

# CS1231S

# TUTORIAL #5

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Relations & Partial orders

# Learning objectives of this tutorial

## Partial orders

- Determining whether a relation is **antisymmetric**
- Determining whether a relation is **asymmetric**
- Reasoning about **partial orders**
- Drawing **Hasse diagrams** of partial orders
- Knowing about **comparability** and **compatibility** of elements in a partial order
- Distinguishing **minimal/maximal** elements from **smallest/largest** elements
- Understanding **linearizations**

# Q1. Lexicographic order of strings.

Let  $A = \{a, b\}$ . Define a relation  $R$  on  $A$  by:  $x R y$  iff  $x = y$  or  $x$  comes before  $y$  for all  $x, y \in A$ .  $S$  to be the set of all strings over alphabet  $A$ . Define the partial order  $\preceq$  on  $S$  to be the lexicographic order specified in Theorem 8.5.1.

For any two strings in  $S$ ,  $a_1a_2 \cdots a_m$  and  $b_1b_2 \cdots b_n$ , where  $m, n \in \mathbb{Z}^+$ ,

1. If  $m \leq n$  and  $a_i = b_i$  for all  $i = 1, 2, \dots, m$ , then  $a_1a_2 \cdots a_m \preceq b_1b_2 \cdots b_n$ .
2. If for some integer  $k$  with  $1 \leq k \leq m$  and  $1 \leq k \leq n$ ,  $a_i = b_i$  for all  $i = 1, 2, \dots, k - 1$ , and  $a_k \neq b_k$ , but  $a_k R b_k$ , then  $a_1a_2 \cdots a_m \preceq b_1b_2 \cdots b_n$ .
3. If  $\varepsilon$  is the null string and  $s$  is any string in  $S$ , then  $\varepsilon \preceq s$ .

(a)  $aab \preceq aaba$  (a) True by (1).

(e)  $bbab \preceq bbaa$  (e) False.

(b)  $bbab \preceq bba$  (b) False.

(f)  $ababa \preceq ababaa$  (f) True by (1).

(c)  $\varepsilon \preceq aba$  (c) True by (3).

(g)  $bbaba \preceq bbabb$  (g) True by (2).

(d)  $ababb \preceq abb$  (d) True by (2).

**Q2.** Let  $R$  be a binary relation on a non-empty set  $A$ . Let  $x, y \in A$ . Define a relation  $S$  on  $A$  by:  $x S y \Leftrightarrow x = y \vee x R y$  for all  $x, y \in A$ . Show that (a)  $S$  is reflexive; (b)  $R \subseteq S$ ; and (c) if  $S'$  is another reflexive relation on  $A$  and  $R \subseteq S'$ , then  $S \subseteq S'$ . What is this relation  $S$  called?

(a)

1. Let  $x \in A$ .
2.  $x = x$ , so  $x S x$  by the definition of  $S$ .
3. Therefore,  $S$  is reflexive.

Let  $R$  be a relation on a set  $A$ .  
 $R$  is **reflexive** iff  $\forall x \in A (xRx)$ .

**Q2.** Let  $R$  be a binary relation on a non-empty set  $A$ . Let  $x, y \in A$ . Define a relation  $S$  on  $A$  by:  $x S y \Leftrightarrow x = y \vee x R y$  for all  $x, y \in A$ . Show that (a)  $S$  is reflexive; (b)  $R \subseteq S$ ; and (c) if  $S'$  is another reflexive relation on  $A$  and  $R \subseteq S'$ , then  $S \subseteq S'$ . What is this relation  $S$  called?

(b)

1. Suppose  $(x, y) \in R$ , that is,  $x R y$ .
2. So  $x S y$  by the definition of  $S$ .
3. So  $(x, y) \in S$ .
4. Therefore,  $R \subseteq S$  by the definition of  $\subseteq$ .

Aim: To arrive at  $(x, y) \in S$ .

Q2.

