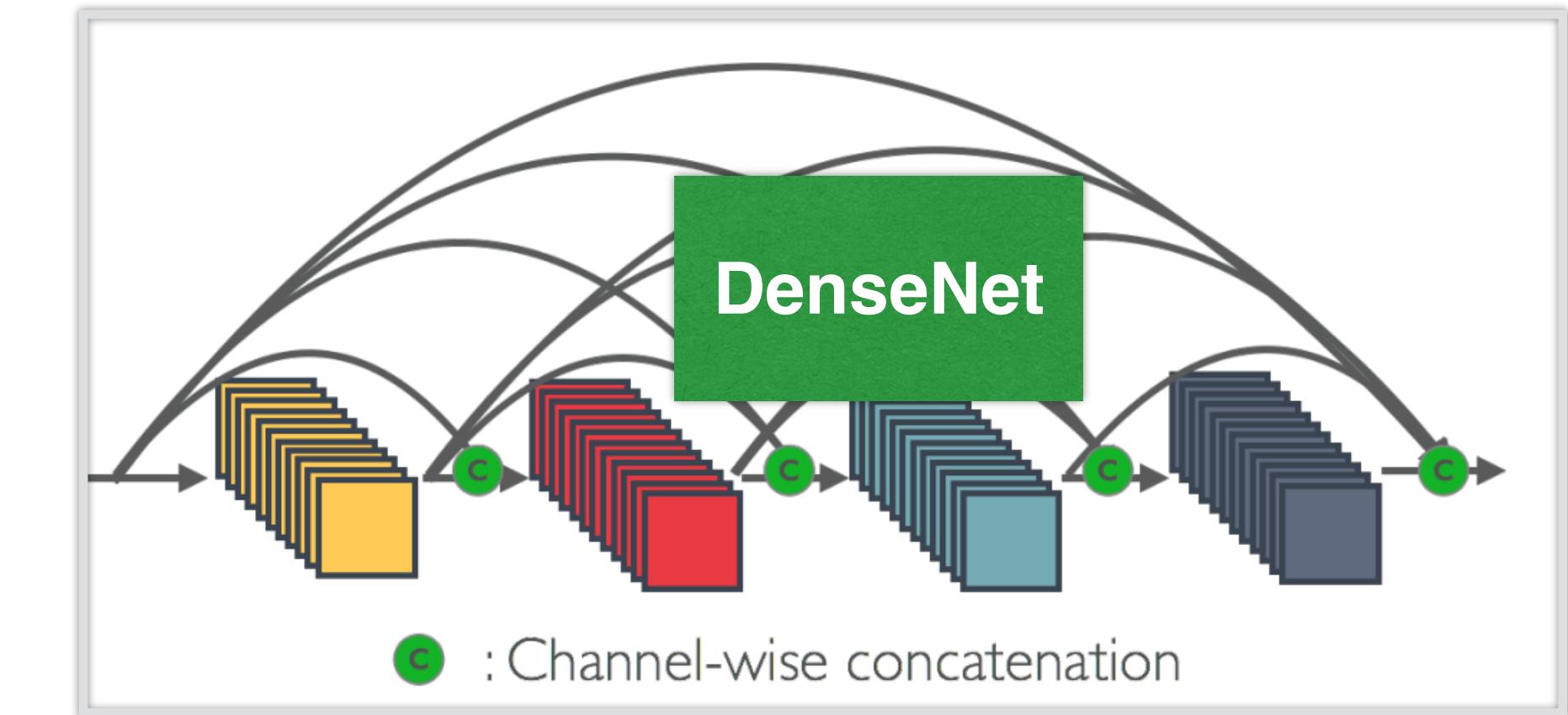
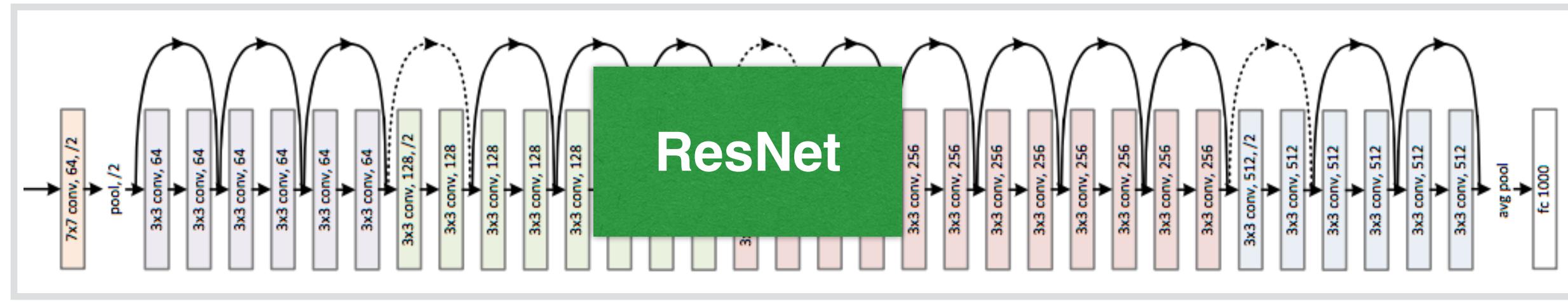
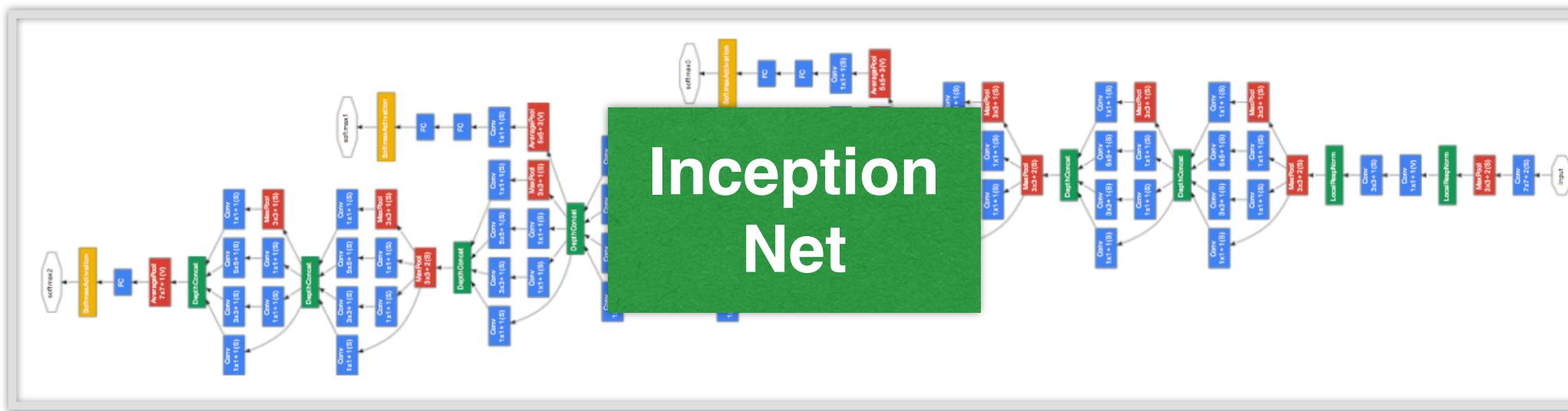
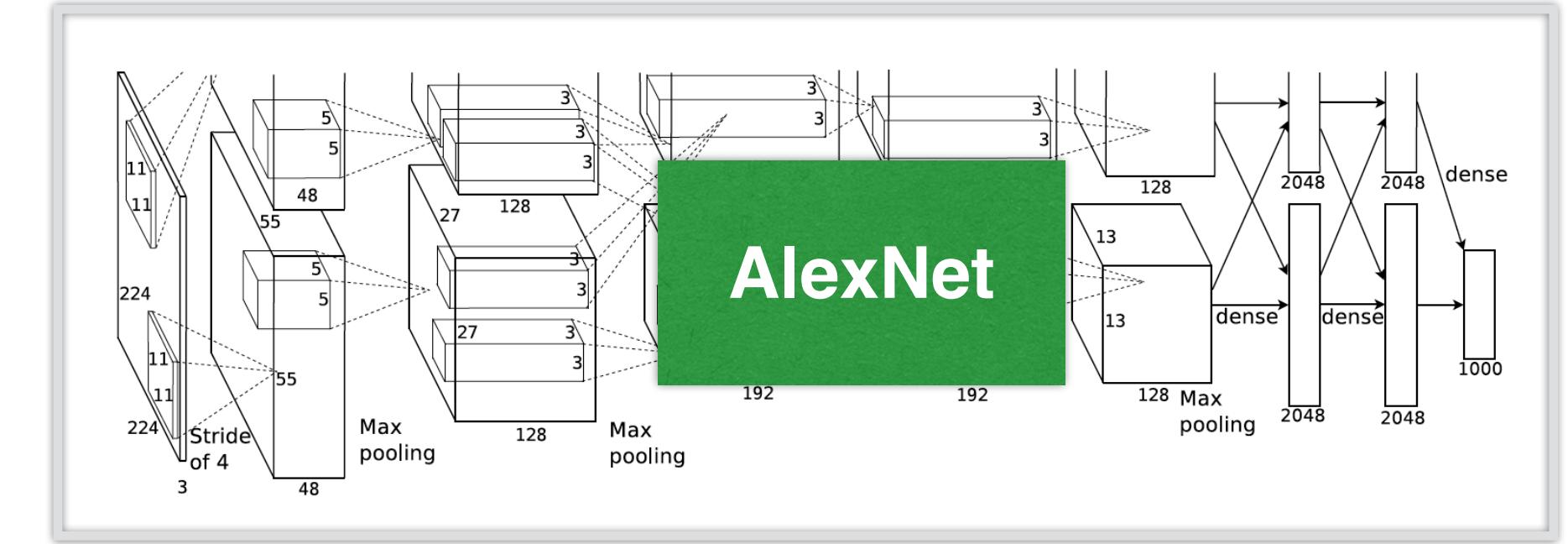
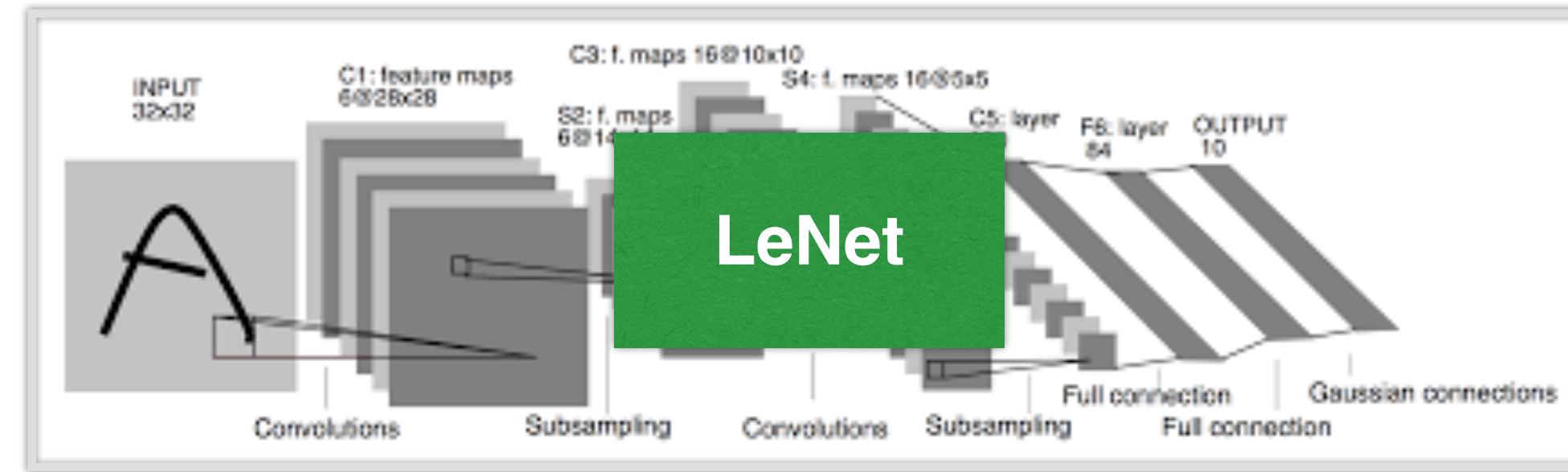
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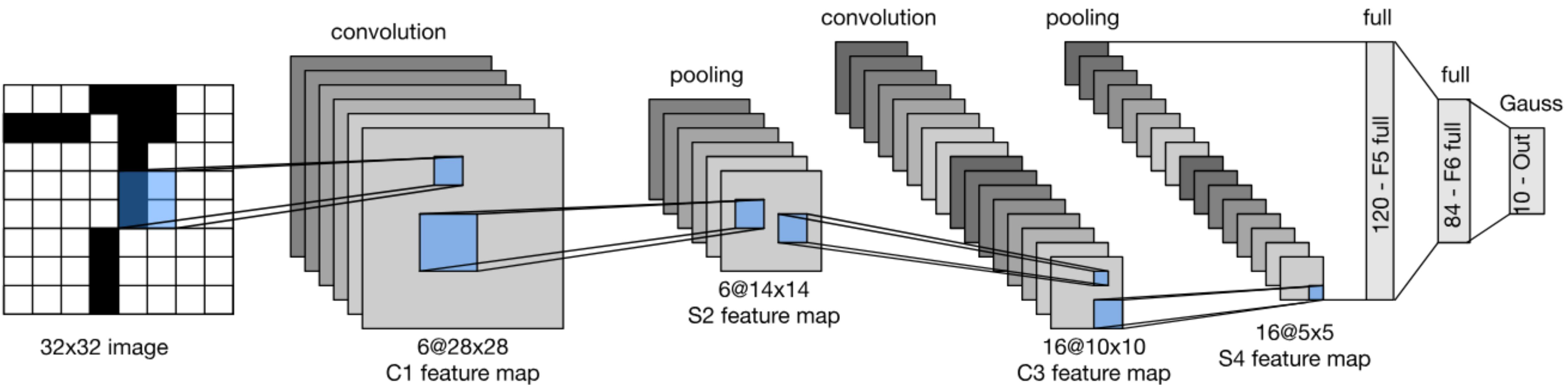
# Convolutional Neural Networks

