### Part I. From pairwise sequence alignment to multiple sequence alignment

To demonstrate mastery of sequence alignment, you must provide code screenshots, documentation, and test cases with results for a multiple sequence alignment algorithm that works with 2-5 sequences.

### Code

```
from itertools import product
import copy
NUM SEQ = 5
def subtract tuples(a, b):
   if len(a) != len(b):
       raise ValueError("Tuples must have the same length for
subtraction.")
   result = tuple(ai - bi for ai, bi in zip(a, b))
   return result
def add to tuple(a):
   ones tuple = (-1,) * len(a)
   return subtract_tuples(a, ones_tuple)
def get score(*seqs, tup):
   if len(tup) != len(seqs[0]):
       raise ValueError("Tuples must have the same length for score.")
   for i in range(1, len(seqs[0])):
           if seqs[0][i][tup[i]-1] != seqs[0][i-1][tup[i-1]-1]:
               return 0
   return 1
def add seq(*seqs, my array, curr, this tup):
   where dash = subtract tuples(curr, this tup)
   pot seq = copy.deepcopy(my array[this tup][1])
   for i in range(len(where dash)):
       if where dash[i] !=\overline{1}:
           pot seq[i]+="-"
       else:
           pot seq[i] + = seqs[0][i][curr[i] - 1]
   return pot seq
def count dashes(seq1, seq2):
```

```
seq1 dashes = 0
   seq2 dashes = 0
   for i in seq1:
       if i == "-":
           seq1 dashes+=1
   for i in seq2:
       if i == "-":
           seq2 dashes+=1
   if seq1 dashes >= seq2 dashes:
       return 2
   else:
       return 1
def multiple alignment(*sequences):
   my_array = \{\}
   this round = []
   longest = -1
   for seq in sequences:
       if len(seq) > longest:
           longest = len(seq)
   indices = list(product(*[range(len(item) + 1) for item in
sequences]))
   to_fill = list(product(*[range(1, len(item) + 1) for item in
sequences]))
   for i in indices:
       my array[i] = [0, [""] * len(i)]
   for i in indices:
       if 0 in i:
           localmax = max(i, key = lambda k: k)
           for v in range(len(i)):
               if i[v] == 0:
                   my_array[i][1][v] = "".join("-" for i in
range(localmax))
               else:
                   my_array[i][1][v] = sequences[v][:localmax]
   options = list(product(*[range(2) for in sequences]))
   remove = tuple(0 for _ in range(len(sequences)))
   options.remove(remove)
   for i in range(len(to_fill)):
```

```
for opt in options:
           this round.append(subtract tuples(to fill[i], opt))
       my arr index = add to tuple(this round[-1])
       this round scores = {}
       this_round_scores[this_round[-1]] = [my_array[this_round[-1]]
[0] +
                                            get score(sequences,
tup=my arr index),
                                            add seq(sequences,
my array=my array, curr=my arr index, this tup=this round[-1])]
       for this score in this round[:-1]:
           this round scores[this score] = [my array[this score][0],
add seq(sequences, my array=my array, curr=my arr index,
this tup=this score)]
       \max score = -1
       max key = None
       for key, value in this round scores.items():
           if value[0] > max score:
               max score = value[0]
               \max key = key
           elif value[0] == max score:
               if longest > NUM SEQ:
                  max_key = key
       my array[my arr index] = this round scores[max key]
       this round = []
   return my_array[my_arr_index][0], my_array[my_arr_index][1]
```

## Explanation

The code above is a multiple sequence alignment algorithm that, given 2-5 DNA sequences, it will return the alignment score and aligned sequences.

The algorithm leverages the itertools python library, as well as a number of helper functions, named: subtract\_tuples, add\_to\_tuple, get\_score, add\_seq, and count\_dashes.

### But, how does it work?

The alignment algorithm begins by creating a 1D dictionary ("my\_array") that represents an nD array (given that n is the number of sequences passed to the function). It does so by generating the indices for this nD array ("indices"), using the itertools library to create a cartesian product of the lengths of each inputted sequence + 1 (to account for the "-"). This "indices" list is the keys for the 1D dictionary. Then, the nD array values are a list pair of score and alignment sequences; where a sequence is aligned to dashes ("-") the alignment scores are initialized to 0 and the alignments for these cases are initialized as well.

