Inferring demography from tracts of identity by state

Kelley Harris kharris@math.berkeley.edu

This manual describes how to extract tracts of identity by state (IBS) from DNA sequence data and use them for demographic inference. For conceptual background on the method, see the manuscript "Inferring demographic history from a spectrum of shared haplotype lengths" published in *PLoS Genetics* by Harris and Nielsen in 2013.

1 Formatting input data

1.1 Creating a population

To extract length distributions of IBS tracts from your data, you first need to create a plain text file for each population that contains four tab-delimited columns with the following data:

Chromosome	Site	Reference allele	Sample configuration
1	41342	T	TTTAATTTTATTATTAT
1	41791	A	AAAAAAAGGAAAAGAAAA

Each column of the 'sample configuration' block contains genotype information from a single haplotype in your sample, specifying its allele at each listed segregating site. If your data are available as a VCF file, you can convert it into this popdata format using the following vcf-query command from the software package vcf-tools:

vcf-query file.vcf -f '%CHROM\t%POS\t%REF\t[%GT]\n'

When inferring the joint history of two populations, we strongly recommend using data produced on a single sequencing pipeline where SNPs are called jointly across populations using a tool such as the GATK Unified Genotyper.

Scaffolds vs. true chromosomes: Depending on the nature of your data, you can substitute arbitrary scaffold or "pseudochromosome" numbers for chromosome numbers. This should not affect the program performance. However, chromosome numbers and site numbers should appear in numerical order. Chromosome designations must be numbers, not other strings like 'X' or 'Y'.

1.2 Data parsing pipeline

This section gives the sequence of python scripts you will need to call to extract IBS tract length distributions from a collection of single-population files in preparation for demographic inference.

If all of your popdata files have the same number of lines representing the same set of segregating sites, you can skip step 1 and move to step 2 of the pipeline. If not, step 1 describes how to create compatible files that have the same lists of variable sites.

1. compatibilize_hapfiles.py

Short explanation: This script takes two required arguments pop1 and pop2 and can take two optional arguments label1 and label2. If you want to look at IBS tracts shared between population 1 and population 2, represented by the popdata sample files pop1.popdata and pop2.popdata, use the command

python compatibilize_hapfiles.py pop1 pop2

to generate two altered sample files pop1_for_pop2.popdata and pop2_for_pop1.popdata. If your filenames pop1 and pop2 are very long, you can enter optional abbreviated names label1 and label2 and the command

python compatibilize_hapfiles.py pop1 pop2 label1 label2

so that your output files will be named label1_for_label2.popdata and label2_for_label1.popdata instead.

2. parse_between_pops_allpairs.py

This script reads in two compatibilized popdata files label1.popdata and label2.popdata and generates an output file label1_vs_label2.ibs with the length distribution of IBS tracts shared between the two input haplotype samples. The input files are required to have the same number of lines corresponding to the same list of sites, as accomplished by the compatibilize_hapfiles.py script.

If h_0, \ldots, h_{n-1} are the haplotypes from population 1 and g_0, \ldots, g_{m-1} are the haplotypes from population 2, then by default, the output distribution pools all nm possible comparisons of a haplotype h_i with a haplotype h_i . This is accomplished by the following command:

The arguments MaskFolder and percentMissing are used to filter out regions of low-quality data and will be explained later. If you do not wish to filter the data, set MaskFolder equal to None and percentMissing equal to 1:

python parse_between_pops_allpairs.py label1 label2 None 1

If you wish to use only a subset of the haplotype samples from your popdata files, you can specify optional arguments start1, end1, start2, and end2:

If you set start1, end1, start2, and end2 equal to i, j, k and l, respectively, subject to the constraints $0 \le i < j < n$ and $0 \le k < l < m$, this restricts your analysis to the haplotypes h_i, \ldots, h_{j-1} from population 1 and g_k, \ldots, g_{l-1} from population 2.