Let  $R$  be a binary relation on a non-empty set  $A$ . Let  $x, y \in A$ . Define a relation  $S$  on  $A$  by:  $x S y \Leftrightarrow x = y \vee x R y$  for all  $x, y \in A$ . Show that (a)  $S$  is reflexive; (b)  $R \subseteq S$ ; and (c) if  $S'$  is another reflexive relation on  $A$  and  $R \subseteq S'$ , then  $S \subseteq S'$ . What is this relation  $S$  called?

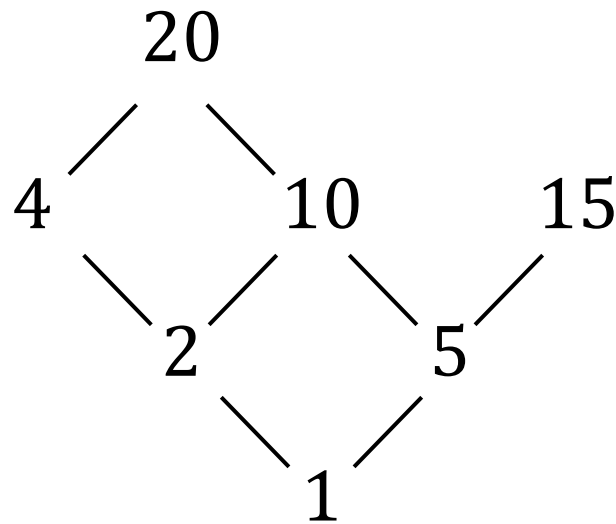
- (c)
1. Suppose  $(x, y) \in S$ .
  2. Then  $x S y$ , which means  $x = y \vee x R y$  by the defn of  $S$ .
  3. Case 1:  $x = y$ 
    - 3.1. Then  $x S' y$  since  $S'$  is reflexive.
    - 3.2. So  $(x, y) \in S'$ .
  4. Case 2:  $x R y$ 
    - 4.1. Then  $(x, y) \in R \subseteq S'$ .
    - 4.2. Then  $(x, y) \in S'$ .
  5. In all cases,  $(x, y) \in S'$ .
  6. Therefore,  $S \subseteq S'$ .

Aim:

$S$  is called the **reflexive closure** of  $R$ .  
It is the smallest relation on  $A$  that is reflexive and contains  $R$  as a subset.

**Q3.** Consider the “divides” relation on the following set. Draw a Hasse diagram, and find all minimal, maximal, smallest and largest elements.

(a)  $A = \{1, 2, 4, 5, 10, 15, 20\}$ .



Minimal: 1

Maximal: 15, 20

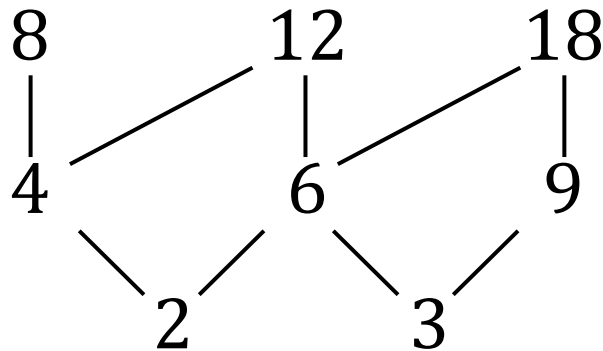
Smallest: 1

Largest: None

Let a set  $A$  be partially ordered with respect to a relation  $\leq$  and  $c \in A$ .

1.  $c$  is a **maximal element** of  $A$  iff  $\forall x \in A (c \leq x \Rightarrow c = x)$ .
2.  $c$  is a **minimal element** of  $A$  iff  $\forall x \in A (x \leq c \Rightarrow c = x)$ .
3.  $c$  is the **largest element** of  $A$  iff  $\forall x \in A (x \leq c)$ .
4.  $c$  is the **smallest element** of  $A$  iff  $\forall x \in A (c \leq x)$ .

**Q3.** Consider the “divides” relation on the following set. Draw a Hasse diagram, and find all minimal, maximal, smallest and largest elements.  
(b)  $A = \{2,3,4,6,8,9,12,18\}$ .



Minimal: 2,3

Maximal: 8,12, 18

Smallest: None

Largest: None

Let a set  $A$  be partially ordered with respect to a relation  $\leq$  and  $c \in A$ .