The algorithm uses itertools to create a list of indices that need to be filled in the matrix ("to\_fill"); this excludes the already initialized values that are aligned with dash sequences (for example, "-", "--", "---"...). Another list is also created that includes the list of options for the first empty index in the array ("options"), depending on the number of inputs given (for example, if the first empty index for a 2 sequence alignment is (1,1) then the list of options are (0,0), (1,0), (0,1)).

Next, the algorithm iterates through the list of indices that need to be filled ("to\_fill"). It uses the "options" list and the subtract\_tuples helper function to generate a list of options for that particular index that needs to be filled. It generates the scores for each option using the option, the get\_score helper function, and the add\_seq helper function, places them in a dictionary, with their respective potential new alignment. Lastly, it iterates through this dictionary of scores, and chooses the highest score for that particular index and places it into that index in the nD array ("my\_array"). It continues preforming this algorithm until the entire array is filled with scores and alignments.

Finally, it returns the value for the last spot in the nD array, which includes the scores and the aligned sequences for the respective inputs.

## **Testing**

My initial testing was simply running the algorithm on a variety of different inputs and seeing if the scores and alignments retrieved were expected. Some of the inputs I used are below:

#### **Proof it works**

Homologous Sequences. This should have a score of 3 since all 3 match.

```
multiple_alignment("ACG", "ACG", "ACG")
(3, ['ACG', 'ACG'])
```

Sequences with a mutation. This should be a score of 2, since the score above was a 3, and now one nucleotide is different.

```
multiple_alignment("ACG", "ATG", "AGG")
(2, ['ACG', 'ATG', 'AGG'])
```

Sequences with different lengths (should add a dash in the shorter sequences)

```
multiple_alignment("ACGG", "ATG", "AGG")
(2, ['ACGG', 'A-TG', 'A-GG'])
```

A long sequence and short ones. This should add dashes in the shorter sequences

```
multiple_alignment("ACGG", "A", "A")
```

```
(1, ['ACGG', 'A---', 'A---'])
```

3 long homologous sequences. Should be a score of 12 because the sequences are 12 nucleotides long.

```
multiple_alignment("ACTGACTGACTG", "ACTGACTGACTG", "ACTGACTGACTG")
(12, ['ACTGACTGACTG', 'ACTGACTGACTG'])
```

Long differing sequences

```
multiple_alignment("ATCGATCGATCGATCG", "ATGGGCTCGTAGGGCTCGTA",
"ATGTA")

(5,
  ['ATCG--AT-CGATCGATCG',
  'AT-GGGCTCGTA--GGGCTCGTA',
  'AT-G---T---A------'])
```

5 inputs, same length with matching two first nucleotides. Should have a score of 2.

```
multiple_alignment("ATC", "ATG", "ATG", "ATG", "ATC")
(2, ['ATC', 'ATG', 'ATG', 'ATC'])
```

5 inputs, differing lengths. Should add dashes in the shorter sequences.

```
multiple_alignment("ATGGGG", "ATGGG", "ATGGG", "ATGGG")
(2, ['ATGGGG', 'AT-GGG', 'AT-GGG', 'AT-GGG'])
```

2 sequences, one nucleotide difference. Should have a score of 6 because 6 matching nucleotides and 1 differing one.

```
multiple_alignment("ATGATGA", "ATGATGC")
(6, ['ATGATG-A', 'ATGATGC-'])
```

# Part II. From pairwise sequence alignment to multiple sequence alignment

Now extend your pairwise alignment algorithm to support local alignment. Modify your lab algorithm into the smith-waterman algorithm for local sequence alignment.

