

EECE 5550 Mobile Robotics

Lecture 17: Robotic exploration

Derya Aksaray

Assistant Professor

Department of Electrical and Computer Engineering



Northeastern
University

Plan of the day

- The exploration problem
- Frontier-based exploration
- A brief introduction to information theory
- Information-based exploration

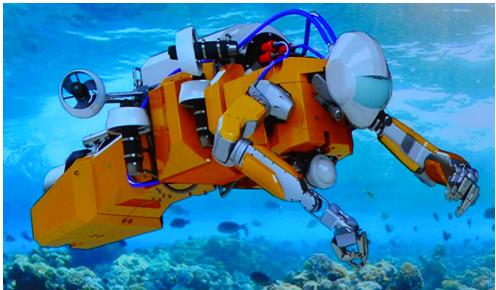
References

- B. Yamauchi: “A Frontier-Based Approach for Autonomous Exploration”
- F. Bourgault, A.A. Makarenko, S.B. Williams: “Information Based Adaptive Exploration”
- H. Carrillo, P. Dames, V. Kumar, J.A. Castellanos: “Autonomous Robotic Exploration Using Occupancy Grid Maps and Graph SLAM Based on Shannon and Renyi Entropy”
- C.E. Shannon: “A Mathematical Theory of Communication”

The exploration problem

Goal: Build a **complete** map of an initially unknown environment by **selecting appropriate control actions** which will lead the robot to visit previously unseen areas (or revisit highly uncertain areas)

Applications of autonomous exploration:



Stanford humanoid for deep-sea exploration



CMU/Oregon State U.
robot for
underground
exploration



Drones for
infrastructure
exploration



NASA JPL
VolcanoBot



Space exploration

The exploration problem

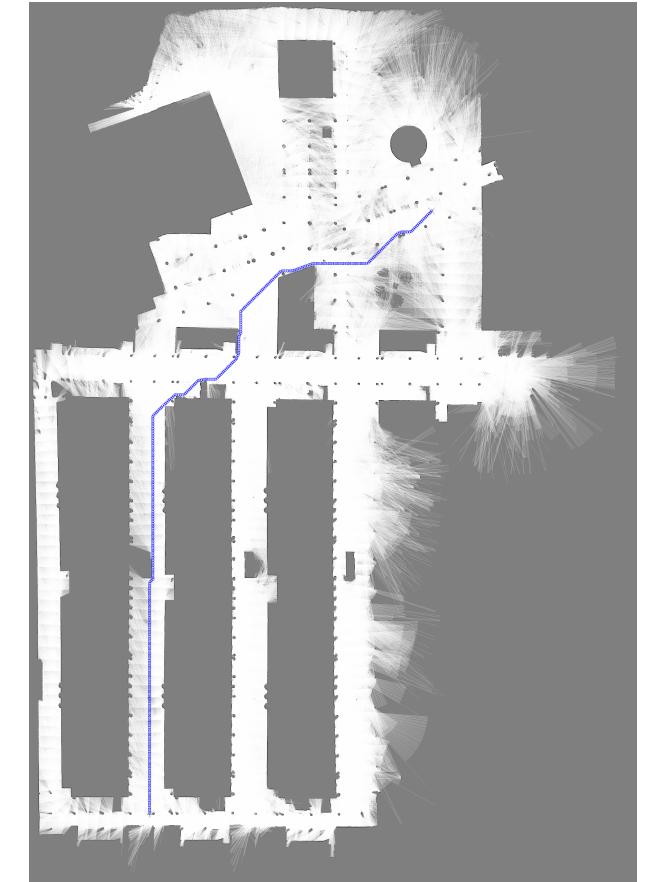
Goal: Build a **complete map** of an initially **unknown environment** by **selecting appropriate control actions** which will lead the robot to visit previously unseen areas (or revisit highly uncertain areas)

Recall: SLAM algorithms

- enable us to **build a map from sensor measurements** and localize the robot in the built map
- do **not address the trajectory planning** of a robot (where to collect measurements).

Fundamental question: How should we decide **what measurements to collect**, given that we only have partial knowledge of the environment as we are building the map?