1.  $c$  is a **maximal element** of  $A$  iff  $\forall x \in A (c \leq x \Rightarrow c = x)$ .
2.  $c$  is a **minimal element** of  $A$  iff  $\forall x \in A (x \leq c \Rightarrow c = x)$ .
3.  $c$  is the **largest element** of  $A$  iff  $\forall x \in A (x \leq c)$ .
4.  $c$  is the **smallest element** of  $A$  iff  $\forall x \in A (c \leq x)$ .



**Q4.** Let  $A$  be a set and  $\wp(A)$  the power set of  $A$ . Prove that the binary relation  $\subseteq$  on  $\wp(A)$  is a partial order.

1. (Reflexivity) Take any  $S \in \wp(A)$ ,
  - 1.1.  $S \subseteq S$  by the definition of subset.
  - 1.2. Hence  $\subseteq$  is reflexive.
2. (Antisymmetry) Take any  $S, T \in \wp(A)$ ,
  - 2.1. Suppose  $S \subseteq T$  and  $T \subseteq S$ .
  - 2.2. Then  $S = T$  by the definition of set equality.
  - 2.3. Hence  $\subseteq$  is antisymmetric.
3. (Transitivity)
  - 3.1.  $\subseteq$  is transitive by Theorem 6.2.1.
4. Therefore  $\subseteq$  on  $\wp(A)$  is a partial order.

**Theorem 6.2.1.**

For all sets  $A, B, C$ ,  
 $A \subseteq B \wedge B \subseteq C \Rightarrow A \subseteq C$ .

Let  $R$  be a relation on a set  $A$ .

1.  $R$  is **reflexive** iff  $\forall x \in A (xRx)$ .
2.  $R$  is **antisymmetric** iff  $\forall x, y \in A (xRy \wedge yRx \Rightarrow x = y)$ .
3.  $R$  is **transitive** iff  $\forall x, y, z \in A (xRy \wedge yRz \Rightarrow xRz)$ .

**Q5.** Let  $B = \{0,1\}$  and define the binary relation  $R$  on  $B \times B$  as follows:  
$$\forall (a,b), (c,d) \in B \times B \left( (a,b) R (c,d) \Leftrightarrow (a \leq c) \wedge (b \leq d) \right).$$

(a) Prove that  $R$  is a partial order.

1. (Reflexivity) Take any  $(a,b) \in B \times B$ ,

1.1.  $a \leq a$  and  $b \leq b$ .

Aim: 1.2. So  $(a,b) R (a,b)$  by the definition of  $R$ .

1.3. Hence  $R$  is reflexive.

2. (Antisymmetry) Take any  $(a,b), (c,d) \in B \times B$ ,

2.1. Suppose  $(a,b) R (c,d)$  and  $(c,d) R (a,b)$ .

2.2. Then  $\underline{a \leq c}$ ,  $\underline{b \leq d}$ ,  $\underline{c \leq a}$  and  $\underline{d \leq b}$  by the definition of  $R$ .

2.3. Then  $\underline{a = c}$  and  $\underline{b = d}$  by the antisymmetry of  $\leq$ .

Aim: 2.4. So  $(a,b) = (c,d)$  by equality of ordered pairs.

2.5. Hence  $R$  is antisymmetric.

Let  $R$  be a relation on a set  $A$ .

1.  $R$  is **reflexive** iff  $\forall x \in A (xRx)$ .

2.  $R$  is **antisymmetric** iff  
 $\forall x, y \in A (x R y \wedge y R x \Rightarrow x = y)$ .

**Q5.** Let  $B = \{0,1\}$  and define the binary relation  $R$  on  $B \times B$  as follows:  
$$\forall (a,b), (c,d) \in B \times B \quad ((a,b) R (c,d) \Leftrightarrow (a \leq c) \wedge (b \leq d)).$$

(a) Prove that  $R$  is a partial order.

