Topology

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## Topological Space

#### 1.1 Definitions and Theorems

**Definition 1** (Topological Space). A topological space is an ordered pair  $(X, \tau)$ , where X is a set and  $\tau$  is a collection of subsets that satisfies the following axioms.

- 1. The empty set  $\varnothing$  and the entire set X belongs to  $\tau$ .
- 2. Any **arbitary** union of members of  $\tau$  belongs to  $\tau$ .
- 3. The intersection of finite number of members of  $\tau$  belongs to  $\tau$ .

The collection  $\tau$  is called a topology on X and the elements of  $\tau$  are called open sets. A subset  $A \subset X$  is said to be closed if its complement  $X \setminus A$  is open.

**Definition 2** (Continuous Maps). Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be topological spaces. A map  $f: X \longrightarrow Y$  is said to be continuous if the preimage of an open subset is again open, i.e.

for all 
$$U \in \tau_Y$$
 it is  $f^{-1}(U) \in \tau_X$ . (1.1)

**Lemma 3.** The different definitions of continuity in a topological space and a metric space are equivalent, i.e. if X and Y are metric spaces, then  $f: X \longrightarrow Y$  is  $\epsilon$ - $\delta$ -continuous if and only if f is continuous.

**Definition 4** (Homeomorphism). Let X and Y be topological spaces.

- 1. A map  $f: X \longrightarrow Y$  is a homeomorphism if it has the following properties.
  - (a) f is bijective.
  - (b) f and the inverse map  $f^{-1}$  is continuous.
- 2. Two topological spaces X and Y are said to be homeomorphic if a homeomorphism exists.
- 3. We denote the set of all homeomorphisms from X to Y by  $\operatorname{Homeo}(X,Y)$ . If Y=X we also write  $\operatorname{Homeo}(X)$ .

**Definition 5** (Homeomorphism). Let  $(X, \tau)$  a topological space.

- 1.  $\mathcal{B} \subset \mathcal{O}$  is a basis of the topology, if any member of  $\mathcal{O}$  is the union of subsets from  $\mathcal{B}$ .
- 2.  $S \subset \mathcal{O}$  is a subbasis of the topology, if any member of  $\mathcal{O}$  is the union of finite intersections of subsets from S.

We say that  $\mathcal{B}$  and  $\mathcal{S}$  generates  $\mathcal{O}$  and write  $\overline{\mathcal{S}} = \overline{\mathcal{B}} = \mathcal{O}$ .

**Lemma 6.** Let  $S \subset \mathcal{P}(X)$  be a collection of subsets, then there exists exactly one topology  $\tau \subset \mathcal{P}(X)$  of X such that

- 1.  $S \subset \tau$
- 2. If  $\tau' \subset \mathcal{P}(X)$  a topology with  $S \subset \tau'$ , then  $\tau \subset \tau'$ .

**Definition 7.** 1. Given  $(X, \tau)$  be a topological space,  $S \subset X$  a subset, the subspace topology (also the induced topology or the relative topology) on S is defined by

$$\tau_S = \{ S \cap U \mid U \in \tau \}.$$

2. Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be two topological spaces. The product topology of X and Y is defined by

$$\tau_{X\times Y} := \{ U \times V \mid U \in \tau_X \text{ and } V \in \tau_Y \}.$$

3. Let  $(X, \tau_X)$  and  $(Y, \tau_Y)$  be two topological spaces. The topological sum of X and Y is defined by

$$\tau_{X \sqcup Y} := \{ U \sqcup V \mid U \in \tau_X \text{ and } V \in \tau_Y \}.$$

**Definition 8.** Let  $(X, \tau)$  be a topological space.

- 1. Given a point  $p \in X$ , a subset  $U \subset X$  is a neighborhood of p if there is an open subset  $V \in U$  such that  $p \in V$ . If such a neighborhood exists, p is called a interior point of U.
- 2. Let  $S \subset X$  be a subset. The interior of S, denoted by  $\mathring{S}$  or  $\mathrm{int}(S)$ , is the set of all interior points of S.
- 3. Let  $S \subset X$  be a subset. The closure of S, denoted by  $\overline{S}$  or cl(S), is defined by

$$\operatorname{cl}(S) := X \setminus \operatorname{int}(X \setminus S).$$

#### 1.2 Proofs, Remarks, and Examples

**Example 8.1.** Let X be a set.

- 1.  $\tau = \mathcal{P}(X)$  is called the discrete topology. In this case,  $(X, \tau)$  is called the discrete space. It is the finest topology that can be defined on a set. (The set of all possible topologies on a given set forms a partially ordered set.)
- 2.  $\tau = \{\emptyset, \mathcal{P}(X)\}\$  is called the trivial topology.
- 3. Let (X, d) be a metric space. Set

$$\tau_d := \{ U \in X \mid U \text{ is a open subset in the metric space } (X, d) \}. \tag{1.2}$$

Recall that U being an open subset in the metric space (X, d) means that for all  $x \in U$  there is an r > 0 such that  $B_d(x, r)$  is contained in U.

