# CMSC 216 Introduction to Computer Systems



#### Ekesh Kumar

http://www.cs.umd.edu/class/summer2019/cmsc216/

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## 1 Tuesday, May 28, 2019

#### Logistics

- 1. All lectures are recorded and posted online.
- 2. No pop quizzes, no collaboration on projects.
- 3. Website sign-in: cmsc216/sprcoredump.
- 4. Office hours are immediately after class in IRB 2210.
- 5. Everybody will get an Arduino to be used later in the course.
- 6. This class isn't curved.

#### **Basic Unix Commands**

Unix has lots of commands, but we want to first focus first on the ones that'll let us write and execute C programs.

- $pwd \rightarrow displays$  your current directory.
- $ls \rightarrow displays$  the files/directories in the current directory.
  - 1s -a1 → lists all of the files and directories, including hidden ones (Here, the a flag functions to show hidden files, whereas the 1 flag functions to list all entries with detailed information, like last date accessed).
  - 1s  $-F \rightarrow$  identifies directories by listing them with a /.
- $cd \rightarrow change directory to the inputted parameter.$

#### Introduction to C Programming

In CMSC131 and 132, we learned Java. Unlike Java, C is not object-oriented; it has no concept of classes, objects, polymorphism, or inheritance. However, C can be used to implement some object-oriented concepts, like polymorphism or encapsulation. Consider the following program:

Listing 1: A First Program

```
#include <stdio.h>
int main() {
    printf("Fear the turtle\n");
    return 0;
}
```

How does this program work?

- The #include allows the compiler to check argument types. It can compile without declaration, though the compiler will warn you.
- Like Java, C provides a definition of the main() function, where all C programs begin.
- We return from main() to end the program. For standard practice, we return 0 to signal that everything worked out fine.

Now, let's say we want to run this program. How can we do this? C programs need to be compiled before they can be executed. With the gcc compiler, a very simple compilation command is gcc file.c, from which we can run the executable by just typing ./file.

Some more compilation options are summarized below (these are called **flags**):

- -g enables debugging by generating and maintaining necessary symbols (e.g. line numbers) upon compilation.
- -Wall warns about common things that might be a problem.
- -o filename places an executable in the file name.

## 2 Wednesday, May 29, 2019

Last time, we analyzed a sample C program. It's important to know that returning 0 in the main() function is independent of the void that appears in the main's header. That is, even if our header is int main(void) instead of int main(), we will still return 0 at the end of the function. The void is just an explicit way of telling our compiler that we shouldn't be passing any parameters in.

#### More Unix Commands

Some more Unix:

- The cp command makes a copy of a file from a source to a destination. Some options are -a, which allows us to preserve attributes, like timestamp modified. Also, -v explains what's being done, while -r copies recursively.
- The -rm command removes a file.
- The -mv command renames a file or moves a file/directory to another directory. For example...
  - -mv f1 f2 renames file f1 to f2.
  - -mv f1 d1 moves the file f1 to the directory d1.
  - Finally, -mv d1 d2 moves the directory d1 to d2.
  - The -cat command displays the contents of a file.

In Unix, we can create **aliases**, which are shortcut commands to use a longer command. Users can use the alias name to run the longer command while typing less. Without any arguments, the **alias** command prints a list of defined aliases. A new alias is defined by assigning a string with the command to a name. We can add an alias by modifying the .aliases file in the home directory of Grace.

The general format for defining an alias is alias [alias name] 'command'. So adding the line alias cookies 'ls' would define the command cookies to do the same thing as ls

#### Compilation Stages of a C Program

C programs need to be compiled before they can be executed. What happens when we compile a C program? There are **three compilation stages**:

- 1. **Preprocessor Stage:** This stage is used to verify that program parts sees declarations that they need. Also, statements starting with a # are called **directives** (for example,
- 2. **Translation:** In this stage, an object (.o) file is created. In addition, the compiler checks to make sure that individual files are consistent with themselves.
- 3. **Linkage:** Finally, this stage brings together one or more object files. It makes sure that the caller/calee to functions are consistent. The result is an executable file (by default, it's named a.out),

#### Variables in C

There are a lot of data types in C, some of which include char, short, int, long int, float, double, etc. In Java, data types take up the same amount of space, independent of the system they're run on. This is not true in C; the minimum size of various data types are not necessarily the same size on grace. We do not need to memorize the sizes of various data types; however, it is important to know that a char data type is an exception to this rule: it always takes one byte.

Also unlike Java, there is no maximum size for a type; however, the following inequalities hold:

```
\label{eq:sizeof} \begin{split} \text{sizeof(short)} & \leq \text{sizeof(int)} \leq \text{sizeof(long)} \\ \text{sizeof(float)} & \leq \text{sizeof(double)} \leq \text{sizeof(long double)} \end{split}
```

Suffixes allow us to specify a number of a given type. For instance, 30000 is of type int, whereas 30000L is of type long.

In C, there is no default boolean data types; anything with value 0 is considered false, whereas any other value is considered true. However, we can use integers to represent booleans with true mapping to 1 and false to 0.

Consider the following code example:

Listing 2: Conditional Example

```
#include <stdio.h>
int main() {
    if (100) {
        printf("Fear the turtle\n");
    }
    return 0;
}
```

The print statement in conditional executes successfully for reasons described above.

## 3 Monday, June 3, 2019

### The size of Operator

Before we talk about pointers, first we need to talk about the sizeof operator. The sizeof is a unitary operator tells us how many bytes are associated with a particular entity. This is an important operator when we're doing dynamic memory allocation. For instance, suppose we don't know how much memory to allocate when we're storing 10 integers. Then, we can do something like 10-sizeof

It is important to note that the sizeof operator does **not** evaluate the expression; for instance, doing something like sizeof(x++) will not increment x. It just looks at the type of what's inside.

#### Introduction to Pointers

A pointer is declared using the \* symbol, right before the variable name. Consider the following code example:

Listing 3: Pointers Example

```
#include <stdio.h>
int main() {
   int y = 5;
   int *p;
}
```

Here, y is a standard integer variable, holding the value 5. By contrast, p is a pointer variable whose value is garbage. But each of these don't only have a value – they also have a **memory address**, which can also be represented by an integer. For example, the memory address of y might be 2000; p doesn't have a memory address yet. A program refers to a block of memory using the address of the first byte in the block.

Now let's say we add another line of code:

Listing 4: Pointers Example

```
#include <stdio.h>
2 int main() {
3    int y = 5;
4    int *p;
5    p = &y;
6 }
```

Recall that the & symbol is the address-of operator. So at this point, p stores the memory address of y, namely, 2000. Now, we can do something like printf("%d", \*p), like we're used to. Also, whenever we change \*p, we also change the value of y. In summary, a pointer is a variable that stores a memory address.

Why do we need the type when we declare a pointer variable? We need to know the number of bytes to grab. Since it's an integer here, we know to grab four bytes.

Now what if we want to read in the pointer using scanf? Then we don't need to use the & operator on the pointer – the pointer already refers to a memory address! To make this more clear, consider the following code:

Listing 5: Pointers Example

```
#include <stdio.h>
int main() {
   int age, values_read;
   int *age_ptr = &age;
   printf("Enter your age and salary");
   scanf("%d %f", age_ptr, &salary);
}
```

When we're taking in salary, we need to use the & operator since we want to retrieve the address. By contrast, we don't need the & operator for age\_ptr since it already stores a memory address.

#### Pointers as Parameters

Recall that parameters in C are passed by value. To demonstrate this, consider the following code example:

Listing 6: Variables Passed by Value

```
#include <stdio.h>
int main() {
    int y = 7;
    f(y);
}

void f(int x) {
    x = 200;
}
```

When the code above is executed, the value of y doesn't change – we're passing a copy of y into the function. That is, the value of y is 7 even after Line 4 executes.

Now consider the following function wrong\_swap below:

Listing 7: Variables Passed by Value

```
void wrong_swap(int a, int b) {
   int temp = a;
   a = b;
   b = temp;
   }

int main() {
   int x = 2, y = 3;
   wrong_swap(x, y);
}
```

When the function terminates, the variables a and b are destroyed. The variables x and y are not swapped. The reason why is, again, because parameters are passed by value in C. So how can we swap variables, if we're only returning one value? This can be done with pointers, where the same idea of passing-by-value holds. Here's the correct way to swap —

Listing 8: Variables Passed by Value

```
void swap(int *a, int *b) {
    int temp = *a;
    *a = *b;
    *b = temp;
}

int main() {
    int x = 2, y = 3;
    int p = &x;
    int q = &y;
    swap(p, q);
}
```

This is the same idea, but why does it work? Because we can dereference the pointer. We're note actually changing x and y – we're changing their memory addresses. So, this works.

## 4 Tuesday, June 4, 2019

The **comma operator** in C is used to separate expressions. It's a binary operator that evaluates its first operand and discards the result. It then evaluates the second operand and returns this value. For instance, y = (3, 4); is a valid expression, which assigns the value 4 to y.

#### **Identifier Scopes**

There are two main types of scopes in C:

- The **block scope** contains variables declared inside a block, and it is only visible within the block. They do not exist outside of the block.
- The file scope contains identifiers declared outside of any block; it is visible everywhere in the file after the declaration.

In the heap segment, text and data are constant from start to the end of the program. Execution follows the text segment of the memory. The data section contains global and static variables. Finally, the stack stores local variables and function parameters. There's some extra space in the heap which is used for dynamic memory allocation. The stack and heap grow in opposite directions, which is convenient to prevent overlapping. The heap goes up, and the stack goes down.

There are two types of storage types:

- Automatic storage occurs when the variable is transient. That is, after some time, it is no longer returned (e.g. when a function returns).
- Static storage occurs when the variable exists throughout the entire life of the program. Global variables have this kind of storage, and initialization to static variables only occur once.

You can make a block-scoped variable static, which would be important when you're counting the number of times a function executes.

A **linkage** is a property of an identifier that determines if multiple declarations of that identifier refer to the same object.

There are two main types of linkage that we should know about:

- 1. **Static linkage** is performed in the final step of compilation; it is fast, and it can be referenced from anywhere within the same file.
- 2. **Dynamic linkage** is performed during runtime at the cost of running slower.

## 5 Wednesday, June 5, 2019

#### **Invalid Uses of Pointers**

Consider the following code segment:

Listing 9: Incorrect Pointer Usage

```
#include <stdio.h>
int main() {
   int *p;
   *p = 200; /* This is wrong! */
   printf("The value is %d\n", *p);
   return 0;
}
```

This is wrong, and it might generate a segmentation fault error. Why? We need p to be associated with an area of memory that is valid.

A quick fix is to initialize a variable, and assign p to the memory address of that variable. For example, the code segment below is correct, and it will print 200.

Listing 10: Correct Pointer Usage

```
#include <stdio.h>
int main() {
    int *p;
    int x;
    p = &x; /* This is correct! */
    *p = 200;
    printf("The value is %d\n", *p);
    return 0;
}
```

The first code segment doesn't work correctly because the pointer is not initialized. Pretty much, we've created a pointer to "anywhere you want," which can be the address of some other variable, or some nonexistent memory.

When you have a program in C, there are four areas of memory: the **stack**, **heap**, **data**, and **code**. If some amount of memory is allocated for a function process, that memory becomes deallocated after the function is finished. So, we don't want to be messing with memory that no longer exists. For instance, the following code example is bad:

Listing 11: Incorrect Pointer Usage

Even if the program seems to work, the local variable disappears – the space for it is gone, and we're not supposed to be messing with the memory that it used to be in.

Remark 5.1. We can print the memory address of a pointer using printf with the format specifier %p.

#### **Null Pointers**

The **null pointer** is a special pointer that points to the address 0, where nothing is allowed to be accessed. It's analogous to Java's null, except we use NULL rather than null.

You can assign null to any kind of pointer variable, and we also need to check if they're null prior to derefering them; using a simple if (p != null) conditional works.

Also, null's numeric value is equal to zero, so a conditional statement with them will not execute.

#### Introduction to Arrays

Arrays are a bit different in C when compared to arrays in Java. In C, an **array** is just a chunk of bytes, one after another. We can declare an array of integers doing something like int a[3], and indexing works the same as Java (starting at zero). Note that when we make the declaration int a[3], the default elements are **not** zero (like in Java); instead, they are all garbage values. Also, you can't use a variable to declare the size of an array, but you can use it for indexing.

Note that an array is **not** an object, meaning that things like a.length don't exist. We need to keep track of the length ourselves. This can often be done with **constants**, which start with the **const** keyword. For now, we assume arrays are not dynamic in terms of their space.

If the array has three elements, then the size of the array is actually 12 bytes (four bytes per integer). We can use the operator sizeof, and something like sizeof(a) will return 12.

Some examples of array declarations are as follows:

- int a[3] = {10, 20, 30}; will declare an array a of length three, with the three elements listed.
- char b[] = {'A', 'B', 'C'} will declare an array with size 3 with the provided elements. Note that we don't need to specify the length when we're initializing by list.
- float c[4] = {1.5} will declare an array of size 4 with first element equal to 1.5. The other elements will equal 0. This is really convenient because we can do something like int a[3] = {0}; to initialize our array of length three to have all zero elements.

#### Arrays as Parameters

Recall that everything in C is passed by value.

## 6 Monday, June 10, 2019

The pushd and popd commands in Unix can be used to work with a directory stack. The command pushd pushes a directory on top of the directory stack, and the popd command returns to the path at the top of the stack.

The history command prints your most recent commands.

### Pointing to a Local Variable

When working with pointers, you shouldn't return the address of a local variable. Consider the following code segment:

Listing 12: Incorrect Pointer Usage

```
1 int* get_value-wrong() {
      int x = 20;
2
      return &x;
4 }
5
  int add_value(int y) {
6
      int a = 99;
7
      return a + y;
9 }
10
int main(void) {
       int *a, b;
12
13
      a = get_value_wrong();
14
15
       printf("First result %d\n", *a);
16
17
      b = add_value(7);
       printf("Second value %d\n", b);
18
19
       printf("First result (changed?) %d\n", *a);
20
21 }
```

The first print statement on Line 15 will work fine; it'll print out 20 as we'd want it to. This first part might seem counterintuitive because we usually think that the memory gets "thrown away" after the function finishes execution. In reality, this isn't what happens – the stack pointer just moves down, below the local variable. This tells our computer that the previously occupied area of memory is now available for reuse. In our case, the space occupied by the integer x will now be available for reuse.

But then, after we call the add\_value function, the first result will have changed. Since we're declaring another local variable of the same type (integer), the same space that was previously being used will be filled for the second function call. The space that was previously holding the number 20 will now hold 99. Once again, after the function finishes execution, the stack pointer moves below the 99 again (but it does not disappear!).

And so, the print statement on Line 18 prints 106, and the print statement on Line 20 will print out 99.

#### String Comparison

To compare strings, we use the strcmp function, which is built in string.h library. The function header is as follows:

```
int strcmp(const char *s1, const char *s2);
```

Pretty much, it takes in two strings s1 and s2, and it returns a negative number if s1 is (lexicographically) less than s2, the integer 0 if they're (lexicographically) equal, and a positive number otherwise. Note that the const in the parameter list of strcmp indicates that the data of s1 and s2 can't be changed (this makes sense because changing the strings isn't necessary for comparison).

Remark 6.1. This functionality is pretty much the same as compareTo in Java

Here's one way to implement the strcmp function. Nelson says we should know this implementation for the exam.

