

# 一. 向量组线性相关(无关)及线性表出的基本概念

## 1. 向量组 $\alpha_1, \alpha_2, \dots, \alpha_m$ 线性相关

$\Leftrightarrow$  存在不全为零的数  $k_1, k_2, \dots, k_m$ , 使得  $k_1\alpha_1 + k_2\alpha_2 + \dots + k_m\alpha_m = 0$

## 2. 向量组 $\alpha_1, \alpha_2, \dots, \alpha_m$ 线性无关

$\Leftrightarrow$  " $k_1\alpha_1 + k_2\alpha_2 + \dots + k_m\alpha_m = 0 \Rightarrow k_1 = k_2 = \dots = k_m = 0$ "

## 3. $A = (\alpha_1, \alpha_2, \dots, \alpha_n) \Rightarrow R(A) = R\{\alpha_1, \alpha_2, \dots, \alpha_n\}$

(1)  $\alpha_1, \alpha_2, \dots, \alpha_n (\in R^m)$  线性相关  $\Leftrightarrow R(A) < n \Leftrightarrow Ax = 0$  有非零解

(2)  $\alpha_1, \alpha_2, \dots, \alpha_n (\in R^m)$  线性无关  $\Leftrightarrow R(A) = n \Leftrightarrow Ax = 0$  只有零解

## 4. 向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性无关(相关) $\Leftrightarrow R\{\alpha_1, \alpha_2, \dots, \alpha_n\} = n (< n)$

## 5. $\beta$ 可由 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性表出

$\Leftrightarrow$  存在  $k_1, k_2, \dots, k_n$ , 使得  $\beta = k_1\alpha_1 + k_2\alpha_2 + \dots + k_n\alpha_n$

6. 向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性相关

$\Leftrightarrow$  存在一个向量可由其余的线性表出

7.  $b$ 可由 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性表出  $\Leftrightarrow Ax = b$ 有解  $\Leftrightarrow R(A) = R(A|b)$

8. 向量组(I):  $\alpha_1, \alpha_2, \dots, \alpha_s$ 可由向量组(II):  $\beta_1, \beta_2, \dots, \beta_t$ 线性表出

$$\Rightarrow R(I) \leq R(II).$$

9. 向量组(I):  $\alpha_1, \alpha_2, \dots, \alpha_s$ 与向量组(II):  $\beta_1, \beta_2, \dots, \beta_t$ 等价

$$\Rightarrow R(I) = R(II).$$



1. 设  $\alpha_1, \alpha_2, \dots, \alpha_r$  可由  $\beta_1, \beta_2, \dots, \beta_s$  线性表示, 则  $r$  与  $s$  的关系是( )

- (A)  $r \leq s$       (B)  $r \geq s$       (C)  $r < s$       (D)  $r$  与  $s$  无关.

答案:(D).

$\alpha_1, \alpha_2, \dots, \alpha_r$  线性相关性与  $\beta_1, \beta_2, \dots, \beta_s$  的线性相关性不清楚

注:

$\alpha_1, \alpha_2, \dots, \alpha_r$  可由  $\beta_1, \beta_2, \dots, \beta_s$  线性表出  $\begin{cases} (1) \alpha_1, \alpha_2, \dots, \alpha_r \text{ 线性无关} \Rightarrow r \leq s \\ (2) r > s \Rightarrow \alpha_1, \alpha_2, \dots, \alpha_r \text{ 线性相关} \end{cases}$

例:

(1): (I)  $\begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix};$  (II)  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$

(2): (I)  $\begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix};$  (II)  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$

(3): (I)  $\begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 2 \\ 1 \end{pmatrix};$  (II)  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix}.$

2. 设 $\alpha_1, \alpha_2, \alpha_3$ 线性相关,  $\alpha_2, \alpha_3, \alpha_4$ 线性无关, 证明:

(1).  $\alpha_1$ 能由 $\alpha_2, \alpha_3$ 线性表出;

(2).  $\alpha_4$ 不能由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出.

证明:

(1)  $\alpha_2, \alpha_3, \alpha_4$ 线性无关  $\Rightarrow \alpha_2, \alpha_3$ 线性无关  $\Rightarrow \alpha_1$ 可由 $\alpha_2, \alpha_3$ 线性表出

又 $\alpha_1, \alpha_2, \alpha_3$ 线性相关  $\Rightarrow \alpha_1 = l_2\alpha_2 + l_3\alpha_3$

(2) 若 $\alpha_4$ 可由 $\alpha_1, \alpha_2, \alpha_3$ 线性表出  $\Leftrightarrow \alpha_4 = k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3 \Rightarrow$

$\alpha_4 = k_1(l_2\alpha_2 + l_3\alpha_3) + k_2\alpha_2 + k_3\alpha_3 = (k_1l_2 + k_2)\alpha_2 + (k_1l_3 + k_3)\alpha_3$ , 矛盾!

3. 已知 $\alpha_1, \alpha_2, \alpha_3$ 线性无关, 证明:  $\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1$ 线性无关.

证(法1): 令  $k_1(\alpha_1 + \alpha_2) + k_2(\alpha_2 + \alpha_3) + k_3(\alpha_3 + \alpha_1) = 0$

$$\Rightarrow (k_1 + k_3)\alpha_1 + (k_1 + k_2)\alpha_2 + (k_2 + k_3)\alpha_3 = 0$$

由向量 $\alpha_1, \alpha_2, \alpha_3$ 线性无关可得:  $(k_1 + k_3) = (k_1 + k_2) = (k_2 + k_3) = 0$ ,

$$\text{即} \begin{cases} k_1 + k_3 = 0 \\ k_1 + k_2 = 0 \\ k_2 + k_3 = 0 \end{cases}, \text{其系数行列式为} \begin{vmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{vmatrix} = 2 \neq 0 \Rightarrow$$

$k_1 = k_2 = k_3 = 0 \Rightarrow$  向量 $(\alpha_1 + \alpha_2), (\alpha_2 + \alpha_3), (\alpha_3 + \alpha_1)$ 线性无关.

(课本P<sub>136</sub>, P<sub>140</sub>)

3. 已知 $\alpha_1, \alpha_2, \alpha_3$ 线性无关, 证明:  $\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1$ 线性无关.

证(法2): 令 $\beta_1 = \alpha_1 + \alpha_2, \beta_2 = \alpha_2 + \alpha_3, \beta_3 = \alpha_3 + \alpha_1$

$$\Rightarrow B = (\beta_1, \beta_2, \beta_3) = (\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \alpha_3 + \alpha_1) = (\alpha_1, \alpha_2, \alpha_3) \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix} \\ = AP$$

$$\text{又 } |P| = \begin{vmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{vmatrix} = 2 \neq 0 \Leftrightarrow P \text{可逆} \Rightarrow R(B) = R(AP) = R(A)$$

又由 $\alpha_1, \alpha_2, \alpha_3$ 线性无关 $\Rightarrow R(A) = 3 \Rightarrow R(B) = 3 \Rightarrow \beta_1, \beta_2, \beta_3$ 线性无关

注: 若 $\beta_1, \beta_2, \beta_3$ 线性无关, 则 $R(A) = R(B) = 3 \Rightarrow \alpha_1, \alpha_2, \alpha_3$ 线性无关.

$$\boxed{\alpha_1, \alpha_2, \alpha_3 \text{线性无关} \Leftrightarrow \beta_1, \beta_2, \beta_3 \text{线性无关}}$$

4. 已知 $\alpha_1, \alpha_2, \dots, \alpha_m; \beta_1 = \alpha_1 + \alpha_2, \beta_2 = \alpha_2 + \alpha_3, \dots, \beta_m = \alpha_m + \alpha_1$ .

**证明:**(1)当 $m$ 为偶数时,向量组 $\beta_1, \beta_2, \dots, \beta_m$ 线性相关;

(2)当 $m$ 为奇数时,

$\alpha_1, \alpha_2, \dots, \alpha_m$ 线性无关  $\Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$ 线性无关.

**证(法2):**  $B = (\beta_1, \beta_2, \dots, \beta_m) = (\alpha_1 + \alpha_2, \alpha_2 + \alpha_3, \dots, \alpha_m + \alpha_1)$

$$= (\alpha_1, \alpha_2, \dots, \alpha_m) \begin{pmatrix} 1 & 0 & \dots & 0 & 1 \\ 1 & 1 & \ddots & & 0 \\ 0 & 1 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & 1 & 0 \\ 0 & \dots & 0 & 1 & 1 \end{pmatrix} = AP$$



$$|P| = \begin{vmatrix} 1 & 0 & \cdots & 0 & 1 \\ 1 & 1 & \ddots & & 0 \\ 0 & 1 & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & 1 & 0 \\ 0 & \cdots & 0 & 1 & 1 \end{vmatrix} = 1 + (-1)^{m+1} = \begin{cases} 0, & m = 2k \\ 2, & m = 2k + 1 \end{cases}$$

$$\Rightarrow \begin{cases} m = 2k \text{ 时, } P \text{ 不可逆} \Leftrightarrow R(P) < m \Rightarrow R(B) = R(AP) \leq R(P) < m \\ m = 2k + 1 \text{ 时, } P \text{ 可逆} \Leftrightarrow R(P) = m \Rightarrow R(B) = R(AP) = R(A) \end{cases}$$

$$\Rightarrow \begin{cases} m = 2k \text{ 时, } \beta_1, \beta_2, \cdots, \beta_m \text{ 线性相关} \\ m = 2k + 1 \text{ 时, } \beta_1, \beta_2, \cdots, \beta_m \text{ 与 } \alpha_1, \alpha_2, \cdots, \alpha_m \text{ 有相同的相关与无关性} \end{cases}$$

**注:**  $m = 2k + 1$  时,

$\alpha_1, \alpha_2, \cdots, \alpha_m$  线性无关  $\Leftrightarrow R(A) = m \Leftrightarrow R(B) = m \Leftrightarrow \beta_1, \beta_2, \cdots, \beta_m$  线性无关

$\alpha_1, \alpha_2, \cdots, \alpha_m$  线性相关  $\Leftrightarrow R(A) < m \Leftrightarrow R(B) < m \Leftrightarrow \beta_1, \beta_2, \cdots, \beta_m$  线性相关



5. 已知 $\alpha_1, \alpha_2, \dots, \alpha_m; \beta_1 = \alpha_1, \beta_2 = \alpha_1 + \alpha_2, \dots, \beta_m = \alpha_1 + \alpha_2 + \dots + \alpha_m$ .