Here,  $\tau$  is a topology. In other words, a metric induces a topology.

(Proof as homework.)

4. The Zariski-topology.

Example 8.2. List of natural topologies.

1. On  $\mathbb{R}^n$  the canonical topology, called the Euclidean topology, is generated by the basis that is formed by open balls, i.e. open subsets of  $\mathbb{R}^n$  are arbitary unions of open balls. In other words, if  $A \in \mathcal{O}_{\mathbb{R}^n}$  and I is an index set, then

$$A = \bigcup_{i \in I} B_r(p) = \bigcup_{i \in I} \left\{ x \in \mathbb{R}^n \mid d(p, x) < r \right\}.$$

This definition agrees with the topology endowed on arbitary metric spaces.

**Remark.** The set of all homeomorphisms of X to itself Homeo(X) is a group with composition as its operation.

Remark. This lemma does not hold for basis.

**Remark.** 1.  $\tau_{X\times Y}$  is the most coarse topology for which both of the projections are continuous.

2.  $\tau_{X \sqcup Y}$  is the finest topology for which both the inclusions are continuous.

Note about product topology:  $\{U \times V \mid U \in \mathcal{O}_X, V \in \mathcal{O}_Y\}$ ; often  $W \subset X \times Y \iff \forall (x,y) \in W \exists U_X \in \mathcal{O}_X, V_Y \in \mathcal{O}_Y, x \in U_X, y \in V_Y$ 

**Remark.** <sup>1</sup> Let  $(X, \mathcal{O})$  be a topological space. A subset that is **both** open and closed is called clopen. Moreover, a subset is clopen if and only if its boundary is empty.

*Proof.* Let  $A \subset X$  be clopen. Because A is closed, we have  $\operatorname{cl}(A) = A$ , but on the other hand, A is open, so we also have  $\operatorname{int}(A) = A$ . Then, the boundary of A is  $\partial A = \operatorname{cl}(A) \setminus \operatorname{int}(A) = A \setminus A = \emptyset$ . All steps we have taken are not just implications, but equivalencies, therefore we have proven the statement.

<sup>&</sup>lt;sup>1</sup>The following is a definition and a small proposition.

## Connected Spaces and Sets

#### 2.1 Definition and Theorems

**Definition 9.** A topological space  $(X, \mathcal{O})$  is said to be connected, if one of the following equivalent conditions is met.

- 1. X is **not** a union of two nonempty, disjoint, and open subsets, i.e. there are no open subsets  $A, B \in \mathcal{O}$  with  $A, B \neq \emptyset$  and  $A \cap B = \emptyset$  such that  $A \sqcup B = X$ .
- 2. The **only** subsets of X that are **both** open and closed (clopen) are the empty set  $\varnothing$  and the entire set X, i.e. if  $A \subset X$  is a subset with  $A \in \mathscr{O}$  and  $X \setminus A \in \mathscr{O}$ , then  $A = \varnothing$  or A = X.
- 3. The **only** subsets of X with empty boundary are the emptyset  $\varnothing$  and the entire set X.
- 4. All continuous maps from X to the two point space  $\{0,1\}$  endowed with the discrete topology is constant.

A subset of X is connected if it is a connected space when viewed as a subspace of X.

**Lemma 10.** Any interval  $I \subset \mathbb{R}$  is connected.

**Lemma 11.** Let X and Y be topological spaces and  $f: X \longrightarrow Y$  a continuous function. If X is connected, then  $f(X) \subset Y$  is connected.

**Definition 12.** A connected component of a topological space is a maximally connected subset  $X_0 \subseteq X$ , i.e.  $X_0$  connected and for all  $X_0 \subsetneq X_1$  then  $X_1$  is not connected.

Proposition 13. Connected components are closed subsets.

**Lemma 14** (Lemma 11). Let X be connected and  $f: X \longrightarrow Y$  and locally constant, i.e. for all  $x \in X$  there exists a  $U_x \in \mathcal{O}_X$ ,  $x \in U_x$  such that f restricted on  $U_x$  is identical to f(x), then f is constant.

