# DRAFT X9.82 (Random Number Generation) Part 3, Deterministic Random Bit Generator Mechanisms October 2004

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# **Random Number Generation**

# Part 3: Deterministic Random Bit Generator Mechanisms

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## 1 Scope

This part of ANSI X9.82 defines techniques for the generation of random bits using deterministic methods. This part includes:

- 1. A model for a deterministic random bit generator,
- 2. Requirements for deterministic random bit generator mechanisms,
- 3. Specifications for deterministic random bit generator mechanisms that use hash functions, block ciphers and number theoretic problems,
- 4. Implementation issues, and
- 5. Assurance considerations.

The precise structure, design and development of a random bit generator is outside the scope of this standard.

# 2 Conformance

An implementation of a deterministic random bit generator (DRBG) may claim conformance with ANSI X9.82 if it implements the mandatory provisions of Part 1, the mandatory requirements of one or more of the DRBG mechanisms specified in this part of the Standard, and the appropriate mandatory requirements of Part 4.

Conformance can be assured by a testing laboratory associated with the Cryptographic Module Validation Program (CMVP) (see <a href="http://csrc.nist.gov/cryptval">http://csrc.nist.gov/cryptval</a>). Although an implementation may claim conformance with the Standard apart from such testing, implementation testing through the CMVP is strongly recommended.

# 3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. Nevertheless, parties to agreements based on this document are encouraged to consider applying the most recent edition of the referenced documents indicated below. For undated references, the latest edition of the referenced document (including any amendments) applies.

ANS X9.52-1998, Triple Data Encryption Algorithm Modes of Operation.

ANS X9.62-2000, Public Key Cryptography for the Financial Services Industry - The Elliptic

Curve Digital Signature Algorithm (ECDSA).

ANS X9.63-2000, Public Key Cryptography for the Financial Services Industry - Key Agreement and Key Transport Using Elliptic Key Cryptography.

ANS X9.82, Part 1-200x, Overview and Basic Principles, Draft.

ANS X9.82, Part 2-200x, Entropy Sources, Draft.

ANS X9.82, Part 4-200x, RBG Constructions, Draft.

FIPS 180-2, Secure Hash Standard (SHS), August 2002; ASC X9 Registry 00003.

FIPS 197, Advanced Encryption Standard (AES), November 2001; ASC X9 Registry 00002.

FIPS 198, Keyed-Hash Message Authentication Code (HMAC), March 6, 2002; ASC X9 Registry 00004.

### 4 Terms and definitions

For the purposes of this part of the standard Error! Reference source not found., the following terms and definitions apply.

4.

## Algorithm

A clearly specified mathematical process for computation; a set of rules that, if followed, will give a prescribed result.

4.

#### **Approved**

Approved in an ANSI X9 standard or the ANSI X9 registry or by a process specified in an ANSI X9 standard, technical guideline or the ANSI X9 registry.

4.

# **Backtracking Resistance**

The assurance that the output sequence from an RBG remains indistinguishable from an ideal random sequence even to an attacker who compromises the RBG in the future, up to the claimed security level of the RBG. For example, an RBG that allowed an attacker to "backtrack" from the current working state to generate prior outputs would not provide backtracking resistance. The complementary assurance is called Prediction Resistance.

4.

# Biased

A bit string (or number) that is chosen from a sample space is said to be biased if one bit string (or number) is more likely to be chosen than another bit string (or number). Contrast with unbiased.

4.

# Bit String

A bit string is an ordered sequence of 0's and 1's. The leftmost bit is the most significant bit of the string and is the newest bit generated. The rightmost bit is the least significant bit of the string.

4.

# Bitwise Exclusive-or

An operation on two bitstrings of equal length that combines corresponding bits of each

bitstring using an exclusive-or operation.

#### 4.

## **Block Cipher**

A symmetric key cryptographic algorithm that transforms a block of information at a time using a single cryptographic key. For a block cipher algorithm, the length of the input block is the same as the length of the output block.

# 4.

## **Consuming Application**

The application that uses random numbers or bits obtained from an Approved random bit generator

#### 4

# Cryptographic Key (Key)

A parameter that determines the operation of a cryptographic function such as:

- 1. The transformation from plain text to cipher text and vice versa,
- 2. The synchronized generation of keying material,
- 3. A digital signature computation or validation.

# 4.

# Cryptographic Module

A set of hardware, software, firmware, or some combination thereof that implements cryptographic logic, including cryptographic algorithms. A device wherein cryptographic functions (e.g., encryption, authentication, and key generation) are performed.

# 4.

# Cryptographically strong

A mechanism is said to be cryptographically strong when it has an assessed strength (in accordance with an Approved security level) against an attack by an adversary.

# 4.

# Deterministic Algorithm

An algorithm that, given the same inputs, always produces the same outputs.

#### **Deterministic Random Bit Generator (DRBG)**

An RBG that uses a deterministic algorithm to produce a pseudorandom sequence of bits from a secret initial value called a *seed* (which contains entropy and possibly a personalization string) along with other possible inputs. Additional non-deterministic inputs may allow periodic reseeding. The outputs do not always contain full entropy, contrast this with an NRBG. A DRBG is often called a Pseudorandom Number (or Bit) Generator. A DRBG has an assessed security level and is designed with the goal of requiring an adversary to do at least the amount of work associated with that security level in order to successfully predict even one bit of it's output or distinguish the output from an ideal random sequence.

#### 4.

## **DRBG Boundary**

A physical or virtual boundary in which all implemented DRBG processes are contained.

#### 4.

#### Entropy

A measure of the disorder, randomness or variability in a closed system. The entropy of X is a mathematical measure of the amount of information provided by an observation of X. Also, see min-entropy.

# 4.

# **Entropy Input**

The input to an RBG of a string of bits that contains entropy, that is, the entropy input is digitized and is assessed. For an NRBG, this is obtained from an entropy source. For a DRBG, this is included in the seed material.

# 4.

# **Entropy Input Source**

A source of unpredictable data, such as thermal noise or hard drive seek times. There is no assumption that the unpredictable data has a uniform distribution.

# 4.

# **Equivalent Process**

Two processes are equivalent if, when the same values are input to each process (either as input parameters or as values made available during the process), the same output is produced.

# Exclusive-or

A mathematical operation, symbol  $\oplus$ , defined as:

 $0 \oplus 0 = 0$ 

 $0 \oplus 1 = 1$ 

 $1 \oplus 0 = 1$  and

 $1 \oplus 1 = 0$ .

Equivalent to binary addition without carry.

4.

#### Full entropy

Each bit of a bitsting is independent of every other bit of that bitstring.

4.

#### **Hash Function**

A (mathematical) function that maps values from a large (possibly very large) domain into a smaller range. The function satisfies the following properties:

- 1. (One-way) It is computationally infeasible to find any input that maps to any prespecified output;
- 2. (Collision free) It is computationally infeasible to find any two distinct inputs that map to the same output.

4.

# Implementation

An implementation of an RBG is a cryptographic device or portion of a cryptographic device that is the physical embodiment of the RBG design, for example, some code running on a computing platform. An implementation may be designed to handle more than one instatniation at a time.

4.

# Implementation Testing for Validation

Testing by an independent party to ensure that an implemention of a standard conforms to the specifications of that standard.

#### Instantiation of an RBG

An instantiation of an RBG is a specific, logically independent, initialized RBG. One instantiation is distinguished from another by a handle (identifying number). An implementation of an RBG may support multiple instantiations to allow for the separation of differing uses of the outputs. An instantiation has one or more instances.

#### 4.

#### **Internal State**

The collection of stored information inside an instantiation of an RBG. This can include both secret and non-secret information.

#### 4.

#### **Internal State Transition Functions**

The set of functions that cause a particular internal state in an instantiation to be updated so that a new internal state is the result.

## 4.

#### Key

See Cryptographic Key.

# 4.

# $\emph{m} ext{-bit}$ number

A positive integer consisting of m bits where the high order bit, by definition, is always a "1". In the case of an m-bit prime number, the low order bit is also a "1" except for the 2-bit prime number "2" which has the binary value b'10'.

For example, the two byte hexadecimal prime number x'01FD' (decimal 509) is the 9-bit prime number b'111111101'.

# 4.

# Non-Deterministic Random Bit Generator (Non-deterministic RBG) (NRBG)

An RBG that produces output that is <u>fully</u> dependent on some unpredictable physical source that produces entropy. Contrast with a DRBG. Other names for non-deterministic RBGs are True Random Number (or Bit) Generators and, simply, Random Number (or Bit) Generators.

#### **Operational Testing**

Testing within an implementation immediately prior to or during normal operation to determine that the implementation continues to perform as implemented and optionally validated.

#### 4.

#### **Output Generation Function**

The function in an RBG that outputs bits that appear to be random, that is, conform with the ideal random distribution.

#### 4.

#### **Personalization String**

A string of bits that is combined with entropy bits to produce a seed.

#### 4.

#### **Prediction Resistance**

The assurance that the output sequence of an RBG remains indistinguishable (up to the claimed security level of the RBG) from an ideal random sequence to an adversary who has compromised the RBG at some specific time in the past. For example, if an adversary compromised an RBG an hour ago, revealing all information about the internal state, and the adversary is still able to predict its output, then the RBG fails to provide prediction resistance. The complementaty assurance is called Backtracking Resistance.

# 4.

# Pseudorandom

A process or data produced by a process is said to be pseudorandom when the outcome is deterministic, yet also effectively random as long as the internal action of the process is hidden from observation. For cryptographic purposes, "effectively" means "within the limits of the intended cryptographic strength." Note: Non-cryptographic use of "pseudorandom" has less stringent meanings for "effectively."

# 4.

# **Pseudorandom Number Generator**

See Deterministic Random Bit Generator.

#### **Public Key**

In an asymmetric (public) key cryptosystem, that key of an entity's key pair that is publicly known

#### 4.

#### **Public Key Pair**

In an asymmetric (public) key cryposystem, the public key and associated private key.

#### 4.

#### Random Number

For the purposes of this standard, a value in a set that has an equal probability of being selected from the total population of possibilities and hence is unpredictable. A random number is an instance of an unbiased random variable, that is, the output produced by a uniformly distributed random process.

#### 4

# Random Bit Generator (RBG)

A device or algorithm that outputs a sequence of binary bits that appears to be statistically independent and unbiased.

# 4.

# Random Number Generator (RNG)

A device or algorithm that can produce a sequence of random numbers that appears to be from an ideal random distribution.

# 4.

# Reseed

To aquire additional bits with sufficient entropy for the desired security level.

# 4.

# Security Level

A number associated with the amount of work (that is, the number of operations) that is required to break a cryptographic algorithm or system; a security level is specified in bits and is a specific value from the set (80, 112, 128, 192, 256). The amount of work needed is 2 raised to the security level.

#### Seed

Noun: A string of bits that is used as input to a Deterministic Random Bit Generator (DRBG). The seed will determine a portion of the internal state of the DRBG, and its entropy must be sufficient to support the security strength of the DRBG. [New]

Verb: To aquire bits with sufficient entropy for the desired security level. These bits will be used as input to a DRBG to determine a portion of the initial internal state. Contrast with reseed.

4.

#### **Seed Period**

The period of time between initializing a DRBG with one seed and reseeding that DRBG with another seed.

4

#### Sequence

An ordered set of quantities.

4.

## Shall

Used to indicate a requirement of this Standard.

4.

# Should

Used to indicate a highly desirable feature for a DRBG that is not necessarily required by this Standard.

4.

# Statistically Unique

A value is said to be statistically unique when it has a negligible probability to occur again in a set of such values. When a random value is required to be statistically unique, it may be selected either with or without replacement from the sample space of possibilities; this is in contrast to when a value is required to be unique, as then it must be selected without replacement.

4.

# String

See Sequence.

#### **Supporting Functions**

The set of functions in an RBG that are needed for assurance of correct operation but that do not change the internal state. An example of a Supporting Function is the known answer tests that are run at startup on a DRBG.

## 4.

#### Unbiased

A bit string (or number) that is chosen from a sample space is said to be unbiased if all potential bit strings (or numbers) have the same probability of being chosen. Contrast with biased.

# 4.

#### Unpredictable

In the context of random bit generation, an output bit is unpredictable if an adversary has only a negligible advantage (that is, essentially not much better than chance) in predicting it correctly.

#### 4.

## **Working State**

A subset of the internal state that is used by a DRBG to produce pseudorandom bits at a given point in time. The working state (and thus, the internal state) is updated to the next state prior to producing another string of pseudorandom bits.

# 5 Symbols and abbreviated terms

The following abbreviations are used in this document:

Abbreviation	Meaning
AES	Advanced Encryption Standard.
ANS	American National Standard
ANSI	American National Standards Institute.
ASC	Accredited Standards Committee
DRBG	Deterministic Random Bit Generator.
ECDLP	Elliptic Curve Discrete Logarithm Problem.
FIPS	Federal Information Processing Standard.
HMAC	Keyed-Hash Message Authentication Code.
NRBG	Non-deterministic Random Bit Generator.
RBG	Random Bit Generator.
TDEA	Triple Data Encryption Algorithm.

The following symbols are used in this document.

Meaning
Addition
Ceiling: the smallest integer $\geq X$ . For example, $\lceil 5 \rceil = 5$ , and $\lceil 5.3 \rceil = 6$ .
Bitwise exclusive-or (also bitwise addition mod 2) of two bit strings <i>X</i> and <i>Y</i> of the same length.
Concatenation of two strings X and Y. X and Y are either both bit strings, or both octet strings.
The greatest common divisor of the integers $x$ and $y$ .
The length in bits of string $a$ .
The unique remainder $r$ , when $0 \le r \le n-1$ , when integer $x$ is divided by $n$ . For example, 23 mod $7 = 2$ .

0	Used in a figure to illustrate a "switch" between sources of input.
$\{a_1,a_i\}$	The internal state of the DRBG at a point in time. The types and number of the $a_i$ depends on the specific DRBG.
O <sup>x</sup>	A string of x zero bits.

#### 6 General Discussion and Organization

Part 1 of this Standard (Random Number Generation, Part 1: Overview and Basic Principles) describes several cryptographic applications for random numbers, specifies the characteristics for random numbers and random number generators, and provides mathematical and cryptographic background information on the concept of randomness. Random bit generators are used for the generation of random numbers. Part 1 specifies requirements for random bit generators that are applicable to both non-deterministic random bit generators (NRBGs) and deterministic random bit generators (DRBGs). In addition, Part 1 also introduces a general functional model and a conceptual cryptographic Applied Programming Interface (API) for random bit generators.

Part 2 of this Standard (*Entropy Sources*) discusses entropy sources used by random bit generators. In the case of DRBGs, the entropy sources are required to seed and reseed the DRBG..

Part 4 of this Standard (*Random Bit Generator Constructions*) provides guidance on combining components to construct random bit generators.

This part of the Standard (Random Number Generation, Part 3: Deterministic Random Bit Generator Mechanisms) specifies Approved DRBG mechanisms. A DRBG mechanism is an RBG component that utilizes an algorithm to produce a sequence of bits from an initial internal state that is determined by an input that is commonly known as a seed. Because of the deterministic nature of the process, a DRBG mechanism is said to produce "pseudorandom" rather than random bits, i.e., the string of bits produced by a DRBG mechanism is predictable and can be reconstructed, given knowledge of the algorithm, the seed and any other input information. However, if the input is kept secret, and the algorithm is well designed, the bit strings will appear to be random. A process or data produced by a process is said to be pseudorandom when the outcome is deterministic.

The seed for a DRBG mechanism requires that sufficient entropy be provided by an entropy input source (see Parts 2 and 4 of this Standard). While a DRBG mechanism may conform to this part of the Standard (i.e., Part 3), an implementation cannot achieve the goals specified in Part 1 unless the entropy input source is included as specified in Part 4. That is, the security of an RBG that uses a DRBG mechanism is a system implementation issue; both the DRBG mechanism and its entropy input source must be considered.

Throughout the remainder of this document, the term "DRBG mechanism" has been shortened to "DRBG".

The remaining sections of this part of the Standard are organized as follows:

- Section 7 provides a functional model for a DRBG that particularizes the functional model of Part 1.
- Section 8 provides DRBG concepts and general requirements.
- Section 9 specifies the DRBG procedures that will be used to access the DRBG

algorithms specified in Section 10.

- Section 10 specifies Approved DRBG algorithms.
- Section 11 addresses assurance issues for DRBGs.

This part of the standard also includes the following normative annexes:

- Annex A specifies additional DRBG-specific information.
- Annex B provides conversion routines.
- Annex C discusses security considerations for selecting and implementing DRBGs.

The following informative annexes are also included:

- Annex D discusses the functional requirements specified in Part 1 as they are fulfilled by this part of the Standard.
- Annex E provides a discussion on DRBG selection.
- Annex F provides example pseudocode for each DRBG.
- Annex G provides a bibliography for related informational material.

## 7 DRBG Functional Model

## 7.1 Functional Model

Part 1 of this Standard provides a general functional model for random bit generators (RBGs). Figure 1 (below) particularizes the functional model of Part 1 for deterministic random bit generators (DRBGs).

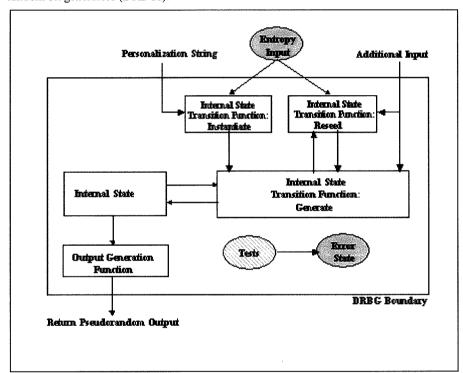


Figure 1: DRBG Model

# 7.2 Functional Model Components

# 7.2.1 Introduction

Part 1 of this Standard provides general functional requirements for random bit generators. These requirements are discussed briefly in this section. Annex D provides a discussion of how each functional requirement in Part 1 is fulfilled by the requirements for DRBGs in this part of the Standard.

#### 7.2.2 Entropy Input

The entropy input is the source of entropy for the DRBG. The secrecy of this information provides the basis for the security of the DRBG. At a minimum, this input **shall** provide the requested amount of entropy for a DRBG. Examples of appropriate sources of entropy input are an Approved NRBG as specified in Part 4, a conditioned entropy source as specified in Part 2,or an Approved DRBG or chain of DRBGs in which the first DRBG in the chain obtains entropy input from an Approved NRBG.

The DRBGs specified in this Standard allow for some bias in the entropy input. Whenever a bitstring containing entropy is required by the DRBG, a request is made that indicates the minimum amount of entropy to be returned. The request may be fulfilled by a bitsting that is equal to or greater in length to the requested entropy. The DRBG expects that the returned bitstring will contain at least the amount of entropy requested. Additional entropy beyond the amount requested is not required, but is desirable.

An important use of the entropy input for DRBGs is the acquisition of entropy bits to create seeds. Seeds are obtained prior to requesting pseudorandom bits. Additional entropy may also be introduced during a request.

Part 1 of this Standard provides functional requirements for the entropy input for random bit generators. The requirements are met, for example, when entropy input that conforms to Part 2 of this Standard is used, and the interface between the entropy input and the DRBG is protected against influence, manipulation and observation. DRBGs and other sources that provide entropy input **shall** also meet these requirements.

# 7.2.3 Other Inputs

Other information may be obtained by a DRBG as input during the instantiation, reseeding and generation processes. This information includes the input parameters when the DRBG is called by the consuming application and any additional input that may be public (e.g., information provided by a user). This information may or may not be required to be kept secret by a consuming application; however, the security of the DRBG itself does not rely on the secrecy of this information. The information **should** be checked for validity when possible.

The DRBGs in this Standard allow the insertion of a personalization string during DRBG instantiation. When used, the personalization string is unique for all instantiations of the same DRBG type (e.g., Hash\_DRBG). See Section 8.5.2 for additional discussion on personalization strings.

Additional input may also be provided when pseudorandom bits are requested. See Section 8.5.3 for a discussion of this input.

# 7.2.4 The Internal State

The internal state is the memory of the DRBG and consists of all of the parameters, variables and other stored values that the DRBG uses or acts upon. The internal state

contains both administrative data and data that is acted upon and/or modified during the generation of pseudorandom bits (i.e., the *working state*). The contents of the internal state is dependent on the specific DRBG and includes all information that is required to produce the pseudorandom bits from one request to the next.

#### 7.2.5 The Internal State Transition Function

The internal state transition function uses the internal state and one or more Approved algorithms to produce pseudorandom bits. During this process, data in the internal state is altered. The algorithms used and the method of altering the internal state depends on the specific DRBG.

The DRBGs in this Standard have four separate state transition functions:

- 1. During the initial instantiation of the DRBG, entropy input and an optional personalization string are obtained. This information is used to determine the initial internal state.
- 2. Each request for pseudorandom bits produces the requested bits using the current internal state and determines a new internal state that is used for the next request of bits.
- 3. When an application determines that reseeding of the DRBG is required, a reseed procedure obtains new entropy input, combines it with the current internal state values, and determines a new internal state for the next request for pseudorandom bits. By combining the new entropy input with the current internal state, the entropy available for the instantiation is not lost, but is enhanced by the entropy of the new entropy input.
- 4. When a consuming application or a testing process no longer requires an instantiation, the internal state is released.

# 7.2.6 The Output Generation Function

The output generation function of a DRBG produces pseudorandom bits that are a function of the internal state of the DRBG and any input that is introduced while the internal state transition function is operating. These pseudorandom output bits are deterministic with respect to the input information. Any formatting of the output bits prior to output is determined by a particular implementation.

# 7.2.7 Support Functions

The support functions for a DRBG are concerned with assessing and reacting to the health of the DRBG. The health tests are discussed in Sections 9.7 and 11.4.

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#### 8. DRBG Concepts and General Requirements

#### 8.1 Introduction

This section provides concepts and general requirements for the implementation and use of a DRBG. The DRBG procedures are explained and requirements for the implementation are provided, including requirements for DRBG boundaries in which the DRBG procedures and secret information will be confined, and requirements for the critical information that is necessary for a DRBG to provide pseudorandom data.

## 8.2 DRBG Procedures and a DRBG Instantiation

#### 8.2.1 Procedures

A DRBG requires instantiate, uninstantiate, generate, and testing procedures. A DRBG may also include a reseed procedure. A DRBG **shall** be instantiated prior to the generation of output by the DRBG. The instantiate procedure initializes the internal state using a seed; the uninstantiate procedure deletes the internal state. The generate procedure generates pseudorandom bits upon request. The reseed procedure modifies the internal state using a new seed. The testing procedure is intended to test the continued "health" of the DRBG.

#### 8.2.2 DRBG Instantiations

A DRBG may be used to obtain pseudorandom bits for different purposes (e.g., DSA private keys and AES keys) and may be separately instantiated for each purpose. For example, an instantiation may be associated with the generation of only 1024-bit RSA keys, and a separate instantiation may be associated with the generation of 128-bit AES keys. This Standard recommends that different instantiations be used to generate bits for

different purposes. However, if an application needs to generate bits for different purposes, it may not always be practical to use multiple instantiations. For example, if an application cannot support multiple instantiations (e.g., because of memory restrictions), then the same instantiation could be associated with generating both 1024-bit RSA keys and 128-bit

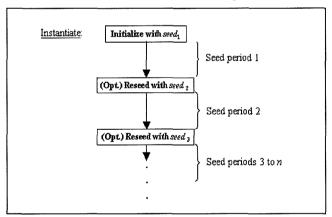


Figure 2: DRBG Instantiation

# AES keys.

A DRBG is instantiated using a seed and may be reseeded; when reseeded, the seed **shall** be different than the seed used for instantiation. Each seed defines a *seed period* for the DRBG instantiation; an instantiation consists of one or more seed periods that begin when a new seed is acquired (see Figure 2).

#### 8.2.3 Internal States

During instantiation, an initial internal state is derived from the seed. The internal state for an instantiation includes:

- 1. One or more values that are derived from the seed and become part of the internal state (i.e., the working state),
- 2. Administrative information (e.g., security level provided by the DRBG, a count of the number of requests since the last seed or reseed).

The internal state **shall** be protected at least as well as the intended use of the pseudorandom output bits requested by the consuming application. Each DRBG instantiation **shall** have its own internal state. The internal state for one DRBG instantiation **shall not** be used as the internal state for a different instantiation.

A DRBG **shall** transition between internal states when the generator is requested to provide new pseudorandom bits. A DRBG **may** also be implemented to transition in response to internal or external events (e.g., system interrupts) or to transition continuously (e.g., whenever time is available to run the generator). Additional unpredictability is introduced when the generator transitions between internal states continuously or in response to external events. However, when the DRBG transitions from one internal state to another between requests, reseeding may need to be performed more frequently.

A DRBG implementation may be designed to handle multiple instantiations. Sufficient space must be available for the expected number of instantiations, i.e., sufficient memory must be available to store the internal state associated with each instantiation.

# 8.2.4 Security Levels Supported by an Instantiation

The DRBGs specified in this Standard support four security levels: 112, 128, 192 or 256 bits. The security levels that may be supported by a particular DRBG are specified for each. However, the security level actually supported by a particular instantiation **may** be less than the maximum security level possible for that DRBG, depending upon the amount of entropy that is contained in the seed. For example, a DRBG that is designed to support a maximum security level of 256 bits may be instantiated to support only a 128 bit security level.

The maximum security level provided by an instantiation is determined when the DRBG is instantiated. The instantiated security level is less than or equal to the maximum security level that can be supported by the DRBG (see Table 1).

**Table 1: Possible Instantiated Security Levels** 

Maximum Designed Security Level	112	128	192	256
Possible Instantiated Security Levels	112	112, 128	112, 128, 192	112, 128, 192, 256

For each DRBG instantiation, a security level needs to be requested and obtained during the instantiation process. The DRBGs allow security levels up to 256 bits, providing that the appropriate cryptographic primitives and sufficient entropy are available. Accordingly, any security level up to 256 **may** be requested. However, a DRBG will only be instantiated for one of four security levels: 112, 128, 192 or 256. A requested security level that is between two of the security levels will be instantiated to the next highest level (e.g., a request for a 120-bit security level will actually be instantiated at the 128-bit security level).

When a DRBG instantiation needs to provide pseudorandom bits for only one purpose, then the security level needs to support that purpose. Examples:

- 1. 256-bit AES keys can provide a maximum of 256-bits of security. An instantiation must support the 256-bit security level if the full 256 bits of security are to be provided by the AES keys.
- 2. 2048-bit RSA can only provide 112 bits of security. In this case, an instantiation used only for the generation of 2048-bit RSA keys must be instantiated at the 112-bit security level or higher.

When an instantiation is used for multiple purposes, the minimum entropy requirement for each purpose must be considered. The DRBG needs to be instantiated for the highest security level required. For example, if one purpose requires a 112-bit security level, and another purpose requires a security level of 256 bits, then the DRBG **shall** be instantiated to support the 256-bit security level.

# 8.3 DRBG Boundaries

DRBG procedures **shall** be encapsulated within DRBG boundaries. A boundary **may** be either physical or logical. The term "logical boundary" refers to the boundary defined by the DRBG module's public interfaces, which are made available to consuming applications. Properly designed interfaces will prevent access via these interfaces to the sensitive information used by the DRBG. As an example, an interface required when a DRBG is instantiated returns a value called *state\_handle* (see Section 9.2). The *state\_handle* is a mechanism to identify the internal state of the DRBG at some later time. Within a DRBG boundary,

1. The DRBG internal state and the operation of the DRBG procedures shall only be

affected according to the DRBG specification.

- 2. The DRBG internal state shall exist solely within the DRBG boundary.
- 3. Information about secret parts of the DRBG internal state and intermediate values in computations involving these secret parts **shall not** affect any information that leaves the DRBG boundary, except as specified for the DRBG pseudorandom bit outputs. The internal state **shall** be contained within the DRBG boundary and **shall not** be accessible from outside the boundary.

Each DRBG includes one or more cryptographic primitives (e.g., a hash function). Other applications may use the same cryptographic primitive as long as the DRBG's internal state and the DRBG procedures are not affected.

A DRBG's procedures may be contained within the same DRBG boundary or may be distributed across multiple DRBG boundaries (see Figures 3 and 4). Figure 3 depicts a DRBG for which all procedures are contained within the same DRBG boundary. Figure 4 depicts DRBG procedures that are distributed across two DRBG boundaries. Other distribution configurations are also allowed. Each DRBG boundary shall contain a testing procedure to test the "health" of other DRBG procedures within that boundary. Although the entropy input is shown in the figure as originating outside the DRBG boundary, it may originate from within the boundary. Part 4 discusses

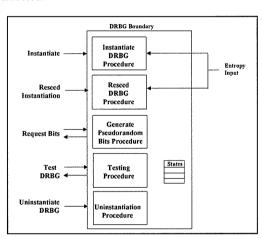


Figure 3: DRBG Procedures within a Single DRBG Boundary

the construction of a full random bit generator that contains both the DRBG and its entropy input source.

Distributed DRBG boundaries shall be subject to the following:

Any DRBG boundary that includes an instantiate procedure shall include
uninstantiate, generate and testing procedures to allow health testing, although the
generate procedure may not be the "primary" generate procedure for the DRBG.
For example, for a smart card application, it may be necessary to distribute the
DRBG procedures so that the smart card contains only the generate procedure,
along with its associated testing procedure. In this case, the instantiate procedure
may reside on the system that initializes the smart card; the generate and
uninstantiate procedures are used on this system during the testing of the instantiate

procedure.

- 2. A DRBG boundary containing a generate procedure **shall** include a testing procedure.
- 3. A DRBG boundary that contains a reseed procedure **shall** include generate and test procedures to allow health testing, although the generate procedure may not be the "primary" generate procedure for the DRBG.

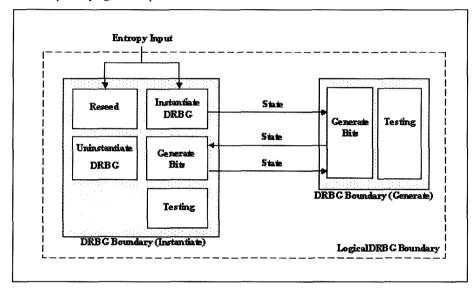


Figure 4: Distributed DGBR Procedures and Bouyndaries

When DRBG procedures are distributed across multiple modules or devices, the DRBG procedures are distributed among multiple DRBG boundaries (see Figure 4). A logical boundary is defined to contain the distributed DRBG boundaries, and the DRBG internal state **shall** be protected within that logical boundary. Appropriate mechanisms **shall** be used to protect the confidentiality and integrity of the internal state when transferred between the distributed DRBG boundaries. The confidentiality and integrity mechanisms and security level **shall** be consistent with the data to be protected by the DRBG's consuming application (see SP 800-57).

# 8.4 Seeds

# 8.4.1 General Discussion

When a DRBG is used to generate pseudorandom bits, a seed **shall** be acquired prior to the generation of output bits by the DRBG. The seed is used to instantiate the DRBG and

determine the initial internal state that is used when calling the DRBG to obtain the first output bits.

The seed, seed size and the entropy (i.e., randomness) of the seed **shall** be selected to minimize the probability that the sequence of pseudorandom bits produced by one seed significantly matches the sequence produced by another seed, and reduces the probability that the seed can be guessed or exhaustively tested. Since this Standard does not require full entropy for a seed but does require sufficient entropy, the length of the seed may be greater than the entropy requirement (i.e., a seed with *n* bits of entropy may be longer than *n* bits in length).

The entry of entropy into a DRBG using an insecure method could result in voiding the intended security assurances. To ensure unpredictability, care must be exercised in obtaining and handling the entropy input used to create seeds.

## 8.4.2 Generation and Handling of Seeds

The seed and its use by a DRBG **shall** be generated and handled as follows:

1. Seed construction: The seed material used to determine a seed shall include entropy input and should include a personalization string (see Figure 5 and Section 8.5.1). Whether or not the personalization string is present, the resulting seed shall be statistically unique. That is, when a personalization string is used, the combination of the entropy input and the personalization string shall determine a unique seed; when a personalization string is not used, the entropy input shall be statistically unique.

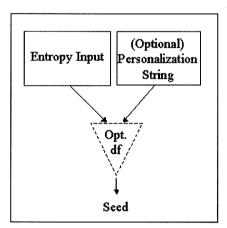


Figure 5: Seed Construction

Depending on the DRBG and the entropy input, a derivation function may be required to derive a seed.

2. Entropy requirements: The entropy input for the seed **shall** contain sufficient entropy for the desired level of security, and the entropy **shall** be distributed across the seed (e.g., by an appropriate derivation function). The DRBGs **shall** have the required entropy provided in the entropy input. Additional entropy **may** be provided in a personalization string, but this is not required.

A consuming application may or may not be concerned about collision resistance between seeds and internal states. In order to accommodate possible collision concerns, the entropy input for a seed **shall** have entropy that is equal to or greater than the *security\_level* + 64 bits for instantiation; for reseeding, the minumum

entropy requirement is equal to the *security\_level*. Note that the use of more entropy than the minimum value will offer a security "cushion". This may be useful if the assessment of the entropy provided in the entropy input is incorrect; having more entropy than the assessed amount is acceptable; having less entropy than the assessed amount could be fatal to security. The presence of more entropy than is required, especially during the instantiate procedure, will provide a higher level of assurance than the minimum required entropy.

Table 1 identifies the security levels to be provided by Approved DRBGs, along with the associated entropy requirements. If a selected DRBG and the entropy input for the seed are not able to provide the security level required by the consuming application, then a different DRBG and entropy input **shall** be used.

Table 1: Minimum Entropy Per Security Level

Security Level	112	128	192	256
Minimum entropy for instantiation	176	192	256	320
Minimum entropy for reseeding	112	128	192	256

- 3. Seed length: The minimum length of the seed depends on the DRBG and the security level required by the consuming application. See Section 10.
- 4. Entropy input source: The source of the entropy input **may** be an Approved NRBG, an Approved DRBG (or chain of Approved DRBGs) that is seeded by an Approved NRBG, or another source whose entropy characteristics are known. Further discussion about the entropy input is provided in Part 4 of this Standard.
- 5. Entropy input and seed privacy: The entropy input and the resulting seed **shall** be handled in a manner that is consistent with the security required for the data protected by the consuming application. For example, if the DRBG is used to generate keys, then the entropy inputs and seeds used to generate the keys **shall** be treated at least as well as the key.
- 6. Reseeding: Reseeding is a means of recovering the secrecy of the output of the DRBG if a seed or the internal state becomes known. Periodic reseeding is a good countermeasure to the potential threat that the seeds and DRBG output become compromised. In some implementations (e.g., smartcards), an adequate reseeding process may not be possible. In these cases, the best policy might be to replace the DRBG, obtaining a new seed in the process (e.g., obtain a new smart card).