## Local Alignment Code

```
import pandas as pd
import numpy as np
def local align(s1,s2):
    scores = pd.DataFrame(index=["-"]+[s1[:i+1] for i in
range(len(s1))],columns=["-"]+[s2[:i+1] for i in range(len(s2))])
    aligned = pd.DataFrame(index=["-"]+[s1[:i+1] for i in
range(len(s1))],columns=["-"]+[s2[:i+1] for i in range(len(s2))])
    pointers = pd.DataFrame(index=["-"]+[s1[:i+1] for i in
range(len(s1))], columns=["-"]+[s2[:i+1] for i in <math>range(len(s2))])
    for s2 part in scores.columns:
        scores.loc["-",s2_part] = 0
        pointers.loc["-",s2_part] = None
        if s2_part == "-":
            aligned.loc["-","-"] = ("","")
        else:
            aligned.loc["-",s2 part] = ("".join(["-" for i in
range(len(s2 part))]),s2 part)
    for s1 part in scores.index:
        scores.loc[s1 part,"-"] = 0
        pointers.loc[s1 part,"-"] = None
        if s1 part == "-":
            aligned.loc["-","-"] = ("","")
        else:
            aligned.loc[s1_part,"-"] = (s1_part,"".join(["-" for i in
range(len(s1 part))]))
    mismatch score = -3
    match score = 5
    gap score = -4
    best score = -1
    best spot = (-1, -1)
    nrows,ncols = scores.shape
    for i in range(1, nrows):
        for j in range(1,ncols):
            opt1 s1 = scores.index[i-1]
            opt1 s2 = scores.columns[j-1]
            matching = int(s1[i-1] == s2[i-1])
            score opt1 = scores.loc[opt1 s1, opt1 s2] + (match score
if matching else mismatch_score)
            s1 aligned opt1 = aligned.loc[opt1 s1, opt1 s2][0]+s1[i-1]
            s2 aligned opt1 = aligned.loc[opt1 s1, opt1 s2][\frac{1}{1}]+s2[\frac{1}{1}]
```

```
opt2 s1 = scores.index[i-1]
            opt2 s2 = scores.columns[j]
            score opt2 = scores.loc[opt2 s1, opt2 s2] + gap score
            s1 aligned opt2 = aligned.loc[opt2 s1, opt2 s2][0]+s1[i-1]
            s2 aligned opt2 = aligned.loc[opt2 s1, opt2 s2][1]+"-"
            opt3 s1 = scores.index[i]
            opt3 s2 = scores.columns[j-1]
            score opt3 = scores.loc[opt3 s1, opt3 s2] + gap score
            s1 aligned opt3 = aligned.loc[opt3 s1, opt3 s2][0]+"-"
            s2 aligned opt3 = aligned.loc[opt3 s1, opt3 s2][1]+s2[j-1]
            \max \ score = \max(score \ opt1, score \ opt2, score \ opt3)
            if max score > best score:
                best score = max score
                best spot = i, j
            scores.loc[scores.index[i],scores.columns[j]] = (max score
if max score > 0 else 0)
            if max(score opt1,score opt2,score opt3) == score opt1:
                aligned.loc[scores.index[i],scores.columns[j]] =
(s1_aligned_opt1,s2_aligned opt1)
                pointers.loc[scores.index[i],scores.columns[j]] = (i-
1, j-1)
            elif max(score opt1,score opt2,score opt3) == score opt2:
                aligned.loc[scores.index[i],scores.columns[j]] =
(s1 aligned opt2,s2 aligned opt2)
                pointers.loc[scores.index[i],scores.columns[j]] = (i-
1, j)
            elif max(score opt1,score opt2,score opt3) == score opt3:
                aligned.loc[scores.index[i],scores.columns[j]] =
(s1_aligned_opt3,s2_aligned_opt3)
                pointers.loc[scores.index[i],scores.columns[i]] = (i,
j-1)
    curr = best spot
    best align1 = (aligned.loc[scores.index[curr[0]],
scores.columns[curr[1]]])[0]
    best align2 = (aligned.loc[scores.index[curr[0]],
scores.columns[curr[1]]])[1]
    while(scores.loc[scores.index[curr[0]],scores.columns[curr[1]]]] !=
0):
pointers.loc[scores.index[curr[0]],scores.columns[curr[1]]]
    cutoff 1 = (aligned.loc[scores.index[curr[0]],
scores.columns[curr[1]]])[0]
    cutoff 2 = (aligned.loc[scores.index[curr[0]]],
```

```
scores.columns[curr[1]]])[1]

if best_align1.startswith(cutoff_1):
    best_align1 = best_align1[len(cutoff_1):]

if best_align2.startswith(cutoff_2):
    best_align2 = best_align2[len(cutoff_2):]

return best_score, best_align1, best_align2
```

### Explanation

This code maintained the essence of the pairwise alignment algorithm with a few changes. As it fills up the scoring and aligned matrix, it keeps track of the index with the highest score ("best\_spot"). In addition, I included the addition of another matrix ("pointers"), to track the path for each index (the index associated with the option chosen for each respective index in the aligned and scoring matrices). Once the entire 2D matrix is filled with scores and alignments for the two input sequences, the algorithm fetches the highest score alignment index ("best\_spot"). Then, it backtracks the pointers matrix until the score equals 0. The algorithm fetches this alignment (the prefix of the local alignments), and removes this prefix. It then returns these alignments.