**Definition 15.** X is said to be path connected, if for every pair of points x and  $x_0$  in X there is a continuous map (called path)  $\gamma:[0,1]\longrightarrow X$  with  $\gamma(0)=x_0$  and  $\gamma(1)=x$ .

**Lemma 16.** If X is path connected, then it is also connected.

#### 2.2 Proofs, Remarks, and Examples

**Definition 17.** A topological space  $(X, \mathcal{O})$  is said to be connected, if one of the following equivalent conditions is met.

- 1. X is **not** a union of two nonempty, disjoint, and open subsets, i.e. there are no open subsets  $A, B \in \mathcal{O}$  with  $A, B \neq \emptyset$  and  $A \cap B = \emptyset$  such that  $A \sqcup B = X$ .
- 2. The **only** subsets of X that are **both** open and closed (clopen) are the empty set  $\varnothing$  and the entire set X, i.e. if  $A \subset X$  is a subset with  $A \in \mathscr{O}$  and  $X \setminus A \in \mathscr{O}$ , then  $A = \varnothing$  or A = X.
- 3. The **only** subsets of X with empty boundary are the emptyset  $\varnothing$  and the entire set X.
- 4. All continuous maps from X to the two point space  $\{0,1\}$  endowed with the discrete topology is constant.

A subset of X is connected if it is a connected space when viewed as a subspace of X.

*Proof.* We verify the equivalence of the different definitions. So, let  $(X, \mathcal{O})$  be a topological space.

- "1.  $\Rightarrow$  2.": Assume that X is not a union of two nonempty, disjoint, and open subsets. Fix a subset  $A \in X$  that is clopen. If A is neither the empty set nor X, then  $X \setminus A$  is also not the empty set nor X. Clearly, A and  $X \setminus A$  are disjoint and they are also open because A is clopen. But  $A \sqcup B = X$ , so our assumption was absurd. It must be that  $A = \emptyset$  or A = X.
- "2.  $\Rightarrow$  1.": Now let the only clopen set contained in X be the empty set or X itself. Assume there are  $A, B \in \mathcal{O}$  with  $A, B \neq \emptyset$  and  $A \cap B = \emptyset$  such that  $A \sqcup B = X$ . Then, A is open, but also closed because  $X \setminus A = B$  is open. Furthermore, A is not empty and since B is also not empty,  $A \neq X$ . Hence our assumption was wrong and there no nonempty, disjoint, and open subsets A and B such that  $A \sqcup B = X$ .
- "2.  $\iff$  3.": This is one of the properties of clopen subsets and was proven in remark XXX.
- "1.  $\Rightarrow$  4.": Let X not be a union of two nonempty, disjoint, and open subsets. Assume there exists a continuous function  $f: X \longrightarrow \{0,1\}$  with regards to the discrete topology that is not constant. Then,  $f^{-1}(\{0\})$  and  $f^{-1}(\{1\})$  are nonempty sets that are also disjoint. Since f is continuous, these are also open subsets. But we also have  $f^{-1}(\{0\}) \sqcup f^{-1}(\{1\}) = X$ .
- "4.  $\Rightarrow$  1.": Let all continuous functions with regards to the discrete topology be constant. Assume there are two nonempty, disjoint, and open subsets  $A, B \in \mathcal{O}$  such that  $A \sqcup B = X$ . Define  $f: X \longrightarrow \{0,1\}$  as f(A) = 0 and f(B) = 1. This definition is well-defined because  $A, B \in \mathcal{O}$  are nonempty, disjoint, and  $A \sqcup B = X$ . f is also continuous as the preimage of  $\{0\}$  and  $\{1\}$  are A and B respectively which are open subsets. Hence our assumption was wrong.

*Proof.* Fix an interval  $I \subset \mathbb{R}$ , and let  $A, B \subset \mathbb{R}$  be two nonempty, open and disjoint subsets such that  $A \sqcup B = I$ . Moreover, let  $a \in A$  and  $b \in B$  and assume without loss of generality that a < b. If we set

$$s := \inf \{ x \in B \mid a < x \}, \tag{2.1}$$

then  $s \in I$  because s is between a and b and we have  $[a, b] \subset I$ .

Now, on one side, we have  $s \in cl(B)$  and since the complement of B is an open subset A, so B = cl(B). It is therefore  $x \in B$ .

But we also have  $s \in A$  because the infimum cannot be contained in an open set, but  $s \in I = A \sqcup B$ .