Listing 13: String Comparison Implementation

```
int strcmp(const char *s1, const char *s2) {
   int i;
   for (i = 0; s1[i] && s2[i]; i++) {
       if (s1[i]!= s2[i]) {
        break; /* Gets us out of the for loop */
       }
   }
   return s1[i] - s2[i];
   }
}
```

- The for loop iterates until we reach the end of either string (the Boolean expression s1[i] && s2[i] is false only if we hit a null character in either string) or if we hit two characters that are different (this is what the conditional inside the loop does).
- Once we're at the differing character, we can just return their difference.

Note that the above implementation uses the fact that the null character has numeric value 0. This allows the code above to take care of the cases in which one string is shorter than the other.

## Copying Strings

To copy a string, we use the strcpy() funcion, which is built into the string.h library. The header is as follows:

```
char* strcpy(char *dest, const char *src).
```

The function copies the string in src to the string in dest. After successful completion, the function returns a pointer to the destination string.

The danger with strcpy() is that it doesn't specify the size of the destination array, which can lead to a buffer overflow error. This type of error occurs when you put more data into a fixed-length buffer. The extra information has to go somewhere, and it can overflow into adjacent memory space, which corrupts other data.

Here's an implementation of the function strcpy() function:

Listing 14: String Copy Implementation

```
int strcpy(const *dest, const char *src) {
   int i = 0;
}
```

```
while (src[i]) {
    dest[i] = src[i];
    i++;

    }
    dest[i] = '\0';

9 }
```

- We are copying the source into the destination.
- The while loop executes until we hit the null character in the source.
- We copy the first, second, third.... character into the destination.
- When the while loop executes, i is equal to the position where source has a null character, so we need to add that into the destination string.

Again, take note of the parameters. The string dest isn't constant because we're modifying it.

#### **String Literals**

The declarations char name[] = "Mary" and char \*name = "Mary" are not the same. The first declaration is an array, which is what one should use if they're planning to change the value of the name from Mary to something else. On the other hand, the second declaration declares name as a pointer to a string literal. This should instead be declared as const char\* name = "Mary".

#### Void Pointers

A **void pointer** or **generic pointer** (they are the same) is a special pointer that's used to hold memory addresses of data when you don't know its type. They are used by functions (like C's built-in quicksort function) when you don't know the type of data you're dealing with. Void points are declared by simply replacing the type of a normal pointer with the void keyword. So, **void \*ptr** would declare **ptr** to be a void pointer.

A void pointer can point to any type. So, you would be able to do ptr = &someInt or ptr = &someChar, etc. But integers, floats, and characters occupy different amount of space. So how does this work? The key is to note that pointer variables store the address of the first byte.

Note that a void pointer cannot be dereference directly. Dereferencing requires casting because the pointer needs to know how many bytes to grab. It's up to the user to make sure that the void pointer is casted right. So if you read in a float value into ptr, the statement printf("V1: %f\n", \* (float \*) v\_ptr) would print the entity at the address stored in v\_ptr. Note how this print statement has two asterick symbols: one is used in the cast, whereas the other is used for dereferencing.

It's also a good idea to use type casting when you're doing pointer arithmetic with void pointers. For example, consider the following code segment:

Listing 15: Bad Void Pointer Arithmetic

```
int one_d[5] = {12, 19, 25, 34, 46}, i;
void *vp = one_d;
printf("%d", one_d + 1); // bad
```

You might want to print the second element of the array with the above code. But this won't work. Adding a number to a memory address works by shifting logical units. However, these logical units are dependent on the type being worked with. Changing the fourth line to printf("%d", (int \*) one\_d + 1) would fix this.

The value of a void pointer can be assigned to integer/float/other pointer variables without a cast. For example, if we have a float pointer  $f_ptr$  and a void pointer  $v_ptr$ , the statement  $f_ptr = v_ptr$  works perfectly fine because it's just specifying how many bytes to grab.

## Pointers to Pointers

You can have pointers to pointers (and even pointers to those pointers). The number of astericks indicates the degree-of-separation from the original variable. For instance, int \*\*p2 is a pointer to a pointer. Once p2 has properly been initialized, we can do double dereferencing by typing \*\*  $p_{2}$  to get the value of the original variable.

When do we use pointers? Consider a function we're writing that needs to modify a pointer. This would need to be implemented by taking in a pointer to a pointer;

## 7 Wednesday, June 12, 2019

The grep command in Unix looks for a pattern in a file. The general syntax is grep [pattern] [file\_name]. So for example, if you wanted to find all instances of "cheese" in "homework.c," you could execute grep cheese homework.c to get this result (note how there aren't any quotes).

#### Command Line Parameters

So far, our main function's header has always been int main(void), which indicates that the main method doesn't take in any parameters. However, it is possible to accept command line parameters into the main by instead using the header int main(int argc, char \*\*argv). This second form allows us to access command line arguments as well as the number of arguments specified (arguments will be separated by spaces).

In summary, the two arguments that the main function accepts in this second formulation are

- int argc, which represents the number of arguments passed into the program when it's run. This number needs to be at least 1.
- char \*\*argv, which is a pointer to a character pointer. We can alternatively replace char \*\*argv with char \*argv[], which is an array of character pointers.

For instance, consider the following program:

The following code segment is incorrect. I need to update it.

Listing 16: Command Line Parameters

```
struct pixel {
   int x, y;
   char color;
};
```

We can pass in parameters through command line by typing, for example,

./a.out hello my name is ekesh.

The output is presented below:

```
argv[0]: ./a.out
argv[1]: hello
argv[2]: my
argv[3]: name
argv[4]: is
argv[5]: ekesh
```

Note how even ./a.out counts as one of the strings processed. If we don't want this to happen, we can just treat the 0<sup>th</sup> index in the array as a sentinel. Also, keep note that !argv[i]! is a string. If we want to use a passed in value in, say, a loop, then we need to use the atoi() function, which converts a string argument into an integer.

#### Two-Dimensional Arrays

When you're passing in a two-dimensional array into a function, the first array dimension (i.e. the number of rows) does not have to be specified. The second (and any subsequent parameters, if we're working with more than two dimensions) need to be specified.

So, for example, a function with header void print(int arr[][n], int m) would be fine, whereas something like void print(int arr[][], int m) wouldn't work.

Obviously, this would only work if the second dimension is fixed and isn't user-specified; this is a clear drawback.

#### Two-Dimensional Character Arrays

Consider a two-dimensional array of characters declared as follows: char friends[100][81].

Typically, we can view a two-dimensional character array as a one-dimensional array of strings. For example, char friends[100][81] would store 100 strings, each of which have a maximum length of 80. We can then access the  $i^{th}$  friend stored in the array by standard one-dimensional array indexing, like friends[0]. However, it's up to the programmer to verify that the null character is present at the end of each row in the array.

Since two-dimensional arrays are stored in row-major order, executing strcpy(a[0], "12345") to copy a five-character-long string into an array with column-length less than 5 will still work; however, the "trailing characters" will go into the next row. There is no compilation error here, though.

### The typedef Keyword

The **typedef** keyword is used in C to create an alias for another data type. The general syntax for declaring a typedef is **typedef** [data\_type] [new\_name]; By convention, the new\_name of a data type usually starts with a capital letter.

The main reasons why we use typedefs are to improve code readability and maintainability. As per convention, it's good to start new\_name with a capital letter so that we can distinguish it from other types.

It is important to note that the typedef and #define preprocessor are not the same: the #define preprocessor works by by blindly substituting what we're defining, whereas typedef actually defines a new type. In fact, a typedef is not a preprocessor directive; typedef is a compiler token, and the preprocessor doesn't care about it at all.

#### An Exception to Typedef

An exception to the standard typedef [data\_type] [new\_name] syntax for defining a typedef is when we're dealing with arrays. Pretty much, if we're typedef'ing something to become an array, from what we've learned, we would expect to write something like typedef int[30] MyArray. However, this is wrong. The correct way to do this would be to write typedef int MyArray[30]; the size of the array comes after the new\_name identifier. This is an exception, and MyArray will now represent an array of 30 integer elements.

This exception also applies to multi-dimensional arrays.

#### Structures

Defined in terms of Java, a structure is a class without methods and without private fields.

More formally, a **structure** is a user-defined data type which allows one to group items of possibly differing types into one single type.

The basic syntax for declaring a structure type is struct [struct\_tag] { [member\_list] }; (note how there is a semicolon at the end). Conventionally, structures are typically declared at the top of a program, before the main. Conventionally, structure tags begin with a lowercase letter.

Suppose we are writing a program that involves computer graphics. We might want to have a structure to represent a pixel. This structure should abstract the basic details about a pixel, like its x and y-coordinates and its color. This can be done with the following code:

Listing 17: Structure Example

```
struct pixel {
   int x, y;
   char color;
};
```

Here, we've declared a structure with the tag "pixel," which contains an integer x, an integer y, and a character color.

Fields in structures cannot be initialized (so, it would be invalid to set x and y to 0 by default in the above example). Why can't we initialize fields in structs? Basically, when the structure is declared, there isn't any memory allocated for it (there's no reason to allocate memory yet – we don't even know if the program will ever use the structure). Memory is allocated only when variables are created, so there isn't any space to actually declare a variable yet.

Once we've declared the pixel struct, we can declare a variable p1 of its type by typing struct pixel p1;. The members of p1 can be accessed by using the period: p1.x = 50 would set the x variable associated with p1 equal to 50.

C also supports using an initialization list to initialize a structure. For example, we could write p1 = {1, 2, 'r'} in order to declare x, y, and color to 1, 2, and 'r' respectively. The order in which the variables are provided is the same order in which these variables are assigned values. If we don't assign all of the values, their default values will be assigned.

There aren't any conversion specifiers that allow us to directly print out all of the variables associated with a structure (side-note: this is called a **reflection**). If we want to do this, we need a conversion specifier for every variable in the structure.

A couple of other things to remember:

- 1. Structures can be assigned to each other. For instance, a = b will compile, and it will assign all of the field values of b to the corresponding fields in a. This performs a shallow copy.
- 2. Structures cannot be compared. The line a == b will not even compile. Even structures with the same fields in the same order aren't compatible.

#### Combining Typedefs with Structs

Following the typedef [data\_type] [new\_name] syntax for declaring a new data type, we can typedef a structure in order to get rid of the struct that's usually necessary when declaring a structure.

For example, consider the pixel example from above. It was necessary to write struct pixel p1; to declare a pixel. However, if we modify the code to what follows, we can instead write Pixel p1;.

Listing 18: Typedef'ing a Structure

```
typedef struct pixel {
  int x, y;
  char color;
} Pixel;
```

We usually typedef a structure for brevity and readability.

#### Pointers to Structures

Since everything is pass-by-value in C, when we pass in a struct as a parameter to a function, we'll have a copy of the structure with every value equal to the original value's corresponding fields. Like we'd expect, this would mean that changing the structure inside the function doesn't change the original structure outside of the function (i.e. a shallow copy is performed). Like always, if we want to modify the actual structure, we need a pointer to the structure.

When we're dealing with pointers to structures, there's an **arrow operator**, which is used to dereference a pointer to a structure. Going back to our pixel example, for instance, if we have the pointer p1 defined as p1, we can set the structure's associated value of x equal to 50 by writing p1->x = 50.

Why do we need the arrow operator? There's nothing wrong with writing (\*p1).x = 50 – it does the same thing. But, something like \*p1.x = 50 does not work for precedence reasons. Hence, having the arrow operator improves readability instead of having a lot of parentheses and astericks.

## 8 Friday, June 14, 2019

The touch command in Unix is used to make files on the fly. For instance, touch bla would create a 0-byte file named "bla."

The -F flag can be used with 1s in order to appends a forward slash to directory names and appends an asterick to executable files. This can help when identifying different types of files. The -t flag can be used with 1s to list files based on modification time. The -R flag can be used to list the contents of every directory recursively. Finally, the -h flag displays file sizes in a human-readable format.

#### Size of Structures

We shouldn't be worried about the size of a structure. Within a structure, the fields aren't necessarily laid out continuously. There's usually some deadweight loss in the memory that a structure is using. Hence, in some cases, adding a field might not even change the size of the structure if the space can be capitalized on. In order to minimize memory loss, one should order fields from longest to shortest. Also due to this lack of memory continuity, you should not perform pointer arithmetic to access fields of structures.

The only thing that we can conclusively say about the size of the structure is that it is *at least* the size of all of its data types combined. Despite these restrictions, we can still have arrays of structures and perform pointer arithmetic within this array.

#### Unions

A **union** is like a structure, except the memory is shared. That is, all of the fields share the same memory space (so you can only access one field at any given time). Consequently, the size of a union is *always* the size of its largest field. Unions are particularly used when memory is scarce.

The declaration of a union is exactly the same as a structure (just replace every instance of struct with union), so I won't include any code examples of them.

#### More on Structures

For the first midterm, it's important to remember that assigning one structure to another initializes corresponding values to each other. If there's an array within that structure, both structures will subsequently be pointing to the same array (i.e. changes will be reflected in both structures). However, it's still perfectly valid (and saves time) to just make an assignment whenever possible.

Although the assignment operator doesn't work with arrays, the assignment operator would work with two structures which contains an array.

The tag on a structure is **optional**. We can declare structures without a tag or a typedef such as in the following example:

Listing 19: Tagless Structure

```
struct {
    int id_number;
    char last_name[10];
    char first_name[10];
    double salary;
    } emp1, emp2;
```

The expression in the code above declares emp1 and emp2 to be a structure with the fields specified between Lines 2 and 5. However, since the structure is tagless, it's impossible to declare another variable of this type — this means that emp1 and emp2 have a unique type.

When do we want a tagless structure?

- If we want a relatively small number of the structures (and the structure becomes useless afterwards)
- We don't want the variables to be passed in as function arguments (there is no type specified, so we can't use them in a function).

This is sort of like a singleton design pattern in Java, where we can enforce only one (or in this case, two) initialization of a structure.

## 9 Monday, June 17, 2019

#### Exit Codes

An **exit code** is a value that is returned to the **shell**, which is responsible for reading and executing your code. By convention, when everything goes well, we return 0 (as we have been doing in all of our programs).

The header file stdlib.h contains a lot of preprocessor directives, which represent exit codes. For example, EXIT\_SUCCESS and EXIT\_FAILURE can be used when the program successfully executes or unsuccessfully executes (these would be used instead of having a line that says return 0). It turns out that EXIT\_SUCCESS is actually a preprocessor directive for 0.

In order to use an exit code, we use the built-in void exit(int status) function. So, for example, we could replace return 0 in the main with exit(EXIT\_SUCCESS), and it would mean the same thing. On the other hand, if exit() is used *outside* of the main, the program will terminate once it reaches that statement, while a return statement would bring us back to the main.

How do exit codes help us? After executing a program, we can type echo \$? to check the previous command's exit code. This can be used in shell programming, where we are telling the actual shell what to do.

In addition to exit codes and return values, there are a few important functions that are used to produce **error messages**:

- 1. The function void perror(const char \*str) is used to describe the last error encountered during a library function or system call. If a string is provided, that string will be printed prior to the default error description. The default description is generated by a global variable called errno, which comes from the errno.h header file (i.e. it is an integer-to-string mapping).
- 2. The char \*strerror(int errnum) function returns a pointer to the textual representation of the current errno value.

Note that neither of these functions kill the program.

#### Text and Binary Streams

In C, most input and output is provided in the sequence of bytes, which is more commonly known as a **stream**. There are two types of streams: **text streams** and **binary streams**.