The filtering argument percentMissing can be set to a threshold value t between 0 and 1. The script then throws out all IBS tracts with a

frequency of 'N's (missing data) greater than t. See Section 1.3 on data filtering and quality control for more information about this and about specifying a mask folder.

In addition to the final output file label1_vs_label2.ibs, the parse_between_pops_allpairs.py script generates several intermediate files: label1_vs_label2_lengths_unsorted.txt, label1_vs_label2_position_info.txt, label1_vs_label2_position_info_sorted.txt, and label1_vs_label2_sorted.txt. These can often be ignored and/or overwritten, but the label1_vs_label2_position_info_sorted.txt file can be useful for locating regions with clustered long IBS tracts that might be artifacts of bioinformatical errors.

parse_between_pairs_noreuse.py

This script can be run instead of parse_between_pairs_allpairs.py to generate a list of IBS tract shared between populations:

Instead of sampling all mn possible haplotype pairs, it generates IBS tracts shared between haplotype i from population 1 and haplotype i from population 2 for all i ranging from start1 to end1. It therefore runs faster and generates a smaller set of IBS tract lengths, but these lengths are less correlated with each other than the lengths output by parse_between_pairs_allpairs.py.

4. parse_within_pop_allpairs.py

This script reads in a single popdata file sample.popdata and generates an output file sample.ibs with the length distribution of IBS tracts shared within pairs of haplotypes from this sample. By default, the spectrum reflects all $\binom{n}{2}$ pairwise comparisons from the full haplotype set h_0, \ldots, h_{n-1} described by sample.popdata. To parse all data in this way, use the command

python parse_within_pop_allpairs.py sample MaskFolder percentMissing

By specifying optional arguments start1 = i and end1 = j, you can instead sample from restricted haplotype range h_i, \ldots, h_{i-1} :

The percentMissing and maskFolder arguments work the same here as in parse_between_pops.py, described more fully in Section 1.3 on data filtering and quality control.

5. parse_within_pop_natphase.py

This script can be run instead of parse_within_pop_allpairs.py with the exact same arguments:

python parse_within_pop_natphase.py sample MaskFolder percentMissing

or

Instead of generating IBS tracts from all $\binom{n}{2}$ subsampled haplotype pairs, it pairs up haplotype 0 with haplotype 1, haplotype 2 with haplotype 3, and in general, haplotype 2i with haplotype 2i + 1. This is designed to sample IBS tracts shared within the input diploid individuals so that the results do not depend on the method that was used to phase the data.

1.3 Data filtering and quality control

Although demography is being inferred from the entire length distribution of IBS tracts, not just long tracts that are correlated with very recent common ancestry, even one or two long IBS tracts may be interpreted as strong evidence for recent migration and/or a population bottleneck. As a result, it is imperative to make sure that the long IBS tracts in your sample are real and are not artifacts of alignment gaps or low/uneven sample coverage. To this end, we describe several tools for filtering real data.

1.3.1 The percentMissing argument

We parse haplotype samples such that missing data, annotated as 'N's, does not automatically break up IBS tracts. This is designed to keep missing data from degrading real signatures of population size reduction and recent admixture. However, setting the percentMissing argument to a threshold t between 0 and 1 will throw out all IBS tracts where the fraction of sites where 'N's occur exceeds t. If you rely on this method to control the quality of your IBS tract spectrum and do not specify a folder of mask files, we recommend that you make sure all missing data is annotated in your VCF files. In particular, if every one of your haplotypes is missing data at site s, you should make sure to include s as a site in the VCF file with 'N's across the board.

The ideal threshold t will depend will depend on your sample's sequencing quality and level of polymorphism. We do not specify a default, but recommend that you experiment with different thresholds. The error threshold is probably too low if your longest IBS tracts are clustered in the genome, i.e. if you observe very few tracts shared tracts longer than 200,000 b.p. but see six 200,000 b.p. IBS tracts that occur at the same position in different samples. This sort of pattern is probably the result of missing data or strong positive selection that lead to misleading inferences about demography.