**Lemma 19.** Let X and Y be topological spaces and  $f: X \longrightarrow Y$  a continuous function. If X is connected, then  $f(X) \subset Y$  is connected.

**Example 19.1.** The general linear group  $\mathrm{GL}_n(K)$  for a field K and  $n \in \mathbb{N}$  is not connected for  $K = \mathbb{R}$  and  $K = \mathbb{C}$ .

**Remark.** Let  $f: X \longrightarrow Y$  be continuous and X be connected, then  $f(X) \subset Y$  is connected.

*Proof.* Let  $f(X) = A \sqcup B$  with A and B being two open disjoint sets.  $f^{-1}(A)$  and  $f^{-1}(B)$  are open since f is continuous. We also have  $f^{-1}(A) \cap f^{-1}B = f^{-1}(A \cap B) = \emptyset$  so  $f^{-1}(A) = \emptyset$  or  $f^{-1}(B) = \emptyset$ , so  $A = \emptyset$  or  $B = \emptyset$  and we are done.

Proof.

**Example 19.2.** For  $\mathbb{Q} \subset \mathbb{R}$  the connected components are points and those are not open.

*Proof.* Locally constant implies continuous with regards to the discrete topology on Y. Let  $x \in X$ ,  $X = f^{-1}(f(x)) \cup f^{-1}(Y \setminus \{f(x)\})$  is a disjoint union and since X is connected  $f^{-1}(Y \setminus \{f(x)\}) = \emptyset$ . Conclude f is identical to f(x).

**Application:**  $f: X \longrightarrow \{0,1\}$ , X is connected, f locally constant, there is a  $x \in X$  such that f(x) = 1, then f is identical to 1.

*Proof.* Let A and B two disjoint open sets such that  $A \sqcup B = X$ , and let  $a \in A$  and  $b \in B$ . Let  $\gamma : [0,1] \longrightarrow X$  be continuous path with  $\gamma(0) = x_0$  and  $\gamma(1) = x_1$ . We have that  $\gamma^{-1}$ 

**Remark.** The converse statement is not true in general.

**Example 19.3.**  $X = \{(x, \sin(\frac{1}{x})) \mid x > 0\} \cup \{0\} \times [-1, 1] \subset \mathbb{R}^2 \text{ is connected but not path connected.}$ 

Proof. Homework

Remark. missing

#### 2.3 Exercises and Notes

**Remark.** If  $(X, \mathcal{O}_{\mathcal{X}})$  and  $(Y, \mathcal{O}_{Y})$  are two connected topological spaces, then their product  $X \times Y$  with the product topology  $\mathcal{O}_{X \times Y}$  is also connected.

*Proof.* We will use the definition that all continuous maps from  $X \times Y$  to  $\{0,1\}$  endowed with the discrete topology must be constant. Fix a continuous  $f: X \longrightarrow \{0,1\}$ .

First, consider the image  $f(\{x\} \times Y)$  with  $x \in X$ . Assume f is not constant on  $\{x\} \times Y$ , then  $f(\{x\} \times Y) = \{0,1\}$ . So we have the preimages  $f^{-1}(\{0\}) = \{x\} \times U$  and  $f^{-1}(\{1\}) = \{x\} \times V$  with  $U, V \subset Y, U, V \neq \emptyset$ , and  $U \cap V = \emptyset$ . Because f is continuous, U and V must also be open. This would however mean that  $U \sqcup V = Y$  and Y would not be connected, therefore, f is constant on  $\{x\} \times Y$ . Similarly, we get that f is constant on  $X \times \{y\}$  for all  $y \in Y$ .

Let  $(x,y) \in X \times Y$  and  $(x',y') \in X \times Y$  be two arbitary points. We have f(x,y) = f(x,y') because f is constant on  $\{x\} \times Y$  and similary f(x,y') = f(x',y') because f is constant on  $X \times \{y\}$ . Putting everything together, it is f(x,y) = f(x',y'), therefore all continuous  $f: X \times Y \longrightarrow \{0,1\}$  are constant.

**Example 19.4.** Clearly, the union of two connected sets need not be connected. Take for example  $[0,1] \subset \mathbb{R}$  and  $[2,3] \subset \mathbb{R}$ . Their union  $[0,1] \cup [2,3]$  is not connected.

Set difference of connected sets are also not necessarily connected, e.g.  $[0,2] \subset \mathbb{R}$  and  $\{1\} \subset \mathbb{R}$  are connected, but  $[0,2] \setminus \{1\} = [0,1) \cup (1,2]$  is not.