- *Decision-making problem*



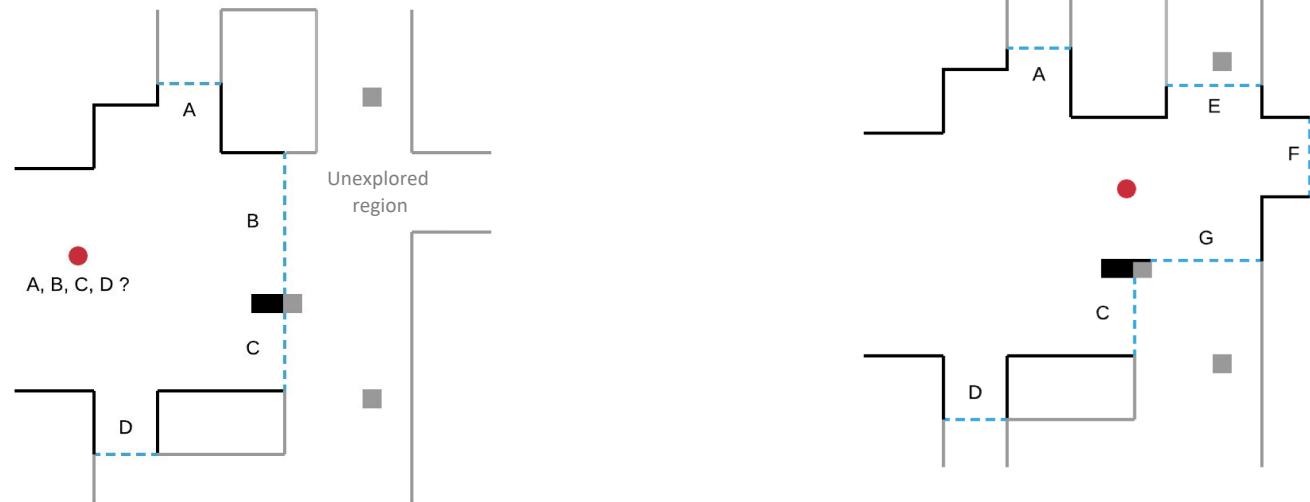
Methods for robotic exploration

- **Human-directed:** No robot-autonomy; human is teleoperating the robot.
- **Random:** Select viewpoints based on a purely random approach.
- **Frontier-based:** Select viewpoints at the frontier of the explored space.
- **Information-based:** Select viewpoints to maximize the amount of new information obtained.



Frontier-based exploration

Main idea [Yamauchi]: To gain the most new information about the world, move to the **boundary** between the open space and unexplored territory.



Key question: How can we formalize the notion of “**boundary**” between free and unexplored space?

Frontier extraction in occupancy grids

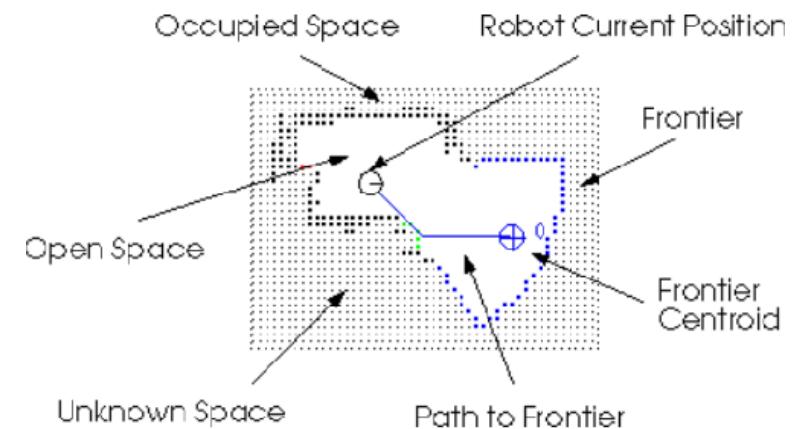
Occupancy grids are particularly nice for frontier-based exploration, because they admit a simple approach to classifying space as *free*, *occupied*, and *unknown*:

- **Free:** $p(\text{occ}) < \text{prior}$
- **Occupied:** $p(\text{occ}) > \text{prior}$
- **Unknown:** $p(\text{occ}) = \text{prior}$

We then define the set of *boundary cells* to be the set of all grid cells that are:

- Unoccupied
- Adjacent to an unknown cell

Finally, we define a *frontier* to be a maximal connected set of boundary cells.

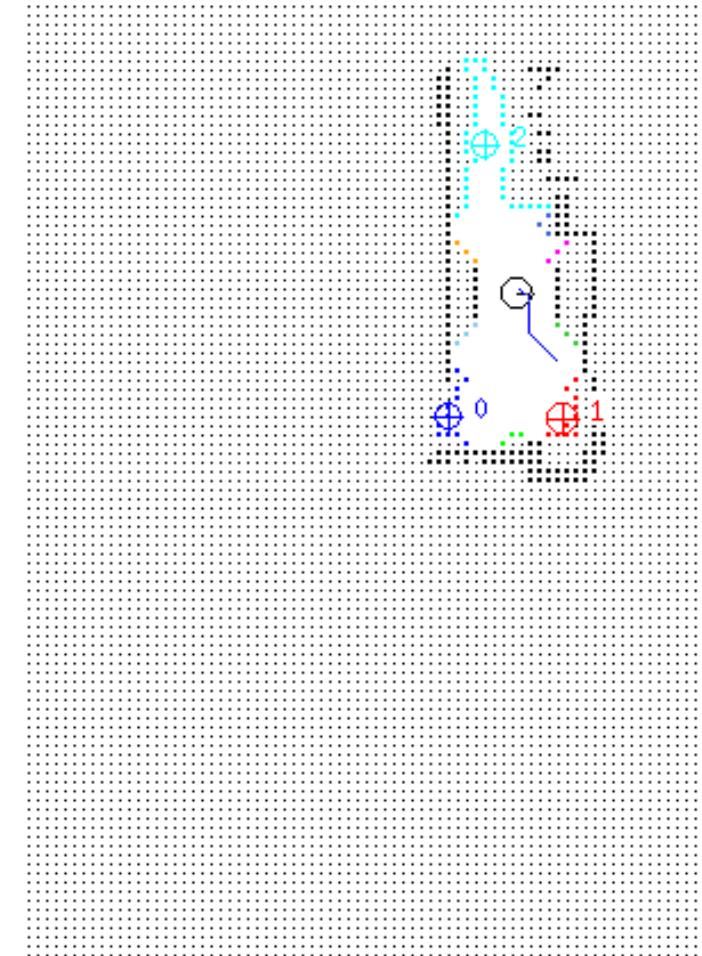


A Simple Frontier-Based Exploration Algorithm

while frontiers exist, repeat:

1. Plan a path to the nearest one
2. Follow the plan to collect measurements
3. Update map & frontiers

end while



Autonomous exploration with Turtlebot

Exploring with explore_lite

Jiri Horner

Frontier-Based Exploration in Occupancy Grids

Pro: Super simple 😊!