# Evolution of neural net architectures

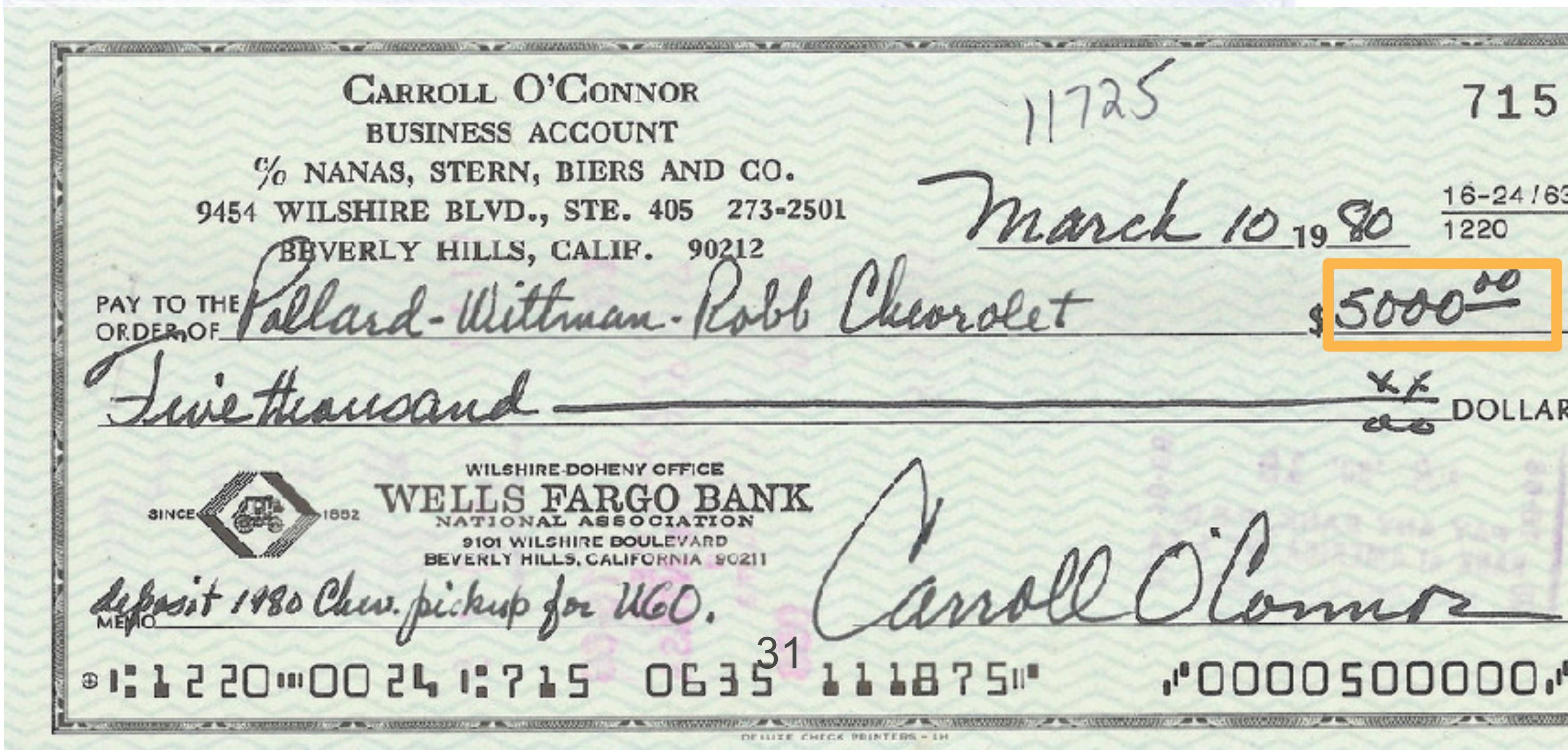
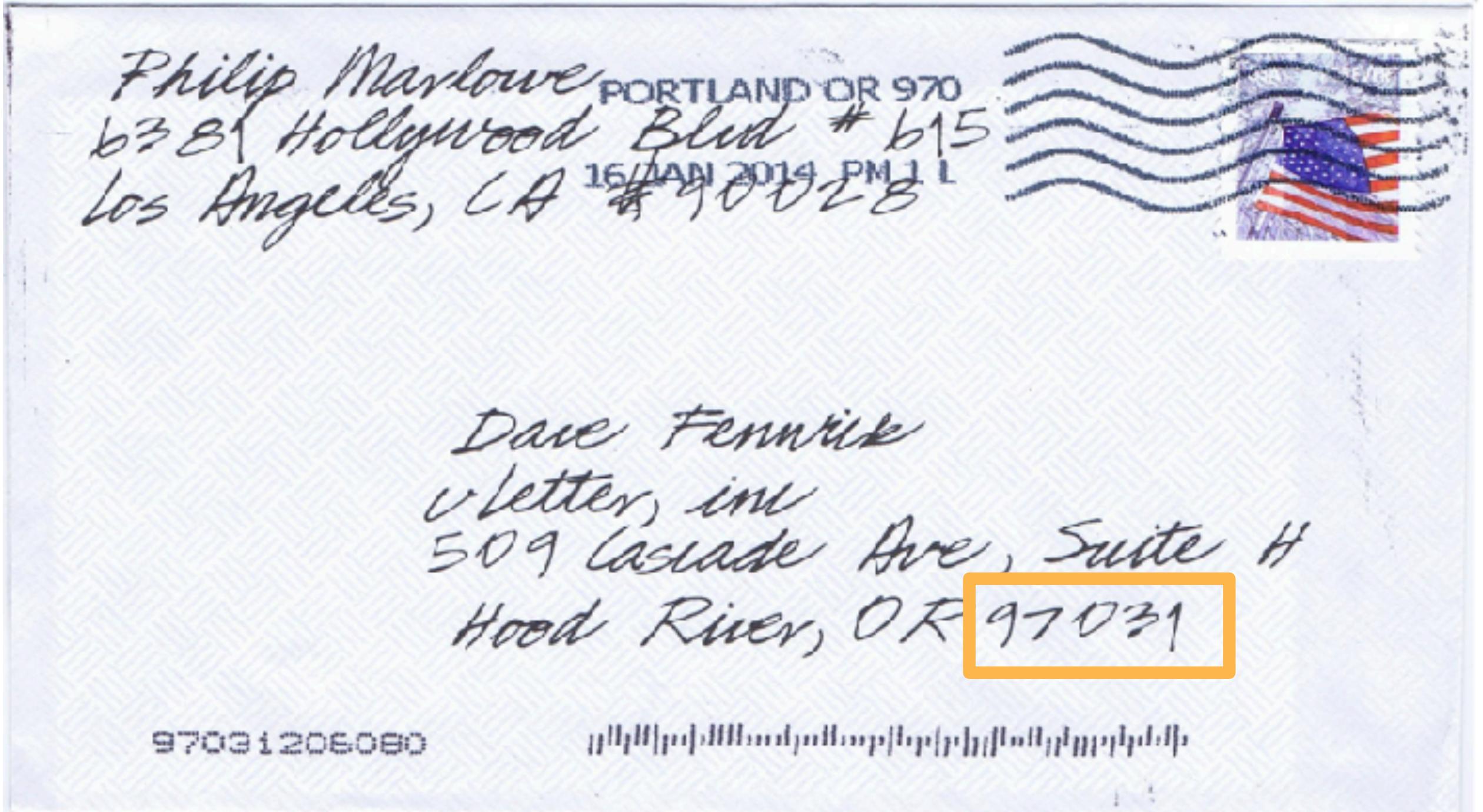
# Evolution of neural net architectures



# LeNet Architecture (first conv nets)



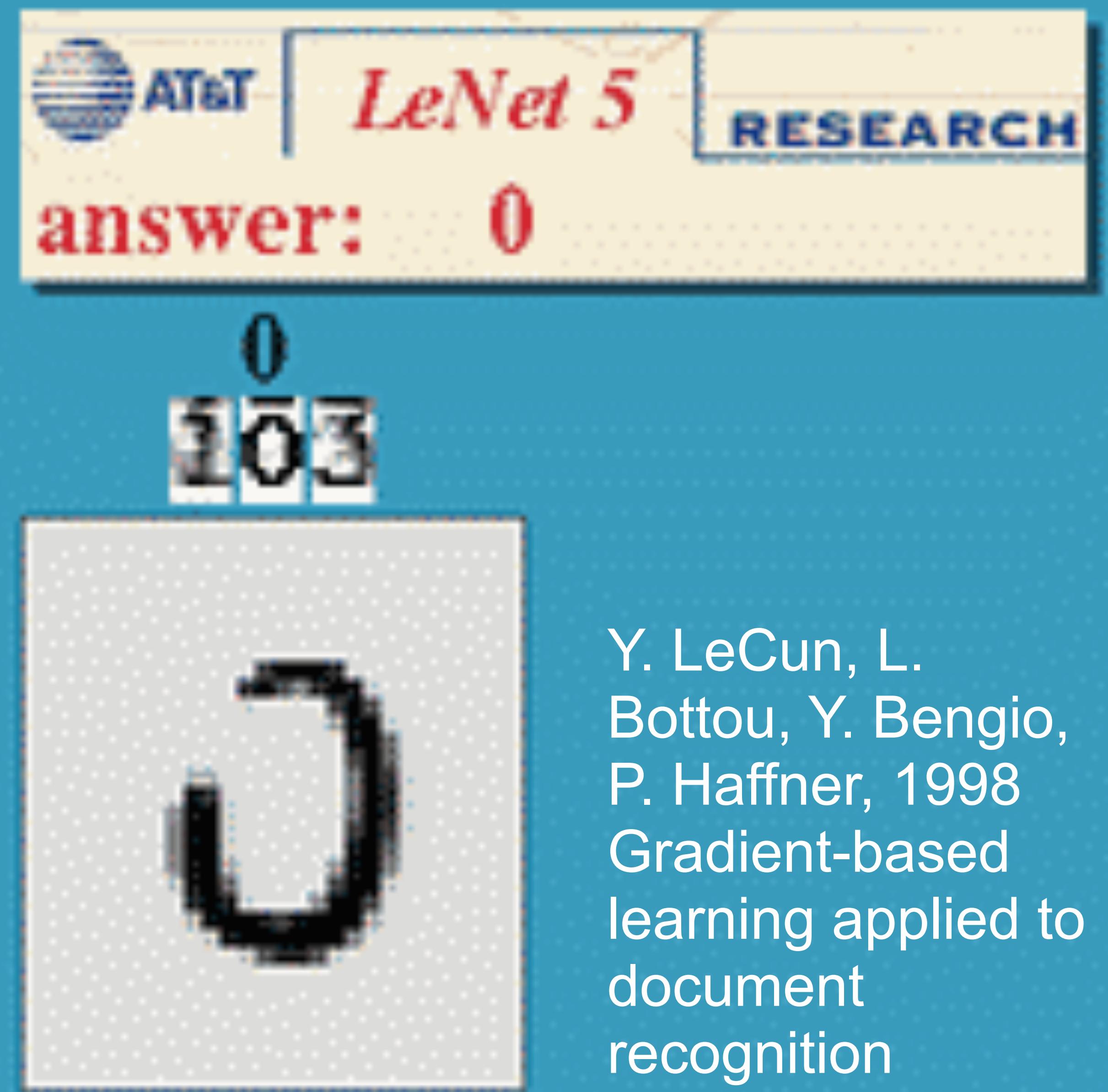
# Handwritten Digit Recognition



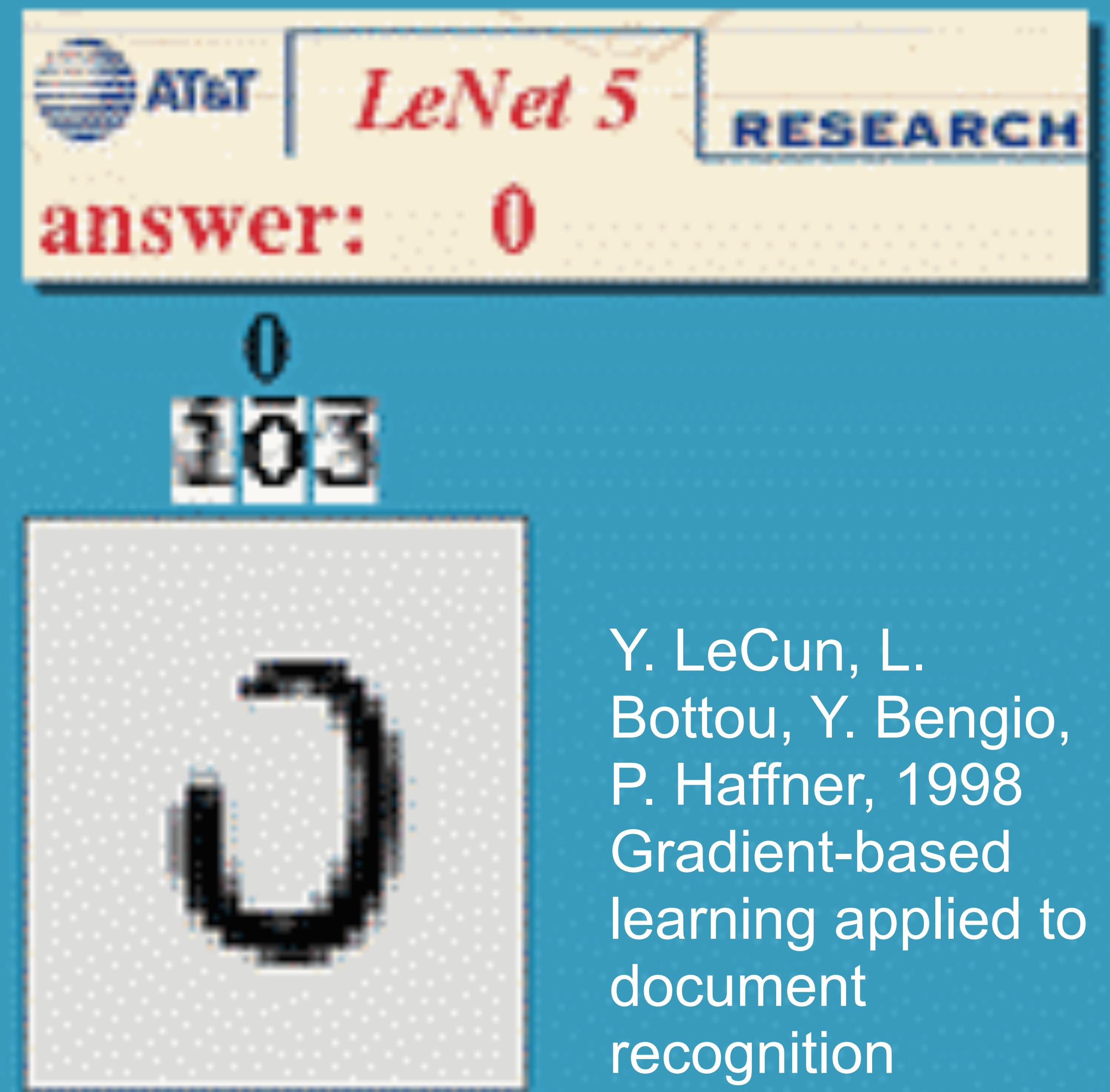
# MNIST

- Centered and scaled
- 50,000 training data
- 10,000 test data
- 28 x 28 images
- 10 classes