1.3.2 Specifying a mask folder

To exclude specific chromosomal regions from your analysis, you can name a mask folder that includes a file of excluded regions for each chromosome. The package includes a sampleHumanMaskFolder that specifies unmappable regions of the human hg19 reference genome, containing 22 files chrom1.txt thru chrom22.txt. Note that different maskfiles might be required for other human datasets that have different patterns of mapping errors. You can generate your own mask folder by looking for regions of low mapping quality or SNP sparsity in your own dataset, either excluding a few regions of lowest quality or excluding everything but select high quality regions.

If you want to merge together two mask folders that were created in different ways, you can do so with the script merge_gapfiles.py, specifying the names of two existing mask folders in any order followed by the desired name of the output mask folder:

python merge_gapfiles.py maskFolder1 maskFolder2 outputFolder

For this to work, each mask folder must have the same number of files, one for each chromosome.

2 Optimizing a demographic model

This section describes how to infer population size changes, divergence times, and migration from distributions of IBS tract lengths.

2.1 Setting mutation and recombination rates

Before you start fitting a demographic model to your data, you must hard-code your species's recombination and mutation rates into the file calc_ibs_backcoal_varmu.py. This involves modifying the values assigned to theta and rho at the very beginning of the file, setting theta equal to 40,000 times the mutation rate per site per generation and rho equal to 40,000 times the recombination rate per site per generation. The factor of 40,000 is motivated by the population genetic formulas $\theta = 4N\mu$ and $\rho = 4Nr$, assuming that the effective population size is on the order of 10,000 as it is in humans.

2.2 Accounting for variable mutation rate

Our coalescent method will predict that the frequency $f_{\text{IBS}}(L)$ of L-base-long IBS tracts is almost constant as a function of L when L is very short (specifically, when $L \ll 1/\Theta$. This prediction holds true in data produced by a coalescent simulator like ms. However, in real human data we observe an excess of very short IBS tracts and we attribute this to the presence of cryptic mutation rate variation. As the manuscript explains in more detail, we cope with human mutation rate variation by excluding IBS tracts shorter than 100 base pairs and assuming that a randomly distributed 1% of sites have a mutation rate that is 39 times higher than the baseline mutation rate. These assumptions are encoded by setting the variable var_mutrate_param at the start of demographic_function_builder.py equal to 0.039 (39 times the mutation rate $\theta = 0.001$ that we use for humans) and setting min_tract_length at the start of infer_from_inputfile.py equal to 100. If you are working with data from another species, you may want to set these parameters differently, decreasing the minimum tract length used for inference if your organism has a higher mutation rate and setting var_mutrate_param equal to theta if you do not wish to model cryptic mutational hotspots. To decide on the latter issue, you can plot the distribution of observed IBS tract lengths on a log-log scale and check for a sharp uptick in the abundance of the shortest IBS tracts (as seen for human data in Figure 1).

2.3 Inferring size changes within one population

The easiest way to infer size changes within one population is to use the script infer_onepop_adaptive_cumulative.py as follows:

The argument population.ibs is the name of the input IBS tract length file. As mentioned earlier, min_tract_length is the shortest IBS tract length (measured in base pairs) that you wish to use for inference. It should typically take values between 5 and 100, using shorter values for data with high polymorphism and/or low mutation rate heterogeneity. The third argument, num_reps, is the number of iterations you wish to perform: if you set num_reps equal to 20, then the script will iteratively find 20 different population histories, each with a better fit and more parameters than the last. Finally, generation_time is the desired species generation time in years.