But: This approach does not quantify how valuable it would be to move the robot to a given frontier.

There exist other methods which take into account this!

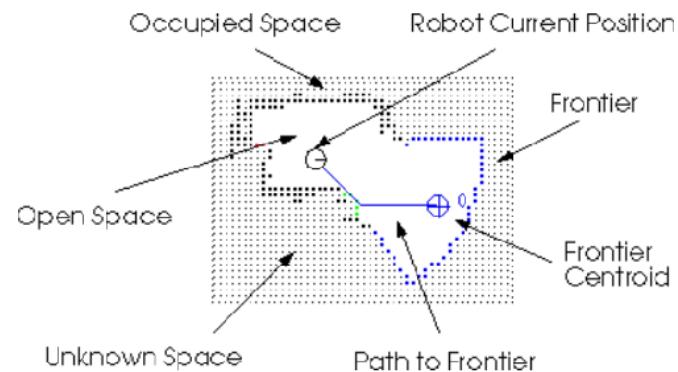
- **Information based exploration:** Selecting next points that maximize the amount of new info
- How do we measure the information?

Frontier-Based Exploration

while frontiers exist, repeat:

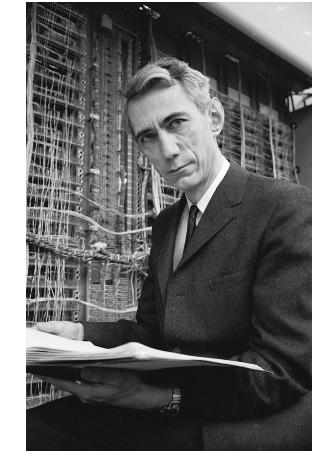
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Entropy

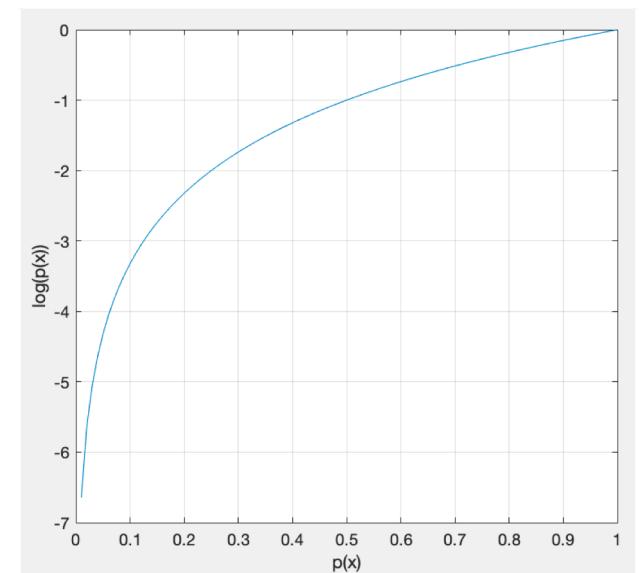
In information theory, the canonical measure of “uncertainty” associated with a random variable X is *entropy*.



Claude E. Shannon

If X is a discrete random variable taking values in the set $\{x_1, \dots, x_N\}$, its entropy is given by:

$$H(X) = - \sum_{i=1}^N P(x_i) \log P(x_i)$$



How does entropy measure “uncertainty”?

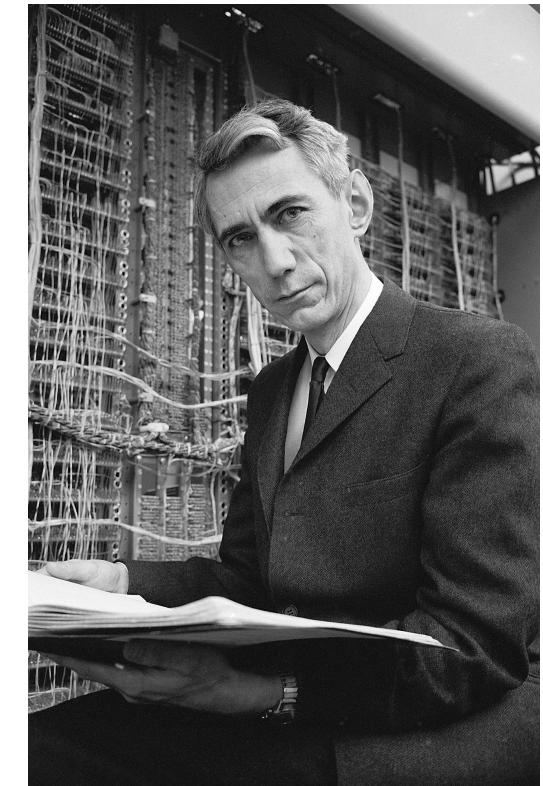
Shannon's key insight: The amount of “information” obtained by observing the outcome of a random process is directly related to how *unlikely* (or “surprising”) that outcome is.

Ex: The entropy $H(X)$ is maximal for a uniform distribution, i.e., the uncertainty is highest when all outcomes are equally likely.