3. (Transitivity) Take any  $(a,b), (c,d), (e,f) \in B \times B$ ,
  - 3.1. Suppose  $(a,b) R (c,d)$  and  $(c,d) R (e,f)$ .
  - 3.2. Then  $a \leq c$ ,  $b \leq d$ ,  $c \leq e$  and  $d \leq f$  by the definition of  $R$ .
  - 3.3. Then  $a \leq e$  and  $b \leq f$  by the transitivity of  $\leq$ .
  - 3.4. So  $(a,b) R (e,f)$  by the definition of  $R$ .
  - 3.5. Hence  $R$  is transitive.
4. Therefore  $R$  on  $B \times B$  is a partial order.

Aim:

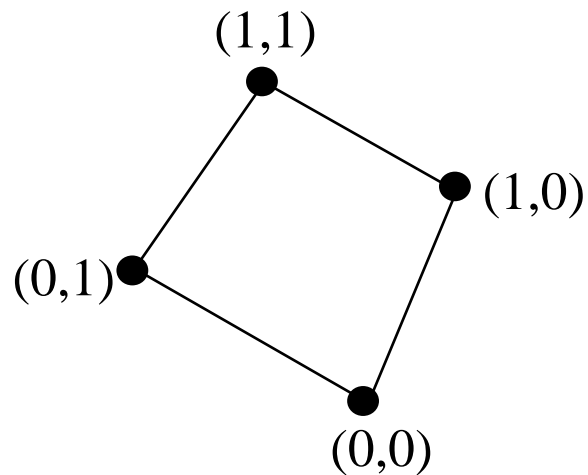
Let  $R$  be a relation on a set  $A$ .

1.  $R$  is **reflexive** iff  $\forall x \in A \ (xRx)$ .
2.  $R$  is **antisymmetric** iff  $\forall x, y \in A \ (x R y \wedge y R x \Rightarrow x = y)$ .
3.  $R$  is **transitive** iff  $\forall x, y, z \in A \ (xRy \wedge yRz \Rightarrow xRz)$ .

**Q5.** Let  $B = \{0,1\}$  and define the binary relation  $R$  on  $B \times B$  as follows:

$$\forall (a,b), (c,d) \in B \times B \left( (a,b) R (c,d) \Leftrightarrow (a \leq c) \wedge (b \leq d) \right).$$

- (b) Draw the Hasse diagram for  $R$ .
- (c) Find the maximal, largest, minimal and smallest elements.
- (d) Is  $(B \times B, R)$  well-ordered?



Maximal: **(1,1)**

Largest: **(1,1)**

Minimal: **(0,0)**

Smallest: **(0,0)**

**No well-ordered.**

Reason: It is not even a total order, as  $(0,1)$  and  $(1,0)$  are not comparable.

Let a set  $A$  be partially ordered with respect to a relation  $\leq$  and  $c \in A$ .

1.  $c$  is a **maximal element** of  $A$  iff  $\forall x \in A (c \leq x \Rightarrow c = x)$ .
2.  $c$  is a **minimal element** of  $A$  iff  $\forall x \in A (x \leq c \Rightarrow c = x)$ .
3.  $c$  is the **largest element** of  $A$  iff  $\forall x \in A (x \leq c)$ .
4.  $c$  is the **smallest element** of  $A$  iff  $\forall x \in A (c \leq x)$ .

**Q6.** Let  $R$  be a binary relation on a set  $A$ .

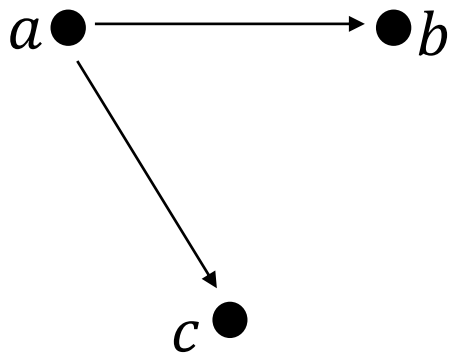
- $R$  is **antisymmetric** iff  $\forall x, y \in A (x R y \wedge y R x \Rightarrow x = y)$ .
- $R$  is **asymmetric** iff  $\forall x, y \in A (x R y \Rightarrow y \not R x)$ .

Find a binary relation on  $A$  that is ...