Generating too many outputs from a seed (and other input information) may provide sufficient information for successfully predicting future outputs unless prediction resistance is provided (see Section 8.6). Periodic reseeding will reduce security risks, reducing the likelihood of a compromise of the data that is protected by cryptographic mechanisms that use the DRBG.

Seeds shall have a finite seedlife (i.e., the length of the seed period); the maximum

seedlife is dependent on the DRBG used. Reseeding is accomplished by 1) an explicit reseeding of the DRBG by the application, or 2) by the generate procedure when prediction resistance is requested (see Section 8.6) or the limit of the seedlife is reached. An alternative to reseeding is to create an entirely new instantiation. This may be appropriate, for example, in environments with restricted capabilities, where the seed is obtained from a source that is not co-located with the DRBG (e.g., in a smart card applicaton).

Reseeding of the DRBG **shall** be performed in accordance with the specification for the given DRBG. The DRBG reseed specifications within this Standard are designed to produce a new seed that is determined by both the old seed and newly-obtained entropy input that will support the desired security level. The newly-obtained entropy input **shall** be checked to assure that it is not the same as the previous entropy input (see Part 4).

7. Seed use: DRBGs may be used to generate both secret and public information. In either case, the seed and the entropy input from which the seed is derived shall be kept secret. A single instantiation of a DRBG should not be used to generate both secret and public values. However, cost and risk factors must be taken into account when determining whether different instantiations for secret and public values can be accommodated.

A seed that is used to initialize one instantiation of a DRBG **shall not** be intentially used to reseed the same instantiation or used as a seed for another DRBG instantiation.

A DRBG **shall not** provide output until a seed is available, and the internal state has been initialized.

8. Seed separation: Seeds used by DRBGs **shall not** be used for other purposes (e.g., domain parameter or prime number generation).

# 8.5 Optional Inputs to the DRBG

# 8.5.1 Discussion

Other input may be provided during DRBG instantiation, pseudorandom bit generation and reseeding. This input may contain entropy, but this is not required. During instantiation, a personalization string may be provided and combined with entropy input to derive a seed (see Section 8.4, item 1). When pseudorandom bits are requested and when reseeding is performed, additional input may be provided.

Depending on the method for acquiring the input, the exact value of the input may or may not be known to the user or application. For example, the input could be derived directly from values entered by the user or application, or the input could be derived from information introduced by the user or application (e.g., from timing statistics based on key strokes), or the input could be the output of another DRBG or an NRBG.

#### 8.5.2 Personalization String

During instantiation, a seed **shall** be derived from entropy input with sufficient entropy, and the seed **should** also include a personalization string (see Section 8.4). That is, the use of a personalization string is good practice, but is not mandatory. The intent of a personalization string is to differentiate this DRBG instantiation from all the others that might ever appear. The personalization\_string **should** be set to some bit string that is as unique as possible to a specific implementation or instance of a DRBG mechanism, and **may** include secret information. The value of any secret information contained in the personalization string should be no greater than the claimed strength of the DRBG, as the DRBG's cryptographic mechanisms (specifically, its backtracking resistance and the entropy provided by the entropy source) will protect this information from disclosure. Good choices for the personalization string contents include:

- 1. Device serial numbers,
- 2. Public keys,
- 3. User identification,
- 4. Private keys,
- 5. PINs and passwords,
- 6. Secret per-module or per-device values,
- 7. Timestamps,
- 8. Network addresses, and
- 9. Special secret key values for this specific DRBG instantiation

# 8.5.3 Additional Input

During each request for bits from a DRBG and during reseeding, the insertion of additional input is allowed. This input is optional and may be either secret or publicly known; its value is arbitrary, although its length may be restricted, depending on the implementation and the DRBG. The use of additional input may be a means of providing more entropy for the DRBG internal state that will increase assurance that the entropy requirements are met. If the additional input is kept secret and has sufficient entropy, the input can provide more assurance when recovering from the compromise of the seed or one or more DRBG internal states.

# 8.6 Prediction Resistance and Backtracking Resistance

Figure 6 depicts the sequence of DRBG internal states that result from a given seed. Some subset of bits from each internal state are used to generate pseudorandom bits upon request by a user. The following discussions will use the figure to explain backtracking and prediction resistance. Suppose that a compromise occurs at  $State_x$ , where  $State_x$  contains both secret and public information.

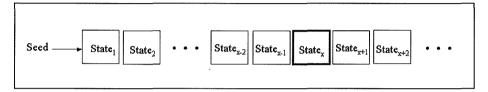


Figure 6: Sequence of DRBG States

Backtracking Resistance: Backtracking resistance means that a compromise of the DRBG internal state has no effect on the security of prior outputs. That is, an adversary who is given access to all of any subset of that prior output sequence cannot distinguish it from random; if the adversary knows only part of the prior output, he cannot determine any bit of that prior output sequence that the adversaryhe has not already seen. In other words, a compromise has no effect on the security of prior outputs.

For example, suppose that an adversary knows *State*<sub>x,y,-2</sub>and also knows the output bits from *State*<sub>x-2</sub>- Backtracking resistance means that:

- a. The output bits from *State*<sub>1</sub> to *State*<sub>x-1</sub> cannot be distinguished from random.
- a.—b. The prior internal state values themselves (State<sub>1</sub> to State<sub>x-1</sub> cannot be recovered, given knowledge of the secret information in State<sub>x-2</sub> State<sub>x-1</sub> and its output bits cannot be determined from knowledge of State<sub>x</sub> (i.e., State<sub>x</sub> cannot be backed up"). In addition, since the output bits from State<sub>x-2</sub> appear to be random, the output bits for State<sub>x-1</sub> cannot be predicted from the output bits of State<sub>x-2</sub>.

Backtracking resistance can be provided by ensuring that the internal state transition function of a DRBG is a one-way function, or by using the DRBG to generate an additional new DRGB working state before responding to the next request for bits (e.g., when bits are generated, the working state is updated; backtracking resistance may be provided by an additional update of the working state, i.e., the working state is updated twice between requests). All DRBGs in this Standard have been designed to provide backtracking resistance.

Prediction Resistance: Prediction resistance means that a compromise of the DRBG internal state has no effect on the security of future DRBG outputs. If a compromise of State<sub>x</sub> occurs, prediction resistance provides assurance that the output sequence resulting from states after the compromise remains secure. That is, an adversary who is given access to all of any subset of the output sequence after the compromise cannot distinguish it from random; if the adversary knows only part of the future output sequence, an adversary he cannot predict any bit of that future output sequence that he has not already seen. In other words, a compromise has no effect on the security of future outputs.

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For example, suppose that an adversary knows *State<sub>x</sub>*: and also knows the output bits from *State<sub>x-2</sub>* to *State<sub>x-n</sub>*-Prediction resistance means that:

a. The output bits from *State*<sub>x+1</sub> and forward cannot be distinguished from an ideal random bitstring by the adversary.

b. b. The future internal state values themselves (State<sub>x+1</sub> and forward) cannot be predicted, given knowledge of State<sub>x</sub>. State<sub>x+1</sub> and its output bits cannot be determined from knowledge of State<sub>x</sub> (i.e., State<sub>x</sub> cannot be "backed up"). In addition, since the output bits from State<sub>x+1</sub> to State<sub>x-2</sub> appear to be random, the output bits for State<sub>x-1</sub> cannot be predicted from the output bits of State<sub>x+1</sub> to State<sub>x-2</sub>.

-State<sub>x-1</sub> and its output bits cannot be predicted from knowledge of  $State_x$ . In addition, because the output bits from  $State_{x-1}$  to  $State_{x-n}$  appear to be random, the output bits for  $State_{x-1}$  cannot be determined from the output bits of  $State_{x-2}$  to  $State_{x-n}$ .

Prediction resistance can be provided only by ensuring that a DRBG is effectively reseeded between DRBG requests. That is, an amount of entropy that is sufficient to support the security level of the DRBG (i.e., an amount that is at least equal to the security level)) must be added to the DRBG in a way that ensures that knowledge of the <a href="current-previous">current-previous</a> DRBG internal state does not allow an adversary any useful knowledge about future DRBG internal states or outputs.

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# 9 DRBG Procedures

#### 9.1 General Discussion

The DRBG procedures in this Standard are specified by an algorithm and the envelope around that algorithm. The envelopes **shall** be used to access the appropriate selected DRBG algorithm.

### 9.2 Instantiating a DRBG

A DRBG **shall** be instantiated prior to the generation of pseudorandom bits. The instantiate procedure **shall**:

- 1. Check the validity of the input parameters,
- 2. Determine the security level for the DRBG instantiation,
- 3. Determine DRBG specific parameters (e.g., elliptic curve domain parameters),
- 4. Obtain entropy input with entropy sufficient to support the security level,
- 5. Determine the initial internal state using the instantiate algorithm, and
- 6. Return a *state\_handle* for the internal state to the consuming application.

Let working\_state be the working state for the particular DRBG, and let min\_entropy\_input\_length and highest\_supported\_security\_level be defined for each DRBG (see Section 10).

The following or an equivalent process shall be used to instantiate a DRBG.

# Input from a consuming application:

- 1. requested\_security\_level: A requested security level for the instantiation. DRBG implementations that support only one security level do not require this parameter; however, any application using the DRBG must be aware of this limitation.
- 2. prediction\_resistance\_flag: Indicates whether or not prediction resistance may be required by the consuming application during one or more requests for pseudorandom bits. DRBGs that are implemented to always or never support prediction resistance do not require this parameter. However, the user of a consuming application must determine whether or not prediction resistance may be required by the application before electing to use such a DRBG implementation. If the prediction\_resistance\_flag is not needed (i.e., because prediction resistance is always or never performed), then the input parameter and step 2 may be omitted, and the prediction\_resistance\_flag may be omitted from the internal state in step 10.
- 3. personalization\_string: An optional input that provides personalization information (see Sections 8.4 and 8.5.2). The maximum length of the personalization string (max\_personalization\_string\_length) is implementation dependent, but shall be ≤

- 2<sup>35</sup> bits. If a personalization string will never be used, then the input parameter and step 3 may be omitted, and step 9 may be modified to remove the personalization string.8
- 5. DRBG\_specific\_input\_parameters: Any additional parameters that are allowed for a specific DRBG (see Section 10). The use of the DRBG-specific input parameters is discussed for the DRBG instantiate algorithms. If a DRBG or a DRBG implementation does not use these parameters, then step 5 may be omitted.

#### Other input:

Comment: This input **shall not** be provided by the consuming application.

1. *entropy\_input*: Input bits containing entropy. The maximum length of the *entropy\_input* is implementation dependent, but **shall** be  $\leq 2^{35}$  bits.

### Output to a consuming application:

- status: The status returned from the procedure. The status will indicate SUCCESS or an ERROR. If an ERROR is indicated, either no state\_handle or an invalid state\_handle shall be returned. A consuming application should check the status to determine that the DRBG has been correctly instantiated.
- 2. *state\_handle*: Used to identify the internal state for this instantiation in subsequent calls to the generate, reseed, uninstantiate and test procedures.

# $Other\ output/information\ retained\ within\ the\ DRBG\ boundary:$

The internal state for the DRBG, including the *working\_state*, *security\_level*, and *prediction\_resistance\_flag* (see Section 10).

# **Process:**

Comment: Check the validity of the input parameters.

- 1. If requested\_security\_level > highest\_supported\_security level, then return an ERROR.
- 2. If *prediction\_resistance\_flag* is set, and prediction resistance is not supported, then return an **ERROR**.
- 3. If the length of the *personalization\_string > max\_personalization\_string\_length*, return an **ERROR**.
- 4. Set *security\_level* to the nearest security level greater than or equal to *requested\_security\_level*.

Comment: The following step is required by the Dual\_EC\_DRBG when multiple curves are available (see Section 10.3.2.2.2), and by the MS\_DRBG (see Section 10.3.3.2.3). Otherwise, the step should be omitted.

5. Using *security\_level* and *DRBG\_specific\_input\_parameters* (if available), select 38

appropriate DRBG parameters.

Comment: Determine the minimum entropy requirement and obtain the entropy input.

- 6.  $min\_entropy = security\_level + 64$ .
- 7. Obtain at least *min\_entropy\_input\_length* bits of *entropy\_input* with at least *min\_entropy* bits of entropy. If there is a failure in the *entropy\_input* source, return an **ERROR**.

Comment: Get the initial working\_state from the instantiate algorithm.

8. Obtain values for the *working\_state* by performing the instantiate algorithm for the DRBG using the *entropy\_input*, the *personalization\_string* (if provided) and other parameters (as required).

Comment: Set up the initial internal state.

- 9. Get a *state\_handle* that will be used to locate the internal state for this instantiation. If an unused internal state cannot be found, return an **ERROR**.
- 10. Set the internal state indicated by *state\_handle* to the initial values: *working\_state*, *security\_level*, and *prediction\_resistance\_flag*, as appropriate.
- 11. Return SUCCESS and state\_handle.

# 9.3 Reseeding a DRBG Instantiation

The reseeding of an instantiation is not required, but is recommended whenever an application and implementation are able to perform this process. Reseeding will insert additional entropy into the generation process. Reseeding may be:

- explicitly requested by an application,
- performed when prediction resistance or full entropy is requested by an application,
- triggered by the generation process when a predetermined number of pseudorandom outputs have been produced (i.e., at the end of the seedlife), or
- triggered by external events (e.g., whenever sufficient entropy is available).

If a reseed capability is not available, a new DRBG instantiation may be created (see Section 9.2).

The reseed procedure shall:

- 1. Check the validity of the input parameters,
- 2. Obtain entropy input with entropy sufficient to support the security level, and

3. Using the reseed algorithm, combine the current working state with the new entropy input to determine the new working state.

Let working\_state be the working state for the particular DRBG, and let min\_entropy\_input\_length be defined for each DRBG (see Section 10).

The following or an equivalent process shall be used to reseed the DRBG instantiation.

#### Input from a consuming application:

- 1) *state\_handle*: A pointer or index that indicates the internal state to be reseeded. This value was returned from the instantiate procedure specified in Section 9.2.
- 2) additional\_input: An optional input. The maximum length of the additional\_input (max\_additional\_input\_length) is implementation dependent, but **shall** be  $\leq 2^{35}$  bits. If additional\_input will never be used, then the input parameter and step 2 may be omitted, and step 5 may be modified to remove the additional\_input.

#### Other input:

Comment: This input **shall not** be provided by the consuming application.

- 1. *entropy\_input*: Input bits containing entropy. The maximum length of the *entropy\_input* is implementation dependent, but **shall** be  $\leq 2^{35}$  bits.
- 2. Internal state values required by the DRBG for reseeding, including the working\_state, security\_level and prediction\_resistance\_flag, as appropriate.

# Output to a consuming application:

 status: The status returned from the procedure. The status will indicate SUCCESS or an ERROR.

# Other output/information retained within the DRBG boundary:

Replaced internal state values (i.e., the working\_state).

# **Process:**

Comment: Get the current internal state and check the input parameters.

- 1. Using *state\_handle*, obtain the current internal state. If *state\_handle* indicates an invalid or unused internal state, return an **ERROR**.
- 2. If the length of the *additional\_input > max\_additional\_input\_length*, return an **ERROR**.
- 3. min\_entropy = security\_level.
- 4. Obtain at least min\_entropy\_input\_length bits of entropy\_input with at least min\_entropy bits of entropy. If there is a failure in the entropy\_input source, return an ERROR.

Comment: Get the new working\_state.

5. Obtain values for the new *working\_state* by performing the reseed algorithm for the DRBG using *working\_state* values, *entropy\_input* and the *additional\_input* (if

provided).

Comment: Save the new values of the internal state.

- 6. Replace the *working\_state* in the internal state indicated by *state\_handle* with the new values.
- 7. Return SUCCESS.

#### 9.4 Generating Pseudorandom Bits Using a DRBG

This procedure is used to generate pseudorandom bits after instantiation or reseeding (see Sections 9.2 and 9.3). The generate procedure **shall**:

- 1. Check the validity of the input parameters,
- 2. If the instantiation needs additional entropy because the end of the seedlife has been reached, or prediction resistance is required, call the reseed procedure to obtain sufficient entropy.
- 3. Generate the requested pseudorandom bits using the generate algorithm.
- 4. Update the working state.
- 5. Return the requested pseudorandom bits to the consuming application.

Let *outlen* be the length of the output block of the cryptographic primitive (see Section 10). The following or an equivalent process **shall** be used to generate pseudorandom bits.

# Input from a consuming application:

- 1. *state\_handle*: A pointer or index that indicates the internal state to be used.
- 2. requested\_number\_of\_bits: The number of pseudorandom bits to be returned from the generate procedure. The max\_number\_of\_bits\_per\_request is defined for each DRBG in Section 10.
- 3. *requested\_security\_level*: The security level to be associated with the requested pseudorandom bits.
- 4. prediction\_resistance\_request: Indicates whether or not prediction resistance is to be provided prior to the generation of the requested pseudorandom bits to be generated. DRBGs that are implemented to always or never support prediction resistance do not require this parameter. However, the user of a consuming application must determine whether or not prediction resistance may be required by the application before electing to use such a DRBG implementation. If the prediction\_resistance\_request parameter is not needed, then the input parameter and step 5 may be omitted.
- 5. additional\_input: An optional input. The maximum length of the additional\_input (max\_additional\_input\_length) is implementation dependent, but **shall** be  $\leq 2^{35}$  bits. If additional\_input will never be used, then the input parameter, step 4, and

the *additional\_input* input parameter in step 8 may be omitted; in addition, step 7 may be modified to remove the check for the *prediction\_resistance\_flag*.

### Other input:

1. Internal state values required for generation, including the *working\_state*, *security\_*level and prediction\_*resistance\_flag*, as appropriate.

# Output to a consuming application:

- status: The status returned from the procedure. The status will indicate SUCCESS or an ERROR.
- 2. pseudorandom\_bits: The pseudorandom bits that were requested.

### Other output information retained within the DRBG boundary:

Replaced internal state values (i.e., the working\_state).

#### **Process:**

Comment Get the internal state and check the input parameters.

- 1. Using *state\_handle*, obtain the current internal state for the instantiation. If *state\_handle* indicates an invalid or unused internal state, then return an **ERROR**.
- 2. If requested\_number\_of\_bits > max\_number\_of\_bits\_per\_request, then return an **ERROR**.
- 3. If requested\_security\_level > the security\_level indicated in the internal state, then return an ERROR.
- 4. If the length of the *additional\_input > max\_additional\_input\_length*, then return an **ERROR**.
- 5. If prediction\_resistance\_request is set, and prediction\_resistance\_flag is not set, then return an **ERROR**.
- 6. Reset the reseed\_required\_flag.

Comment: Get the requested pseudorandom bits.

- 7. If  $reseed\_required\_flag$  is set, or if  $prediction\_resistance\_request$  is set, then
  - 7.1 Using *state\_handle* and *additional\_input*, reseed the instantiation (see Section 9.3). If an **ERROR** is returned, then return **ERROR**.
  - 7.2 Using *state\_handle*, obtain the new internal state.
  - 7.3 *additional\_input* = the *Null* string.
  - 7.4 Reset the reseed\_request\_flag.
- 8 Using the working\_state, any additional\_input and the value of requested\_number\_of\_bits, obtain pseudorandom\_bits and new values for the

working\_state from the DRBG generate algorithm. If a reseed is required before the requested bits can be generated, then

- 8.1 Set the reseed\_required\_flag.
- 8.2 Go to step 7.
- 9. Replace the old *working\_state* in the internal state indicated by *state\_handle* with the new *working\_state*.
- 10. Return SUCCESS and pseudorandom\_bits.

### Implementation notes:

If a reseed capability is not available, then steps 6 and 7 may be omitted; replace step 8 by:

Using the working\_state in the internal state, any additional\_input and the value of requested\_number\_of\_bits, obtain pseudorandom\_bits and the new working\_state from the DRBG generate algorithm. If a reseed is required before the requested bits can be generated, then return an indication that the DRBG instantiation can no longer be used.

#### 9.5 Removing a DRBG Instantiation

A process may need to "release" the internal state for an instantiation. This may be required, for example, following health testing of the instantiation process. The uninstantiate procedure **shall**:

- 1. Check the input parameter for validity.
- 2. Empty the internal state.

The following or an equivalent process **shall** be used to remove (i.e., uninstantiate) a DRBG instantiation:

# Input from a consuming application:

1. state\_handle: A pointer or index that indicates the internal state to be used.

# Output to a consuming application:

1. *status*: The status returned from the procedure. The status will indicate **SUCCESS** or **FAILURE**.

# $\label{lem:continuous} Other\ output/information\ retained\ within\ the\ DRBG\ boundary:$

An empty internal state.

# **Process:**

- 1. If state\_handle indicates an invalid state, then return FAILURE.
- 2. Empty the internal state indicated by state\_handle (e.g., set to zero or Null, as

appropriate).

3. Return SUCCESS.

### 9.6 Auxilliary Functions

#### 9.6.1 Introduction

Derivation functions are used during DRBG instantiation and reseeding to either derive internal state values or to distribute entropy throughout a bit string. Two methods are provided. One method is based on hash functions (see Section 9.6.2), and the other method is based on block cipher algorithms (see 9.6.3). The block cipher derivation function uses a a CBC\_MAC that is specified in Section 9.6.4.

#### 9.6.2 Derivation Function Using a Hash Function (Hash\_df)

The hash-based derivation function hashes an input string and returns the requested number of bits. Let **Hash (...)** be the hash function used by the DRBG, and let *outlen* be its output length.

The following or an equivalent process shall be used to derive the requested number of bits.

#### Input:

- 1. input\_string: The string to be hashed.
- 2. no\_of\_bits\_to\_return: The number of bits to be returned by **Hash\_df**. The maximum length (max\_number\_of\_bits) is implementation dependent, but **shall** be ≤ (255 × outlen). no\_of\_bits\_to\_return is represented as a 32-bit integer.

# Output:

- 1. *status*: The status returned from **Hash\_df**. The status will indicate **SUCCESS** or **ERROR**.
- 2. requested\_bits: The result of performing the Hash\_df.

# Process

- 1. If no\_of\_bits\_to\_return > max\_number\_of\_bits, then return an ERROR.
- 2. *temp* = the Null string.

3. 
$$len = \left\lceil \frac{no\_of\_bits\_to\_return}{outlen} \right\rceil$$
.

- 4. counter = an 8 bit binary value representing the integer "1".
- 5. For i = 1 to len do
  - 5.1  $temp = temp \parallel \mathbf{Hash} (counter \parallel no\_of\_bits\_to\_return \parallel input\_string).$

- 5.2 counter = counter + 1.
- 6. requested\_bits = Leftmost (no\_of\_bits\_to\_return) of temp.
- 7. Return SUCCESS and requested\_bits.

# 9.6.3 Derivation Function Using a Block Cipher Algorithm

Let CBC\_MAC be the function specified in Section 9.6.4. Let ECB\_Encrypt be an encryption operation in the ECB mode using the selected block cipher algorithm. Let *outlen* be its output block length, and let *keylen* be the key length.

The following or an equivalent process **shall** be used to derive the requested number of bits.

#### Input:

- 1. input\_string: The string to be operated on.
- 2. no\_of\_bits\_to\_return: The number of bits to be returned by **Block\_Cipher\_df**.

# **Output:**

- status: The status returned from Block\_Cipher\_df. The status will indicate SUCCESS or ERROR.
- 2. requested\_bits: The result of performing the Block\_Cipher\_df.

### **Process:**

- 1. If (number\_of\_bits\_to\_return > max\_number\_of\_bits), then return an ERROR.
- 2.  $L = len (input\_string)/8$ . Comm

Comment: *L* is the bit string represention of the integer resulting from **len** (*input\_string*)/8.

3.  $N = number\_of\_bits\_to\_return/8$ .

Comment: *N* is the bitsting represention of the integer resulting from *number\_of\_bits\_to\_return*/8.

Comment: Prepend the string length and the requested length of the output to the *input\_string*.

3.  $S = L || N || input\_string || 0x80.$ 

Comment: Pad S with zeros, if necessary.

4. While (len (S) mod outlen)  $\neq$  0,  $S = S \parallel 0 \times 00$ .

Comment : Compute the starting value.

- 5. temp = the Null string.
- 6. i = 0.

- 7. K = Leftmost keylen bits of 0x010203...1F.
- 8. While len (temp) < keylen + outlen, do
  - 8.1  $IV = i \parallel 0^{outlen len (i)}$ .

Comment: The integer representaion of i is padded with zeros to *outlen* bits.

- 8.2  $temp = temp \parallel CBC-MAC(K, (IV \parallel S)).$
- 8.3 i = i + 1.

Comment: Compute the requested number of bits.

- 9. K = Leftmost keylen bits of temp.
- 10. X = Next outlen bits of temp.
- 11. *temp* = the *Null* string.
- 12. While **len** (temp) < number\_of\_bits\_to\_return, do
  - 12.1  $X = ECB\_Encrypt(K, X)$ .
  - 12.2 temp = temp || X.
- 13. requested\_bits = Leftmost number\_of\_bits\_to\_return of temp.
- 14. Return **SUCCESS** and *requested\_bits*.

# 9.6.4 CBC-MAC Function

The CBC-MAC function was an Approved method for computing a message authentication code. Let **ECB\_Encrypt** be an encryption operation in the ECB mode using the selected block cipher algorithm. Let *outlen* be the length of the output block of the block cipher algorithm to be used.

The following or an equivalent process **shall** be used to derive the requested number of bits.

# Input:

- 1. Key: The key to be used for the block cipher opeation.
- 2. data\_to\_MAC: The data to be operated upon.

# Output:

1. *output\_block*: The result to be returned from the CBC-MAC operation.

# Process:

1.  $chaining\_value = 0^{outlen}$ .

Comment: Set the first chaining value to *outlen* zeros.

2.  $n = \text{len } (data\_to\_MAC)/outlen$ .

- 3. Split the  $data_to_MAC$  into n blocks of outlen bits each forming  $block_1$  to  $block_n$ .
- 4. For i = 1 to n do
  - 4.1  $input\_block = chaining\_value \oplus block_i$ .
  - 4.2 chaining\_value = ECB\_Encrypt (Key, input\_block).
- 5. *output\_block* = chaining\_value.
- 6. Return output\_block.

### 9.7 Self-Testing of the DRBG

# 9.7.1 Discussion

A DRBG **shall** perform self testing to obtain assurance that the implementation continues to operate as designed and implemented (health testing). The testing procedure within a DRBG boundary **shall** test all DRBG procedures within that boundary. Four procedure configurations are possible within a single DRBG boundary:

- 1. Instantiate, generate, uninstantiate and test procedures,
- 2. Generate and test procedures,
- 3. Reseed, generate and test procedures,
- 4. Instantiate, generate, reseed, uninstantiate and test procedures.

Health testing **shall** be performed prior to the first instantiation of the DRBG, at periodic intervals and on-demand. Depending on the implementation, periodic testing may be performed at power-up for those implementations that do not have continuous power, or at specified time intervals or events. Bits generated during health testing **shall not** be output as pseudorandom bits.

Two levels of testing are allowed: 1) fairly extensive tests¹ that are conducted when sufficient time is available (non-time-critical tests), and 2) less rigorous tests that are conducted when little time is available for testing (time-critical tests). As shown in Table 2, for each configuration, non-time-critical testing **shall** be conducted either 1) prior to the first instantiation or 2) **shall** be conducted periodically, or 3) **shall** be conducted in both cases. Non-time-critical testing **shall** be conducted when tests are performed on demand.

Table 2: Health Testing Intervals and Levels of Testing

When to Test	Case 1	Case 2	Case 3
Prior to first instantiation	Non-Time- Critical	Time-Critical	Non-Time- Critical
Periodic	Time-Critical	Non-Time- Critical	Non-Time- Critical

<sup>&</sup>lt;sup>1</sup> This is not intended to be as extensive as validation tests; see Section 11.

On-demand	Non-Time-	Non-Time-	Non-Time-
	Critical	Critical	Critical

In general, each of the DRBG procedures shall be tested as follows:

1. Instantiate procedure: Fixed values for the entropy input **shall** be used during testing; the fixed values **shall not** be used during normal operations.

Non-time-critical testing: Each combination of <code>security\_level</code>, <code>prediction\_resistance\_flag</code> and <code>DRBG\_specific\_input\_parameters</code> shall be tested (depending on which input parameters are implemented). Representative values and lengths of the <code>personalization\_string</code> shall be used. In addition, the error handling for each input parameter and for an error in obtaining the <code>entropy\_input</code> shall be tested (e.g., the <code>entropy\_input</code> source is broken).

Time-critical testing: A minimal test **shall** include a single *security\_level*; a single set of *DRBG\_specific\_input\_parameters*; a single representative value for the *personalization\_string* (depending on which parameters are implemented); if prediction resistance is possible, this capability **shall** also be tested.

2. Generate procedure: Known values for the internal state shall be used.

Non-time-critical testing: Each possible combination of requested\_security\_level and prediction\_resistance\_request shall be tested (depending on the input parameters that are implemented); representative values and lengths for requested\_number\_of\_bits and additional\_input (if allowed) shall be used. Testing shall include setting the reseed\_counter to meet or exceed the reseed\_interval in order to check that the implementation is reseeded or that the DRBG is "shut down". In addition, the error handling for each input parameter shall be tested.

Time-critical testing: A minimal test **shall** include a single value for the requested\_security\_level and single representative values for the requested\_number\_of\_bits and additional\_input (depending on which parameters are implemented); if prediction resistance is possible, a request for prediction resistance **shall** be tested. In addition, if the requested\_security\_level input parameter is used, a test of the error handling for an invalid requested\_security\_level **shall** be conducted.

3. Reseed procedure: Fixed values for the entropy input **shall** be used during testing; the fixed values **shall not** be used during normal operations.

Non-time-critical testing: Internal states with all combinations of *security\_level* and *prediction\_resistance\_flag* **shall** be tested (depending on the input parameters that are implemented); representative values of *additional\_input* **shall** be used if additional input can be provided. In addition, the error handling for each input parameter and for an error in the *entropy\_input* **shall** be tested (e.g., the *entropy\_input* source is broken).

Time-critical testing: A minimal test shall include the test of a single representative

internal state and a representative additional\_input (if allowed).

4. Uninstantiate procedure: Check the error handling for an invalid *state\_handle*, as a minimum. If possible, check that the internal state has been "emptied".

Errors occurring during testing **shall** be perceived as complete DRBG failures. The condition causing the failure **shall** be corrected and the DRBG re-instantiated before requesting pseudorandom bits (also see Section 9.8).

# 9.7.2 Instantiate, Generate, Uninstantiate and Test Procedures within a Single DRBG Boundary

As specified in Section 8.3, any DRBG boundary that includes an instantiate procedure **shall** include uninstantiate, generate and testing procedures. The testing procedure **shall**:

- 1. Select a combination of valid instantitate and generate input parameters and an appropriate fixed value for the *entropy\_input*. Note that for time-critical testing, only one combination of instantiate and generate parameters would be used.
- 2. Request an instantiation using a valid set of instantiate input parameters, obtaining the (fixed) *entropy\_input*, setting the internal state and returning a *state\_handle* for the internal state.
- 3. Using the *state\_handle*, request the generation of pseudorandom bits using a valid set of generate input parameters.
- 4. Check that the generated pseudorandom bits match expected values.
- 5. Repeat from step 1 until all valid combinations have been tested.
- 6. Test the error handling for the instantiate, generate and uninstantiate procedures (as appropriate, see Section 9.7.1).
- 7. Uninstantiate the internal state used for testing.

# 9.7.3 Generate and Test

As specified in Section 8.3, any DRBG boundary that includes a generate procedure **shall** also include a testing procedure. The testing procedure **shall**:

- Select a combination of valid generate input parameters to be used and an appropriate fixed value for the internal state. Note that for time-critical testing, only one combination generate parameters would be used
- 2. Using a *state\_handle* for the selected internal state, request the generation of pseudorandom bits.
- 3. Check that the generated pseudorandom bits match expected values.
- 4. Repeat from step 1 until all valid combinations have been tested.
- 5. Test the error handling for the generate procedure (as appropriate, see Section 9.7.1).

#### 9.7.4 Reseed, Generate and Test

As specified in Section 8.3, any DRBG boundary that includes a reseed procedure **shall** include generate and testing procedures. The testing procedure **shall**:

- 1. Select a combination of valid reseed and generate input parameters, an appropriate fixed value for the internal state, and an appropriate fixed value for the *entropy\_input*. Note that for time-critical testing, only one combination of reseede and generate parameters would be used
- 2. Using a *state\_handle* for the selected internal state, request a reseed of the instantiation using a valid set of reseed input parameters, obtaining the *entropy\_input*, and setting the new value of the internal state.
- 3. Using the *state\_handle*, request the generation of pseudorandom bits using a valid set of generate input parameters.
- 4. Check that the generated pseudorandom bits match expected values.
- 5. Repeat from step 1 until all valid combinations have been tested.
- 6. Test the error handling for the reseed and generate procedures (as appropriate, see Section 9.7.1).

#### 9.7.5 Instantiate, Uninstantiate, Generate, Reseed and Test

The testing procedure for a DRBG boundary that includes all DRBG procedures shall:

- Select a combination of valid instantitate, generate and reseed input parameters, and appropriate fixed values for the *entropy\_input* for both the instantiate and reseed procedures. Note that for time-critical testing, only one combination of instantiate, generate and reseed parameters would be used
- Request an instantiation using a valid set of instantiate input parameters, obtaining the (fixed) entropy\_input, setting the internal state and returning a state\_handle for the internal state.
- 3. Using the *state\_handle*, request the generation of pseudorandom bits using a valid set of generate input parameters. If prediction resistance is requested, a fixed value for teh entropy input **shall** be used.
- 4. Using a *state\_handle*, request a reseed of the instantiation using a valid set of reseed input parameters, obtaining the (fixed) *entropy\_input*, and setting the new value of the internal state.
- 5. Using the *state\_handle*, request the generation of pseudorandom bits using a valid set of generate input parameters. If prediction resistance is requested, a fixed value for teh entropy input **shall** be used.
- 6. Check that the generated pseudorandom bits match expected values.