Let X has n possible outcomes, and $p(x_i) = \frac{1}{n}$

$$H(X) = - \sum_{i=1}^n \frac{1}{n} \log \frac{1}{n} = \log(n)$$

Key question: Can we come up with a good way of *measuring* the “information content” $I(X)$ of a random event X ?)



Claude E. Shannon

How does entropy measure “uncertainty”?

Develop a measure $I(A)$ that assigns to a random event A telling us how “informative” observing that event is.

One approach: Let's **specify** what properties we might like I to possess

Information axioms: Let A be a random event.

1. Nonnegativity: $I(A) \geq 0$
2. Monotonicity: $I(A)$ is **monotonically decreasing** in $p(A)$.
(Observing a *more likely* event is *less informative / surprising*).
3. If $p(A) = 1$ then $I(A) = 0$
(Observing an almost-sure outcome provides *zero* information)
4. **Additivity:** If B is another event independent of A , then:

$$I(A \cap B) = I(A) + I(B)$$

Key point: Shannon showed that **any function I** satisfying 1-4 must be of the form:

$$I(A) = k \log p(A)$$

for $k < 0$.

How does entropy measure “uncertainty”?

Now let's recall the definition of entropy:

$$\begin{aligned} H(X) &= - \sum_{i=1}^N P(x_i) \log P(x_i) \\ &= \sum_{i=1}^N P(x_i) \cdot \underbrace{(-\log P(x_i))}_{\text{Information / surprise of observing realization } X = x_i} \end{aligned}$$

Expectation over *all* possible realizations of X

Therefore: The entropy $H(X)$ of a random variable X is measuring the *expected information / surprise* of observing a realization of X .

Example - Entropy

Consider tossing a coin.

Scenario 1: The coin is fair (heads and tails have equal probability)

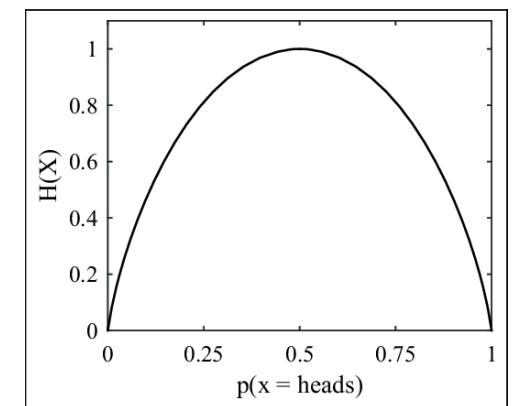
$$\begin{aligned} H(X) &= - \sum_{i=1}^N P(x_i) \log P(x_i) \\ &= - \sum_{i=1}^2 \frac{1}{2} \log_2 \frac{1}{2} = - \sum_{i=1}^2 \frac{1}{2} (-1) = 1 \end{aligned}$$

Scenario 2: The coin is not fair ($p(\text{heads}) = 0.7$)

$$\begin{aligned} H(X) &= -p(\text{heads}) \log_2 p(\text{heads}) - p(\text{tails}) \log_2 p(\text{tails}) \\ &= -0.7 \log_2 0.7 - 0.3 \log_2 0.3 = \textcolor{blue}{0.8816} < 1 \end{aligned}$$



What about if $p(\text{heads}) = 1$?



Conditional entropy and mutual information

The notion of entropy can be used to measure how “informative” one random variable is wrt. another.

Suppose that X and Y are jointly distributed, with $P(X, Y) = P(Y|X)P(X)$, and we observe $Y = y$. How can we quantify how much **observing Y** has reduced my uncertainty **about X** ?

Before observing Y : My belief over X was simply the ***prior*** $p(X)$.

After observing Y : My belief over X is the ***posterior*** $p(X|Y = y)$.

Therefore: The **reduction in my uncertainty** over X due to measuring $Y = y$ is:

$$H(X) - H(X|Y = y)$$

It follows that the ***expected reduction*** in X ’s uncertainty after observing Y is:

$$H(X) - E_Y[H(X|Y = y)]$$

Conditional entropy and mutual information

Recall: The *expected reduction* in X 's uncertainty after observing Y is:

$$H(X) - E_Y[H(X|Y = y)]$$

The second term above is called the *conditional entropy*, denoted $H(X|Y)$:

$$H(X|Y) \triangleq E_Y[H(X|Y = y)]$$

Similarly, the *expected reduction in X 's uncertainty after observing Y* is called the *information gain* (or *mutual information* between X and Y) denoted $I(X; Y)$:

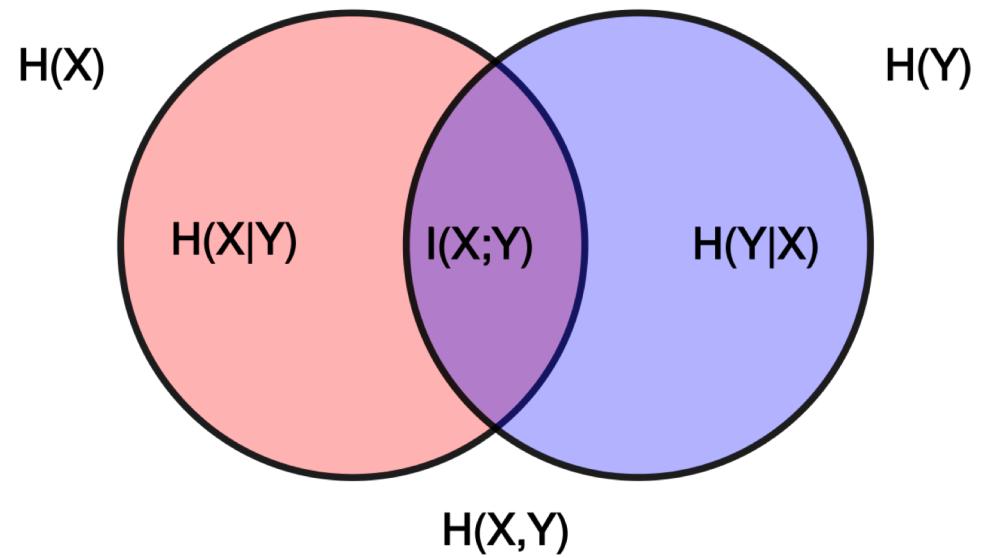
$$I(X; Y) \triangleq H(X) - H(X|Y)$$

⇒ The mutual information $I(X; Y)$ quantifies how much *observing Y tells me about X* .

Conditional entropy and mutual information

Graphical representation of the conditional entropy and the mutual information.

$$\begin{aligned} I(X;Y) &\triangleq H(X) - H(X|Y) \\ &= H(Y) - H(Y|X) \\ &= H(X) + H(Y) - H(X,Y) \end{aligned}$$



Measuring information in occupancy grid maps

Recall that

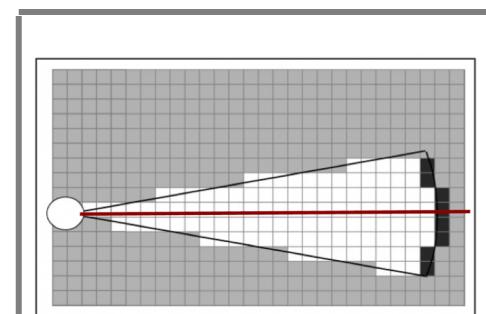
- Probabilistic occupancy grids encode a *belief* $p(M|z_{1:k})$ over the map M given measurements $z_{1:k}$
- The cells (the random variables) are **independent** of each other.

Initially: We (typically) have a uniform prior $p(m_i) = .5$ for all cells

⇒ Intuitively: we are **completely ignorant** about the state of the world

After mapping: Ideally, we want either $p(m_i) \approx 1$ $p(m_i) \approx 0$ for all reachable m_i

⇒ Intuitively: We are **highly certain** about the status of all cells



Inverse sensor model

$$p(m_i|z_t, x_t)$$

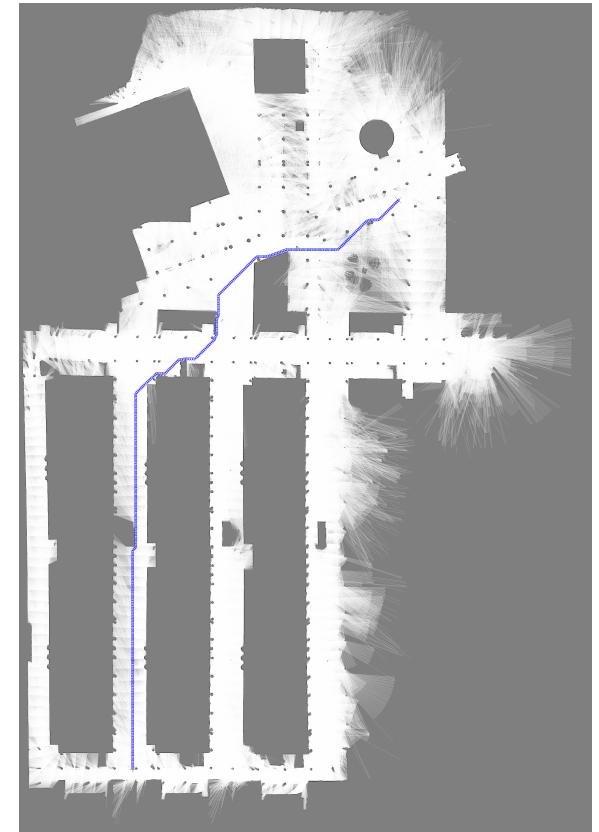
$$l(m_i|z_{1:t}, x_{1:t}) = l(m_i|z_t, x_t) + l(m_i|z_{1:t-1}, x_{1:t}) - l(m_i)$$

⇒ The “quality” of information ↔ *posterior uncertainty* ↔ *entropy of the posterior*

Entropy in occupancy grid maps

$$H(M) = \sum_i H(m_i)$$

Intuitively: the uncertainty of the *entire map* is the *sum* of the uncertainties of each of its cells



Information-based exploration

Goal: Given a *current* belief $p(M)$ for the map, how do we determine where to scan next?

Simple greedy strategy: Select the scan that *maximizes the decrease in map entropy*:

$$x_k^* = \operatorname{argmax}_{x \in SE(d)} H(M) - H(M|Z(x))$$

where here “ $Z(x)$ ” means “a scan taken at pose x ”.

