

**Exercise 1. Prove that any connected graph with  $n \geq 1$  node has  $\geq n - 1$  edges. (Hint: Adding an edge can only decrease the number of connected components by 1.)**

We prove by induction on the number of edges.

[Base Case] A connected graph with  $n = 1$  has 0 edges, and  $0 \geq 1 - 1 = 0$ , so the statement holds.

[Inductive Case] Assume any connected graph with  $n$  nodes and  $m$  edges satisfies  $m \geq n - 1$ . We take a connected graph  $G$  with  $n$  nodes and  $m + 1$  edges. Remove any edge  $e$  from  $G$ .

[Case 1:  $G$  remains connected] Then, by the induction hypothesis,  $m \geq n - 1$ , so  $m + 1 \geq n$ .

[Case 2:  $G$  becomes disconnected] Then,  $G - e$  now has two connected components. Let them have  $n_1 + n_2 = n$  nodes.

By the inductive hypothesis, the total edges in  $G - e$  is at least  $(n_1 - 1) + (n_2 - 1) = n - 2$ . Then,  $G$  has at least  $(n - 2) + 1 = n - 1$  edges.

Thus, any connected graph with  $n$  nodes has at least  $n - 1$  edges.

**Exercise 2. Prove that any acyclic graph with  $n \geq 1$  nodes has  $\leq n - 1$  edges. (Hint: Adding a bridge decreases the number of connected components by 1.)**

We prove by induction on the number of nodes  $n$ .

[Base Case] A graph with  $n = 1$  has at most 0 edges, and  $0 \leq n - 1 = 1 - 1 = 0$ , so the statement holds.

[Inductive Case] Assume any acyclic graph with fewer than  $n$  nodes has at most  $(n - 1) - 1 = n - 2$  edges. Take an acyclic graph  $G$  with  $n$  nodes and  $m$  edges.

since  $G$  is acyclic, it has at least one leaf (a node of degree 1). Remove that leaf and its incident edge. The remaining graph has  $n - 1$  nodes, remains acyclic, and has  $m - 1$  edges.

By the inductive hypothesis,  $m - 1 \leq (n - 1) \leq n - 2$

Thus,  $m \leq n - 1$ .

Hence, any acyclic graph with  $n$  nodes has at most  $n - 1$  edges.

**Exercise 3. Prove that a tree with  $n \geq 1$  nodes has exactly  $n - 1$  edges.**

A tree is defined as a connected and acyclic graph. By Exercise 1, any connected graph with  $n$  nodes has at least  $n - 1$  edges. By Exercise 2, any acyclic graph with  $n$  nodes, has at most  $n - 1$  edges.

since a tree is both connected and acyclic, it must satisfy both inequalities simultaneously:

$$n - 1 \leq (\text{number of edges}) \leq n - 1$$

Therefore, a tree with  $n$  nodes has exactly  $n - 1$  edges.

**Exercise 4. Prove that a graph is a tree if and only if it satisfies at least two of the following:**

1. It is connected.
2. It is acyclic.
3. It has  $n - 1$  edges.

**Note that a tree satisfies all three.**

[ $\Rightarrow$ ] “If it satisfies two of the three properties, then the graph is a tree.”

We consider the following cases:

[Case 1: Connected and Acyclic] Then by definition, the graph is a tree, and by Exercises 1 and 2, it also has  $n - 1$  edges.

[Case 2: Connected and  $n - 1$  edges] suppose for contradiction, that the graph has a cycle. Removing an edge from the cycle keeps it connected, but leaves  $n - 2$  edges, contradicting Exercise 1, which states that for a graph to be connected, it has to have at least  $n - 1$  edges. Hence, it's also acyclic and is also a tree.

[Case 3: Acyclic and  $n - 1$  edges] suppose the graph is disconnected with  $k \geq 2$  components, each a tree. Let component  $i$  have  $n_i$  nodes, so the sum of  $n_i = n$  and total edges, are the sum of  $(n_i - 1) = n - k$ . But given that the graph has  $n - 1$  edges,

$$n - k = n - 1 \Rightarrow k - 1$$

Therefore, it is actually just one component, the graph is connected.

Thus, any of the two imply the third, and a tree, which is connected and acyclic, satisfies all three.

[ $\Leftarrow$ ] “If the graph is a tree, then it satisfies at least two of the three properties.” By definition, a tree is a connected, acyclic graph with  $n$  nodes and  $n - 1$  edges.

The following exercises show that there is a unique path from one node to another node in a tree.

**Exercise 5. Prove that if there is a walk from  $x$  to  $y$ , then there is a path from  $x$  to  $y$  using only a subsequence of the edges of that walk.**

Let  $W = (x = v_0, v_1, \dots, v_k = y)$  be a walk from  $x$  to  $y$ . We consider the following cases, regarding the occurrences of vertices:

[Case 1: No vertex repeated in  $W$ ] If no vertex is repeated in  $W$ , then  $W$  is already a path.

[Case 2: some vertex  $v_i$  is repeated] If some vertex  $v_i = v_j$  with  $i < j$ , we remove the cycle  $v_i, v_{i+1}, \dots, v_j$  from the walk to get a shorter walk from  $x$  to  $y$ , and repeat until no vertex is repeated. The result is a path from  $x$  to  $y$  whose edges are a subsequence of the edges in  $W$ .

Therefore, if there is a walk from  $x$  to  $y$ , then there is a path from  $x$  to  $y$ .