- 7. Repeat from step 1 until all valid combinations have been tested.
- 8. Test the error handling for the instantiate, generate, reseed and uninstantiate procedures (as appropriate, see Section 9.7.1).
- 9. Uninstantiate the internal state used for testing.

#### 9.8 Error Handling

The expected errors are indicated for each DRBG procedure (see Sections 9.2 - 9.5). The error handling routine **should** indicate the type of error. For catastrophic errors (e.g., entropy input source failure), the DRBG **shall not** produce further output until the source of the error is corrected.

Many errors during normal operation may be caused by an application's improper DRBG request. In these cases, the application user is responsible for correcting the request within the limits of the user's organizational security policy. For example, if a failure indicating an invalid requested security level is returned, a security level higher than the DRBG or the DRBG instantiation can support has been requested. The user **may** reduce the requested security level if the organization's security policy allows the information to be protected using a lower security level, or the user **shall** use an appropriately instantiated DRBG.

Failures that indicate that the entropy source has failed or that the DRBG failed health testing (see Sections 9.7 and 11.4) **shall** be perceived as complete DRBG failures. The indicated DRBG problem **shall** be corrected, and the DRBG **shall** be re-instantiated before the DRBG can be used to produce pseudorandom bits.

### 10 DRBG Algorithm Specifications

Several DRBGs are specified in this Standard. The selection of a DRBG depends on several factors, including the security level to be supported and what cryptographic primitives are available. An analysis of the consuming application's requirements for random numbers **shall** be conducted in order to select an appropriate DRBG. A detailed discussion on DRBG selection is provided in Annex E. Pseudocode examples for each DRBG are provided in Annex F. Conversion specifications required for the DRBG implementations (e.g., between integers and bitstrings) are provided in Annex B.

# 10.1 Deterministic RBGs Based on Hash Functions

#### 10.1.1 Discussion

A hash DRBG is based on a hash function that is non-invertible or one-way. The hash DRBGs specified in this Standard have been designed to use any Approved hash function and may be used by applications requiring various security levels, providing that the appropriate hash function is used and sufficient entropy is obtained for the seed. The following are provided as DRBGs based on hash functions:

- 1. The Hash DRBG specified in Section 10.1.2.
- 2. The **HMAC\_DRBG** specified in Section 10.1.3.

The maximum security level that could be supported by each hash function when used in a DRBG is equal to the number of bits in the hash function output block. However, this Standard supports only four security levels: 112, 128, 192, and 256. Table 3 specifies the values that **shall** be used for the procedure envelopes and DRBG algorithm for each Approved hash function. Note that since SHA-224 is based on SHA-256, there is no efficiency benefit for using the smaller hash function; this is also the case for SHA-384 and SHA-512. The value for *seedlen* is determined by subtracting the count field and one byte of padding from the hash function input block length; In the case of SHA-1, SHA-224 and SHA 256, *seedlen* = 512 - 64 - 8 = 440; for SHA-384 and SHA-512, *seedlen* = 1024 - 128 - 8 = 888.

Table 3: Definitions for Hash-Based DRBGs

	SHA-1	SHA-224	SHA-256	SHA-384	SHA-512
Supported security levels	112, 128	112, 128, 192	112, 128, 192, 256	112, 128, 192, 256	112, 128, 192, 256
highest_supported_security_level	128	192	256	256	256
Output Block Length (outlen)	160	224	256	384	512
Required minimum entropy for instantiate	security_level + 64				

	SHA-1	SHA-224	SHA-256	SHA-384	SHA-512	
Required minimum entropy for reseed	security_level					
Minimum entropy input length (min_entropy_input_length)	min_entropy					
Maximum entropy input length (max_entropy_input_length)	$\leq 2^{35}$ bits					
Seed length (seedlen) for Hash_DRBG	440	440	440	888	888	
Maximum personalization string length (max_personalization_string_length)	$\leq 2^{35}$ bits					
Maximum additional_input length (max_additional_input_length)	$\leq 2^{35}$ bits					
max_number_of_bits_per_request	$\leq 2^{19}$ bits					
Number of requests between reseeds (reseed_interval)	≤ 2 <sup>48</sup>					

# 10.1.2 Hash\_DRBG

# 10.1.2.1 Discussion

Figure 7 presents the normal operation of the **Hash\_DRBG**. The **Hash\_DRBG** requires the use of a hash function during the instantiate, reseed and generate procedures; the same hash function **shall** be used in all procedures. The hash function to be used **shall** meet or exceed the desired security level of the consuming application.

Implementation validation testing and health testing are discussed in Sections 9.7 and 11.

# 10.1.2.2 Specifications

# 10.1.2.2.1 Hash\_DRBG Internal State

The internal\_state for Hash\_DRBG consists of:

- 1. The working\_state:
  - a. A value (V) that is updated during each call to the DRBG.
  - b. A constant C that depends on the seed.
  - c. A counter (*reseed\_counter*) that indicates the number of requests for pseudorandom bits since new *entropy\_input* was obtained during instantiation or reseeding.

### 2. Administrative information:

- a. The *security\_level* of the DRBG instantiation.
- b. A prediction\_resistance\_flag that indicates whether or not a prediction resistance capability is required for the DRBG.

The values of V and C are the critical values of the internal state upon which the security of this DRBG depends (i.e., V and C are the "secret values" of the internal state).

#### 10.1.2.2.2 Instantiation of Hash\_DRBG

Notes for the instantiate procedure:

The instantiation of Hash\_DRBG requires a call to the instantiate procedure specified in Section 9.2; step 8 of that procedure calls the instantiate algorithm in this section. For this DRBG, no DRBG\_specific\_input\_parameters are required for the instantiate procedure specified in Section 9.2 (i.e., step 5 should be omitted).

The values of highest\_supported\_security\_level and min\_entropy\_input\_length are provided in Table 3 of Section

| Counter | Coun

Figure 7: Hash\_DRBG

10.1.1. The contents of the internal state are provided in Section 10.1.2.2.1.

# The instantiate algorithm:

Let **Hash\_df** be the hash derivation function specified in Section 9.6.2 using the selected hash function. The output block length (*outlen*), seed length (*seedlen*) and appropriate *security\_levels* for the implemented hash function are provided in Table 3 of Section 10.1.1.

The following process or its equivalent **shall** be used as the instantiate algorithm for this DRBG (see step 8 in Section 9.2).

# Input:

- 1. entropy\_input: The string of bits obtained from the entropy input source.
- 2. *personalization\_string*: The personalization string received from the consuming application. If a *personalization\_string* will never be used, then steps 1 and 2 may be combined as follows:

 $seed = Hash\_df (entropy\_input, seedlen).$ 

#### Output:

 working\_state: The inital values for V, C and reseed\_counter (see Section 10.1.2.2.1).

#### **Process:**

- 1. seed\_material = entropy\_input || personalization\_string.
- 2. seed = **Hash\_df** (seed\_material, seedlen).
- 3. V = seed.
- 4.  $C = \mathbf{Hash\_df}((0x00 \parallel V), seedlen)$ . Comment: Preceed V with a byte of zeroes.
- 5.  $reseed\_counter = 1$ .
- 6. Return *V*, *C* and *reseed counter* as the *working state*.

# 10.1.2.2.3 Reseeding a Hash\_DRBG Instantiation

# Notes for the reseed procedure:

The reseeding of a **Hash\_DRBG** instantiation requires a call to the reseed precedure specified in Section 9.3; step 5 of that procedure calls the reseed algorithm specified in this section. The values for *min\_entropy\_input\_length* are provided in Table 3 of Section 10.1.1.

# The reseed algorithm:

Let **Hash\_df** be the hash derivation function specified in Section 9.6.2 using the selected hash function. The value for *seedlen* is provided in Table 3 of Section 10.1.1.

The following process or its equivalent **shall** be used as the reseed algorithm for this DRBG (see step 5 in Section 9.3):

# Input:

- 1. *working\_state*: The current values for *V*, *C* and *reseed\_counter* (see Section 10.1.2.2.1).
- 2. entropy\_input: The string of bits obtained from the entropy input source.
- 3. additional\_input: The additional input string received from the consuming application. If additional\_input will never be provided, then step 1 may be

modified to remove the additional\_input.

#### **Output:**

1. working\_state: The new values for V, C and reseed counter.

#### **Process:**

- 1.  $seed\_material = 0x01 \parallel V \parallel entropy\_input \parallel additional\_input$ .
- 2.  $seed = Hash\_df (seed\_material, seedlen)$ .
- 3. V = seed.
- 4.  $C = \mathbf{Hash\_df}(0x00 \parallel V)$ , seedlen). Comment: Preced with a byte of all
- 5.  $reseed\_counter = 1$ .
- 6. Return V, C and reseed counter as the new working state.

#### 10.1.2.2.4 Generating Pseudorandom Bits Using Hash\_DRBG

Notes for the generate procedure:

The generation of pseudorandom bits using a **Hash\_DRBG** instantiation requires a call to the generate procedure specified in Section 9.4; step 8 of that procedure calls the generate algorithm specified in this section. The values for *max\_number\_of\_bits\_per\_request* and *outlen* are provided in Table 3 of Section 10.1.1.

# The generate algorithm:

Let **Hash** be the selected hash function. The seed length (*seedlen*) and the maximum interval between reseeding (*reseed\_interval*) are provided in Table 3 of Section 10.1.1. Note that for this DRBG, the reseed counter is used to update the value of V as well as to count the number of generation requests.

The following process or its equivalent **shall** be used as the generate algorithm for this DRBG (see step 8 of Section 9.4):

# Input:

- 1. working\_state: The current values for *V*, *C* and reseed\_counter (see Section 10.1.2.2.1).
- 2. *requested\_number\_of\_bits*: The number of pseudorandom bits to be returned to the generate procedure.
- 3. *additional\_input*: The additional input string received from the consuming application. If *additional\_input* will never be provided, then step 2 may be amitted

# **Output:**

1. status: The status returned from the procedure. The status will indicate

**SUCCESS** or indicate that a reseed is required before the requested pseudorandom bits can be generated. In the latter case, either nothing but the reseed indication **shall** be returned as output, or a *Null* string **shall** be returned as the *returned\_bits* (see below).

- 2. returned\_bits: The pseudorandom bits to be returned to the generate procedure.
- 3. working\_state: The new values for V, C and reseed\_counter.

# **Process:**

- 1. If reseed\_counter > reseed\_interval, then return an indication that a reseed is required.
- 2. If  $(additional\_input \neq Null)$ , then do
  - 2.1 w =**Hash**  $(0x02 \parallel V \parallel additional_input).$
  - $2.2 V = (V + w) \mod 2^{seedlen}.$
- 3. returned\_bits = Hashgen (requested\_number\_of\_bits, V).
- 4.  $H = \text{Hash } (0x03 \parallel V)$ .
- 5.  $V = (V + H + C + reseed\_counter) \mod 2^{seedlen}$ .
- 6.  $reseed\_counter = reseed\_counter + 1$ .
- 7. Return **SUCCESS**, *returned\_bits*, and the new values of *V*, *C* and *reseed\_counter* for the new *working\_state*.

# Hashgen (...):

# Input:

- 1. requested\_no\_of\_bits: The number of bits to be returned.
- 2. V: The current value of V.

# **Output:**

1. returned\_bits: The generated bits to be returned to the generate function.

# **Process:**

1. 
$$m = \left\lceil \frac{requested\_no\_of\_bits}{outlen} \right\rceil$$
.

- 2. data = V.
- 3. W =the *Null* string.
- 4. For i = 1 to m
  - 4.1  $w_i$  = Hash (data).
  - $4.2 W = W || w_i$

# Hash\_DRBG

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- $4.3 \ data = (data + 1) \bmod 2^{seedlen}.$
- 5. returned\_bits = Leftmost (requested\_no\_of\_bits) bits of W.
- 6. Return returned\_bits.

### 10.1.3 HMAC\_DRBG (...)

### 10.1.3.1 Discussion

HMAC\_DRBG uses multiple occurrences of both an Approved keyed hash function and an Approved hash function. The same hash function **shall** be used throughout, both directly and as part of the keyed hash function. The hash function used **shall** meet or exceed the security requirements of the consuming application.

Figure 8 depicts the HMAC\_DRBG in stages. HMAC\_DRBG is specified using an internal function (Update). This function is called during the HMAC\_DRBG instantiate, generate and reseed algorithms to adjust the internal state when new entropy or additional input is provided. The operations in the top portion of the figure are only performed if non-null additional input is provided. Figure 9 depicts the Update function.

# 10.1.3.2 Specifications

# 10.1.3.2.1 HMAC\_DRBG Internal State

. The internal state for  ${\bf HMAC\_DRBG}$  consists of:

- 1. The working\_state:
  - a. The value *V*, which is updated each time another *outlen* bits of output are produced (where *outlen* is specified in Table 3 of Section 10.1.1).

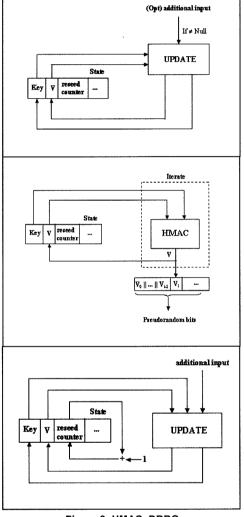


Figure 8: HMAC\_DRBG

- b. The *Key*, which is updated at least once each time that the DRBG generates pseudorandom bits.
- c. A counter (reseed\_counter) that indicates the number of requests for

pseudorandom bits since instantiation or reseeding.

- 2. Administrative information:
  - a. The *security\_level* of the DRBG instantiation.
  - A
     prediction\_resistance\_flag
     that indicates whether or
     not a prediction resistance
     capability is required for
     the DRBG.

The values of *V* and *Key* are the critical values of the internal state upon which the security of this DRBG depends (i.e., *V* and *Key* are the "secret values" of the internal state).

# 10.1.3.2.2 The Update Function (Update)

The **Update** function updates the internal state of **HMAC\_DRBG** using the *provided\_data*. Let **HMAC** be the keyed hash function specified in FIPS

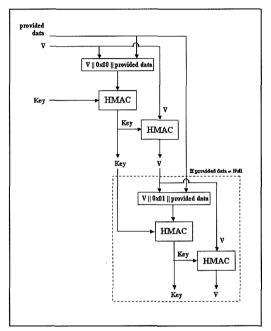


Figure 9: HMAC\_DRBG Update Function

 $198\ using the hash function selected for the DRBG from Table 3 in Section 10.1.1.$ 

The following or an equivalent process shall be used as the Update function.

# Input:

- 1. provided\_data: The data to be used.
- 2. K: The current value of Key.
- 3. V: The current value of V.

# **Output:**

- 1. K: The new value for Key.
- 2. V: The new value for V.

# **Process:**

- 1.  $K = \mathbf{HMAC}(K, V \parallel 0 \times 000 \parallel provided\_data)$ .
- 2.  $V = \mathbf{HMAC}(K, V)$ .
- 3. If  $(provided\_data = Null)$ , then return K and V.

 $(x_1, x_2, \dots, x_n) = (x_1, x_1, \dots, x_n) + (x_1, x$ 

- 4.  $K = HMAC (K, V || 0x01 || provided_data).$
- 5. V = HMAC(K, V).
- 6. Return K and V.

# 10.1.3.2.3 Instantiation of HMAC\_DRBG

Notes for the instantiate procedure:

The instantiation of **HMAC\_DRBG** requires a call to the instantiate procedure specified in Section 9.2; step 8 of that procedure calls the instantiate algorithm specified in this section. For this DRBG, no *DRBG\_specific\_input\_parameters* are required for the instantiate procedure specified in Section 9.2 (i.e., step 5 **should** be omitted). The values of *highest\_supported\_security\_level* and *min\_entropy\_input\_length* are provided in Table 3 of Section 10.1.1. The contents of the internal state are provided in Section 10.1.2.2.1.

#### The instantiate algorithm:

Let **Update** be the function specified in Section 10.1.3.2.2. The ouput block length (*outlen*) is provided in Table 3 of Section 10.1.1.

The following process or its equivalent **shall** be used as the instantiate algorithm for this DRBG (see step 8 of Section 9.2):

# Input:

- 1. entropy\_input: The string of bits obtained from the entropy input source.
- 2. *personalization\_string*: The personalization string received from the consuming application. If a *personalization\_string* will never be used, then step 1 may be omitted, and step 4 may be modified as follows:

Using *entropy\_input*, *Key* and *V*, obtain new values for *Key* and *V* from the **Update** function specified in Section 10.1.3.2.2.

# **Output:**

1. *working\_state*: The inital values for *V*, *Key* and *reseed\_counter* (see Section 10.1.3.2.1).

# **Process:**

- 1. seed\_material = entropy\_input || personalization\_string.
- 2.  $Key = 0x00 \ 00...00$ .

Comment: outlen bits.

3.  $V = 0x01 \ 01...01$ .

Comment: outlen bits.

- Comment: Update Key and V.
- 4. (Key, V) = Update (seed\_material, Key, V).
  5. reseed\_counter = 1.

6. Return V, Key and reseed\_counter as the initial working\_state.

### 10.1.3.2.4 Reseeding an HMAC\_DRBG Instantiation

Notes for the reseed procedure:

The reseeding of an **HMAC\_DRBG** instantiation requires a call to the reseed procedure specified in Section 9.3; step 5 of that procedure calls the reseed algorithm specified in this section. The values for *min\_entropy\_input\_length* are provided in Table 3 of Section 10.1.1.

### The reseed algorithm:

Let **Update** be the function specified in Section 10.1.3.2.2. The following process or its equivalent **shall** be used as the reseed algorithmn for this DRBG (see step 5 of Section 9.3):

#### Input

- 1. *working\_state*: The current values for *V*, *Key* and *reseed\_counter* (see Section 10.1.3.2.1).
- 2. entropy\_input: The string of bits obtained from the entropy input source.
- 3. *additional\_input*: The additional input string received from the consuming application. If *additional\_input* will never be used, then step 1 may be omitted, and step 2 may be modified as follows:

Using  $entropy\_input$ , Key and V, obtain new values for Key and V from the **Update** function specified in Section 10.1.3.2.2.

# Output:

1. working\_state: The new values for V, Key and reseed\_counter.

# Process:

- 1. seed\_material = entropy\_input || additional\_input.
- 2.  $(Key, V) = Update (seed\_material, Key, V)$ .
- 3.  $reseed\ counter = 1$ .
- 4. Return *V*, *Key* and *reseed\_counter* as the new *working\_state*.

# 10.1.3.2.5 Generating Pseudorandom Bits Using HMAC\_DRBG

Notes for the generate procedure:

The generation of pseudorandom bits using an **HMAC\_DRBG** instantiation requires a call to the generate procedure specified in Section 9.4; step 8 of that procedure calls the generate algorithm specified in this section. The values for <code>max\_number\_of\_bits\_per\_request</code> and <code>outlen</code> are provided in Table 3 of Section 10.1.1.

#### The generate algorithm:

Let **HMAC** be the keyed hash function specified in FIPS 198 using the hash function selected for the DRBG. The value for *reseed\_interval* is defined in Table 3 of Section 10.1.1.

The following process or its equivalent **shall** be used as the generate algorithm for this DRBG (see step 8 of Section 9.4):

#### Input:

- 1. working\_state: The current values for *V*, *Key* and *reseed\_counter* (see Section 10.1.3.2.1).
- 2. *requested\_number\_of\_bits*: The number of pseudorandom bits to be returned to the generate procedure.
- 3. additional\_input: The additional input string received from the consuming application. If an implementation will never use additional\_input, then step 2 may be omitted. If additional\_input is not provided (regardless of whether or not it will ever be provided), then a Null string shall be used as the additional\_input in step 6.

### **Output:**

- status: The status returned from the procedure. The status will indicate SUCCESS or indicate that a reseed is required before the requested pseudorandom bits can be generated. In the latter case, either nothing but the reseed indication shall be returned as output, or a Null string shall be returned as the returned\_bits (see below).
- 2. returned\_bits: The pseudorandom bits to be returned to the generate procedure.
- 3.  $working\_state$ : The new values for V, Key and  $reseed\_counter$ .

# **Process:**

- 1. If reseed\_counter > reseed\_interval, then return an indication that a reseed is required.
- 2. If additional\_input ≠ Null, then use additional\_input and the current values of Key and V to obtain new values for Key and V from the Update function specified in Section 10.1.3.2.2.
- 3. temp = Null.
- 4. While (len (temp) < requested\_number\_of\_bits) do:
  - 4.1  $V = \mathbf{HMAC} (Key, V)$ .
  - 4.2  $temp = temp \parallel V$ .
- 5. returned\_bits = Leftmost requested\_number\_of\_bits of temp.

# HMAC\_DRBG

- 6.  $(Key, V) = Update (additional\_input, Key, V)$ .
- 7.  $reseed\_counter = reseed\_counter + 1$ .
- 8. Return **SUCCESS**, *returned\_bits*, and the new values of *Key*, *V* and *reseed\_counter* as the *working\_state*).

### 10.2 DRBGs Based on Block Ciphers

#### 10.2.1 Discussion

A block cipher DRBG is based on a block cipher algorithm. The block cipher DRBGs specified in this Standard have been designed to use any Approved block cipher algorithm and may be used by applications requiring various levels of security, providing that the appropriate block cipher algorithm and key length are used and sufficient entropy is obtained for the seed. The following are provided as DRBGs based on block cipher algorithms:

- 1. The CTR\_DRBG specified in Section 10.2.2.
- 2. The **OFB DRBG** specified in Section 10.2.3.

Table 4 specifies the values that **shall** be used for the procedure envelopes and DRBG algorithm for each Approved block cipher algorithm.

The block cipher DRBGs may be implemented to use the block cipher derivation function specified in Section 9.6.3. However, these DRBGs are specified to allow an implementation tradeoff with respect to the use of this derivation function. If a source for full entropy input is always available to provide entropy input when requested, the use of the derivation function is optional; otherwise, the derivation functon shall be used. Table 4 provides the values for the minimum entropy 1) when a derivation function is used and 2) when full entropy is available and a derivation function is not used. In the latter case, the maximum length of the personalization string and additional input shall be seedlen bits. Otherwise, the maximum length may be any convenient length that is  $\leq 2^{35}$  bits.

Table 4: Definitions for Block Cipher- Based DRBGs

	3 Key TDEA	AES-128	AES-192	AES-256	
Supported security levels	112	112, 128	112, 128, 192	112, 128, 192, 256	
highest_supported_security_level	112	128	192	256	
Output block length (outlen)	64	128	128	128	
Key length (keylen)	168	128	192	256	
Required minimum entropy for instantiate when a derivation function is provided	security_level + 64				
Required minimum entropy for reseed when a derivation function is provided	security_level				

	3 Key TDEA	AES-128	AES-192	AES-256	
Required minimum entropy for instantiate and reseed when full entropy is available and a derivation function is not used (outlen + keylen)	232	256	320	384	
Minimum entropy input length (min_entropy_input_length)	min_entropy				
Maximum entropy input length (max_entropy_input_length)	$\leq 2^{35}$ bits				
Maximum personalization string length (max_personalization_string_length)	$\leq 2^{35}$ bits or seedlen				
Maximum additional_input length (max_additional_input_length)	$\leq 2^{35}$ bits or seedlen				
Seed length ( $seedlen = outlen + keylen$ )	232	256	320	384	
max_number_of_bits_per_request	$\leq 2^{13} \leq 2^{19}$				
Number of requests between reseeds (reseed_interval)	$\leq 2^{32} \leq 2^{48}$				

When using TDEA as the selected block cipher algorithm, the keys **shall** be handled as 64 bit blocks containing 56 bits of key and 8 bits of parity as specified for teh TDEA engine.

# 10.2.2 CTR\_DRBG

# 10.2.2.1 Discussion

CTR\_DRBG uses an Approved block cipher algorithm in the counter mode as specified in [SP 800-38A]. The same block cipher algorithm and key length shall be used for all block cipher operations. The block cipher algorithm and key length shall meet or exceed the security requirements of the consuming application. The values to be used for the implementation of this DRBG are specified in Table 4 of Section 10.2.1.

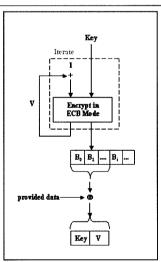


Figure 10: CTR\_DRBG Update

CTR\_DRBG is specified using an internal function (Update). Figure 10 depicts the Update function. This function is called by the instantiate, generate and reseed algorithms to adjust the internal state when new entropy or additional input is provided. Figure 11 depicts the CTR\_DRBG in three stages. The operations in the top portion of the figure are only performed if non-null additional input is provided.

### 10.2.2.2 Specifications

#### 10.2.2.2.1 CTR\_DRBG Internal State

The internal state for CTR\_DRBG consists of:

- 1. The working\_state:
  - a. The value V, which is updated each time another *outlen* bits of output are produced (see Table 4 in Section 10.2.1).
  - b. The *Key*, which is updated whenever a predetermined number of output blocks are generated.
  - c. A counter (reseed\_counter) that indicates the number of requests for pseudorandom bits since instantiation or reseeding.
- 2. Administrative information:
  - a. The *security\_level* of the DRBG instantiation.
  - b. A prediction\_resistance\_flag that indicates whether or not a prediction resistance capability is required for the DRBG.

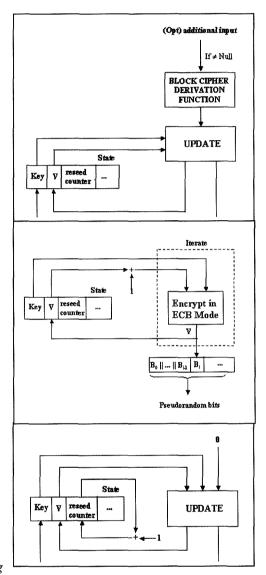


Figure 11: CTR\_DRBG

The values of V and Key are the critical values of the internal state upon which the security of this DRBG depends (i.e., V and Key are the "secret values" of the internal state).

#### 10.2.2.2.2 The Update Function (Update)

The **Update** function updates the internal state of the **CTR\_DRBG** using the *provided\_data*. Let **ECB\_ENCRYPT** be an encryption using the selected block cipher algorithm in the ECB mode. The values for *outlen*, *keylen* and *seedlen* are provided in Table 4 of Section 10.2.1. The block cipher operation in step 3.2 uses the selected block cipher algorithm.

The following or an equivalent process shall be used as the Update function.

#### Input

- 1. provided\_data: The data to be used.
- 2. Key: The current value of Key.
- 3. V: The current value of V.

#### **Output:**

- 1. K: The new value for Key.
- 2. V: The new value for V.

# **Process:**

- 1. temp = Null.
- 3. While (len (temp) < seedlen) do
  - 3.1  $V = (V+1) \mod 2^{outlen}$ .
  - 3.2  $output\_block = ECB\_Encrypt (Key, V)$ .
  - 3.3  $temp = temp \parallel ouput\_block$ .
- 4. temp = Leftmost seedlen bits of temp.
- 5  $temp = temp \oplus provided\_data$ .
- 6. Key = Leftmost keylen bits of temp.
- 7. V =Rightmost *outlen* bits of *temp*.
- 8. Return the new values of Key and V.

# 10.2.2.2.3 Instantiation of CTR\_DRBG

Notes for the instantiate procedure:

The instantiation of CTR\_DRBG requires a call to the instantiate procedure specified in Section 9.2; step 8 of that procedure calls the instantiate algorithm specified in this

section. For this DRBG, no *DRBG\_specific\_input\_parameters* are required for the instantiate procedure specified in Section 9.2 (i.e., step 5 **should** be omitted). The values of *highest\_supported\_security\_level* and *min\_entropy\_input\_length* are provided in Table 4 of Section 10.2.1. The contents of the internal state are provided in Section 10.2.2.2.1.

# The instantiate algorithm:

Let **Update** be the function specified in Section 10.2.2.2.2, and let **Block\_Cipher\_df** be the derivation function specified in Section 9.6.3 using the chosen block cipher algorithm and key size. The output block length (*outlen*), key length (*keylen*), seed length (*seedlen*) and *security\_levels* for the block cipher algorithms are provided in Table 4 of Section 10.2.1.

The following process or its equivalent shall be used as the instantiate algorithm for this DRBG:

#### Input:

- 1. entropy\_input: The string of bits obtained from the entropy input source.
- 2. *personalization\_string*: The personalization string received from the consuming application.

#### **Output:**

1. working\_state: The inital values for V, Key and reseed\_counter (see Section 10.2.2.2.1).

# **Process:**

1.

Comment: If a block cipher derivation function is available (a source of full entropy may or may not be available).

- 1.1 seed\_material = entropy\_input || personalization\_string.
- 1.2 seed\_material = Block\_Cipher\_df (seed\_material, seedlen).

Comment: If a full entropy source is known to be available and a derivation function is not used.

- 1.1 temp = len (personalization\_string).
- 1.2 If temp > seedlen, then return an ERROR.
- 1.3 If (temp < seedlen), then personalization\_string = personalization\_string  $\parallel$  0<sup>seedlen-temp</sup>.
- 1.4 seed\_material = entropy\_input ⊕ personalization\_string.
- 2.  $Key = 0^{keylen}$ . Comment: keylen bits of zeros.

3.  $V = 0^{outlen}$ .

- Comment: outlen bits of zeros.
- 4.  $(Key, V) = Update (seed\_material, Key, V)$ .
- 5.  $reseed\_counter = 1$ .
- 6. Return V, Key and reseed\_counter as the working\_state.

#### Implementation notes:

- 1. The decision for the substeps to be used at step depends on whether the implementation has full entropy and is using the derivation function (see the comments).
- 2. If a *personalization\_string* will never be provided from the instantiate procedure and a derivation function will be used, then step 1 becomes:
  - seed\_material = Block\_Cipher\_df (entropy\_input, seedlen).
- 3. If a *personalization\_string* will never be provided from the instantiate procedure, a full entropy source will be available and a derivation function will not be used, then step 1 may be omitted, and step 4 may be modified to:

Obtain new values of *Key* and *V* by calling the **Update** function using *entropy\_input*, *Key*, and *V*.

# 10.2.2.2.4 Reseeding a CTR\_DRBG Instantiation

# Notes for the reseed procedure:

The reseeding of a CTR\_DRBG instantiation requires a call to the reseed procedure specified in Section 9.3; step 5 of that procedure calls the reseed algorithm specified in this section. The values for *min\_entropy\_input\_length* are provided in Table 4 of Section 10.2.1.

# The reseed algorithm:

Let **Update** be the function specified in Section 10.2.2.2.2, and let **Block\_Cipher\_df** be the derivation function specified in Section 9.6.3 using the chosen block cipher algorithm and key size. The seed length (*seedlen*) is provided in Table 4 of Section 10.2.1.

The following process or its equivalent shall be used as the reseed algorithm for this DRBG (see step 5 of Section 9.3):

# Input:

- 1. working\_state: The current values for V, Key and reseed\_counter (see Section 10.2.2.2.1).
- 2. entropy\_input: The string of bits obtained from the entropy input source.
- 3. additional\_input: The additional input string received from the consuming

application.

# Output:

1. working\_state: The new values for V, Key and reseed\_counter.

#### **Process:**

1.

Comment: If a block cipher derivation function is available (a source of full entropy may or may not be available).

- 1.1 seed\_material = entropy\_input || additional\_input.
- 1.2 seed\_material = Block\_Cipher\_df (seed\_material, seedlen).

Comment: If a full entropy source is known to be available and a derivation function is not to be used.

- 1.1  $temp = len (additional\_input)$ .
- 1.2 If (temp < seedlen), then  $additional\_input = additional\_input || <math>0^{seedlen-temp}$ .
- 1.3  $seed\_material = entropy\_input \oplus additional\_input$ .
- 2.  $(Key, V) = Update (seed\_material, Key, V)$ .
- 3.  $reseed\_counter = 1$ .
- 4. Return V, Key and reseed\_counter as the working state.

# Implementation notes:

- 1. The decision for the substeps to be used at step 1 depends on whether the implementation has full entropy and is using the derivation function (see the comments).
- 2. If additional\_input will never be provided from the reseed procedure and a derivation function will be used, then step 1 becomes:

seed\_material = Block\_Cipher\_df (entropy\_input, seedlen).

3. If *additional\_input* will never be provided from the reseed procedure, a full entropy source will be available and a derivation function will not be used, then step 1 may be omitted, and step 2 may be modified to:

Obtain new values of Key and V by calling the **Update** function using  $entropy\_input$ , Key, and V.

# 10.2.2.2.5 Generating Pseudorandom Bits Using CTR\_DRBG

Notes for the generate procedure:

The generation of pseudorandom bits using a CTR\_DRBG instantiation requires a call to the generate procedure specified in Section 9.4 step 8 of that procedure calls the generate algorithm specified in this section. The values for <code>max\_number\_of\_bits\_per\_request</code> and <code>outlen</code> are provided in Table 4 of Section 10.2.1. If the derivation function is not used, then the maximum allowed length of <code>additional input = seedlen</code>.

The following process or its equivalent shall be used as the generate algorithm for this DRBG (see step 8 of Section 9.4):

Let Block\_Cipher\_df be the derivation function specified in Section 9.6.3, let ECB\_Encrypt be an ECB encryption using the selected block cipher algorithm in the ECB mode, and let Update be the function specified in Section 10.2.2.2.2 using the chosen block cipher algorithm and key size. The seed length (seedlen) and the value of reseed\_interval are provided in Table 4 of Section 10.2.1. Step 4.2 below uses the selected block cipher algorithm.

The following process or its equivalent shall be used as generate algorithm for this DRBG (see step 8 of Section 9.4):

#### Input:

- 1. working\_state: The current values for V, Key and reseed\_counter (see Section 10.2.2.2.1)
- 2. requested\_number\_of\_bits: The number of pseudorandom bits to be returned to the generate procedure.
- 3. *additional\_input*: The additional input string received from the consuming application. If *additional\_input* will never be provided, then step 2 may be omitted.