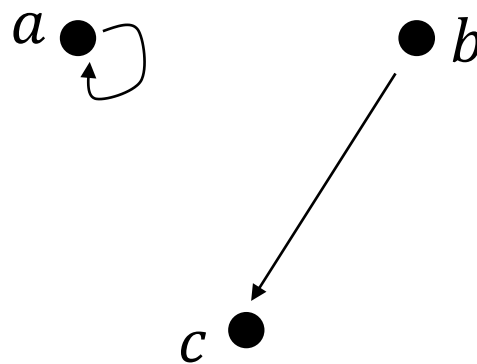
- (a) both asymmetric and antisymmetric.
- (b) not asymmetric but antisymmetric.
- (c) asymmetric but not antisymmetric.
- (d) neither asymmetric nor antisymmetric.

Let  $A = \{a, b, c\}$ .

(a)  $R = \{(a, b), (a, c)\}$ .



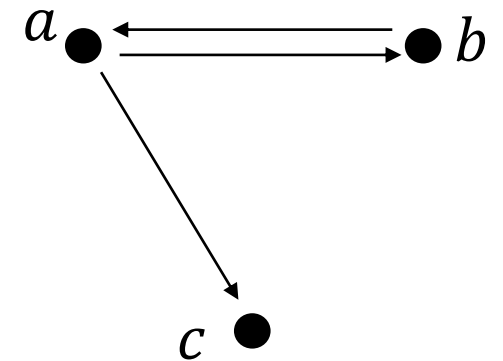
(b)  $R = \{(a, a), (b, c)\}$ .



(c)

?

(d)  $R = \{(a, b), (b, a), (a, c)\}$ .



**Q6.** Let  $R$  be a binary relation on a set  $A$ .

- $R$  is **antisymmetric** iff  $\forall x, y \in A (x R y \wedge y R x \Rightarrow x = y)$ .
- $R$  is **asymmetric** iff  $\forall x, y \in A (x R y \Rightarrow y \not R x)$ .

Find a binary relation on  $A$  that is ...

(c) asymmetric but not antisymmetric.

To prove:

**Every asymmetric relation is antisymmetric.**

1. Take any binary relation  $R$  on a set  $A$ .
2. Suppose  $R$  is asymmetric.,
  - 2.1. Then  $\forall x, y \in A (x R y \Rightarrow y \not R x)$  by the definition of asymmetry.
  - 2.2.  $\equiv \forall x, y \in A (x \not R y \vee y \not R x)$  by the implication law.
  - 2.3.  $\Rightarrow \forall x, y \in A ((x \not R y \vee y \not R x) \vee x = y)$  by generalization.
  - 2.4.  $\equiv \forall x, y \in A (\sim(x R y \wedge y R x) \vee x = y)$  by the De Morgan's law.
  - 2.5.  $\equiv \forall x, y \in A (x R y \wedge y R x \Rightarrow x = y)$  by the implication law.
3. Line 2.5 is the definition of antisymmetry, hence  $R$  is antisymmetric.

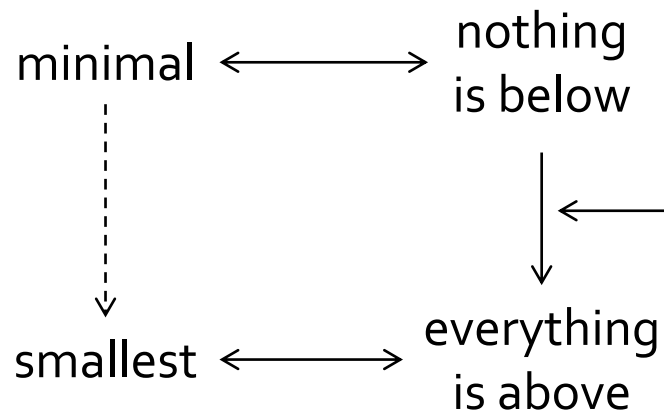
Aim:

**Q7.** Consider a set  $A$  and a total order  $\leq$  on  $A$ .  
Show that all minimal elements are smallest.

A relation  $R$  on a set  $A$  is a **total order** iff  
 $R$  is a partial order and  $\forall x, y \in A (x R y \vee y R x)$ .