The first history printed to the screen will be the best-fit constant population size history, while the second history will have one population size change and later histories will have additional size changes. At most one population size change will be added each iteration. This is an example of a history that is printed to the screen after being fit to the data:

```
Best history so far:
Population sizes (present to past) :1987.42, 4903.036, 9347.5338
Population size change times (kya) :39.47687, 193.64829

Parameters for plotting:
[0.07895374, 0.30834284, 0.198742, 0.4903036, 0.93475338]
```

These parameters describe a history in which the ancestral effective population size was 9,347. The population size decreased to 4,903 around 194 thousand years ago (kya), and decreased again to 1,987 around 39 kya.

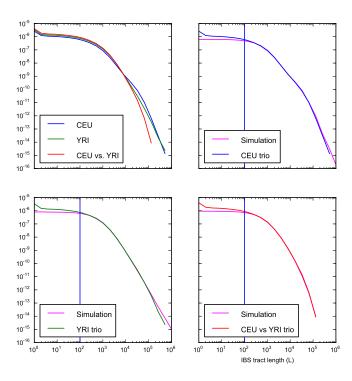


Figure 1: **IBS** tracts in real human data vs. simulated data. These ms data shown in pink were simulated under a history fit to the frequency spectrum of human IBS tracts greater than 100 base pairs long. As shown here, the real human data contains an excess of shorter IBS tracts, particularly very short tracts less than 5 base pairs long.

If you wish to plot the expected IBS tracts given this history and compare them to the IBS tracts observed in your data, you need to copy the line that follows "Parameters for plotting" into a text file called e.g. demographic_history.txt. The following command generates a file called demographic_plot.pdf that compares the predicted and observed IBS tract length distributions:

2.4 Inferring a simple population divergence

The script infer_between_adaptive_cumulative.py fits the distribution of IBS tracts shared between two populations to a simple divergence scenario with no migration. It can be called as follows:

python infer_onepop_adaptive_cumulative.py pop1_vs_pop2_lengths.ibs min_tract_length num_reps generation_time

Each output history specifies a divergence time along with a sequence of population size changes that affected the ancestral population prior to divergence:

To check the fit between observed and expected IBS tracts, copy the line following "Parameters for plotting" into a text file called e.g. demographic_history.txt. The following command generates a file called demographic_plot.pdf that compares the predicted and observed IBS tract length distributions:

If the fit between expected and observed IBS tracts stays poor after many iterations of infer_between_adaptive_cumulative.py, or if the inferred population sizes are not compatible with the sizes inferred by inferred_onepop_adaptive_cumulative.py from the IBS tracts shared within populations, then the data might contain signatures of migration and/or ghost admixture and be incompatible with a simple divergence model.

2.5 Complex population histories

2.6 Calling the optimization and modifying parameter bounds

Once you have set the mutation and recombination rates and generated the IBS tract length files pop1.ibs, pop2.ibs, and pop1_vs_pop2.ibs, you can optimize a simple divergence history with the following command:

where output_filename is the desired name of the optimization output file. Warnings will pop up if you are hitting the upper and/or lower bounds of the optimization. The parameters are numbered starting at 0-if, for example, parameter 3 hits its upper optimization bound, you should manually increase the second number of the fourth entry of the vector true_joint_bds in both the optimize_simple_divergence.py script and the infer_split_nomig.py script:

```
true_joint_bds=[[0.001,0.05],[0.001,0.1],[0.0001,0.3],[0.001,1],[0.05,10]]
    can change to
true_joint_bds=[[0.001,0.05],[0.001,0.1],[0.0001,0.3],[0.001,3],[0.05,10]]
```

There is less of a problem if parameters are hitting lower bounds; this only indicates that the model could be simplified to eliminate some parameters.