# Output:

- status: The status returned from the procedure. The status will indicate SUCCESS, indicate that a reseed is required before the requested pseudorandom bits can be generated, or indicate that the additional\_input is too long. If SUCCESS is not returned, either nothing but the reseed indication shall be returned as output, or a Null string shall be returned as the returned\_bits (see below).
- 2. returned bits: The pseudorandom bits returned to the generate procedure.
- 3. working\_state: The new values for V, Key and reseed\_counter.

# **Process:**

- If reseed\_counter > reseed\_interval, then return an indication that a reseed is required.
- 2. If  $(additional\_input \neq Null)$ , then

Comment: If the length of the *additional input* is > *seedlen*, derive *seedlen* bits.

2.1  $temp = len (additional\_input)$ .

Comment: If a block cipher derivation function is used:

2.2 If (temp > seedlen), then additional\_input = Block\_Cipher\_df (additional\_input, seedlen).

Comment: If the length of the additional\_input is < seedlen, pad with zeros to seedlen bits.

- 2.3 If (temp < seedlen), then  $additional\_input = additional\_input || 0<sup>seedlen</sup>-temp$
- 2.4  $(Key, V) = Update (additional\_input, Key, V)$ .
- 3. temp = Null.
- 4. While (len (temp) < requested\_number\_of\_bits) do:
  - 4.1  $V = (V + 1) \mod 2^{outlen}$ .
  - 4.2 output\_block = **ECB\_Encrypt** (Key, V).
  - 4.3  $temp = temp \parallel ouput\_block$ .
- $5. \ \ \textit{returned\_bits} = Leftmost \ \textit{requested\_number\_of\_bits} \ of \ \textit{temp}.$

Comment: Update for backtracking resistance.

- 6.  $zeros = 0^{seedlen}$ .
- Comment: Produce a string of seedlen zeros.
- 7. (Key, V) = Update(zeros, Key, V).
- 8. reseed\_counter = reseed\_counter + 1.
- 9. Return SUCCESS and returned\_bits; also return Key, V and reseed\_counter as the new working\_state.

### 10.2.3 OFB\_DRBG

### 10.2.3.1 Discussion

OFB\_DRBG uses an Approved block cipher algorithm in the output feedback mode as specified in [SP 800-38A]. The same block cipher algorithm and key length shall be used for all block cipher operations. The block cipher algorithm and key length shall meet or exceed the security requirements of the consuming application. The values to be used for the implementation of this DRBG are specified in Table 4 in Section 10.2.1.

OFB\_DRBG is specified using an internal function (Update). Figure 12 depicts the OFB\_DRBG in three stages. The operations in the top portion of the figure are only performed if non-null additional input is provided. Figure 13 depicts the Update function. This function is called by the instantiate, generate and reseed algorithms to adjust the internal state when new entropy or additional input is provided. Note that OFB\_DRBG is basically the same as CTR\_DRBG, except that the block cipher

# 10.2.3.2 Specifications

# 10.2.3.2.1 OFB\_DRBG Internal State

The internal state for **OFB\_DRBG** consists of:

1. The working\_state:

mode is OFB rather than CTR.

- a. The value *V*, which is updated each time another *outlen* bits of output are produced.
- b. The *Key*, which is updated whenever a predetermined number of output blocks are generated.
- c. A counter (reseed\_counter) that indicates the number of requests for pseudorandom bits since instantiation or reseeding.

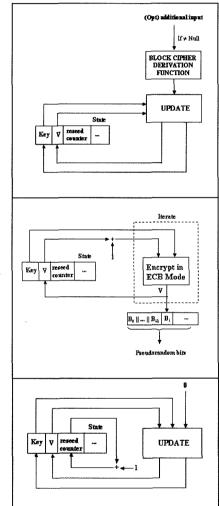


Figure 12: OFB\_DRBG

- 2. Administrative information:
  - a. The security *strength* of the DRBG instantiation.
  - b. A *prediction\_resistance\_flag* that indicates whether or not a prediction resistance capability is required for the DRBG.

The values of *V* and *Key* are the critical values of the internal state upon which the security of this DRBG depends (i.e., *V* and *Key* are the "secret values" of the internal state).

# 10.2.3.2.2 The Update Function(Update)

The **Update** function updates the internal state of the **OFB\_DRBG** using the *provided\_data*. The values for *outlen*, *keylen* and *seedlen* are provided in Table 4 of Section 10.2.1. The block cipher operation in step 2.1 uses the selected block cipher algorithm and key size.

The following or an equivalent process **shall** be used as the **Update** function.

# Input:

- 1. provided\_data: The data to be used.
- 2. Key: The current value of Key.
- 3. V: The current value of V.

# Output:

- 1. K: The new value for Key.
- 2. V: The new value for V.

# Process:

- 1. temp = Null.
- 2. While (len (temp) < seedlen) do
  - 2.1  $V = ECB\_Encrypt (Key, V)$ .
  - 2.2  $temp = temp \parallel V$ .
- 3. temp = Leftmost seedlen bits of temp.
- 4  $temp = temp \oplus provided\_data$ .
- 5. Key = Leftmost keylen bits of temp.

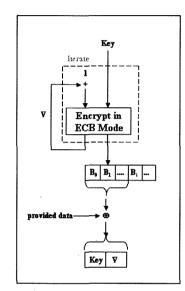


Figure 13: OFB\_DRBG Update

- 6. V =Rightmost *outlen* bits of *temp*.
- 7. Return the new values of Key and V.

# 10.2.3.2.3 Instantiation of OFB\_DRBG (...)

This process is the same as the instantiation process for CTR\_DRBG in Section 10.2.2.2.3, except that the **Update** function to be used is specified in Section 10.2.3.2.2.

# 10.2.3.2.4 Reseeding an OFB\_DRBG Instantiation

This process is the same as the reseeding process for CTR\_DRBG in Section 10.2.2.2.4, except that the Update function to be used is specified in Section 10.2.3.2.2

# 10.2.3.2.5 Generating Pseudorandom Bits Using OFB\_DRBG

This process is the same as the generation process for CTR\_DRBG in Section 10.2.2.2.5, except that the **Update** function to be used is specified in Section 10.2.3.2.2 and step 4 **shall** be as follows:

- 4. While (len (temp) < requested\_number\_of\_bit) do:
  - 4.1  $V = ECB\_Encrypt (Key, V)$ .
  - 4.2  $temp = temp \parallel V$ .

and the control of the

### 10.3 Deterministic RBGs Based on Number Theoretic Problems

#### 10.3.1 Discussion

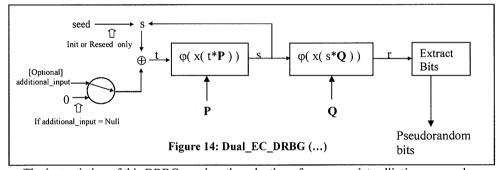
A DRBG can be designed to take advantage of number theoretic problems (e.g., the discrete logarithm problem). If done correctly, such a generator's properties of randomness and/or unpredictability will be assured by the difficulty of finding a solution to that problem. Section 10.3.2 specifies a DRBG based on the elliptic curve discrete logarithm problem; Section 10.3.3 specifies a DRBG based on a problem related to the RSA problem of finding roots modulo a composite integer.

# 10.3.2 Dual Elliptic Curve Deterministic RBG (Dual\_EC\_DRBG)

### 10.3.2.1 Discussion

**Dual\_EC\_DRBG** is based on the following hard problem, sometimes known as the "elliptic curve discrete logarithm problem" (ECDLP): given points P and Q on an elliptic curve of order n, find a such that Q = aP.

**Dual\_EC\_DRBG** uses a seed that is m bits in length (i.e., seedlen = m) to initiate the generation of *outlen*-bit pseudorandom strings by performing scalar multiplications on two points in an elliptic curve group, where the curve is defined over a field approximately  $2^m$  in size. For all the NIST curves given in this Standard,  $m \ge 163$ . Figure 14 depicts the **Dual\_EC\_DRBG**.



The instantiation of this DRBG requires the selection of an appropriate elliptic curve and curve points specified in Annex A.1 for the desired security level. The *seed* used to determine the initial value (s) of the DRBG **shall** have entropy that is at least *security\_level* + 64 bits. Further requirements for the *seed* are provided in Section 8.4.

Backtracking resistance is inherent in the algorithm, even if the internal state is compromised. As shown in Figure 15, **Dual\_EC\_DRBG** generates a *seedlen*-bit number for each step i = 1,2,3,..., as follows:

$$S_i = \varphi(x(S_{i-1} * P))$$

 $R_i = \varphi(x(S_i * Q)).$ 

Each arrow in the figure represents an Elliptic Curve scalar multiplication operation, followed by the extraction of the x coordinate for the resulting point and for the random output  $R_{i}$ , and by truncation to produce the output. Following a line in the direction of the arrow is the normal operation; inverting the direction implies the ability to solve the ECDLP for that specific curve. An adversary's ability to invert an arrow in the figure implies that the adversary has solved the ECDLP for that specific elliptic curve. Backtracking resistence is built into the design, as knowledge of  $S_1$  does not allow an adversary to

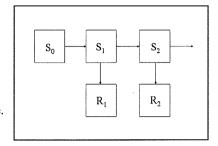


Figure 15: Dual\_EC\_DRBG (...)
Backtracking Resistance

determine  $S_0$  (and so forth) unless the adversary is able to solve the ECDLP for that specific curve. In addition, knowledge of  $R_1$  does not allow an adversary to determine  $S_1$  (and so forth) unless the adversary is able to solve the ECDLP for that specific curve.

Table 5 specifies the values that **shall** be used for the envelope and algorithm for each curve. Complete specifications for each curve are provided in Annex A.1.

Table 5: Definitions for the Dual\_EC\_DRBG

	P-224	B-233	K-233	P-256	B-283	K-283
Supported security levels	112	112	112	112, 128	112, 128	112, 128
highest_supported_ security_level	112	112	112	128	128	128
Output block length (outlen = smallest multiple of 8 larger than seedlen - (13 + log <sub>2</sub> (the cofactor))	208	216	216	240	264	264
Required minimum entropy for instantiate	176	176	176	192	192	192
Required minimum entropy for reseed	112	112	112	128	128	128
Minimum entropy input length (min_entropy_input_length = 8 × seedlen/8)	224	240	240	256	288	288
Maximum entropy input length (max_entropy_input_length)	$\leq 2^{13}$ bits					
Maximum personalization string length (max_personalization_string_length)	$\leq 2^{13}$ bits					
I						-

Comment [ebb2]: Page: 78 Why can't this be min\_entropy?

	P-224	B-233	K-233	P-256	B-283	K-283
Maximum additional_input length (max_additional_input_length)			≤ 2 <sup>13</sup>	<sup>3</sup> bits	1	I
Seed length (seedlen = $m$ )	224	233	233	256	283	283
Appropriate hash functions	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512					
max_number_of_bits_per_request	outlen × reseed_interval					
Number of blocks between reseeding (reseed_interval)	≤ 10,000					

THE STATE OF THE S	P-384	B-409	K-409	P-521	B-571	K-571
Supported security levels	112, 128, 192			112, 128, 192, 256		
highest_supported_ security_level	192			256		
Output block length (outlen = smallest multiple of 8 larger than seedlen - (13 + log <sub>2</sub> (the cofactor))	368	392	392	504	552	552
Required minimum entropy for instantiate	256			320		
Required minimum entropy for reseed	192			256		
Minimum entropy input length $(min\_entropy\_input\_length = 8 \times \lceil seedlen/8 \rceil)$	384	416	416	528	576	576
Maximum entropy input length (max_entropy_input_length)	$\leq 2^{13}$ bits					
Maximum personalization string length (max_personalization_string_length)	≤ 2 <sup>13</sup> bits					
Maximum additional_input length (max_additional_input_length)	$\leq 2^{13}$ bits					
Seed length ( $seedlen = m$ )	384	409	409	521	571	571
Appropriate hash functions	SHA-224, SHA-256, SHA- 384, SHA-512 SHA-256, SHA-384, SI 512			4, SHA-		
max_number_of_bits_per_request	outlen × reseed_interval					
Number of blocks between reseeding (reseed_interval)	≤ 10,000					

Validation and Operational testing are discussed in Section 11. Detected errors **shall** result in a transition to the error state.

### 10.3.2.2 Specifications

# 10.3.2.2.1 Dual\_EC\_DRBG Internal State and Other Specification Details

The internal state for **Dual\_EC\_DRBG** consists of:

- 1. The working\_state:
  - a. A value (s) that determines the current position on the curve.
  - b. The elliptic curve domain parameters (curve\_type, seedlen, p, a, b, n), where curve\_type indicates a prime field F<sub>p</sub>, or a pseudorandom or Koblitz curve over the binary field F<sub>2</sub><sup>m</sup>; seedlen is the length of the seed; a and b are two field elements that define the equation of the curve, and n is the order of the point G. If only one curve will be used by an implementation, these parameters need not be present in the working\_state. If only one type of curve is implemented, the curve\_type parameter may be omitted.
  - c. Two points *P* and *Q* on the curve; the generating point *G* specified in FIPS 186-3 for the chosen curve will be used as *P*. If only one curve will be used by an implementation, these points need not be present in the *working\_state*.
  - d. A counter (*reseed\_counter*) that indicates the number of blocks of random produced by the **Dual\_EC\_DRBG** since the initial seeding or the previous reseeding.
- 2. Administrative information:
  - a. The security\_level provided by the instance of the DRBG,
  - b. A *prediction\_resistance\_flag* that indicates whether prediction resistance is required by the DRBG, and

The value of s is the critical value of the internal state upon which the security of this DRBG depends (i.e., s is the "secret value" of the internal state).

# 10.3.2.2.2 Instantiation of Dual\_EC\_DRBG

Notes for the instantiate procedure:

The instantiation of <code>Dual\_EC\_DRBG</code> requires a call to the instantiate procedure specified in Section 9.2; step 8 of that procedure calls the instantiate algorithm in this section. For this DRBG, a DRBG-specific input parameter of <code>requested\_curve\_type</code> is optional (see the definition for <code>curve\_type</code> in Section 10.3.2.2.1). If only one type of curve is available, then this parameter may be omitted. If multiple types are available, then a <code>Prime\_field\_curve</code> will be selected if the parameter is omitted; if a

*Prime\_field\_curve* is not available, then a *Random\_binary\_curve* will be selected.

In step 5 of the instantiate procedure, the following step **shall** be performed to select an appropriate curve if multiple curves are available.

- 5. Using *requested\_curve\_type* (if provided), the *security\_level* and Table 5 in Section 10.3.2.1, select the smallest available curve that has a security level ≥ *security\_level*.
  - 5.1 If requested\_curve\_type is indicated, then select a curve of that type. If no suitable curve of that type is available for the requested\_security\_level, then return an **ERROR**.
  - 5.2 If a curve type is not requested, then select an appropriate Prime\_field\_curve if a suitable curve is available. If no suitable Prime\_field curve is available, then select a Random\_binary\_curve if a suitable curve is available. If no suitable Random\_binary\_curve is available, then select a Koblitz\_curve. If no suitable Koblitz\_curve is available, then return an ERROR.

The values for curve\_type, seedlen, p, a, b, n, P, Q are determined by that curve.

The values for *highest\_supported\_security\_level* and *min\_entropy\_input\_length* are determined by the selected curve (see Table 5 in Section 10.3.2.1).

# The instantiate algorithm:

Let **Hash\_df** be the hash derivation function specified in Section 9.6.2 using an appropriate hash function from Table 5 in Section 10.3.2.1. Let *seedlen* be the appropriate value from Table 5.

The following process or its equivalent **shall** be used as the instantiate algorithm for this DRBG (see step 8 of Section 9.2):

# Input:

- 1. entropy\_input: The string of bits obtained from the entropy input source.
- 2. *personalization\_string*: The personalization string received from the consuming application.

# **Output:**

- 1. s: The 9initial secret value for the working\_state.
- 2. reseed\_counter: The initialized reseed counter.

# **Process:**

1. seed\_material = entropy\_input || personalization\_string.

Comment: Use a hash function to ensure that the entropy is distributed throughout the bits,

and s is m (i.e., seedlen) bits in length.

2.  $s = \mathbf{Hash\_df}$  (seed\_material, seedlen).

Comment: Save all state information.

- 3.  $reseed\_counter = 0$ .
- 4. Return s and reseed\_counter for the working\_state.

### Implementation notes:

If an implementation never uses a *personalization\_string*, then steps 1 and 2 may be combined as follows:

 $s = \mathbf{Hash\_df}$  (entropy\_input, seedlen).

# 10.3.2.2.3 Reseeding of a Dual\_EC\_DRBG Instantiation

Notes for the reseed procedure:

The reseed of **Dual\_EC\_DRBG** requires a call to the reseed procedure specified in Section 9.3; step 5 of that procedure calls the reseed algorithm in this section. The values for *min\_entropy\_input\_length* are provided in Table 5 of Section 10.3.2.1.

# The reseed algorithm:

Let **Hash\_df** be the hash derivation function specified in Section 9.6.2 using an appropriate hash function from Table 5 in Section 10.3.2.1.

The following process or its equivalent **shall** be used to reseed the **Dual\_EC\_DRBG** process after it has been instantiated (see step 5 in Section 9.3):

# Input:

- 1. s: The current value of the secret parameter in the working\_state.
- 2. entropy\_input: The string of bits obtained from the entropy input source.
- 3. *additional\_input*: The additional input string received from the consuming application.

# **Output:**

- 1. s: The new value of the secret parameter in the working\_state.
- 2. reseed\_counter: The re-initialized reseed counter.

# **Process:**

Comment: **pad8** returns a copy of *s* padded on the right with binary 0's, if necessary, to a multiple of 8.

1.  $seed\_material = pad8 (s) \parallel entropy\_input \parallel additional\_input\_string.$ 

- 2.  $s = \mathbf{Hash\_df}$  (seed\_material, seedlen).
- 3.  $reseed\_counter = 0$ .
- 4. Return s and reseed\_counter for the new working\_state.

# Implementation notes:

If an implementation never allows *additional\_input*, then step 1 may be modified as follows:

 $seed\_material = pad8(s) \parallel entropy\_input.$ 

# 10.3.2.2.4 Generating Pseudorandom Bits Using Dual\_EC\_DRBG

Notes for the generate procedure:

The generation of pseudorandom bits using a **Dual\_EC\_DRBG** instantiation requires a call to the generate procedure specified in Section 9.4; step 8 of that procedure calls the generate algorithm specified in this section. The values for *max\_number\_of\_bits\_per\_request* and *outlen* are provided in Table 4 of Section 10.2.1.

The generate algorithm:

Let **Hash\_df** be the hash derivation function specified in Section 9.6.2 using an appropriate hash function from Table 5 in Section 10.3.2.1. The value of *reseed\_interval* is also provided in Table 5.

The following are used by the generate algorithm:

- a. **pad8** (bitstring) returns a copy of the *bitstring* padded on the right with binary 0's, if necessary, to a multiple of 8.
- b. **Truncate** (bitstring, in\_len, out\_len) inputs a bitstring of in\_len bits, returning a string consisting of the leftmost out\_len bits of bitstring. If in\_len < out\_len, the bitstring is padded on the right with (out\_len in\_len) zeroes, and the result is returned.
- c. x(A) is the x-coordinate of the point A on the curve.
- d.  $\varphi(x)$  maps field elements to non-negative integers, taking the bit vector representation of a field element and interpreting it as the binary expansion of an integer. Section 10.3.2.2.4 has the details of this mapping.

The precise definition of  $\varphi(x)$  used in steps 6 and 7 below depends on the field representation of the curve points. In keeping with the convention of FIPS 186-2, the following elements will be associated with each other (note that m = seedlen):

B:  $|c_{m-1}|c_{m-2}| \dots |c_1|c_0|$ , a bitstring, with  $c_{m-1}$  being leftmost

$$Z: c_{m-1}2^{m-1} + \ldots + c_22^2 + c_12^1 + c_0 \in Z;$$

Fa: 
$$c_{m-1}2^{m-1} + \ldots + c_22^2 + c_12^1 + c_0 \mod p \in GF(p)$$
;

Fb:  $c_{m\text{-}1}t^{m\text{-}1}\oplus\ldots\oplus c_2t^2\oplus c_1t\oplus c_0\in GF(2^m$  ) , when a polynomial basis is used;

is used; 
$$Fc: c_{m-1}\beta \oplus c_{m-2}\beta^2 \oplus c_{m-3}\beta^{2^2} \oplus \ldots \oplus c_0\beta^{2^{m-1}} \in GF(2^m), \text{ when a normal basis is used.}$$

Thus, any field element x of the form Fa, Fb or Fc will be converted to the integer Z or bitstring B, and vice versa, as appropriate.

e. \* is the symbol representing scalar multiplication of a point on the curve.

The following process or its equivalent **shall** be used to generate pseudorandom bits (see step 8 in Section 9.4):

### Input:

- 1. working\_state: The current values for s, curve\_type, seedlen, p, a, b, n, P, Q and reseed\_counter (see Section 10.1.3.2.1).
- 2. requested\_number\_of\_bits: The number of pseudorandom bits to be returned to the generate proceduree.
- 3. *additional\_input*: The additional input string received from the consuming application.

# **Output:**

- status: The status returned from theprocedure. The status will indicate SUCCESS or indicate that a reseed is required before the requested pseudorandom bits can be generated. In the latter case, either nothing but the reseed indication shall be returned as output, or a Null string shall be returned as the returned\_bits (see below).
- 2. returned\_bits: The pseudorandom bits to be returned to the generate procedure.
- 3. s: The new value for the secret parameter in the working\_state.
- 4. reseed\_counter: The updated reseed counter.

# Process:

Comment: Check whether a reseed is required.

$$1. \quad \text{If} \left( reseed\_counter + \left\lceil \frac{requested\_number\_of\_bits}{outlen} \right\rceil \right) > reseed\_int\ erval\ ,$$

then return an indication that a reseed is required.

Comment: If additional\_input is Null, set to seedlen zeroes; otherwise, **Hash\_df** to

### seedlen bits.

2. If (additional\_input\_string = Null), then additional\_input = 0

Else additional\_input = Hash\_df (pad8 (additional\_input\_string), seedlen).

Comment: Produce requested\_no\_of\_bits, outlen bits at a time:

- 3. temp = the Null string.
- 4 i = 0.
- 5.  $t = s \oplus additional\_input$ .
- 6.  $s = \varphi(x(t * P))$ .

Comment: *t* is to be interpreted as a *seedlen*-bit unsigned integer. To be precise, when *curve\_type = Prime\_field\_curve*, *t* should be reduced mod *n*; the operation \* will effect this. *s* is a *seedlen*-bit number.

- 7.  $r = \varphi(x(s * Q))$ .
- Comment: r is a seedlen-bit number.
- 8.  $temp = temp \parallel (\mathbf{rightmost} \ outlen \ \mathrm{bits} \ \mathrm{of} \ r).$
- $9. \ additional\_input = 0$

Comment: *seedlen* zeroes; *additional\_input\_string* is added only on the first iteration.

- $10. reseed\_counter = reseed\_counter + 1.$
- 11. i = i + 1.
- 12. If  $(len (temp) < requested\_number\_of\_bits)$ , then go to step 5.
- 13  $returned\_bits = Truncate (temp, i \times outlen, requested\_number\_of\_bits).$
- 14. Return **SUCCESS**, *returned\_bits*, and *s* and *reseed\_counter* for the *working\_state*.

# 10.3.3 Micali-Schnorr Deterministic RBG (MS\_DRBG)

### 10.3.3.1 Discussion

The MS\_DRBG generalizes the RSA generator, which is defined as follows: Let  $\mathbf{gcd}(x, y)$  denote the greatest common divisor of the integers x and y, and  $\phi(n)$  represent the Euler phi function<sup>2</sup>. Select n, the product of two distinct large primes, and e, a positive integer such that  $\mathbf{gcd}(e, \phi(n)) = 1$ . Define  $\mathbf{f}(y) = y^e \mod n$ . Starting with a seed  $y_0$ , form the sequence  $y_{i+1} = \mathbf{f}(y_i)$ , and output the string consisting of the lg lg (n) least significant bits of each  $y_i$ . These bits are known to be as secure as the RSA function f, and are commonly referred to as the hard bits.

The Micali-Schnorr generator **MS\_DRBG** uses the same e and n as the RSA generator, but produces many more random bits per iteration and eliminates the overlap between the state sequence and the output bits. Each  $y_i \in [0, n)$  is viewed as the concatenation  $s_i \parallel z_i$  of an r-bit number  $s_i$  and a  $k = \lg(n)$ -r bit number  $z_i$ . The  $s_i$  are used to propagate the integer sequence  $y_{i+1} = s_i^e \mod n$ ; the  $z_i$  are output as random bits. r must be at least  $2*\min\{security\_level, \lg(n)/e\}$ , where  $security\_level$  is the desired security level of the generator, and  $e \ge |\beta|$  (See Section 10.3.3.2.2). A random r-bit  $seed s_0$  is used to initialize the process.

Figure 16 depicts the MS\_DRBG. Under the proper assumption, the MS\_DRBG is an example of a cryptographically secure generator, i.e., one that passes all polynomial-time statistical tests. The assumption is that sequences of the form  $s^e \mod n$  are statistically the same as sequences of integers in  $\mathbb{Z}_n$ . This assumption is stronger than requiring the intractability of the RSA problem. See [1] for a discussion of these concepts and references

Comment [ebb3]: Page: 86
Do we want this ro be a larger number. For DSS, 16,537 <  $e < (2^{n \log 2^2} - 1)$ , where nien is the length of n, and s is the security level.

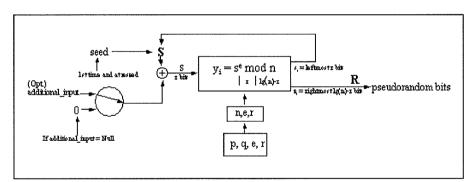


Figure 16: MS\_DRBG

to further details.

<sup>&</sup>lt;sup>2</sup> The Euler phi function:  $\phi(n)$  = the number of positive integers < n that are relatively prime to n. For an RSA modulus n = pq,  $\phi(n) = (p-1)(q-1)$ .

For MS\_DRBG, the s values are assumed to be r-bit integers, and "statistically the same" means indistinguishable by any polynomial-time algorithm. Accepting the stronger assumption allows k to be a significant percentage of  $\lg(n)$ . Note that in the specifications, r has been redefined as seedlen, and k has been redefined to be outlen in order to be consistent with the other DRBGs.

The specifications for the  $MS_DRBG$  (see Section 10.3.3.2) allow e and k (i.e., outlen) to be specified. The lengths seedlen and outlen, the RSA modulus n, and the value of the exponent e are variable within the bounds described below. The bounds are based on the desired  $security\ level$  for the bits produced. For maximum efficiency, e should be kept small and outlen should be large. The outlen bits generated at each step are concatenated to form pseudorandom bit strings of any desired length. Table 6 provides definitions for using with the  $MS_DRBG$  procedures and algorithms.

Table 6: Definitions for MS\_DRBG

	$\lg(n) = 2048$	$\lg{(n)} = 3072$		
Supported security levels	112	112, 128		
highest_supported_security_level	112	128		
Output Block Length (outlen = k)	$8 \le outlen \le \min \{ \lg(n) - 2*security\_level, \lg(n) - 2*\lg(n)/e \}$			
Required minimum entropy for instantiate	Security_level + 64			
Required minimum entropy for reseed	Security_level			
Minimum entropy input length (min_entropy_input_length)	min_entropy			
Maximum entropy input length (max_entropy_input_length)	$\leq 2^{13}$ bits			
Maximum personalization string length (max_personalization_string_length)	$\leq 2^{13}$ bits			
Maximum additional_input length (max_additional_input_length)	$\leq 2^{13}$ bits			
Number of hard bits (lg (lg (n))	11	11		
Seed length ( $seedlen = r$ )	$\lg(n)$ – outlen			
Appropriate hash functions	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512			
max_number_of_bits_per_request	outlen × reseed_interval			

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Number of blocks of outlen	≤ 50,000
between reseeds (reseed_interval)	·

# 10.3.3.2 MS\_DRBG Specifications

### 10.3.3.2.1 Internal State for MS\_DRBG

The internal state for MS\_DRBG consists of:

- 1. The working\_state:
  - a. The M-S parameters n, e, seedlen and outlen, and
  - b. An integer S in  $[0,2^{seedlen})$  that propagates the internal state sequence from which pseudorandom bits are derived.
  - A counter (reseed\_counter) that indicates the number of blocks of random produced by MS\_DRBG during the current instance since the previous reseeding.
- 2. Administrative information:
  - a. The security\_level provided by the instance of the DRBG, and
  - b. A *prediction\_resistance\_flag* that indicates whether prediction resistance is required by the DRBG.

The value of S is the critical value of the internal state upon which the security of this DRBG depends (i.e., s is the "secret value" of the internal state).

# 10.3.3.2.2 Selection of the M-S parameters

The instantiation of  $MS_DRBG$  consists of selecting an appropriate RSA modulus n and exponent e; sizes *seedlen* and *outlen* for the seeds and output strings, respectively; and a starting seed.

The M-S parameters *n*, *seedlen*, *e* and *outlen* are selected to satisfy the following six conditions, based on *strength*:

1. 1< e < φ(n); gcd(e, φ(n)) = 1. Comment: ensures that the mapping s → s<sup>e</sup> mod n is 1-1.
 2. (e × seedlen) ≥ 2\*lg(n). Comment: ensures that the exponentiation requires a full modular reduction.
 3. seedlen ≥ 2\*security\_level. Comment: protects against a tableization attack.

4. *outlen* and *seedlen* are multiples of 8.Comment: This is an implementation convenience.

- 5.  $outlen \ge 8$ ;  $seedlen + outlen = \lg(n)$ . Comment: all bits are used.
- 6. n = p\*q. Comment: p and q are strong [as in X9.31], secret primes.

The M-S parameters are determined in this order:

- 1. The size of the modulus  $\lg(n)$  is set first. It **shall** conform to the values given in Table 6 for the requested *security\_level*.
- 2. The RSA exponent e. The implementation **should** allow the application to request any odd integer e in the range  $|1 < e < 2^{\lg(n)-1} 2*2^{\frac{1}{2}\lg(n)}|$ . [Comment: The inequality ensures that  $e < \phi(n)$  when an Approved algorithm is used to generate the primes p and q.] If e is not provided during an instantiate request, or  $requested_e = 0$  is supplied, the default value e=3 **should** be used.
- 3. The number outlen of output bits used for each iteration. The implementation should allow any multiple of 8 in the range 8 ≤ outlen ≤ min{ lg(n) − 2\*security\_level, lg(n) − 2\*lg(n)/e } to be requested. However, if a value for outlen is not provided or requested\_outlen = 0 is specified, outlen should be selected as the largest multiple of 8 integer in the allowable range and within the range of bits currently known to be hard bits for the RSA problem. That value is lg(lg(n)), as shown in Table 6. Thus, in all cases, the default value 8 will be used if requested outlen = 0.

Any values for *requested\_e* and *requested\_outlen* outside these ranges **shall** be flagged as **errors**.

- 4. Set the size of the seeds:  $seedlen = \lg(n) outlen$ .
- 5. Selection of the modulus n. Two primes p and q of size  $\frac{1}{2} \lg(n)$  bits, having entropy at least  $min\_entropy$ , and satisfying gcd (e, (p-1)(q-1)) = 1 shall be generated as specified in FIPS 186-3. An implementation shall use strong primes as defined in that document: each of p-1, p+1, q-1, q+1 shall have a large prime factor of at least  $security\_level$  bits. [Comment: Any Approved algorithm will generate a modulus of size  $\lg(n)$  bits using strong primes of size  $\frac{1}{2} \lg(n)$  bits, and will allow the exponent e to be specified beforehand.]

The difficulty of the RSA problem relies on the secrecy of the primes p and q comprising the modulus. Whenever private primes are generated, the implementation **shall** clear memory of those values immediately after n has been computed. Only the modulus n **shall** be kept in the internal *state*.

# 10.3.3.2.3 Instantiation of MS\_DRBG

Notes for the instantiate procedure:

The instantiation of MS\_DRBG requires a call to the instantiate procedure specified in Section 9.2; step 8 of that procedure calls the instantiate algorithm in this section. For this DRBG, two DRBG-specific input parameters may be provided: <code>requested\_e</code> and

**Comment [ebb4]:** Page: 89 For DSS, 16,537 <  $e < (2^{nien\cdot 2s}-1)$ , where nien is the length of n, and s is the security level.

and the control of the

requested\_outlen.

The values for *highest\_supported\_security\_level* and *min\_entropy\_input\_length* are provided in Table 6 in Section 10.3.3.1.

In step 5 of the instantiate procedure, the following steps shall be used to select values for n, e, seedlen and outlen:

5. Using *security\_level*, *requested\_e* (if provided) and *requested\_outlen* (if provided), select values for *n*, *e*, *seedlen* and *outlen*.

Comment: Determine the modulus size.

5.1 If  $security\_strength = 112$ , then lg(n) = 2048Else lg(n) = 3072.

Comment: Select the exponent e.

5.2 If requested\_e = 0 or is not provided, then e = 3

provided then a = |3|

Else

5.2.1  $e = requested_e$ .

5.2.2 If  $(requested_e < 3)$  or  $(requested_e > 2^{\lg(n)-1} - (2 \times 2^{1/2 \lg(n)}))$  or  $(requested_e)$  is even), then return an **ERROR**.

Comment: Select the output length outlen.

5.3 If  $requested\_outlen = 0$  or is not provided, then outlen = 8

Else

5.3.1 outlen = requested\_outlen.

5.3.2 If (outlen < 1) or  $(outlen > min (\lfloor \lg (n) - 2 \times security\_level \rfloor, \lfloor \lg (n) \times (1 - 2/e) \rfloor)$  or (outlen is not a multiple of 8), then return an **ERROR**.

Comment : Determine the seed length (*seedlen*).

5.4  $seedlen = \lg(n) - outlen$ .

Comment: Get the modulus *n*.

- 5.5 Using  $\lg(n)$  and e, get a random modulus n. n shall be the product of two primes p and q such that :
  - 1) Each has a length of  $\lg (n)/2$  bits,
  - 2) Each has at least security\_level + 64 bits of entropy,
  - 3)  $\gcd(e, (p-1), (q-1)) = 1.$
  - 4) (p-1), (p+1), (q-1) and (q+1) shall each have a large prime factor of

Comment [ebb5]: Page: 90
Is this the lower value that we want?

at least security\_level bits.