**证明:**  $\alpha_1, \alpha_2, \dots, \alpha_m$  线性无关  $\Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$  线性无关.

**证:**  $B = (\beta_1, \beta_2, \dots, \beta_m) = (\alpha_1, \alpha_1 + \alpha_2, \dots, \alpha_1 + \alpha_2 + \dots + \alpha_m)$

$$= (\alpha_1, \alpha_2, \dots, \alpha_m) \begin{pmatrix} 1 & 1 & \dots & 1 \\ 0 & 1 & \dots & 1 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & 1 \end{pmatrix} = AP$$

$P$ 可逆  $\Rightarrow R(B) = R(AP) = R(A)$

$\alpha_1, \alpha_2, \dots, \alpha_m$  线性无关  $\Leftrightarrow R(A) = m \Leftrightarrow R(B) = m \Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$  线性无关

$\alpha_1, \alpha_2, \dots, \alpha_m$  线性相关  $\Leftrightarrow R(A) < m \Leftrightarrow R(B) < m \Leftrightarrow \beta_1, \beta_2, \dots, \beta_m$  线性相关



6. 设向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 中 $\alpha_1 \neq 0$ , 且每个 $\alpha_i (i = 1, 2, \dots, n)$ 都不能由它前面的 $i-1$ 个向量 $\alpha_1, \alpha_2, \dots, \alpha_{i-1}$ 线性表出, 求证: 向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性无关.

证: 设 $k_1\alpha_1 + k_2\alpha_2 + \dots + k_n\alpha_n = 0$

$$\text{如果 } k_n \neq 0 \Rightarrow \alpha_n = -\frac{k_1}{k_n}\alpha_1 - \frac{k_2}{k_n}\alpha_2 - \dots - \frac{k_{n-1}}{k_n}\alpha_{n-1}$$

$\alpha_n$ 可由 $\alpha_1, \alpha_2, \dots, \alpha_{n-1}$ 线性表出, 与假设矛盾.

因此 $k_n = 0$

$$k_1\alpha_1 + k_2\alpha_2 + \dots + k_n\alpha_n = 0 \text{ 变为 } k_1\alpha_1 + k_2\alpha_2 + \dots + k_{n-1}\alpha_{n-1} = 0$$

同理可证 $k_{n-1} = 0$ , 以此类推 $k_{n-2}, k_{n-3}, \dots, k_2, k_1$ 都等于零.

所以向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 线性无关

7. 设  $A$  是  $n$  阶方阵, 若存在正整数  $k$ , 使  $A^k x = 0$  有解向量  $\alpha$ , 且  $A^{k-1}\alpha \neq 0$ ,

证明:  $\alpha, A\alpha, A^2\alpha, \dots, A^{k-1}\alpha$  线性无关.

证: (1)  $A^k\alpha = 0 \Rightarrow A^m\alpha = 0 (m \geq k)$

(2)  $A^{k-1}\alpha \neq 0 \Rightarrow "lA^{k-1}\alpha = 0 \Leftrightarrow l = 0"$

令  $l_0\alpha + l_1A\alpha + l_2A^2\alpha + \dots + l_{k-1}A^{k-1}\alpha = 0$

$$\Rightarrow A^{k-1}(l_0\alpha + \underline{l_1A\alpha + l_2A^2\alpha + \dots + l_{k-1}A^{k-1}\alpha}) = 0 \Rightarrow l_0 = 0$$

$$\Rightarrow A^{k-2}(\underline{l_1A\alpha + l_2A^2\alpha + \dots + l_{k-1}A^{k-1}\alpha}) = 0 \Rightarrow l_1 = 0$$

$$\Rightarrow l_i = 0 (i = 0, 1, \dots, k-1)$$

$\Rightarrow \alpha, A\alpha, A^2\alpha, \dots, A^{k-1}\alpha$  线性无关.

7'. 设 $A$ 是 $n$ 阶方阵, $\alpha_1, \alpha_2, \alpha_3$ 为 $n$ 维向量组,其中 $\alpha_1 \neq 0$ ,且满足:

$$A\alpha_1 = 2\alpha_1, A\alpha_2 = \alpha_1 + 2\alpha_2, A\alpha_3 = \alpha_2 + 2\alpha_3, \text{证明: } \alpha_1, \alpha_2, \alpha_3 \text{ 线性无关.}$$

证:  $A\alpha_1 = 2\alpha_1 \Leftrightarrow (A - 2I)\alpha_1 = 0;$

$$A\alpha_2 = \alpha_1 + 2\alpha_2 \Leftrightarrow (A - 2I)\alpha_2 = \alpha_1;$$

$$A\alpha_3 = \alpha_2 + 2\alpha_3 \Leftrightarrow (A - 2I)\alpha_3 = \alpha_2.$$

$$\Rightarrow \begin{cases} (A - 2I)^2 \alpha_1 = 0 \\ (A - 2I)^2 \alpha_2 = 0 \\ (A - 2I)^2 \alpha_3 = \alpha_1 \end{cases}$$

令  $k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3 = 0 \text{ (1)},$  则

$$(A - 2I)(k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3) = 0 \Rightarrow k_2\alpha_1 + k_3\alpha_2 = 0 \text{ (2)}$$

$$(A - 2I)(k_2\alpha_1 + k_3\alpha_2) = 0 \Rightarrow k_3\alpha_1 = 0 \text{ (3)}$$

$$\text{又 } \alpha_1 \neq 0 \Rightarrow k_3 = 0$$

将 $k_3 = 0$ 代入(2)、(1), 得  $k_1 = k_2 = k_3 = 0$

$$\Rightarrow \alpha_1, \alpha_2, \alpha_3 \text{ 线性无关.}$$

注:  $A\alpha_1, A\alpha_2, A\alpha_3$  线性无关.

8. 设  $\eta^*$  是非齐次线性方程组  $Ax = b$  的一个解,  $\xi_1, \xi_2, \dots, \xi_{n-r}$  是对应齐次线性方程组的一个基础解系,

证明: (1)  $\eta^*, \xi_1, \xi_2, \dots, \xi_{n-r}$  线性无关;

(2)  $\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \dots, \eta^* + \xi_{n-r}$  线性无关.

证: (1) (法1)  $\xi_1, \xi_2, \dots, \xi_{n-r}$  线性无关 }  $\Rightarrow \eta^* = k_1 \xi_1 + k_2 \xi_2 + \dots + k_{n-r} \xi_{n-r}$   
若  $\eta^*, \xi_1, \xi_2, \dots, \xi_{n-r}$  线性相关

即  $\eta^*$  为  $Ax = 0$  的解. 矛盾!

$\Rightarrow \eta^*, \xi_1, \xi_2, \dots, \xi_{n-r}$  线性无关.

证:(1)(法2)  $k_0\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r} = 0$

若  $k_0 \neq 0$ , 则  $\eta^*$  可由  $\xi_1, \xi_2, \cdots, \xi_{n-r}$  线性表出.

即  $\eta^*$  为  $Ax = 0$  的解. 矛盾!

故  $k_0 = 0 \Rightarrow k_1 = k_2 = \cdots = k_{n-r} = 0$

$\Rightarrow \eta^*, \xi_1, \xi_2, \cdots, \xi_{n-r}$  线性无关.



证:(1)(法3)  $k_0\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r} = 0$

注:  $A\eta^* = b, A\xi_i = 0(i = 1, 2, \cdots, n-r)$

$$\Rightarrow A(k_0\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r}) = 0$$

$$\Rightarrow k_0b = 0(b \neq 0) \Rightarrow k_0 = 0$$

$$\Rightarrow k_1 = k_2 = \cdots = k_{n-r} = 0$$

$$\Rightarrow \eta^*, \xi_1, \xi_2, \cdots, \xi_{n-r} \text{ 线性无关.}$$



**证: (2)(法1)**  $k_0\eta^* + k_1(\eta^* + \xi_1) + k_2(\eta^* + \xi_2) + \cdots + k_{n-r}(\eta^* + \xi_{n-r}) = 0$

$$\Rightarrow (k_0 + k_1 + \cdots + k_{n-r})\eta^* + k_1\xi_1 + k_2\xi_2 + \cdots + k_{n-r}\xi_{n-r} = 0$$

$$\Rightarrow k_0 + k_1 + \cdots + k_{n-r} = 0, k_1 = k_2 = \cdots = k_{n-r} = 0$$

$$\Rightarrow k_0 = k_1 = k_2 = \cdots = k_{n-r} = 0$$

$\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}$  线性无关

**(2)(法2)**

$$B = (\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}) = (\eta^*, \xi_1, \xi_2, \cdots, \xi_{n-r}) \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & 1 \end{pmatrix} = AP$$

$P$ 可逆  $\Rightarrow R(B) = R(AP) = R(A) = n - r + 1 \Rightarrow \eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}$  线性无关.

**注:**  $\eta^*, \eta^* + \xi_1, \eta^* + \xi_2, \cdots, \eta^* + \xi_{n-r}$  线性无关  $\Leftrightarrow \eta^*, \xi_1, \xi_2, \cdots, \xi_{n-r}$  线性无关

9. 设  $\alpha_1, \alpha_2, \dots, \alpha_n \in R^n$ , 证明:

$$\alpha_1, \alpha_2, \dots, \alpha_n \text{ 线性无关} \Leftrightarrow D = \begin{vmatrix} \alpha_1^T \alpha_1 & \alpha_1^T \alpha_2 & \cdots & \alpha_1^T \alpha_n \\ \alpha_2^T \alpha_1 & \alpha_2^T \alpha_2 & \cdots & \alpha_2^T \alpha_n \\ \vdots & \vdots & & \vdots \\ \alpha_n^T \alpha_1 & \alpha_n^T \alpha_2 & \cdots & \alpha_n^T \alpha_n \end{vmatrix} \neq 0.$$

证:  $A = (\alpha_1, \alpha_2, \dots, \alpha_n)$ , 则

$$A^T A = \begin{pmatrix} \alpha_1^T \\ \alpha_2^T \\ \vdots \\ \alpha_n^T \end{pmatrix} (\alpha_1, \alpha_2, \dots, \alpha_n) = \begin{pmatrix} \alpha_1^T \alpha_1 & \alpha_1^T \alpha_2 & \cdots & \alpha_1^T \alpha_n \\ \alpha_2^T \alpha_1 & \alpha_2^T \alpha_2 & \cdots & \alpha_2^T \alpha_n \\ \vdots & \vdots & & \vdots \\ \alpha_n^T \alpha_1 & \alpha_n^T \alpha_2 & \cdots & \alpha_n^T \alpha_n \end{pmatrix}$$

$$\alpha_1, \alpha_2, \dots, \alpha_n \text{ 线性无关} \Leftrightarrow \det A \neq 0 \Leftrightarrow D = (\det A)^2 \neq 0.$$

## 二. 关于向量组的秩及矩阵秩的结论

1. 设 $A, B$ 均为 $m$ 行的矩阵, 证明:

$$\max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B).$$

2. 设 $A, B$ 为同型矩阵, 证明:  $R(A + B) \leq R(A) + R(B)$ .