Let  $\leq$  be a partial order on a set  $A$ , and  $c \in A$ .

- $c$  is a **minimal element** iff  $\forall x \in A (x \leq c \Rightarrow c = x)$ .
- $c$  is a **smallest element** iff  $\forall x \in A (c \leq x)$ .



**Totality:** Everything is either below or above.

**Q7.** Consider a set  $A$  and a total order  $\leq$  on  $A$ .  
Show that all minimal elements are smallest.

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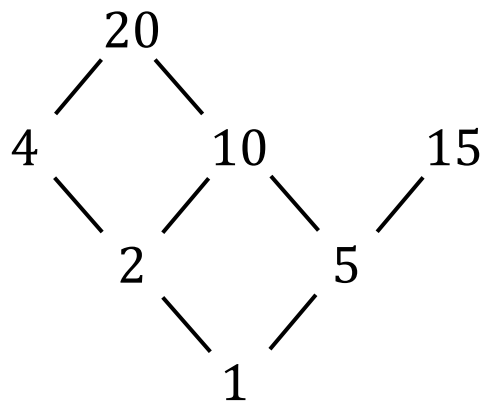
Let  $\leq$  be a partial order on a set  $A$ , and  $c \in A$ .

- $c$  is a **minimal element** iff  $\forall x \in A (x \leq c \Rightarrow c = x)$ .
- $c$  is a **smallest element** iff  $\forall x \in A (c \leq x)$ .

1. Let  $c \in A$  that is minimal with respect to  $\leq$
  2. Pick any  $x \in A$ .
  3. **As  $\leq$  is a total order**, either  $x \leq c$  or  $c \leq x$ .
  4. Case 1:  $x \leq c$ .
    - 4.1. Then  $x = c$  **by the minimality of  $c$ .**
    - 4.2. So  $c \leq x$  **by the reflexivity of  $\leq$ .**
  5. Case 2:  $c \leq x$ .
    - 5.1. Then  $c \leq x$ .
- Aim:** 6. So  $c \leq x$  in all cases, i.e.,  $c$  is the smallest element.



**Q8.** Consider the “divides” relation on  $A = \{1, 2, 4, 5, 10, 15, 20\}$ . List out the pairs of distinct elements in  $A$  that are (a) comparable; (b) compatible.



Let  $\preceq$  be a partial order on a set  $A$ , and  $a, b \in A$ .

- $a, b$  are **comparable** if  $a \preceq b$  or  $b \preceq a$ .
- $a, b$  are **compatible** if there is  $c \in A$  such that  $a \preceq c$  and  $b \preceq c$ .

(a) Comparable:  $\{1,2\}, \{1,5\}, \{1,4\}, \{1,10\}, \{1,15\}, \{1,20\},$   
 $\{2,4\}, \{2,10\}, \{2,20\}, \{5,10\}, \{5,15\}, \{5,20\}, \{4,20\}, \{10,20\}.$

(b) Compatible:  $\{1,2\}, \{1,5\}, \{1,4\}, \{1,10\}, \{1,15\}, \{1,20\},$   
 $\{2,4\}, \{2,5\}, \{2,10\}, \{2,20\}, \{5,4\}, \{5,10\}, \{5,15\}, \{5,20\},$   
 $\{4,10\}, \{4,20\}, \{10,20\}.$

**Q9.** State whether the following is true or false and justify your answer.

Let  $\leq$  be a partial order on a set  $A$ , and  $a, b \in A$ .

- $a, b$  are **comparable** if  $a \leq b$  or  $b \leq a$ .
- $a, b$  are **compatible** if there is  $c \in A$  such that  $a \leq c$  and  $b \leq c$ .

(a) In all partially ordered set, any two comparable elements are compatible.

**Yes**

The 2 cases are symmetrical, so may just use WLOG to prove one of the cases.