2.7 Plotting expected vs. observed IBS tract lengths

Once you have optimized the parameters of the history, you can plot the expected vs. observed IBS tracts using the following command:

```
python plot_optimized_simple_divergence.py pop1.ibs pop2.ibs
     pop1_vs_pop2.ibs optimization_output desired_graph_filename
```

The inferred divergence time, population sizes will print to the screen. To ensure that the time is scaled correctly, you should manually enter your species' generation time (in years) at the beginning of the plot_optimized_simple_divergence.py plotting script. The inferred and observed IBS tract length distributions will be plotted in a pdf with the name desired_graph_filename. If the model does not appear to fit the data well, you may need to change the optimization bounds, try a more complex demographic model, adjust the variable mutation rate parameter or remove more artifacts from the observed IBS tract length spectrum.

3 Specifying a demographic model

The file demographic_function_builder.py is not an executable file, but includes a set of functions that you can call to obtain the expected IBS tract length distribution given a custom demographic history. Using these functions you can specify any history that contains instantaneous population size changes and admixture events, but no continuous periods of migration or population growth.

3.1 An example demographic model

Here is an example of a tract length distribution function for two populations of sizes N1 and N2 that diverged at time ts from a population of size N and exchanged an admixture pulse of admixture fraction f at time ta. The specific functions called here are explained in detail in section 3.2, but this example is presented first to demonstrate the structure that the distribution function should have:

Here, the object prob_list is a list of numbers that will be added together to yield the desired probability. Each demographic event modifies prob_list by adding more terms. The python function math.fsum adds up the terms of prob_list in a way that is more numerically stable than using plus and minus signs.

return math.fsum(prob_list)

Demographic events need to be specified in order from the present moving backward into the past. At time zero, the two populations are initialized with the function initialize_pop that specifies their current sizes. The scaling of the sizes will depend on the units of your mutation and recombination rate; for example, if you specify theta = 0.001 mutations per site per $4 \times 10,000$ generations, a typical scaling for humans that corresponds to a mutation rate of 2.5×10^{-8} per site per generation, all population sizes should be scaled by a factor of 10,000. In this case, setting N1 = 1 and N2 = 2 means that populations 1 and 2 will have recent sizes 10,000 and 20,000, respectively.

After initializing the populations, the next event backwards in time is the admixture pulse at tm, but before that event is specified, the time_lapse function must be applied once to each population to move the time pointer back from time 0 to time tm. Similarly, after the admixture pulse happens, the time_lapse function must be called twice more to move each population's time pointer back to time ts.

The three variables uncoalesced_pop1, uncoalesced_pop2, and uncoalesced_between take numerical values between 0 and 1. You can identify them with three functions of time $u_1(t)$, $u_2(t)$, and $u_{12}(t)$, where $u_1(t)$ is the probability that at time t, and randomly selected pair of lineages will not have coalesced yet and will both lie in population 1. Similarly, $u_2(t)$ is the probability that two

lineages will not have coalesced yet and both lie in population 1, while $u_{12}(t)$ is the probability that they have not coalesced yet and lie in different populations. The three values that you pass as arguments are $u_1(0)$, $u_2(0)$, and $u_{12}(0)$; if you wish to predict the distribution of IBS tracts shared between two haplotypes sampled from population 1 in the present, you should specify

(uncoalesced_pop1, uncoalesced_pop2, uncoalesced_between) = (1,0,0).

You can instead specify (0,1,0) if both haplotypes are sampled from population 2, or specify (0,0,1) if one haplotype is sampled from each population. In summary, the following command returns the frequency of L-base-long IBS tracts shared between haplotypes from population 1:

```
simple_admixture_history(L, (1,0,0), (N1, N2, N, tm, ts, f))
```

This command returns the frequence of L-base IBS tracts shared between haplotypes from population 2:

```
simple_admixture_history(L, (0,1,0), (N1, N2, N, tm, ts, f))
```

This command returns the frequence of L-base IBS tracts shared between one haplotype from population 1 and one haplotype from population 2:

```
simple_admixture_history(L, (0,0,1), (N1, N2, N, tm, ts, f))
```

In addition to adding terms to prob_list, the time_lapse function and demographic event functions update uncoaleced_pop1, uncoalesced_pop2, and uncoalesced_between to take on values of $u_1(t)$, $u_2(t)$, and $u_{12}(t)$ for nonzero t. Specifically, the time_lapse function decreases the values of uncoaleced_pop1 and uncoaleced_pop1 to reflect coalescence events that happen during the elapsed time period. For that reason, you should never apply the time_lapse function to uncoalesced_between because lineages from different populations cannot coalesce between migration events.