- Text streams consist of lines of text, each of which are terminated by the \n character. They can be opened in text editors.
- Binary streams consist of raw data; they require a special editor to open.

What are the advantages of one type of stream over another? When we're using text streams, we can easily debug the program (it's human-readable and doesn't require additional tools, while binary files do). On the other hand, text streams might not be a great idea for when we're modifying files a lot: changing even a single character requires re-reading the entire file. If we were using a binary stream, however, we could (in most cases) just change the relevant bytes.

#### Standard Input/Output

Now, we'll discuss how to read and write to files.

For files you want to read or write, we need a **file pointer**, declared like FILE \*fp. Realistically, it isn't really important what the type FILE actually is – we can just think of it as some abstract data structure which permits us to perform file I/O operations.

Performing file I/O operations has three key steps:

- 1. Open the file
- 2. Perform any processing
- 3. Close the file

To open the file, we use the fopen command, whose declaration is as follows: FILE \*fopen(const char \*filename, const char \*mode) . Note that the function returns a file pointer, which we'll set our pointer equal to. If there's any error in opening the file, fopen will return NULL.

The filename parameter is a string, which holds the name of the file on the disk (including a path if necessary), and the mode is another string, which represents *how* we want to open the file. In this class, the file will be opened with mode equal to "r" (for reading) or "w" (for writing). Another mode is "a", which lets us append to a file, without losing the rest of its contents.

Once we've opened the file, we're ready for processing. If we're reading the file, we can use the fgets() function, whose declaration is specified as follows: char \*fgets(char \*str, int n, FILE \*stream). The parameter str in fgets stores the line read by the function, and it stops reading until either n characters have been read, or a \n character is encountered. Note that this \n character is also stored as a part of the out parameter. If there are any errors, the function returns NULL.

If we're writing the file, we can use the fputs() function, whose declaration is the following: int fputs(const char \*str, FILE \*stream). It places the string str into the file stream. The function returns a non-negative integer upon success.

Finally, we need to close the file. This requires use of the fclose() function, whose declaration is as follows: int fclose(FILE \*stream). The function returns 0 upon success, and it signals that we are done processing the file.

The three key steps of file I/O operations are captured with the following code segment:

Listing 20: Processing a File

```
1 #include <stdlib.h>
з #define MAXLEN 80
5
  int main() {
     FILE *input; /* does not need to be named input */
6
     char line [MAXLEN + 1], filename [MAXLEN + 1];
9
      printf("Input file name (e.g., data.txt): ");
      scanf("%s", filename);
10
        ((input = fopen(filename, "r")) == NULL) {
11
         perror("error opening file");
12
         exit (EXIT_FAILURE);
13
     } else {
14
         while (fgets(line, MAXLEN + 1, input) != NULL) {
15
            printf("%s", line);
16
17
         fclose (input);
18
         exit (EXIT_SUCCESS);
19
     }
20
21
```

On Lines 9 and 10, the program prompts a file name, which is subsequently stored. Line 11 attempts to open the file; upon success, each line is processed and printed. If the file cannot be opened, an error message is printed, and an exit code is returned. Line 18 closes the input stream, and Line 19 returns a successful exit code. Note that when we're printing on Line 16, there's no \n necessary. When we perform fgets(), we've already stored the new-line character, so adding an additional \n will put two spaces between lines.

If we want formatted input and output, we can similarly use fprintf() and fscanf().

Nelson says that, at this point, we should be able to write a C program that copies one file to another using command line arguments.

Every program has three defined streams: **standard input**, **standard output**, and **standard error**. We can use the keyword **stdin** in place of a file pointer to read from the user's keyboard.

Like standard input, standard error is also printed to the screen. It is denoted by the built-in file pointer sterr, and it is helpful since it allows us to sort out our print statements, depending on whether a program executed successfully or not.

So, standard input and standard error are different files; however, they both map to the screen. To direct standard error, we can use > & in Unix.

The end of a file is denoted by an invisible **end of file** (EOF) character. There's a function with the header int feof(FILE \*fstream) that checks whether the EOF file has been reached, after the file has been attempted to been open. We can manually enter the end-of-file character with our keyboard by entering CTRL + D. Also, EOF is a preprocessor directive, so we can use that in our conditionals.

It is important to note that we need to first attempt to read the file before using feof().

## 10 Wednesday, June 19, 2019

In addition, it's important to remember that fgets() returns NULL after we've reached the end of file (i.e. we shouldn't be using EOF with it. On the other hand, it's fine to use EOF with scanf statements.

### The scanf() Family

There are three functions in the scanf() family: scanf(), fscanf(), and sscanf().

First, fscanf() has the header int fscanf (FILE \* stream, const char \* format, [address of variables]). Pretty much, we take in a file pointer along with some format, and we read it into some variables. When processing files, we want to keep reading until we hit EOF.

The loop conditional while(fscanf(input\_stream, "%s%d", students\_name, &id) != EOF) allows us to process the lines in a file one-by-one until we hit the end of the file. Note, however, that there's an assumption that the lines of the file are formatted in the same way. It's a good idea to use fscanf() when the lines are inputted in a uniform manner.

The sscanf() function has the header int sscanf (const char \* s, const char \* format, ...), which returns the number of variables successfully read from the input string s. This can be helpful when we've already stored the string (e.g. a line) to be processed.

Sometimes, it's really helpful to combine the use of fgets() and sscanf(). The former allows us to store the entire line, and the latter allows us to make sure that everything is formatted properly (by using its return value).

#### The printf() Family

- printf(), we've already seen.
- fprintf() has the header int fprintf (FILE \* stream, const char \* format, ...);. It takes a stream, and it's analogous to fscanf().
- int sprintf(char \*str, const char \*format, ...) prints into the string variable str; it's the analogue of sscanf().

#### **Dynamic Memory Allocation**

Dynamic memory allocation allows us to allocate storage space while the program is running. Once we're done using this allocated memory, it's important to call the free() function to make that space available again. There are a few other important functions that help us with dynamic memory allocation, the first of which is malloc() (which is short for memory allocation).

The malloc function has the header void\* malloc(size\_t size). The function takes in a size parameter, specifying how much space to allocate. It returns a void pointer pointing to where that space begins. The function returns NULL if the memory allocation fails.

As an example, consider the following code segment:

Listing 21: Malloc Example 1

```
1 #include <stdlib.h> /* For malloc, EXIT_FAILURE,
      EXIT_SUCCESS */
2
з int main(){
     int *ip, i, array_length = 3;
5
      /* Allocating space for an integer */
6
     ip = malloc(sizeof(int)); /* notice casting is not
         necessary */
     if (ip == NULL) {
        exit (EXIT_FAILURE);
9
10
     *ip = 104;
11
     printf("Value assigned is %d\n", *ip);
12
     free(ip); /* deallocating memory */
13
14 }
```

We start with an integer pointer ip, and we make it point to some space of memory using malloc. Note how we've specified that this memory has enough space to store one integer. Thus, we can dereference the pointer and assign it to an integer, and everything works fine. Further, observe that there is no need to cast the void pointer to an integer pointer.

Once we've called free() on a dynamically allocated memory address, it's important that we don't access that memory location again. When a memory location has been freed, any pointers that used to point to it become **dangling pointers**, which shouldn't be used. Doing so could lead to a segmentation fault, so it can be helpful to set the previously used pointer equal to null.

So, what happens internally when we call free()? Essentially, the heap manager marks the bytes in the memory specified as available for use. We don't actually care about what the free() returns – to us, it just means that the memory is free again. When we don't free the memory we've used, it's called a **memory leak**, which is bad.

We can also allocate memory for an entire array using the following code segment:

Listing 22: Malloc Example 2

```
int main() {
      /* Allocating space for array */
2
      int *ip = malloc(sizeof(int) * array_length);
3
      int array_length = 3;
      if (ip == NULL) {
5
         exit (EXIT_FAILURE);
      for (i = 0; i < array_length; i++){
8
        ip[i] = i * 3;
9
10
      for (i = 0; i < array_length; i++){
11
         printf("%d ",ip[i]); /* notice using array
12
             indexing */
13
      printf("\n");
14
      free(ip); /* deallocating memory */
15
16 }
```

There aren't really any new concepts in this code segment. It should be carefully noted, however, that we check if the pointer returned from malloc and calloc is null after each call. The output of the program is  $\emptyset$  3

6, and the memory allocated for the array is freed after this is printed. It's important to call free() on a pointer that points to the *start* of the array that we've allocated, otherwise freeing won't work.

When we assign malloc() to a pointer, the value at the assigned memory location is garbage.

There's an alternative way to allocate memory: with the calloc() function. Unlike the malloc() function, calloc() takes in two parameters: its header is void \*calloc(size\_t count, size\_t obj\_size), and it allocates count objects of size obj\_size each. The function returns a pointer to the beginning of the memory address created. Also unlike malloc, the calloc function initializes all spaces to zero, which can save time depending on what we're doing.

Having introduced calloc, there are a lot of shortcuts we can take. For example, consider the statement int \*\*q = calloc(4, sizeof(int \*)), which allocates space for an array of four integer pointers. Also, since calloc automatically initializes its spaces to zero, all of these pointers are automatically set to null for us.

## 11 Friday, June 21, 2019

## Recap of Dynamic Memory Allocation

Today, we will continue discussing dynamically allocated memory (i.e. memory that isn't allocated until the program starts running). What's another reason we use it? Sometimes, the size of a data structure isn't known until runtime (for example, suppose we want to initialize an array of size N, where N is a positive integer provided by the user). Also, Linked Lists will use dynamic memory allocation everytime we make a new node.

To recap, there are two memory management are library functions that are used to allocate memory dynamically: malloc() and calloc().

- 1. The void \*malloc(size\_t amount); function allocates amount bytes (if available) from the heap and returns a void pointer to the beginning of it. Note that there cannot be any initialization of this space.
- 2. The void \*calloc(size\_t count, size\_t obj\_size); function allocates count objects of size obj\_size each (if memory is available), and it returns a void pointer to the beginning of it. By default, all the space is initialized to zero.

Both malloc() and calloc() return NULL if the allocation fails.

A third memory management function is void free(void \* ptr) – after this function is called, the memory pointed to by ptr is now available for reuse by the memory allocator. Something to take note of is that free() has to be the same pointer that was returned from malloc() or calloc() – we can't call free() in the middle of the area that we allocated. Also, after the pointer is freed, the pointer becomes a dangling pointer, so we shouldn't dereference it.

Good programming practice should exhibit a one-to-one mapping between the number of calls to malloc() and calloc() and the number of calls to free(). It's also good to know that calling free() on null is harmless – you don't need any null checks for calling free(). Doing free(NULL) is completely harmless. Also, as one would expect, we can't free pointers whose data is constant (i.e. we can't free a pointer declared as const char \*p).

We should only call free() on a pointer once. Why? When we call malloc or calloc, we're telling our computer that we want to reserve some memory just for that pointer. When we subsequently call free, we're telling the computer that we don't need that space anymore; however, the pointer still points to that memory address. If we invoke free() a second time, we're not freeing the previous data, but possibly some new data that resides at that memory address.

#### **Dynamically Allocated Structures**

Consider the following lecture example:

Listing 23: Dynamically Allocated Structure

```
10
  int main(){
11
      Student *student;
12
      int length;
13
14
      /* Allocating space for a Student structure */
15
      student = malloc(sizeof(Student));
      if (student == NULL) { exit(EXIT_FAILURE); }
17
18
      /* Allocating space for name */
19
      printf("Enter number of characters in your name: ");
20
      scanf("%d", &length);
21
      student \rightarrow name = malloc(length + 1);
22
23
      /* Reading name and age */
printf("Enter your name: ");
24
25
      scanf("%s", student->name);
26
      printf("Enter your age: ");
27
      scanf("%d", &student->age);
28
29
      /* Feedback */
30
      printf("Your name is %s and your age is %d\n",
31
          student->name, student->age);
32
      /* Freeing memory */
33
      /* We must free name first */
34
      free (student->name);
35
      free (student);
36
37
38
39
      return EXIT_SUCCESS;
40 }
```

On Line 16, we dynamically allocate space for student to become a Student type. Immediately after, we check if this allocation was successful (i.e. check if student == NULL holds), and we continue if it was. Next, we allocate space for name, depending on how many characters the user requires (which is provided through stdin). Finally, we store the student's age (also from stdin), we print the student's information, and we free the space we allocated.

Some key things to note:

- Why did we allocate space for student and name but not for age? Because age isn't a pointer, so we already get space for age after we dynamically allocate space for student.
- Why do we free name before student? If we freed student first, then student becomes a dangling pointer. So, we shouldn't be accessing student->name afterwards (accessing the name depends on the existence of a student, but the student's existence doesn't depend on its name).

#### Pointer Aliases

We can have two pointers point to the same dynamically allocated memory area. For instance, consider the following code segment:

Listing 24: Pointer Aliases and Dynamic Memory Allocation

```
int *p, *q; /* Declare two integer pointers */
p = malloc(sizeof(int)); /* Dynamically allocate
memory */
if (p != NULL) { /* Make sure allocation succeeded. */
```

In summary, if we free one pointer pointing to a memory address, *every* pointer pointing to that same memory address becomes a dangling pointer.

#### **Common Errors**

The common errors of dynamically allocating memory comes are summarized below:

- 1. Dereferencing pointers to freed space. We've already discussed this.
- 2. Forgetting to check if malloc or calloc returned null (i.e. the dynamic memory allocation was unsuccessful).
- 3. Forgetting to initialize the memory malloc() returns (calloc() automatically does this for us).
- 4. Attempting to free non-heap memory (i.e. we should *only* be calling free() on dynamically allocated memory).
- 5. Memory leaks: forgetting to call free() on dynamically allocated memory.
- 6. Calling free() twice on a pointer.

Using valgrind can help identify these errors.

## 12 Monday, June 24, 2019

#### Linked Lists

Like inner classes in Java, C structures can have pointers to structures of the same type. This allows us to define a Linked List's node as follows:

Listing 25: Linked List Node

```
typedef struct node {
  int data;
  struct node *next;
} Node;
```

Note that the structure tag inside of the typedef is necessary here since we have a self-reference inside our definition of a node. Since pointers have the same size, the compiler won't have an issue in determining the size of next. However, this wouldn't be possible if node weren't a pointer.

In order to represent a Linked List, we declare a pointer to the head by typing something like Node \*head. The pointer allows us to modify the Linked List inside of various functions. So, functions that modify the actual Linked List have function prototypes that take in a double pointer Node type.

For instance, a function which instantiates the Linked List might have header void create\_list(Node \*\*head), and its body would simply be \*head = NULL. In a similar manner, we'd need to pass in a double pointer to a node in order to add an element to the list (pretty much, we need a double pointer whenever we're modifying the list).

There are two noteworthy types of Linked Lists traversal:

• The "print traversal," which works by moving a current pointer forward after some processing:

Listing 26: Print Traversal

```
/* The print traversal */
Node curr = head;
while (curr != NULL) {
/* Processing */
curr = curr->next;
}
```

If we need to visit every node in the list and do some processing, we perform the print traversal.

• The "Tom and Jerry traversal", which works with two adjacent pointers. The left-most pointer allows us to look back and access previous elements. The two pointers move in parallel.