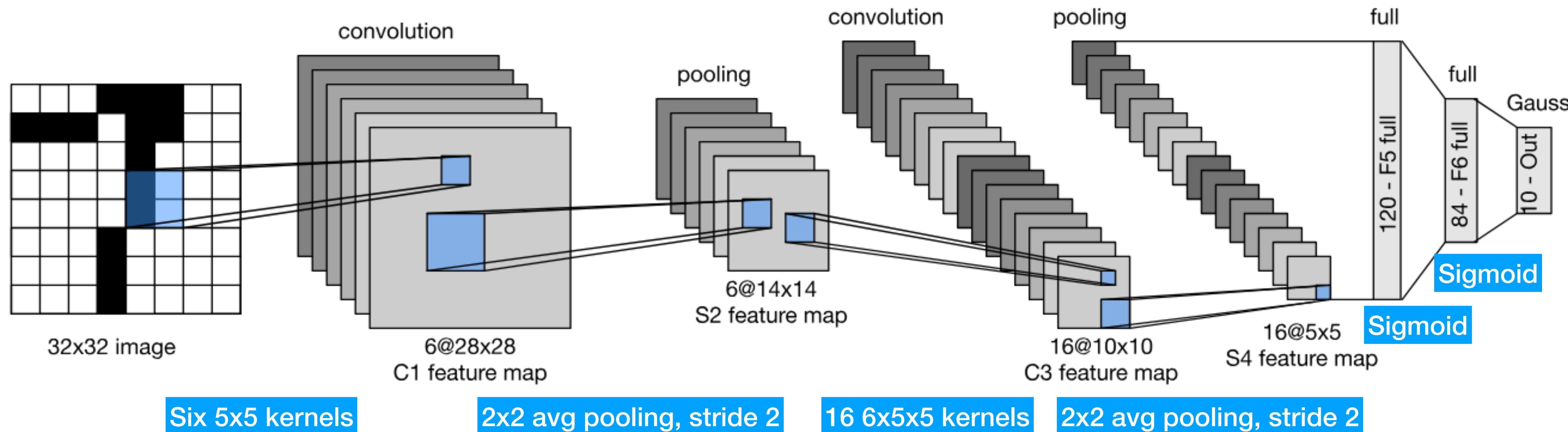


Y. LeCun, L.  
Bottou, Y. Bengio,  
P. Haffner, 1998  
Gradient-based  
learning applied to  
document  
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# LeNet Architecture



# LeNet in Pytorch

```
def __init__(self):
    super(LeNet5, self).__init__()
    # Convolution (In LeNet-5, 32x32 images are given as input. Hence padding of 2 is done below)
    self.conv1 = torch.nn.Conv2d(in_channels=1, out_channels=6, kernel_size=5, stride=1, padding=2, bias=True)
    # Max-pooling
    self.max_pool_1 = torch.nn.MaxPool2d(kernel_size=2)
    # Convolution
    self.conv2 = torch.nn.Conv2d(in_channels=6, out_channels=16, kernel_size=5, stride=1, padding=0, bias=True)
    # Max-pooling
    self.max_pool_2 = torch.nn.MaxPool2d(kernel_size=2)
    # Fully connected layer
    self.fc1 = torch.nn.Linear(16*5*5, 120)      # convert matrix with 16*5*5 (= 400) features to a matrix of 120 features (columns)
    self.fc2 = torch.nn.Linear(120, 84)           # convert matrix with 120 features to a matrix of 84 features (columns)
    self.fc3 = torch.nn.Linear(84, 10)             # convert matrix with 84 features to a matrix of 10 features (columns)
```

```
def forward(self, x):
    # convolve, then perform ReLU non-linearity
    x = torch.nn.functional.relu(self.conv1(x))
    # max-pooling with 2x2 grid
    x = self.max_pool_1(x)
    # convolve, then perform ReLU non-linearity
    x = torch.nn.functional.relu(self.conv2(x))
    # max-pooling with 2x2 grid
    x = self.max_pool_2(x)
    # first flatten 'max_pool_2_out' to contain 16*5*5 columns
    # read through https://stackoverflow.com/a/42482819/7551231
    x = x.view(-1, 16*5*5)
    # FC-1, then perform ReLU non-linearity
    x = torch.nn.functional.relu(self.fc1(x))
    # FC-2, then perform ReLU non-linearity
    x = torch.nn.functional.relu(self.fc2(x))
    # FC-3
    x = self.fc3(x)

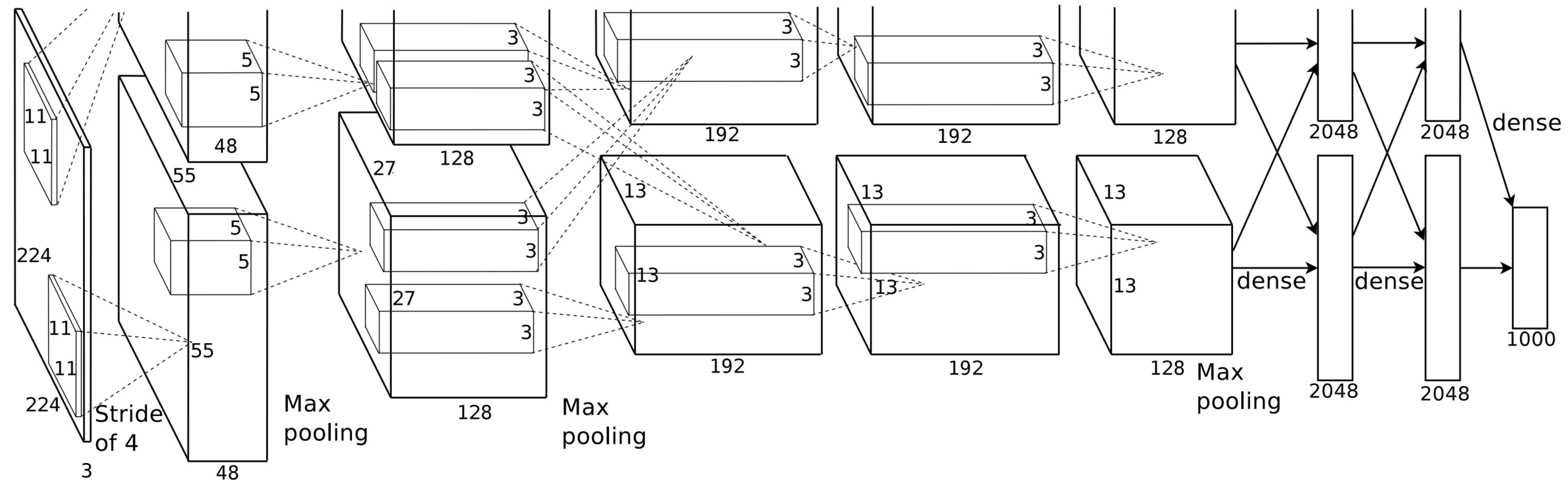
return x
```

# LeNet in Pytorch

# Let's walk through an example using PyTorch

[https://pytorch.org/tutorials/beginner/blitz/cifar10\\_tutorial.html](https://pytorch.org/tutorials/beginner/blitz/cifar10_tutorial.html)