## **Testing**

I used the textbook example to first see if my algorithm works.

```
local_align("CGTAGGCTTAAGGTTA", "ATAGATA")
(15, 'TAG', 'TAG')
```

I also used the example from the Smith-Waterman link, and received the correct alignment here as well.

```
local_align("CGTGAATTCAT", "GACTTAC")
(18, 'GAATT-C', 'GACTTAC')
```

Using these test cases I could deduce the algorithm was working as expected.

This is because once the scoring matrix is filled, I needed to backtrack from the best spot. This best spot in this case was 18 at location C,C. From there, my algorithm moved back to 13, which was T,A. It then chose to backtrack upwards, which had the best option, which was T,T. Then, T to T again at score 12. Backtracked to A to C at score 7, A to A at score 10, and lastly G to G at score 5. Once it reached 5, the only other scores were 0, which is the case for when the local alignment has been fully realized. At which case, my algorithm returns the alignments "GAATT-C" and "GACTTAC", with a total score of 18, the highest score in the matrix.

## PAM Local Alignment Code

You must also perform both nucleotide sequence alignment and protein sequence alignment using the PAM scoring matrices.

```
def local align PAM(s1, s2, pam filename):
    pam = make df(pam filename)
    print(pam)
    scores = pd.DataFrame(index=["-"]+[s1[:i+1] for i in
range(len(s1))],columns=["-"]+[s2[:i+1] for i in range(len(s2))])
    aligned = pd.DataFrame(index=["-"]+[s1[:i+1] for i in
range(len(s1))], columns=["-"]+[s2[:i+1] for i in <math>range(len(s2))])
    pointers = pd.DataFrame(index=["-"]+[s1[:i+1] for i in
range(len(s1))],columns=["-"]+[s2[:i+1] for i in range(len(s2))])
    for s2 part in scores.columns:
        scores.loc["-",s2_part] = 0
pointers.loc["-",s2_part] = None
        if s2_part == "-":
            \overline{aligned.loc["-","-"]} = ("","")
        else:
            aligned.loc["-",s2 part] = ("".join(["-" for i in
range(len(s2 part))]),s2 part)
    for s1 part in scores.index:
        scores.loc[s1 part,"-"] = 0
        pointers.loc[s1 part,"-"] = None
        if s1 part == "-":
            \overline{aligned.loc["-","-"]} = ("","")
        else:
            aligned.loc[s1 part,"-"] = (s1 part,"".join(["-" for i in
range(len(s1 part))]))
    best score = -1
    best spot = (-1, -1)
    nrows,ncols = scores.shape
    for i in range(1, nrows):
        for j in range(1,ncols):
            print(i, j)
            opt1_s1 = scores.index[i-1]
            opt1 s2 = scores.columns[j-1]
            score opt1 = scores.loc[opt1 s1, opt1 s2] +
int(pam.loc[s1[i-1], s2[j-1]])
            s1 aligned opt1 = aligned.loc[opt1 s1, opt1 s2][0]+s1[i-1]