Listing 27: Tom and Jerry Traversal

```
/* The Tom and Jerry Traversal */
prev = NULL;
curr = head;
while (curr != NULL) {
    /* Processing */
prev = curr;
curr = curr->next;
}
```

This traversal allows us to add a node before or after any element.

Here's an example of an insert() function which maintains a sorted ordering in a Linked List:

Listing 28: Linked List Insertion

```
int insert(Node **head, int new_value) {
     Node *current = *head, *prev = NULL, *new_item;
2
      while (current != NULL && new_value >
4
         current ->data) {
         prev = current:
5
         current = current -> next;
6
     new_item = malloc(sizeof(Node));
     if (new_item == NULL) {
10
         return 0;
11
12
     new_item->data = new_value;
13
     new_item->next = current;
      if (prev = NULL) { /* inserting at the beginning */
15
16
         *head = new_item;
       else {
17
         prev->next = new_item;
18
19
20
21
      return 1;
22
```

Note that it would be incorrect to pass just a single pointer to head since the Linked List won't get modified.

When we construct a Linked List, we will want to add nodes one by one. Creating a node requires three key steps:

- 1. Allocate memory for the node.
- 2. Store data in the node.
- 3. Insert the node into the list.

When we create a node, we write something like struct node \*new\_node followed by the command new\_node = malloc(sizeof(struct node))). Note that something like new\_node = malloc(sizeof(new\_node)) would be incorrect as new\_node is a pointer variable (this command would allocate 8 bytes rather than the actual amount of space needed). To change the data of a node, we use the arrow operator by doing something like new\_node->data = 5.

Something important to keep in mind is that, in our model, head itself is not a node. It's simply a pointer to the first node in our Linked List.

Deletion from a Linked List involves calling free() on the deleted node. Here is an implementation of the delete() method:

Listing 29: Linked List Deletion

```
int delete(Node **head, int value) {
   Node *prev = NULL, *current = *head;

while (current != NULL && current->data != value) {
   prev = current;
```

```
current = current ->next;
6

}
if (current == NULL) {
    return 0; /* not found */
8
9
10
      if (prev == NULL) {
   *head = current -> next; /* deleted first item */
11
12
13
      } else {
14
         prev->next = current->next;
15
      free (current);
16
17
      return 1;
18
19 }
```

### 13 Wednesday, June 26, 2019

Midterm 1 will be graded by sometime next week.

### **Operating on Memory Blocks**

Like strcpy() operates on strings, there is an analogous function that operates on entire blocks of memory. Namely, this function is memcpy, which has the following declaration: void \*memcpy(void \*dst, void \*src, size\_t n). It works pretty much the same with strncpy() works: it takes a destination, source, and the number of bytes to copy. A prerequisite to using memcpy() is that dst and src cannot be overlapping pieces of memory (for instance, they shouldn't be pointing to different portions of the same array).

So, what do we do if we need to quickly copy overlapping memory areas? We can use the memmove() function, which has the declaration void \*memmove(void \*dst, void \*src, size\_t n), but it's not as efficient.

A possible implementation of memcpy() is presented below:

Listing 30: Memset and Memcpy

```
void print_decimal(unsigned int i) {
     printf("%u\n", i);
2
3 }
5 void print_hex(unsigned int i) {
     printf("%x\n", i);
6
7 }
  void print_octal(unsigned int i) {
     printf("%o\n", i);
11 }
13 int main() {
     /* The following is the declaration of */
14
     /* fp as a function pointer variable
15
     void (*fp)(unsigned int);
16
17
     /* Below both & and * are optional */
18
19
     /* due to the use of the function */
     /* call operator */
20
21
     fp = print_hex;
22
     fp(16); /* prints "10" */
23
     fp = &print_octal;
     fp(16); /* prints "20" */
25
26
     fp = print_decimal;
     (*fp)(16); /* prints "16" */
27
28
     return 0;
30
```

Note how the function utilizes void pointers, which means that we can pass any type of pointer into the function. We cast to char \* so that we can iterate byte-by-byte.

The function memcpy() is really helpful. So far, to make copies of arrays of structures, we've been iterating through every index with a for loop. But now, we can do all of this with a single statement. Nelson says that this is important to know for exams.

### **Function Pointers**

In the same way that we can have pointers to variables, we can also have pointers to functions. **Function pointers** store the memory address of a function, which is possible as each function is located somewhere in memory. Similar to an array, the name of a function can be seen as a variable that stores the function's address.

We can use function pointers in pretty much the same way that we use normal pointers.

A procedure to write the declaration of a function pointer is to first just write the function prototype, add parentheses around the function name ("hug the function"), and add an asterisk to the start of the newly added parentheses ("kiss the function"). The following example illustrates the basics:

Listing 31: Introduction to Function Pointers

```
void print_decimal(unsigned int i) {
     printf("%u\n", i);
2
3 }
5 void print_hex(unsigned int i) {
      printf("%x \ n", i);
7 }
9 void print_octal(unsigned int i) {
     printf("%o\n", i);
10
11 }
12
  int main() {
      /* The following is the declaration of */
14
      /* fp as a function pointer variable */
15
     void (*fp)(unsigned int);
16
17
      /* Below both & and * are optional */
      /* due to the use of the function */
19
      /* call operator */
20
21
      fp = print_hex;
22
      fp(16); /* prints "10" */
23
      fp = &print_octal;
24
      fp(16); /* prints "20" */
     fp = print_decimal;
26
      (*fp)(16); /* prints "16" */
28
     return 0;
29
```

On Line 16, we declare a function pointer fp using the exact procedure previously described. The key thing to note there is that the parentheses around \*fp indicates that fp is a pointer to a function, not a function that returns a pointer.

Once we've got a function pointer, we can assign it to the name of a function (just as we could do with arrays), and everything works fine. In fact, the C compiler even allows us to assign a function pointer to the *address* of a function (like on Line 24), or even dereference while calling the function (like Line 27), and everything will still work fine. However, the statements on Line 22 and 23 illustrate standard way to do this.

#### 14 Thursday, June 27, 2019

### Memcpy and Memset

The void \*memcpy(void \* destination, const void \* source, size\_t num) function is really similar to the strcpy() function. The function memcpy() is used to copy a specified number of bytes from one memory to another, whereas strcpy() copies the contents of one string into another. Also, strcpy() works exclusively with strings, whereas memcpy() works with any type of data.

Another function is void \* memset (void \* ptr, int value, size\_t num), which sets the first num bytes in the block of memory pointed to by ptr, and sets them all to value. For instance, if you have a character array arr, the statement memset(arr, 'a', 8) would set arr to have eight characters, each of which are a.

A more comprehensive example is provided below:

Listing 32: Memset and Memcpy

```
1 #include <stdio.h>
2 #include <string.h>
4 #define MAXLEN 80
5 #define ROSTER_MAX_LEN 2
7 typedef struct student {
8
      int id;
     \frac{\text{char}}{\text{name}} [\text{MAX} + 1];
9
10 } Student;
void print_roster(Student *roster, int length) {
13
14
      for (i = 0; i < length; i++) {
15
         printf("%d - %s\n", roster[i].id,
16
             roster[i].name);
17
18 }
19
20 int main() {
      Student roster [ROSTER_MAX_LEN] = {{10, "Kelly"},
21
                             {20, "John"}};
22
      Student copy [ROSTER_MAX_LEN];
23
24
      char name [MAXLEN + 1];
25
      print_roster(roster, ROSTER_MAX_LEN);
26
     memcpy(copy, roster, ROSTER_MAX_LEN *
27
          sizeof(Student));
      print_roster(copy, ROSTER_MAX_LEN);
29
      /* memset */
30
     memset(name, 'a', MAXLEN / 2);
31
     name [MAXLEN / 2] = \sqrt{0};
32
33
      printf("%s \ n", name);
34
      return 0;
35
36
```

Upon running the code, Line 27 copies the contents of roster to the destination copy. It's important to note that this isn't the same as making the two array pointers point to the same block of memory. Using memcpy(), we've created two distinct blocks of memory with the same contents. Hence, the print statements on Lines 26 and 28 print out the exact same thing. Subsequently, the memset call on Line 31 sets the first half of the elements of name equal to 'a'. Consequently, the print statement on Line 33 prints fourty a's.

We cannot copy overlapping memory areas when using memcpy() or memset().

### Searching Files with Grep

grep is a command-line utility that can be used in Unix to search for patterns of characters in a file. The general format for grep is grep [target\_string] [file\_name] Consider the following text file, called information.txt:

#### Listing 33: Text Sample

```
This project is about hashing,
piles, structures,
pointers
and dynamic memory allocation (and more pointers).
```

If we were to type grep point information.txt, the shell would return the lines in which the string point is found (namely, the third and fourth lines). A useful flag that we can add is the -n flag, which returns the line numbers alongside the lines that are found.

Now, what if we want to search multiple files? Like other Unix commands, we can use the \* wildcard. For instance, grep -n point \* would print the lines and line numbers of every file in the current directory that contains the string point. To search recursively (in subdirectories), we need the -r flag.

### **Data Representation**

#### Character Representation

The two most common formats of representing characters are listed below:

- 1. **ASCII** is the most commonly used format; the capital letters are assigned numbers from 65-90, and the lowercase letters are assigned letters from 97-122.
- 2. **Unicode** is another common format. It stands for Unicode Transformation Format, and there are a few different versions. UTF-32 allows us to represent any character in any language (used by the Government), UTF-16 is the most popular, and UTF-8 provides backwards compatibility with ASCII.

#### Integers

When we're representing unsigned integers, the representation is more straightforward - all of the numbers are stored using binary. Now, this works pretty easily for positive integers, but what if we want to represent a negative number? The solution comes using a convention called **two's complement**.

Under two's complement, the positive value of a number is just its binary representation with its leftmost bit equal to 0. To obtain a negative value, we invert all of the bits of the corresponding positive value, and we add 1. The eight bits 00000101 typically correspond to the decimal number +5. Under the two's complement convention, the number -5 can be represented by 11111011.

Why do we use two's complement instead of, say, just adding an extra bit at the start or end to indicate the sign? The reason why we use two's complement over just having an additional sign-indicating bit is mostly for math-simplifying reasons<sup>1</sup>.

With two's complement, The range of values that we can store with n bits ranges from  $-2^{n-1}$  to  $2^{n-1}-1$ , inclusive.

 $<sup>^{1}</sup>$ https://stackoverflow.com/questions/1125304/why-prefer-twos-complement-over-sign-and-magnitude-for-signed-numbers

#### Floats and Doubles

We can store floats and doubles by writing the value we're storing in the form

$$(-1)^s \cdot m \cdot r^e, \tag{1}$$

where s is a bit (either 0 if we're representing a positive number or 1 if we're representing a negative number) representing the sign of the number, m is a **mantissa**, r is the **radix** (base) we're working in, and e is an exponent to be determined.

This might seem confusing at first, but we can break this process down into one simple step: writing the number in scientific notation.

All of the variables in Equation (1) comes from writing our number in scientific notation. For example, if we want to store the decimal number 51.432, we can write it as  $5.1432 \cdot 10^1$ , and we can examine this expression to see that m = 5.1432, r = 10, e = 1, and s = 0.

Similarly, if we're storing the binary number 1001.1101, we would be able to write this as  $1.0011101 \cdot 2^3$  and find m = 1001.1101, r = 2, e = 3, and s = 0.

The number of bits allocated for the radix, exponent, and mantissa are specified by the IEEE 754 floating point standard. To store a negative exponent, we add an **exponent bias** to the exponent e, which normalizes the number zero to all zeroes.

### Imprecision with Real Numbers

Some real numbers, like  $1/3 \approx 0.33333$ , have infinitely long decimal representations. This can create complications when we're storing these numbers because we are trying to store an infinite decimal with finite space. In summary, some of these bits get cutoff, which can cause some small imprecisions when dealing with real numbers.

### 15 Friday, June 28, 2019

Today, we're going to discuss bits and bitwise operations.

Recall from last lecture that the negative representation of an integer can be obtained by inverting all of the bits and adding 1. As an example, consider the number 01110, which corresponds to the decimal number 15. By inverting the bits and adding one, we see the number -15 can be represented as 10010, and this can easily be verified for correctness by noting that 01110 + 10010 = 00000.

### Review of Numeric Base Systems

We should already be familiar with how to convert between two different bases. However, we can briefly recap:

- To convert from base 10 (what we are accustomed to) to binary, repeatedly divide the number by 2, and keep track of the remainders. The binary representation of the number will be the remainders concatenated together in reverse-chronological order.
- To convert from binary to base 10, multiply the  $i^{\text{th}}$  bit from the right (starting from 0) by  $2^{i}$ . The desired number in base 10 will be the sum of these powers.

Consider the following conversion table:

decimal	hexadecimal	binary
0	0	0000
1	1	0001
2	2	0010
3	3	0011
4	4	0100
5	5	0101
6	6	0110
7	7	0111
8	8	1000
9	9	1001
10	A	1010
11	В	1011
12	С	1100
13	D	1101
14	E	1110
15	F	1111

From the table above, it becomes clear that a single hexadecimal digit requires four bits for its representation. This matters because it allows us to quickly convert between hexadecimal and binary. Consider, for example, the hexadecimal number 55. We can look at each hexadecimal digit, and we can write down the corresponding binary number with four bits to obtain 01010101 as the equivalent binary representation.

The printf() command has a format specifier to print a number in hexadecimal (with the %X specifier) or octal (with the %0 specifier). Moreover, the %08x specifier can be used for printing unsigned integers as zero-padded, eight-digit hexadecimal numbers. However, there is no format specifier to print a number in binary. As an example, consider the following code segment:

Listing 34: Hexadecimal Format Specifier

```
#include <stdio.h>

int main() {
    unsigned int k = 0, limit = 32;

for (k = 0; k <= limit; k++) {
    printf("%d --> %08x\n", k, k);
    }

return 0;
}
```

The output of this program will be all hexadecimal numbers between 0 and 32, inclusive. There are additional zeroes added to the start of each number to ensure that each output is eight digits long.

So, why do we specify that we want eight bytes, rather than some other number? In our system, an unsigned integer is 4 bytes, or equivalently, 32 bits (there are 8 bits in a byte). Now since each hexadecimal number requires four bits, we require 32/4 = 8 hexadecimal digits.

As another example, suppose we're printing out a single character. There is 1 byte, or equivalently, 8 bits in a character. Hence, we require 8/4 = 2 hexadecimal digits to print a character.

We can utilize the preceding fact and store a two-digit hexadecimal number as an unsigned character type.

### **Bitwise Operations**

First, we discuss bit shifting.

A bit shift moves each digit in a number's binary representation a specified number of places to the left or right. The left shift operator is written as <<, whereas the right shift operator is written as >>. The number that directly follows this operator specifies the number of places we wish to shift.

As a basic example, consider the number 2, which has binary representation 0011. If the variable x were storing the value 2, the statement  $x \ll 1$  would return the value 4 since the binary representation of  $x \ll 1$  is 0110 (note that an additional zero has been added at the end). The variable x itself won't be updated from a bitshift. If we want to update the value of x, we would have to instead write either  $x = x \ll 1$  or  $x \ll 1$ . Likewise, the statement  $x \gg 1$  would return the value 1. Performing left and right shifts are equivalent to multiplying or dividing by powers of 2. That is, a left shift by one multiplies by 2, and the right shift divides by 2 (note, however, that they are much faster than multiplying or dividing by 2).