# AlexNet





Deng et al. 2009

# AlexNet

# AlexNet

- AlexNet won ImageNet competition in 2012

# AlexNet

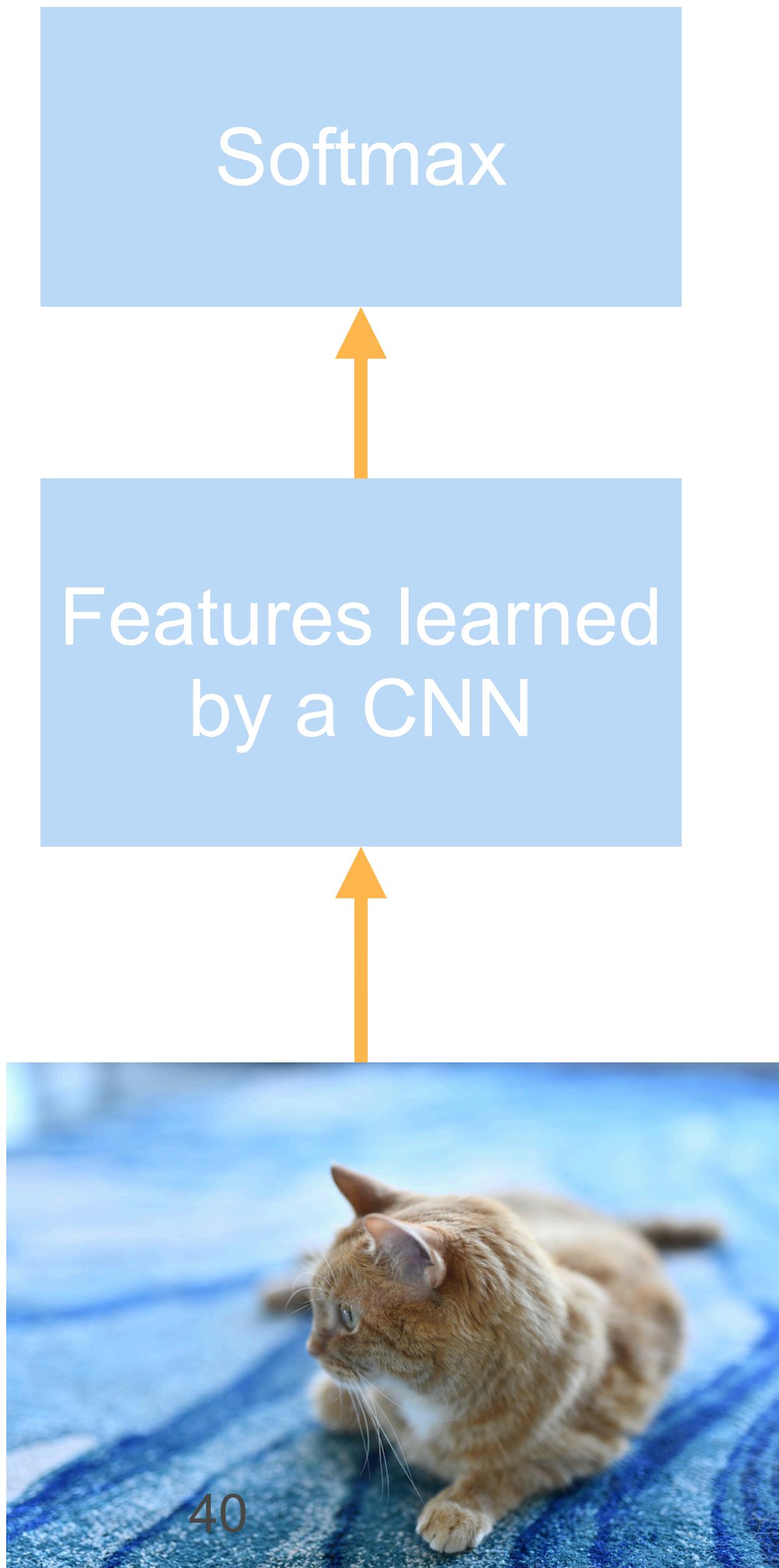
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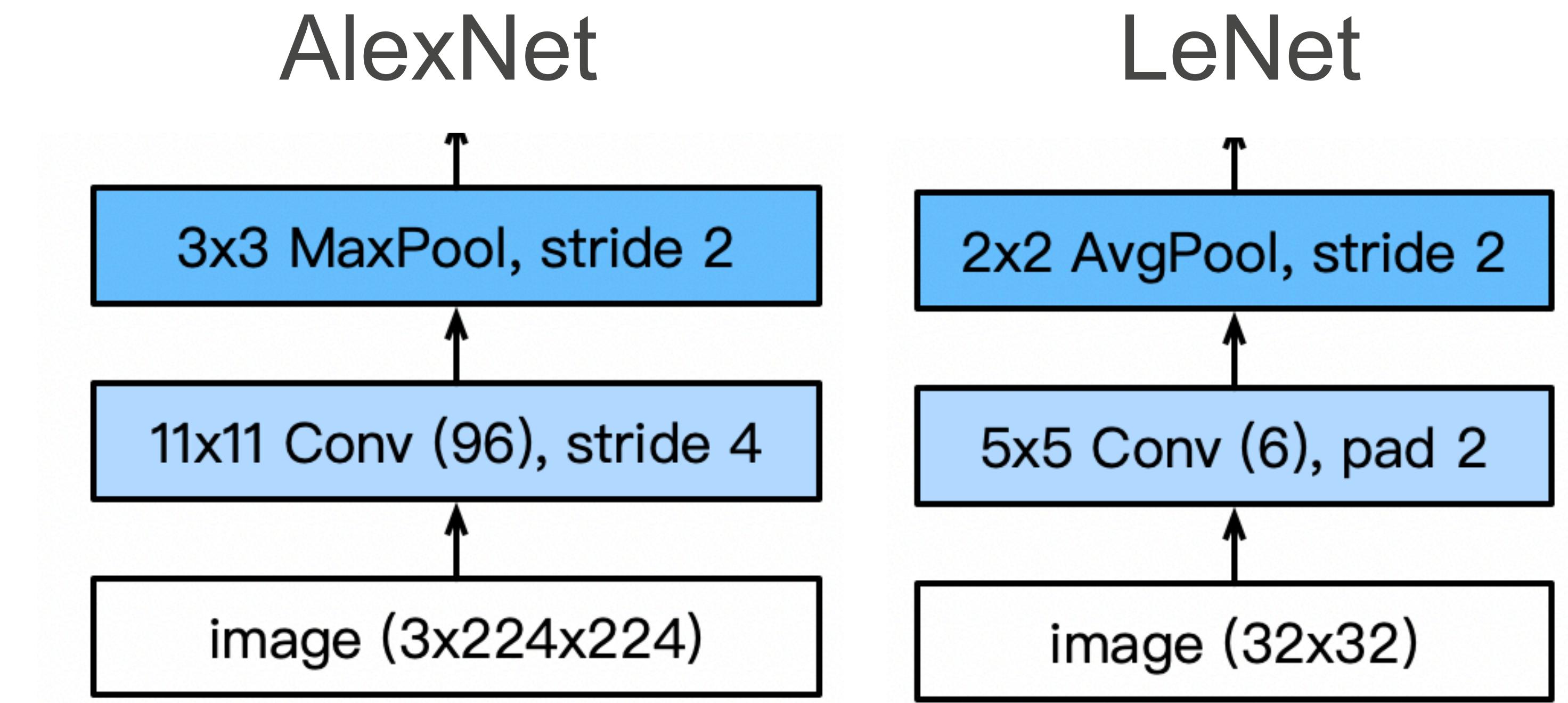
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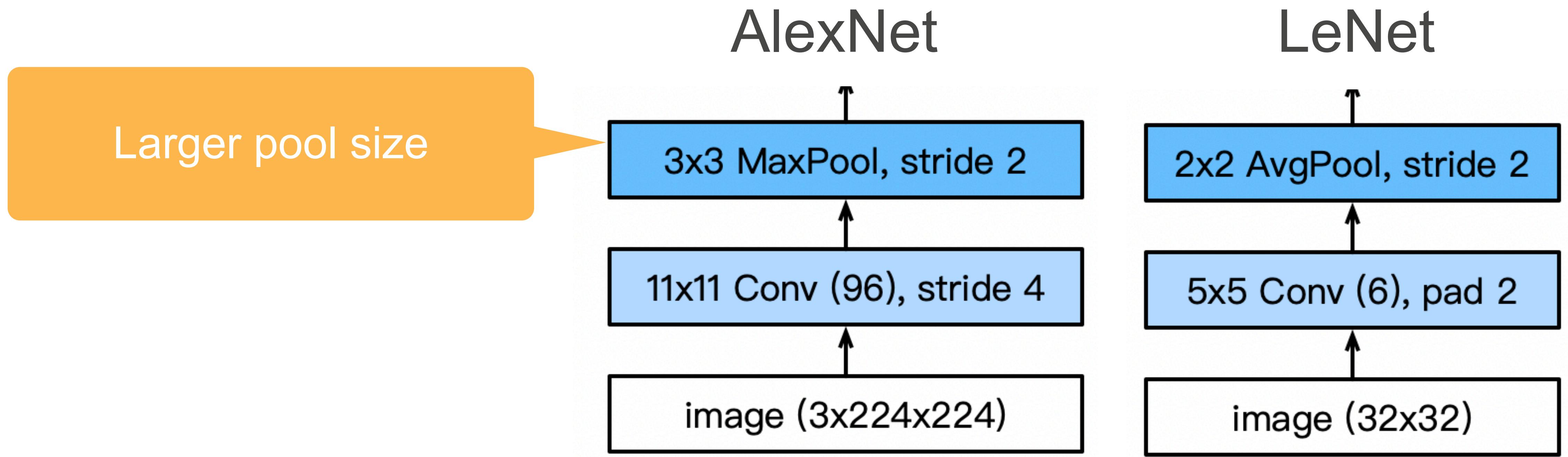
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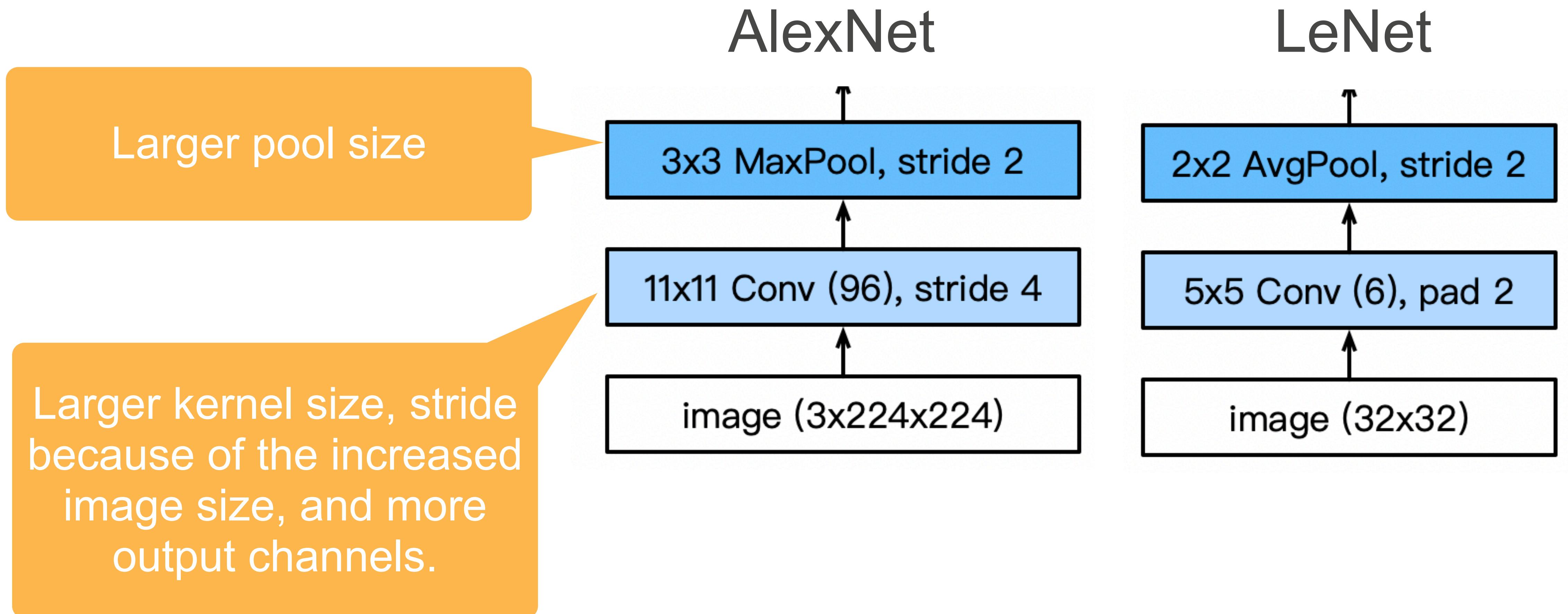
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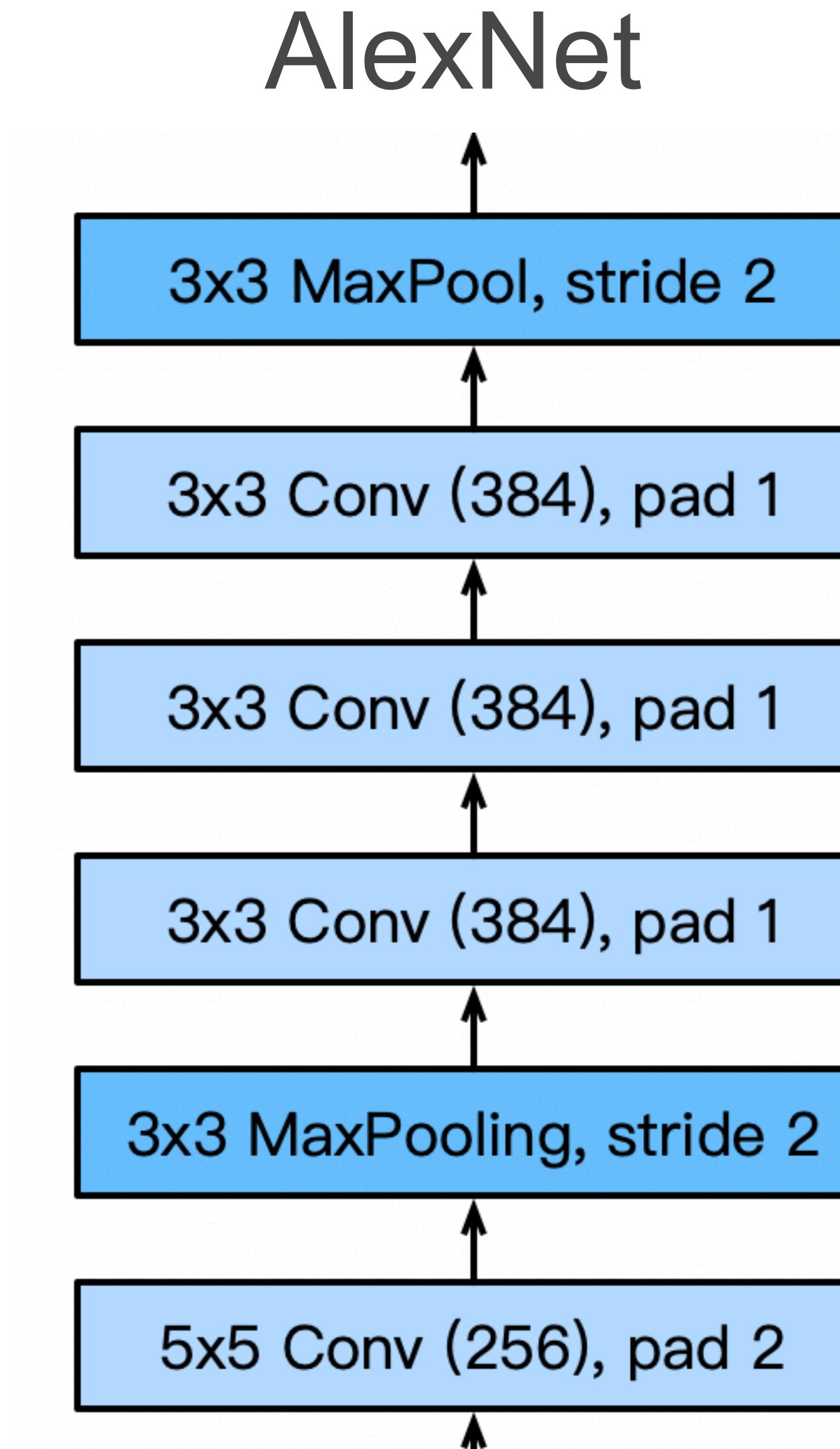
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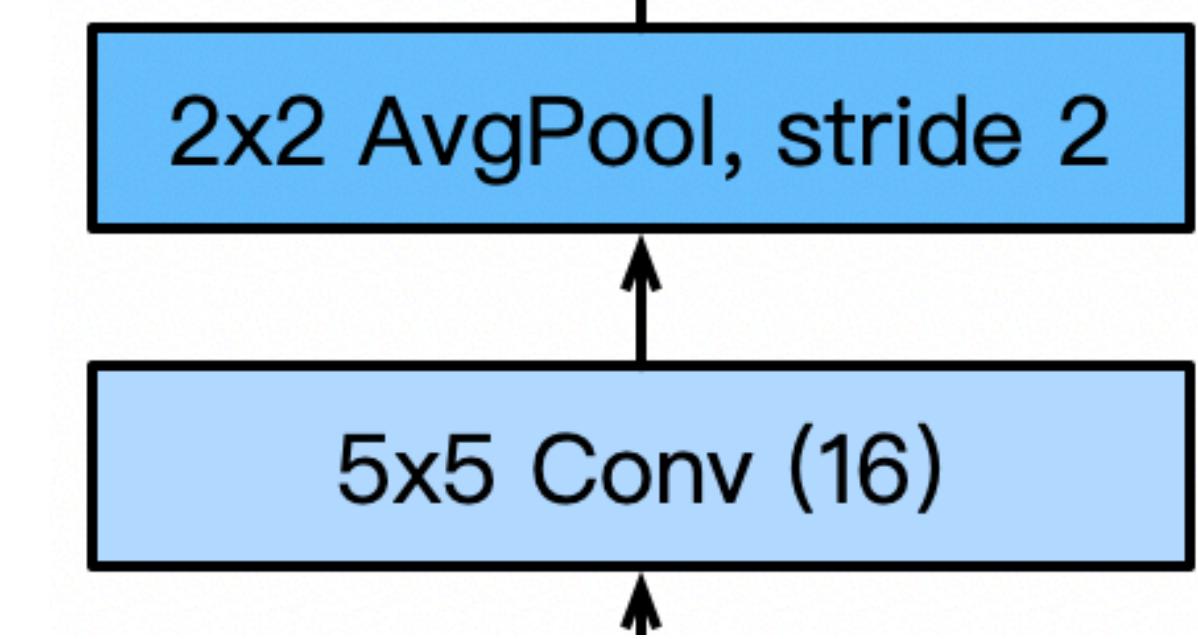
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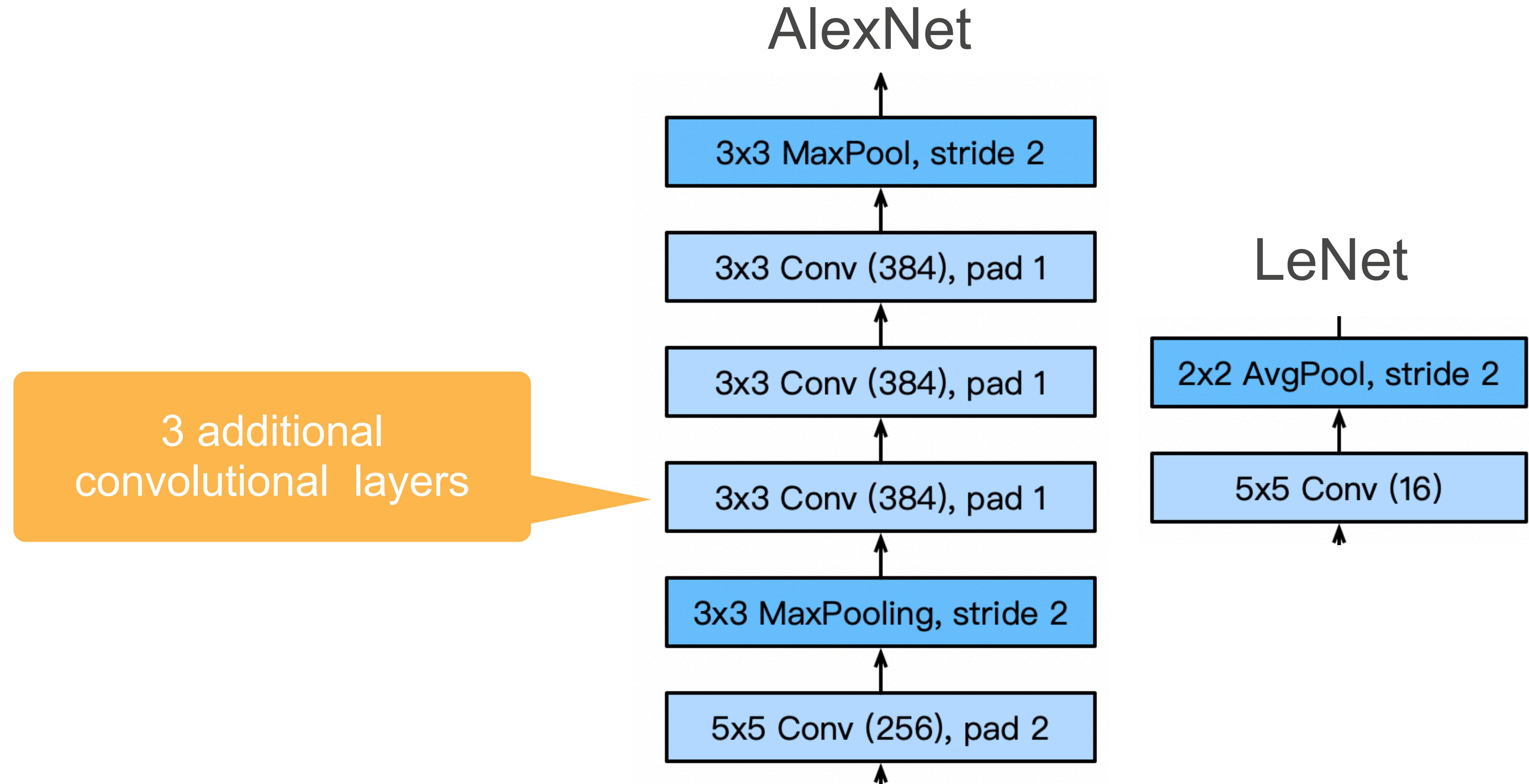
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LeNet