Equivalently: Choose the scan that *maximizes mutual information*:

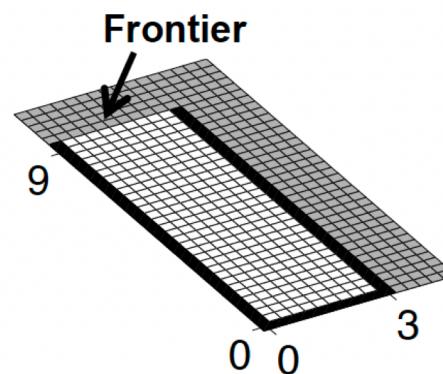
$$x_k^* = \operatorname{argmax}_{x \in SE(d)} I(M; Z(x))$$

Information-based exploration

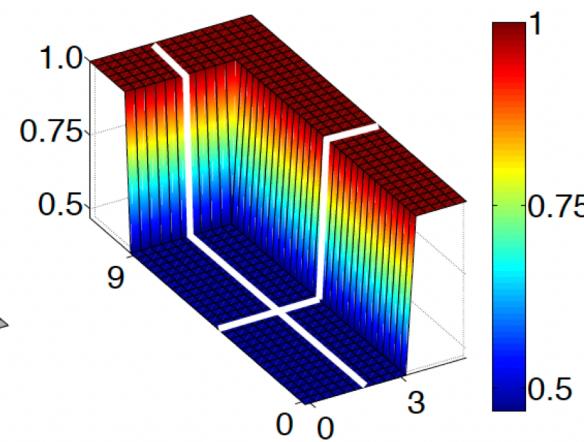
$$H(M)$$

$$I(M; Z(x)) = H(M) - H(M|Z(x))$$

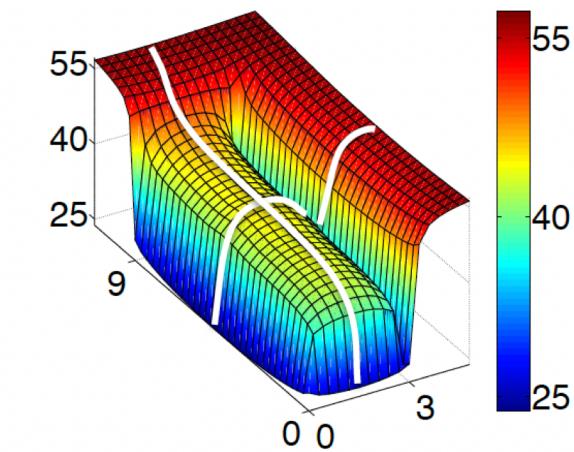
Occupancy
Grid Map



Entropy
Map



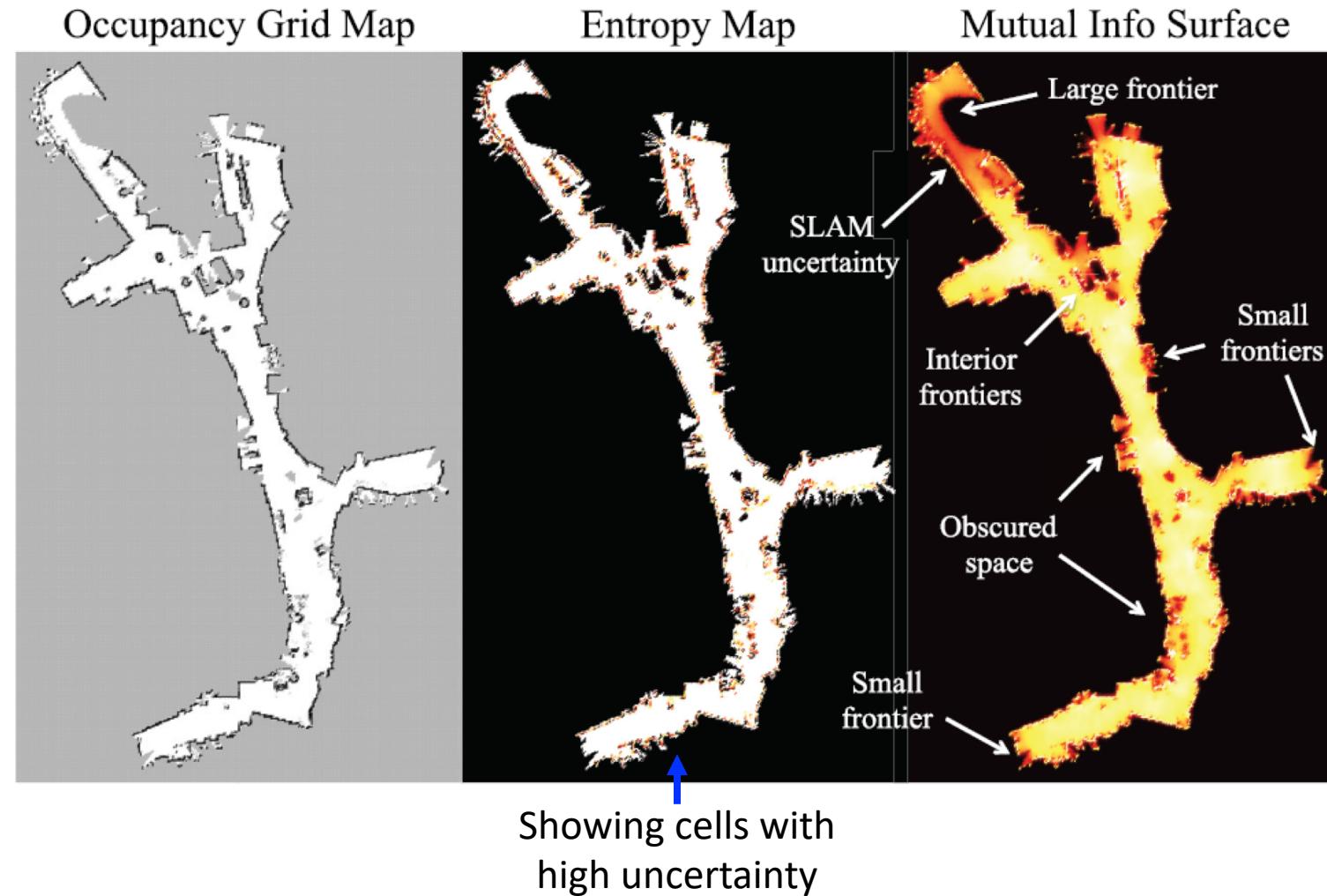
Mutual Info
Reward Surface



Mutual information

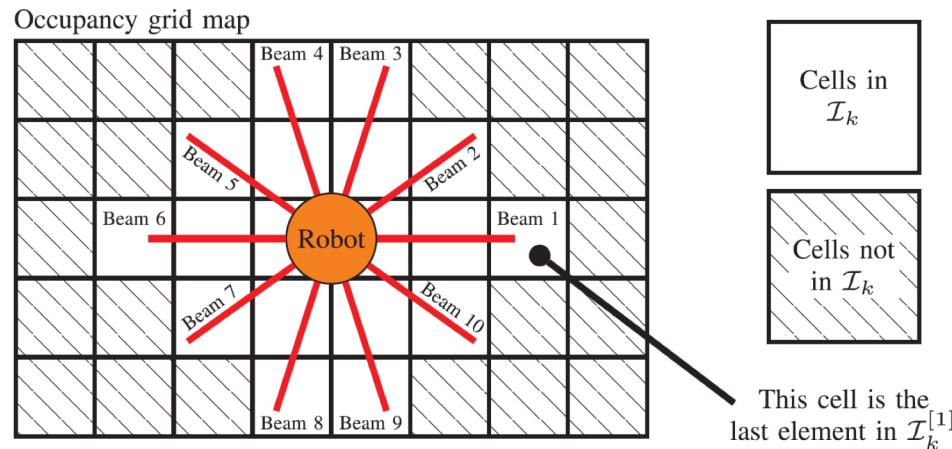
- predicts how much future measurements will decrease the robot's uncertainty assoc. with all cells.