3.  $R(AB) \leq \min\{R(A), R(B)\}$ . (待续)

4. 设 $A_{m \times n}, B_{n \times p}$ 满足 $AB = 0$ , 证明:  $R(A) + R(B) \leq n$ .



## 5.导出结论:

$$(1) \quad R(A^*) = \begin{cases} n, & R(A) = n \\ 0, & R(A) < n-1 \\ 1, & R(A) = n-1 \end{cases}$$

(2)  $A$ 为 $n$ 阶矩阵,且 $A^2 = A \Rightarrow R(A) + R(A - I) = n$ .

(3)  $A$ 为 $n$ 阶矩阵,且 $A^2 + A = 0 \Rightarrow R(A) + R(A + I) = n$ .

(4)  $A, B$ 为 $n$ 阶矩阵,且 $ABA = B^{-1} \Rightarrow R(AB + I) + R(AB - I) = n$ .



1. **proof:** 设 $A, B$ 均为 $m$ 行的矩阵, **证明:**

$$\max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B).$$

**证(法1):** 令 $A = (\alpha_1, \alpha_2, \dots, \alpha_n), B = (\beta_1, \beta_2, \dots, \beta_p)$ , 则

$$(A, B) = (\alpha_1, \alpha_2, \dots, \alpha_n, \beta_1, \beta_2, \dots, \beta_p)$$

设 $A$ 与 $B$ 的列向量组的最大无关组分别为 $\alpha_{i_1}, \alpha_{i_2}, \dots, \alpha_{i_s}$ 与 $\beta_{j_1}, \beta_{j_2}, \dots, \beta_{j_t}$ ,

记 
$$C = (\alpha_{i_1}, \alpha_{i_2}, \dots, \alpha_{i_s}, \beta_{j_1}, \beta_{j_2}, \dots, \beta_{j_t})$$

则 $(A, B)$ 的列向量组可由 $C$ 的列向量组线性表出.

$$\Rightarrow \begin{cases} R(A) \\ R(B) \end{cases} \leq R(A, B) \leq R(C) \leq s + t = R(A) + R(B)$$

$$\Rightarrow \max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B).$$

**1. proof:** 设 $A, B$ 均为 $m$ 行的矩阵, **证明:**

$$\max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B).$$

**证(法2):**  $(A, B) = (I_m, I_m) \begin{pmatrix} A \\ B \end{pmatrix} \Rightarrow R(A, B) \leq R \begin{pmatrix} A \\ B \end{pmatrix} = R(A) + R(B)$

$$\left\{ \begin{array}{l} A = (A, B) \begin{pmatrix} I_n \\ 0 \end{pmatrix} \Rightarrow R(A) \leq R(A, B) \\ B = (A, B) \begin{pmatrix} 0 \\ I_p \end{pmatrix} \Rightarrow R(B) \leq R(A, B) \end{array} \right\} \Rightarrow \max\{R(A), R(B)\} \leq R(A, B)$$

$$\Rightarrow \max\{R(A), R(B)\} \leq R(A, B) \leq R(A) + R(B).$$

**注:**  $A, B$ 均为 $n$ 列的矩阵  $\Rightarrow \max\{R(A), R(B)\} \leq R \begin{pmatrix} A \\ B \end{pmatrix} \leq R(A) + R(B).$

2. **proof:** 设 $A, B$ 为同型矩阵, **证明:**  $R(A+B) \leq R(A) + R(B)$ .

**证(法1):** 令  $A = (\alpha_1, \alpha_2, \dots, \alpha_n), B = (\beta_1, \beta_2, \dots, \beta_n)$ , 则

$$A + B = (\alpha_1 + \beta_1, \alpha_2 + \beta_2, \dots, \alpha_n + \beta_n)$$

$$(A, B) = (\alpha_1, \alpha_2, \dots, \alpha_n, \beta_1, \beta_2, \dots, \beta_n)$$

$\alpha_1 + \beta_1, \alpha_2 + \beta_2, \dots, \alpha_n + \beta_n$  可由  $\alpha_1, \alpha_2, \dots, \alpha_n$ ,  $\beta_1, \beta_2, \dots, \beta_n$  线性表出

设  $A$  与  $B$  的列向量组的最大无关组分别为  $\alpha_{i_1}, \alpha_{i_2}, \dots, \alpha_{i_s}$  与  $\beta_{j_1}, \beta_{j_2}, \dots, \beta_{j_t}$ ,

$\{\alpha_1, \alpha_2, \dots, \alpha_n, \beta_1, \beta_2, \dots, \beta_n\}$  与  $\{\alpha_{i_1}, \alpha_{i_2}, \dots, \alpha_{i_s}, \beta_{j_1}, \beta_{j_2}, \dots, \beta_{j_t}\}$  等价

故  $(A, B)$  的列向量组可由  $C = (\alpha_{i_1}, \alpha_{i_2}, \dots, \alpha_{i_s}, \beta_{j_1}, \beta_{j_2}, \dots, \beta_{j_t})$  的列向量组线性表出

$$\Rightarrow R(A+B) \leq R(A, B) = R(C) \leq s + t = R(A) + R(B)$$



## 2. proof: $R(A+B) \leq R(A) + R(B)$

$$\text{证(法2): } \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \xrightarrow{r_2+r_1} \begin{pmatrix} A & B \\ 0 & B \end{pmatrix} \xrightarrow{c_2+c_1} \begin{pmatrix} A+B & B \\ B & B \end{pmatrix}$$

$$\Rightarrow R(A+B) \leq R \begin{pmatrix} A+B & B \\ B & B \end{pmatrix} = R \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} = R(A) + R(B)$$

$$\text{证(法3): } A+B = (A, B) \begin{pmatrix} I_n \\ I_n \end{pmatrix} \Rightarrow R(A+B) \leq R(A, B) \quad (\leq R(A) + R(B))$$

$$(A, B) = (I_m, I_m) \begin{pmatrix} A \\ B \end{pmatrix} \Rightarrow R(A, B) \leq R \begin{pmatrix} A \\ B \end{pmatrix} = R(A) + R(B)$$

$$\Rightarrow R(A+B) \leq R(A, B) \leq R(A) + R(B).$$

4. 设 $A_{m \times n}, B_{n \times p}$ 满足 $AB = 0$ , 证明:  $R(A) + R(B) \leq n$ .

(设 $n$ 阶矩阵 $A, B$ 满足 $AB = 0$ , 证明:  $R(A) + R(B) \leq n$ . 课本P<sub>153</sub>)

分析:  $R(A) + R(B) \leq n \Leftrightarrow R(B) \leq n - R(A)$   
 $\parallel \leftarrow \dim W = \{x \mid Ax = 0, \forall x \in R^n\}$

证: (1). 若 $B = 0$ , 则 $R(A) + R(B) = R(A) + 0 = R(A) \leq n$ .

(2). 设 $B = (b_1, b_2, \dots, b_p) \neq 0$ , 则

$$(Ab_1, Ab_2, \dots, Ab_p) = A(b_1, b_2, \dots, b_p) = AB = 0$$

$$Ab_i = 0 (i = 1, 2, \dots, p) \text{ 且 } Ab_l = 0 (b_l \neq 0)$$

$b_i (i = 1, 2, \dots, p)$  为  $Ax = 0$  的解, 故可由基础解系  $\xi_1, \xi_2, \dots, \xi_{n-r}$  ( $r = R(A)$ ) 线性表出. 所以

$$R(B) = R\{b_1, b_2, \dots, b_p\} \leq R\{\xi_1, \xi_2, \dots, \xi_{n-r}\} = n - r = n - R(A)$$

$$\text{即 } R(A) + R(B) \leq n.$$

**(Sylvester公式):** 设  $A_{m \times n}, B_{n \times p}$ , **证明:**  $R(A) + R(B) - n \leq R(AB)$

**证:**  $R(A) = r \Rightarrow$  存在  $P, Q$  可逆, 使得  $PAQ = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix}$

$$PAB = PAQ \underset{C}{Q^{-1}B} = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} C = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} C_1 \\ C_2 \end{pmatrix} = \begin{pmatrix} C_1 \\ 0 \end{pmatrix}$$

$$\Rightarrow \text{(1)} R(AB) = R(PAB) = R \begin{pmatrix} C_1 \\ 0 \end{pmatrix} = R(C_1), \text{ (2)} R(B) = R(C)$$

$$C = \begin{pmatrix} C_1 \\ C_2 \end{pmatrix} \Rightarrow R(C_1) + R(C_2) \geq R \begin{pmatrix} C_1 \\ C_2 \end{pmatrix} = R(C) \Rightarrow \text{(3)} R(C_1) \geq R(C) - R(C_2) \\ \Leftrightarrow R(AB) \geq R(B) - R(C_2)$$

$$\text{(4)} R(C_2) \leq n - r \Rightarrow R(AB) \geq R(B) - (n - r) = R(B) + R(A) - n$$

$$\Rightarrow R(AB) \geq R(B) + R(A) - n$$

**Sylvester推论:**  $A_{m \times n}, B_{n \times p}$  且  $AB = 0 \Rightarrow R(A) + R(B) \leq n$

**问题1.** 设 $A, B$ 为 $AB = 0$ 的任意两个非零矩阵, 则必有( )

- (A)  $A$ 的列向量组线性相关,  $B$ 的行向量组线性相关.
- (B)  $A$ 的列向量组线性相关,  $B$ 的列向量组线性相关.
- (C)  $A$ 的行向量组线性相关,  $B$ 的行向量组线性相关.
- (D)  $A$ 的行向量组线性相关,  $B$ 的列向量组线性相关.