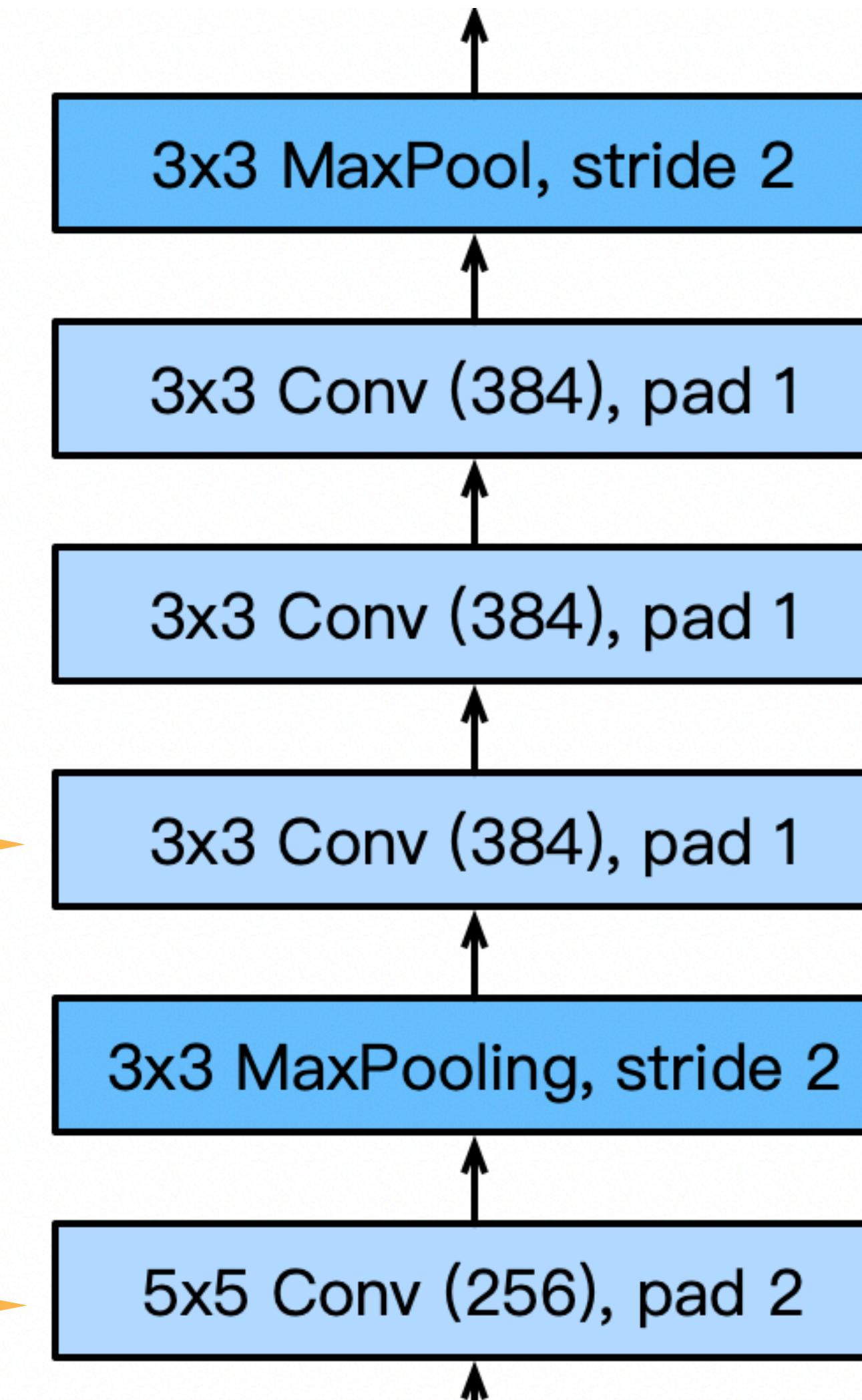


# AlexNet Architecture

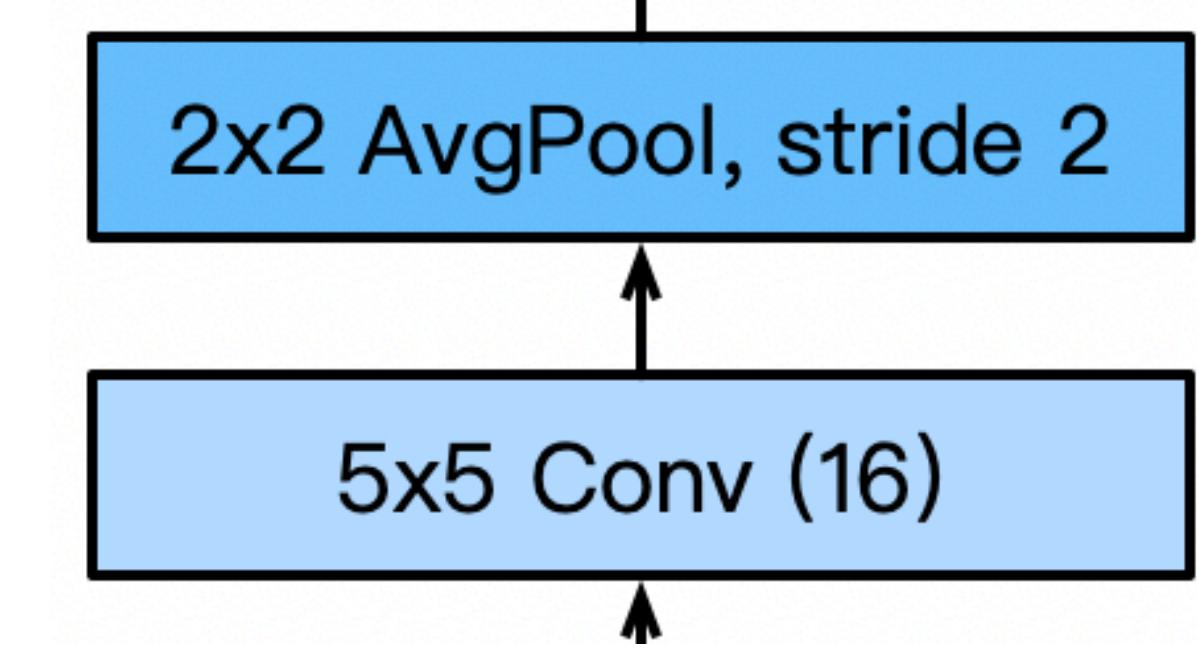


# AlexNet Architecture

AlexNet



LeNet

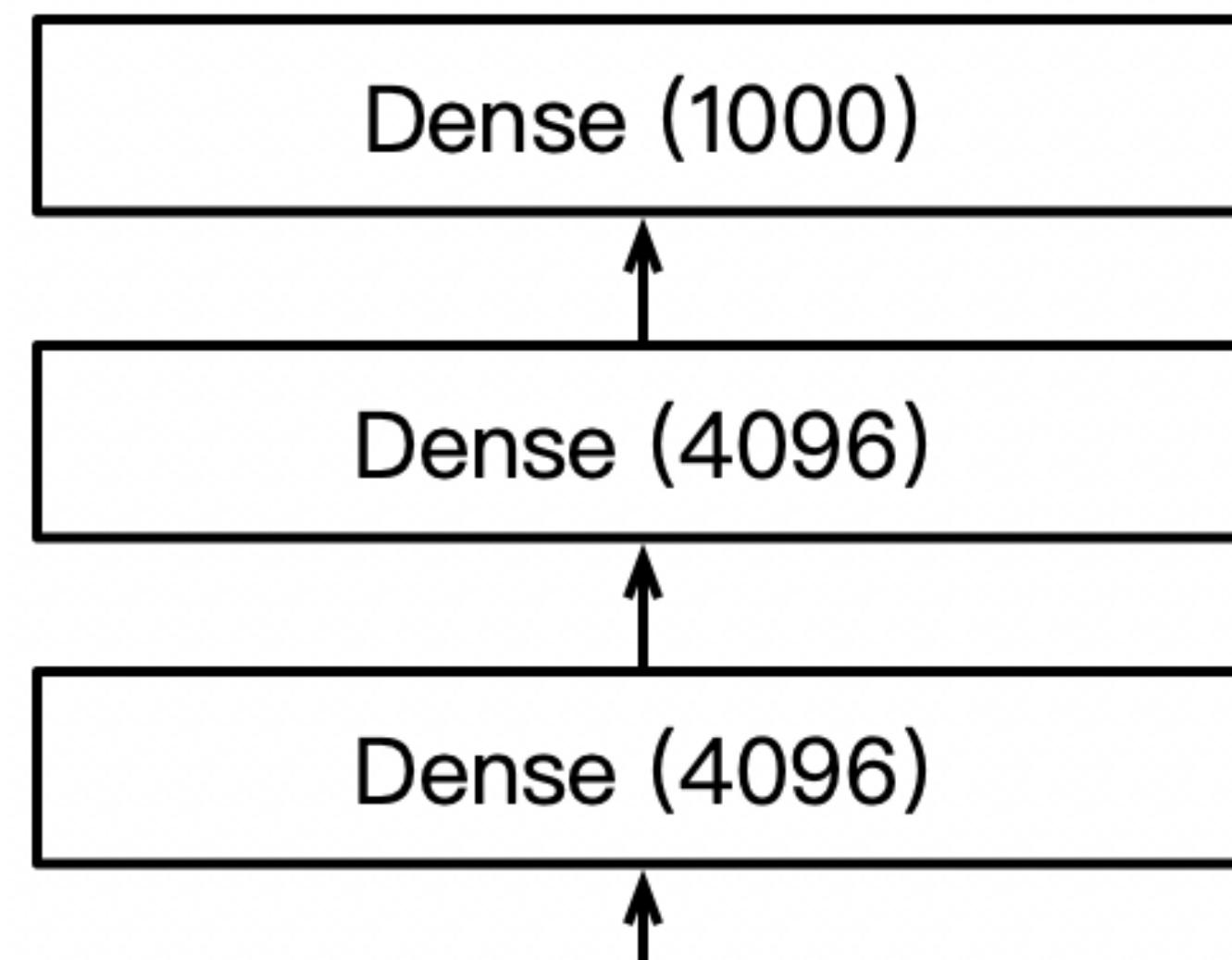


3 additional convolutional layers

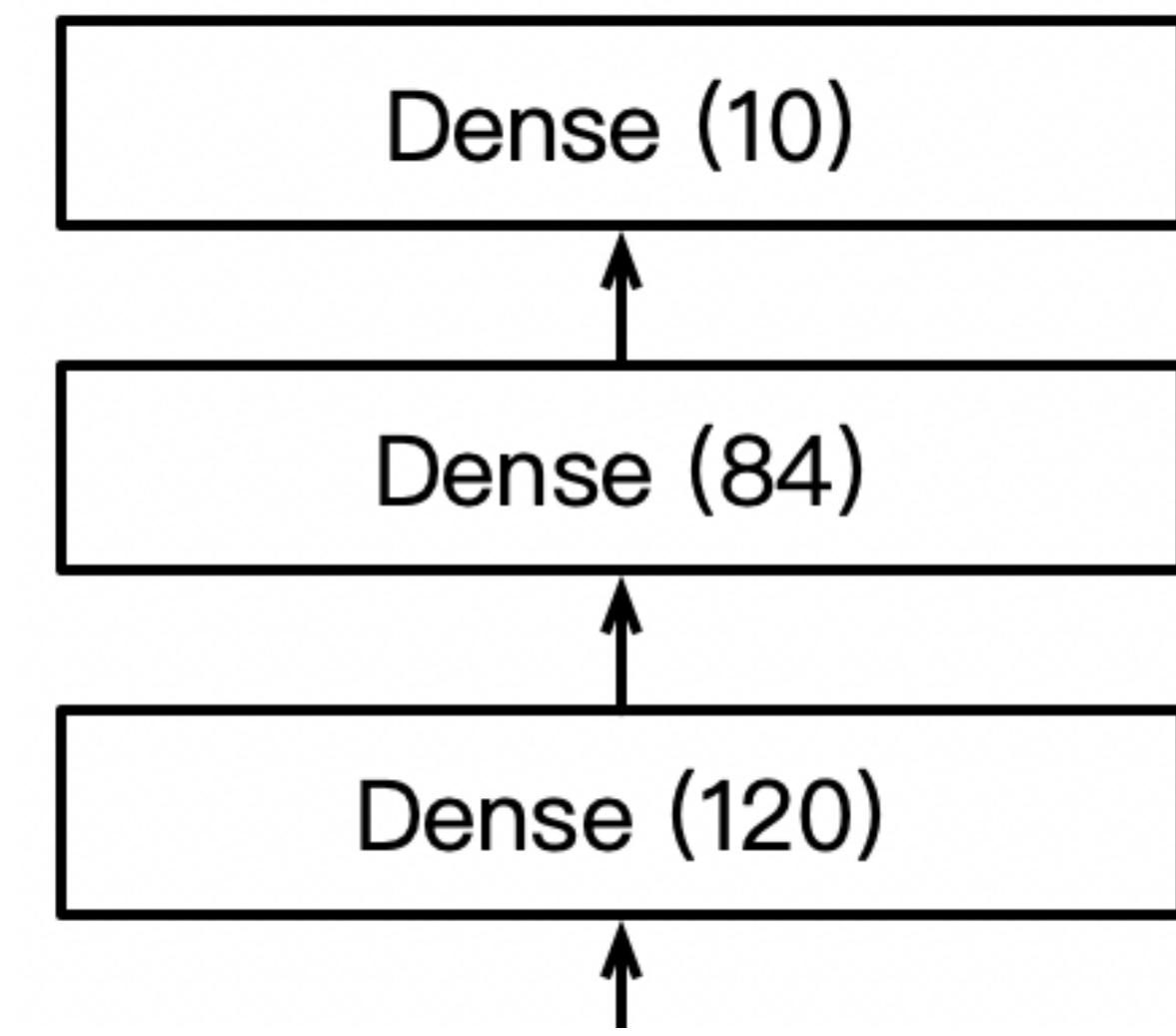
More output channels.