            s2 aligned opt1 = aligned.loc[opt1 s1, opt1 s2][1]+s2[j-1]
```

```
opt2 s1 = scores.index[i-1]
            opt2 s2 = scores.columns[j]
            score opt2 = scores.loc[opt2 s1, opt2 s2] +
int(pam.loc[s1[i-\overline{1}], "-"])
            s1 aligned opt2 = aligned.loc[opt2 s1, opt2 s2][0]+s1[i-1]
            s2 aligned opt2 = aligned.loc[opt2 s1, opt2 s2][1]+"-"
            opt3 s1 = scores.index[i]
            opt3 s2 = scores.columns[j-1]
            score opt3 = scores.loc[opt3 s1, opt3 s2] +
int(pam.loc["-", s2[j-1]])
            s1 aligned opt3 = aligned.loc[opt3 s1, opt3 s2][0]+"-"
            s2 aligned opt3 = aligned.loc[opt3 s1, opt3 s2][1]+s2[j-1]
            \max \ score = \max(score \ opt1, score \ opt2, score \ opt3)
            if max score > best_score:
                best score = max score
                best spot = i, j
            scores.loc[scores.index[i],scores.columns[j]] = (max score
if max score > 0 else 0)
            if max(score opt1,score opt2,score opt3) == score opt1:
                aligned.loc[scores.index[i],scores.columns[j]] =
(s1 aligned opt1,s2 aligned opt1)
                pointers.loc[scores.index[i],scores.columns[i]] = (i-
1, j-1)
            elif max(score opt1,score opt2,score_opt3) == score_opt2:
                aligned.loc[scores.index[i],scores.columns[j]] =
(s1 aligned opt2,s2 aligned opt2)
                pointers.loc[scores.index[i],scores.columns[j]] = (i-
1, j)
            else:
                aligned.loc[scores.index[i],scores.columns[j]] =
(s1 aligned opt3,s2 aligned opt3)
                pointers.loc[scores.index[i],scores.columns[j]] = (i,
j - 1)
    curr = best spot
    best align1 = (aligned.loc[scores.index[curr[0]],
scores.columns[curr[1]]])[0]
    best align2 = (aligned.loc[scores.index[curr[0]],
scores.columns[curr[1]]])[1]
    while(scores.loc[scores.index[curr[0]]],scores.columns[curr[1]]]] !=
0):
        curr =
pointers.loc[scores.index[curr[0]],scores.columns[curr[1]]]
    cutoff 1 = (aligned.loc[scores.index[curr[0]],
scores.columns[curr[1]]])[0]
    cutoff 2 = (aligned.loc[scores.index[curr[0]],
scores.columns[curr[1]]])[1]
```

```
if best_align1.startswith(cutoff_1):
    best_align1 = best_align1[len(cutoff_1):]
if best_align2.startswith(cutoff_2):
    best_align2 = best_align2[len(cutoff_2):]
return best_score, best_align1, best_align2

def make_df(filename):
    with open(filename, 'r') as file:
        data = [line.split() for line in file.readlines()]

row_names = [row[0] for row in data]
row_names = row_names[1:]
print(row_names)

data = data[1:]
df = pd.DataFrame([row[1:] for row in data], columns=row_names)
df.index = row_names
return df
```