3.2 List of demographic event functions

This section explains all of the functions that can be imported from demographic_function_builder.py. Most of these functions are related to commands from Hudson's coalescent simulator ms, and we include these commands for the benefit of users who are already familiar with ms syntax.

prob_list = initialize_pop(L,prob_list,uncoalesced_pop_i, N)

- · Sets the initial size of population i equal to N.
- · Corresponding ms command: -en 0 i N

uncoalesced_pop_i = time_lapse(uncoalesced_pop_i, N, t-t_0)

- · Advances the time pointer in population i from time t_0 to time t
- · Before calling this function, ensure that population i's time pointer is currently at time t_0 and that its population size is currently \mathbb{N}
- · Corresponding ms command: N/A

prob_list = popsize_change(L,prob_list,uncoalesced_pop_i, N_old, N, t)

- \cdot At time t, changes the size of population i from N_old to N.
- · Before calling this function, check that the population *i* has current size N_old and its time pointer has been advanced to time t using time_lapse.
- · Corresponding ms command: -en t i N

- · At time t, looking backward in time, each lineage from population 1 moves to population 2 with probability f1. Each lineage from population 2 moves to population 1 with probability f2.
- · Before calling this function, check that the populations 1 and 2 have current sizes N1 and N2, respectively, and that both time pointers have been advanced to time t using time_lapse.

· Corresponding ms command:

```
-es t 1 1-f1 -es 2 1 1-f2 -ej t 3 2 -ej t 4 1
```

- · Same as two_way_admixture except that population 1 changes size from N1_old to N1 at the same time as the admixture event. This is more numerically stable than specifying separate admixture and size change events.
- · Before calling this function, check that the populations 1 and 2 have current sizes N1_old and N2, respectively, and that both time pointers have been advanced to time t using time_lapse.
- · Corresponding ms command:

```
-es t 1 1-f1 -es 2 1 1-f2 -ej t 3 2 -ej t 4 1 -en t 1 N1
```

- · Same as two_way_admixture except that both populations change size at the same time as the admixture event. This is more numerically stable than specifying separate admixture and size change events.
- · Before calling this function, check that the populations 1 and 2 have current sizes N1_old and N2_old, respectively, and that both time pointers have been advanced to time t using time_lapse.
- · Corresponding ms command:

```
-es t 1 1-f1 -es 2 1 1-f2 -ej t 3 2 -ej t 4 1 -en t 1 N1 -en 2 N2
```

- · Corresponds to population splitting forward in time. At time t looking backward in time, two populations of sizes N1 and N2 merge into a single population of size N.
- · Before calling this function, check that the populations 1 and 2 have current sizes N1 and N2, respectively, and that both time pointers have been advanced to time t using time_lapse.
- · Corresponding ms command: -ej t 2 1 -en t 1 N

prob_list, uncoalesced_main, uncoalesced_ghost, uncoalesced_between
= ghost_pop_split(L,prob_list,uncoalesced_pop_i, N_old, N_main, N_ghost, t, f)

- · Corresponds to ghost admixture forward in time. At time t looking backward in time, a population of size N_old transfers a fraction f of its lineages into a new ghost population of size N_ghost. The remaining lineages contribute to a main population of size N_main.
- · Before calling this function, check that the population *i* has current size N_old and that its time pointer has been advanced to time t using time_lapse.
- · Corresponding ms command: -es t 1 1-f -en t 1 N_main -en t 2 N_ghost