- Is function of both the robot's position and the uncertainty of the surrounding cells.

Information-based exploration



Sensor footprints

Recall: We only update the occupancy probabilities of cells that lie in the sensor footprint



Therefore: Decrease in map entropy is equal to decrease in entropy of **cells in the sensor footprint**:

$$H(M) - H(M|Z(x_k)) = H(M_{\mathcal{I}_k}) - H(M_{\mathcal{I}_k}|Z(x_k)) = I(M_{\mathcal{I}_k}; Z(x_k))$$

Payoff: To calculate the value of a scan, we only need to consider the (relatively few) cells **in the scan's sensor footprint**

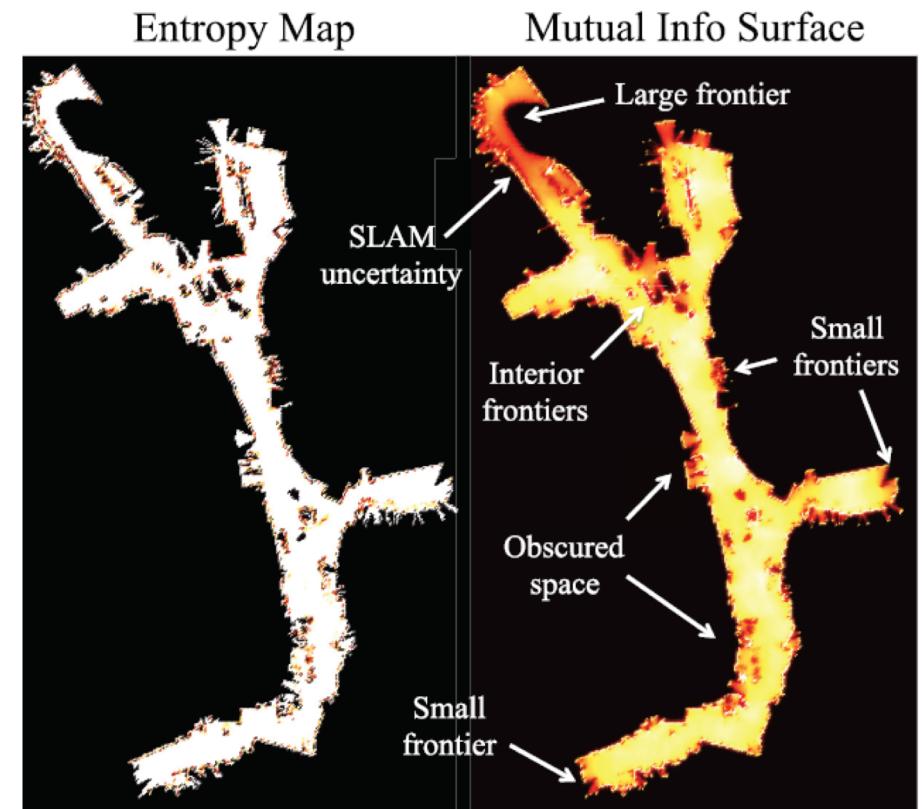
A simple information-based exploration algorithm