Some other bitwise operators include the logical operators of AND, OR, NOT, and XOR. These can be used in C with the binary operators &, |, ~, and ^, respectively. We should already be familiar with these, but to recap, consider the numbers 5 and 7. The binary representation of these two numbers are 0101 and 0111. Consequently, we see that 5 & 7 returns 5, 5|7 returns 7, ~5 returns 10, and 5^7 returns 2.

The identity for the AND operator is 0, and the identity for the OR operator is 1 (i.e. x&0 = x, and x|1 = x)

Note that all of these bitwise operations can also be applied to hexadecimal numbers (or rather, numbers in any base). It's just important to convert everything to binary first.

### Bitmasking

Why are bitwise operations important? We can use bitwise operations to perform **bitmasking**, ultimately allowing us to "toggle" or "retrieve" bits on and off.

Consider a function that takes in an integer; say the input is 1010. If we want to always clear the second bit from the right, this function can simply return the user's input AND'd with 1101, which will always result in a 0 in the second bit. As another example, suppose we want to extract the second bit from the right of a given integer. Again, suppose the integer we're working with is 1010. We can AND this number with 0010, which will clear everything except for our digit of interest. If the result is 0, the value of that digit is 0; otherwise, it is 1.

Using AND in conjunction with right shifts, we can write a program that counts the number of zeroes and ones in the bitwise representation of an integer.

In summary,

- We can clear bits by AND'ing with 0.
- We can check bits by AND'ing with 1.
- We can set bits by OR'ing with 0
- We can flip bits by XOR'ing with 1.

Consider the following problem that can be solved with bitmasking: Given the hexadecimal number <code>0xab</code> (which has binary representation <code>1010 1011</code>), perform bitwise operations to make bits in positions 2 to 4 (from the left) equal to <code>110</code>.

The solution is to AND with  $1000\,1111$  to clear the targeted bit positions, and finally OR with  $0110\,0000$  to set them to what we desire.

### Big Endian and Little Endian

**Endianness** refers to how data is arranged in memory. It is dependent on the hardware we are working on (not the operating system). Endianness is important when we're working at the bit level.

There are two types of endianness that we are concerned with:

- In **Big Endian** refers to how we typically visualize data. In this representation, there's a pointer that points to the most significant byte.
- In Little Endian, the data is laid out in reverse-chronological order, and the pointer points to the least-significant byte.

Grace runs using little Endian.

Ekesh Kumar Prof. Nelson Padua-Perez

### 16 Monday, July 1, 2019

A **nibble** is a term used to describe four bits. A very important thing to remember from last lecture is that each hexadecimal digit is represented by a nibble.

### Unix File Permissions

Unix file permissions are based on the octal system. Every file is associated with three entities: the user entity, the group entity, and the "other" entity. The user entity describes the file permission of yourself. The group entity describes a set of users on the same system. The "other" section describes everyone else.

The chmod command can be used in Unix to change permissions of a file. The general format of the command is chmod [settings] [file\_name]. What we enter for the [settings] portion of the command is a three-digit octal number, specifying the permissions for each entity. The first digit corresponds to the specifications for the user, the second digit corresponds to the settings of the group, and the last digit is for the "other" entity.

So, how does it work? We convert each octal digit in [settings] to a three-digit binary number, which specifies whether the entity has read, write, and/or executing permissions, in that order.

As an example, suppose we execute chmod 400 a.out. The corresponding binary representation of 4 is 100, meaning that the user (the person executing the command) will be able to read the file, but they will not be able to write to the file or execute it. Since the other two octal digits are 0, the permissions of the group and "other" categories aren't modified.

How do we determine what the [settings] number should be? This is easy - just work backwards. Suppose we want the user and the group to be able to read and execute the file but not write to it. This corresponds to the three-digit binary number 101, which has octal representation 5. So, chmod 550 a.out does exactly what we want.

We can call **chmod** recursively on a directory using the **-r** flag.

#### Introduction to Assembly Language

**Assembly** is a low-level, readable translation of machine language. It is a programming language that we work with when we want to see what the computer is doing. There are many assembly languages out there - in this class, we will use AVR Assembly. We can generate the .S file corresponding to the assembly instructions of a .c file by compiling with the -S flag when using avr-gcc. This is not allowed for projects/exercises.

A computer consists of some memory (RAM), and a CPU. Inside of the CPU, there is an **arithmetic logic unit**, which is responsible for performing computations. Additionally, inside of the CPU, there are **registers**, which are fast-access locations that instructions use instead of storing all values in memory. Registers are all one byte. In AVR, there are 32 registers, labeled r0, r1, ..., r31. A computer also has a **program counter**, which is a register that specifies the next instruction to be executed. Finally, the computer has an **immediate**, which consists of constants that are in the instruction itself.

A program written in AVR assembly has four components to it. Firstly, there are **instructions**, which specify what the processor will execute. These instructions typically consist of a name, a list of registers, and sometimes a constant value. In addition, there are **labels**, which represent an address. Labels are typically denoted by some text, followed by a colon. They are also used to define functions. Finally, there are **assembler directives**, which controls where code and data are placed, as well as **comments**.

How does our assembly code become machine language? We use an **assembler** (analogous to a compiler) to produce the zeroes and ones associated with our instructions.

### An Illustrative Example

To illustrate how a basic assembly program works, we'll first consider some logically equivalent code written in C:

Listing 35: C Program for Assembly Example

```
#include <stdio.h>
#include <stdio.h>

#define LETTER_A 65
#define NEWLINE 10

char x = 6; /* We are not using it */

int main() {

putchar(LETTER_A);
putchar(NEWLINE);

return 0;

return 0;
```

Note that we don't actually use the variable x - it's just there so that we can see how to create global variables in assembly. What does this code segment do? It prints the letter A (which has ASCII value 65), and it subsequently prints a newline character (which has ASCII value 10).

The corresponding assembly program is presented below:

Listing 36: Assembly Example

```
1;;; Organization of typical avr program (; used for
      comments (don't use #))
2
з ;;; Symbolic constants
                                  ; Constant for A
          .set LETTER_A, 65
          .set NEWLINE, 10
                                  ; Constant for \n
7 ;;; Global data
          .data
                                  ; Begins data section.
          .byte 6
                                   ; Label "x" stores 6
9 X:
11 ;;; Program code
12
          .text
                                  ; Directive to start code
13
14 .global main
                                  ; Makes main label
      externally available
15 main:
          ;; main function. Task is defined at this
16
               point. This function prints letter A
               followed by newline character. We need the
               newline to force the flushing of
               character A.
17
          call init_serial_stdio ; To call a function we
18
               use the call instruction
19
          ldi r24, LETTER_A
                              ; The ldi instruction
20
               loads a value into a register.
          clr r25; Sets high byte of putchar's integer
21
              argument to 0.
          call putchar; Calls putchar to print the
22
               character
```

```
23
      ldi r24, NEWLINE; Initializes r24 with '\n'
24
          character ascii value
           clr r25; Sets high byte of putchar's integer
25
               argument to 0
      call putchar
26
27
                               ; We need to stop the
28
           program. Relying on cli and sleep.
29
           sleep
30
           ret; Adding this ret to show functions end
31
               with ret but this ret is unreachable
               (program already stopped)
```

Before we start analyzing this code, it should first be noted that lines beginning with dots are directives, lines ending with colons are labels, lines beginning with a semicolon are comments, and everything else is an instruction.

What observations can we make?

- Comments begin with a single semicolon, but we sometimes prefer to use more semicolons for sylistic reasons.
- Symbolic constants are defined with . set directive followed by a target text, a comma, and a replacement text. The target text never actually makes it to the machine code; it is processed by the assembler.
- By writing the .data directive, we indicate that what follows is a data section. We create labels by having some text, followed by a colon. Here, our label is x, which stores the memory address of the value 6. What follows .byte indicates the entity being stored at the memory address. This can be written in decimal (as it is), hexadecimal, or even binary.
- The .text directive indicates that we're done with our initial setup, and everything that follows is actual code. This is a very important directive to have.
- The .global main directive allows main to be called outside of the current file. Functions, including main, begin with labels. Also, functions end with ret.
- To call a function, we use the call instruction call init\_serial\_stdio. We will always call this function to permit us to use input and output.
- To print the ASCII value of 'A,' we need to first load a value into a register using ldi. In our program, we move 65 to register 24.
- After we load into register 24, we clear register 25 with clr. Why do we clear register 25? Because putchar() assumes that the value it will display can be found in registers 24 and 25. This is a rule defined by gcc. So we clear register 25 to contain no data.
- To flush the buffer, we load in the new line character to register 24, and we re-clear register 25 (in case putchar messed it up). Finally, we call putchar again, and we get our desired output.
- The cli and sleep functions are necessary to stop the program.

Side-note: we can't have floating-point types in AVR Assembly, but we can have integers, characters, and strings.

### 17 Tuesday, July 2, 2019

No discussion today. Today is day two of Assembly.

### **Data Space Instructions**

In order to transfer the contents of a register back into memory (like a variable), we can use the sts instruction, which is short for "store to data space." Using sts in assembly is analogous to assigning a variable some value. On the other hand, we can use stored memory to write to a register using the lds instruction, which is short for "load direct from data space." The general syntax for sts is sts [data destination], [register source]. The general syntax for lds is lds [register destination], [data source].

Another useful instruction is inc, which simply increments the contents of a register. As we'd expect, the syntax for this instruction is just inc [register name].

Consider the following code segment, which illustrates all three of these instructions:

Listing 37: Storing and Loading to Data Spaces

```
ı ;;; Global data
       .data
2
       .byte 0x2
за:
      .byte 0b00000100
4 b:
      .byte 0
  ;;; Program code
7
       .text
9
  .global main
11 main:
12
       call init_serial_stdio
13
               r18, a; stores contents of a into r18.
14
      lds
               r19, b; stores contents of b into r19.
      push
               r19 ; saves value of r19 on the stack.
16
17
      add
               r19, r18; adds contents of registers.
               c, r19; stores contents of r19 into c.
18
      sts
19
      lds r18, c; stores contents of c into r19.
20
      inc r18 ; increments r18
21
      pop r19; restores r19
      inc r19; increments r19
23
24
       cli; stops the program
```

What's happening here?

On Lines 3,4, and 5, we're just declaring three global variables: a, b, and c. Like we saw yesterday, the .data directive indicates that we're starting our data section, and the .byte directive indicates that the value that follows should be stored in the specified variable. In this case, we store 0x2 (hexadecimal for 2) in a, 100 (binary for 4) in b, and 0 in c. The reason why we're using different base systems is just to clearly convey that it is allowed.

All of our variables have been initialized, so we can begin writing our code (officially, this is indicated by the .text directive on Line 8). In our main, we see an example of lds in action: the instruction takes a register and some data, and it loads the contents of the data into the register. In this case, r18 stores 2, and r19 stores 4. The contents of r19 are pushed onto the stack for safekeeping.

The add instruction on Line 17 stores the contents of r19 and r18 and stores the sum in r19 (it overwrites the previous value, which is precisely why we pushed the original value onto the stack). On Line 18, the sts instruction is used to store the sum into variable c. This value is incremented by one, the original contents of r19 are restored, and the contents of r19 are incremented by one. The final value stored in r18 is 7.

#### Instructions List

The list below summarizes some important instructions that we should become familiar with (we've already seen some of these):

- 1di initializes a register with a constant value. Its general syntax is 1di [register] [constant]. For instance, 1di r24, 5 would set the contents of r24 to 5. We can only load constants to the registers r16, ..., r31 (with the exception of c1r, which loads in 0).
- 1ds loads data from memory into a register we saw this in the previous example.
- sts stores data from a register into memory we also saw this in the previous example.
- clr clears the contents of a register. Its general syntax is clr [register]. After this instruction is executed, the contents of the register it was performed on becomes 0.
- add is used to add the contents of two registers. Its general syntax is add [register1], [register2]. After this instruction is executed, the contents of register1 are replaced with register1 + register2.
- inc is used to increment the value of a register by one. We saw this in the previous example.
- push is used to push a register value onto the stack. Its syntax is push [register].
- pop is used to move data from the top of the stack into another register. Its syntax is pop [register]. Note that you don't have to pop to the same register that was pushed. There should be a one-to-one correspondence between pop and push instructions.
- call is used to call a function, as we saw with the putchar example yesterday.
- ret is used to return from a function.
- nop is short for "no operation," and it does nothing. Why does it exist? To make our processor wait and do nothing.
- mov has syntax mov [destination register], [source register]. It copies the contents of source register into destination register. Note that the name "move" is slightly misleading here the contents of source register aren't moving anywhere they are being copied, not moved.

One way to remember the syntax of some of these instructions is to note that, when we're writing to a register, the first register is always the target register where the result is being written to.

### Caller/Callee Saving

The 32 registers that we use in Assembly are all global registers. What this means is that these registers are shared among every function, including the main. To illustrate why this matters, suppose we were to store 20 in register r19 in the main. We then decide to call some function, which stores 100 in the register r19 as a part of its processing. This change will also be reflected in the main (and everywhere else in the program).

Registers are shared across the entire program, which makes things more complicated. How can we avoid overwriting registers used by functions? The solution to this problem comes from two protocols that we use:

1. Caller-saved protocol: When writing a function, we assume the programmer who called the function has already saved the contents of registers from r18 to r27, r30, and r31. So, the function writer should be operating on only these registers, and it's up to the programmer to have already saved anything of importance in these registers. We are expected to only operate on these registers when writing our functions as well.

2. Callee-saved protocol: If a function writer wants to use registers r2 to r17, r28, or r29 inside of their function, they need to be saving the registers prior to using them. Furthermore, the contents of these registers need to be restored back to their original values before leaving the function.

What do these protocols mean to us? It means that there is less work for both the function writer and the function caller. On one hand, the function writer can use several registers without worrying about overwriting important data. On the other hand, the function caller has a set of registers where they can store data and call a function with certainty that their data will not be overriden.

To better understand these two protocols, consider a blackboard that is shared among different professors. The blackboard follows the caller-saved protocol: a professor who enters the classroom to teach is allowed to erase whatever is on the blackboard. It is assumed that any important information on the blackboard has already been recorded by the previous user. On the other hand, if the blackboard were callee-saved, the most recent user would have to restore the blackboard to however it originally was, prior to their use.

We can use the fact that registers are shared across the entire program to pass and return values to functions.

### **Arguments and Return Values**

Unlike C, Assembly doesn't have function headers: a function declaration is just a label. So, how do we take in arguments and/or return values? Both of these tasks are accomplished through registers. If we have a lot of arguments or return values and we run out of registers, we can use the stack (but we won't need to worry about that).

When we're passing arguments to a function, we can just load the argument into a register for the function to use. Arguments are aligned to start in even-numbered registers, beginning at r24 and going downwards. Also, if we're passing an odd number of parameters, there will always be one free register above them. So, for instance, if we're passing in a single char to a function, we would load the value of that character into r24, and we would leave r25 empty as there is an odd number of parameters.

The conventions for passing arguments to functions are illustrated below:

- If we're passing an argument that's just one byte, the argument will go in r24 and r25 will be cleared.
- If we're passing an argument that's two bytes, the arguments will go in r24 and r25 (note how there is no free register since there are two arguments).
- If we're passing four bytes of arguments, the arguments will go in r25, r24, r23, r22, and there won't be any empty registers.

Once these registers have been loaded with the arguments, we can use the call instruction to go inside of the function. The function will share the contents of these registers, and it will be able to perform any necessary processing.

