



$\Rightarrow$  Since  $w \geq 0$ ,

$$w^T x^* = \sum w_i x_i^* \leq 2 \sum w_i \bar{x}_i$$

$$= 2 w^T \bar{x}.$$

□.

## Approximation algorithm for Knapsack.

Knapsack: items  $1, \dots, n$

(integers) weight  $w_1, \dots, w_n$ .

(integers) values  $v_1, \dots, v_n$ .

maximize  $\sum_{i \in S} v_i$  subject to  
 $S \subseteq [n]$

$$\sum_{i \in S} w_i \leq W.$$

Def<sup>n</sup>: [Polynomial time approximation scheme (PTAS)]

Approx. algorithm  $A$  for some

ppt. problem  $O$ , takes an

additional input  $\epsilon$ , and it

outputs a solution which is

within  $(1+\epsilon)$ -factor of the

optimal solution.  $A$  must run

in polynomial time (in input

instance of  $O$ ) for any  
constant  $\epsilon > 0$ .

e.g.  $A$  can run in time

$$n^{O(1/\epsilon)} \text{ or } \text{poly}(n) \cdot 2^{O(1/\epsilon)}.$$

## PTAS for Knapsack.

Will design the following algorithm  $A$ .

$A$  solves Knapsack in time

$$O(n^2 v^*), \quad v^* = \max_{i \in [n]} v_i$$

$$([n] = \{1, \dots, n\}).$$

(Based on dynamic programming;  $\square$ .  
Pseudopoly time).

Idea:  $\rightarrow$  Pick a parameter  $b$ ,  
round the values  $(v_i\text{'s})$  to

multiples of  $b$ .

→ Scale the values down by  $b$ , and use  $A$  to solve the instance.

→ Output the solution obtained.

Let's make things precise:

→ Will pick  $b$  later.

→ Define  $\tilde{v}_i = \left\lceil \frac{v_i}{b} \right\rceil \cdot b$

→  $\hat{v}_i = \left\lceil \frac{v_i}{b} \right\rceil = \frac{\tilde{v}_i}{b}$ .

$$v_i \leq \tilde{v}_i \leq v_i + b.$$

Approximate-Knapsack( $\epsilon$ , input to knapsack):

(i) Set  $b = \left\lfloor \frac{V^*}{\left(\frac{2^n}{\epsilon}\right)} \right\rfloor$ ;

(recall  $V^* = \max_i v_i$ )

(ii) Output solution of algorithm  $A$  on knapsack using  $\hat{v}_i$ . Let  $S$  be the output set.

Some assumptions: (i)  $1/\epsilon$  is an integer.

(ii)  $\forall i \in [n], w_i \leq W$ .

Claim! The above algorithm runs in

$O(n^3/\epsilon)$  time.

Pf: Run time is  $O(n^2 \cdot \max_i \hat{v}_i)$ .

$$\hat{v}_i \leq \left\lceil \frac{v^*}{b} \right\rceil \leq 1 + \frac{2n}{\epsilon}$$

$$\Rightarrow O(n^3/\epsilon).$$

□.

Proof that is a  $(1+\epsilon)$ -approx algorithm.

Obs 1  $S$  is indeed a 'valid solution'

$$\text{i.e. } \sum_{i \in S} w_i \leq W.$$

□.

Obs 2  $S$  is an optimal solution

using values  $\hat{v}_i$  iff

$S$  is also an optimal solution using values  $\tilde{v}_i$ .

Consider any  $T \subseteq [n]$  s.t

$$\sum_{i \in T} w_i \leq W.$$

We will prove:  $(1+\epsilon) \sum_{i \in S} v_i \geq \sum_{i \in T} v_i.$

If:  $\sum_{i \in T} v_i \leq \sum_{i \in T} \tilde{v}_i \leq \sum_{i \in S} \tilde{v}_i$

$\uparrow$   
 $\forall i, v_i \leq \tilde{v}_i$

$\uparrow$   
since  $S$  is an opt solution for knapsack using  $\tilde{v}_i$ .

$$\leq \sum_{i \in S} v_i + nb,$$

$\uparrow$   
 $\forall i, \tilde{v}_i \leq v_i + b$

$$\sum_{i \in T} v_i \leq \sum_{i \in S} v_i + nb.$$

Thus, enough to show,

$$\frac{nb}{\varepsilon} \leq \sum_{i \in S} v_i.$$

Proving the above inequality!

$$\sum_{i \in S} \tilde{v}_i \geq \max_{j \in [n]} \tilde{v}_j$$

$$\Rightarrow \sum_{i \in S} v_i \geq \max_{j \in [n]} \tilde{v}_j - nb.$$

$$(\text{since } \tilde{v}_i \leq v_i + b)$$

Observe that

$$\max_{j \in [n]} \tilde{v}_j = \left\lceil \frac{v^*}{b} \right\rceil \cdot b$$

$$> 2n b$$



$$\text{since } \left\lceil \frac{V^*}{b} \right\rceil \geq \frac{2n}{\varepsilon} ,$$

by our choice of

$b$  .

$$\Rightarrow \sum_{i \in S} v_i \geq \left( \frac{2}{\varepsilon} - 1 \right) nb \geq \frac{nb}{\varepsilon} ,$$

for  $\varepsilon < 1$  .

□ .