# A Topography of Climate Change Research

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- The massive expansion of scientific literature on climate change challenges the Inter-
- 2 governmental Panel on Climate Change (IPCC)'s ability to assess the science according
- 3 to its objectives. Moreover, the number and variety of papers hinders researchers of
- the science-policy interface from making objective judgements about those IPCC as-
- sessments. In this paper, we present a novel application of a machine-reading approach
- 6 to model the topical content of papers on climate change. This dynamic topic model
- provides the basis for a topography of climate change literature. The thematic devel-
- sopment of the field is outlined and used to inform an analysis of the topics which are
- 9 better and less well covered by IPCC reports.
- To deal with the wicked problem of climate change, international policy-makers need the IPCC. The IPCC as map-makers.
- The IPCC sees its role as to "assess on a comprehensive, objective, open and transparent basis
- the scientific, technical and socio-economic information relevant to [...] climate change" [1]. Climate
- science is so broad, multi-disciplinary, and laden with uncertainties and values, that the role of the
- $_{15}$  IPCC as assessment maker is vitally important to developing evidence-based international climate
- policy. Making maps [2]
- 17 The task of the IPCC has become much more difficult with big literature
- Further, it has been pointed out that, in the age of "big literature", providing assessments that are comprehensive, objective and transparent has become much more difficult [3].

- When IPCC's citations constitute an ever-decreasing proportion of the totality of science on
- 21 climate change, questions about the map that the IPCC reports produce become more pressing:
- Is the map up to date? Is it complete? Is the perspective representative?

### 23 The IPCC, its reports and processes have been the object of study before. These are

#### 24 also hampered by problems of scale though

- Various researchers have attempted to do empirical research on the assessment reports, and
- processes of inter. alia. the IPCC [4] [5] [?] [6].
- $^{27}$  Policy makers, when asked about their interactions with the IPCC call for a greater focus on
- solutions [7]
- These studies are similarly challenged by the the size of the literature. Traditional bibliometric
- 30 techniques are insufficient.

#### 31 Some literature exists on bibliometrics and climate change, but tends not to deal with

#### <sub>2</sub> text

- Bibliometrics e.g. [8] [9]
- Text based approaches are usually of a smaller scope [10] or methodological contributions [11]

#### The scale of the problem in context

- The scale of the challenge is depicted in figure??. Less than two thousand documents relevant to
- si climate change were published before the first assessment report (see Methods for data, exclusions
- and processing). These documents contained 3,528 unique terms, each of which was used on average
- in 0.49% of documents. In the three complete years since the publication of AR5, 128,357 documents
- 40 have been published, containing 86,419 unique terms, used on average in 0.12% of documents. To
- put this into context, the 1,189 chapters of the Bible contain a vocabulary of 11,977 unique words.
- <sup>42</sup> Put another way, the 236,634 publications published in AR5 and AR6 are significantly larger than
- the 178,118 publications recorded in the first volume of the 'Catalogue of Scientific Papers', compiled
- by the Royal Society to record the entirety of scientific output from 1800 to 1863 [12]

#### 45 Machine reading to deal with scale problems in the making and assessing of maps

- 46 Clearly, if the IPCC is to continue producing comprehensive assessments, it has to engage in
- 47 machine-reading in order to remain anchored to the wider literature. Without such an approach,
- 48 it becomes harder to justify which ever-diminishing proportion of the wider literature is included