**分析:**  $A$ 为 $m \times n$ 矩阵,  $B$ 为 $n \times s$ 矩阵

$$\left. \begin{array}{l} (1) AB = 0 \Rightarrow R(A) + R(B) \leq n \\ (2) A, B \text{ 非零} \Rightarrow R(A) \geq 1, R(B) \geq 1 \end{array} \right\} \Rightarrow 1 \leq R(A) < n, 1 \leq R(B) < n.$$

(1)  $A$ 的列秩 $=R(A) < n \Rightarrow A$ 的列向量组线性相关.

(2)  $B$ 的行秩 $=R(B) < n \Rightarrow B$ 的行向量组线性相关.



**问题2.** 设  $m \times n$  矩阵  $A$  的秩  $R(A) = m < n$ , 则 ( )

(A)  $A$  的任意  $m$  个列向量所成向量组线性无关.

(B)  $A$  的任意一个  $m$  阶子式不为零

(C) 若  $BA = 0$ , 则  $B = 0$

(D) 通过行初等变换, 必可化为  $(E_m, 0)$

**解:(法1)**  $BA = 0 \Rightarrow \left. \begin{matrix} R(B) + R(A) \leq m \\ R(A) = m \end{matrix} \right\} \Rightarrow R(B) \leq 0 \Rightarrow R(B) = 0 \Leftrightarrow B = 0$

$$\text{(法2)} BA = 0 \Leftrightarrow \begin{pmatrix} b_{11} & \cdots & b_{1m} \\ b_{21} & \cdots & b_{2m} \\ \cdots & \cdots & \cdots \\ b_{r1} & \cdots & b_{rm} \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_m \end{pmatrix} = 0 \Leftrightarrow \sum_{j=1}^m b_{ij} \alpha_j = 0 (\forall i)$$

$$R(A) = m \Leftrightarrow \alpha_1, \alpha_2, \cdots, \alpha_m \text{ 线性无关} \Rightarrow b_{ij} = 0 (\forall i, j) \Leftrightarrow B = 0$$

问题3. 设  $A = \begin{pmatrix} 1 & 2 & -2 \\ 4 & t & 3 \\ 3 & -1 & 1 \end{pmatrix}$ ,  $B$  为3阶非零矩阵, 且  $AB = 0$ , 求  $t$  的值

解: (1)  $AB = 0 \Rightarrow R(A) + R(B) \leq 3 \Rightarrow R(A) \leq 3 - R(B)$   
(2)  $B$  为3阶非零矩阵  $\Rightarrow R(B) \geq 1$   $\Rightarrow R(A) \leq 2 < 3$

$$\Rightarrow |A| = 0 \Rightarrow t = -3$$

**导出结论(1).proof:**  $R(A^*) = \begin{cases} n, & R(A) = n \\ 0, & R(A) < n-1 \\ 1, & R(A) = n-1 \end{cases}$

**证:**  $R(A) = n-1 \Rightarrow \left\{ \begin{array}{l} \text{(1) } A \text{ 中有 } n-1 \text{ 阶子式不为零} \Rightarrow A^* \neq 0 \Rightarrow R(A^*) \geq 1 \\ \text{(2) } \det(A) = 0 \Rightarrow AA^* = \det(A)I = 0 \\ \quad \Rightarrow R(A) + R(A^*) \leq n \\ \quad \Rightarrow R(A^*) \leq n - R(A) = n - (n-1) = 1 \end{array} \right\}$

$\Rightarrow R(A^*) = 1.$

**导出结论(2).proof:**  $A$  为  $n$  阶矩阵,  $A^2 = A \Rightarrow R(A) + R(A - I) = n.$

**证:**  $A^2 = A \Leftrightarrow A(A - I) = 0 \Rightarrow \text{(1)} R(A) + R(A - I) \leq n.$

$\text{(2)} R(A) + R(A - I) = R(A) + R(I - A) \geq R(A + I - A) = R(I) = n.$

$\Rightarrow R(A) + R(A - I) = n.$



### 三. 如何判断向量组线性表出关系?

(1)  $Ax = b$  有解  $\Leftrightarrow R(A) = R(A | b) \Leftrightarrow b$  可由  $\alpha_1, \alpha_2, \dots, \alpha_n$  线性表出  
 $\Leftrightarrow \alpha_1, \alpha_2, \dots, \alpha_n$  与  $\alpha_1, \alpha_2, \dots, \alpha_n, b$  等价

(2)  $\begin{cases} \text{(I)}: \alpha_1, \alpha_2, \dots, \alpha_n; \text{(II)}: b_1, b_2, \dots, b_l \\ A = (\alpha_1, \alpha_2, \dots, \alpha_n); B = (b_1, b_2, \dots, b_l) \end{cases}$ , (II) 可由 (I) 表出  $\Leftrightarrow R(A) = R(A | B)$

证: (II) 可由 (I) 表出  $\Leftrightarrow \forall b_j (j = 1, 2, \dots, l), b_j$  可由  $\alpha_1, \alpha_2, \dots, \alpha_n$  表出  $\Leftrightarrow R(A) = R(A | b_j)$

$\Leftrightarrow R(A) = R(A | b_1) = R(A | b_2) = \dots = R(A | b_l) \Leftrightarrow R(A) = R(A | b_1, b_2, \dots, b_l) = R(A | B)$

(3)  $\begin{cases} \text{(I)}: \alpha_1, \alpha_2, \dots, \alpha_n; \text{(II)}: b_1, b_2, \dots, b_l \\ A = (\alpha_1, \alpha_2, \dots, \alpha_n); B = (b_1, b_2, \dots, b_l) \end{cases}$ , (II) 与 (I) 等价  $\Leftrightarrow R(A) = R(B) = R(A | B)$

证: (II) 与 (I) 等价  $\Leftrightarrow \begin{cases} \text{(II) 可由 (I) 表出} \Leftrightarrow R(A) = R(A | B) \\ \text{(I) 可由 (II) 表出} \Leftrightarrow R(B) = R(B | A) \end{cases} \Leftrightarrow R(A) = R(B) = R(A | B)$

1. 设向量组:  $a_1 = (1, -1, 1, -1)^T$ 、 $a_2 = (3, 1, 1, 3)^T$ ;

向量组:  $b_1 = (2, 0, 1, 1)^T$ 、 $b_2 = (1, 1, 0, 2)^T$ 、 $b_3 = (3, -1, 2, 0)^T$ .

**证明:** 向量组  $a_1$ 、 $a_2$  与  $b_1$ 、 $b_2$ 、 $b_3$  等价.

**证:** 设  $A = (a_1, a_2)$ ,  $B = (b_1, b_2, b_3)$

两向量组等价  $\Leftrightarrow R(A) = R(B) = R(A | B)$

$$(A | B) = \left( \begin{array}{cc|cc} 1 & 3 & 2 & 1 & 3 \\ -1 & 1 & 0 & 1 & -1 \\ 1 & 1 & 1 & 0 & 2 \\ -1 & 3 & 1 & 2 & 0 \end{array} \right) \xrightarrow[r_1+r_2]{\begin{matrix} r_3+r_4 \\ r_2+r_3 \end{matrix}} \left( \begin{array}{cc|cc} 1 & 3 & 2 & 1 & 3 \\ 0 & 4 & 2 & 2 & 2 \\ 0 & 2 & 1 & 1 & 1 \\ 0 & 4 & 2 & 2 & 2 \end{array} \right) \xrightarrow[-\frac{1}{2}r_2+r_3]{-r_2+r_4} \left( \begin{array}{cc|cc} 1 & 3 & 2 & 1 & 3 \\ 0 & 2 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right),$$

$$\Rightarrow \left\{ \begin{array}{l} \text{(1)} R(A) = R(A | B) = 2 \\ \text{(2)} \begin{vmatrix} 2 & 1 \\ 1 & 1 \end{vmatrix} = 1 \neq 0 \Rightarrow R(B) = 2 \end{array} \right\} \Rightarrow R(A) = R(B) = R(A | B) \\ \Leftrightarrow \text{两向量组等价}$$

## 四. 如何从向量组线性表出的观点认识两矩阵的乘积?

1.  $A = (a_{ij})_{m \times n}, B = (b_{ij})_{n \times p}, C = (c_{ij})_{m \times p}$ , 且  $C = AB$ , 则

(1) 矩阵  $C = AB$  的列向量组能由  $A$  的列向量组线性表出.

(2) 矩阵  $C = AB$  的行向量组能由  $B$  的行向量组线性表出.

证: (1)  $A = (\alpha_1, \alpha_2, \dots, \alpha_n), C = (c_1, c_2, \dots, c_p)$ , 有

$$C = AB \Leftrightarrow (c_1, c_2, \dots, c_p) = (\alpha_1, \alpha_2, \dots, \alpha_n) \begin{pmatrix} b_{11} & b_{12} & \cdots & b_{1p} \\ b_{21} & b_{22} & \cdots & b_{2p} \\ \vdots & \vdots & & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{np} \end{pmatrix}$$
$$\Leftrightarrow c_j = \sum_{i=1}^n b_{ij} \alpha_i = b_{1j} \alpha_1 + \cdots + b_{nj} \alpha_n \quad (j = 1, 2, \dots, p)$$

$\Leftrightarrow$  矩阵  $C = AB$  的列向量组能由  $A$  的列向量组线性表出.

$\Rightarrow$  矩阵  $C^T = B^T A^T$  的列向量组能由  $B^T$  的列向量组线性表出.

矩阵  $C = AB$  的行向量组能由  $B$  的行向量组线性表出.

$$(2) B = \begin{pmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_n \end{pmatrix}, C = \begin{pmatrix} \gamma_1 \\ \gamma_2 \\ \vdots \\ \gamma_m \end{pmatrix}, \text{有}$$

$$\boxed{C=AB} \Leftrightarrow \begin{pmatrix} \gamma_1 \\ \gamma_2 \\ \vdots \\ \gamma_m \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix} \begin{pmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_n \end{pmatrix}$$

$$\Leftrightarrow \gamma_i = \sum_{j=1}^n a_{ij} \beta_j \quad (i = 1, 2, \cdots, m)$$

$\Leftrightarrow$  矩阵  $C = AB$  的行向量组能由  $B$  的行向量组线性表出.