5.6 
$$n = p \times q$$
.

5.7 
$$p = q = 0$$
.

Since the values for *working\_state* values *n*, *e*, and *outlen* have been determined by step 5 (above), they need not be provided to nor returned from the instantiate algorithm in step 8; however, the value of *seedlen* is required by the instantite algorithm and must be provided to it.

### The instantiate algorithm:

Let Hash (...) be an Approved hash function for the security levels to be supported.

The following process or its equivalent **shall** be used as the instantiate algorithm for this DRBG (see step 8 in Section 9.2):

### Input:

- 1. entropy input: The string of bits obtained from the entropy input source.
- 2. *personalization\_string*: The personalization string received from the consuming application.
- 3. seedlen: The length of the seed.

# **Output:**

1. *working\_state*: The inital values for *S* and *reseed\_counter* (see Section 10.3.3.2.1).

# **Process:**

- 1. seed\_material = entropy\_input || personalization\_string.
- 2.  $S = Hash_df$  (seed\_material, seedlen).
- 3.  $reseed\_counter = 0$ .
- 4. Return **SUCCESS**, *S* and *reseed\_counter* for the *working\_state*.

# Implementation notes:

If a *personalization\_string* will never be provided, then steps 1 and 2 may be combined as follows:

 $S = \mathbf{Hash\_df}$  (entropy\_input, seedlen).

# 10.3.3.2.4 Reseeding of a MS\_DRBG Instantiation

Notes for the reseed procedure:

The reseed of MS\_DRBG requires a call to the reseed procedure specified in Section 9.3; step 5 of that procedure calls the reseed algorithm in this section. The values for *min\_entropy\_input\_length* are provided in Table 6 of Section 10.3.3.1.

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# The reseed algorithm:

Let **Hash\_df** be the hash derivation function specified in Section 9.6.2 using an appropriate hash function from Table 6 in Section 10.3.3.1.

The following process or its equivalent **shall** be used as the reseed algorithm for this DRBG (see step 5 of Section 9.3):

### Input:

- 1. working\_state: The current values for seedlen and S.
- 2. entropy\_input: The string of bits obtained from the entropy input source.
- 3. *additional\_input*: The additional input string received from the consuming application.

### **Output:**

- 1. *status*: The status of performing this algorihm. For this DRBG, the only status is **SUCCESS**.
- 2. working\_state: The new values for S and reseed\_counter.

## **Process:**

- 1.  $seed_material = S \parallel entropy_input \parallel additional_input$ .
- 2.  $S = Hash\_df$  (seed\_material, seedlen).
- $3. \quad reseed\_counter = 0.$
- 4. Return **SUCCESS**, and the new values of *S* and *reseed\_counter*.

# Implementation notes:

If additional\_input will never be provided, then steps 1 may be modified as follows:

 $seed\_material = S \parallel entropy\_input.$ 

# 10.3.3.2.5 Generating Pseudorandom Bits Using MS\_DRBG

Notes for the generate procedure:

The generation of pseudorandom bits using an MS\_DRBG instantiation requires a call to the generate procedure specified in Section 9.4; step 8 of that procedure calls the generate algorithm specified in this section. The values for <code>max\_number\_of\_bits\_per\_request</code> and <code>outlen</code> are provided in Table 6 of Section 10.3.3.1.

# The generate algorithm:

Let **Hash\_df** be the hash derivation function specified in Section 9.6.2 using an appropriate hash function from Table 6 in Section 10.3.3.1. The value of *reseed\_interval* is also specified in Table 6.

Let **pad8** (*bitstring*) be a function that inputs an arbitrary length *bitstring* and returns a copy of that *bitstring* padded on the right with binary 0's, if necessary, to a multiple of 8. Note: This is an implementation convenience for byte-oriented functions.

Let **Truncate** (bits, in\_len, out\_len) be a function that inputs a bit string of in\_len bits, returning a string consisting of the leftmost out\_len bits of input. If in\_len < out\_len, the input string is returned padded on the right with out\_len - in\_len zeroes.

The following process or its equivalent **shall** be used to generate pseudorandom bits (see step 8 in Section 9.4):

## Input:

- 1. working\_state: The current values for n, e, seedlen, outlen, S, and reseed counter (see Section 10.3.3.2.1).
- requested\_number\_of\_bits: The number of pseudorandom bits to be returned to the generate proceduree.
- 3. *additional\_input*: The additional input string received from the consuming application.

### **Output:**

- status: The status returned from the procedure. The status will indicate SUCCESS or indicate that a reseed is required before the requested pseudorandom bits can be generated. In the latter case, either nothing but the reseed indication shall be returned as output, or a Null string shall be returned as the returned\_bits (see below).
- 2. returned\_bits: The pseudorandom bits to be returned to the generate procedure.
- 3. S: The updated secret value in the working\_state.
- 4. reseed\_counter: The updated reseed counter.

# **Process:**

Comment: Check whether a reseed is required.

1. If 
$$\left(reseed\_counter + \left\lceil \frac{requested\_number\_of\_bits}{outlen} \right\rceil \right) > reseed\_int erval$$
, then return an indication that a reseed is required.

2. If  $(additional\_input = Null)$  then  $additional\_input = 0$ 

Comment: additional\_input set to seedlen zeroes.

and the state of the

Else additional\_input = **Hash\_df** (pad8 (additional\_input\_string), seedlen).

# MS\_DRBG

# ANS X9.82, Part 3 - DRAFT - October 2004

Comment: Hash to seedlen bits.

Comment: Produce

requested\_number\_of\_bits, outlen at a time.

3. *temp* = the Null string.

4. i = 0.

5.  $s = S \oplus additional\_input$ .

Comment: s is to be interpreted as a seedlen-

bit unsigned integer.

6.  $S = \lfloor (s^e \mod n) / 2^{seedlen} \rfloor$ 

Comment: S is a seedlen-bit number.

7.  $R = (s^e \mod n) \mod 2^{outlen}$ .

Comment: R is an outlen-bit number.

8.  $temp = temp \parallel R$ .

9. additional\_input=0<sup>seedlen</sup>.

Comment: seedlen zeroes.

10. i = i + 1.

11. reseed\_counter = reseed\_counter+1.

12. If (len (temp) < requested\_number\_of\_bits), then go to step 6.

13.  $returned\_bits = Truncate (temp, i \times k, requested\_number\_of\_bits)$ .

14. Return SUCCESS, *returned\_bits* and the values of *S* and *reseed\_counter* for the *working\_state*.

## 11 Assurance

## 11.1 Overview

A user of a DRBG for cryptographic purposes requires assurance that the generator actually produces random and unpredictable bits. The user needs assurance that the design of the generator, its implementation and its use to support cryptographic services are adequate to protect the user's information. In addition, the user requires assurance that the generator continues to operate correctly. The assurance strategy for the DRBGs in this standard is depicted in Figure 17.

The design of each DRBG in this standard has received an evaluation of its security properties prior to its selection for inclusion in this standard.

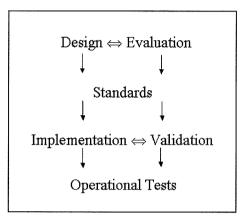


Figure 17: DRBG Assurance Strategy

The accuracy of an implementation of a DRBG process **may** be asserted by an implementer, but this Standard requires the development of basic documentation to provide minimal assurance that the DRBG process has been implemented properly (see Section 11.2). An implementation **should** be validated for conformance to this Standard by an accredited laboratory (see Section 11.3). Such validations provide a higher level of assurance that the DRBG is correctly implemented. Validation testing for DRBG processes consists of testing whether or not the DRBG process produces the expected result, given a specific set of input parameters (e.g., seed). Implementations used directly by consuming applications **should** also be validated against conformance to FIPS 140-2.

Operational (i.e., health) tests **shall** be implemented within a DRBG boundary in order to determine that the process continues to operate as designed and implemented. See Section 11.4 for further information.

A cryptographic module containing a DRBG **should** be validated (see FIPS 140-2 [8]). The consuming application or cryptographic service that uses a DRBG **should** also be validated and periodically tested for continued correct operation. However, this level of testing is outside the scope of this Standard.

Note that any entropy input used for testing (either for validation testing or operational/health testing) may be publicly known. Therefore, entropy input used for testing **shall not** knowingly be used for normal operational use.

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# 11.2 Minimal Documentation Requirements

This Standard requires the development of a set of documentation that will provide assurance to users and (optionally) validators that the DRBGs in this Standard have been implemented properly. Much of this documentation may be placed in a user's manual. This documentation shall consist of the following:

- Document how the implementation has been designed to permit implementation validation and operational testing.
- Document the type of DRBG (e.g., Hash\_DRBG, Dual\_EC\_DRBG), and the cryptographic primitives used (e.g., SHA-256, AES-128).
- Document the security levels supported by the implementation.
- Document features supported by the implemention (e.g., prediction resistance, the available elliptic curves, etc.).
- In the case of the CTR\_DRBG and OFB\_DRBG, indicate whether a derivation
  function is provided. If a derivation function is not used, documentation clearly
  indicate that the implementation can only be used when full entropy input is
  available.
- Document any support functions other than operational testing.

# 11.3 Implementation Validation Testing

A DRBG process **may** be tested for conformance to this Standard. Regardless of whether or not validation testing is obtained by an implementer, a DRBG **shall** be designed to be tested to ensure that the product is correctly implemented; this will allow validation testing to be obtained by a consumer, if desired. A testing interface **shall** be available for this purpose in order to allow the insertion of input and the extraction of output for testing.

Implementations to be validated shall include the following:

- Documentation specified in Section 11.2.
- Any documentation or results required in derived test requirements.

# 11.4 Operational/Health Testing

# 11.4.1 Overview

A DRBG implementation **shall** perform self-tests to ensure that the DRBG continues to function properly. Self-tests of the DRBG processes **shall** be performed prior to the first instantiation and periodically, and a capability to perform self-tests on demand **should** be included (see Section 9.7). A DRBG implementation may optionally perform other self-tests for DRBG functionality in addition to the tests specified in this Standard.

All data output from the DRBG boundary **shall** be inhibited while these tests are performed. The results from known-answer-tests (see Section 11.4.2) **shall not** be output

Comment [ebb6]: Page: 96
Probably need to add additional documentation requirements to address other requirements.

as random bits during normal operation.

When a DRBG fails a self-test, the DRBG **shall** enter an error state and output an error indicator. The DRBG **shall not** perform any DRBG operations while in the error state, and no pseudorandom bits **shall** be output when an error state exists. When in an error state, user intervention (e.g., power cycling, restart of the DRBG) **shall** be required to exit the error state (see Sections 7.2.7 and 9.8).

# 11.4.2 Known Answer Testing

Known answer testing **shall** be conducted prior to the first instantiation and periodically, and may be conducted on demand. A known-answer test involves operating the DRBG with data for which the correct output is already known and determining if the calculated output equals the expected output (the known answer). The test fails if the calculated output does not equal the known answer. In this case, the DRBG **shall** enter an error state and output an error indicator (see Sections 7.2.7 and 9.8).

The generalized known answer testing is specified in Section 9.7. Testing **shall** be performed on all DRBG procedures implemented.

# Annex A: (Normative) Application-Specific Constants

### A.1 Constants for the Dual\_EC\_DRBG

The **Dual\_EC\_DRBG** requires the specifications of an elliptic curve and two points on the elliptic curve. One of the following NIST approved curves and points **shall** be used in applications requiring certification under FIPS 140-2. More details about these curves may be found in FIPS PUB 186-3, the Digital Signature Standard.

#### A.1.1 Curves over Prime Fields

Each of following mod p curves is given by the equation:

$$y^2 = x^3 - 3x + b \pmod{p}$$

#### Notation:

p - Order of the field  $F_p$ , given in decimal

r - order of the Elliptic Curve Group, in decimal. Note that r is used here for consistency with FIPS 186-3 but is referred to as n in the description of the Dual\_EC\_DRBG (...)

b - coefficient above

The x and y coordinates of the base point, ie generator G, are the same as for the point P.

### A.1.1.1 Curve P-224

 $p = 26959946667150639794667015087019630673557916 \setminus 260026308143510066298881$ 

 $r = 26959946667150639794667015087019625940457807 \setminus 714424391721682722368061$ 

 $b = b4050a85 \ 0c04b3ab \ f5413256 \ 5044b0b7 \ d7bfd8ba \ 270b3943 \ 2355ffb4$ 

Py = bd376388 b5f723fb 4c22dfe6 cd4375a0 5a074764 44d58199 85007e34

- Qx = 68623591 6elladfa f080a451 477fa27a f21248be 916d3458 a583a3c9
- Qy = 6060018a 24b35be6 caecf3f0 7f2c6b43 4e47479e 55362c8f 5707adca

#### A.1.1.2 Curve P-256

- $p = 11579208921035624876269744694940757353008614 \ 3415290314195533631308867097853951$
- b = 5ac635d8 aa3a93e7 b3ebbd55 769886bc 651d06b0 cc53b0f6 3bce3c3e
  27d2604b
- Py = 4fe342e2 fe1a7f9b 8ee7eb4a 7c0f9e16 2bce3357 6b315ece cbb64068 37bf51f5
- Qx = c97445f4 5cdef9f0 d3e05ele 585fc297 235b82b5 be8ff3ef ca67c598 52018192
- Qy = b28ef557 ba31dfcb dd21ac46 e2a91e3c 304f44cb 87058ada 2cb81515 1e610046

#### A.1.1.3 Curve P-384

- $p = 39402006196394479212279040100143613805079739 \label{eq:proposed} \\ 27046544666794829340424572177149687032904726 \label{eq:proposed} \\ 6088258938001861606973112319$
- $r = 39402006196394479212279040100143613805079739 \setminus 27046544666794690527962765939911326356939895 \setminus 6308152294913554433653942643$
- b = b3312fa7 e23ee7e4 988e056b e3f82d19 181d9c6e fe814112 0314088f 5013875a c656398d 8a2ed19d 2a85c8ed d3ec2aef
- Px = aa87ca22 be8b0537 8eb1c71e f320ad74 6e1d3b62 8ba79b98 59f741e0 82542a38 5502f25d bf55296c 3a545e38 72760ab7
- Py = 3617 de4a 96262 c6f 5 d9e98bf 9292 dc29 f8f41 dbd 289 a147c

e9da3113 b5f0b8c0 0a60b1ce 1d7e819d 7a431d7c 90ea0e5f

- Qx = 8e722de3 125bddb0 5580164b fe20b8b4 32216a62 926c5750 2ceede31 c47816ed dle89769 124179d0 b6951064 28815065
- Qy = 023b1660 dd701d08 39fd45ee c36f9ee7 b32e13b3 15dc02610aa1b636 e346df67 1f790f84 c5e09b05 674dbb7e 45c803dd

#### A.1.1.4 Curve P-521

- $p = 68647976601306097149819007990813932172694353 \\ 00143305409394463459185543183397656052122559 \\ 64066145455497729631139148085803712198799971 \\ 6643812574028291115057151$
- - b = 051953eb 9618elc9 alf929a2 la0b6854 0eea2da7 25b99b31 5f3b8b48 9918ef10 9e156193 951ec7e9 37b1652c 0bd3bb1b f073573d f883d2c3 4f1ef451 fd46b503 f00
- Px = c6858e06 b70404e9 cd9e3ecb 662395b4 429c6481 39053fb5
   21f828af 606b4d3d baa14b5e 77efe759 28fe1dc1 27a2ffa8
   de3348b3 c1856a42 9bf97e7e 31c2e5bd 66
- Py = 11839296 a789a3bc 0045c8a5 fb42c7d1 bd998f54 449579b4 46817afb d17273e6 62c97ee7 2995ef42 640c550b 9013fad0 761353c7 086a272c 24088be9 4769fd16 650
- Qx = 1b9fa3e5 18d683c6 b6576369 4ac8efba ec6fab44 f2276171 a4272650 7dd08add 4c3b3f4c 1ebc5b12 22ddba07 7f722943 b24c3edf a0f85fe2 4d0c8c01 591f0be6 f63
- *Qy* = 1f3bdba5 85295d9a 1110d1df 1f9430ef 8442c501 8976ff34 37ef91b8 1dc0b813 2c8d5c39 c32d0e00 4a3092b7 d327c0e7 a4d26d2c 7b69b58f 90666529 11e45777 9de

### A.1.2 Curves over Binary Fields

For each field degree m, a pseudo-random curve (B) and a Koblitz curve (K) are given. The pseudo-random curve has the form

E: 
$$y^2 + xy = x^3 + x^2 + b$$
,

and the Koblitz curve has the form

E: 
$$y^2 + xy = x^3 + ax^2 + 1$$
, where  $a = 0$  or 1.

For each pseudorandom curve, the cofactor is f = 2. The cofactor of each Koblitz curve is f = 2 if a = 1, and f = 4 if a = 0.

The coefficients of the pseudo-random curves, and the coordinates of the points P and Q for both kinds of curves, are given in terms of both the polynomial and normal basis representations, in hex.

NOTE: An implementation may choose to represent coordinates in either basis. However, in order to gain certification it must demonstrate agreement with the test output vectors, which have been generated using the normal basis representation for each of the binary curves.

The order r of the base point P is given in decimal.

Note that r is used here for consistency with FIPS 186-3 but is referred to as n in the description of the **Dual\_EC\_DRBG()**. r is given in decimal

#### A.1.2.1 Curve K-233

a = 0

 $r = 34508731733952818937173779311385127605709409888622521 \setminus 26328087024741343$ 

#### Polynomial Basis:

- Py = 000001db 537dece8 19b7f70f 555a67c4 27a8cd9b f18aeb9b 56e0c110 56fae6a3

### Normal Basis:

- Py = 00000064 3e317633 155c9e04 47ba8020 a3c43177 450ee036 d6335014 34cac978

- Qx = 000000aa 7178e973 8a6f797a 1c265465 06106896 0a58b3fe a3afc77f 18404eee
- Qy = 0000002d 12a8f3e9 884bf31d 052a8eaf 414b891a 0a40491e 1f9d2576 79248ee2

### Normal Basis:

- Qx = 0000015a 96493d91 e56b5f10 579a7d58 eb895e06 8d94e1af 86d34143 4377548c
- Qy = 0000006b 13a689bb 3730dfd7 a46486ea ff8eb6cb 9d815981 a927d2eb 8cfa9b00

#### A.1.2.3 Curve B-233

r = 6901746346790563787434755862277025555839812737345013555379383634485463

#### Polynomial Basis:

- b = 066 647ede6c 332c7f8c0923bb58 213b333b 20e9ce42 81fel15f 7d8f90ad
- Py = 00000100 6a08a419 03350678 e58528be bf8a0bef f867a7ca 36716f7e 01f81052

### Normal Basis:

- b = 1a0 03e0962d 4f9a8e40 7c904a95 38163adb 82521260 0c7752ad 52233279
- Px = 0000018b 863524b3 cdfefb94 f2784e0b 116faac5 4404bc91 62a363ba b84a14c5
- Py = 00000049 25df77bd 8b8ff1a5 ff519417 822bfedf 2bbd7526
   44292c98 c7af6e02

### Polynomial Basis:

- Qx = 000000cb 50ce04af f4ea6111 aaccfe04 ae5f0dfe 95a59db4 cd4aba0c 1126615a
- Qy = 0000005b ab8a93a0 5c42caae 1b322b14 876ec2e0 5c994a25 8e67295e 5808eaf9

### Normal Basis:

- Qx = 00000055 ea07clca 4a4312f3 4562737c 257f4fa8 3b9d3d48 8a123cab 238f69a2
- $Qy = 00000055 \text{ d}60\text{e}a17a \text{ 1cb}969a8 3786a82f 8172e889 026195f9}$

923ba4b1 beeb5702

#### A.1.2.2 Curve K-283

- a = 0
- r = 3885337784451458141838923813647037813284811733793061324295874997529815829704422603873

#### Polynomial Basis:

### Normal Basis:

- Px = 03ab9593 f8db09fc 188f1d7c 4ac9fcc3 e57fcd3b db15024b .212c7022 9de5fcd9 2eb0ea60
- Py = 02118c47 55e7345c d8f603ef 93b98b10 6fe8854f feb9a3b3 04634cc8 3a0e759f 0c2686b1

#### Polynomial Basis:

- Qx = 0388eee4 1cc5808d 140d5179 76fba0fa 9c14b886 914387a6 890a9497 fd3370b6 9cdd3779
- Qy = 04d86b99 fed2ecad 1dc9fd77 ed5928ac ef908f97 1eb22cf6 8e436df4 dbe6e06e b2c2dff4

#### Normal Basis:

- Qx = 004ab17d 72374eb7 dac733d8 83d7b650 eb03ccb9 d6c60197 74f41ef2 lb8e0ell 0fe8aa58
- Qy = 07243a25 e2e7e633 7897e8b1 9791c813 0317aecf 8c0ac2a4 2ac03dac 4afdabe8 ffc9888c

#### A.1.2.4 Curve B-283

r = 7770675568902916283677847627294075626569625924376904889109196526770044277787378692871

- b = 27b680a c8b8596d a5a4af8a 19a0303f ca97fd76 45309fa2 a581485a f6263e31 3b79a2f5
- Px = 05f93925 8db7dd90 e1934f8c 70b0dfec 2eed25b8 557eac9c

80e2e198 f8cdbecd 86b12053

Py = 03676854 fe24141c b98fe6d4 b20d02b4 516ff702 350eddb0 826779c8 13f0df45 be8112f4

#### Normal Basis:

- b = 157261b 894739fb 5a13503f 55f0b3f10c560116 66331022 01138cc1 80c0206b dafbc951
- Px = 0749468e 464ee468 634b21f7 f61cb700 701817e6 bc36a236 4cb8906e 940948ea a463c35d
- Py = 062968bd 3b489ac5 c9b859da 68475c31 5bafcdc4 ccd0dc90 5b70f624 46f49c05 2f49c08c

#### Polynomial Basis:

- Qx = 06530328 33283d9e b6ebc03c 2d735ed9 12b46bc1 2e364643 f8e309d9 d55e9440 28190ba5
- Qy = 03693cd3 8b4e022d ef81bb7f 949ca7f4 287cbc3d 3aae8632 a6fea719 e0da9998 48211443

#### Normal Basis:

- Qx = 06c2366c 8acc000a 5b516dfc 4cf8a204 b255dd0d e53f18e1 99718e05 47b3845f 000626c9
- Qy = 03667f53 ele528e9 99bfb2cb 9e609116 969d78fb 94a264a9 a2045878 132ca8f5 85b874ef

#### A.1.2.5 Curve K-409

- a = 0
- $r = 33052798439512429947595765401638551991420234148214060 \\ 96423243950228807112892491910506732584577774580140963 \\ 66590617731358671$

#### Normal Basis:

- Py = 016d8c42 052f07e7 713e7490 eff318ba 1abd6fef 8a5433c8 94b24f5c 817aeb79 852496fb ee803a47 bc8a2038 78ebf1c4 99afd7d6

#### Polynomial Basis:

- Qx = 01ba9a6c 2d31edf6 671ce7d1 f16f4ab2 7c72ca88 cc3b33e9 b2ef536e 92bc06ad 0cac0d6a 821898c2 847b5d7e 8506fd26 9e51dfcc
- Qy = 019d9567 d1931672 ab748567 c4fb75a4 e0658b9b bf17901e b7d41148 489ab481 354977ac 390bbb05 a6e782b5 13caa159 02a846ef

#### Normal Basis:

- Qx = 00e8b595 6a3f2ec5 e8e3e3cf e4c2003a 687feecc ade301e5 c34d47ef a723dac6 36f1ef6a cd5ced42 309fc937 fa5460d5 223c3743
- Qy = 001f61f2 2a66d942 de111925 dd94da7d 5c02e4c2 23328be5 9019a157 d7b700f6 d8b42316 efe8193d 68c90ce0 fe57ad2b 4f690281

#### A.1.2.6 Curve B-409

 $r = 66105596879024859895191530803277103982840468296428121 \setminus 92846487983041577748273748052081437237621791109659798 \setminus 67288366567526771$ 

- b = 021a5c2 c8ee9feb 5c4b9a75 3b7b476b 7fd6422e f1f3dd67 4761fa99 d6ac27c8 a9a197b2 72822f6c d57a55aa 4f50ae31 7b13545f
- Px = 015d4860 d088ddb3 496b0c60 64756260 441cde4a f1771d4d b01ffe5b 34e59703 dc255a86 8a118051 5603aeab 60794e54 bb7996a7
- Py = 0061blcf ab6be5f3 2bbfa783 24ed106a 7636b9c5 a7bd198d 0158aa4f 5488d08f 38514flf df4b4f40 d2181b36 81c364ba 0273c706

### Normal Basis:

- b = 124d065 1c3d3772 f7f5alfe 6e715559 e2129bdf a04d52f7 b6ac7c53 2cf0ed06 f610072d 88ad2fdc c50c6fde 72843670 f8b3742a
- Px = 00ceacbc 9f475767 d8e69f3b 5dfab398 13685262 bcacf22b
   84c7b6dd 981899e7 318c96f0 761f77c6 02c016ce d7c548de
   830d708f
- Py = 0199d64b a8f089c6 db0e0b61 e80bb959 34afd0ca f2e8be76 d1c5e9af fc7476df 49142691 ad303902 88aa09bc c59c1573 aa3c009a

#### Polynomial Basis:

- Qx = 01920ed2 5ec895fc 704ac0da 05a93ace 25fc9646 ab4533c0 4f759ce1 ac0e53d8 096b2318 d6fdd0d7 1d2affd6 915e8d7a e2977127
- Qy = 011d1d15 0c127a29 77b48a17 fac8aa13 96985213 3179fc17 74f9d3db 1f6bee43 d8c04cce 35f2abf8 022230f6 457f260a 72444bfd

#### Normal Basis:

- Qx = 01b2481e 3265c48d 28db6172 95efafd5 77f7d0ed 175cc49b 0fcb1982 639bc380 eee80285 e6ef8a7b 1a31566d 602c07dc dc85a5a5
- Qy = 00d0712d 082d31ba 22497958 b1178993 a2f5dc41 f14207e4 0f8ccda8 06b637cc f1380320 b6ff9dfd 8e811f14 49c4c23e 2f4823fe

#### A.1.2.7 Curve K-571

a = 0

### Polynomial Basis:

Px = 026eb7a8 59923fbc 82189631 f8103fe4 ac9ca297 0012d5d4
60248048 01841ca4 43709584 93b205e6 47da304d b4ceb08c
bbd1ba39 494776fb 988b4717 4dca88c7 e2945283 a01c8972

Py = 0349dc80 7f4fbf37 4f4aeade 3bca9531 4dd58cec 9f307a54 ffc6lefc 006d8a2c 9d4979c0 ac44aea7 4fbebbb9 f772aedc b620b01a 7ba7af1b 320430c8 591984f6 01cd4c14 3ef1c7a3

#### Normal Basis:

- Px = 004bb2db a418d0db 107adae0 03427e5d 7cc139ac b465e593
  4f0bea2a b2f3622b c29b3d5b 9aa7a1fd fd5d8be6 6057c100
  8e71e484 bcd98f22 bf847642 37673674 29ef2ec5 bc3ebcf7
- Py = 044cbb57 de20788d 2c952d7b 56cf39bd 3e89b189 84bd124e 751ceff4 369dd8da c6a59e6e 745df44d 8220ce22 aa2c852c fcbbef49 ebaa98bd 2483e331 80e04286 feaa2530 50caff60

#### Polynomial Basis:

- Qx = 06c62ea8 63120582 6a8e4328 412a3400 0be7c23f 19982e7f 35164b12 c18df503 2997173d 9776bab1 2dafe58e 97e1aa9d 4726eaae 6473c2bc 7e0c2752 fed22ac2 e86fbcfc 00468dc4

#### Normal Basis:

- Qx = 01e8cee5 3c73b384 ad828269 7566e3ad b11573fd 7aff7abd 1af60123 062e560c 1bb66d35 d00cd77e 101e7606 6afcd0c9 8c8826eb 79b91e33 1328701c 9fb5c3ab 01d798af c4fbea67
- Qy = 079d03ff 6f51d98d 4679aa59 97b51eca e2ecf2fe ba491edf d5df7df7 277bb265 b58b11ad 5b916e99 fea7ef78 49314df1 0af703bd 1b202c8c fa97760b 27044c19 ac5d9fb5 65381df3

### A.1.2.8 Curve B-571

 $r = 38645375230172583446953518909319873442989273297064349 \\ 98657235251451519142289560424536143999389415773083133 \\ 88112192694448624687246281681307023452828830333241139 \\ 3191105285703$ 

#### Polynomial Basis:

b = 2f40e7e 2221f295 de297117

b7f3d62f 5c6a97ff cb8ceff1 cd6ba8ce 4a9a18ad 84ffabbd 8efa5933 2be7ad67 56a66e29 4afd185a 78ff12aa 520e4de7 39baca0c 7ffeff7f 2955727a

- Px = 0303001d 34b85629 6c16c0d4 0d3cd775 0a93d1d2 955fa80a
   a5f40fc8 db7b2abd bde53950 f4c0d293 cdd711a3 5b67fb14
   99ae6003 8614f139 4abfa3b4 c850d927 e1e7769c 8eec2d19
- $Py = 037 \text{bf} 273 \ 42 \text{da639b} \ 6 \text{dccfffe} \ b73 \text{d69d7} \ 8 \text{c6c27a6} \ 009 \text{cbbca} \ 1980 \text{f853} \\ 3921 \text{e8a6} \ 84423 \text{e43} \ bab08 \text{a57} \ 6291 \text{af8f} \ 461 \text{bb2a8} \ b3531 \text{d2f} \\ 0485 \text{c19b} \ 16 \text{e2f151} \ 6 \text{e23} \text{dd3c} \ 1 \text{a4827af} \ 1 \text{b8ac15b}$

#### Normal Basis:

- b = 3762d0d 47116006 179da356
  - 88eeaccf 591a5cde a7500011 8d9608c5 9132d434 2610la1d fb377411 5f586623 f75f0000 1ce61198 3c1275fa 31f5bc9f 4bela0f4 67f01ca8 85c74777
- Py = 004a3642 0572616c df7e606f ccadaecf c3b76dab 0eb1248d d03fbdfc 9cd3242c 4726be57 9855e812 de7ec5c5 00b4576a 24628048 b6a72d88 0062eed0 dd34b109 6d3acbb6 b01a4a97

#### Polynomial Basis:

- Qx = 01e263e6 afad323f 934e50e4 da0b015b 3f6727f4 27701cc3 0dcd1145 c12e3c66 50ccd260 5ccd5a6a 609c5acd 3aed9e2d 32de8e64 80303414 dc0907f0 21f8cefd cfb45700 56f8d686

### Normal Basis:

- Qx = 01ece446 40b698fe eb575fc0 65156c5f f94c277a 5335e1a2 28b65c22 aff27777 d159cfee c7f1270c c84bca33 8f34ab4d 6748f592 bf322442 e2ffeffe 9e5a321d cd6b4e75 a269e745
- Qy = 01cadda7 5647bba5 8c08b5e2 2b633e3a 5dd3b2c9 5db81f2d 220cba3d 7a38e692 072b3db2 6465b27a 2abd56b4 2291f982 3a902eb5 038d162a 7a578d37 8dd0c620 4f722521 b8084d4c

### A.2 Test Moduli for the MS\_DRBG (...)

Each modulus is of the form n = pq with  $p = 2p_1 + 1$ ,  $q = 2q_1 + 1$ , where  $p_1$  and  $q_1$  are  $(\lg(n)/2 - 1)$ -bit primes.

#### A.2.1 The Test Modulus n of Size 2048 Bits

The hexadecimal value of the modulus n is:

```
      c11a01f2
      5daf396a
      a927157b
      af6f504f
      78cba324
      57b58c6b

      f7d851af
      42385cc7
      905b06f4
      1f6d47ab
      1b3a2c12
      17d14d15

      070c9da5
      24734ada
      2fe17a95
      e600ae9a
      4f8b1a66
      96661e40

      7d3043ec
      d1023126
      5d8ea0d1
      81cf23c6
      dd3dec9e
      b3fce204

      5b9299bb
      cca63dee
      435a2251
      ad0765d4
      9d29db2e
      f5aba161

      279aeb5f
      6899fe48
      7973e36c
      1fb13086
      d9231b6b
      925a8495

      4ba0fbca
      fea844ea
      77a9f852
      f86915a4
      e71bd0ba
      b9b269c3

      9a7a827a
      41311ffa
      4470140c
      8b6509fe
      5dbd39e3
      ec816066

      2d036e13
      0e07e233
      06a39b18
      db0e8efe
      64418880
      81ac3673

      2b4091f6
      63690d03
      3b486d74
      371a20fc
      3e214bce
      7ed0e797

      5ea444453
      cd161d32
      e8185204
      59896571
```

#### A.2.2 The Test Modulus n of Size 3072 Bits

The hexadecimal value of the modulus n is:

```
c6046ba6 8beaa061 c468a9a7 4da34d64 21398c73 020837c7
d2a4042b dd9a7628 cab8022e 5bc4246f 75da8d26 03da8021
41c5d112 835e6bdb 57ed799e 28d6fa49 c3d0f5b5 f9776c14
0a901bf7 73ae3113 35d0470e da91b442 dbac621a cdd324e2
a70244d7 cb155adc 4b77dd94 fafe069d 5b5cc494 86e9fe61
c5081190 abb24f54 2d7d21e9 c90453c6 9ac63143 401d6b35
e456ea2f 64ae76f9 2df80328 b48f7962 d5c9b779 b2078496
7d374f02 06b8afbf 678d7f5f 36c3d84e c9e55c28 7ce5c668
17ee05b4 1059168f b5c5e2a3 6bc2f6ce 3b43bd14 56eebdd5
70ffe61e 5a7023a9 04d98f8a 96bfaf55 55a12f81 5561b401
63f3a50e ale16a36 3f5cddd4 aldb275c 4fc2d650 d51f1e93
f5fd7631 ca45914f f6fe62a0 be55b997 5f6566bb 47e76276
f4e3b2eb 837bf0da 9d824687 042479a3 04147399 2d814a3a
7be7bc3d 06992df6 6c1d7d06 f8c1410e 2bbb573a 0e278e7a
daa600f3 2577030e 95b73dd9 96b65f98 4740a485 e27138bd
d5f02522 09bcf005 6640a1b3 b1dd97ad 7c187e04 01ba817d
```

# **ANNEX B: (Normative) Conversion and Auxilliary Routines**

# B.1 Bit String to an Integer

## Input:

1.  $b_1, b_2, ..., b_n$  The bit string to be converted.