# AlexNet Architecture

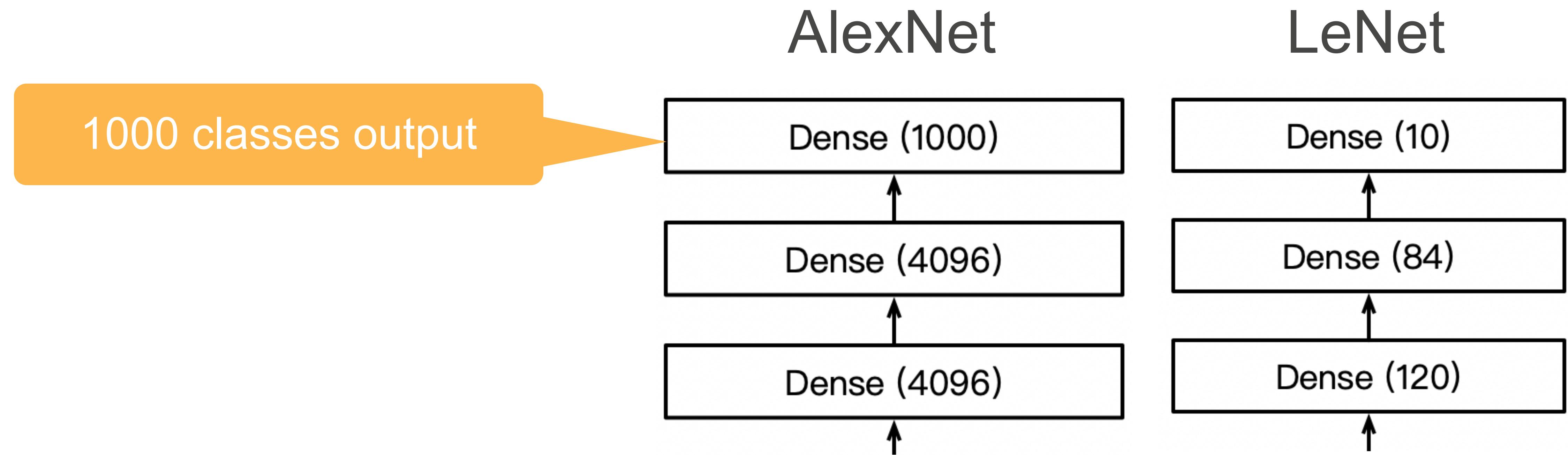
AlexNet



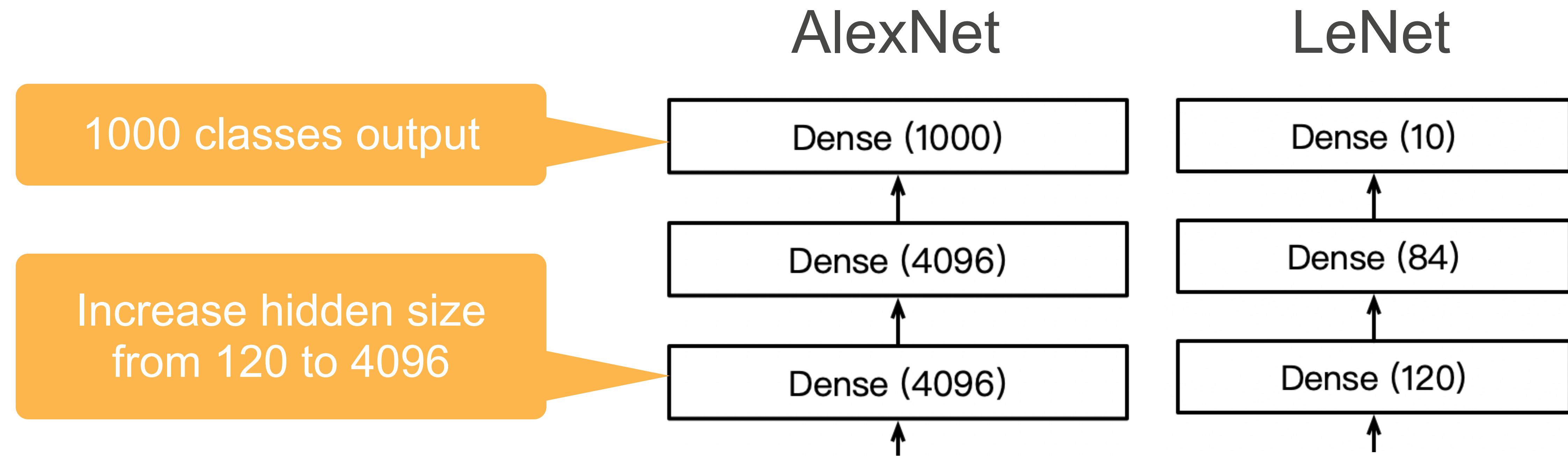
LeNet



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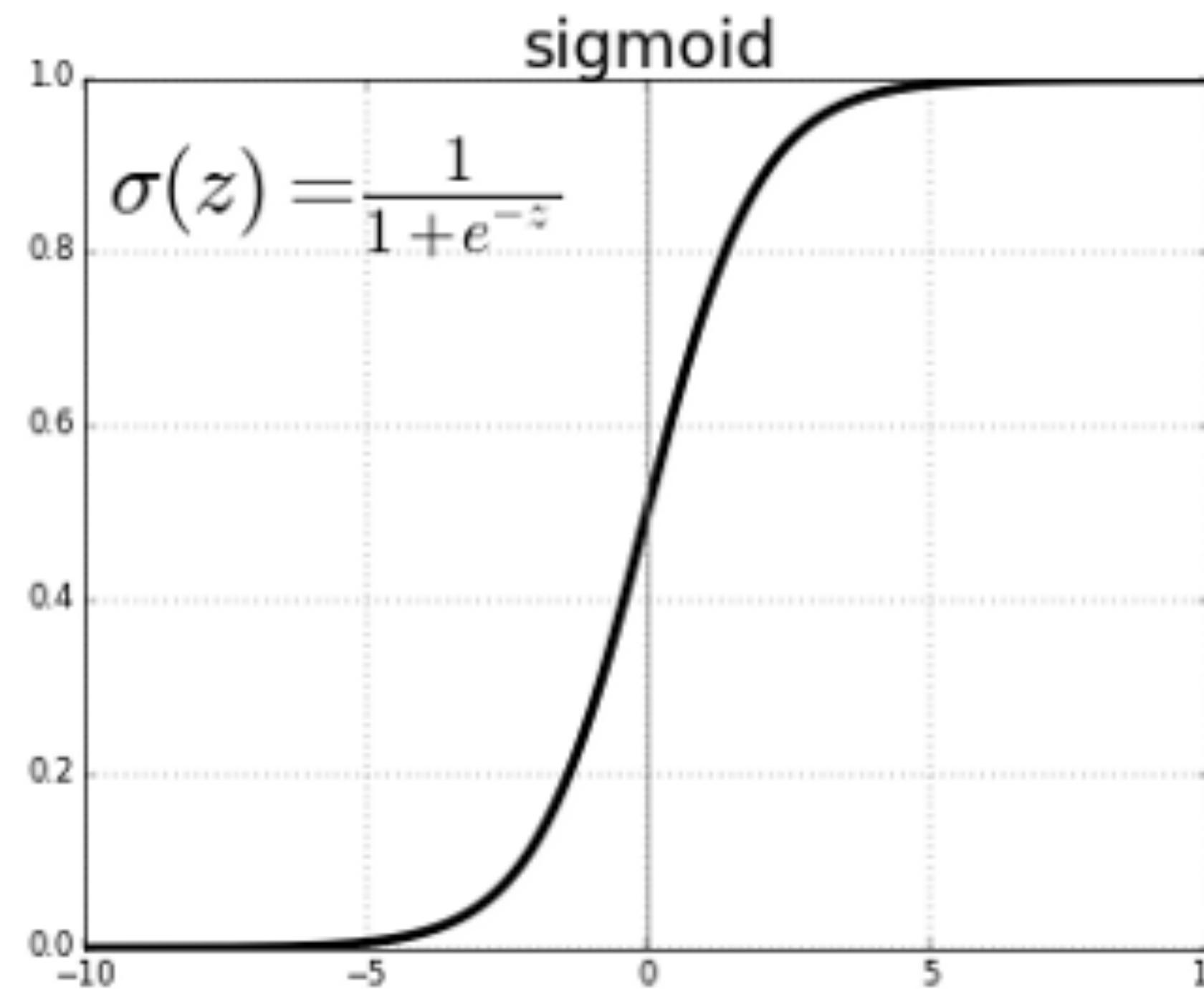


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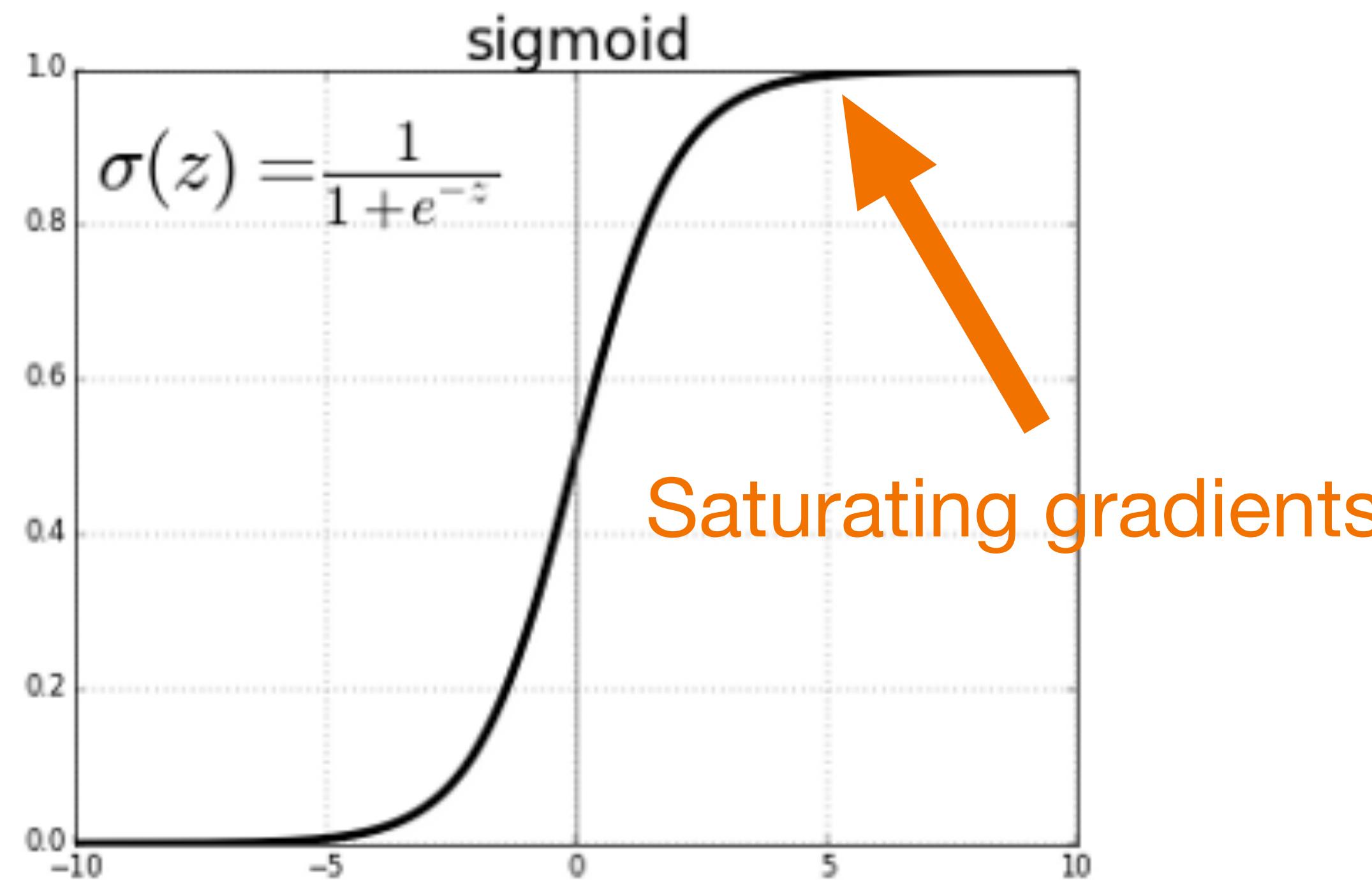
# More Differences...

