

## Recap...

Def.  $P$  is the set of decision problems solvable by poly-time alg.

$NP$  is the set of decision problems that have a poly-time verifier: an algorithm  $V$  with two inputs  $x$  &  $y$ , called "problem instance" & "witness".  
 s.t.  $V$  runs in poly-time in worst case and  
 $\forall x \quad A(x) = \text{yes} \iff \exists y \text{ s.t. } |y| \leq \text{poly}(|x|) \text{ and } V(x, y) = \text{yes}.$

Observe the asymmetry: verifier for "yes" but not "no".

E.g. for Indep Set, the verifier  $V(x, y)$  accepts input

$x$  = input instance of Indep Set,  
 i.e.  $x = (G, k)$

$y$  = subset of  $V(G)$ .

Verifier tests that  $|y| \geq k$  and  
 $\forall \text{ edge } e = (u, v), \{u, v\} \not\subseteq y.$   $O(k)$   
 $O(m)$

Def. A decision problem  $B$  is NP-hard if every problem  $A \in NP$  satisfies  $A \leq_p B$ .

$B$  is NP-Complete if it is NP-hard and  $B \in NP$ .

"Easy enough that solutions to  $B$  can be easily verified.

Hard enough that every other NP problem reduces to it."

Theorem. (Cook-Levin) 3SAT is NP-Complete.

Corollary. For a decision problem  $B \in NP$  the following are equivalent.

1.  $B$  is NP-complete
2.  $3SAT \leq_p B$
3.  $\exists$  an NP-complete problem  $A$  such that  $A \leq_p B$ .

Proof.

(1)  $\Rightarrow$  (2).  $3SAT \in NP$ ,  $B$  is NP-complete,  $\therefore 3SAT \leq_p B$ .

(2)  $\Rightarrow$  (3). By Cook-Levin.

(3)  $\Rightarrow$  (1). By transitivity of  $\leq_p$ .

Assuming  $A$  is NP-complete and  $A \leq_p B$ ,  
if  $A'$  is any other problem in NP,

$$\begin{array}{ccccc} A' & \leq_p & A & \leq_p & B & \therefore & A' & \leq_p & B \\ \uparrow & & & \uparrow & & & \uparrow & & \\ A' \text{ is NP-C} & & & \text{By assumption} & & & \text{transitivity.} & & \end{array}$$

This shows  $B$  is NP-hard. Since  $B \in NP$   
by assumption,  $B$  is NP-Complete.

Corollary. Any 2 NP-complete problems can be reduced to one another.

E.g.  $INDSET \leq_p 3SAT$ . See if you can find a reduction!

Recipe for showing a problem  $B$  is NP-Complete.

(1)  $B \in NP$ . Describe a verifier. Confirm  $V$  runs in poly time.

(2) Choose a problem,  $A$ , that you know to be NP-Complete. Describe a reduction from  $A$  to  $B$ , i.e. a function  $f: I_A \rightarrow I_B$ .

(3) Confirm reduction runs in poly time.

Correctness  
of the  
reduction

(4)  $\forall x \in I_A \quad A(x) = \text{'yes'} \Rightarrow B(f(x)) = \text{'yes'}$

(5)  $\forall x \in I_A \quad B(f(x)) = \text{'yes'} \Rightarrow A(x) = \text{'yes'}$

Don't "reduce in the wrong direction."

To show  $B$  is NP complete, reduce from some other problem  $A$ .

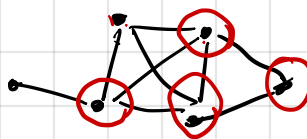
### Some other NP-Complete Problems

- **CLIQUE.** Given  $(G, k)$   $G$  undirected graph,  $k \in \mathbb{N}$ . Does  $G$  contain a set  $S$  with  $|S| \geq k$  such that every 2 elements of  $S$  are joined by an edge.



(A graph with a clique of size 4.)

- **VERTEX COVER.** Given  $(G, k)$  as before. Does  $G$  contain a set  $S$  with  $|S| = k$  such that every edge has at least one endpoint in  $S$ .



(A vertex cover of size 4.)

$\text{IND SET} \leq_p \text{CLIQUE}$ . The reduction is  $f(G, k) = (\bar{G}, k)$

$\bar{G}$  has same vertex set as  $G$ , but the complementary edge set:  $(u, v) \in E(\bar{G}) \iff (u, v) \notin E(G)$ .  
 $S$  is independent in  $G \iff S$  is a clique in  $\bar{G}$ .

$\text{IND SET} \leq_p \text{VTX COVER}$ . The reduction is  $f(G, k) = (G, |V(G)| - k)$ .

$S$  is independent in  $G \iff \bar{S}$  is a vertex cover of  $G$

$\Uparrow \qquad \Downarrow$   
 $\forall (u, v) \in E, u \notin S \text{ or } v \notin S \iff \forall (u, v) \in E, u \in \bar{S} \text{ or } v \in \bar{S}$

- SET COVER. Given sets  $S_1, S_2, \dots, S_n \subseteq [m]$  and  $k \in \mathbb{N}$   
Are there  $k$  sets in the given collection whose union is  $[m]$ ?

Reduce from?

- (A) 3SAT
- (B) IS
- (C) CLIQUE
- (D) VERTEX COVER

"cover" occurs in both problems  
If satisfied for  $k$ , also  
satisfied  $\forall k' > k$ .

Reduction:

Number edges of  $G$  as  $e_1, e_2, \dots, e_m$ .

$\forall$  vertex  $v$  create set  $S_v$   
defined as  $\{i \mid e_i \text{ has } v \text{ as an endpoint}\}.$