# **Output:**

1. x

The requested integer representation of the bit string.

## **Process:**

- 1. Let  $(b_1, b_2, ..., b_n)$  be the bits of b from leftmost to rightmost.
- 2. For i = 1 to n do

$$x = \sum 2^{(n-i)}b_i$$

3. Return *x*.

In this Standard, the binary length of an integer x is defined as the smallest integer n satisfying  $x < 2^n$ .

# B.2 Integer to a Bit String

#### Input:

1. *x* 

The non-negative to be converted.

## **Output:**

1.  $b_1, b_2, ..., b_n$  The bit string representation of the integer x.

## **Process:**

- 1. Let  $(b_1, b_2, ..., b_n)$  represent the bit string, where  $b_1 = 0$  or 1, and  $b_1$  is the most significant bit, while  $b_n$  is the least significant bit.
- 2. For any integer *n* that satisfies  $x < 2^n$ , the bits  $b_i$  shall satisfy:

$$x = \sum 2^{(n-i)}b_i$$

for i = 1 to n.

3. Return  $b_1, b_2, ..., b_n$ .

In this Standard, the binary length of the integer x is defined as the smallest integer n that satisfies  $x < 2^n$ .

# **B.3** Integer to an Octet String

## Input:

1. A non-negative integer x, and the intended length n of the octet string satisfying

$$2^{8n} > x$$
.

# **Output:**

1. An octet string O of length n octets.

#### **Process:**

- 1. Let  $O_1$ ,  $O_2$ , ...,  $O_n$  be the octets of O from leftmost to rightmost.
- 2. The octets of O shall satisfy:

$$x = \sum 2^{8(n-i)} O_i$$

for 
$$i = 1$$
 to  $n$ .

3. Return O.

# B.4 Octet String to an Integer

# Input:

1. An octet string O of length n octets.

# **Output:**

1. A non-negative integer x.

## **Process:**

- 1. Let  $O_1$ ,  $O_2$ , ...,  $O_n$  be the octets of O from leftmost to rightmost.
- 2. x is defined as follows:

$$x = \sum 2^{8(n-i)}O_i$$

for 
$$i = 1$$
 to  $n$ .

3. Return x.

# **Annex C: (Informative) Security Considerations**

#### C.1 The Security of Hash Functions

[Add a discussion as to why it is OK to use SHA-1 to generate pseudorandom curves of greater than 80 bits of security. The security strength of a hash function for these generators is = the output block size. If there is no vulnerability to collision (e.g., when a hash function is used as an element in a well-designed RNG) and the function is not invertible, than the strength is = the ouput block size. However, when a hash function is used as an element in an application/cryptographic service where vulnerability to collisions is a consideration, then the strength = half the size of the output block.]

#### C.2 Algorithm and Keysize Selection

This section provides guidance for the selection of appropriate algorithms and key sizes. It emphasizes the importance of acquiring cryptographic systems with appropriate algorithms and key sizes to provide adequate protection for 1) the expected lifetime of the system and 2) any data protected by that system during the expected lifetime of the data. Also included is the necessity for selecting appropriate random bit generators to support the cryptographic algorithms.

Cryptographic algorithms provide different levels (i.e., different "strengths") of security, depending on the algorithm and the key size used. Two algorithms are considered to be of equivalent strength for the given key sizes (X and Y) if the amount of work needed to "break the algorithms" or determine the keys (with the given key sizes) is approximately the same using a given resource. The strength of an algorithm (sometimes called the work factor) for a given key size is traditionally described in terms of the amount of work it takes to try all keys for a symmetric algorithm with a key size of "X" that has no short cut attacks (i.e., the most efficient attack is to try all possible keys). In this case, the best attack is said to be the exhaustion attack. An algorithm that has a "Y" bit key, but whose strength is equivalent to an "X" bit key of such a symmetric algorithm is said to provide "X bits of security" or to provide "X-bits of strength". An algorithm that provides X bits of strength would, on average, take  $2^{X-1}T$  to attack, where T is the amount of time that is required to perform one encryption of a plaintext value and comparison of the result against the corresponding ciphertext value.

Determining the security strength of an algorithm can be nontrivial. For example, consider TDEA. TDEA uses three 56-bit keys (K1, K2 and K3). If each of these keys is independently generated, then this is called the three key option or three key TDEA (3TDEA). However, if K1 and K2 are independently generated, and K3 is set equal to K1, then this is called the two key option or two key TDEA (2TDEA). One might expect that 3TDEA would provide  $56 \times 3 = 168$  bits of strength. However, there is an attack on 3TDEA that reduces the strength to the work that would be involved in exhausting a 112-bit key. For 2TDEA, if exhaustion were the best attack, then the strength of 2TDEA would be  $56 \times 2 = 112$  bits. This appears to be the case if the attacker has only a few matched

plain and cipher pairs. However, if the attacker can obtain approximately 2<sup>40</sup> such pairs, then 2TDEA has strength equivalent to an 80-bit algorithm (see [ASCX9.52], Annex B) and, therefore, is not appropriate for this Standard, since teh lowest security level provides 112 bits of security.

The recommended key size equivalencies discussed in this section are based on assessments made as of the publication of this Standard. Advances in factoring algorithms, advances in general discrete logarithm attacks, elliptic curve discrete logarithm attacks and quantum computing may affect these equivalencies in the future. New or improved attacks or technologies may be developed that leave some of the current algorithms completely insecure. In the case of quantum computing, the asymmetric techniques may no longer be secure. Periodic reviews will be performed to determine whether the stated equivalencies need to be revised (e.g., the key sizes need to be increased) or the algorithms are no longer secure.

When selecting a block cipher cryptographic algorithm (e.g., AES or TDEA), the block size may also be a factor that should be considered, since the amount of security provided by several of the modes defined in [SP 800-38] is dependent on the block size<sup>3</sup>. More information on this issue is provided in [SP 800-38].

Table 7 provides associated key sizes for the Approved algorithms and hash functions.

- Column 1 indicates the security level provided by the algorithms and key sizes in a particular row.
- Column 2 provides the symmetric key algorithms and hash functions that provide
  the indicated level of security (at a minimum), where TDEA is approved in [ASC
  X9.52], and AES is specified in [FIPS 197]. The table entry for TDEA requires the
  use of three distinct keys.
- 3. Column 3 provides the equivalent hash functions that are specified in FIPS180-2 for the given level of security.
- 4. Column 4 indicates the size of the parameters associated with the standards that use discrete logs and finite field arithmetic (DSA as defined in ASC X9.30 for digital signatures, and Diffie-Hellman (DH) and MQV key agreement as defined in [ASC X9.42], where L is the size of the modulus p, and N is the size of q. L is commonly considered to be the key size for the algorithm, although L is actually the key size of the public key, and N is the key size of the private key.
- 5. Column 5 defines the value for k (the size of the modulus n) for the RSA algorithm specified in ASC X9.31 for digital signatures, and specified in ASC X9.44 for key establishment. The value of k is commonly considered to be the key size.

<sup>&</sup>lt;sup>3</sup> Suppose that the block size is b bits. The collision resistance of a MAC is limited by the size of the tag and collisions become probable after  $2^{b \cdot 2}$  messages, if the full b bits are used as a tag. When using the Output Feedback mode of encryption, the maximum cycle length of the cipher can be at most  $2^b$  blocks; the average cipher length is less than  $2^b$  blocks. When using the Cipher Block Chaining mode, plaintext information is likely to begin to leak after  $2^{b/2}$  blocks have been encrypted with the same key.

6. Column 6 defines the value of f (the size of n, where n is the order of the base point G) for the discrete log algorithms using elliptic curve arithmetic that are specified for digital signatures in ASC X9.62, and for key establishment as specified in ANS X9.63. The value of f is commonly considered to be the key size.

Table 7: Equivalent strengths.

Bits of security	Symmetric key algs.	Hash functions	DSA, D-H, MQV	RSA	Elliptic Curves
112	3-key TDEA	SHA-224	L = 2048 N = 224	k = 2048	<i>f</i> ≥ 224
128	AES-128	SHA-256	L = 3072 N = 256	k = 3072	<i>f</i> ≥ 256
192	AES-192	SHA-384			<i>f</i> ≥ 384
256	AES-256	SHA-512			

## C.3 Extracting Bits in the Dual\_EC\_DRBG (...)

# C.3.1 Potential Bias Due to Modular Arithmetic for Curves Over $F_p$

For the mod p curves (i.e, a *Prime field curve* ), there is a potential bias in the output due to the modular arithmetic. This behavior is succinctly explained in Part 1 of this Standard, and two approaches to correcting the bias are presented there. The Negligible Skew Method described in Section 14.2.2 of Part 1 is appropriate for the NIST curves, since all were selected to be over prime fields near a power of 2 in size. Each NIST prime has at least 32 leading 1's in its binary representation, and at least 16 of the leftmost (high-order) bits are discarded in each block produced. These two facts imply that there is a small fraction ( $\leq 1/2^{32}$ ) of *outlen* outputs for which a bias to 0 may occur in one or more bits. This can only happen when the first 32 bits of an *x*-coordinate are all zero. As the leftmost 16 bits (at least) are discarded, an adversary can never be certain when a "biased" block has occurred. Thus, any bias due to the modular arithmetic may safely be ignored.

# C.3.2 Adjusting for the missing bit(s) of entropy in the x coordinates.

In a truly random sequence, it should not be possible to predict any bits from previously observed bits. With the **Dual\_EC\_DRBG** (...), the full output block of bits produced by the algorithm is "missing" some entropy. Fortunately, by discarding some of the bits, those bits remaining can be made to have nearly "full strength", in the sense that the entropy that they are missing is negligibly small.

To illustrate what can happen, suppose that a mod p curve with m=256 is selected, and that all 256 bits produced were output by the generator, i.e. that *outlen* = 256 also. Suppose also that 255 of these bits are published, and the 256-th bit is kept "secret". About  $\frac{1}{2}$  the time,

the unpublished bit could easily be determined from the other 255 bits. Similarly, if 254 of the bits are published, about  $\frac{1}{4}$  of the time the other two bits could be predicted. This is a simple consequence of the fact that only about  $\frac{1}{2}$  of all  $\frac{2}{m}$  bit strings of length m occur in the list of all x coordinates of curve points.

The situation is slightly worse with the binary curves, since each has a cofactor of 2 or 4. This means that only about 1/4 or 1/8, respectively, of the m-bit strings occur as x coordinates. Thus, the NIST elliptic curves have m-bit outputs that are lacking 1,2 or 3 bits of entropy, when taken in their entirety.

The "abouts" in the preceding example can be made more precise, taking into account the difference between  $2^m$  and p, and the actual number of points on the curve (which is always within  $2 * p^{\frac{1}{2}}$  of p). For the NIST curves, these differences won't matter at the scale of the results, so they will be ignored. This allows the heuristics given here to work for any curve with "about"  $(2^m)/f$  points, where f = 1,2 or 4 is the curve's cofactor.

The basic assumption needed is that the approximately  $(2^m)/(2f) x$  coordinates that do occur are "uniformly distributed": a randomly selected m-bit pattern has a probability 1/2f of being an x coordinate. The assumption allows a straightforward calculation,—albeit approximate—for the entropy in the rightmost (least significant) m-d bits of **Dual EC DRBG** output, with d << m.

The formula is  $E = -\sin\{j=0\}$  to  $\{j=2^d\}$  [  $2^{\{m-d\}}$  binomprob $(2^d, z, 2^d-j)$ ]  $p_i \log_2\{p_i\}$ .

The term in braces represents the approximate number of (m-d)-bit strings, which fall into one of  $1+2^d$  categories as determined by the number of times j it occurs in an x coordinate; z=(2f-1)/2f is the probability that any particular string occurs in an x coordinate;  $p_j=(j*2f)/2^m$  is the probability that a member of the j-th category occurs. Note that the j=0 category contributes nothing to the entropy (randomness).

The values of E for d up to 16 are:

log2(f): 0 d: 0 entropy: 255.00000000 m-d: 256
log2(f): 0 d: 1 entropy: 254.50000000 m-d: 255
log2(f): 0 d: 2 entropy: 253.78063906 m-d: 254
log2(f): 0 d: 3 entropy: 252.90244224 m-d: 253
log2(f): 0 d: 4 entropy: 251.95336161 m-d: 252
log2(f): 0 d: 5 entropy: 250.97708960 m-d: 251
log2(f): 0 d: 6 entropy: 249.98863897 m-d: 250
log2(f): 0 d: 7 entropy: 248.99434222 m-d: 249
log2(f): 0 d: 8 entropy: 247.99717670 m-d: 248
log2(f): 0 d: 9 entropy: 246.99858974 m-d: 247
log2(f): 0 d: 10 entropy: 245.99929521 m-d: 246

log2(f): 0 d: 11 entropy: 244.99964769 m-d: 245 log2(f): 0 d: 12 entropy: 243.99982387 m-d: 244 log2(f): 0 d: 13 entropy: 242.99991194 m-d: 243 log2(f): 0 d: 14 entropy: 241.99995597 m-d: 242 log2(f): 0 d: 15 entropy: 240.99997800 m-d: 241 log2(f): 0 d: 16 entropy: 239.9998900 m-d: 240

245.99788495 m-d: 246 m-d: 245 m-d: 244 m-d: 243 241.99986791 m-d: 242 240.99993397 m-d: 241 253.32398965 m-d: 254 239.99996700 m-d: 240 254.00000000 m-d: 256 253.75000000 m-d: 255 m-d: 253 m-d: 252 250.93037696 m-d: 251 249.96572188 m-d: 250 m-d: 249 m-d: 248 246.99576643 m-d: 247 243.99947156 244.99894291 242.99973581 252.68128674 251.85475372 248.98298045 247.99151884 entropy: entropy: entropy: entropy: og2(f): 1 d: 15 entropy: 0 entropy: entropy: entropy: entropy: entropy: log2(f): 1 d: 11 entropy: log2(f): 1 d: 13 entropy: og2(f): 1 d: 14 entropy: og2(f): 1 d: 16 entropy: 1 entropy: log2(f): 1 d: 2 entropy: log2(f): 1 d: 10 entropy: *d*: 12 4 9 6  $\infty$  $\log 2(f)$ : 1 d:  $\log 2(f)$ : 1 d: log2(f): 1 d: ij og2(f): 1 d: log2(f): 1 log2(f): 1 log2(f): 1  $\log 2(f)$ : 1 log2(f): 1 log2(f): 1

log2(f): 2 d: 0 entropy: 253.00000000 m-d: 256 log2(f): 2 d: 1 entropy: 252.87500000 m-d: 255 log2(f): 2 d: 2 entropy: 252.64397615 m-d: 254 log2(f): 2 d: 3 entropy: 252.24578858 m-d: 253 log2(f): 2 d: 4 entropy: 251.63432894 m-d: 252

log2(f): 2 d: 5 entropy: 250.83126431 m-d: 251 log2(f): 2 d: 6 entropy: 249.91896704 m-d: 250 log2(f): 2 d: 7 entropy: 248.96005989 m-d: 249 log2(f): 2 d: 8 entropy: 247.98015668 m-d: 248 log2(f): 2 d: 9 entropy: 246.99010852 m-d: 247 log2(f): 2 d: 10 entropy: 245.99506164 m-d: 245 log2(f): 2 d: 11 entropy: 244.99753265 m-d: 245 log2(f): 2 d: 12 entropy: 243.99876678 m-d: 244 log2(f): 2 d: 13 entropy: 242.99938350 m-d: 243 log2(f): 2 d: 14 entropy: 241.99969178 m-d: 242 log2(f): 2 d: 15 entropy: 240.99984590 m-d: 241 log2(f): 2 d: 16 entropy: 239.99992298 m-d: 240

#### Observations:

- a) Each table starts where it should, at 1, 2 or 3 missing bits;
- b) The missing entropy rapidly decreases;
- c) Each doubling of the log2(f)actor requires about 1 more bit to be discarded for the same level of entropy;
- d) For  $\log_2(f) = 0$ , i.e, the mod p curves, d=13 leaves 1 bit of information in every  $10,000 \ (m-13)$ -bit outputs.

Based on these calculations, for the mod p curves, it is recommended that an implementation **shall** remove at least the **leftmost**, ie, most significant, 13 bits of every m-bit output, and that the **Dual\_EC\_DRBG** (...) be reseeded every 10,000 iterations. For the binary curves, either 14 or 15 of the leftmost bits **shall** be removed, as determined by the cofactor being 2 or 4, respectively. Using this value for d in the mod p curves insures that no bit has a bias from the modular reduction exceeding  $1/2^{44}$ 

For ease of implementation, the value of d should be adjusted upward, if necessary, until the number of bits remaining, m-d= blocksize, is a multiple of 8. By this rule, the actual number of bits discarded from each block will range from 16 to 19.

# **ANNEX D: (Informative) Functional Requirements**

## **D.1 General Functional Requirements**

The following functional requirements apply to all random bit generators:

- 1. The implementation shall be designed to allow validation testing; including documenting specific design assertions about howt the RBG operates. This shall include mechanisms for testing all detectable error conditions.
  - Implementation validation testing for DRBGs is discussed in Section 11.3.
- 2. The RBG shall be designed with the intent of meeting the security properties in Part 1, Section 8. This is on a best effort basis, as aspects of some of these properties are not testable.

Documentation requirement: There **shall** be design documentation that describes how the RBG is intended to meet all security properties, including protection from misbehavior.

The functional requirements, which address the security properties, are discussed in this annex. Documentation requirements are listed in Section 11.2.

3. The RBG shall support backtracking resistance.

Backtracking resistance has been designed into each DRBG specified in Section 10.

Optional attributes for the functions in an RBG are as follows:

4. The RBG may be capable of supporting prediction resistance.

An optional prediction resistance capability is specified for the DRBG procedures in Section 9.2 - 9.4 and is also discussed in Section 8.6.

#### D.2 Functional Requirements for Entropy Input

These requirements are addressed in Parts 2 and 4 of this Standard.

# D.3 Functional Requirements for Other Inputs

No general function requirements are stated for other inputs, which consist of the input parameters indicating a personalization string to be used during instantiation or additional input to be used during pseudorandom bit generation or during reseeding. Personalization strings are discussed in Sections 8.4.2 and 8.5.2, and limits on the size of a personalization string are specified in Sections 9.2 and 10.2.1. Additional input is discussed in Section 8.5.3, and limits on the size of the additional input are specified in Sections 9.3, 9.4 and 10.2.1.

## D.4 Functional Requirements for the Internal State

The requirements for the internal state of a RBG are:

- 1. The internal state **shall** be protected in a manner that is consistent with the use and sensitivity of the output.
  - The internal state **shall** be protected at least as well as the intended use of the pseudorandom output bits requested by the consuming application. (see Section 8.2.3). A DRBG and its internal state(s) **shall** be contained within a DRBG boundary (see Section 8.3).
- 2. The internal state **shall** be functionally maintained properly across power failures, reboots, etc. or regain a secure condition before any output is generated (i.e., either the integrity of the internal state **shall** be assured, or the internal state **shall** be re-initialized with a new statistically unique value).
  - This requirement is outside the scope of this Standard. Fulfilling this requirement may be addressed, for example, by implementing the DRBG in a FIPS 140-2 validated module.
- 3. The RBG **shall** specify the requirements for a particular security level (from the set of [112, 128, 192, 256, or potentially unlimited]) in the internal state components.
  - Documentation requirement: The security level provided by the RBG shall be documented.
  - Sections 8.4, 9.2, 9.3 and the DRBG algorithms in Section 10 address the acquisition of sufficent entropy for the seed to satisfy a given security level. Documentation requirements are listed in Section 11.2.

# D.5 Functional Requirements for the Internal State Transition Function

The requirements for the internal state transition functions of an RBG are:

- 1. The deterministic elements of internal state transition functions **shall** be verifiable via known-answer testing during installation and/or startup and/or initialization, and periodic health tests.
  - A DRBG module **shall** perform self-tests to ensure that the DRBG continues to function properly. Self tests are discussed in Sections 9.7 and 11.4.
- The internal state transition function shall, over time, depend on all the entropy carried by the internal state. That is, added entropy shall affect the internal state.
   This requirement is fulfilled by the design of the DRBGs specified in Section 10.
- 3. The Internal State Transition Function shall resist observation and analysis via power consumption, timing, radiation emissions, or other side channels as appropriate, depending on the access by an observer who could be an adversary.

What is appropriate (if anything) depends on the details of the implementation and shall be described by the implementation documentation.

Documentation requirement: This aspect of the design shall be documented.

This requirement is outside the scope of this Standard. Fulfilling this requirement may be addressed, for example, by implementing the DRBG in a FIPS 140-2 validated module.

4. It **shall not** be feasible (either intentionally or unintentionally) to cause the Internal State Transition Function to return to a prior state in normal operation (this excludes testing and authorized verification of the RBG output), except possibly by chance (depending on the specific design).

This requirement is fulfilled by the design of the DRBGs specified in Section 10.

## D.6 Functional Requirements for the Output Generation Function

The functional requirements for the output generation function are:

- The output generation function shall be deterministic (given all inputs) and shall allow known-answer testing when requested.
  - The determinism of the output generation function is inherent in the DRBG algorithm designs of Section 10. Known answer testing is discussed in Sections 9.7, 11.3 and 11.4.
- 2. The output **shall** be inhibited until the internal state obtains sufficient assessed entropy.
  - Section 8.4 states that a DRBG **shall not** provide output until a seed is available. Sections 9.2 9.5 request entropy at appropriate times during the instantiate, reseed and generate procedures.
- 3. Once a particular internal state has been used for output, the internal state shall be changed before more output is produced. The OGF shall not reuse any bit from the subset of bits of the pool that were used to produce output. An ISTF shall either update the internal state between successive actions of the OGF, or the OGF shall select independent subsets of bits in the internal state without reusing any previously selected bits between updates of the internal state by the ISTF. In the latter case, this process shall update the internal state in order to select a different set of bits from the "pool" of bits from which output is to be dervied.
  - Documentation requirement: This aspect of the design shall be documented.
  - The specifications for the DRBG algorithms in Section 10 include an update of the internal state prior to returning the requested pseudorandom bits to the consuming application. Documentation requirements are listed in Section 11.2.
- 4. Test output from a known answer test shall be separated from operational output

(e.g., random output that is used for a cryptographic purpose).

Section 11.4.1 states that all data output from the DRBG module **shall** be inhibited while operational tests are performed. The results from known-answer tests **shall not** be output as random bits during normal operation.

- 5. The output generation function shall protect the internal state, so that analysis of RBG outputs does not reveal useful information (from the point of view of compromise) about the internal state that could be used to reveal information about other outputs.
  - The DRBG algorithms specified in Section 10 have been designed to fulfill this requirement.
- 6. The output generation function **shall** use information from the internal state that contains sufficient entropy to support the required security level.
  - Documentation requirement: This aspect of the design shall be documented.
  - Providing that the seed used to initialize the DRBG contains the appropriate amount of entropy for the required security level, the output generation function in the DRBGs in this Standard have been designed to fulfill this requirement. Documentation requirements are listed in Section 11.2.
- 7. The output generation function shall resist observation and analysis via power consumption, timing, radiation emissions, or other side channels as appropriate.
  - Documentation requirement: This aspect of the design shall be documented.

This requirement is outside the scope of this Standard. Fulfilling this requirement may be addressed, for example, by implementing the DRBG in a FIPS 140-2 validated module.

## D.7 Functional Requirements for Support Functions

The functional requirements for support functions in Part 1 are:

- An RBG shall be designed to permit testing that will ensure that the generator continues to operate correctly. These tests shall be performed at start-up (after either initialization or re-initialization), upon request and may also be performed periodically or continuously.
  - Section 11.4 specifies a requirement for operational testing. A general method for operational testing is provided in Section 9.7.
- Output shall be inhibited during power-up, on-request and periodic testing until testing is complete and the result is acceptable. If the result is not acceptable, the RBG shall enter an error state.
  - Section 11.4 specifies that operational testing **shall** be conducted during power-up, on demand and at periodic intervals; this section also specifies that output **shall** be

- inhibited during testing. Section 9.7 specifies operational tests.
- 3. Output need not be inhibited during continuous testing unless an unacceptable result is encountered. When an unacceptable result is thus determined, output shall be inhibited, and the RBG shall enter an error state.
  - Continuous testing is not specified for DRBGs.
- 4. When an RBG fails a test, the RBG shall enter an error state and output an error indicator. The RBG shall not perform any operations while in the error state. The other parts of this Standard address error recovery in more detail, as appropriate. Section 11.4 specifies this requirement. Sections 9.7 and 9.8 discuss the error handling process.
- 5. Any other support functions implemented **shall** be documented regarding their purpose and the principles used in their design.
  - Documentation requirements are listed in Section 11.2.

# ANNEX E: (Informative) DRBG Selection

Comment [ebb7]: Page: 123
Some of this may need to be revised, based on the content of Part 4.

# E.1 Choosing a DRBG Algorithm

Almost no system designer starts with the idea that he's going to generate good random bits. Instead, he typically starts with some goal that he wishes to accomplish, then decides on some cryptographic mechanisms such as digital signatures or block ciphers that can help him achieve that goal. Typically, as he begins to understand the requirements of those cryptographic mechanisms, he learns that he will also have to generate some random bits, and that this must be done with great care, or he may inadvertently weaken the cryptographic mechanisms that he has chosen to implement. At this point, there are two things that may guide the designer's choice of a DRBG:

- a. He may already have decided to include a block cipher, hash function, keyed hash function, etc., as part of his implementation. By choosing a DRBG based on one of these mechanisms, he can minimize the cost of adding that DRBG. In hardware, this translates to lower gate count, less power consumption, and less hardware that must be protected against probing and power analysis. In software, this translates to fewer lines of code to write, test, and validate.
  - For example, a designer of a module that does RSA signatures probably already has available some kind of hashing engine, so one of the three hash-based DRBGs is a natural choice.
- b. He may already have decided to trust a block cipher, hash function, keyed hash function, etc., to have certain properties. By choosing a DRBG based on similar properties of these mechanisms, he can minimize the number of algorithms he has to trust.
  - For example, a designer of a module that provides encryption with AES can implement an AES-based DRBG. Since the DRBG is based for its security on the strength of AES, the module's security is not made dependent on any additional cryptographic primitives or assumptions.

The DRBGs specified in this standard have different performance characteristics, implementation issues, and security assumptions.

#### E.2 DRBGs Based on Hash Functions

Two DRBGs are based on any Approved hash function: **Hash\_DRBG**, and **HMAC\_DRBG**. A hash function is composed of an initial value, a padding mechanism and a compression function; the compression function itself may be expressed as **Compress** (*I*, *X*), where *I* is the initial value, and *X* is the compression function input. All of the cryptographic security of the hash function depends on the compression function,

and the compression is by far the most time-consuming operation within the hash function.

The hash-based DRBGs in this Standard allow for some tradeoffs between performance, security assumptions required for the security of the DRBGs, and ease of implementation.

#### E.2.1 Hash DRBG

**Hash\_DRBG** is closely related to the DRBG specified in FIPS-186-2, and can be seen as an updated version of that DRBG that can be used as a general-purpose DRBG. Although a formal analysis of this DRBG is not available, it is clear that the security of the DRBG depends on the security of **Hashgen**. Specifically, an attacker can get a large number of sequences of values:

Hash 
$$(V)$$
, Hash  $(V+1)$ , Hash  $(V+2)$ , ...

If the attacker can distinguish any of these sequences from a random sequence of values, then the DRBG can be broken.

#### E.2.1.1 Implementation Issues

This DRBG requires a hash function, some surrounding logic, and the ability to add numbers modulo  $2^{seedlen}$ , where seedlen is the length of the seed. **Hash\_DRBG** also uses **hash\_df** internally when instantiating, reseeding, or processing additional input. Note that **hash\_df** requires only access to a general-purpose hashing engine and the use of a 32-bit counter. The "critical state values" on which the **Hash\_DRBG** depends for its security (V, C and  $reseed\ counter$ ) require seedlen + outlen + 32 bits of memory<sup>4</sup>.

#### **E.2.1.2 Performance Properties**

Each time that **Hash\_DRBG** is called, a compression function computation is required for each *outlen* bits of requested output (or portion thereof), where *outlen* is the size of the hash function output block. For example, if *outlen* = 160, and 360 bits of pseudorandom data are requested, three compression function calls are made (two to produce the first 320 bits, and a third from which to select the remaining 40 bits. In addition, there is a certain amount of overhead to updating the state in order to achieve backtracking resistance; this requires one compression function call and some additions modulo 2<sup>seedlenn</sup>, plus the update of *reseed\_counter*. For the above example, a total of four compression function calls are required, three to generate the requested output bits, and one to update the state.

## E.2.2 HMAC\_DRBG

**HMAC\_DRBG** is a DRBG whose security is based on the assumption that HMAC is a pseudorandom function. The security of **HMAC\_DRBG** is based on an attacker getting sequences of up to  $2^{35}$  bits, generated by the following steps:

temp = the Null string.

While (len (temp) < requested\_no\_of\_bits:

<sup>&</sup>lt;sup>4</sup> V is seedlen bits long, C is outlen bits long (where gutlen is the length of the hash function output block), and reseed\_counter is a maximum of 32 bits in length.

$$V = \mathbf{HMAC}(K, V).$$
  
 $temp = temp \parallel V.$ 

The steps in the "While" statement iterate  $\lceil requested\_no\_of\_bits/outlen \rceil$  times. Intuitively, so long as V does not repeat, any algorithm that can distinguish this output sequence from an ideal random sequence can be used in a straightforward way to distinguish HMAC from a pseudorandom function.

Between these output sequences, both V and K are updated using the following steps (assuming no additional inputs):

$$K = \mathbf{HMAC} \ (K, (V \parallel 0 \times 01)) = \mathbf{Hash} \ (\mathbf{opad} \ (K) \parallel \mathbf{Hash} \ (\mathbf{ipad} \ (K) \parallel (V \parallel 0 \times 01))).$$
 
$$V = \mathbf{HMAC} \ (K, \ V) = \mathbf{Hash} \ (\mathbf{opad} \ (K) \parallel (\mathbf{Hash} \ (\mathbf{ipad} \ (K) \parallel V)).$$

#### where:

K and V are outlen bits long,

**opad** (K) is K exclusive-ored with (*inlen*/8) bytes of 0x5c, for a total of *inlen* bits, **ipad** (K) is K exclusive-ored with (*inlen*/8) bytes of 0x36, for a total of *inlen* bits, *outlen* is the length of the hash function output block, and *inlen* is the length of the hash function input block.

#### E.2.2.1 Implementation Properties

The only thing required to implement this DRBG is access to a hashing engine. However, the performance of the implementation will improve enormously (by about a factor of two!) with either a dedicated **HMAC** engine, or direct access to the hash function's underlying compression function. The "critical state values" on which **HMAC\_DRBG** depends for its security (K and V) take up 2\*outlen bits in the most compact form, but for reasonable performance, 3\*outlen bits are required in order to precompute padded values.

## E.2.2.2 Performance Properties

**HMAC\_DRBG** is about a factor of two slower than the other two hash-based DRBGs for long bitstrings produced by a single request. That is, each *outlen*-bit piece of the requested pseudorandom output requires two compression function calls to perform the **HMAC** computation. Each output request also incurs another six compression function calls to update the state.

Note that an implementation that has access only to a high-level hashing engine loses another factor of two in performance; if the performance of the DRBG is important, **HMAC\_DRBG** requires either a dedicated **HMAC** engine or access to the compression function that underlies the hash function. However, if performance is not an important issue, the DRBG can be implemented using nothing but a high-level hashing engine.

#### E.3 DRBGs Based on Block Ciphers

#### E.3.1 The Two Constructions: CTR and OFB

This standard describes two classes of DRBGs based on block ciphers: One class uses the block cipher in OFB-mode, the other class uses the CTR-mode. There are no practical security differences between these two DRBGs; CTR mode guarantees that short cycles cannot occur in a single output request, while OFB-mode guarantees that short cycles will have an extremely low probability. OFB-mode makes slightly less demanding assumptions on the block cipher, but the security of both DRBGs relates in a very simple and clean way to the security of the block cipher in its intended applications. This is a fundamental difference between these DRBGs and the DRBGs based on hash functions, where the DRBG's security is ultimately based on pseudorandomness properties that don't form a normal part of the requirements for hash functions. An attack on any of the hash-based DRBGs does not necessarily represent a weakness in the hash function; however, for these block cipher-based constructions, a weakness in the DRBG is directly related to a weakness in the block cipher.

Specifically, suppose that there is an algorithm for distinguishing the outputs of either DRBG from random with some advantage. If that algorithm exists, it can be used to build a new algorithm for distinguishing the block cipher from a random permutation, with the same time and memory requirements and advantage.

Because there is no practical security difference between the two classes of block-cipher based DRBGs, the choice between the two constructions is entirely a matter of implementation convenience and performance. An implementation that uses a block cipher in OFB, CBC, or full-block CFB mode can easily be used to implement the OFB-based DRBG construction; an implementation that already supports counter mode can reuse that hardware or software to implement the counter-mode DRBG. In terms of performance, the CTR-mode construction is more amenable to pipelining and parallelism, while the OFB-mode construction seems to require slightly less supporting hardware.

# E.3.2 Choosing a Block Cipher

While security is not an issue in choosing between the two DRBG constructions, the choice of the block cipher algorithm to be used is more of an issue. At present, only TDEA and AES are approved block cipher algorithms. However, two block cipher DRBG constructions will work for any block cipher with a block length  $\geq$  64 and key length  $\geq$  112. TDEA's 64-bit block imposes some fundamental limits on the security of these constructions, though these limits don't appear to lead to practical security issues for most applications.