1. 设  $A_{m \times n}, B_{n \times p}$ , 证明:  $R(AB) \leq \min\{R(A), R(B)\}$ .

证(法1): 设  $C_{m \times p} = AB$ , 则

$$(c_1, \dots, c_p) = (\alpha_1, \dots, \alpha_n) \begin{pmatrix} b_{11} & \cdots & b_{1p} \\ b_{21} & \cdots & b_{2p} \\ \cdots & \cdots & \cdots \\ b_{n1} & \cdots & b_{np} \end{pmatrix}$$

$$\Rightarrow c_k = b_{1k}\alpha_1 + b_{2k}\alpha_2 + \cdots + b_{nk}\alpha_n, \quad (k = 1, \dots, p)$$

$\Rightarrow$  矩阵  $C = AB$  的列向量组能由  $A$  的列向量组线性表出.

$$R(AB) = R(C) = R\{c_1, \dots, c_p\} \leq R\{\alpha_1, \dots, \alpha_n\} = R(A)$$

$$R(AB) = R(C) = R(C^T) = R(B^T A^T) \leq R(B^T) = R(B)$$

$$\Rightarrow R(AB) \leq \min\{R(A), R(B)\}.$$

1. 设  $A_{m \times n}, B_{n \times p}$ , 证明:  $R(AB) \leq \min\{R(A), R(B)\}$

证(法2): 设  $R(A) = r$ , 则存在  $m$  阶可逆矩阵  $P$ ,  $n$  阶可逆矩阵  $Q$ , 使得

$$PAQ = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix}$$

$$\Rightarrow PAB = PAQ \underset{C}{Q^{-1}B} = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} C = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} C_1 \\ C_2 \end{pmatrix} = \begin{pmatrix} C_1 \\ 0 \end{pmatrix}$$

$$\Rightarrow \begin{cases} (1) R(AB) = R(PAB) = R \begin{pmatrix} C_1 \\ 0 \end{pmatrix} \leq r = R(A) \\ (2) R(AB) = R((AB)^T) = R(B^T A^T) \leq R(B^T) = R(B) \end{cases}$$

$$\Rightarrow R(AB) \leq \min\{R(A), R(B)\}$$

2. 设  $A$  是  $n \times m$  矩阵,  $B$  是  $m \times n$  矩阵 (其中  $n < m$ ),  $I$  是  $n$  阶单位矩阵.

若  $AB = I$ , 证明:  $B$  的列向量组线性无关.

证(法1): 设  $B = (\beta_1, \beta_2, \dots, \beta_n)$ ,

$$\text{令 } x_1\beta_1 + x_2\beta_2 + \dots + x_n\beta_n = 0, \text{ 即 } (\beta_1, \beta_2, \dots, \beta_n) \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = 0$$

$$\Leftrightarrow Bx = 0 \Rightarrow 0 = ABx = Ix = x \Rightarrow \beta_1, \beta_2, \dots, \beta_n \text{ 线性无关.}$$

证(法2):  $\left\{ \begin{array}{l} (1) R(B) \leq n \\ (2) R(B) \geq R(AB) = R(I) = n \end{array} \right\} \Rightarrow R(B) = n$

$$\Leftrightarrow \beta_1, \beta_2, \dots, \beta_n \text{ 线性无关.}$$



## 五.“矩阵A与B等价”与“向量组 $\alpha_1, \alpha_2, \dots, \alpha_n$ 与 $\beta_1, \beta_2, \dots, \beta_n$ 等价”的关系？


(1) 矩阵A与B等价  $\Leftrightarrow A_{m \times n} \xrightarrow{\text{有限次初等变换}} B_{m \times n}$

$\Leftrightarrow$  存在初等矩阵 $E_1, \dots, E_s, F_1, \dots, F_t$ , 使得 $E_s \cdots E_1 A F_1 \cdots F_t = B$

$\Leftrightarrow$  存在可逆矩阵 $P, Q$ , 使得 $PAQ = B \Leftrightarrow R(A) = R(B)$

(2) 矩阵A与B行等价  $\Leftrightarrow A \xrightarrow{\text{有限次行初等变换}} B$

$\Leftrightarrow$  存在可逆矩阵 $P$ , 使得 $PA = B$ .


$$\begin{pmatrix} p_{11} & p_{12} & \cdots & p_{1m} \\ p_{21} & p_{22} & \cdots & p_{2m} \\ \vdots & \vdots & & \vdots \\ p_{m1} & p_{m2} & \cdots & p_{mm} \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \vdots \\ \alpha_m \end{pmatrix} = \begin{pmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_m \end{pmatrix} \Leftrightarrow \sum_{j=1}^m p_{ij} \alpha_j = \beta_i \quad (i = 1, 2, \dots, m)$$

$$PA = B \Leftrightarrow \sum_{j=1}^m p_{ij} \alpha_j = \beta_i (i = 1, 2, \dots, m)$$

$\Rightarrow B$ 的行向量组可由 $A$ 的行向量组线性表出

$$PA = B \Leftrightarrow A = P^{-1}B = QB \Leftrightarrow \sum_{j=1}^m q_{ij} \beta_j = \alpha_i (i = 1, 2, \dots, m)$$

$\Rightarrow A$ 的行向量组可由 $B$ 的行向量组线性表出

即 $A$ 与 $B$ 的行向量组等价

(3) 矩阵 $A$ 与 $B$ 列等价  $\Leftrightarrow A \xrightarrow{\text{有限次列初等变换}} B$

$\Leftrightarrow$  存在可逆矩阵 $Q$ , 使得  $AQ = B$ .

$$(\alpha_1, \alpha_2, \dots, \alpha_n) \begin{pmatrix} q_{11} & q_{12} & \cdots & q_{1n} \\ q_{21} & q_{22} & \cdots & q_{2n} \\ \vdots & \vdots & & \vdots \\ q_{n1} & q_{n2} & \cdots & q_{nn} \end{pmatrix} = (\beta_1, \beta_2, \dots, \beta_n) \Leftrightarrow \sum_{i=1}^n q_{ij} \alpha_i = \beta_j$$

$$AQ = B \Leftrightarrow \sum_{i=1}^n q_{ij} \alpha_i = \beta_j (j=1, 2, \dots, n)$$

$\Rightarrow B$ 的列向量组可由 $A$ 的列向量组线性表出

$$AQ = B \Leftrightarrow A = BQ^{-1} = BP \Leftrightarrow \sum_{i=1}^n p_{ij} \beta_i = \alpha_j (j=1, 2, \dots, n)$$

$\Rightarrow A$ 的列向量组可由 $B$ 的列向量组线性表出

即 $A$ 与 $B$ 的列向量组等价

注: 1<sup>0</sup>. 矩阵 $A$ 与 $B$ 等价  $\Leftrightarrow A_{m \times n} \xrightarrow{\text{有限次初等变换}} B_{m \times n}$

$\Rightarrow A$ 与 $B$ 的行向量组未必等价,  $A$ 与 $B$ 的列向量组未必等价.

2<sup>0</sup>. 设两列向量组等价( $m$ 维), 若它们所含向量个数不相同, 则它们对应的两个矩阵是不同型的, 因而不等价; 若它们所含向量个数相同(如都为 $n$ 个向量), 那么它们对应的两个 $m \times n$ 矩阵列等价, 从而一定等价, 但不一定行等价.

### 3<sup>0</sup>. 举例

$$(1) A = \begin{pmatrix} 1 & 2 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}, \quad B = \begin{pmatrix} 0 & 0 \\ 1 & 3 \end{pmatrix} = \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix}$$

$\Rightarrow A$ 与 $B$ 等价, 但 $\alpha_1, \alpha_2$ 与 $\beta_1, \beta_2$ 不等价.

$$(2) A = \begin{pmatrix} 1 & 2 \\ 0 & 0 \end{pmatrix} = (\alpha_1, \alpha_2), \quad B = \begin{pmatrix} 0 & 0 \\ 1 & 3 \end{pmatrix} = (\beta_1, \beta_2)$$

$\Rightarrow A$ 与 $B$ 等价, 但 $\alpha_1, \alpha_2$ 与 $\beta_1, \beta_2$ 不等价.



## 六. 矩阵的行初等变换对列向量组和行向量组各有什么作用?

$$A_{m \times n} \xrightarrow{\text{行初等变换}} B_{m \times n} (\Leftrightarrow \text{矩阵} A \text{与} B \text{的行等价})$$

$$\Rightarrow \begin{cases} (1) \text{矩阵} A \text{与} B \text{的行向量组等价.} \\ (2) \text{矩阵} A \text{与} B \text{的列向量组有相同的线性(相关与无关)关系.} \end{cases}$$

$$\text{注: } A \xrightarrow{\text{行初等变换}} B (\text{行阶梯形或简化行阶梯形})$$

(求最大无关组及用最大无关组表出其它向量的理论基础)

$$(3) R(A) = A \text{的行秩} = A \text{的列秩.}$$



1. 求向量组:

$$\alpha_1 = (1, 2, 3, 4)^T, \alpha_2 = (2, 3, 4, 5)^T, \alpha_3 = (3, 4, 5, 6)^T, \alpha_4 = (4, 5, 6, 7)^T$$

的秩与一个最大无关组,并用所求最大无关组表示其余向量.

$$\text{解: } (\alpha_1, \alpha_2, \alpha_3, \alpha_4) = \begin{pmatrix} 1 & 2 & 3 & 4 \\ 2 & 3 & 4 & 5 \\ 3 & 4 & 5 & 6 \\ 4 & 5 & 6 & 7 \end{pmatrix} \xrightarrow{\substack{(-1)r_3+r_4 \\ (-1)r_2+r_3 \\ (-1)r_1+r_2}} \begin{pmatrix} 1 & 2 & 3 & 4 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix}$$

$$\xrightarrow{\substack{(-1)r_3+r_4 \\ (-1)r_2+r_3 \\ (-1)r_1+r_2}} \begin{pmatrix} 1 & 2 & 3 & 4 \\ 0 & -1 & -2 & -3 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \xrightarrow{\substack{2r_2+r_1 \\ (-1)r_2}} \begin{pmatrix} 1 & 0 & -1 & -2 \\ 0 & 1 & 2 & 3 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \Rightarrow R(A) = 2$$

$\alpha_1, \alpha_2$  为一个最大无关组;

$$\begin{cases} \alpha_3 = -\alpha_1 + 2\alpha_2 \\ \alpha_4 = -2\alpha_1 + 3\alpha_2 \end{cases}$$

## 七. 相关与无关两个对偶结论

1. (I):  $\alpha_1, \alpha_2, \dots, \alpha_s$ ;      (II)  $\alpha_1, \alpha_2, \dots, \alpha_s, \alpha_{s+1}, \dots, \alpha_m$ ;

(I)相关  $\xrightarrow{\text{个数}\uparrow}$  (II)相关;      (II)无关  $\xrightarrow{\text{个数}\downarrow}$  (I)无关.