1. Let  $a, b \in A$  such that  $a, b$  are comparable.
2. Then either  $a \leq b$  or  $b \leq a$  **by the definition of comparability.**
3. Case 1:  $a \leq b$ .
  - 3.1. Let  $c = b$ .
  - 3.2. Then  $a \leq b = c$  **by assumption and**  
 $b \leq b = c$  **by reflexivity.**
  - 3.3. So  $a, b$  are compatible **by the definition of compatibility.**
4. Case 2:  $b \leq a$ .
  - 4.1. Let  $c = a$ .
  - 4.2. Then  $b \leq a = c$  **by assumption and**  
 $a \leq a = c$  **by reflexivity.**
  - 4.3. So  $a, b$  are compatible **by the definition of compatibility.**
5. So  $a, b$  are compatible in any case.

Q9. State whether the following is true or false and justify your answer.

Let  $\leq$  be a partial order on a set  $A$ , and  $a, b \in A$ .

- $a, b$  are *comparable* if  $a \leq b$  or  $b \leq a$ .
- $a, b$  are *compatible* if there is  $c \in A$  such that  $a \leq c$  and  $b \leq c$ .

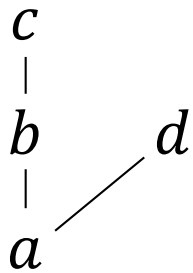
(b) In all partially ordered set, any two compatible elements are comparable.

No

1. Consider the “divides” relation  $|$  on  $\mathbb{Z}^+$ , which is a partial order.
2. Then 2 and 3 are compatible as  $2 | 6$  and  $3 | 6$ .
3. But 2 and 3 are not comparable as  $2 \nmid 3$  and  $3 \nmid 2$ .

**Q10.** Let  $A = \{a, b, c, d\}$ . Consider the following partial order on  $A$ :  
 $R = \{(a, a), (a, b), (a, c), (a, d), (b, b), (b, c), (c, c), (d, d)\}$ .

Hasse diagram of  $R$



Hasse diagrams of all the linearizations of  $R$

Let  $\preceq$  be a partial order on a set  $A$ . A *Hasse diagram* of  $\preceq$  satisfies the following condition for all  $x, y \in A$ :  
If  $x < y$  and no  $z \in A$  is such that  $x < z < y$ , then  $x$  is placed below  $y$  and there is a line joining  $x$  to  $y$ , else no line joins  $x$  to  $y$ .

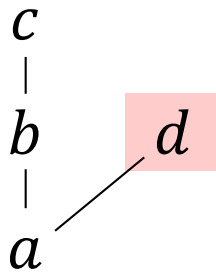
A relation  $R$  on a set  $A$  a *total order* iff  
 $R$  is a partial order and  $\forall x, y \in A (x R y \vee y R x)$ .

A *linearization* of a partial order  $\preceq$  on a set  $A$  is a total order  $\preceq^*$  on  $A$  such that  
$$\forall x, y \in A (x \preceq y \Rightarrow x \preceq^* y).$$

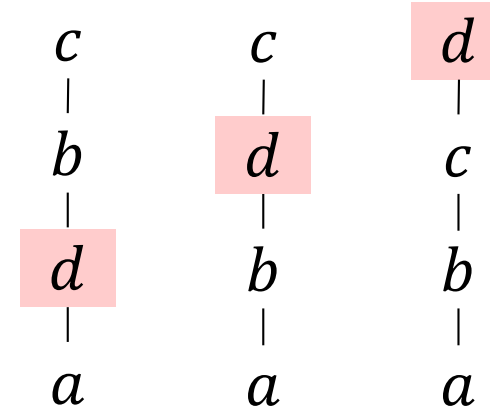
**Kahn's Algorithm.** Pick out a minimal element and place it at the bottom of the total order. Repeat until nothing is left.

**Q10.** Let  $A = \{a, b, c, d\}$ . Consider the following partial order on  $A$ :  
 $R = \{(a, a), (a, b), (a, c), (a, d), (b, b), (b, c), (c, c), (d, d)\}$ .

Hasse diagram of  $R$



Hasse diagrams of all the linearizations of  $R$



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**Kahn's Algorithm.** Pick out a minimal element and place it at the bottom of the total order. Repeat until nothing is left.

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