- Change activation function from sigmoid to ReLu  
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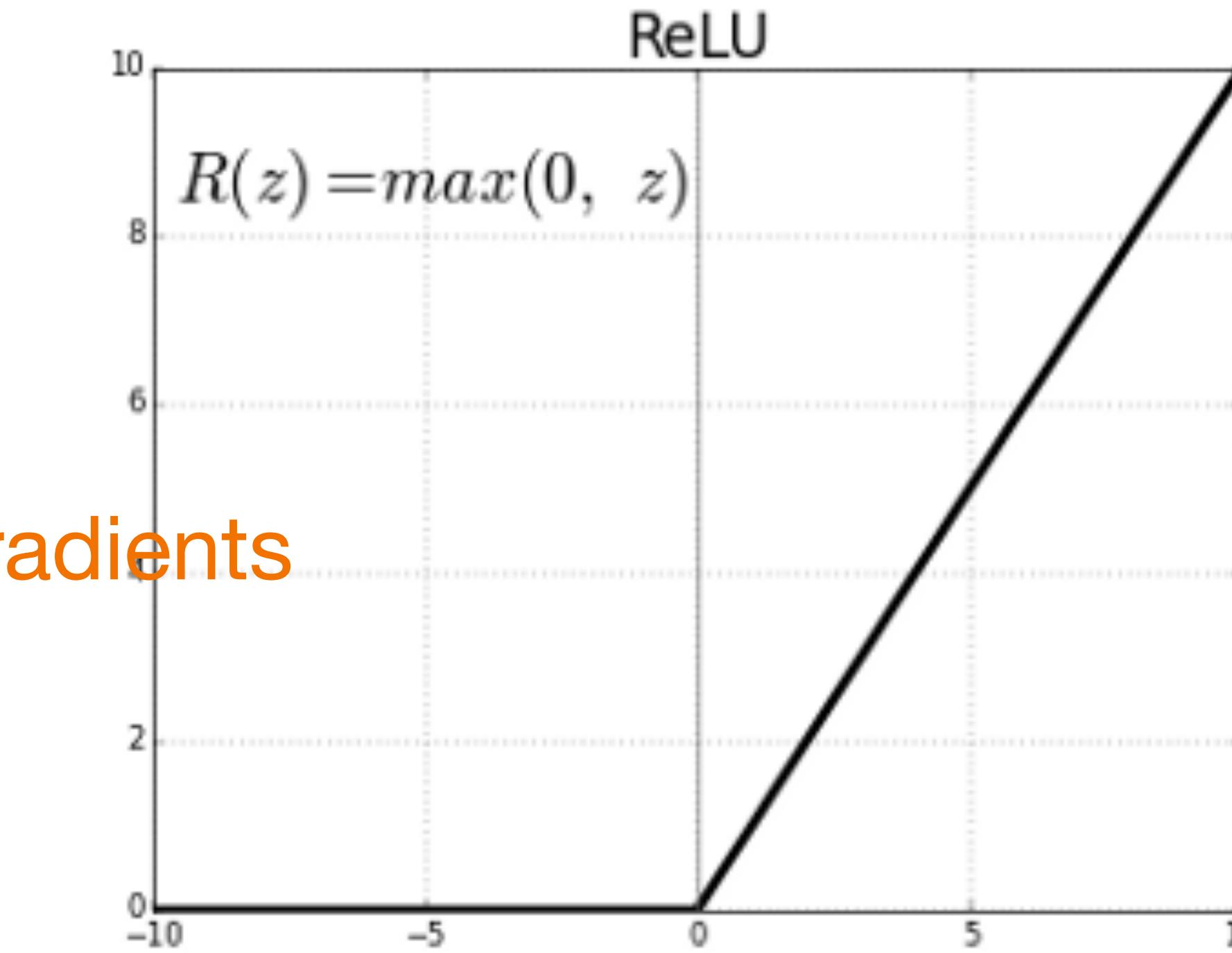
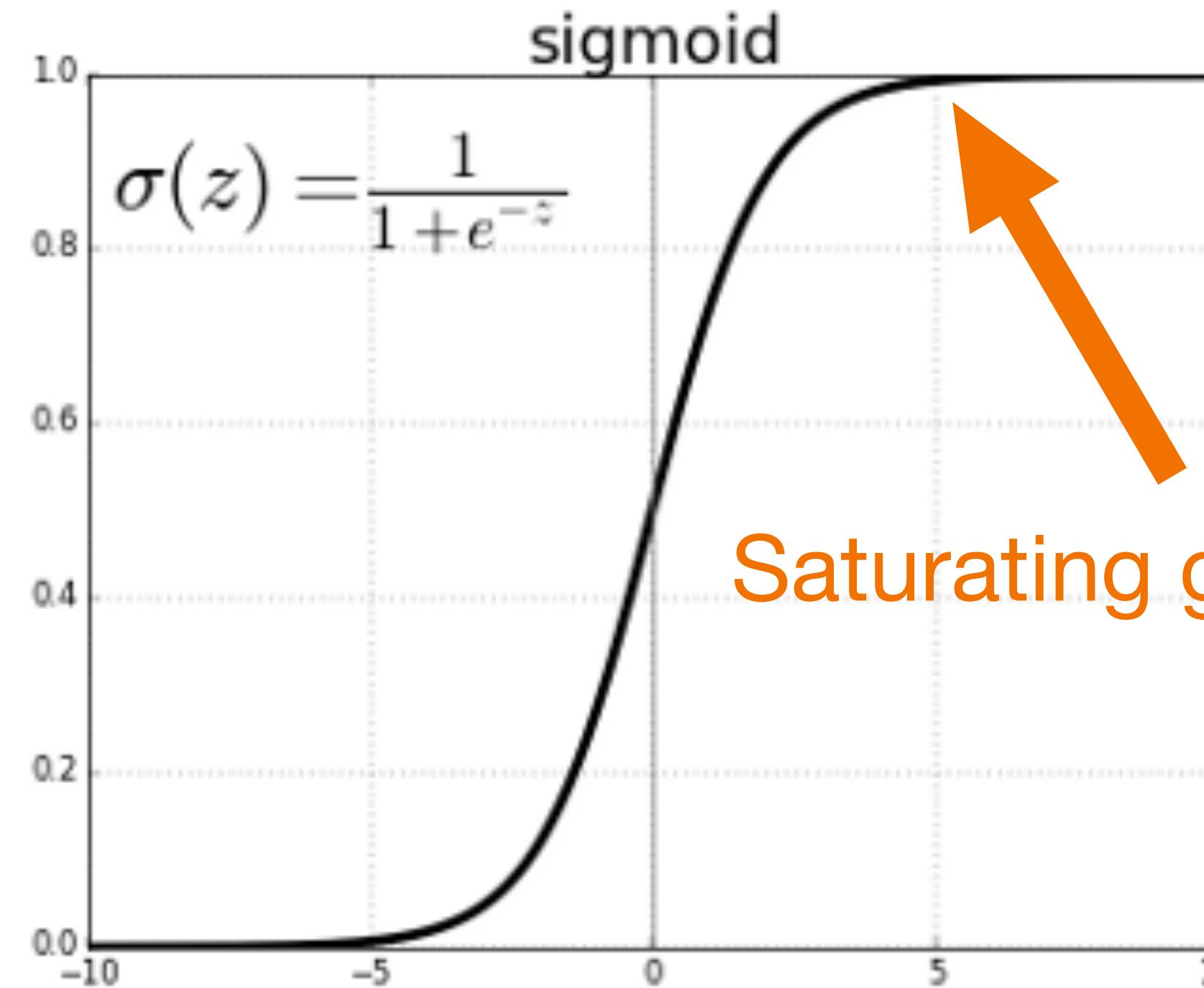
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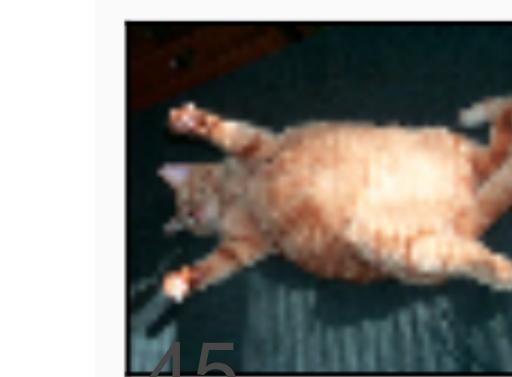
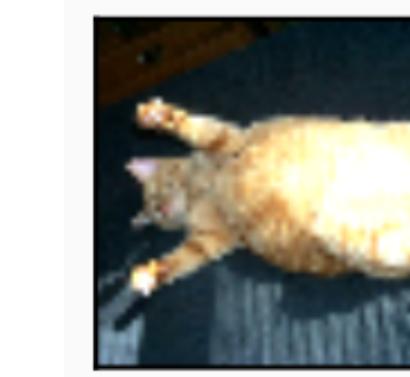
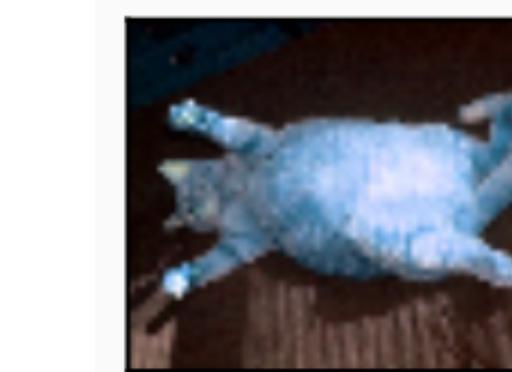
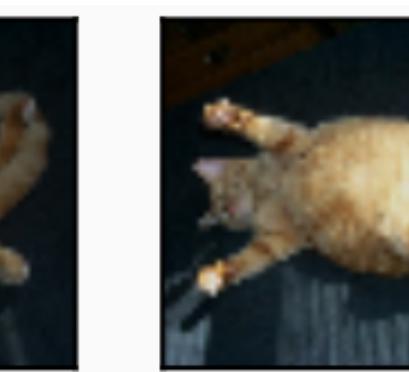
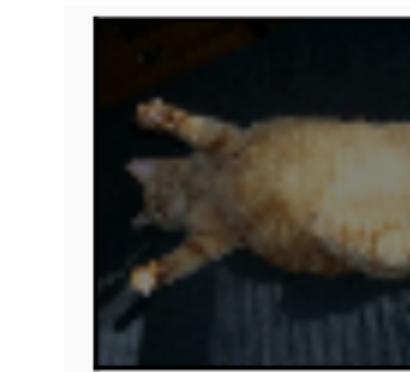
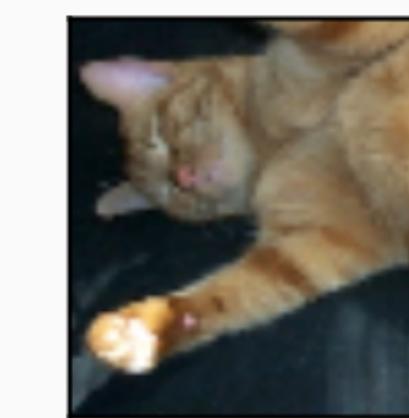
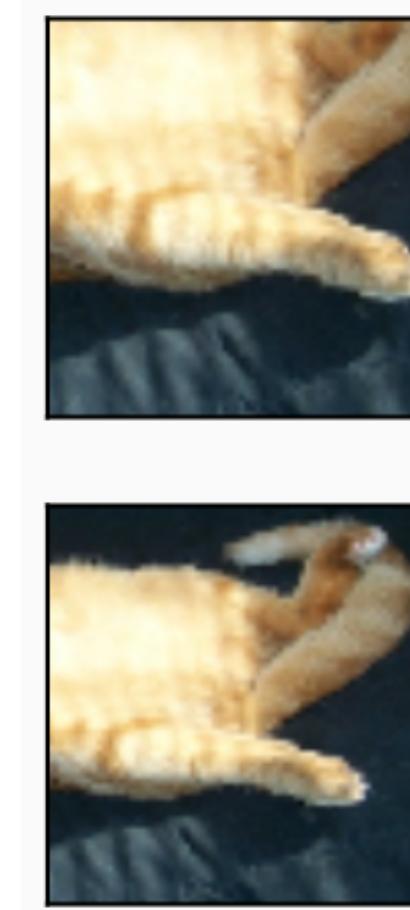
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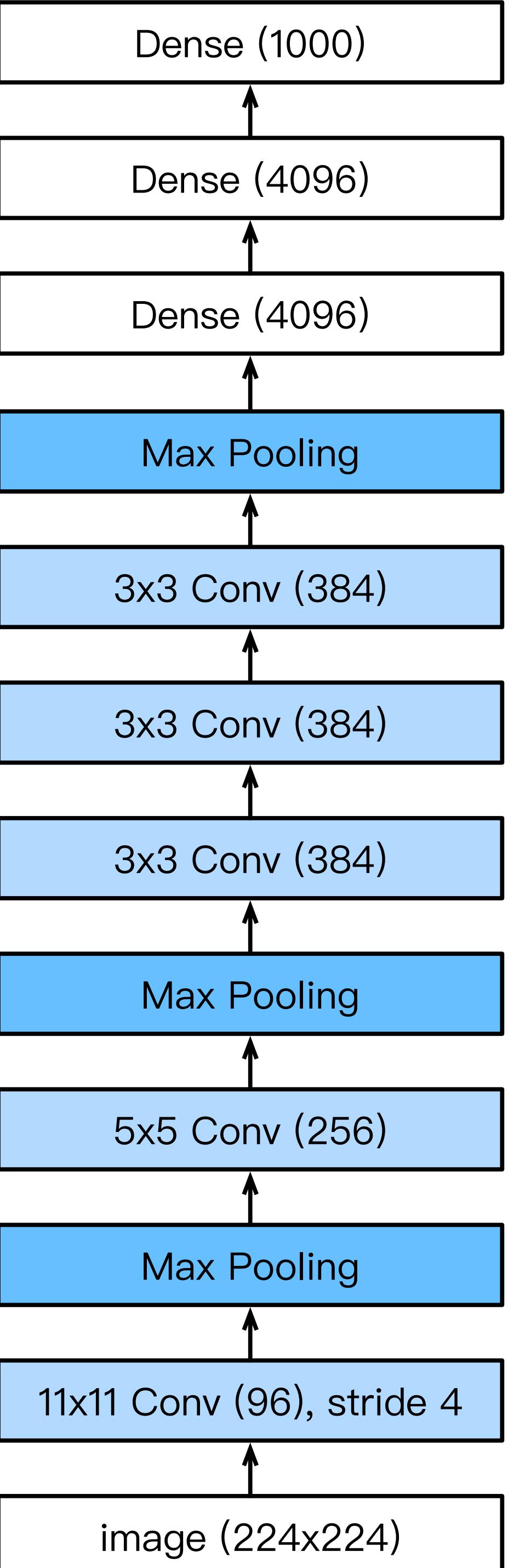
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- Data augmentation



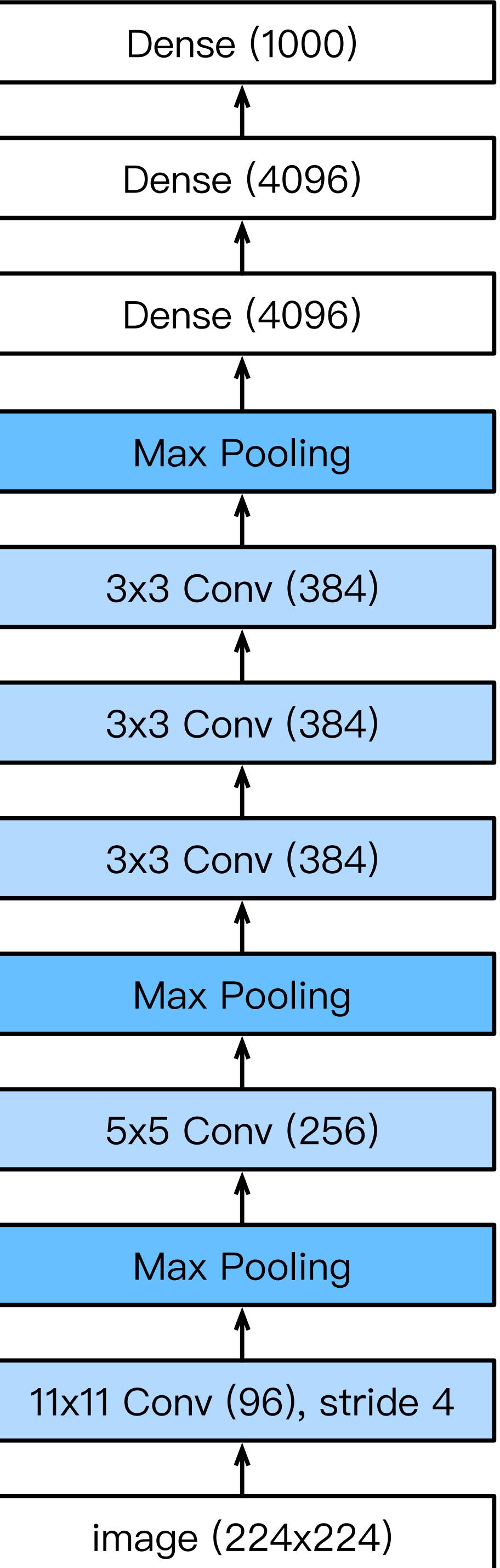
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<b>Conv3-5</b>	3M	
<b>Dense1</b>	26M	0.048M
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<b>Total</b>	46M	0.06M