"部分相关  $\Rightarrow$  整体相关"      "整体无关  $\Rightarrow$  部分无关"

2.  $r$ 维向量组的每个向量添加 $n-r$ 个分量,成为 $n$ 维向量组,则:

(1)若 $r$ 维向量组线性无关,则 $n$ 维向量组也线性无关;

(2)若 $n$ 维向量组线性相关,则 $r$ 维向量组也线性相关.

即:(I):  $\alpha_1, \alpha_2, \dots, \alpha_s \in R^r$ ;  $\beta_1, \beta_2, \dots, \beta_s \in R^{n-r}$ ; 令  $\gamma_i = \begin{pmatrix} \alpha_i \\ \beta_i \end{pmatrix} (i = 1, 2, \dots, s)$ ,

且(II):  $\gamma_1, \gamma_2, \dots, \gamma_s \in R^n$ , 则

(1)  $\alpha_1, \alpha_2, \dots, \alpha_s$  线性无关  $\Rightarrow \gamma_1, \gamma_2, \dots, \gamma_s$  线性无关;

(2)  $\gamma_1, \gamma_2, \dots, \gamma_s$  线性相关  $\Rightarrow \alpha_1, \alpha_2, \dots, \alpha_s$  线性相关.



证: (I):  $(\alpha_1, \alpha_2, \dots, \alpha_s) \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_s \end{pmatrix} = 0$ , (II):  $(\gamma_1, \gamma_2, \dots, \gamma_s) \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_s \end{pmatrix} = 0 \Leftrightarrow \begin{pmatrix} \alpha_1, \alpha_2, \dots, \alpha_s \\ \beta_1, \beta_2, \dots, \beta_s \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_s \end{pmatrix} = 0$

令  $A = (\alpha_1, \alpha_2, \dots, \alpha_s)$ ,  $B = (\beta_1, \beta_2, \dots, \beta_s)$ , 则 (I):  $Ax = 0$ , (II):  $\begin{pmatrix} A \\ B \end{pmatrix} x = 0 \Leftrightarrow \begin{cases} Ax = 0 \\ Bx = 0 \end{cases}$

则(II)的前 $r$ 个方程就是(I)的方程  $\Rightarrow$  (II)的解必是(I)的解, 即

$$\{0\} \subset \{(\text{II})\text{的解集}\} \subset \{(\text{I})\text{的解集}\}$$

(1)  $\alpha_1, \alpha_2, \dots, \alpha_s$  线性无关  $\Leftrightarrow$  (I) 只有零解  $\Rightarrow$  (II) 只有零解  
 $\Leftrightarrow \gamma_1, \gamma_2, \dots, \gamma_s$  线性无关.

(2)  $\gamma_1, \gamma_2, \dots, \gamma_s$  线性相关  $\Leftrightarrow$  (II) 有非零解  $\Rightarrow$  (I) 有非零解  
 $\Leftrightarrow \alpha_1, \alpha_2, \dots, \alpha_s$  线性相关.



1. 设有向量组  $\gamma_i = (a_i, a_i^2, \dots, a_i^n)^T, (i = 1, 2, \dots, m) (m \leq n)$ , 试证: 向量组  $\gamma_1, \gamma_2, \dots, \gamma_m$  线性无关, (其中:  $a_1, a_2, \dots, a_m$  为  $m$  个互不相等且不为零的常数).

证:  $A = (\gamma_1, \dots, \gamma_m) = \begin{pmatrix} a_1 & a_2 & \dots & a_m \\ a_1^2 & a_2^2 & \dots & a_m^2 \\ \vdots & \vdots & & \vdots \\ a_1^n & a_2^n & \dots & a_m^n \end{pmatrix} (m \leq n)$ , 由  $A$  的前  $m$  行与  $m$  列构成的子式

$$D = \begin{vmatrix} a_1 & a_2 & \dots & a_m \\ a_1^2 & a_2^2 & \dots & a_m^2 \\ \vdots & \vdots & & \vdots \\ a_1^m & a_2^m & \dots & a_m^m \end{vmatrix} = a_1 a_2 \dots a_m \begin{vmatrix} 1 & 1 & \dots & 1 \\ a_1 & a_2 & \dots & a_m \\ \vdots & \vdots & & \vdots \\ a_1^{m-1} & a_2^{m-1} & \dots & a_m^{m-1} \end{vmatrix}$$

$$= \left( \prod_{i=1}^m a_i \right) \left\{ \prod_{1 \leq i < j \leq m} (a_j - a_i) \right\} \neq 0,$$

令  $\beta_i = (a_i, a_i^2, \dots, a_i^m)^T \Rightarrow \beta_1, \beta_2, \dots, \beta_m$  线性无关  $\Rightarrow \gamma_1, \gamma_2, \dots, \gamma_m$  线性无关.

## 八. 线性方程组解的结构

1. 设  $R(A) = r < n$ , 则  $Ax = 0$  有基础解系且所含向量个数为  $n - r$ ,

即  $\dim W = n - r$ , (其中  $n$  为方程组未知量的个数,  $W = \{x \mid Ax = 0\}$ ).

2. 若  $R(A) = n$ , 则  $Ax = 0$  只有零解, 无基础解系.

3.  $Ax = 0$  的通解: 设  $\xi_1, \xi_2, \dots, \xi_{n-r}$  为  $Ax = 0$  一个基础解系, 则

$\forall \alpha (Ax = 0 \text{ 的解}),$

$$\alpha = k_1 \xi_1 + k_2 \xi_2 + \dots + k_{n-r} \xi_{n-r}, \forall k_1, k_2, \dots, k_{n-r} \in R.$$

4.  $Ax = b$  的通解: 设  $\eta_0$  为  $Ax = b$  一个特解,  $\xi_1, \xi_2, \dots, \xi_{n-r}$  为其导出组的一个基础解系, 则

$\forall \alpha (Ax = b \text{ 的解}),$

$$\alpha = \eta_0 + k_1 \xi_1 + k_2 \xi_2 + \dots + k_{n-r} \xi_{n-r}, \forall k_1, k_2, \dots, k_{n-r} \in R.$$

1.解方程组 
$$\begin{cases} x_1 + 2x_2 + 3x_3 = 0 \\ 3x_1 + 6x_2 + 10x_3 = 0 \\ 2x_1 + 5x_2 + 7x_3 = 0 \\ x_1 + 2x_2 + 4x_3 = 0 \end{cases}$$

解: 
$$A = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 6 & 10 \\ 2 & 5 & 7 \\ 1 & 2 & 4 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 2 & 3 \\ 0 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 2 & 3 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\Rightarrow R(A)=3=n \Rightarrow \text{只有零解 } x=0.$$



2.解方程组 
$$\begin{cases} 3x_1 + x_2 + x_3 = 5 \\ 3x_1 + 2x_2 + 3x_3 = 3 \\ x_2 + 2x_3 = 2 \end{cases}$$

解: 
$$\bar{A} = \left( \begin{array}{ccc|c} 3 & 1 & 1 & 5 \\ 3 & 2 & 3 & 3 \\ 0 & 1 & 2 & 2 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 3 & 1 & 1 & 5 \\ 0 & 1 & 2 & -2 \\ 0 & 1 & 2 & 2 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 3 & 1 & 1 & 5 \\ 0 & 1 & 2 & -2 \\ 0 & 0 & 0 & 4 \end{array} \right)$$

$$\Rightarrow R(A) = 2 \neq R(\bar{A}) = 3 \Rightarrow \text{无解.}$$

3. 解方程组 
$$\begin{cases} x_1 - 5x_2 + 3x_3 - x_4 + 2x_5 = 1 \\ 2x_1 - 9x_2 + 6x_3 + 5x_4 + 3x_5 = 3 \\ x_1 - 4x_2 + 3x_3 + 6x_4 + x_5 = 2 \end{cases}$$

解: 
$$\bar{A} = \left( \begin{array}{ccccc|c} 1 & -5 & 3 & -1 & 2 & 1 \\ 2 & -9 & 6 & 5 & 3 & 3 \\ 1 & -4 & 3 & 6 & 1 & 2 \end{array} \right) \xrightarrow[r_2 \leftrightarrow r_3]{\begin{array}{l} (-1)r_1 + r_2 \\ (-1)r_3 + r_2 \end{array}} \left( \begin{array}{ccccc|c} 1 & -5 & 3 & -1 & 2 & 1 \\ 1 & -4 & 3 & 6 & 1 & 2 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right)$$

$$\xrightarrow[5r_2 + r_1]{(-1)r_1 + r_2} \left( \begin{array}{ccccc|c} 1 & 0 & 3 & 34 & -3 & 6 \\ 0 & 1 & 0 & 7 & -1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right)$$

$\Rightarrow R(A) = 2 = R(\bar{A}) < 5 \Rightarrow$  有无穷多解.

$$\left(\begin{array}{ccccc|c} 1 & 0 & 3 & 34 & -3 & 6 \\ 0 & 1 & 0 & 7 & -1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{array}\right) \Rightarrow \begin{cases} x_1 = 6 - 3x_3 - 34x_4 + 3x_5 \\ x_2 = 1 - 7x_4 + x_5 \end{cases}$$

⇒ 原方程组有特解:  $\eta = (6, 1, 0, 0, 0)^T$

⇒ 导出组的基础解系:

$$\xi_1 = (-3, 0, 1, 0, 0)^T, \xi_2 = (-34, -7, 0, 1, 0)^T, \xi_3 = (3, 1, 0, 0, 1)^T$$

原方程组的通解为:

$$x = \eta + k_1 \xi_1 + k_2 \xi_2 + k_3 \xi_3 (k_1, k_2, k_3 \in R)$$



4. 设  $A$  是  $m \times 3$  矩阵, 且  $R(A) = 1$ . 如果非齐次线性方程组  $Ax = b$  的三个解向量  $\eta_1, \eta_2, \eta_3$  满足:

$$\eta_1 + \eta_2 = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}, \quad \eta_2 + \eta_3 = \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix}, \quad \eta_3 + \eta_1 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

求  $Ax = b$  的通解.