	AR1	AR2	AR3	AR4	AR5	AR6
Documents	625	7623	16395	34510	117758	128266
$\mathbf{Words}$	1380	12409	20453	32644	67064	74196
New words	change (296)	loss (552)	downscaling (197)	sres (217)	biochar (1752)	mmms (192)
	climate (262)	efficiency (515)	degreesc (145)	petm (95)	redd (1058)	c3n4 (132)
	model (168)	mol (439)	ncep (130)	amf (87)	cmip5 (656)	cop21 (107)
	effect (160)	ambient (417)	otcs (87)	sf5cf3 (81)	cmip3 (569)	cmip6 (104)
	co2 (156)	coal (404)	nee (87)	cwd (74)	wrf (334)	zika (75)
	atmospheric	photosynthetic	fco (80)	${\it embankment}$	mofs (288)	brgdgts (71)
	(152)	(393)		(72)		
	climatic (133)	concern (381)	hadcm2 (78)	aod (69)	sdm (283)	twitter (68)
	global (131)	chamber $(353)$	dtr(75)	clc (69)	gosat $(281)$	jing (66)

Table 1: Growth in climate change literature

- in assessments. Similarly, it becomes harder to criticise, with quantitatively evidenced claims, the outcomes of assessment processes.
- Dimension reduction makes possible the description in reduced form, and with less
- buman bias, of unmanageably large datasets
- 53 [13] [14]
- This reduced form description makes comparisons more useful, when cutting the dataset.
- Machine reading is a supplement to assessment-making and not free from bias; a topography is not a map
- Machine reading approaches can of course not replace the task of human assessment-making.

  The contribution that could be made, though, is to pre-process the literature, producing a topo-
- graphical map, used to navigate the literature while producing a more detailed assessment with
- $_{60}$  human judgement.[[]] In fact this happens already when IPCC authors search for literature on a
- topic, the results which appear on the search engine they use will be subject to algorithms based on
- the processing of millions of records of article text and metadata. This can be done in a much more
- 53 systematic way when scientists perform directed analyses of the literature at scale.
- This study's contribution. Overarching themes, structure of the literature, development, relation to IPCC
- This study demonstrates how dynamic topic modelling can be used to gain an overview of an otherwise unmanageably large body of literature. This overview, or topography, describes the

Figure 1: A map of the literature on climate change. Document positions are obtained by reducing the topic scores to two dimensions via t-SNE Documents are coloured by working group citations (top) and web of science discipline category (bottom). See SI table for further description

Figure 2: The evolution of the landscape of climate change literature

- thematic development of the climate change literature and, in a novelly systematic way, examines
- 69 how comprehensively the IPCC has been able to engage with it. In pulling together strands from text-
- 70 mining, bibliometrics, and the study of science and policy, this study advances our understanding of
- 71 the literature on climate change and the role of the IPCC in communicating this to policy makers.

# Results

- 73 A topographical map of climate change documents shows the broad structure of climate
- 74 change literature
- Topics cut across both disciplinary, and working group lines but disciplinary and working group
- <sup>76</sup> structure remains visible in the map.
- 77 The topic-document correlation network is densest in AR2 and 3 but becomes more
- 78 fragmented over time
- <sup>79</sup> (partly: Model less good at describing literature later on)
- Working groups are clustered together [dynamics], with topics like [x] containing doc-
- uments across working groups and topics like [y] important network nodes
- Sustainability has been an increasingly important theme in an overarching topic about
- 83 environmental sciences
- (compare to biochar, which is much more recent)

Figure 3: The development of the topic-document correlation network over IPCC assessment periods.