### Explanation

The code above is similar to the implementation of local alignment with preassigned scores. The one distinct difference is the PAM local alignment algorithm takes in a text file argument that contains a PAM matrix of scores. I implemented a make\_df function that takes this text fie of scores and creates a pandas matrix called pam to derive the scores from. An example of such a file is shown below:

```
R N D C O E G
                           HILKMF
                                                    S
A 2 -2 0 0 -2 0 0 1 -1 -1 -2 -1 -1 -3 1 1 1 -6 -3 0
-8
R -2
     6 0 -1 -4
                   1 -1 -3 2 -2 -3 3 0 -4
                                                      0 -1 2 -4 -2 -1
- 8
N 0
          2 2 -4
                      1 0
                             2 -2 -3 1 -2 -3 0
-8
  0 -1 2 4 -5
                          1 1 -2 -4 0 -3 -6 -1
D
                    2
                       3
                                                     0 0 -7 -4 -2
-8
C - 2 - 4 - 4 - 5 \ 12 - 5 - 5 - 3 - 3 - 2 - 6 - 5 - 5 - 4 - 3 \ 0 - 2 - 8 \ 0 - 2 - 4 - 5 - 3
- 8
                       2 -1 3 -2 -2 1 -1 -5 0 -1 -1 -5 -4 -2 1
Q
  0
         1 2 -5
-8
                    2
                       4 0 1 -2 -3 0 -2 -5 -1
                                                     0
                                                         0 -7 -4 -2
E 0 -1
         1 3 -5
-8
G 1 -3 0 1 -3 -1 0 5 -2 -3 -4 -2 -3 -5 0 1 0 -7 -5 -1 0
- 8
H - 1 \quad 2 \quad 2 \quad 1 \quad -3 \quad 3 \quad 1 \quad -2 \quad 6 \quad -2 \quad -2 \quad 0 \quad -2 \quad -2 \quad 0 \quad -1 \quad -1 \quad -3 \quad 0 \quad -2 \quad 1 \quad 2 \quad -1
```

```
-8
I -1 -2 -2 -2 -2 -2 -2 -3 -2 5 2 -2 2 1 -2 -1 0 -5 -1 4 -2 -2 -1
-8
L -2 -3 -3 -4 -6 -2 -3 -4 -2 2 6 -3 4 2 -3 -3 -2 -2 -1 2 -3 -3 -1
     3 1 0 -5 1
                   0 -2 0 -2 -3
                                  5
                                     0 -5 -1 0 0 -3 -4 -2 1 0 -1
-8
                             2 4
M -1 0 -2 -3 -5 -1 -2 -3 -2
                                  0
                                     6 0 -2 -2 -1 -4 -2 2 -2 -2 -1
-8
F -3 -4 -3 -6 -4 -5 -5 -5 -2
                            1 2 -5 0
                                        9 -5 -3 -3 0 7 -1 -4 -5 -2
- 8
Р
  1
        0 -1 -3 0 -1
                       0 0 -2 -3 -1 -2 -5
                                            6
                                              1
-8
S
  1
           0 0 -1
                       1 -1 -1 -3 0 -2 -3 1 2
                                                 1 -2 -3 -1 0
-8
                      0 -1 0 -2 0 -1 -3 0 1 3 -5 -3 0
T 1 -1 0 0 -2 -1
                   0
0 -8
W - 6 \quad 2 \quad -4 \quad -7 \quad -8 \quad -5 \quad -7 \quad -7 \quad -3 \quad -5 \quad -2 \quad -3 \quad -4 \quad 0 \quad -6 \quad -2 \quad -5 \quad 17 \quad 0 \quad -6 \quad -5 \quad -6 \quad -4
-8
Y -3 -4 -2 -4 0 -4 -4 -5 0 -1 -1 -4 -2 7 -5 -3 -3 0 10 -2 -3 -4 -2
- 8
V 0 -2 -2 -2 -2 -2 -1 -2 4 2 -2 2 -1 -1 -1 0 -6 -2 4 -2 -2 -1
-8
В
  0 -1 2 3 -4
                    3
                       0 1 -2 -3 1 -2 -4 -1 0 0 -5 -3 -2 3 2 -1
-8
Ζ
                         2 -2 -3 0 -2 -5 0
                 3
                    3 0
                                              0 -1 -6 -4 -2 2
- 8
X 0 -1 0 -1 -3 -1 -1 -1 -1 -1 -1 -1 -2 -1 0 0 -4 -2 -1 -1 -1 -1
- 8
1
```

Therefore, the text file is read in and the code generates a pandas dataframe containing the data from the file. When determining the score for each option, the score is calculated by finding the score for those letter combos using the matrix.

note: code cannot be run in colab with the text file so I am supplying the results when run on my local machine.

I know my algorithm is correct because when I passed in, for instance, local\_align\_PAM("AT", "AT", "PAM.txt") I get a score 5 for local align. This is correct because A to A returns a match score of 2 and T to T returns a match score of 3.

Then, when I passed in local\_align\_PAM("ATC", "ATG", "PAM.txt"), I still got a 5. This is because C and G returns a -3, so the algorithm prioritizes the alignment of "AT" to "AT".

When I make my test case even larger, for instance, local\_align\_PAM("CGTGAATTCAT", "GACTTAC", "PAM.txt") I receive a score of 17, with the alignments 'ATTC', 'TTAC'. I can validate this answer because as I move through the matrix I can calculate the matrix like the following:

A to A aligns to 1

- T to T aligns to 3
- T to A aligns to 1
- C to C aligns to 12
- Therefore, I know the alignment is 17. This is greater than the global alignment of the entire DNA sequences.

Another example is when I ran "CCCR", and "CCCC". I know by hand calculation, the local alignment should be "CCC" to "CCC" with a score of 36 (C to C is a match score of 12). My algorithm returned this, and removed the last letters from the sequences, due to them lowering the overall score because C to R is a -4 mismatch score.