**解:**  $A$  是  $m \times 3$  矩阵,  $R(A) = 1$

$\Rightarrow Ax = 0$  的基础解系中含有  $3 - 1 = 2$  个线性无关的解向量.

**(法1):** 令  $\eta_1 + \eta_2 = a, \eta_2 + \eta_3 = b, \eta_3 + \eta_1 = c$ , 则

$$\eta_1 = \frac{1}{2}(a + c - b) = \begin{pmatrix} 1 \\ 3/2 \\ 1/2 \end{pmatrix}, \quad \eta_2 = \frac{1}{2}(a + b - c) = \begin{pmatrix} 0 \\ 1/2 \\ 5/2 \end{pmatrix},$$

$$\eta_3 = \frac{1}{2}(b + c - a) = \begin{pmatrix} 0 \\ -3/2 \\ -3/2 \end{pmatrix} \text{ 为 } Ax = b \text{ 的特解.}$$





$$\Rightarrow \eta_1 - \eta_2 = \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix}, \quad \eta_1 - \eta_3 = \begin{pmatrix} 1 \\ 3 \\ 2 \end{pmatrix} \quad \text{为 } Ax = 0 \text{ 的基础解系.}$$

故  $Ax = b$  的通解:

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 3/2 \\ 1/2 \end{pmatrix} + k_1 \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ 3 \\ 2 \end{pmatrix}, \quad \forall k_1, k_2 \in R.$$



(法2):  $(\eta_1 + \eta_2) - (\eta_2 + \eta_3) = \begin{pmatrix} 1 \\ 3 \\ 2 \end{pmatrix}$ ,  $(\eta_1 + \eta_2) - (\eta_3 + \eta_1) = \begin{pmatrix} 0 \\ 2 \\ 4 \end{pmatrix}$  线性无关.

$\Rightarrow$  为  $Ax = 0$  的基础解系.

$$A\left(\frac{1}{2}(\eta_2 + \eta_3)\right) = \frac{1}{2}(b + b) = b \Rightarrow \frac{1}{2}(\eta_2 + \eta_3) = \begin{pmatrix} 0 \\ -1/2 \\ 1/2 \end{pmatrix} \text{ 为 } Ax = b \text{ 的特解.}$$

故  $Ax = b$  的通解:

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} 0 \\ -1/2 \\ 1/2 \end{pmatrix} + k_1 \begin{pmatrix} 1 \\ 3 \\ 2 \end{pmatrix} + k_2 \begin{pmatrix} 0 \\ 2 \\ 4 \end{pmatrix}, \forall k_1, k_2 \in R.$$

5. 设矩阵  $A=(\alpha_1, \alpha_2, \alpha_3, \alpha_4)$ , 其中  $\alpha_2, \alpha_3, \alpha_4$  线性无关,  $\alpha_1 = 2\alpha_2 - \alpha_3$ .

如果  $\beta = \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4$ , 求非齐次线性方程组  $Ax = \beta$  的通解.

解: (1)  $\alpha_1 = 2\alpha_2 - \alpha_3 \Rightarrow \alpha_1, \alpha_2, \alpha_3$  线性相关  $\Rightarrow \alpha_1, \alpha_2, \alpha_3, \alpha_4$  线性相关

$\Rightarrow Ax = 0$  有非零解  $\Leftrightarrow Ax = 0$  有基础解系.

(2)  $\alpha_2, \alpha_3, \alpha_4$  线性无关,  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  线性相关  $\Rightarrow R\{\alpha_1, \alpha_2, \alpha_3, \alpha_4\} = 3$

$\Leftrightarrow R(A) = 3 \Rightarrow$  基础解系含向量的个数为  $4 - R(A) = 4 - 3 = 1$ .

(3)  $\alpha_1 = 2\alpha_2 - \alpha_3 \Rightarrow \alpha_1 - 2\alpha_2 + \alpha_3 + 0\alpha_4 = 0 \Leftrightarrow (\alpha_1, \alpha_2, \alpha_3, \alpha_4) \begin{pmatrix} 1 \\ -2 \\ 1 \\ 0 \end{pmatrix} = 0$

$\Rightarrow Ax = 0$  的基础解系为  $(1, -2, 1, 0)^T$ .

(4)  $\beta = \alpha_1 + \alpha_2 + \alpha_3 + \alpha_4 = (\alpha_1, \alpha_2, \alpha_3, \alpha_4) \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} = A \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} \Rightarrow (1, 1, 1, 1)^T$  为  $Ax = \beta$  的特解.

$\Rightarrow Ax = \beta$  的通解为:  $(1, 1, 1, 1)^T + k(1, -2, 1, 0)^T, \forall k \in R$ .

5'.  $A_{3 \times 3} \neq 0$  且  $A^2 = 0$ , 证明:  $\begin{cases} (1) Ax = 0 \text{ 的线性无关解向量最大个数} = 2 \\ (2) Ax = b \text{ 的线性无关解向量最大个数} = 3 \end{cases}$

证:(1)  $\begin{cases} <1> . A^2 = AA = 0 \Rightarrow 2 \leq 2R(A) = R(A) + R(A) \leq 3 \Rightarrow 1 \leq R(A) \leq \frac{3}{2} \\ & \Leftrightarrow R(A) = 1 \\ <2> . W = \{x \mid Ax = 0\} \Rightarrow \dim W = n - R(A) = 3 - 1 = 2 \\ & \Leftrightarrow Ax = 0 \text{ 的线性无关解向量最大个数} = 2 \end{cases}$

(2)  $\begin{cases} <1> \begin{cases} \xi_1, \xi_2 \text{ 为 } Ax = 0 \text{ 的两个线性无关的解} \\ \eta^* \text{ 为 } Ax = b \text{ 的解} \end{cases} \Rightarrow \eta^*, \eta^* + \xi_1, \eta^* + \xi_2 \text{ 为 } Ax = b \text{ 的 } 3 \text{ 个线性无关的解.} \\ <2> . \text{ 设 } Ax = b \text{ 的线性无关解的个数 } > 3, \text{ 不妨设 } A\eta_i = b (i = 1, 2, 3, 4). \\ \Rightarrow \begin{cases} \xi_1 = \eta_1 - \eta_4, \xi_2 = \eta_2 - \eta_4, \xi_3 = \eta_3 - \eta_4 \text{ 为 } Ax = 0 \text{ 的解.} \\ \xi_1, \xi_2, \xi_3 \text{ 线性无关.} \end{cases} \end{cases}$

与  $\dim W = 2$  矛盾!

6. 设矩阵  $A=(\alpha_1, \alpha_2, \alpha_3, \alpha_4)$ , 线性方程组  $Ax = \beta$  的通解:  $\begin{pmatrix} 2 \\ 1 \\ 0 \\ 3 \end{pmatrix} + k \begin{pmatrix} 1 \\ -1 \\ 2 \\ 0 \end{pmatrix} (\forall k)$ .

试问:  $\alpha_4$  能否由  $\alpha_1, \alpha_2, \alpha_3$  线性表出? 为什么?

解: (1)  $\xi_1 = (1, -1, 2, 0)^T$  为  $Ax = 0$  的基础解系且  $R(A) = 3$ . (基础解系含有一个向量)

(2) 若  $\alpha_4$  能由  $\alpha_1, \alpha_2, \alpha_3$  线性表出, 则  $\alpha_4 = k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3$

$$\Leftrightarrow k_1\alpha_1 + k_2\alpha_2 + k_3\alpha_3 - \alpha_4 = 0 \Leftrightarrow (\alpha_1, \alpha_2, \alpha_3, \alpha_4) \begin{pmatrix} k_1 \\ k_2 \\ k_3 \\ -1 \end{pmatrix} = 0 \Leftrightarrow A \begin{pmatrix} k_1 \\ k_2 \\ k_3 \\ -1 \end{pmatrix} = 0$$

$$\Rightarrow \xi_2 = (k_1, k_2, k_3, -1)^T \text{ 为 } Ax = 0 \text{ 的解.}$$

(3)  $\xi_1$  与  $\xi_2$  线性无关  $\Rightarrow Ax = 0$  的基础解系含解向量的个数  $\geq 2$ . 矛盾!

故  $\alpha_4$  不能由  $\alpha_1, \alpha_2, \alpha_3$  线性表出.

7.证明:  $R(A^T A) = R(A)$ .

证: 设  $A$  为  $m \times n$  矩阵,  $x$  为  $n$  维列向量, 则  $A^T A$  为  $n \times n$  矩阵

$$\text{令 } W_1 = \{x \mid Ax = 0\}, W_2 = \{x \mid (A^T A)x = 0\}$$

$$(1) \forall x \in W_1, Ax = 0 \Rightarrow A^T(Ax) = A^T 0 = 0 \Rightarrow x \in W_2 \Rightarrow W_1 \subset W_2.$$

$$(2) \forall x \in W_2, A^T Ax = 0 \Rightarrow x^T A^T Ax = x^T 0 = 0 \Rightarrow Ax = 0 \Rightarrow x \in W_1 \Rightarrow W_2 \subset W_1.$$

$$\Rightarrow W_1 = W_2$$

$$\Rightarrow n - R(A) = \dim W_1 = \dim W_2 = n - R(A^T A)$$

$$\Rightarrow R(A^T A) = R(A).$$

注:

$$R(A^T A) = R(A) = R(AA^T)$$



7'. 设  $A_{m \times p}, B_{p \times n}$ , 证明:  $R(AB) \leq \min\{R(A), R(B)\}$ .

证: 设  $W_1 = \{x \mid Bx = 0, \forall x \in R^n\}$ ,  $W_2 = \{x \mid ABx = 0, \forall x \in R^n\}$ ,

$$(1) \quad \dim W_1 = n - R(B), \quad \dim W_2 = n - R(AB)$$

$$(2) \quad \forall x \in W_1 \Rightarrow Bx = 0 \Rightarrow ABx = A0 = 0 \Rightarrow x \in W_2$$

$$\Rightarrow W_1 \subset W_2 \Rightarrow \dim W_1 \leq \dim W_2$$

$$\Leftrightarrow n - R(B) \leq n - R(AB)$$

$$\Leftrightarrow R(AB) \leq R(B)$$

$$\Rightarrow R(AB) = R[(AB)^T] = R(B^T A^T) \leq R(A^T) = R(A)$$

$$\Rightarrow R(AB) \leq \min\{R(A), R(B)\}.$$



7".证明:  $A^T Ax = A^T b$  有解.