Consider a sequence of the maximum permitted number of generate requests, each producing the maximum number of DRBG outputs from each generate call. Assuming that the block cipher behaves like a pseudorandom permutation family, the probability of distinguishing the full sequence of output bytes is:

- 1. For AES-128, there are a maximum of  $2^{28}$  blocks (i.e.,  $2^{32}$  bytes =  $2^{35}$  bits) generated per Generate (...) request.  $2^{32}$  total Generate (...) requests allowed.  $2^{128}$  possible keys, and  $2^{128}$  possible starting blocks.
  - a. The probability of an internal collision in a single **Generate (...)** request is never higher than about 2<sup>-96</sup>, and so the probability of an internal collision in any given **Generate (...)** request is never higher than about 2<sup>-64</sup>. (This applies only to the OFB-mode, but a collision of this kind would result in a very easy distinguisher.)
  - b. The expected probability of an internal collision in a sequence of 2<sup>28</sup> random 128-bit blocks is about 2<sup>-74</sup>. Thus, the probability of seeing an internal collision in any of the **Generate** (...) sequences is about 2<sup>-42</sup>. This probability is low enough that it does not provide an efficient way to distinguish between DRBG outputs and ideal random outputs.
- c. The probability of a key colliding between any two **Generate** (...) requests in the sequence of  $2^{32}$  such requests is never larger than about  $2^{-65}$ . This is also negligible. (For AES-192 and AES-256, this probability is even smaller.)
- 2. For Two-key TDEA with 112-bit keys and 64-bit blocks, things are a bit different: There are 2<sup>16</sup> Generate (...) requests allowed, and a maximum of 2<sup>13</sup> blocks (i.e., 2<sup>16</sup> bytes = 2<sup>19</sup> bits) generated per Generate (...) request. (Note that this breaks the more general model in this document of assuming 2<sup>64</sup> innocent operations.) In this case:
  - a. The probability of an internal collision is never higher than about 2<sup>-51</sup> per Generate (...) request, and with only 2<sup>16</sup> such requests allowed, the probability of ever seeing such an internal collision in a sequence of requests is never more than about 2<sup>-35</sup>. (Note that if more requests are allowed, as required by the 2<sup>64</sup> bound assumed elsewhere in the document, there would be an unacceptably high probability of this event happening at least once.)
  - b. The expected probability of an internal collision in a sequence of 2<sup>13</sup> 64-bit blocks is about 2<sup>-38</sup>. Thus, the probability of ever seeing an internal collision in 2<sup>16</sup> output sequences is still an acceptably low 2<sup>-22</sup>. (Note that if more Generate (...) requests are allowed there would be an unacceptably high probability of this happening, leading to an efficient distinguisher between this DRBG's outputs and ideal random outputs.
  - c. The probability of a key colliding between any two of the 2<sup>16</sup> Generate (...) requests is about 2<sup>-56</sup>, which is negligible. (Note that the probability would be

much higher if the number of allowed Generate (...) requests is not limited.)

To summarize: block size matters much more than the choice of DRBG construction that is used. The limits on the numbers of Generate (...) requests and the number of output bits per request require frequent reseeding of the DRBG. Furthermore, the limits guarantee that even with reseeding, an attacker that is given a really long sequence of DRBG outputs from several reseedings cannot distinguish that output sequence from random reliably. The block cipher DRBGs used with TDEA are suitable for low-throughput applications. but not for applications requiring really large numbers of DRBG outputs. For concreteness, if an application is going to require more than 2<sup>32</sup> output bytes (2<sup>35</sup> bits) in its lifetime, that application should not use a block cipher DRBG with TDEA or any other 64-bit block cipher.

## E.3.3 Conditioned Entropy Sources and the Derivation Function

The block cipher DRBGs are defined to be used in one of two ways for initializing the DRBG state during instantiation and reseeding: Either with freeform input strings containing some specified amount of entropy, or with full-entropy strings of precisely specified lengths The freeform strings will require the use of a derivation function, whereas the use of full-entropy strings will not. The block cipher derivation function has not been finalized yet. but is expected to use the block cipher algorithm to compute a several parallel CBC-MACs on the input string under a fixed key and using different IVs. to use the result to produce a key and starting block, and run the block cipher in OFB-mode to generate outputs from the derivation function. An implementation must choose whether to provide conditioned entropy bits, or to support the derivation function. This is a high-level system design decision; it affects the kinds of entropy sources that may be used, the gate count or code size of the implementation, and the interface that applications will have to the DRBG. On one extreme, a very low gate count design may use hardware entropy sources that are easily conditioned, such as a bank of ring oscillators that are exclusiveored together, rather than to support a lot of complicated processing on input strings. On the other extreme, a general-purpose DRBG implementation may need the ability to process freeform input strings as personalization strings and additional inputs; in this case. the block cipher derivation function must be implemented.

## E.4 Summary and Comparison

# E.4.1 Security

It is interesting to contrast the three ways that the hash function is used in these DRBGs:

#### Hash DRBG:

Hash (V), Hash (V+1), Hash (V+2)...

The only unknown input into the compression function used by the hash function is this sequence of secret values, V+i. Since the initial value of the hash function is publicly known, the attacker is given full knowledge of all but *seedlen* bits of input into the compression function, and knowledge of the close relationship between these inputs, as

well.

#### **HMAC DRBG:**

```
V_1 = \mathbf{HMAC}\ (K,\ V_0) = \mathbf{Hash}\ (\mathbf{opad}\ (K) \parallel (\mathbf{Hash}\ (\mathbf{ipad}\ (K) \parallel V_0)).
V_2 = \mathbf{HMAC}\ (K,\ V_1) = \mathbf{Hash}\ (\mathbf{opad}\ (K) \parallel (\mathbf{Hash}\ (\mathbf{ipad}\ (K) \parallel V_1)).
V_3 = \mathbf{HMAC}\ (K,\ V_2) = \mathbf{Hash}\ (\mathbf{opad}\ (K) \parallel (\mathbf{Hash}\ (\mathbf{ipad}\ (K) \parallel V_2)).
etc
```

as specified in Annex E.2.2.

The attacker knows many specific bits of the input to the final compression function whose output he sees; for SHA-256, the compression function takes a total of 768 bits of input, and the attacker knows 256 of those bits<sup>5</sup>. (This is worse for SHA-1 and SHA-384.) On the other hand, the attacker doesn't even know the exclusive-or relationships for *outlen* bits of the message input. In the case of SHA-256, this means that 256 bits are unknown.

It is clear that <code>Hash\_DRBG</code> makes the strongest assumptions on the strength of the compression function. Although they are not precisely comparable, and <code>HMAC\_DRBG</code> seems to make somewhat weaker assumptions on the compression function. Specifically, <code>HMAC\_DRBG</code> allows an attacker to precisely know many bits of the input to the compression functions, but not to know complete exclusive-or or additive relationships between these bits of input.

#### E.4.2 Performance / Implementation Tradeoffs

The following performance and implementation tradeoffs should be considered when selecting a hash-based DRBG with regard to the overhead associated with requesting pseudorandom bits, the cost of actually generating *outlen* bits (not including the overhead), and the memory required for the critical state values for each DRBG. The overhead is, essentially, the cost of updating the state prior to the next request for pseudorandom bits. The cost of generating each *outlen* bits of output should be multiplied by the number of *outlen* bit blocks of output required in order to obtain the true cost of pseudorandom bit generation. Both the overhead and generation costs assume that prediction resistance and reseeding are not required, and that additional input is not provided for the request; if this is not the case, the costs are increased accordingly. Note that the memory requirements do not take into account other information in the state that is required for a given DRBG.

# Hash\_DRBG:

<sup>&</sup>lt;sup>5</sup> The innermost hash function provides *outlen* bits of input after its two compression function calls on **ipad** (K) and V. The outermost hash function also requires two compression functions: the first operates on **opad** (K) and produces *outlen* bits that are used as the chaining value for the final compression function on the result from the innermost hash function concatenated with the hash function padding. Therefore, the input to the final compression function is the length of the chaining value (*outlen* bits) + the length of the ouput from the innermost hash function (*outlen* bits) + the length of the padding (*inlen - outlen* bits). In the case of SHA-256, where *inlen* = 512, and *outlen* = 256, the length of the input to the last compression function is 768 bits, of which only the padding bits are known (256 bits).

Request overhead: one compression function and several additions mod 2<sup>seedlen</sup>.

Cost for outlen bits of pseudorandom output: one compression function.

Memory required for the critical state values V, C and  $reseed\_counter$ : inlen + outlen + 32

## HMAC\_DRBG (with access to the hash function's compression function):

Request overhead: six compression functions<sup>6</sup>.

Cost for *outlen* bits of pseudorandom output: two compression functions.

Memory required for the critical state values K and V: 3\*outlen bits when precomputation is used.

# HMAC\_DRBG (hash engine access only):

Request overhead: eight compression function calls<sup>7</sup>.

Cost for *outlen* bits of pseudorandom output: four compression functions8.

Memory required for the critical state values K and V: 2\*outlen bits, since precomputation is unavailable.

For these DRBGs, additional inputs provided during pseudorandom bit generation add considerably to the request overhead. Instantiation and reseeding are somewhat more expensive than pseudorandom output generation; however, these relatively rare operations can afford to be somewhat more expensive to minimize the chances of a successful attack.

## E.3 DRBGs Based on Block Ciphers

# E.4 DRBGs Based on Hard Problems

The **Dual\_EC\_DRBG** and **MS\_DRBG** base their security on a "hard" number-theoretic problem. For the types of curves used in the **Dual\_EC\_DRBG**, the Elliptic Curve Discrete Logarithm Problem has no known attacks that are better than the "meet-in-the-middle" attacks, with a work factor of  $\operatorname{sqrt}(2^m)$ . In the case of MS\_DRBG, which is based loosely on the RSA problem, the work factor of the best algorithm is more complex to state, but well-established.

These algorithms are decidedly less efficient to implement than some of the others. However, in those cases where security is the utmost concern, as in SSL or IKE exchanges, the additional complexity is not usually an issue. Except for dedicated servers, time spent on the exchanges is just a small portion of the computational load; overall, there is no impact on throughput by using a number-theoretic algorithm. As for SSL or IPSEC

<sup>&</sup>lt;sup>6</sup> Two compression functions for each HMAC computation, and two compression functions for precomputation.

<sup>&</sup>lt;sup>7</sup> There are two HMAC computations, each requiring two hash function calls. Each hash computation requires two compression function calls.

<sup>&</sup>lt;sup>8</sup> The single HMAC computation requires four compression functions as explained in the previous footnote.

servers, more and more of these servers are getting hardware support for cryptographic primitives like modular exponentiation and elliptic curve arithmetic for the protocols themselves. Thus, it makes sense to utilize those same primitives (in hardware or software) for the sake of high-security random numbers.

#### ANNEX F: (Informative) Example Pseudocode for Each DRBG

#### F.1 Preliminaries

The internal states in these examples are considered to be an array of states, identified by *state\_handle*. A particular state is addressed as *internal\_state* (*state\_handle*), where the value of *state\_handle* begins at 0 and ends at *n*-1, and *n* is the number of internal states provided by an implementation. A particular element in the internal state is addressed by *internal state* (*state\_handle*).*element*.

The pseudocode in this annex does not include the necessary conversions (e.g., integer to bit string) for an implementation. When conversions are required, they must be accomplished as specified in annex B.

The following routines are defined for these pseudocode examples:

- 1. **Find\_state\_space** (): A function that finds an unused internal state. The function returns a *status* (either "Success" or a message indicating that an unused internal state is not available) and, if *status* = "Success", a *state\_handle* that points to an available *internal\_state* in the array of internal states. If *status* ≠ "Success", an invalid *state\_handle* is returned.
- 2. Get\_entropy (min\_entropy, min\_length, max\_length): A function that acquires a string of bits from an entropy input source. The function returns a status (either "Success" or a failure message) and, if status = "Success", an entropy\_input string. If status ≠ "Success", a Null string is returned as the entropy\_input. For this routine, min\_entropy is the minimum amount of entropy to be provided in the entropy\_input, min\_length is the minimum length of the entropy\_input string, and max\_length is the maximum length of the entropy\_input string to be returned.

## F.2 Hash\_DRBG Example

## F.2.1 Discussion

This example of **Hash\_DRBG** uses the SHA-1 hash function, and prediction resistance is supported in the example. Both a personalization string and additional input are allowed. A total of 10 internal states are provided (i.e., 10 instantiations may be handled simultaneously). For this implementation, the procedures and algorithms are "inline", i.e., the algorithms are not called as separate routines from the procedure envelopes.

The internal state contains values for V, C,  $reseed\_counter$ ,  $security\_level$  and  $prediction\_resistance\_flag$ , where V and C are bitstrings, and  $reseed\_counter$ ,  $security\_level$  and the  $prediction\_resistance\_flag$  are integers. A requested prediction resistance capability is indicated when  $prediction\_resistance\_flag = 1$ . Note: an empty internal state is represented as  $\{Null, Null, 0, 0, 0\}$ .

In accordance with Table 3 in Section 10.1.1, the 112 and 128 bit security levels may be supported. Using SHA-1, the following definitions are applicable for the instantiate,

generate and reseed procedures and algorithms:

- 1. highest\_supported\_security\_level = 128.
- 2. Output block length (outlen) = 160.
- 3. Required minimum entropy for instantiation = security\_level + 64.
- 4. Required minimum entropy for reseed = security level.
- 5. Minimum entropy input length (min\_entropy\_input\_length) = min\_entropy = {security level + 64 for instantiation; security level for reseed}.
- 6. Seed length (seedlen) = 440.
- 7. Maximum number of bits per request (max\_number\_of\_bits\_per\_request) = 5000 bits.
- 8. Reseed interval (reseed interval) = 100,000 requests.
- 9. Maximum length of the personalization string (max\_personalization\_string\_length) = 500 bits.
- 10. Maximum length of additional\_input (max\_additional\_input\_string\_length) = 500 bits
- 11. Maximum length of entropy input (max entropy\_input\_length) = 1000.

#### F.2.2 Instantiation of Hash\_DRBG

This implementation will return a text message and an invalid state handle (-1) when an error is encountered.

Note that this implementation does not check the *prediction\_resistance\_flag*, since the implementation can handle prediction resistance. However, if an application actually wants prediction resistance, the implementation expects that *prediction\_resistance\_flag* = 1 during instantiation; this will be used in the generate procedure in Annex F.2.4.

#### Instantiate Hash DRBG (...):

**Input:** integer (requested\_security\_level, prediction\_resistance\_flag), bitstring personalization\_string).

Output: string status, integer state\_handle.

## **Process:**

Comment: Check the input parameters.

- 1. If (requested\_strength > 128), then Return ("Invalid requested\_strength", -1).
- 2. If (len (personalization\_string) > 500), then Return ("Personalization\_string too long", -1).

Comment: Set the security\_level to one of the

valid security strengths.

3. If (requested\_security\_level ≤ 112), then security\_level = 112 Else security\_level = 128.

Comment: Get the entropy\_input.

- 4.  $min\ entropy = security\ level + 64$ .
- 5. (status, entropy\_input) = Get\_entropy (min\_entropy, min\_entropy, 1000).
- 6. If (status ≠ "Success"), then **Return** ("Failure indication returned by the entropy\_input source:" || status, -1).

Comment: The instantiate algorithm is provided in steps 7-11.

- 7. seed material = entropy\_input | personalization\_string.
- 8.  $seed = Hash_df (seed_material, 440)$ .
- 9. V = seed.
- 10.  $C = Hash_df((0x00 \parallel V), 440)$ .
- 11.  $reseed\_counter = 1$ .

Comment: Find an unused internal state and save the initial values.

- 12. (status, state\_handle) = Find\_state\_space ( ).
- 13. If  $(status \neq \text{``Success''})$ , then **Return** (status, -1).
- 14. internal\_state (state\_handle) = {V, C, reseed\_counter, security\_level, prediction\_resistance\_flag}.
- 15. Return ("Success", state handle).

# F.2.3 Reseeding a Hash\_DRBG Instantiation

The implementation is designed to return a text message as the *status* when an error is encountered.

## Reseed\_Hash\_DRBG\_Instantiation (...):

**Input:** integer *state\_handle*, bitstring *additional\_input*.

Output: string status.

Process:

Comment: Check the validity of the

state handle.

1. If  $((state\_handle > 9)$  or  $(internal\_state (state\_handle) = \{Null, Null, 0, 0, 0\}))$ , then **Return** ("State not available for the state handle").

Comment: Get the internal state values needed to determine the new internal state.

Get the appropriate internal\_state values, e.g., V =
 internal\_state(state\_handle).V, security\_level =
 internal\_state(state\_handle).security\_level.

Check the length of the additional\_input.

3. If (len (additional input) > 500), then Return ("Additional input too long").

Comment: Get the entropy\_input.

- 4.  $min\ entropy = security\ level$ .
- 5. (status, entropy\_input) = Get\_entropy (min\_entropy, min\_entropy, 1000).
- 6. If (status ≠ "Success"), then **Return** ("Failure indication returned by the entropy\_input source:" || status).

Comment: The reseed algorithm is provided in steps 7-11.

- 7.  $seed\ material = 0x01 \parallel V \parallel entropy\ input \parallel additional\ input.$
- 8. seed = Hash df (seed material, 440).
- 9. V = seed.
- 10. C =Hash df ((0x00 || V), 440).
- 11.  $reseed\ counter = 1$ .

Comment: Update the *working\_state* portion of the internal state.

- 12. Update the appropriate state values.
  - 12.1 internal state (state handle). V = V.
  - 12.2  $internal\_$  state  $(state\_handle).C = C.$
  - 12.3 internal\_state (state\_handle.reseed\_counter = reseed\_counter.
- 13. Return ("Success").

# F.2.4 Generating Pseudorandom Bits Using Hash\_DRBG

The implementation returns a Null string as the pseudorandom bits if an error has been detected. Prediction resistance is requested when  $prediction\_resistance\_request = 1$ .

In this implementation, prediction resistance is requested by supplying

prediction resistance request = 1 when the Hash DRBG procedure is invoked.

#### Hash DRBG (...):

**Input:** integer (state\_handle, requested\_no\_of bits, requested\_security\_level, prediction resistance request), bitstring additional input.

Output: string status, bitstring pseudorandom bits.

## **Process:**

Comment: Check the validity of the *state handle*.

1. If ((state\_handle > 9) or (state (state\_handle) = {Null, Null, 0, 0, 0})), then **Return** ("State not available for the state handle", Null).

Comment: Get the internal state values.

2. V = internal\_state (state\_handle).V, C = internal\_state (state\_handle).C, reseed\_counter = internal\_state (state\_handle).reseed\_counter, security\_level = internal\_state (state\_handle).security\_level, prediction\_resistance\_flag = internal\_state (state\_handle).prediction\_resistance\_flag.

Comment: Check the validity of the other input parameters.

- If (requested\_no\_of\_bits > 5000) then Return ("Too many bits requested", Null).
- 4. If (requested\_security\_level > security\_level), then **Return** ("Invalid requested\_security\_level", Null).
- If (len (additional\_input) > 500), then Return ("Additional\_input too long", Null).
- 6. If ((prediction\_resistance\_request = 1) and (prediction\_resistance\_flag ≠ 1)), then **Return** ("Prediction resistance capability not instantiated", Null).

Comment: Reseed if necessary. Note that since the instantiate algorithm is inline with the procedures, this step has been written as a combination of steps 6 and 7 of Section 9.4 and step 1 of the generate algorithm in Section 10.1.2.2.4. Because of this combined step, step 11.4 of Section 7.4.is not required.

- 7. If ((reseed counter > 100,000) OR (prediction\_resistance\_request = 1)), then
  - 7.1 status = Reseed\_ Hash\_DRBG\_Instantiation (state\_handle, additional\_input).

- 7.2 If (status ≠ "Success"), then **Return** (status, Null).
  - Comment: Get the new internal state values.
- 7.3 V = internal\_state (state\_handle).V, C = internal\_state (state\_handle).C, reseed\_counter = internal\_state (state\_handle).reseed\_counter, security\_level = internal\_state (state\_handle).security\_level, prediction\_resistance\_flag = internal\_state (state\_handle).prediction\_resistance\_flag.
- 7.4 additional input = Null.

Comment: Steps 8-16 provide the rest of the generate algorithm. Note that in this implementation, the **Hashgen** routine is also inline as steps 9-13.

- 8. If (additional input  $\neq$  Null), then do
  - 7.1 w =**Hash**  $(0x02 \parallel V \parallel additional\_input).$

7.2 
$$V = (V + w) \mod 2^{440}$$
.

9. 
$$m = \left\lceil \frac{requested\_no\_of\_bits}{outlen} \right\rceil$$
.

- 10. data = V.
- 11. W = the Null string.
- 12. For i = 1 to m
  - 12.1  $w_i = \mathbf{Hash} (data)$ .
  - 12.2  $W = W || w_i$
  - 12.3  $data = (data + 1) \mod 2^{seedlen}$ .
- 13. pseudorandom bits = Leftmost (requested no\_of\_bits) bits of W.
- 14. H =**Hash** (0x03 || V).
- 15.  $V = (V + H + C + reseed\_counter) \mod 2^{440}$ .
- 16.  $reseed\ counter = reseed\ counter + 1$ .

Comments: Update the working state.

- 13. Update the changed values in the state.
  - 13.1  $internal state (state\_handle).V = V.$
  - 13.2 internal\_state (state\_handle).reseed\_counter = reseed\_counter.
- 14. Return ("Success", pseudorandom bits).

## F.3 HMAC\_DRBG Example

#### F.3.1 Discussion

This example of HMAC\_DRBG uses the SHA-256 hash function. The reseed and, thus, the prediction resistance is not provided. A personalization string is allowed, but additional input is not. A total of 3 internal states are provided. For this implementation, the procedures and algorithms are written as separate routines.

The internal state contains the values for V, Key,  $reseed\_counter$ , and  $security\_level$ , where V and C are bitstrings, and  $reseed\_counter$  and  $security\_level$  are integers.

In accordance with Table 3 in Section 10.1.1, security levels of 112, 128, 192 and 256 may supported. Using SHA-256, the following definitions are applicable for the instantiate and generate procedures and algorithms:

- 1. highest supported security level = 256.
- 2. Output block (outlen) = 256.
- 3. Required minimum entropy for instantiation =  $security\ level + 64$ .
- 4. Minimum entropy input length (min entropy input length) = security level + 64.
- 5. Seed length (seedlen) = 440.
- Maximum number of bits per request (max\_number\_of\_bits\_per\_request) = 7500 bits.
- 7. Reseed\_interval (reseed\_interval) = 10,000 requests.
- 8. Maximum length of the personalization string (max\_personalization\_string\_length) = 100.
- 9. Maximum length of the entropy input (max entropy input length) = 1000.

# F.3.2 Instantiation of HMAC\_DRBG

This implementation will return a text message and an invalid state handle (-1) when an error is encountered.

# Instantiate\_HMAC\_DRBG (...):

Input: integer (requested\_security\_level), bitstring personalization\_string.

Output: string status, integer state\_handle.

## **Process:**

Check the validity of the input parameters.

- If (requested\_strength > 256), then Return ("Invalid requested\_security\_level",
   -1).
- 2. If (len (personalization\_string)>100), then Return ("Personalization\_string

too long", -1)

Comment: Set the *security\_level* to one of the valid security levels.

If (requested\_security\_level ≤ 112), then security\_level = 112
 Else (requested\_security\_level ≤ 128), then security\_level = 128
 Else (requested\_security\_level ≤ 192), then security\_level = 192
 Else security\_level = 256.

Comment: Get the entropy input.

- 4.  $min\ entropy = security\ level + 64$ .
- 5. (status, entropy\_input) = **Get\_entropy** (min\_entropy, min\_entropy, 1000).
- 6. If (*status* ≠ "Success"), then **Return** ("Failure indication returned by the entropy source" || *status*, -1).

Comment: Invoke the instantiate algorithm.

7. (V, Key, reseed\_counter) = Instantiate\_algorithm (entropy\_input, personalization\_string).

Comment: Find an unused internal state and save the initial values.

- 8. (status, state handle) = Find state\_space().
- 9. If (status ≠ "Success"), then **Return** ("No available state space" || status, -1).
- 10. internal\_state (state\_handle) = {V, Key, reseed\_counter, security\_level}.
- 11. Return ("Success" and state handle).

## Instantiate algorithm (...):

Input: bitstring (entropy\_input, personalization\_string).

**Output:** bitstring (V, Key), integer reseed\_counter.

## **Process:**

- 1. seed\_material = entropy\_input || personalization\_string.
- 2. Set Key to outlen bits of zeros.
- 3. Set V to outlen/8 bytes of 0x01.
- 4.  $(Key, V) = Update (seed\_material, Key, V)$ .
- 5.  $reseed\_counter = 0$ .
- 6. Return (V, Key, reseed\_counter).

#### F.3.3 Generating Pseudorandom Bits Using HMAC\_DRBG

The implementation returns a *Null* string as the pseudorandom bits if an error has been detected. The procedure uses the **Update** function specified in Section 10.1.3.2.2.

#### HMAC DRBG(...):

**Input:** integer (state handle, requested no\_of\_bits, requested\_security\_level).

Output: string (status), bitstring pseudorandom bits.

#### Process:

Comment: Check for a valid state handle.

1. If ((state\_handle > 3) or (internal\_state (state\_handle) = {Null, Null, 0, 0}), then **Return** ("State not available for the indicated state handle", Null).

Comment: Get the internal state.

 V = internal\_state (state\_handle).V, Key = internal\_state (state\_handle).Key, security\_level = internal\_state (state\_handle).security\_level, reseed\_counter = internal\_state (state\_handle).reseed\_counter.

Comment: Check the validity of the rest of the input parameters.

- If (requested\_no\_of\_bits > 7500), then Return ("Too many bits requested", Null).
- 4. If (requested\_security\_level > security\_level), then **Return** ("Invalid requested security level", Null).

Comment: Invoke the generate algorithm.

- 6. (status, pseudorandom\_bits, V, Key, reseed\_counter) = Generate\_algorithm (V, Key, reseed\_counter, requested\_number\_of\_bits).
- 7. If (*status* ≠ "Success"), then **Return** ("DRBG can no longer be used. Please reinstantiate or reseed", *Null*).

Comment: Update the internal state.

- 11.  $internal\_state$  ( $state\_handle$ ) = {V, Key,  $security\_level$ ,  $reseed\_counter$ }.
- 12. Return ("Success", pseudorandom bits).

# Generate\_algorithm (...):

**Input**: bitstring (*V*, *Key*), integer (*reseed\_counter*, *requested\_number\_of\_bits*).

**Output**: string *status*, bitstring (*pseudorandom\_bits*, *V*, *Key*), integer *reseed\_counter*.

## Process:

1 If (reseed counter  $\geq$  10,000), then **Return** ("Reseed required", Null, V, Key,

reseed counter).

- 2. temp = Null.
- 3 While (len (temp) < requested\_no\_of bits) do:
  - 3.1  $V = \mathbf{HMAC} (Key V)$ .
  - 3.2  $temp = temp \parallel V$ .
- 4. pseudorandom bits = Leftmost (requested no of bits) of temp.
- 5.  $(Key, V) = Update (additional\_input, Key, V)$ .
- 6.  $reseed\ counter = reseed\ counter + 1$ .
- 7. **Return** ("Success", pseudorandom bits, V, Key, reseed counter).

# F.4 CTR\_DRBG Example

#### F.4.1 Discussion

This example of CTR\_DRBG uses AES-128. The reseed and prediction resistance capabilities are available, and a block cipher derivation function using AES-128 is used. Both a personalization string and additiona input are allowed. A total of 5 internal states are available. For this implementation, the procedures and algorithms are written as separate routines.

The internal state contains the values for V, Key,  $reseed\_counter$ ,  $security\_level$  and  $prediction\_resistance\_flag$ , where V and Key are integers, and all other values are integers.

In accordance with Table 4 in Section 10.2.1, security levels of 112 and 128 may be supported. Using AES-128, the following definitions are applicable for the instantiate, reseed and generate procedures:

- 1. highest\_supported\_security\_level = 128.
- 2. Output block length (outlen) = 128.
- 3. Key length (keylen) = 128.
- 4. Required minimum entropy for instantiate = security level + 64.
- 5. Required minimum entropy for reseed = security level.
- 6. Minimum entropy input length (min\_entropy\_input\_length) = min\_entropy.
- 7. Maximum entropy input length (max\_entropy\_input\_length) = 1000.
- 8. Maximum personalization string input length (max\_personalization\_string\_input\_length) = 500.
- 9. Maximum additional input length (max additional input length) = 500.
- 10. Seed length (seedlen) = 256.

- 11. Maximum number of bits per request (max\_number\_of\_bits\_per\_request) = 4000.
- 12. Reseed interval (reseed interval) = 100,000 requests.

## F.4.2 The Update Function

#### **Update** (...):

**Input:** bitstring (provided data, Key, V).

Output: bitstring (Key, V).

#### Process:

- 1. temp = Null.
- 2. While (len (temp) < 256) do
  - 3.1  $V = (V+1) \mod 2^{128}$ .
  - 3.2  $output block = AES\_ECB\_Encrypt (Key, V)$ .
  - 3.3  $temp = temp || ouput\_block.$
- 4. temp = Leftmost 256 bits of temp.
- 5  $temp = temp \oplus provided data$ .
- 6. Key = Leftmost 128 bits of temp.
- 7. V =Rightmost 128 bits of *temp*.
- 8. Return (Key, V).

# F.4.3 Instantiation of CTR\_DRBG

This implementation will return a text message and an invalid state handle (-1) when an error is encountered. **Block\_Cipher\_df** is the derivation function in Section 9.6.3.

Note that this implementation does not check the *prediction\_resistance\_flag*, since the implementation can provide prediction resistance. However, if an application actually wants prediction resistance for a pseudorandom bit string, the implementation expects that  $prediction\_resistance\_flag = 1$  during instantiation (i.e., an application may not require prediction resistance for an instantiation).

# Instantiate\_CTR\_DRBG (...):

**Input:** integer (requested\_security\_level, prediction\_resistance\_flag), bitstring personalization\_string.

Output: string status, integer state\_handle.

**Process:** 

Comment: Check the validity of the input parameters.

- If (requested\_security\_level > 128) then Return ("Invalid requested security level", -1).
- 2. If (len (personalization\_string) > 500), then Return ("Personalization\_string too long", -1).
- 3. If (requested\_security\_level ≤ 112), then security\_level = 112 Else security\_level = 128.

Comment: Get the entropy input.

- 4.  $min\_entropy = security\_level + 64$ .
- 5. (status, entropy input) = Get\_entropy (min\_entropy, min\_entropy, 1000).
- 6. If (*status* ≠ "Success"), then **Return** ("Failure indication returned by the entropy source" || *status*, -1).

Comment: Invoke the instantiate algorithm.

7. (V, Key, reseed\_counter) = Instantiate\_algorithm (entropy\_input, personalization\_string).

Comment: Find an available internal state and save the initial values.

- 8. (status, state\_handle) = Find\_state\_space ().
- 9. If (status ≠ "Success"), then **Return** ("No available state space" || status, -1).

Comment: Save the internal state.

- 10. internal\_state\_ (state\_handle) = {V, Key, reseed\_counter, security\_level, prediction resistance flag }.
- 11. Return ("Success", state handle).

#### Instantiate algorithm (...):

Input: bitstring (entropy\_input, personalization\_string).

Output: bitstring (V, Key), integer (reseed\_counter).

#### **Process:**

- 1. seed\_material = entropy\_input || personalization\_string.
- 2. seed material = Block Cipher df (seed material, 256).
- 3.  $Key = 0^{128}$ .

Comment: 128 bits.

4.  $V = 0^{128}$ .

Comment: 128 bits.

- 5.  $(Key, V) = Update (seed\_material, Key, V)$ .
- 6.  $reseed\ counter = 1$ .

7. Return (V, Key, reseed counter).

#### F.4.4 Reseeding a CTR\_DRBG Instantiation

The implementation is designed to return a text message as the *status* when an error is encountered.

# Reseed\_CTR\_DRBG\_Instantiation (...):

Input: integer (state\_handle), bitstring additional\_input.

Output: string status.

**Process:** 

Comment: Check for the validity of *state handle*.

1. If ((state\_handle > 5) or (internal\_state(state\_handle) = {Null, Null, 0, 0, 0, }), then **Return** ("State not available for the indicated state\_handle").

Comment: Get the internal state values.

- V = internal\_state (state\_handle).V, Key = internal\_state (state\_handle).Key, security\_level = internal\_state (state\_handle).security\_level, prediction\_resistance\_flag = internal\_state (state\_handle).prediction\_resistance\_flag.
- 3. If (len (additional input) > 500), then Return ("Additional\_input too long").
- 4.  $min\_entropy = security\_level + 64$ .
- 5. (status, entropy\_input) = Get\_entropy (min\_entropy, min\_entropy, 1000).
- 6. If (*status* ≠ "Success"), then **Return** ("Failure indication returned by the entropy source" || *status*).

Comment: Invoke the reseed algorithm.

7. (V, Key, reseed\_counter) = Reseed\_algorithm (V, Key, reseed\_counter, entropy input, additional input).

Comment: Save the new internal state.

- 8. internal\_state (state\_handle) = {V, Key, reseed\_counter, security\_level, reseed\_counter, prediction\_resistance\_flag}.
- 9. Return ("Success").

# Reseed\_algorithm (...):

**Input**: bitstring (*V*, *Key*), integer (*reseed\_counter*), bitstring (*entropy\_input*, additional\_input).

**Output:** bitstring (V, Key), integer (reseed counter).

### Process:

- 1. seed material = entropy input || additional input.
- 2. seed material = Block Cipher\_df (seed material, 256).
- 3. (Key, V) = Update (seed material, Key, V).
- 4.  $reseed\ counter = 1$ .
- 5. Return (V, Key, reseed\_counter).

## F.4.5 Generating Pseudorandom Bits Using CTR\_DRBG

The implementation returns a *Null* string as the pseudorandom bits if an error has been detected.

## CTR\_DRBG(...):

**Input:** integer (state\_handle, requested\_no\_of\_bits, requested\_security\_level, prediction\_resistance\_request), bitstring additional\_input.

Output: string status, bitstring pseudorandom\_bits.

#### **Process:**

Comment: Check the validity of state handle.

1. If ((state\_handle > 5) or (internal\_state (state\_handle) = {Null, Null, 0, 0, 0}), then **Return** ("State not available for the indicated state handle", Null).

Comment: Get the internal state.

2. V = internal\_state (state\_handle).V, Key = internal\_state (state\_handle).Key, security\_level = internal\_state (state\_handle).security\_level, reseed\_counter = internal\_state (state\_handle).reseed\_counter, prediction\_resistance\_flag = internal\_state (state\_handle).prediction\_resistance\_flag.