How do we return values from functions? It's the same idea as passing arguments. The exact same convention for passing parameters. For instance, if the function returns one byte, the return value is loaded into register r24.

Note that the conventions for passing arguments and returning values align with the caller/callee-saved protocol. Particularly, we are passing parameters through caller-saved registers. The function caller is expected to have already saved any important data in these registers, as the function will be changing the contents of these registers after processing.

After introducing these concepts, let's look at an example that's very similar to what we saw yesterday:

Listing 38: Assembly: Arguments and Return Values

The function putchar from C takes in a single character as an argument. To pass in the argument 'A,' we clear register r25 and load the ASCII value of 'A' into r24. This aligns with the convention we've previously discussed. Finally, we call putchar, which is now free to do whatever it desires with these parameters. It will no longer be guaranteed that register r24 and r25 are how they were prior to the function call.

### **Accessing Memory**

In Assembly, 108 and hi8 can be used to extract the lower and higher 8 bits of data (if we are passing in 2 bytes of data, there will be two 8 bit blocks). The instruction 1di r24, 108(x) would load the lower byte of variable x into register r24.

We have already seen that 1ds and sts are instructions that allow us to read and write to memory. Assembly has three pairs of registers that allow us to access memory. These pairs of registers are called X (resides in registers r26 and r27), Y (resides in registers r28 and r29), and Z (resides in registers r30 and r31).

X, Y, and Z represent addresses in memory (like pointers). Conventionally, the low byte is stored in the even-numbered register, and the high byte is stored in the odd-numbered register.

Consider the following example:

Listing 39: Assembly: Arguments and Return Values

```
.data
2 pctd:
       .\,a\,s\,c\,i\,z
                 "%d "
4
5
  values:
                 15
        .byte
6
7
        .byte
        .byte
                 17
        .byte
                 18
9
10
11
        .text
12
  .global main
14 main:
15
       call init_serial_stdio
16
17
       lds r24, values
18
       clr r25
19
        call pint
20
       ldi r24, 10
21
       clr r25
22
       call putchar
23
24
       ldi r26, lo8 (values)
```

```
ldi r27, hi8 (values)
26
        ld r24, X
27
        \begin{array}{ll} \textbf{push} & \textbf{r26} & ; & \textbf{Caller-save} \end{array}
28
        push r27
29
         clr r25
30
         ldi r24, 10
31
32
         clr r25
         call putchar
33
34
        pop r27
35
        pop r26
36
        adiw r26, 1; Move the pointer
37
38
         ld r24, X
39
         clr r25
40
         call pint
41
         ldi r24, 10
42
         clr r25
43
         call putchar
44
45
         cli; Terminate program
47
        sleep
48
```

First off, we see a new directive: .asciz. This just indicates that we are declaring a string literal.

Next, we note the label values is defined differently than what we've seen so far: there are multiple .byte directives in its definition. The key thing to remember here is that a label is just an address in memory. Hence, one way we can interpret values is the value 15: if we were to load this value somewhere, the value 15 would be loaded. We can alternatively interpret values as the name of an array with contents 15, 16, 17, and 18.

When we call pint (the function for printing), 15 is outputted. We then load the ASCII value for a new line, and we call putchar to print a new line.

On Lines 25 and 26, we load the low byte and high bytes of values into the registers r26, and r27. The registers r26 and r27 are special: they represent a register pointer, denoted by X. Hence, on the subsequent line, we can use 1d to initialize r24 to whatever X points to (note that we use 1d for register pointers instead of 1ds). Finally, when we call pint again, 15 is printed again (as r24 now points to X).

Okay, now what if we want to print the other values in the array? We use pointer arithmetic, just like in C. The adiw instruction is short for "add immediate to word'," and it has syntax adiw [register], [number], and it increments the contents of [register] by [number]. This is exactly what's happening from Lines 37 to 44. These lines increment X and print 16 along with a new line character.

Tomorrow, we will pick up from this point and do some more Assembly.

### 18 Wednesday, July 3, 2019

More Assembly today. Before we start, here's some quick review from the past two classes:

- Assembly programs are written in a .S file.
- There is a .data directive, which denotes the portion of the code where we define data (like variables).
- A label represents a memory address (it can be viewed as a pointer to a variable or a function).
- A value in memory is defined using the .byte directive. Hexadecimal, decimal, or binary are all permitted.
- The .global main directive is like the extern keyword in C—it indicates that the function can be accessed from outside of the current file.
- The lds [register], [data] instruction stores data into the contents of register. Similarly, ldi [register], [constant] allows us to load a constant value to register.
- The clr [register] instruction clears the contents of register to zero.
- Assembly has a built-in pointer register, denoted X, which represents the combination of registers r26 and r27. (Why do we need two registers? Memory addresses are 16 bits, or 2 bytes, so they need two bytes).
- To initialize a pointer register like X, we use 1di along with 108 and hi8 on r26 and r27, respectively. We use these directives on whatever value we want X to point to.
- To load the contents of a register pointer into another register, we can use the 1d instruction in the form 1d [destination register], [register pointer]. This is the C-equivalent of dereferencing a pointer. If we now increment r26, we can write adiw r26, 1 to move the pointer X by one. Using inc would also work, but it's not great to use since with register pointers as it only operates on one register. Assembly also supports a + operator. The instruction 1d r24, X+ would load the contents of X to r24 and move the pointer by one.
- To save a value in a register, we use push in the form push [register] to push the contents of the register onto the stack. You need to have one pop for every push.

### More on Register Pointers

Consider the following code segment, which is an example of writing to memory:

Listing 40: Assembly: Register Pointers

```
1
2 ;;; Example - writes the values 77 and 99 to memory
      using sts and st;
3 ;;; Also using X, Y, Z register pointers
4
           .data
5
6 pctd:
           .asciz "%d "
9 values: ; represents data memory area where we will
      write
          ; note we are not using any .byte
10
               directive
11
           .text
```

```
14 .global main
15 main:
           call init_serial_stdio
16
17
                                     ; needs to save
18
              r29, r28 (callee-saved)
           push r28
19
20
           ldi r18, 77
21
           sts values, r18
                                    ; assigning 77 to
22
               location values (using sts)
23
                                     ; reading first value
           ldi r28, lo8 (values)
               using Y (r29:r28)
           ldi r29, hi8 (values)
                                     ; r29:r28 = values
           ld r24, Y+
                                     ; using Y+ (increases
26
               pointer by one location)
27
           clr r25
                                     ; printing the value
           call pint
28
           ldi r18, 99
                                     ; writing location
30
               after first entry
           st Y, r18
                                     ; using st (NOT sts)
31
           ldi r30, lo8(values)
                                     ; using Z pointer
32
               register to read value written
           ldi r31, hi8 (values)
33
           adiw r30, 1
                                     ; moving forward Z
34
              pointer one position
                                     ; reading value written
           ld r24, Z
35
           clr r25
                                     ; printing value
37
           call pint
38
39
           clr r25
                                     ; newline
40
41
           ldi r24, 0xa
           call putchar
42
43
           pop r28
44
           pop r29
45
46
              cli ; stopping program
47
           sleep
48
49
50
           ret
51
52 pint:
           ;; prints an integer value, r22/r23 have the
               format string
           ldi r22, lo8(pctd)
                                     ; lower byte of the
               string address
           ldi r23, hi8(pctd)
                                     ; higher byte of the
55
               string address
           push r25
56
           push r24
           push r23
58
59
           push r22
           call printf
60
           pop r22
61
           pop r23
           pop r24
63
           pop r25
64
65
           ret
```

Everything up to the main is what we're used to. Note, however, that the values label doesn't have any

.byte directives—all this means is that the memory address corresponding to values hasn't been initialized.

In this example, we'll be using the Y register pointer (there's no particular reason why we're using Y—we could have used X or Z instead), which corresponds to the registers r28 and r29. So, we save the contents of these registers, and we load the low and high byte of r18 (which stores 77) into them. On Line 26, we load what Y is pointing to into r24, and increment Y by one (so Y is now pointing to a new uninitialized area of memory). Next, the value 77 is printed by calling pint.

Line 30 updates the contents of r18 to 99, and line 31 stores 99 into Y. Note that we use st, which works with register pointers, instead of sts. Lines 32 to 33 initialize the register pointer Z, and Line 34 moves Z forward by one (Z is now pointing to 99). Thus, loading this value into r24 and calling pint prints out 99.

### Instruction Encoding and the Status Register

In Assembly, an instruction is represented by a set of bits which are assembled into a set of zeros and ones. But, not all of these bits are telling the assembler what operation to perform. The portion of the bits that encode what operation should be performed is known as the **opcode**.

So, what do the rest of the bits represent? The short answer is that it is dependent on the instruction we're considering. For instance, if we're dealing with ldi, we'd have the opcode, another portion to store the registers, and another portion to store the values of the registers.

The number of bits necessary to encode an instruction also varies. In AVR, however, we're guaranteed that instructions are either two bytes or four bytes. When memory is scarce, choosing the correct instruction is vital to saving memory.

In an Assembly program, there's a register—known as the **status register**—that keeps track of recent operations (particularly mathematical operations). We can view what's inside of the status register by typing info r in gdb. Why is the status register important? It allows us to perform conditional executions, known as **branching**.

#### **Branch Instructions**

We can use the status register to perform conditional execution of statements. This is done with the cp instruction, which has syntax cp [register1] [register2]. This is used to compare the contents of two registers.

Consider the following example:

Listing 41: Assembly: Register Pointers

```
1;;; Example - if a == b prints 'Y' else prints
2 ;;; Change a and b to see different outputs
       .set LETTER_N, 'N'
       .set LETTER_Y, 'Y'
5
  ;;; Global data
       .data
           .byte 0x6
10 a:
11 b:
           .byte 0x5
12
13 ;;; Program code
14
          .text
15
  .global main
17 main:
           call init_serial_stdio
18
19
```

```
lds r18, a
                                    ; reading a from memory
20
           lds r19, b
                                    ; reading b from memory
21
                                    ; comparing registers
           cp r18, r19
22
           breq 1f
                                    ; 1 is the target: f
23
               represents forward
           ldi r24, LETTER_N
                                    ; store N
24
           jmp 2 f
26 1:
           ldi r24, LETTER_Y
                                    ; store Y
27
28 2:
           clr r25
                                    ; printing result
           call putchar
29
30
           clr r25
                                    : print newline
31
           32
           call putchar
33
34
           cli
                                    ; stopping program
35
           sleep
36
37
           ret
```

In this program, we read the value of a and b into registers r18 and r19. These values are compared with cp on Line 22, which has syntax cp [register1], [register2]. The instruction breq is short for "branch if equal," and its syntax is breq [label]. Pretty much, this instruction utilizes the status register to check the value of the preceding comparison. If the comparison indicated that the two variables were equal, the program jumps to the target label (here, the f indicates that we're jumping forward).

Suppose  $a \neq b$ . In this case, we don't jump to label 1. Instead, we'll store the letter N in r24. The next instruction, jmp, indicates an unconditional jump (it always gets executed). Hence, once N is loaded into r24, we'll jump forward to label 2, and we'll print the result along with a new line.

Now suppose a = b holds. In this case, we'll jump over the statement that loads N into r24. Instead, we'll load Y into r24, and we'll continue from there and print the character along with a new line.

The advantage of using cp is that we don't have to modify the registers.

Some other branch instructions are listed below:

- cpi [register], [constant] compares the contents of a register to a constant.
- tst [register] tests whether a register is non-positive.
- breq [label] branches to label if the previous comparison indicated an equality.
- brne [label] branches to label if the previous comparison did not indicate an equality.
- brge [label] branches to label if the previous comparison indicated register1 was greater than or equal to register2. This should be used on signed integers.
- brlt [label] branches if register1 is strictly less than register2. This instruction should also be used for signed integers.
- brlo [label] branches to label if the comparison is strictly less than. It is used with signed integers.
- Finally, brsh [label] branches to label if the comparison is greater than or equal to. It is used with unsigned integers.

It's important to remember that branching instructions always look at the last result with the status register. Thus, comparison instructions need to come immediately before the branch instructions.

Here's another example.

Listing 42: Assembly: Do-While Loop

```
1;;; Example - prints values 1 to 5 (do while)
з;;; Global data
      .data
5
6 pctd:
           .asciz "%d "
9 upper_limit: .byte 0x5
10
11 ;;; Program code
12
           .text
13
14 .global main
15 main:
16
           call init_serial_stdio
17
           push r15
                                    ; callee-save
18
19
           push r16
20
21
           lds r15, upper_limit
                                   ; upper limit
           ldi r16,1
                                    ; loop starts, r16 is
22
               the iteration variable
23
                                  ; printing value
24 1:
          mov r24, r16
25
           clr r25
           call pint
26
27
           inc r16
                                   ; increasing iteration
28
               variable
                                  ; checking whether we
           cp r15, r16
              reach limit
           brge 1b
                                    ; go back as long as
               r15 >= r16
31
           clr r25
                                    ; newline
32
           ldi r24, 10
33
           call putchar
34
35
           pop r16
                                    ; restoring registers
36
37
           pop r15
                               ; stopping program
38
           sleep
40
           ret
41
42
43 pint:
           ;; prints an integer value, r22/r23 have the
               format string
                                    ; lower byte of the
45
           ldi r22, lo8(pctd)
               string address
           ldi r23, hi8(pctd)
                                    ; higher byte of the
46
               string address
           push r25
47
           push r24
48
           push r23
49
50
           push r22
           call printf
51
           pop r22
52
53
           pop r23
           pop r24
54
           pop r25
56
           ret
57
```

There isn't anything confusing here. Register r15 stores the upper limit of loop. After each iteration, we compare the iteration variable, stored in r16, to the upper limit. If we haven't hit the limit, we branch back to the start of the loop. Similarly, we could implement a while loop by performing an initial comparison.

### 19 Monday, July 8, 2019

When performing branching instructions, it's important to remember to use the one corresponding to the correct type (there are different instructions for unsigned vs signed integers). Also, keep in mind that adiw (or post-incrementation) should be used for moving a pointer rather than inc (or dec to decrement).

We've already seen the add instruction. There are also sub and mul instructions to subtract and multiply the contents of two registers; their syntaxes is exactly the same.

The movw has syntax movw [register1] [register2], and it copies the register pair register2 to register1. This instruction is useful when moving the results of multiplication.

The lsl and lsr perform left and right bit-shifts, respectively. They both require one register as input, and the result is that the contents of the register are either multiplied or divided by two (respectively). Unfortunately, there is no division operation; however, one could implement it themselves.

### Large Addition and Unsigned Multiplication

Here, we'll discuss some constructs surrounding math in Assembly.

