

Hand in your solutions electronically using CMS. Each solution should be submitted as a separate file. Collaboration is encouraged while solving the problems, but:

1. list the names of those with whom you collaborated;
2. you must write up the solutions in your own words;
3. you must write your own code.

Remember that when a problem asks you to design an algorithm, you must also prove the algorithm's correctness and analyze its running time. The running time must be bounded by a polynomial function of the input size.

(1) (15 points) Define  $(\leq k)$ -CNF to be a CNF with each clause containing at most  $k$  literals, and a  $k$ -CNF to be a CNF with each clause containing exactly  $k$  literals.

(1a) (8 points) Consider a variant of 3SAT, which we call  $(2,3)$ -LSAT, defined in the following way: An input instance of  $(2,3)$ -LSAT is a  $(\leq 3)$ -CNF on  $n$  variables, with the additional restriction that each literal appears at most 2 times, and the output is 'Yes' if the given instance is satisfiable and 'No' otherwise. Either (i) design an efficient algorithm that finds a satisfying assignment to an input instance of  $(2,3)$ -LSAT or outputs 'Impossible' in the case that the input instance is unsatisfiable, or (ii) prove that it is NP-complete.

(1b) (7 points) Consider another variant of 3SAT, which we call  $(3,3)$ -VSAT, defined in the following way: An input instance of  $(3,3)$ -VSAT is a 3-CNF on  $n$  variables, with the additional restriction that each variable appears at most 3 times, and the output is 'Yes' if the given instance is satisfiable and 'No' otherwise. Either (i) design an efficient algorithm that finds a satisfying assignment to an input instance of  $(3,3)$ -VSAT or outputs 'Impossible' in the case that the input instance is unsatisfiable, or (ii) prove that it is NP-complete.

(2) (10 points) Consider the problem of NDPATH defined in the following way: An input instance is of the form  $(G, S, T)$ , where  $G$  is an undirected graph on  $n$  vertices,  $S = \{s_1, \dots, s_k\}$ ,  $T = \{t_1, \dots, t_k\}$  are disjoint subsets of nodes of equal cardinality  $k$  (for any integer  $k \in \{1, \dots, n\}$ ). It is an 'Yes' instance of NDPATH if there are node disjoint paths from  $s_i$  to  $t_i$ , for all  $i = 1, \dots, k$ , and is a 'NO' instance otherwise. Prove that NDPATH is NP-complete.

*Hint: Reduce from 3SAT. For each clause and each variable, add a pair of  $s_i$ 's and  $t_i$ 's. For each clause, introduce a few intermediate nodes, corresponding to the variables appearing in the clause.*

(3) (10 points) The transactions in a blockchain<sup>1</sup> ledger can be modeled as a directed acyclic graph  $G = (V, E)$  whose vertex set is partitioned into subsets  $V_1, V_2, \dots, V_p$ , where  $V_i$  represents the set of transactions pertaining to user  $i$ , and an edge  $(u, v)$  can be interpreted as meaning that transaction  $u$  is a predecessor of transaction  $v$ . The graph  $G$  and its partition  $V_1, \dots, V_p$  are assumed to satisfy the following property:

(\*) For  $i = 1, \dots, p$ ,  $V_i$  contains a node  $r_i$  that has no incoming edges in  $G$ . For every other  $v \in V_i$  there is at least one  $u \in V_i$  such that  $(u, v) \in E$ .

A set of transactions,  $S$ , is called *compatible* if it satisfies the following two properties.

<sup>1</sup>No knowledge of blockchains is necessary for solving this problem.

1. For all  $(u, v) \in E$ , if  $v \in S$  then  $u \in S$ .
2. For all  $i = 1, 2, \dots, p$ , if  $V_i$  contains three distinct nodes  $u, v, w$  such that  $u$  has edges to both  $v$  and  $w$  in  $G$ , then  $v$  and  $w$  cannot both belong to  $S$ .

The first constraint can be interpreted as stating that a transaction cannot be accepted unless all of its predecessors are accepted. The second constraint prevents each user  $i$  from “double-spending.”

Consider the decision problem COMPAT defined as follows. An input instance consists of a directed acyclic graph  $G = (V, E)$ , a partition of  $V$  into subsets  $V_1, \dots, V_p$  satisfying property (\*), and a positive integer  $k \leq |V|$ . It is a ‘Yes’ instance of COMPAT if and only if there exists a compatible set of at least  $k$  transactions.

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