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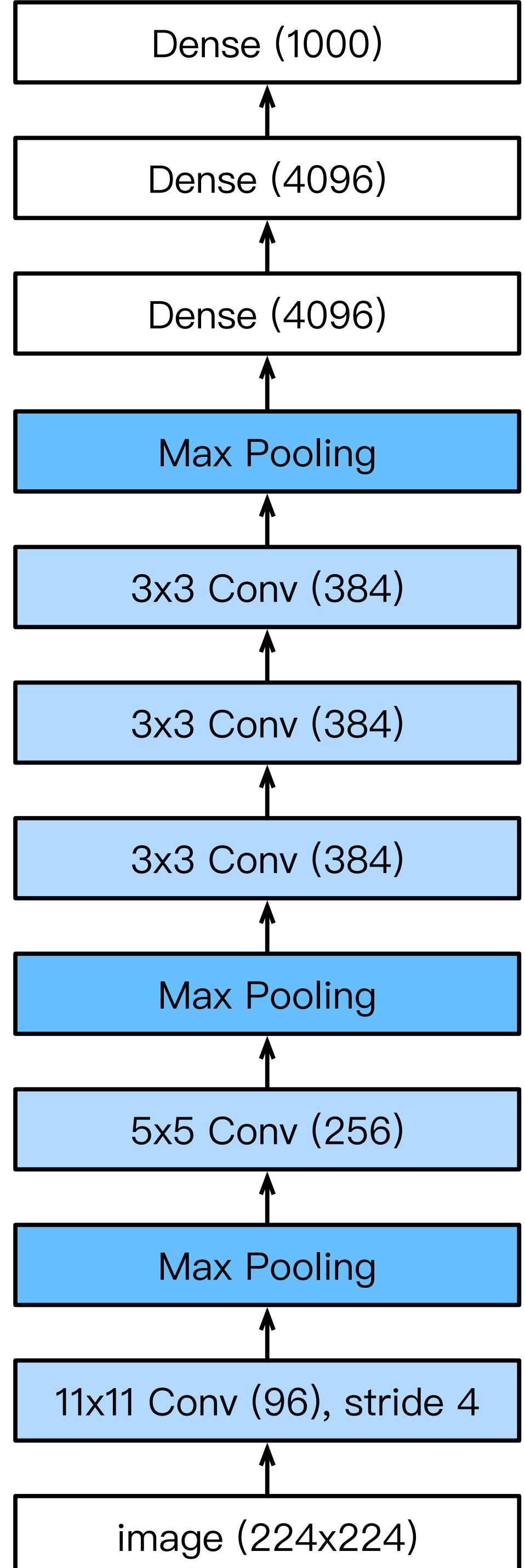
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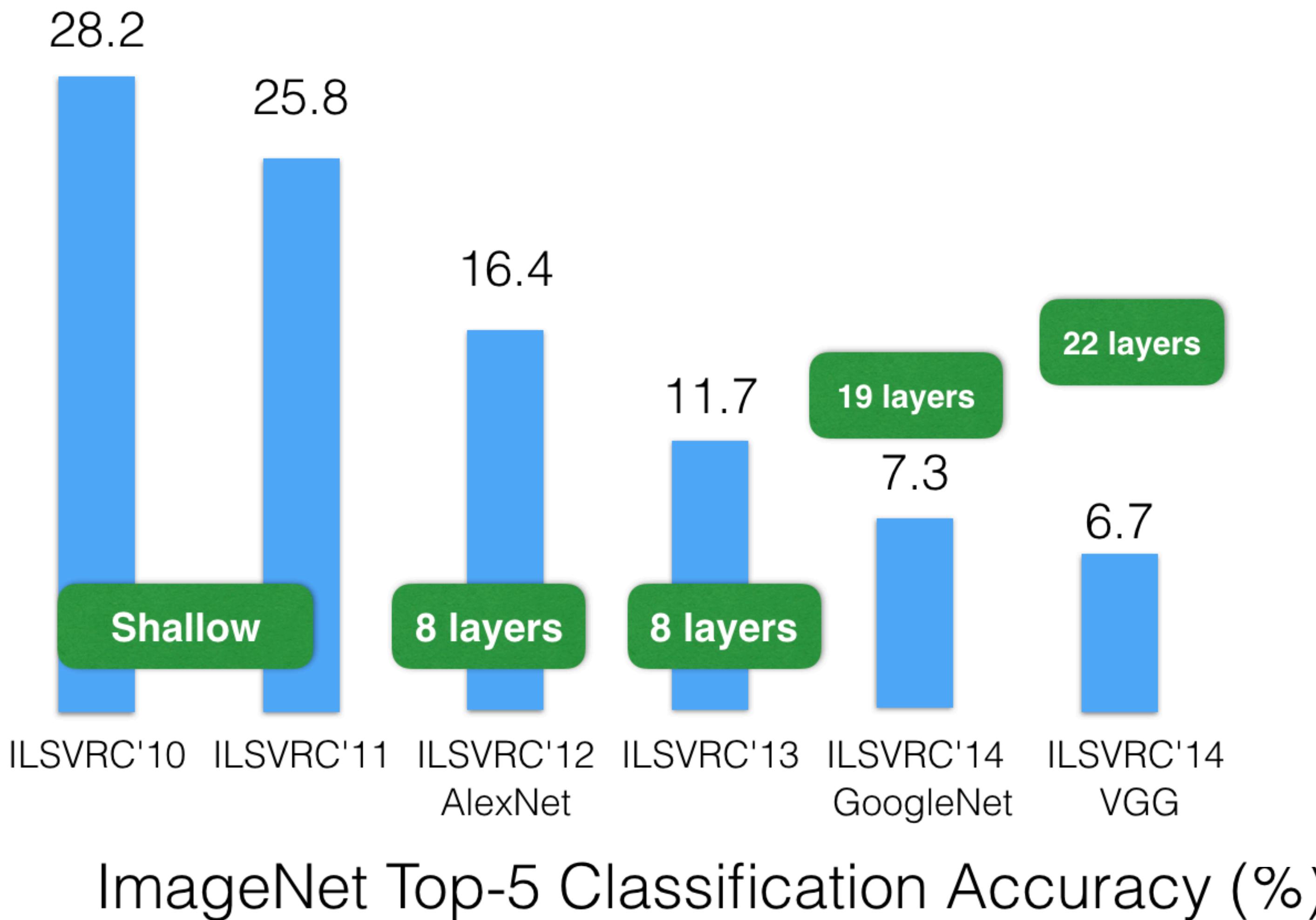
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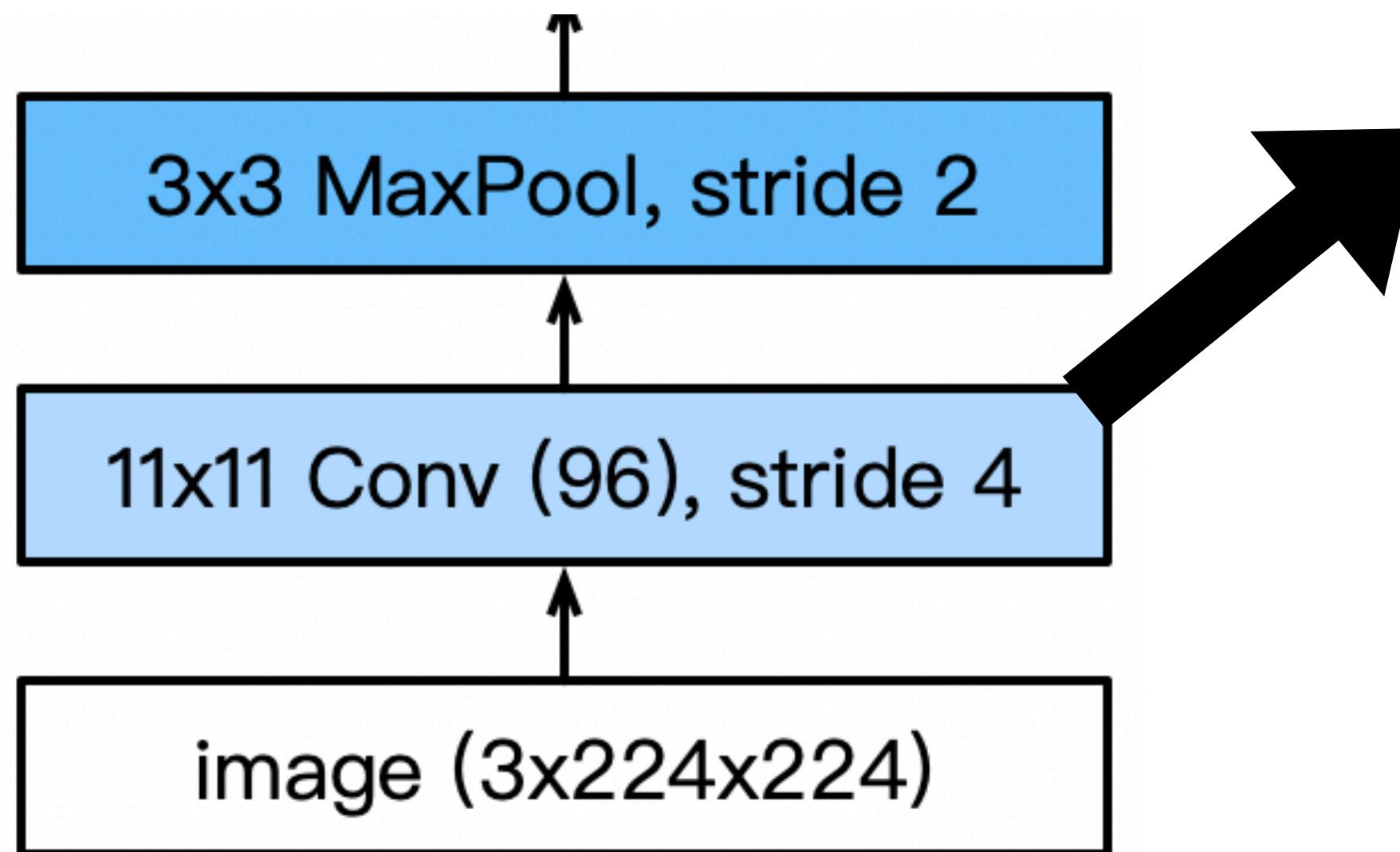
$11 \times 11 \times 3 \times 96 = 35k$



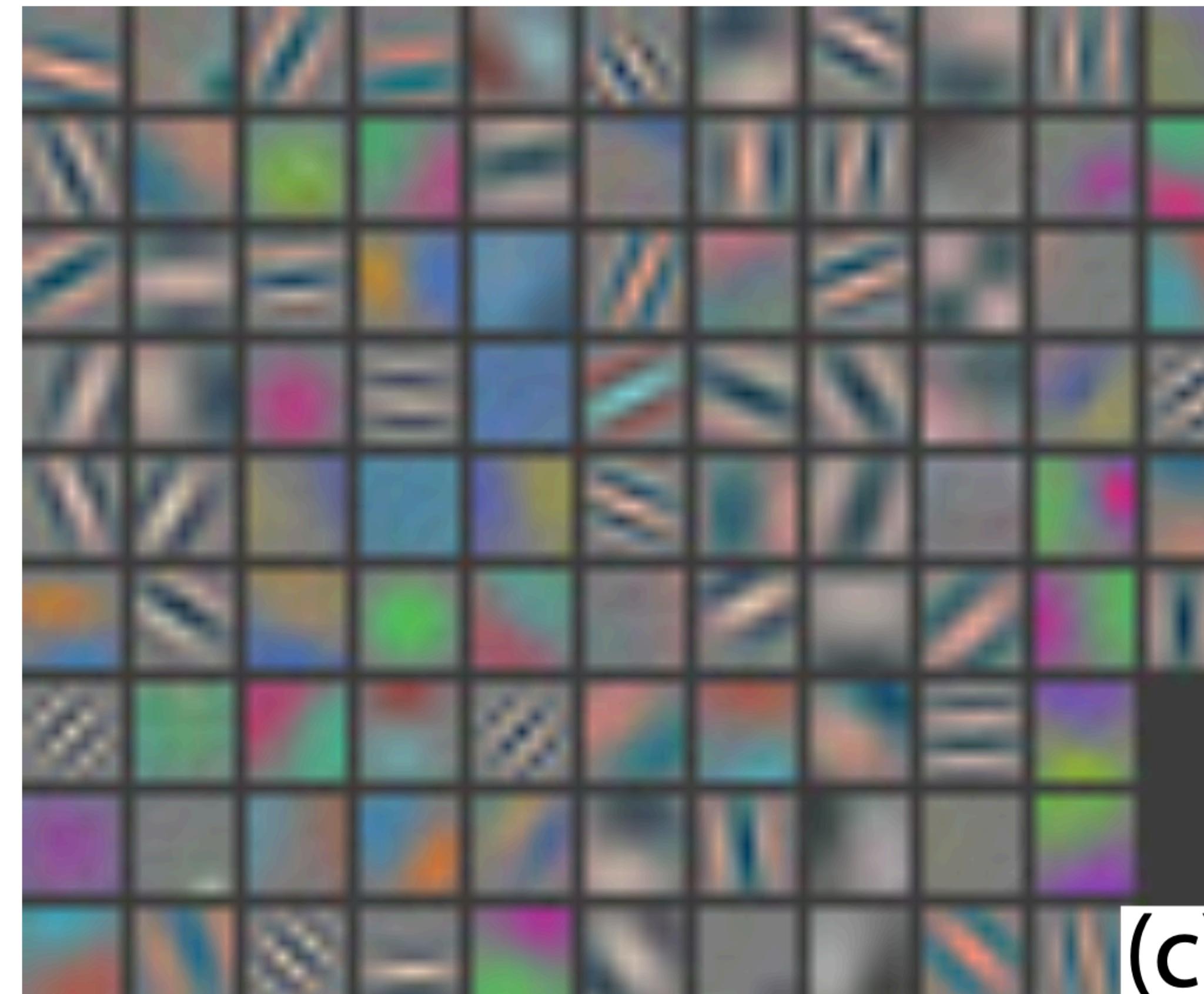




# AlexNet



Each Conv1 kernel is  $3 \times 11 \times 11$ , can be visualized as an RGB patch:



Which of the following are true about AlexNet? Select all that apply.

- A. AlexNet contains 8 conv/fc layers. The first five are convolutional layers.
- B. The last three layers are fully connected layers.
- C. some of the convolutional layers are followed by **max-pooling** (layers).
- D. AlexNet achieved excellent performance in the 2012 ImageNet challenge.

Krizhevsky, A., Sutskever, I., & Hinton, G. E. (2012). Imagenet classification with deep convolutional neural networks. *Advances in neural information processing systems* (pp. 1097–1105).

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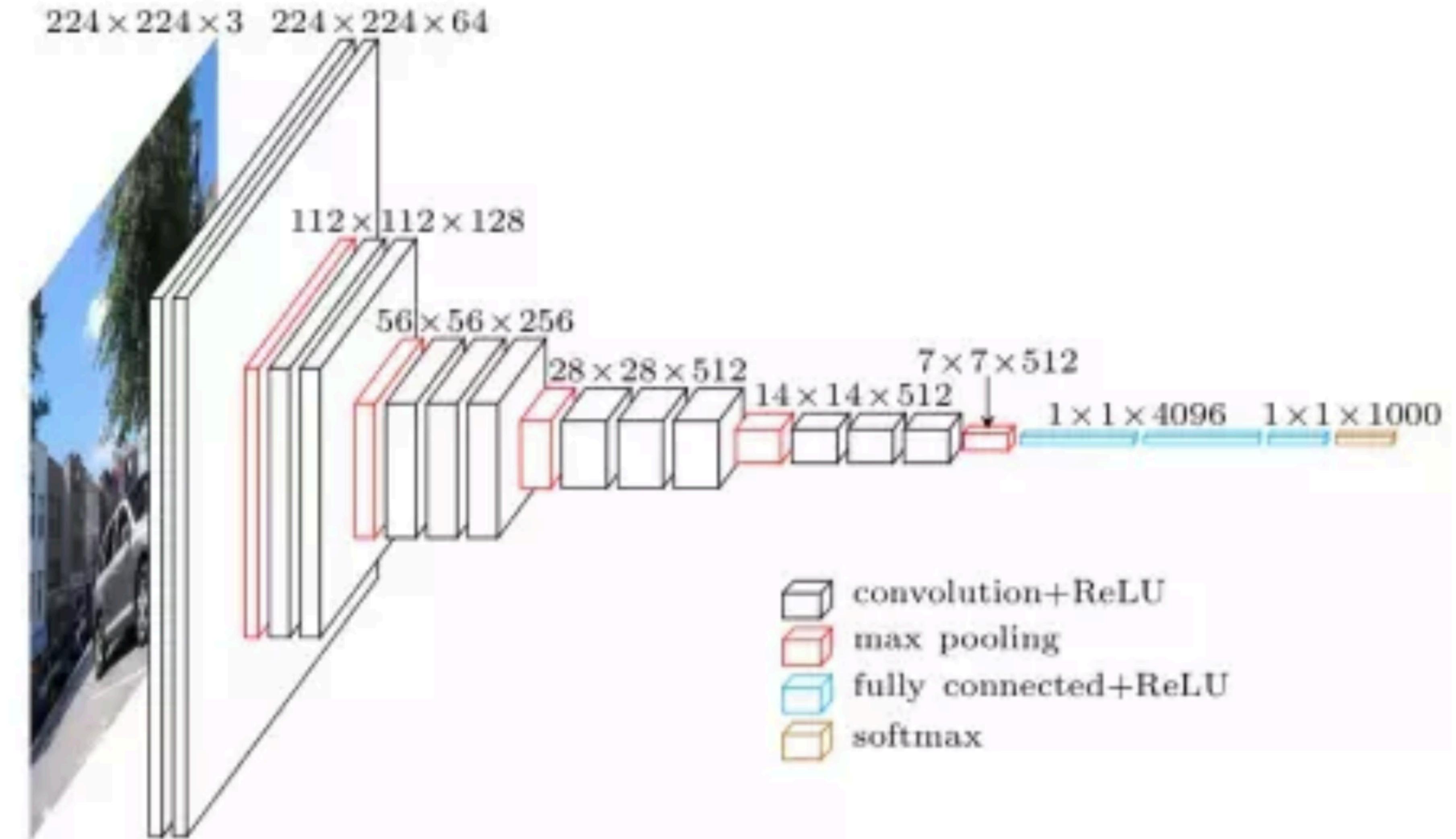
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All options are true!

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# VGG



VGG Block: Multiple convolution layers followed by pooling.

# Progress

- LeNet (1995)
  - 2 convolution + pooling layers
  - 2 hidden dense layers
- AlexNet
  - Bigger and deeper LeNet
  - ReLu, preprocessing
- VGG
  - Bigger and deeper AlexNet (repeated VGG blocks)

Which of the following statement is True for the success of deep models?

- Better design of the neural networks
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- All of the above

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- Overviewed the evolution of deeper convolutional networks

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  - Graph neural networks: take graph data as input.
  - Transformers: take sequences as input and learn what parts of input to pay attention to.



## Acknowledgement:

Some of the slides in these lectures have been adapted/borrowed from materials developed by Yin Li (<https://happyharrycn.github.io/CS540-Fall20/schedule/>), Alex Smola and Mu Li:

<https://courses.d2l.ai/berkeley-stat-157/index.html>