More interestingly, the intersection of two connected sets also need not be connected. Consider the unit circle around the origin  $S^1 = \{(x,y) \mid x^2 + y^2 = 1\}$  and another unit circle around (1,0)  $A := \{(x,y) \mid (x-1)^2 + y^2 = 1\}$ . They are both connected, but their intersection is a two point set

$$\left\{ \left(\frac{1}{2}, \frac{1}{2}\sqrt{3}\right), \left(\frac{1}{2}, -\frac{1}{2}\sqrt{3}\right) \right\}$$

which is not connected.

**Example 19.5.** Connectedness does not imply path-connectedness. Let  $\mathbb{R}^2$  be endowed with the Euclidean topology and consider

$$X = \left\{ \left( x, \sin\left(\frac{1}{x}\right) \right) \mid x > 0 \right\} \cup \left( \left\{ 0 \right\} \times \left[ -1, 1 \right] \right) \subset \mathbb{R}^2.$$

and see figure XXX. X is connected, but it is not path-connected.

Proof. Denote

$$A := \left\{ \left( x, \sin\left(\frac{1}{x}\right) \right) \mid x > 0 \right\} \qquad B := \{0\} \cup [-1, 1],$$

then  $X = A \sqcup B$ .

1. First, define  $f: \mathbb{R}^+ \longrightarrow \mathbb{R}^2$  as

$$f(x) := \left(x, \sin\left(\frac{1}{x}\right)\right).$$

f is continuous,  $\mathbb{R}^+$  is an interval, therefore connected, so  $f(\mathbb{R}^+) = A$  is connected. On the other hand,  $\{0\}$  and [-1,1] are connected and so is their product B.

Assume there is a clopen subset  $S \subset X$  that is not empty. Without loss of generality, we have that  $(0,0) \in U$  (otherwise, consider the complement of U which also must be clopen). Since A is clopen in A, the intersection  $A \cap U$  must also be clopen in A, but A is connected, so A is contained in U.

Moreover, the closure of A is also contained in U. So there is an  $\epsilon > 0$  such that the ball  $B(p,\epsilon)$  that contains (0,0) is in U. I got lazy to go into the details, but this ball contains a point of B. Follow the same reason as above.

## Separation Axioms

Literature: Groessere Liste in Sten, Seibeck

**Definition 20** ( $T_1$  Space). Let X be a topological space.

- 1. We say that two points x and y can be separated if each lies in a neighborhood that does **not** contain the other point.
- 2. A topological space X is a  $T_1$  space if any two distinct points in X are separated.

**Proposition 21.** Let X be a topological space. Then, the following are equivalent.

- 1. X is a  $T_1$  space.
- 2. Points are closed in X, i.e. given any  $x \in X$ , the singleton set  $\{x\}$  is a closed set.

**Definition 22** ( $T_2$  Space). Let X be a topological space.

- 1. Points x and y in X can be separated by neighborhood if there exists a neighborhood U of x and a neighborhood V of y such that U and V are disjoint, i.e.  $U \cap V = \emptyset$ .
- 2. A topological space X is a  $T_2$  space if any two distinct points in X are separated by neighborhood.

**Proposition 23.** Let X be a topological space. Then, the following are equivalent.

- 1. X is a  $T_2$  space.
- 2. Any singleton set  $\{x\}$  is the intersection of all closed neighborhoods of x.
- 3. The diagonal  $\Delta = \{(x, x) \mid x \in X\}$  is closed as a subset of the product space  $X \times X$ .

**Proposition 24.**  $T_2$  spaces are also  $T_1$  spaces.

# Compact Spaces

**Definition 25.** 1. A topological space *X* is called **compact** if each of its open cover has a **finite** subcover.

2. A topological space X is called sequentially compact if every sequence in X has a convergent subsequence whose limit is in X.

Theorem 26. Satz 17

**Theorem 27.** Let  $A \subset \mathbb{R}^n$  be a subset. A is compact if and only if it is closed and bounded.

**Theorem 28.** Let X be a  $T_2$  space. If a subset  $K \subset X$  is compact, then it is closed.

**Theorem 29.** Let X and Y be topological spaces, X compact, and Y be a  $T_2$  space. If  $f: X \longrightarrow Y$  is bijective and continuous, then the inverse function  $f^{-1}$  is continuous.

### 4.1 Proofs, Remarks, and Examples

**Lemma 30.**  $[0,1] \subset \mathbb{R}$  is compact.