title	top words	top docs	share
climat, chang,	[climat, chang, impact,	Climate oscillations and changes over Russia;	
impact	respons, futur, effect,	World Regionalization of Climate Change (1961-2010)	
	shift, sensit, affect, may]		
soil, moistur,	[soil, moistur, microbi,	PARTITIONING OF SOIL RESPIRATION IN A FIRST RO-	2.73%
microbi	organ, respir, content,	TATION BEECH PLANTATION;	
	miner, depth, matter, ef-	Responses of soil respiration to N fertilization in a loamy soil	
	flux]	under maize cultivation	
emiss, reduct,	[emiss, reduct, reduc,	China's CH4 and CO2 emissions: Bottom-up estimation and	2.21%
reduc	greenhous, factor, total,	comparative analysis;	
	$\begin{array}{ll} \text{estim,} & \text{inventori,} & \text{nox,} \\ \\ \text{measur} \end{array}$	Monitoring total emissions from industrial installations	
carbon, dioxid,	[carbon, dioxid, se-	Interpreting carbon-isotope excursions: carbonates and	1.74%
sequestr	questr, sink, organ, cycl,	organic matter;	
	storag, stock, terrestri,	PARTICULATE FLUXES OF CARBONATE AND	
	atmospher]	ORGANIC-CARBON IN THE OCEAN - IS THE MA-	
		RINE BIOLOGICAL-ACTIVITY WORKING AS A SINK	
temperatur,	[temperatur, air, mean,	OF THE ATMOSPHERIC CARBON Observed changes in shallow soil temperatures in Northeast	1.71%
air, mean	surfac, minimum, maxi-	China, 1960-2007;	
	mum, daili, increas, ef-	Beyond the Mean: Biological Impacts of Cryptic Temperature	
	fect, degreesc]	Change	
record, dure,	[record, dure, glacial,	HIGH-RESOLUTION CLIMATE RECORDS FROM	1.7%
glacial	reconstruct, last, pe-	THE NORTH-ATLANTIC DURING THE LAST INTER-	
	riod, holocen, event,	GLACIAL;	
	late, core]	HIGH-RESOLUTION CLIMATIC INFORMATION FROM SHORT FIRN CORES, WESTERN DRONNING MAUD	
		LAND, ANTARCTICA	
speci, distribut,	[speci, distribut, rang,	Northward range extensions of some mesopelagic fishes in the	1.7%
rang	rich, invas, nich, predict,	Northeastern Atlantic;	
	extinct, shift, abund]	Natural occurrence and backwater infection of C-4 plants	
		in the vegetation of the Yangtze hydropower Three Gorges	
•	[to	Project region	1.61%
increas, con-	[increas, concentr, de-	TERRESTRIAL HIGHER-PLANT RESPONSE TO INCREASING ATMOSPHERIC [CO2] IN RELATION TO	1.01%
centr, decreas	creas, effect, atmospher,	THE GLOBAL CARBON-CYCLE;	
	doc, result, organ, nutri-	,	
	ent, may]	Hydrological response to climate change in the Black Hills of	
forest, tropic,	[forest, tropic, stand, de-	South Dakota, USA Spatially explicit estimates and temporal changes of forest	1.56%
stand	forest, disturb, stock,	tree biomass in a typical department of forest management,	
	boreal, redd, harvest,	Turkey;	
	wood]	Analysis of the changes in forest ecosystem functions, struc-	
		ture and composition in the Black Sea region of Turkey	
energi, renew,	[energi, renew, con-	Energy issues and energy priorities;	1.56%
consumpt	sumpt, effici, demand,	Energy efficiency and CO2 emissions in Swedish manufactur-	
	save, sector, sourc,	ing industries	
	industri, use		