证: 设  $A$  为  $m \times n$  矩阵,  $b$  为  $m$  维列向量, 则

$$(A^T A, A^T b) = A^T (A, b) \Rightarrow R(A^T A, A^T b) = R[A^T (A, b)] \\ \leq R(A^T) = R(A) = R(A^T A)$$

$$R(A^T A) \leq R(A^T A, A^T b)$$

$$\Rightarrow R(A^T A) = R(A^T A, A^T b)$$

$$\Rightarrow A^T Ax = A^T b \text{ 有解.}$$



8. 设有三维列向量  $\alpha_1 = \begin{pmatrix} 1+\lambda \\ 1 \\ 1 \end{pmatrix}$ ,  $\alpha_2 = \begin{pmatrix} 1 \\ 1+\lambda \\ 1 \end{pmatrix}$ ,  $\alpha_3 = \begin{pmatrix} 1 \\ 1 \\ 1+\lambda \end{pmatrix}$ ,  $\beta = \begin{pmatrix} 0 \\ \lambda \\ \lambda^2 \end{pmatrix}$

问  $\lambda$  为何值时,  $\begin{cases} \text{(1)} \beta \text{ 可由 } \alpha_1, \alpha_2, \alpha_3 \text{ 线性表出, 且表出唯一;} \\ \text{(2)} \beta \text{ 可由 } \alpha_1, \alpha_2, \alpha_3 \text{ 线性表出, 且表出不唯一;} \\ \text{(3)} \beta \text{ 不能由 } \alpha_1, \alpha_2, \alpha_3 \text{ 线性表出.} \end{cases}$

解: 设  $\beta = x_1\alpha_1 + x_2\alpha_2 + x_3\alpha_3$ , 则  $\begin{cases} (1+\lambda)x_1 + x_2 + x_3 = 0 \\ x_1 + (1+\lambda)x_2 + x_3 = \lambda \\ x_1 + x_2 + (1+\lambda)x_3 = \lambda^2 \end{cases}$

$$\bar{A} = \left( \begin{array}{ccc|c} 1+\lambda & 1 & 1 & 0 \\ 1 & 1+\lambda & 1 & \lambda \\ 1 & 1 & 1+\lambda & \lambda^2 \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 1 & 1+\lambda & \lambda^2 \\ 1 & 1+\lambda & 1 & \lambda \\ 1+\lambda & 1 & 1 & 0 \end{array} \right)$$



$$\rightarrow \left( \begin{array}{ccc|c} 1 & 1 & 1+\lambda & \lambda^2 \\ 0 & \lambda & -\lambda & \lambda - \lambda^2 \\ 0 & -\lambda & -\lambda^2 - 2\lambda & -\lambda^2(1+\lambda) \end{array} \right) \rightarrow \left( \begin{array}{ccc|c} 1 & 1 & 1+\lambda & \lambda^2 \\ 0 & \lambda & -\lambda & \lambda - \lambda^2 \\ 0 & 0 & -\lambda(\lambda+3) & \lambda(1-2\lambda-\lambda^2) \end{array} \right)$$

$\Rightarrow$

(1) 若  $\lambda \neq 0$  且  $\lambda \neq -3$ , 方程组有唯一解,  $\beta$  可由  $\alpha_1, \alpha_2, \alpha_3$  唯一线性表出.

(2) 若  $\lambda = 0$ , 则方程组有无穷多解,  $\beta$  可由  $\alpha_1, \alpha_2, \alpha_3$  线性表出, 表出不唯一.

(3) 若  $\lambda = -3$ , 则  $\bar{A} = \left( \begin{array}{ccc|c} 1 & 1 & -2 & 9 \\ 0 & -3 & 3 & -6 \\ 0 & 0 & 0 & 6 \end{array} \right) \Rightarrow R(A) = 2 < 3 = R(\bar{A})$

$\Rightarrow$  方程组无解  $\Rightarrow \beta$  不能由  $\alpha_1, \alpha_2, \alpha_3$  线性表出.



9. 问  $a, b$  为何值时, 线性方程组

$$\begin{cases} x_1 + x_2 + x_3 + x_4 = 0 \\ x_2 + 2x_3 + 2x_4 = 1 \\ -x_2 + (a-3)x_3 - 2x_4 = b \\ 3x_1 + 2x_2 + x_3 + ax_4 = -1 \end{cases}$$

有唯一解、无解、无穷多组解, 并求出其唯一解和一般解.

解:  $\bar{A} = \left( \begin{array}{cccc|c} 1 & 1 & 1 & 1 & 0 \\ 0 & 1 & 2 & 2 & 1 \\ 0 & -1 & a-3 & -2 & b \\ 3 & 2 & 1 & a & -1 \end{array} \right) \rightarrow \left( \begin{array}{cccc|c} 1 & 0 & -1 & -1 & -1 \\ 0 & 1 & 2 & 2 & 1 \\ 0 & 0 & a-1 & 0 & b+1 \\ 0 & 0 & 0 & a-1 & 0 \end{array} \right)$

(1) 当  $a \neq 1$  时,  $R(A) = R(\bar{A}) = 4$ , 原方程组有唯一解, 且其唯一解为

$$x_1 = \frac{b-a+2}{a-1}, x_2 = \frac{a-2b-3}{a-1}, x_3 = \frac{b+1}{a-1}, x_4 = 0$$

(2) 当  $a = 1$  时,  $R(A) = 2$ .

(I) 当  $b \neq -1$  时,  $R(\bar{A}) = 3 > 2 = R(A) \Rightarrow$  原方程组无解.

(II) 当  $b = -1$  时,  $R(\bar{A}) = R(A) = 2 < 4 \Rightarrow$  原方程组有无穷多组解.

将  $a = 1$  及  $b = -1$  代入, 易求的其通解为:

$$\bar{A} \rightarrow \left( \begin{array}{cccc|c} 1 & 0 & -1 & -1 & -1 \\ 0 & 1 & 2 & 2 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{array} \right) \Rightarrow \begin{cases} x_1 = -1 + x_3 + x_4 \\ x_2 = 1 - 2x_3 - 2x_4 \end{cases} \Rightarrow \eta_0 = \begin{pmatrix} -1 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$
$$\begin{pmatrix} x_3 \\ x_4 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \Rightarrow \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \begin{pmatrix} 1 \\ -2 \end{pmatrix}, \begin{pmatrix} 1 \\ -2 \end{pmatrix} \Rightarrow x = \begin{pmatrix} -1 \\ 1 \\ 0 \\ 0 \end{pmatrix} + k_1 \begin{pmatrix} 1 \\ -2 \\ 1 \\ 0 \end{pmatrix} + k_2 \begin{pmatrix} 1 \\ -2 \\ 0 \\ 1 \end{pmatrix} \quad (\forall k_1, k_2).$$

## 九. 几何空间

1. 设  $\alpha \times \beta + \beta \times \gamma + \gamma \times \alpha = 0$ , 证明:  $\alpha, \beta, \gamma$  共面.

证:  $\alpha \bullet (\alpha \times \beta + \beta \times \gamma + \gamma \times \alpha) = \alpha \bullet 0 = 0$

$$\alpha \bullet (\alpha \times \beta) + \alpha \bullet (\beta \times \gamma) + \alpha \bullet (\gamma \times \alpha) = \alpha \bullet 0 = 0$$

即:  $[\alpha\beta\gamma] = \alpha \bullet (\beta \times \gamma) = 0 \Rightarrow \alpha, \beta, \gamma$  共面



2. 设平面 $\pi$ 与 $\pi': 5x - y + 3z - 2 = 0$ 垂直, 且与 $\pi'$ 的交线落在 $xoy$ 平面, 求 $\pi$ 的方程.

**解:** 平面 $\pi$ 与 $\pi': 5x - y + 3z - 2 = 0$ 的交线落在 $xoy$ 平面内

$\Rightarrow$  平面 $\pi$ 与 $\pi'$ 的交线方程与 $\pi'$ 与平面 $xoy$ 的交线一致.

**即** 平面 $\pi$ 与 $\pi'$ 的交线方程为 
$$\begin{cases} 5x - y + 3z - 2 = 0 \\ z = 0 \end{cases}$$

$\Rightarrow$  可设平面 $\pi$ 的方程为:  $(5x - y + 3z - 2) + \lambda z = 0$

$\because$  平面 $\pi$ 与 $5x - y + 3z - 2 = 0$ 垂直

$\Rightarrow \pi$ 的法向量 $(5, -1, 3 + \lambda)$ 与 $\pi'$ 的法向量 $(5, -1, 3)$ 正交



$$\Rightarrow (5, -1, 3 + \lambda) \cdot (5, -1, 3) = 25 + 1 + 9 + 3\lambda = 0$$

$$\Rightarrow \lambda = -\frac{35}{3}$$

$$\Rightarrow \text{平面}\pi\text{的方程为: } (5x - y + 3z - 2) - \frac{35}{3}z = 0$$

$$\text{化简为: } 5x - y - \frac{26}{3}z - 2 = 0$$



3. 求过直线  $\begin{cases} x + 3y - 5 = 0 \\ x - y - 2z + 4 = 0 \end{cases}$  且在  $x$  轴,  $y$  轴上截距相等的平面方程.

解: 设所求平面为  $x + 3y - 5 + \lambda(x - y - 2z + 4) = 0$

即  $(1 + \lambda)x + (3 - \lambda)y - 2\lambda z + (-5 + 4\lambda) = 0$

令  $y = z = 0$ , 得  $x$  轴上截距为:  $\frac{5 - 4\lambda}{1 + \lambda}$

类似地, 得  $y$  轴上截距:  $\frac{5 - 4\lambda}{3 - \lambda}$

由题设得:  $\frac{5 - 4\lambda}{1 + \lambda} = \frac{5 - 4\lambda}{3 - \lambda} \Rightarrow \lambda = 1$

故所求平面:  $2x + 2y - 2z - 1 = 0$ .