**Exercise 6. Prove that if there are two distinct paths from  $x$  to  $y$ , then the graph has a cycle.  
(Hint: Find a nontrivial circuit first).**

Let  $P_1$  and  $P_2$  be two distinct paths from  $x$  to  $y$ . Follow  $P_1$  from  $x$  to  $y$ , then follow  $P_2$  backward from  $y$  to  $x$ . This gives a closed walk, a circuit.

If the two paths share no common vertices except  $x$  and  $y$ , this closed walk is a cycle. If they share other vertices, the closed walk contains a repeated vertex, so it can be reduced to a cycle.

Therefore, the graph contains a cycle.

**Exercise 7. Prove that there is at most one path between any two nodes in an acyclic graph.  
(Hint: Use Exercise 6).**

suppose, for contradiction, that there are two distinct paths between two nodes  $a$  and  $b$  in an acyclic graph.

By Exercise 6, the existence of two distinct paths from  $a$  to  $b$  implies the graph contains a cycle, which contradicts the assumption that the graph is acyclic.

Therefore, there is at most one path between any two nodes in an acyclic graph.

**Exercise 8. Prove that there is a unique path between any two nodes in a tree.**

since a tree is acyclic, then by Exercise 7, there is at most one path (unique) between any two nodes in a tree.

**Exercise 9. Let  $u$  be an ancestor of  $v$ . Prove that  $\text{dist}(u, v) = v.\text{depth} - u.\text{depth}$ .**

since  $u$  is an ancestor of  $v$ , the unique path from  $u$  to  $v$  goes down the tree along the parent-child edges from  $u$  to  $v$ .

By definition,  $v.\text{depth}$  is the number of edges from the root to  $v$ , and  $u.\text{depth}$  is the number of edges from the root to  $u$ .

The number of edges between them, is the number of edges from  $u$  to  $v$ , and is indeed, the difference:

$$\text{dist}(u, v) = v.\text{depth} - u.\text{depth}$$

This works because each integer  $c$  can be written in binary, so the code above eventually removes each bit of  $c$  from MSB to LSB. Similarly, we can optimize lca by again going through these pointers in decreasing size while we can:

```
def lca(u, v):
    u = climb(u, u.depth - v.depth)
    v = climb(v, v.depth - u.depth)

    for k from h to 0:
        if u.anc[k] != v.anc[k]:
            u = u.anc[k]
            v = v.anc[k]

        # still need to go up once
        if u != v:
            u = u.parent
            v = v.parent

    return u
```

**Exercise 12.** Explain why the last step of using the parent pointer once is necessary.

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In the LCA algorithm using binary lifting, after the ‘for’ loop,  $u$  and  $v$  are the deepest nodes whose ancestors are not common ancestors of the original  $u$  and  $v$ . At this point,  $u.\text{anc}[0]$  and  $v.\text{anc}[0]$ , their parents are the same node, the LCA.

Thus, one final step up the parent pointer is needed to reach the LCA from both  $u$  and  $v$ .



Note that “Euler tour” is not quite the same as an “Eulerian circuit”, because each edge is traversed twice—once upward, and once downward.<sup>4</sup> Performing an Euler tour is straightforward, and afterward, we get a list of nodes in the Euler tour.

For example, for the tree above, the Euler tour is:

$$E = [0, 1, 0, 2, 0, 3, 4, 3, 5, 3, 0].$$

As it turns out, the LCA of  $u$  and  $v$  can be obtained as follows:

1. Let  $i$  be the leftmost index of any occurrence of  $u$  or  $v$  in  $E$ .
2. Let  $j$  be the rightmost index of any occurrence of  $u$  or  $v$  in  $E$ .
3. The LCA is  $E[k]$ , where  $i \leq k \leq j$  and  $E[k]$  is the node with the minimum *depth* in  $E[i\dots j]$ .

The first two steps can be done in  $\mathcal{O}(1)$  after an  $\mathcal{O}(n)$  preprocessing; we can simply store the leftmost and rightmost occurrence of every node in the Euler tour. The last step is a range minimum query on the *depth sequence* of the Euler tour.

**Exercise 14.** Prove that the above procedure correctly computes the  $\text{lca}(u, v)$ .

#### **Exercise 14. Prove that the above procedure correctly computes the $\text{lca}(u,v)$ .**

Let  $E$  be the Euler tour of the rooted tree and  $D$  the corresponding depth array. Let  $i$  and  $j$  be the leftmost and rightmost occurrences of  $u$  and  $v$  in  $E$  (assuming  $i \leq j$ ).

Between  $i$  and  $j$  in the tour, the path goes up from  $u$  to their LCA and down to  $v$ , visiting the LCA and possibly other ancestors.

The LCA is the node with minimum depth among those in  $E[i..j]$ , since all nodes in this interval lie on or between the two root-to-leaf paths and the LCA is the highest common point.

Thus,  $\text{lca}(u,v) = E[k]$  where  $k$  is the index of the minimum value in  $D[i..j]$ .

#### **Exercise 15. Show that the length of $E$ and $D$ is $\Theta(n)$ .**

In an Euler tour of a tree with  $n$  nodes, each edge is traversed twice: once upward, once downward: A tree has  $n - 1$  edges, so the tour contains  $2(n - 1)$  edge traversals.

Adding the  $n$  nodes (each time a node is visited, it is recorded), the total length of  $E$  (and thus  $D$ ), is:

$$n + 2(n - 1) = 3n - 2 = \Theta(n).$$