Table 2: Top 10 topics in climate change literature

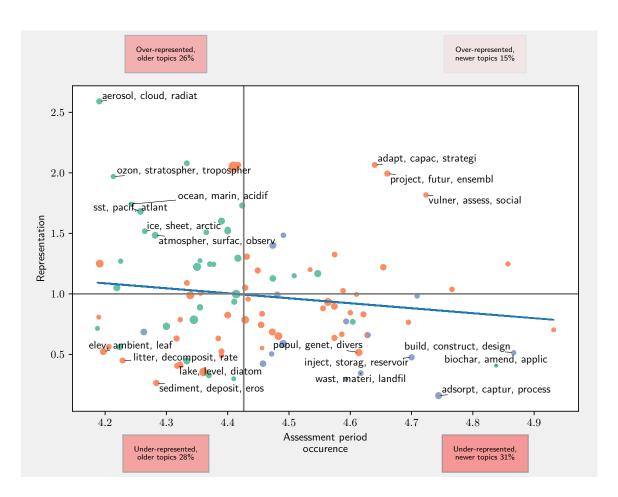


Figure 4: Representation and newness of dynamic topics

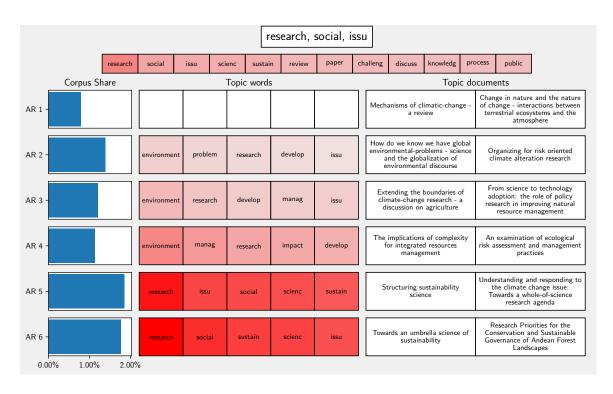


Figure 5: Word and document development of the "Research" dynamic topic

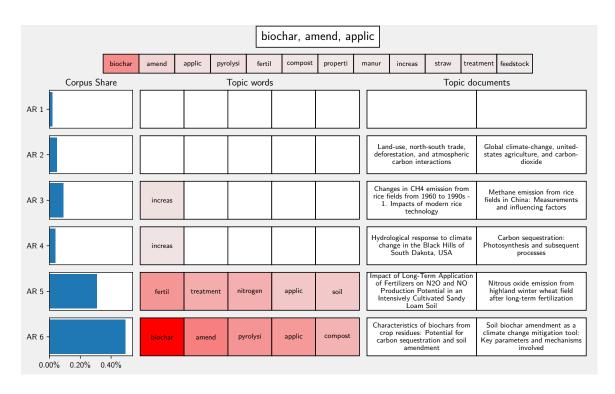


Figure 6: SI Word and document development of the "Biochar" dynamic topic

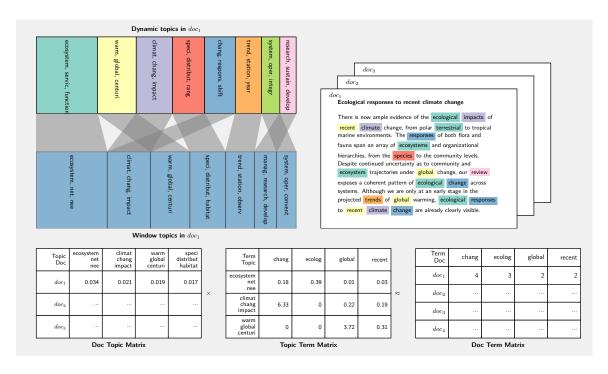


Figure 7: SI Topic make up of a single document

- <sup>85</sup> Physical science topics tend to be the oldest, and the most well represented topics
- 86 Adaptation and impact studies have seen a lot of growth but are well represented in
- 87 IPCC reports
- 88 New topics around negative emissions and urban form are very recent and not well
- 89 represented in IPCC reports.
- Negative emissions in special report on 1.5, demand side chapter in AR6

## n Discussion

- 92 Solutions, policies and science
- What do policy-makers mean when they ask for more solutions
- Perfect representation is not necessarily desirable, but the skewedness should be known
- There may be good reasons for a topic to be less prominent in IPCC discussions than in the wider
- 95 scientific literature, and these reasons can only be understood and acted upon by humans, not by
- 97 machine-reading. Nevertheless, it is desirable that assessment makers are aware of the relationship

# 98 Methods

# 99 Data

# List of Figures

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5	Word and document development of the "Research" dynamic topic	7
6	SI Word and document development of the "Biochar" dynamic topic $\dots \dots$ .	8
7	SI Topic make up of a single document	9

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