repeat

1. Calculate the next **most-informative pose** x_k^* at which to scan:

$$x_k^* = \operatorname{argmax}_{x_k \in SE(d)} I(M_{I_k}; Z(x_k))$$

2. Collect measurements
 3. Update map
- until (termination condition)



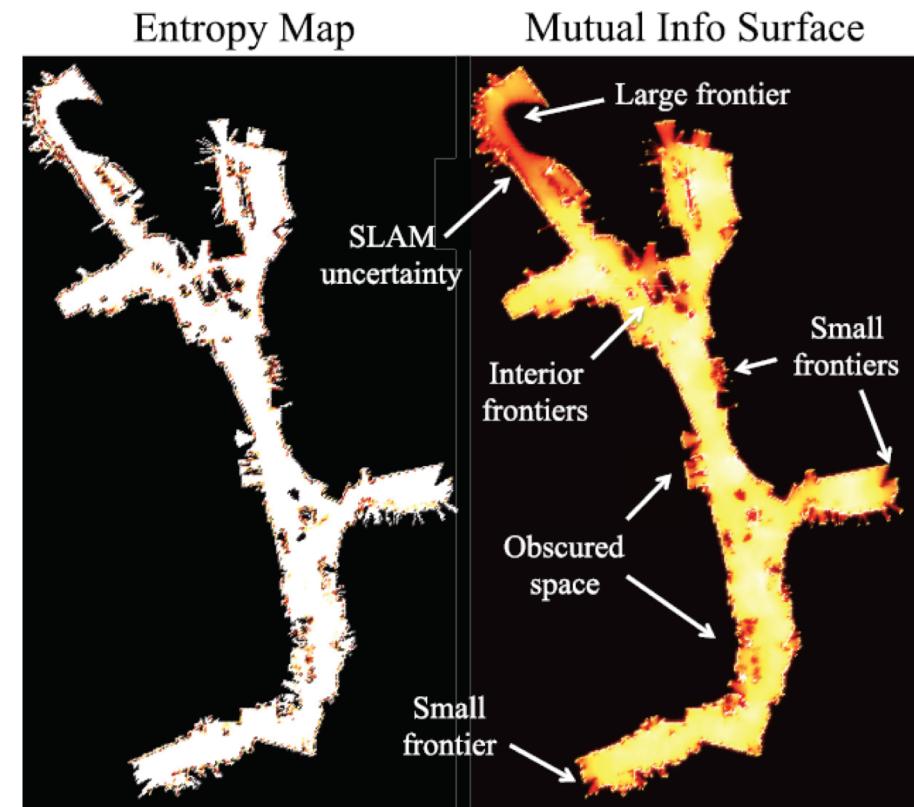
Practicalities

1. Computing the *exact* most-informative pose requires searching over *every possible* pose:

$$x_k^* = \operatorname{argmax}_{x_k \in SE(d)} I(M_{I_k}; Z(x_k))$$

This might get expensive ...

2. Rather than planning for *single* measurements, we might like to design (approximately) optimal *trajectories*



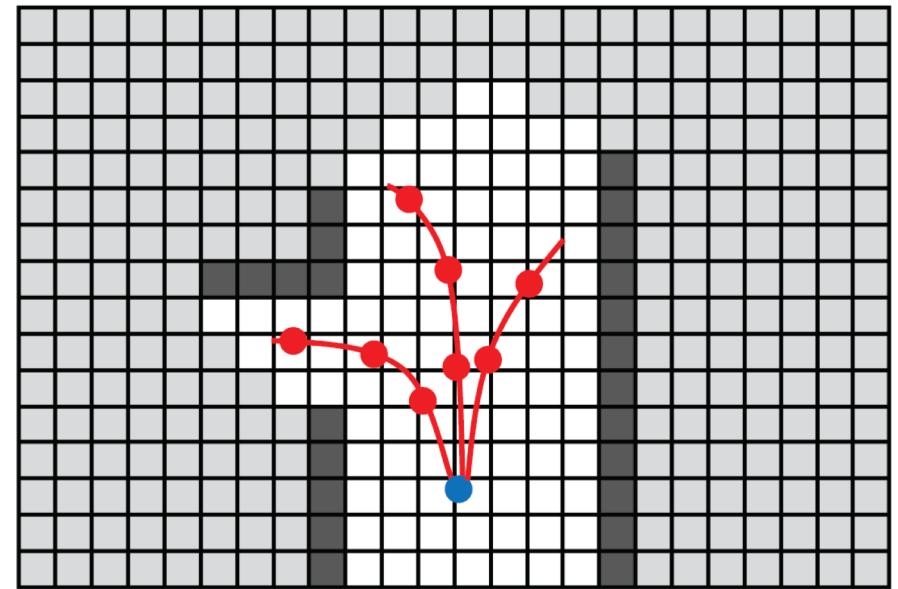
MPC for information-based exploration

repeat

1. Calculate a (k -step, feasible) *trajectory* $x_{1:k}$ that (approximately) maximizes MI:

$$x_{1:k}^* = \operatorname{argmax}_{x_i \in SE(d)} I(M; Z(x_{1:k}))$$

2. Execute first stage of plan
 3. Update map
- until (termination condition)



Information-based exploration



<http://www.roboticsproceedings.org/rss01/p09.pdf>

Information-based exploration on MIT RACECAR



Red: a set of potential paths that the car is deciding between

Bright green: the highest mutual information reward

Mutual information surface:
Bright spots have the highest mutual information.

Multi-robot exploration