Comment: Check the rest of the input parameters.

- 3. If (requested\_no\_of\_bits > 4000), then **Return** ("Too many bits requested", Null).
- 4. If (requested\_security\_level > security\_level), then **Return** ("Invalid requested\_security\_level", Null).
- 5. If (len (additional\_input) > 500), then Return ("Additional\_input too long", Null).
- 6. If ((prediction\_resistance\_request = 1) and (prediction\_resistance\_flag ≠ 1)), then **Return** ("Prediction resistance capability not instantiated", *Null*).
- 7.  $reseed\_required\_flag = 0$ .

- 8. If (reseed\_required\_flag = 1) or (prediction\_resistance\_request = 1)), then
  - 8.1 status = Reseed\_CTR\_DRBG\_Instantiation (state\_handle, additional input).
  - 8.2 If (status ≠ "Success"), then **Return** (status, Null).

Comment: Get the new working state values; the administrative information was not affected.

- 8.3 V = internal\_state (state\_handle).V, Key = internal\_state (state\_handle).Key, reseed\_counter = internal\_state (state\_handle).reseed\_counter.
- 8.4  $additional\_input = Null$ .
- 8.5 reseed request flag = 0.

Comment: Generate bits using the generate algorithm.

- 9. (status, pseudorandom\_bits, V, Key, reseed\_counter) = Generate\_algorithm (V, Key, reseed\_counter, requested\_number\_of\_bits, additional\_input).
- 10. If (status ≠ "Success"), then
  - 10.1 reseed\_required\_flag = 1.
  - 10.2 Go to step 8.

Comment: Collect bits.

11. internal\_state (state\_handle) = {V, Key, security\_level, reseed\_counter, prediction\_resistance\_flag).

Comment: Determine the pseudorandom bits to be returned.

12. Return ("Success", pseudorandom\_bits).

## Generate\_algorithm (...):

**Input:** bitstring (*V*, *Key*), integer (*reseed\_counter*, *requested\_number\_of\_bits*) bitstring *additional input*.

Output: string status, bitstring (returned\_bits, V, Key), integer reseed\_counter.

#### Process:

- 1. If (reseed\_counter > 100,000), then **Return** ("Failure", Null, V, Key, reseed counter).
- 2. If  $(additional\_input \neq Null)$ , then

- 2.1 temp = len (additional input).
- 2.2 If (temp > 256), then additional\_input = Block\_Cipher\_df (additional\_input, 256).
- 2.3 If (temp < 256), then additional\_input = additional\_input ||  $0^{256 temp}$ .
- 2.4  $(Key, V) = Update (additional\_input, Key, V)$ .
- 3. temp = Null.
- 4. While (len (temp) < requested\_number\_of\_bits) do:
  - 4.1  $V = (V+1) \mod 2^{128}$ .
  - 4.2 output  $block = AES ECB\_Encrypt(Key, V)$ .
  - 4.3  $temp = temp \parallel ouput \ block$ .
- 5. returned bits = Leftmost (requested number of bits) of temp.
- 6.  $zeros = 0^{256}$ . Comment: Produce a string of 256 zeros.
- 7. (Key, V) = Update(zeros, Key, V)
- 8.  $reseed\ counter = reseed\ counter + 1$ .
- 9. Return ("Success", returned bits, V, Key, reseed counter).

## F.5 OFB\_DRBG Example

## F.5.1 Discussion

This example of **OFB\_DRBG** uses 3 key TDEA. Full entropy is available, and a block cipher derivation function is not used. Prediction resistance is supported. A total of 5 internal states are available. A personalization string is allowed during instantiation, and additional input is allowed during reseeding and a request for pseudorandom bit generation. For this implementation, the procedures and algorithms are written as separate routines.

The internal state contains the values for V, Key,  $reseed\_counter$ ,  $security\_level$  and  $prediction\_resistance\_flag$ ; V and Key are integers;  $reseed\_counter$ ,  $security\_level$  and  $prediction\_resistance\_flag$  are integers.

In accordance with Table 4 in Section 10.2.1, a security level of 112 is supported. Using 3 key TDEA, the following definitions are applicable for the instantiate, reseed and generate procedures:

- 1. highest supported security level = 112.
- 2. Output block length (outlen) = 64.
- 3. Key length (keylen) = 168.
- 4. Number of bits for entropy input if full entropy is supported and a derivation

function is not used: 232.

- 5. Minimum entropy input length (min\_entropy\_input\_length) = min\_entropy = 232.
- 6. Maximum entropy input length (max\_entropy\_input\_length) = 232.
- 7. Maximum personalization string input length (max\_personalization\_string\_input\_length) = 232.
- 8. Maximum additional input length (max additional input length) = 232.
- 9. Seed length (seedlen) = 232.
- 10. Maximum number of bits per request (max number of bits per request) = 1000.
- 12. Reseed interval (reseed\_interval) = 10,000 requests.

### F.5.2 The Update Function

## **Update (...):**

**Input:** bitstring (provided\_data, Key, V).

Output: bitstring (Key, V).

#### **Process:**

- 1. temp = Null.
- 2. While (len (temp) < 232) do
  - 2.1 V = TDEA ECB Encrypt (Key, V).
  - 2.2  $temp = temp \parallel V$ .
- 3. temp = Leftmost 232 bits of temp.
- 4  $temp = temp \oplus provided data$ .
- 5. Key = Leftmost 168 bits of temp.
- 6. V =Rightmost 64 bits of *temp*.
- 7. Return (Key, V).

## F.5.3 Instantiation of OFB\_DRBG

This implementation will return a text message and an invalid state handle (-1) when an error is encountered.

Note that this implementation does not use the *prediction\_resistance\_flag*, since it is known that prediction resistance is supported. However, if *prediction\_resistance\_flag* = 1, then a prediction resistance capability is requested for the instantiation.

## Instantiate\_OFB\_DRBG (...):

Input: integer (requested\_security\_level, prediction\_resistance\_flag), bitstring

## personalization\_string.

Output: string status, integer state handle.

#### Process:

Comment: Check the validity of the input parameters.

- 1. If (requested\_security\_level > 112) then **Return** ("Invalid requested security level", -1).
- 2. If (len (personalization\_string) > 232), then Return ("Personalization\_string too long", -1).
- 3. security level = 112.

Comment: Get the entropy input. The min\_entropy, min\_length and max\_length = seedlen = 232.

- 4. (*status*, *entropy\_input*) = **Get\_entropy** (232, 232, 232).
- 5. If (*status* ≠ "Success"), then **Return** ("Failure indication returned by the entropy source" || *status*, -1).

Comment: Invoke the instantiate algorithm.

- 6. (V, Key, reseed\_counter) = Instantiate\_algorithm (entropy\_input, personalization\_string).
- 7. (status, state\_handle) = Find\_state\_space().
- 8. If (status ≠ "Success"), then **Return** ("No available state space" || status, -1).

Comment: Save the internal state.

- 9. internal\_state\_(state\_handle) = {V, Key, reseed\_counter, security\_level, prediction resistance flag).
- 10. Return ("Success", state handle).

# Instantiate\_algorithm (...):

**Input**: bitstring (entropy input, personalization string).

**Output:** bitstring (V, Key), integer reseed counter.

## Process:

- 1.  $seed\_material = entropy\_input \oplus personalization\_string$ .
- 2.  $Key = 0^{168}$ .

Comment: 168 bits.

3.  $V = 0^{64}$ .

Comment: 64 bits.

- 4. (Key, V) = Update (seed material, Key, V).
- 5.  $reseed\ counter=1$ .
- 6. **Return** ("Success", V, Key, reseed\_counter).

## F.5.4 Reseeding the OFB\_DRBG Instantiation

The implementation is designed to return a text message as the *status* when an error is encountered.

## Reseed\_OFB\_DRBG\_Instantiation (...):

Input: integer state\_handle, bitstring additional\_input.

Output: string status.

Process:

Comment: Check for the validity of *state\_handle*.

1. If ((state\_handle > 5) or (internal\_state (state\_handle)= {Null, Null, 0, 0}), then Return ("State not available for the indicated state\_handle").

Comment: Get the necessary internal state values.

- V = internal\_state (state\_handle).V, Key = internal\_state (state\_handle).Key, security level = internal\_state (state\_handle).security\_level.
- 3. If (len (additional\_input) > 232), then Return ("Additional\_input too long").

Comment: Get the entropy input.

- 4.  $(status, entropy input) = Get_entropy (232, 232, 232).$
- 5. If (*status* ≠ "Success"), then **Return** ("Failure indication returned by the entropy source" || *status*).

Comment: Invoke the reseed algorithm.

- 6. (V, Key, reseed\_counter) = Reseed\_algorithm (V, Key, entropy\_input, additional input).
- 7. internal\_state (state\_handle).V = V; internal\_state (state\_handle).Key = Key; internal\_state (state\_handle).reseed\_counter = reseed\_counter.
- 8. Return ("Success").

## Reseed\_algorithm (...):

**Input**: bitstring (V, Key), bitstring (entropy\_input, additional\_input).

Output: bitstring (V, Key), integer reseed counter.

#### **Process:**

1. temp = len (additional input).

Comment: If the *additional\_input* < 232, pad with zeros.

- 2. If (temp < 232), then  $additional\_input = additional\_input || <math>0^{232 temp}$ .
- 3. seed material = entropy input  $\oplus$  additional input.
- 4. (Key, V) = Update (seed material, Key, V).
- 5.  $reseed\ counter = 1$ .
- 6. **Return** (V, Key, reseed\_counter).

## F.5.5 Generating Pseudorandom Bits using OFB\_DRBG

The implementation returns a *Null* string as the pseudorandom bits if an error has been detected. Note that prediction resistance is requested when *prediction\_resistance\_request* = 1.

## OFB\_DRBG(...):

**Input:** integer (state\_handle, requested\_no\_of\_bits, requested\_security\_level, prediction resistance request), bitstring additional\_input.

Output: string status, bitstring pseudorandom bits.

### Process:

Comment: Check the validity of state handle.

1. If ((state\_handle > 5) or (internal\_state (state\_handle)= {Null, Null, 0, 0}), then **Return** ("State not available for the indicated state handle", Null).

Comment: Get the internal state values.

2. V = internal\_state (state\_handle).V, Key = internal\_state (state\_handle).Key, reseed\_counter = internal\_state (state\_handle).reseed\_counter, security\_level = internal\_state (state\_handle).security\_level, prediction\_resistance\_flag = internal\_state (state\_handle).prediction\_resistance\_flag.

Comment: Check the rest of the input parameters.

- 3. If (requested\_no\_of\_bits > 1000), then **Return** ("Too many bits requested", Null).
- 4. If (requested\_security\_level > security\_level), then Return ("Invalid requested\_security\_level", Null).
- 5. If (len (additional\_input) > 232), then Return ("Additional\_input too long",

Null).

- 6. If ((prediction\_resistance\_request = 1) and (prediction\_resistance\_flag ≠ 1)), then **Return** ("Invalid prediction\_resistance\_request", Null).
- 7. reseed required flag = 0.
- 8. If ((reseed\_required\_flag = 1) or (prediction\_resistance\_request = 1)), then do

  Comment: Reseed.
  - 8.1 status = Reseed\_OFB\_DRBG\_Instantiation (state\_handle, additional\_input).
  - 8.2 If ( $status \neq$  "Success"), then **Return** (status, Null).
  - 8.3 V = internal\_state (state\_handle).V, Key = internal\_state (state\_handle).Key, reseed\_counter = internal\_state (state\_handle).reseed\_counter.
  - 8.4 additional input = Null.
  - 8.5  $reseed\_required\_flag = 0$ .
- 9. (status, pseudorandom\_bits, V, Key, reseed\_counter) = Generate\_algorithm (V, Key, reseed\_counter, requested\_number\_of\_bits, additional\_input).
- 10. If ( $status \neq$  "Success"), then
  - $10.1 reseed\_required\_flag = 1.$
  - 10.2 Go to step 8.
- 11. internal\_state (state\_handle) = {V, Key, security\_level, reseed\_counter, prediction\_resistance\_flag).
- 12. Return ("Success", pseudorandom\_bits).

## Generate\_algorithm (...):

**Input:** bitstring (*V*, *Key*), integer (*reseed\_counter*, *requested\_number\_of\_bits*), bitstring *additional input*.

integer (state\_handle, requested\_number\_of\_bits).

Output: string status, bitstring returned bits.

### **Process:**

- 1. If (reseed counter > reseed interval), then **Return** ("Reseed required").
- 2. If  $(additional\_input \neq Null)$ , then
  - 2.1 temp = len (additional\_input).
  - 2.2 If (temp < seedlen), then  $additional\_input = additional\_input || <math>0^{seedlen}$

temp

- 2.3 (Key, V) = Update (additional input, Key, V).
- 3. temp = Null.
- 4. While (len (temp) < requested number of bits) do:
  - 4.1  $V = = TDEA\_ECB\_Encrypt (Key, V)$ .
  - 4.2  $temp = temp \parallel V$ .
- 5. returned\_bits = Leftmost (requested\_number\_of\_bits) of temp.
- 6.  $zeros = 0^{232}$ .

Comment: Produce a string of seedlen zeros.

- 7. (Key, V) = Update(zeros, Key, V)
- 8.  $reseed\_counter = reseed\_counter + 1$ .

Comment: Save the new values of *V*, *Key* and *reseed counter*.

9. Return ("Success", returned bits, V, Key, reseed counter).

#### F.6 Dual EC DRBG Example

#### F.6.1 Discussion

This example of **Dual\_EC\_DRBG** allows a consuming application to instantiate using any of the recommended elliptic curves, depending on the security level. A reseed capability is available, but prediction resistance is not available. Both a *personalization\_string* and *additional\_input* are allowed. A total of 10 internal states are provided. For this implementation, the algorithms are provided as inline code within the procedures.

The internal state contains values for *s*, *curve\_type*, *seedlen*, *p*, *a*, *b*, *n*, *P*, *Q*, *reseed\_counter* and *security\_level*. In accordance with Table 5 in Section 10.3.2.1, security levels of 112, 128, 192 and 256 may be supported. SHA-256 has been selected as the hash function. The following definitions are applicable for the instantiate, reseed and generate procedures:

- 1. highest supported security level = 256.
- 2. Output block length (outlen): See Table.
- 3. Required minimum entropy for instantiation =  $security\ level + 64$ .
- 4. Required minimum entropy for reseed = security\_level.
- 5. Minimum entropy input length (min entropy input length): See Table.
- 6. Maximum entropy input length (max entropy input length) = 1000.
- 7. Maximum personalization string length (max\_personalization\_string\_length) = 500.

- 8. Maximum additional input length (max additional input length) = 500.
- 9. Seed length (seedlen): See Table.
- 10. Maximum number of bits per request (max\_number\_of\_bits\_per\_request) = 1000.
- 11. Reseed interval (reseed interval) = 10,000.

## F.6.2 Instantiation of Dual\_EC\_DRBG

This implementation will return a test message and an invalid state handle (-1) when an **ERROR** is encountered. A DRBG-specific parameter *requested\_curve\_type* is required (rather than optional) for this implementation for a consuming application to select a curve type. **Hash\_df** is specified in Section 9.6.2.

## Instantiate\_Dual\_EC\_DRBG (...):

**Input:** integer (requested\_security\_level), bitstring personalization\_string, integer requested curve type.

Output: string status, integer state\_handle.

Process:

Comment: Check the validity of the input parameters.

- If (requested\_security\_level > 256) then Return ("Invalid requested\_strength",

   -1).
- 2. If (len (personalization\_string) > 500), then Return ("personalization\_string too long", -1).
- 3. If ((requested\_curve\_type ≠ Prime\_field\_curve) and (requested\_curve\_type ≠ Random\_binary\_curve) and (requested\_curve\_type ≠ Koblitz\_curve)), then Return ("Valid curve type not specified", -1).

Comment: Determine an *m* that is appropriate for the *requested\_strength*; this will depend on *curve type*.

4. If (requested\_curve\_type = Prime\_field\_curve), then

Comment: Choose one of the prime field curves

4.1 If  $(requested\_security\_level \le 112)$ , then

{security\_level = 112; seedlen = 224; outlen = 208; min entropy input len = 224}

Else if  $(requested\_security\_level \le 128)$ , then

```
{security_level = 128; seedlen = 256; outlen = 240; min_entropy_input_len = 256}

Else if (requested_security_level ≤ 192), then 

{security_level = 192; seedlen = 384; outlen = 368;
```

min\_entropy\_input\_len = 384}
Else {security\_level = 256;, seedlen = 521; outlen = 504;

- min\_entropy\_input\_len = 528}.
  4.2 Select elliptic curve P-seedlen, if available. If this curve is not available, then Return ("Prime field curve of the correct length not available", -1).
- 5. If (requested\_curve\_type ≠ Prime\_field\_curve), then

Comment: choose one of the binary or Koblitz curves.

5.1 If (requested strength  $\leq$  112), then

```
{security_level = 112; seedlen = 233; outlen = 216; min entropy input len = 240}
```

Else if (requested strength  $\leq$  128), then

Else if (requested strength  $\leq$  192), then

Else {security\_level = 256; seedlen = 571; outlen = 552; min enropy input length = 576}

- 5.2 p = 0.
- 5.3 If (curve\_type = Random binary\_curve), then select elliptic curve B-seedlen; if this curve is not available, then **Return** ("Random\_binary\_curve of the correct length not available", -1).

Else select elliptic curve K-seedlen; if this curve is not available, then **Return** ("Koblitz\_curve of the correct length not available", -1).

- 6 Set the point P to the generator G for the curve, and set n to the order of G.
- 7. Set the corresponding point Q from Annex A.1.

Comment: Request entropy\_input.

- 8.  $min\ entropy = security\_level + 64$ .
- 9. (status, entropy\_input) = Get\_entropy (min\_entropy,

min entropy input length, 1000).

10. If (status ≠ "Success"), then **Return** ("Failure indication returned by the entropy\_input source:" || status, -1).

Comment: Perform the instantiate algorithm.

- 11. seed material = entropy input || personalization string.
- 12.  $s = Hash\_df$  (seed\_material, seedlen).
- 13.  $reseed\ counter=0$ .

Comment: Find an unused internal state and save the initial values.

- 14. (status, state handle) = Find\_state\_space ().
- 15. If ( $status \neq$  "Success"), then **Return** (status, -1).
- 16. internal\_state (state\_handle) = {s, curve\_type, m, p, a, b, n, P, Q, reseed\_counter, security\_level}.
- 17. Return ("Success", state\_handle).

### F.6.3 Reseeding a Dual\_EC\_DRBG Instantiation

The implementation is designed to return a text message as the status when an error is encountered.

## Reseed\_Dual\_EC\_DRBG\_Instantiation (...):

**Input:** integer state handle, string additional\_input\_string.

Output: string status.

Process:

Comment: Check the input parameters.

- 1. If ((state\_handle > 10) or (internal\_state (state\_handle).security\_level = 0)), then **Return** ("State not available for the state\_handle").
- 2. If (len (additional\_input) > 500), then Return ("Additional\_input too long").

Comment: Get the appropriate *state* values for the indicated *state* handle.

3. s = internal\_state (state\_handle).s, seedlen = internal\_state (state\_handle).seedlen, security\_level = internal\_state (state\_handle).security\_level.

Comment: Request new *entropy\_input* with the appropriate entropy and bit length.

- 3. min entropy = security level.
- 4. (status, entropy\_input) = **Get\_entropy** (min\_entropy, min\_entropy\_input\_length, 1000).
- 5. If (status ≠ "Success"), then **Return** ("Failure indication returned by the entropy source:"|| status).

Comment: Perform the reseed algorithm.

- 9.  $seed\ material = pad8(s) \parallel entropy\_input \parallel additional\_input$ .
- 10.  $s = Hash\_df$  (seed\_material, seedlen).
- $11. reseed\_counter = 0.$

Comment: Update the changed values in the state.

- 12. internal state (state handle).s = s.
- $13.\ internal\_state.reseed\_counter = reseed\ counter.$
- 14. Return ("Success").

## F.6.4 Generating Pseudorandom Bits Using Dual\_EC\_DRBG

The implemenation returns a *Null* string as the pseudorandom bits if an error is encountered.

## Dual\_EC\_DRBG (...):

**Input:** integer (state\_handle, requested\_security\_level, requested\_no\_of\_bits), bitstring additional input.

Output: string status, bitstring pseudorandom bits.

#### **Process:**

Comment: Check for an invalid state handle.

1. If ((state\_handle > 10) or (internal\_state (state\_handle) = 0)), then Return ("State not available for the state handle", Null).

Comment: Get the appropriate *state* values for the indicated *state handle*.

 s = internal\_state (state\_handle).s, seedlen = internal\_state (state\_handle).seedlen, security\_level = internal\_state (state\_handle).security\_level, P = internal\_state (state\_handle).P, Q = internal\_state (state\_handle).Q, reseed\_counter = internal\_state (state\_handle).reseed\_counter.

Comment: Check the rest of the input

#### parameters.

- 3. If (requested\_number\_of\_bits > 1000), then **Return** ("Too many bits requested", Null).
- If (requested\_security\_level > security\_level), then Return ("Invalid requested strength", Null).
- If (len (additional\_input) > 500), then Return ("Additional\_input too long", Null).

Comment: Check whether a reseed is required.

- 6. If (reseed\_counter +  $\left\lceil \frac{requested\_number\_of\_bits}{outlen} \right\rceil > 10,000$ , then
  - 6.1 **Reseed\_Dual\_EC\_DRBG\_Instantiation** (*state\_handle*, *additional\_input*).
  - 6.2 *additional\_input* = Null.
  - 6.3 s = internal\_state (state\_handle).s, seedlen = internal\_state (state\_handle).seedlen, security\_level = internal\_state (state\_handle).security\_level, P = internal\_state (state\_handle).P, Q = internal\_state (state\_handle).Q, reseed\_counter = internal\_state (state\_handle).reseed\_counter.

Comment: Execute the generate algorithm.

7. If (additional\_input = Null) then additional\_input = 0

Comment: additional input set to m zeroes.

Else additional\_input = **Hash\_df** (pad8 (additional\_input), seedlen).

Comment: Produce requested\_no\_of\_bits, outlen bits at a time:

- 8. temp = the Null string.
- 9. i = 0.
- 10.  $t = s \oplus additional input$ .
- 11.  $s = \varphi(x(t * P)).$
- 12.  $r = \varphi(x(s * Q))$ .
- 13.  $temp = temp \parallel (rightmost outlen bits of r)$ .
- 14. additional\_input=0<sup>seedlen</sup>. Comment: seedlen zeroes; additional\_input
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is added only on the first iteration.

- 15.  $reseed\ counter = reseed\ counter + 1$ .
- 16. i = i + 1.
- 17. If (len (temp) < requested\_no\_of\_bits), then go to step 11.
- 18. pseudorandom bits = **Truncate** (temp,  $i \times outlen$ , requested no\_of\_bits).

Comment: Update the changed values in the *state*.

- 19. internal state.s = s.
- 20. internal state.reseed counter = reseed counter.
- 21. Return ("Success", pseudorandom bits).

## F.7 MS\_DRBG Example

#### F.7.1 Discussion

This example of MS\_DRBG allows a consuming application to request specific values for *e* and *outlen*. A reseed capability is available, but prediction resistance is dependent on the user's system. Both a *personalization\_string* and *additional\_input* are allowed. A total of 5 internal states are provided. For this implementation, the handling of the DRBG-specific parameters and the algorithms are provided as separate routines.

The internal state contains values for n, e, seedlen, outlen, S, reseed\_counter, security level and prediction resistance flag.

In accordance with Table 6 in Section 10.3.3.1, security levels of 112 and 128 may be supported. SHA-1 has been selected as the hash function. The following definitions are applicable for the instantiate, reseed and generate procedures:

- 1. highest\_supported\_security\_level: Depends on the requested security level.
- 2. Output block length (outlen): 8, unless otherwise requested using requested\_outlen.
- 3. Required minimum entropy for instantiation = security\_level + 64.
- 4. Required minimum entropy for reseed = security level.
- 5. Minimum entropy input length (min\_entropy\_input\_length): min\_entropy.
- 6. Maximum entropy input length (max entropy input length) = 5000 bits.
- 7. Maximum personalization string length (max\_personalization\_string\_length) = 500 bits.
- 8. Maximum additional input length (max additional input length) = 500 bits.
- 9. Number of hard bits = 11.

- 10. Seed length (seedlen):  $\lg (n) 8$ .
- 11. Maximum number of bits per request (max\_number\_of\_bits\_per\_request) = 200,000 bits.
- 12. Reseed interval (reseed interval) = 25,000 blocks of outlen bits.

#### F.7.2 Instantiation of MS\_DRBG

This implementation will return a test message and an invalid state handle (-1) when an **ERROR** is encountered. DRBG-specific parameters (*requested\_e* and *requested\_outlen*) are provided that will allow a consuming application to optionally select the values for *e* and *outlen*. **Hash df** is specified in Section 9.6.2.

If prediction\_resistance\_flag = 1, then a prediction resistance capability is requested for the instantiation. If the user's system is capable of handling prediction resistance (e.g., a source of randomness is readily available), the user has been instructed to indicate the ability to provide prediction resistance by setting prediction\_resistance\_capability = 1 during system configuration.

Let **Get\_random\_modulus** be a function that gets a random modulus *n* that meets the criteria specified in Section 10.3.3.2.3, step 5.5.

## Instantiate\_MS\_DRBG (...):

**Input:** integer (requested\_security\_level, prediction\_resistance\_flag), bitstring personalization\_string, integer (requested\_e, requested\_outlen).

Output: string status, integer state handle.

#### **Process:**

- 1. If (requested\_security\_level > 128), then **Return** ("Invalid requested\_security\_level", -1).
- 2. If ((prediction\_resistance\_flag = 1) and (prediction\_resistance\_capability ≠ 1)), then Return ("Cannot support prediction resistance", -1).
- 3. If (len (personalization\_string) > 500), then Return ("Personalization\_string too long", -1).
- If (requested\_security\_level ≤ 112), then security\_level = 112
   Else security\_level = 128.
- 5. (status, n, e, seedlen, outlen) = Get\_DRBG\_specific\_parameters (security\_level, requested\_e, requested\_outlen).

Comment: Get entropy input.

- 6.  $min\ entropy = security\ level + 64$ .
- 7. (status, entropy input) = **Get entropy** (min entropy, min entropy, 5000).
- 8. If (*status* ≠ "Success"), then **Return** ("Failure indication returned by the entropy source", -1).
- 9. (S, reseed\_counter) = Instantiate\_algorithm (entropy\_input, personalization\_string, seedlen).

Comment: Find an empty state in the state space.

- 10. (status, state\_handle) = Find\_state\_space ( ).
- 11. If ( $status \neq$  "Success"), Return (status, -1).

Comment: Store all values in state.

- 12. internal\_state (state\_handle) = {n, e, seedlen, outlen, S, reseed\_counter, security level, prediction resistance flag}.
- 13. Return ("Success", state handle).

## Get\_DRBG\_specific\_parameters (...).

Input: integer (security level, requested e, requested outlen).

**Output:** string (*status*), integer (*n*, *e*, *seedlen*, *outlen*).

**Process:** 

Comment: Determine modulus size (i.e., lg(n)).

1. If (security\_level = 112) then modulus\_size = 2048 Else modulus\_size = 3072.

Comment: Select the exponent *e*.

2. If  $(requested_e = 0)$  or is not provided, then e = 3

Else

- 2.1 e = requested e.
- 2.2 If  $((e < 3) \text{ or } (e > (2^{\lg(n)-1} (2 \times 2^{1/2 \lg(n)}))) \text{ or } (e \mod 2 = 0))$ , then **Return** ("Invalid requested\_e", -1).

Comment: Determine outlen.

- 3. If (requested\_outlen = 0) or is not provided, then outlen = 8 Else
  - 3.1 outlen = requested\_outlen.
  - 3.2 If  $((outlen < 1) \text{ or } (outlen > \min (\lfloor \lg(n) 2*security\_level \rfloor, \lfloor \lg(n) *$

(1-2/e) ) or (outlen mod  $8 \neq 0$ )), then **Return** ("Inappropriate value for requested outlen", -1).

4. seedlen = modulus\_size - outlen. Comment: Determine the seed length.

Comment: Select the modulus n.

- 5.  $(status, n) = Get_random_modulus (modulus_size, e)$ .
- If (status ≠ "Success"), then Return ("Failed to produce an appropriate modulus", -1).
- 7. **Return** ("Success", n, e, seedlen, outlen).

### Instantiate\_algorithm (...):

Input: bitstring (entropy input, personalization string), integer seedlen.

Output: integer (S, reseed counter).

#### **Process:**

- 1. seed material = entropy input || personalization\_string.
- 2.  $S = Hash\_df$  (seed\_material, seedlen).
- 3.  $reseed\_counter = 0$ .
- 4. Return (S, reseed counter).

# F.7.3 Reseeding an MSDRBG Instantiation

The implementation is designed to return a text message as the status when an error is returned.

## Reseed MS DRBG (...):

**Input:** integer *state\_handle*, bitstring *additional\_input*.

Output: string status.

### **Process:**

1. If ((state\_handle > 5) or (internal\_state (state\_handle).security\_level = 0)), then Return ("State not available for the indicated state\_handle").

Comment: Get the required *state* values for the indicated *state\_handle*.

- 2. S = internal\_state(state\_handle).S, seedlen = internal\_state(state\_handle).seedlen, security\_level = internal\_state (state\_handle).security\_level.
- 3. If (len (additional\_input) > 500), then Return ("Additional\_input too long", 1).

- 4. min entropy = security level.
- 5. (status, entropy input) = **Get entropy** (min entropy, min entropy, 5000).
- 6. If (status ≠ "Success"), then **Return** ("Failure indication returned by the *entropy input* source").
- 7. (S, reseed\_counter) = Reseed\_algorithm (entropy\_input, additional\_input, S, seedlen).
- 8. internal\_state (state\_handle).S = S, internal\_state (state\_handle).reseed counter = reseed counter.
- 9. Return ("Success").

### Reseed algorithm (...):

**Input:** bitstring (entropy input, additional input), integer (S, seedlen).

**Output:** integer (S, reseed\_counter).

#### **Process:**

- 1.  $seed_material = S \parallel entropy_input \parallel additional_input$ .
- 2. S = Hash df (seed material, seedlen).
- 3.  $reseed\ counter=0$ .
- 4. Return (S, reseed counter).

## F.7.4 Generating Pseudorandom Bits Using MS\_DRBG

The implementation returns a *Null* string as the pseudorandom bits if an error is encountered. If prediction resistance is needed, then *prediction resistance\_request* = 1.

## MS\_DRBG (...):

**Input:** integer (state\_handle, requested\_no\_of\_bits, requested\_security\_level, prediction\_resistance\_request), bitstring additional\_input.

Output: string status, bitstring pseudorandom bits.

#### **Process:**

1. If ((state\_handle > 5) or (internal\_state (state\_handle).security\_level = 0)), then Return ("State not available for the indicated state handle", Null).

Comment: Get the appropriate *state* for the indicated *state\_handle*.

2. S = internal\_state (state\_handle).S, n = internal\_state (state\_handle).n, e = internal\_state (state\_handle).e, outlen = = internal\_state (state\_handle).outlen, seedlen = internal\_state (state\_handle).seedlen, security\_level = internal\_state (state\_handle).security\_level, reseed\_counter = internal\_state

- (state\_handle).reseed\_counter, prediction\_resistance\_flag = internal\_state (state\_handle). prediction\_resistance\_flag.
- 3. If (requested\_no\_of\_bits > (25000 × outlen)), then **Return** ("Too many bits requested", Null).
- 4. If (requested\_security\_level > security\_level), then **Return** ("Invalid requested\_security\_level", Null).
- 5. If (len (additional\_input) > 500), then Return ("Additional\_input too long", *Null*).
- 6. If ((prediction\_resistance\_request = 1) and (prediction\_resistance\_flag ≠ 1)), then **Return** ("Prediction resistance capability not instantiated", Null).
- 7. reseed required flag = 0.
- 8. If  $((reseed\_required\_flag = 1)$  or  $(prediction\_resistance\_request = 1))$ , then
  - 8.1 status = Reseed\_MS\_DRBG (state\_handle, additional\_input).
  - 8.2 S = internal\_state (state\_handle).S, reseed\_counter = internal\_state (state\_handle).reseed\_counter.
  - 8.3 additional input = Null.
  - 8.4 reseed request flag = 0.
- 9. (status, pseudorandom\_bits, S, reseed\_counter) = Generate\_algorithm (n, e, seedlen, outlen, S, reseed\_counter, requested\_number\_of\_bits, additional input).
- 10. If (status ≠ "Success"), then
  - 10.1 reseed\_required\_flag = 1.
  - 10.2 Go to step 8.
- 11. internal state.S = S, internal state.reseed counter = reseed\_counter.
- 12. **Return** ("Success", pseudorandom\_bits).

#### Generate\_algorithm (...):

**Input:** integer (n, e, seedlen, outlen, S, reseed\_counter, requested\_number\_of\_bits), bitstring additional\_input.

Output: string status, bitstring pseudorandom\_bits.

### Process:

1. If 
$$\left( \left( reseed\_counter + \left\lceil \frac{requested\_number\_of\_bits}{outlen} \right\rceil \right) > 25,000 \right)$$
, then Return ("Reseed required", *Null*).

- 2. If (additional\_input = Null), then additional\_input = 0
  Else additional\_input = Hash\_df (pad8 (additional\_input), seedlen).
- 3. temp = the Null string.
- 4. i = 0.
- 5.  $s = S \oplus additional input$ .
- 6.  $S = [(s^e \mod n)/2^{seedlen}].$
- Comment: S is an seedlen-bit number.
- 7.  $R = (s^e \mod n) \mod 2^{outlen}$ .
- Comment: R is an outlen-bit number.
- 8.  $temp = temp \parallel R$ .
- 9. additional\_input=0<sup>seedlen</sup>.
- 10. i = i + 1.
- 11. reseed\_counter = reseed\_counter+1.
- 12. If (len (temp) < requested\_no\_of\_bits), then go to step 6.
- 13.  $pseudorandom\_bits = Truncate (temp, i \times outlen, requested\_no\_of\_bits).$
- 14. Return ("Success", pseudorandom\_bits).

# **ANNEX G: (Informative) Bibliography**

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