Consider the following code segment:

Listing 43: Unsigned Multiplication

```
1;;; Example - Illustrates how to use mul (unsigned
      multiply)
з;;; Global data
           .data
5
7 pctd: .asciz "%d "
                                   ; defines a string (nul
      terminated)
         .byte 200
8 a:
9 b:
          .byte 150
10
11 ;;; Program code
12
          .text
13
14 .global main
15 main:
           call init_serial_stdio
16
17
           clr r25
18
           lds r18, a
                                  ; reading value for a
19
20
          lds r24, b
                                  ; reading value for b
          add r24, r18
                                   ; just using add is
21
               wrong for 200 and 150
          adc r25, r25 ; we need adc
22
23
           push r24
                                  ; caller save
24
           push r25
           call pint
25
           call prt_newline
26
           pop r25
                                   ; restoring caller save
27
28
           pop r24
29
                                   ; adds five to previous
30
           adiw r24, 5
              result (r25:r24 is updated)
           call pint
31
           call prt_newline
32
33
           ldi r24, 8
                                   ; multiplication
34
           ldi r25, 6
35
```

```
mul r24, r25
                                      ; result in r1:r0
36
           movw r24, r0
                                      ; copies r1:r0 to
37
               r25:r24
            clr r1
                                      ; we should always make
38
                sure is back to 0
39
40
            call pint
                                      ; printing
                multiplication result
            call prt_newline
41
42
            ldi r24, 32
                                      ; multiplying by 2
43
44
            lsl r24
           call pint
45
            call prt_newline
46
47
            ; next example shows we need to use brlo with
48
                unsigned
            ldi r24, 2
                                      ; comparison between
49
                r24 and 11
            cpi r24, 11
                                      ; the smaller will be
50
                printed
                                      ; try r24 with 5, 199
            brlt 1f
51
                (fails)
           ldi r24, 11
52
            call pint
  1:
53
            call prt_newline
54
55
                                 ; stopping program
56
57
            sleep
58
            {\tt ret}
60
  prt_newline:
61
62
            ;; prints new line
            clr r25
63
           ldi r24, 10
            call putchar
65
66
67
            ret
68
69
  pint:
            ;; prints an integer value, r22/r23 have the
70
                format string
            ldi r22, lo8(pctd)
                                      ; lower byte of the
71
                string address
                                      ; higher byte of the
            ldi r23, hi8(pctd)
72
                string address
            push r25
73
74
            push r24
            push r23
76
            push r22
77
            call printf
78
            pop r22
            pop r23
79
80
            pop r24
           pop r25
81
82
            ret
```

We can briefly recap what we already know to explain what's going on here.

At first, the variable pctd is declared as a string, and a and b are declared as integers. Subsequently, we compute the sum of a and b in r24. But since a + b = 200 + 150 = 350 > 256, we cannot store the contents of the sum in the eight bit register r24 alone. So, how do we fix this issue? We can make use of the r25 register.

It turns out that there's an adc instruction with format adc [destination] [source], which helps us

deal with overflows (it's short for "add with carry"). When we perform the add r24, r18 instruction, the bits that don't overflow are added correctly. If there is a carry from an add instruction, a special bit in the status register is marked, and the value of the carry is kept for safekeeping. Basically, the adc instruction allows us to perform addition that exceeds 8 bits inside of a register pair. If we know that the numbers we're dealing with are small, we don't need to worry about a carry. We need at most an (n + 1)-bit number to represent the sum of two n bit numbers.

After this addition is performed, we push r24 and r25 for safekeeping (note that we can't clear r25 as we usually do—this would remove our carry value), and we call pint to print the sum. As we'd expect, the sum is 350. Moreover, now we need to perform the adiw instruction to add to this quantity (adiw acts on a register pair, whereas add doesn't). Now we move to unsigned multiplication.

On Lines 34, 35, and 36, we load 8 into r24, 6 into r24, and subsequently multiply the two numbers. Since the product of two 8-bit numbers is at most a 16-bit number, we require two registers to hold the results of multiplication. By default, Assembly moves the results of the mul instruction to the r0:r1 register pair. We should retrieve these values using movw immediately since the register pair r0:r1 is temporary. Also, by convention, we clear r1 back to zero (not r0!). Finally, we discuss bit-shifting. First, a simple example, which will be followed by a more intricate one.

On Lines 43 and 44, we load 32 into 32 and call the 1s1 instruction (which is short for "logical shift left") to perform a left bit shift. The resulting value in r24 is 64. This was a very easy example.

Between Lines 49 and 54, we appear to be printing the value stored in r24 if it's less than 11, and 11 otherwise. However, we're using brlt to compare, which is intended for signed integers (the unsigned analogue would be brlo). Although the example would work for the values provided (along with several other values), if we were to load 5 into r24 and compare it against 199, we would unexpectedly print 199. Why? The two's complement signed representation of 199 is less than 5. This example emphasizes the importance of using the correct branch instruction.

### Even More on Register Pointers

We've already seen that something like 1d [register] X+ loads the contents of X into register and subsequently moves X forward one. We can also pre-decrement our register pointer with, 1d [register] -X. There is no post-decrementation or pre-incrementation.

It's important to remember that using register Y requires callee-saved registers, meaning that we need to push registers r28 and r29 prior to using them. As a result, it's usually a good idea to utilize the X and Z pointers prior to using the Y pointer (we'd lose points on exam if we needlessly used the Y pointer when it isn't necessary).

The increment and decrement operations don't affect the status register. So, if we were to perform a comparison, and increment our register pointer, we'd still be able to perform branching instructions as we'd want.

Another helpful instruction is ldd, which has syntax ldd [register], [pointer] + [constant]. This instruction **only works** on the Y and Z pointers, and it simply loads the location pointed to by pointer + constant into register without actually modifying pointer.

#### The Call Stack and Recursion

So far, we've been using the stack to save values using the push and pop instructions. The stack can also be used to support function calls, which is particularly useful when implementing recursive programs.

When you call a function, the address of the instruction that follows the call is placed on top of the stack. When the ret instruction is executed at the end of the function, whatever is on top of the stack (i.e. the instruction after the terminating function) is executed next. What does this mean to us? It emphasizes the importance of a one-to-one mapping between push and pop calls. If you're using the stack to preserve a value, and

the correct number of pops aren't called, the ret instruction of the function call will return to an invalid address.

The following program computes the factorial of a number through recursion:

Listing 44: Assembly: Factorial

```
1 .global factorial
2 factorial:
         ;; recursive computation of factorial
3
         tst r24
                      ; base case check (if value
            ==0
5
         breq 1f
         push r24
         dec r24
         clr r25
                             ; recursive call
         call factorial
9
         pop r23
                             ; (original value of r24)
                             ; factorial(n-1) * n
         mul r24, r23
11
         movw r24, r0
                              ; copies r1:r0 to r25:r24
12
                              ; movw is a register pair
13
                                 copy
         clr r1
                              ; making sure is 0
14
15
         jmp 2 f
16 1:
                              ; base case (value of 1)
17
         clr r25
         ldi r24, 1
18
19
20 2:
21
```

This is self-explanatory. We've got a base case and a recursive call. What's important to keep in mind when tracing this program is that call factorial will result in the factorial of n-1, which will be stored in register r24. That's why we pop to r23 instead of r24 (so the result isn't overridden).

Starting on Wednesday, we'll discuss process control, which is the last big topic of our class.

### 20 Tuesday, July 9, 2019

Today, discussion is really short since we had a quiz. Just a couple of examples on encapsulation, abstraction, and some other miscellaneous things in C.

### **Encapsulation and Abstraction**

In general, C has limited support for encapsulation. One of the primary features that C provides for encapsulation is the **incomplete type**. An example of an incomplete type would be something like, struct my\_type. This indicates to the compiler that my\_type is a structure, but it does not provide any information about its members. This allows the user to complete the type elsewhere.

As a more illustrative example, suppose we want to hide the following structure:

```
Listing 45: Secret Structure
```

```
struct secret {
    char name[MAXLEN + 1];
    int age;
4 };
```

We can then create a separate .h header file with all of our function prototypes. This file will contain the line struct secret; to declare the structure secret (even though the definition of secret is not in the .h file). If we then compile these files, along with a main with other functions, the object file will hide the implementation of the secret structure. We'd give our program users the .o and the .h file, which abstracts the function and structure implementations from the user (but, they can still use the functions since they have the function prototypes).

### Miscellaneous

- Recall that dereferencing a null pointer results in a segmentation fault. Although this is true, we wouldn't get a segmentation fault if we dereference the pointer inside of a sizeof() call. For example, if we declare int \*p = NULL, a subsequent sizeof(\*p) expression doesn't result in a segmentation fault.
- Void pointers can be casted to any type of pointer it's up to the programmer to make sure we're doing things right.

### 21 Wednesday, July 10, 2019

### Process Control Terminology

Before we get started with process control, we need to introduce some new terminology.

The **kernel** is a component of the operating system that's responsible for maintaining security, performing file management, and managing processes. It's like the "manager" of the operating system—it enforces "policies."

When a program is running, there are various tasks that we don't consider to be sensitive (i.e. dangerous to the operating system). Hence, the program doesn't require too many permissions. We say that these programs are run in **user mode**. When we're executing a program in user mode, we can't perform any sensitive (dangerous) operations, and we don't have the privilege of accessing everything associated with the operating system.

By contrast, some instructions are restricted so that only the operating system itself can execute them. When a program has this privilege, we say that the program is running in **kernel mode**. Some examples of operations a program can perform that requires kernel mode include halting the CPU or performing I/O.

Context switching is a feature utilized by an operating system to store the state of a process so that it can be restored and its execution can be resumed from the same point at a later time. This happens really fast, so it seems almost as if we're performing multiple tasks at the same time. For example, if we've got a single CPU, and we're programming while listening to music, our CPU would be rapidly context switching the two processes. What dictates how the context switch chooses which processes to pause and resume? The kernel does.

### System Calls

A system call is a special function that allows us to interact with the kernel. Functions that permit us to perform file I/O, create processes, and read the system clock all perform system calls.

System calls aren't the only way in which we can interact with the kernel. We can also use the shell, which allows for indirect interaction (when we're copying or moving files, we're interacting with the kernel). Are there different types of shells? Yes - so far, we've been using the **tcsh shell**; however, **bash** and **korn** are also shells (to change to either of these shells, we can execute the **bash** or **ksh** commands in Unix).

### Processes vs. Threads

A thread is an execution path, almost like a program inside of another program. For example, suppose we've designed a clock that works in our own timezone. But now, we want to design four clocks, each of which represent a different timezone. We've already got one working clock, so we can spawn four threads, each of which represent a different timezone. The program will allow each thread to run for the correct amount of time.

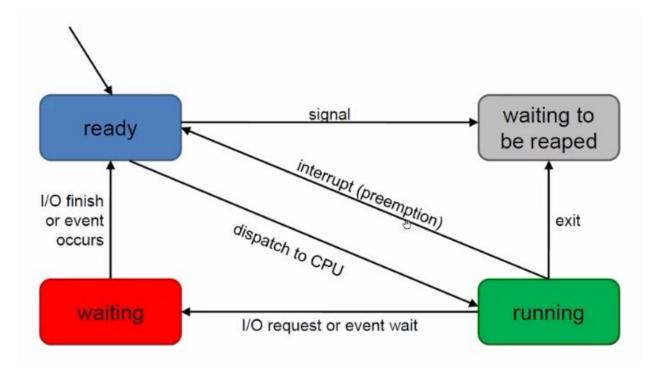
Here's another example. Suppose we're computing the sum of an array. We can use one thread to compute the sum of the first half, and a second thread to compute the sum of the second half. Depending on our hardware, this can save time.

What's the difference between a thread and a process? Threads lives inside of a process. The most minimalistic representation of a thread includes the stack (used to support function calls) and the program counter. We can have multiple threads helping us run a process with context switching.

Something key thing to note is that threads share the same resources. That is, if a process opens a file, all of the threads share the same file. Moreover, if a process dynamically allocates memory, all of the threads have access to the memory (the heap and global variables are shared by all of the threads).

A real life analogy is a household. A house can be viewed as a process, whereas the people inside of them are the threads. Everything inside of the house (process) is shared by the people (threads).

Every process goes through several states during its execution. Collectively, these states are referred to as the **process life cycle**. A pictorial representation of this life cycle is presented below:



What's happening here?

- When a process is in the **ready state**, it is not currently executing; however, it is ready to begin executing. It is waiting for the kernel to tell it to start running.
- Once the kernel tells the program to run, the process moves to the **running state**. What can happen from here? The program might move into the **waiting state**, where it awaits I/O. Alternatively, the program can finish executing and move to the **waiting to be reaped** stage. Finally, there's one last scenario: the program can be interrupted (by perhaps the programmer). If this happens, the process moves from the running stage back to the ready stage.
- Let's say our program takes in user input. Once it starts running, it'll move to the waiting state. Interestingly, once the I/O is completed, the program can't immediately go back to the running state again. It needs to go back in line to the ready state before it can go back into the running stage.

#### **Signals**

A **signal** is a method that allows two processes to communicate. A process is able to recognize when it receives a signal, and the process is able to react in response to that signal.

We've already been using signals: CTRL + C is a signal, and the program responds by terminating. Formally, the name of this signal is SIGINT.

Some other signals that are used by the kernel include the following:

• SIGSEGV is a signal indicating a segmentation violation (a.k.a. segmentation fault)

- SIGFPE is a signal used to indicate a floating point exception.
- SIGCHILD is a signal used to indicate that a child process has terminated.

A program doesn't necessarily terminate when it receives a signal — it completely depends on the signal being sent.

### **Creating Processes**

In Unix, a new process (called a **child**) is created by an existing process (called a **parent**), making a parent-child relationship between the two processes. The new child process will then be able to execute the program we want.

How do we create a new process? The system call do create a new process is fork(). This call creates a copy of the parent process.

What gets copied when we fork a process? Just about everything:

- All variables (the entire address space) gets copied.
- The point of execution is copied (i.e. parent and child processes continue execution after the fork system call)
- The file descriptor table (files opened by the process) is copied.

The stack, heap, data, and code all get executed.

What are the benefits of forking if we're getting the same exact thing? Well, once we've forked a program, we can modify the child process and change it to a different program. This is done wit a system call that we'll see later on.

Let's look at an example in C:

Listing 46: Forking 1

```
1 #include <stdio.h>
2 #include <sysexits.h>
з #include <err.h>
                              /* Required by fork() */
4 #include <unistd.h>
5 #include <sys/types.h> /* Required by pid_t */
7 int main() {
      pid_t result;
9
      printf("Hello\n");
10
11
      result = fork();
12
      if (result < 0) {
  err(EX_OSERR, "fork error");</pre>
13
14
15
16
      printf("End: Value returned by fork: %d\n", result);
17
18
      return 0;
19
```

First off, the pid\_t data type represents a signed integer used for process identification. (The \_t portion of a data type means that the data type is internally mapped to an integer). Thus, we can print the variable result using the %d format specifier.

Next, we set result to fork(), which returns a signed integer. The fork() function returns a duplicate process of the program currently being executed. Once this fork() takes place, the processes will exist, and they will be executing after the function call. It doesn't concern us which process is executing first. All that we know is that we'll have two processes, both of which will be executing after Line 12.

Now, what's the return value of fork()? It's the Process ID (PID) associated with the child process. If we again were to call fork() on a child, the return value would be zero: the PID of a child process is always zero. So, the variable result has two different values: the PID of the child process in the parent process, and 0 in the child process.

Can forking fail? Yes. There is a limit to the number of processes we can fork since space is limited. If the fork fails, -1 is returned, which is why we have the conditional from Lines 13 to 15.

Finally, the program prints the PID of the newly created child process.

Here's another example:

Listing 47: Forking 2

```
1 #include <stdio.h>
2 #include <stdlib.h>
3 #include <sysexits.h>
4 #include <err.h>
5 #include <unistd.h>
6 #include <sys/types.h>
  int main() {
8
9
     pid_t result;
     int x = 20;
10
11
     char *p;
12
      printf("Hello\n");
13
14
15
     p = malloc(80);
16
     result = fork();
17
      if (result < 0) {
18
         err (EX_OSERR,
                        "fork error");
19
20
21
      /* By using the value returned by fork, we */
22
      /* we can tell which process is the parent */
23
      /* and which is the child. We can assign
24
      /* different tasks to each one.
25
      /* Notice the address values printed by
26
      /* the processes.
27
28
      if (result == 0) {
29
30
         printf("I am the child (increases x) %d\n", ++x);
         printf("Value of address in child %p\n", (void
31
             *)p);
     } else {
32
         printf("I am the parent (decreases x) %d\n",
33
         printf("Value of address in parent \%p\n", (void)
34
             *)p);
     }
35
36
      free(p); /* Both must free */
37
38
      printf("Done\n");
39
40
      return 0;
41
42
```

This time, before we fork our process, we declare the variables x and p. We also dynamically allocate memory for p. Now since forking copies all components of the code, our new process will also have these variables. The only difference is that the variable result will be the PID of the child process for the parent process, and it will be 0 for the child process. We can use this fact to produce different outputs.

By comparing the PID to 0, we can obtain different outputs between the child and parent processes (for the child process, the Boolean expression result == 0 will evaluate to true). In the program above, we'll increase the variable x to 21 for the child process; the variable x will remain 20 in the parent process.

Since we've dynamically allocated memory prior to forking, our child process has inherited this new memory area as well. So, we need to call free() in both the child and parent process (somewhere that's accessible by both processes).

One thing that is strange, however, is that when we print the address of the pointers, we'll obtain the same memory address. It'll appear as if the pointer p is pointing to the same place for both the child and parent process. However, this is not the case — the operating system will convert them to distinct areas in memory when it is needed.

Something else to note is that even though the fork() came after the variable declarations, the child process still inherits all of the variables that come before it. The only thing determined by *where* the fork() call comes is where the point of execution for the child process is set to.

The getpid() and getppid() functions return the PID of the process currently executing that function. What happens if we call getppid() on a process that doesn't have a parent? The PID of the shell will be returned—the shell is the ancestor of all processes.

Recall that the newline character, \n, is used to flush the buffer. If we use printf without the new line character, whatever we're printing is placed in memory; it isn't printed until the buffer is flushed. So, if we print a statement without flushing the buffer prior to forking a process, the buffer also gets duplicated. Consequently, if we perform another printf statement (after the fork call), the message that came before the printf will be printed twice. Long story short, it's usually a good idea to make sure the buffer has been cleared prior to forking.

Now let's look at an application of forking:

Listing 48: Forking 3

```
* The program reads two integer values. The
  /* parent will call even_odd on the first
4 /* value and the child on the second. Notice
  /* we need the exit(0) in the process_values()
  /* function, otherwise you will be printing
    "Done in Main" twice. In this example we
  /* do not want the child to return to main().
10 #include <sysexits.h>
11 #include <stdlib.h>
12 #include <err.h>
13 #include <unistd.h>
14 #include <sys/types.h>
15 #include <stdio.h>
16
  void process_values(int x, int y);
17
18
  void even_odd(int a) {
19
     if (a % 2 == 0) {
20
        printf("%d is even\n", a);
21
22
       else {
         printf("%d is odd\n", a);
23
24
25 }
26
```

```
27 int main() {
      int m, k;
29
      printf("Enter two integer values: ");
30
      scanf("%d%d", &m, &k);
31
32
      process_values(m, k);
33
34
35
      printf("Done in Main\n");
36
      return 0;
37
38 }
39
  void process_values(int x, int y) {
40
      pid_t pid;
41
42
      if ((pid = fork()) < 0) {
43
         err(EX_OSERR, "fork error");
44
45
46
      if (pid != 0) { /* parent code */
         even\_odd(x);
48
49
      } else { /* child code */
         even_odd(y);
50
         exit(0); /* WHY WE NEED IT? Remove it and run */
51
52
53
```

This is pretty self-explanatory. We're reading in two integers, both of which are stored in the stack. Then, we call process\_values. If we're the parent process, we'll check whether x is even or odd, and if we're the child process, we'll check whether y is even or odd. Note that we execute exit(0) at the end of the child's code in order to terminate the child process. We need this because, otherwise, the statement "Done in Main" will be printed twice. The key takeaway is that even though the child process was created locally in the function, it still inherits the main and other properties.

### 22 Friday, July 12, 2019

Last class, we started process control. Something important to keep in mind is that kernel can only hold a finite number of processes.

What happens if we try to exhaust the number of processes permitted? Consider the following code:

Listing 49: Fork Bomb

```
#include <unistd.h>
int main() {
   while (1) {
   fork();
   }
}
```

The above code segment tries to repeatedly spawn new processes. This is known as a **fork bomb**, and it exhausts all the possible space in a process table. An insecure system might crash, but most systems have something in-place to identify and stop these attacks<sup>2</sup>.

### Reaping Child Processes

After a child process finishes executing, we reap it in order to remove its details from the process table. Until the terminated process is reaped, we say that the process is a **zombie process**.

We can release zombie processes with the wait() or waitpid() system calls. What do they do? Once the program encounters a wait() call, the program will wait until the child finishes until completion. Thus, the parent process will be blocked until the child continues.

Consider the following example, which illustrates the wait() system call:

Listing 50: Wait Example

```
1 #include <sys/wait.h>
2 #include <sysexits.h>
3 #include <err.h>
4 #include <unistd.h>
5 #include <sys/types.h>
7 int main() {
      pid_t pid, returned_value;
10
        ((pid = fork()) < 0) {
11
         err(EX_OSERR, "fork error");
12
13
      if (pid) { /* parent code, pid != 0 */
    printf("Parent waiting for child\n");
15
         returned_value = wait(NULL); /* nothing happens
16
             until child exits; reaps the child */
         printf("Value returned by wait: %d\n",
17
             returned_value);
         printf("Parent pid = %d; my child had pid =
18
             %d n, getpid(), pid);
      } else { /* child code */
19
         sleep (4); /* simulating child's processing,
             waiting 4 seconds */
```

 $<sup>^2\</sup>mathrm{A}$  fork bomb is a form of denial-of-service attack

As we've seen before, we're forking the program at the start. Then, if we're the parent process, we'll set returned\_value to wait(NULL). What does this do? wait() does two things: (1) it reaps the child once it has finished executing, and (2) it blocks the parent from executing until the child has finished.

So now our program execute the child's code. The child's code calls sleep(4) to wait for four seconds. Finally, it prints information about its own process as well as its parent process.

After the child finishes executing, wait(NULL) will reap the child process, and the parent process will continue its own execution.

Some other things to note:

- We're passing NULL into our wait() call why? wait() can be used to return information about what happened to the child process (i.e. a seg fault). If we just want to reap after the child process finishes, we pass in NULL.
- Will the order of the printed statements ever change? No the child's code will execute first, followed by the parent's code.
- Why didn't we call wait() in our previous examples? We should have. Our previous examples didn't reap created child processes.
- What happens if a process doesn't reap a child? Formally, we call such a process an **orphan**, and the init process will take care of it (created by the shell). Note that init will only intervene when the process completes, so this isn't helpful in large programs.

Why is reaping important? Our program would eventually crash without it: the process table will get filled up if we create several processes without making space for more.

The system call wait() has function header pid\_t wait(int \*status). It returns -1 once everything has been reaped. Otherwise, it returns the PID of the child that is being reaped. What if we don't know how many children there are? We can just perform a while loop, and wait until wait() returns -1.

The status parameter that wait() takes in acts as an out-parameter. We can then use various pre-defined macros to see what took place.

The following example demonstrates how the out-parameter can be used:

#### Listing 51: Wait Status

```
/*
2 * 1. Do not confuse the exit status (value returned from
3 * the program using exit or return from main) with
4 * the value that is initialized by wait (e.g., wait(&status)).
5 * The status integer has the exit status and additional
6 * information. We use the macros
WIFEXITED(status),
7 * WEXITSTATUS(status) and WIERMSIG(status) to retrieve that information.
8 *
```

```
* 2. WIFEXITED(status) - true if the program
       terminated
        normally via exit or return from main. Two
10
       examples
        of when a program does not terminate normally:
11
        a segmentation fault takes place or if the
       program is
        terminated via a signal (e.g., kill
13
       <process_id >).
14
   * 3. Remember that in Unix a program indicates it
15
       completed
        the expected task by returning 0 (e.g., exit(0)).
16
17
  * 4. You can list signals by using kill -l
18
19 */
20
21 #include <stdio.h>
22 #include <stdlib.h>
23 #include <sys/wait.h>
24 #include <sysexits.h>
25 #include <err.h>
                          /* Required by fork(), getpid,
26 #include <unistd.h>
      getppid */
27 #include <sys/types.h> /* Required by pid_t */
28
  int main() {
29
     pid_t process_id;
30
31
     if ((process_id = fork()) < 0) {
         err (EX_OSERR, "fork error");
33
34
35
     if (process_id != 0) { /* Parent code */
36
37
        int status;
38
39
         wait(&status);
         if (WIFEXITED(status)) {
40
            printf("Child finished normally (via exit or
41
                return in main)\n");
        if (WEXITSTATUS(status) == 0) {
42
               printf("Child completed the task
43
                   successfully \n");
44
               printf("Child did NOT complete the task
45
                   successfully \n");
46
         } else {
47
            printf("Child did NOT finish normally (via
                exit or return in main); signal must have
                occured \n");
            printf("REPORT:\n");
49
        printf("WIFEXITED(status): %d\n",
50
           WIFEXITED(status));
        printf("WEXITSTATUS(status): %d\n",
51
            WEXITSTATUS(status));
        {\tt printf("WTERMSIG(status) - (signal caused child}) \\
52
            to terminate): %d\n", WTERMSIG(status));
54
         exit(0); /* Parent exit */
55
56
     } else { /* Child code */
57
        int value;
58
59
```

```
printf("Enter case (1, 2, 3, 4): ");
60
         printf("Or instead of entering a number kill the
61
             child process (kill <process_id >) (see
             output)\n");
         scanf("%d", &value);
62
         if (value == 1) {
63
64
            char *p = NULL;
            *p = 20;
65
            exit (100);
66
         else if (value = 2)
67
            int x;
68
69
            printf("Enter positive integer: ");
70
            scanf("%d", &x);
71
            printf("Squared value is %d\n", x * x);
72
            exit(0);
73
         } else if (value == 3) {
74
            int x = 0;
75
        printf("%d\n", 1 / x);
76
            exit (30);
77
           else {
78
79
            int x;
80
            printf("Enter positive integer > 0: ");
81
            scanf("%d", &x);
82
            if (x > 0) {
83
                printf("The cube of %d is %d\n", x, x * x
84
                    * x);
                exit(0);
85
            }
86
            exit (40);
88
      }
89
90
```

Here, we're doing almost the same thing we did before. We fork the process, and the wait call on Line 39 waits for the child process to finish to execution, while using status as an out-parameter.

The child process requests an integer and does some sort of processing, some of which create errors (for example, entering 1 leads to a segmentation fault). Subsequently, we return to the parent code, and we use the WIFEEXITED macro, which tells us whether or not the child program has terminated. If it has terminated, we'll check whether the return value was 0 with the WEXITSTATUS macro. If the program doesn't finish to completion, we can check whether a segmentation fault occurred by using the WTERMSIG status.

If the out-parameter status equals zero by a wait() call, that means the program finished as expected.

#### Environmental Variables

**Environmental variables** and **shell variables** are are dynamically-named values that customize environments. For example, one thing that we can do is change our prompt. Typing something like, **set prompt =** "Hi: " would make Grace prompt Hi: prior to each command (which constrasts from the default directory prompt).

From a C program, we can find the value associated with an environment variable using the getenv function. This function has header char \*getenv(const char \*name). For instance, loc = getenv("HOME") would store the path to our home directory in loc. The parameter name needs to be an environmental variable.

Why would we need the getenv() function? Say we're implementing the cd function in a shell. If we type in cd by itself, we're supposed to move to the home directory. How are we supposed to know where that is?

By using getenv("HOME"). Now, continuing with our implementation of cd, how would we change what the program considers to be the home directory? We use a new function: chdir().

chdir(), short for "change directory," has header int chdir(const char \*path). This function returns -1 upon failure. So, if we wanted to perform the Unix command cd ~/temp, we could equivalently execute chdir(~/temp) in C.

Somewhat surprisingly, reproducing the cd command in a shell doesn't require any forking. The exit command doesn't require any forking either.

#### **Nested Processes**

So far, we've seen how to produce a child process of a parent process with fork(). However, we've only seen examples in which the child process is executing code from the same file as the parent process. We can do this with the exelc() or exelcp() function.

Suppose we have the following evens.c program:

Listing 52: Evens

```
1 #include <stdio.h>
2 #include <stdlib.h>
4 int main(int argc, char **argv) {
     int i, limit;
6
      /* Default of a 100; otherwise using command line
     limit = (argc = 2 ? atoi(argv[1]) : 100);
8
9
     for (i = 1; i \le limit; i++) {
        if (i % 2 = 0) {
10
            printf("%d ", i);
11
12
13
     printf("\n");
14
15
16
     return 0;
17 }
```

This program prints all even numbers up to whatever integer the user provides as a command-line argument (or up to 100 if no argument is provided).

Now, consider the following driver program:

Listing 53: Exec Evens

```
1 #include <stdio.h>
2 #include <sys/wait.h>
3 #include <sysexits.h>
4 #include <err.h>
5 #include <unistd.h>
6 #include <sys/types.h>
s int main() {
     pid_t child_pid;
9
10
     if ((child_pid = fork()) < 0) {
11
        err(EX_OSERR, "fork error");
12
13
     if (child_pid != 0) { /* parent code */
14
15
          int status;
```

```
16
          wait(&status); /* reaping and waiting for
17
               child *
              (WIFEXITED(status) && WEXITSTATUS(status) ==
18
               0) {
              printf("Child has finished successfully\n");
19
20
      } else { /* child code */
   printf("PID %d (child) will now execute
21
22
              execlp\n", getpid());
23
         /* I want to become the evens program */
24
         execlp("./evens", "evens", NULL);
25
26
         printf("Would this be ever printed?\n");
27
         err(EX_OSERR, "exec error"); /* why no if
28
              statement? */
      }
29
30
      return 0;
31
32
  }
```

Pretty much, the parent process just forks itself, and it waits for the child process to finish. Now, what's happening with the child process? We execute execlp("./evens", "evens", NULL), which loads the program evens.c. Something important to know is that when we load in a program, the entire process "becomes" the program we loaded in. Thus, the print statement on Line 27 is never printed (as it is not in the evens.c program). When we execute exelcp, the stack and heap are all cleared. The only thing that is retained is the set of files that were already opened by the original process.

If the program doesn't exist, we'll exit with the error code EX\_OSERR. This error code never gets executed if the exelcp is successful.

With fork, exec, and waiting, we've got everything we need to build our own shell. There are two types of commands we need to handle:

- Unix commands: These don't require forking, like 1s, cat, and pwd.
- Shell commands: These are built-in to a shell; they typically involve forking. This includes cd, set, and setenv.

The "main loop" used to implement a simple shell is as follows:

- 1. Read in a command line.
- 2. Parse the command line.
- 3. If it's a shell command, process it directly.
- 4. If it's a Unix command, fork, make the parent wait, and make the child execute the command.

## 23 Monday, July 15, 2019

Midterm II next week is on dynamic memory allocation, Linked Lists, and Makefiles. The exam won't cover Assembly or Process Controls.

The waitpid() system call allows us to

# $24\quad \text{Wednesday, July 17, 2019}$