

Privacy and Security in the Internet of Things: Theory and Practice

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HitB; 28 May 2015

Internet of Things (IoT)

THE PROBLEM

By 2020

**50 BILLION DEVICES
NO SECURITY**



WEIGHTLESS™



ISA100
Wireless

WirelessHART

Powered by
sedona
FRAMEWORK™



DECT
DIGITAL DECT

Hundreds of Protocols

THREAD

Billions of Devices



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OSI Stack

OSI (Open Source Interconnection) 7 Layer Model

Layer	Application/Example	Central Device/Protocols	DOD4 Model	
Application (7) Serves as the window for users and application processes to access the network services.	End User layer Program that opens what was sent or creates what is to be sent Resource sharing • Remote file access • Remote printer access • Directory services • Network management	User Applications SMTP		Classical security mechanisms
Presentation (6) Formats the data to be presented to the Application layer. It can be viewed as the "Translator" for the network.	Syntax layer encrypt & decrypt (if needed) Character code translation • Data conversion • Data compression • Data encryption • Character Set Translation	JPEG/ASCII EBDIC/TIFF/GIF PICT	GATEWAY Process	Application-level access control SSL; String checking
Session (5) Allows session establishment between processes running on different stations.	Synch & send to ports (logical ports) Session establishment, maintenance and termination • Session support - perform security, name recognition, logging, etc.	Logical Ports RPC/SQL/NFS NetBIOS names	GATEWAY	Encrypted password exchange
Transport (4) Ensures that messages are delivered error-free, in sequence, and with no losses or duplications.	TCP Host to Host, Flow Control Message segmentation • Message acknowledgement • Message traffic control • Session multiplexing	F I L T E R P A C K E T R O U T E R S IP/IPX/ICMP	Host to Host	Firewalls
Network (3) Controls the operations of the subnet, deciding which physical path the data takes.	Packets ("letter", contains IP address) Routing • Subnet traffic control • Frame fragmentation • Logical-physical address mapping • Subnet usage accounting	GATEWAY Can be used on all layers	Internet	Route policy controls
Data Link (2) Provides error-free transfer of data frames from one node to another over the Physical layer.	Frames ("envelopes", contains MAC address) [NIC card — Switch — NIC card] (end to end) Establishes & terminates the logical link between nodes • Frame traffic control • Frame sequencing • Frame acknowledgment • Frame delimiting • Frame error checking • Media access control	Switch Bridge WAP PPP/SLIP	Land Based Layers	MAC address filtering
Physical (1) Concerned with the transmission and reception of the unstructured raw bit stream over the physical medium.	Physical structure Cables, hubs, etc. Data Encoding • Physical medium attachment • Transmission technique - Baseband or Broadband • Physical medium transmission Bits & Volts	Hub		Proximity physical security

OSI (Open Source Interconnection) 7 Layer Model

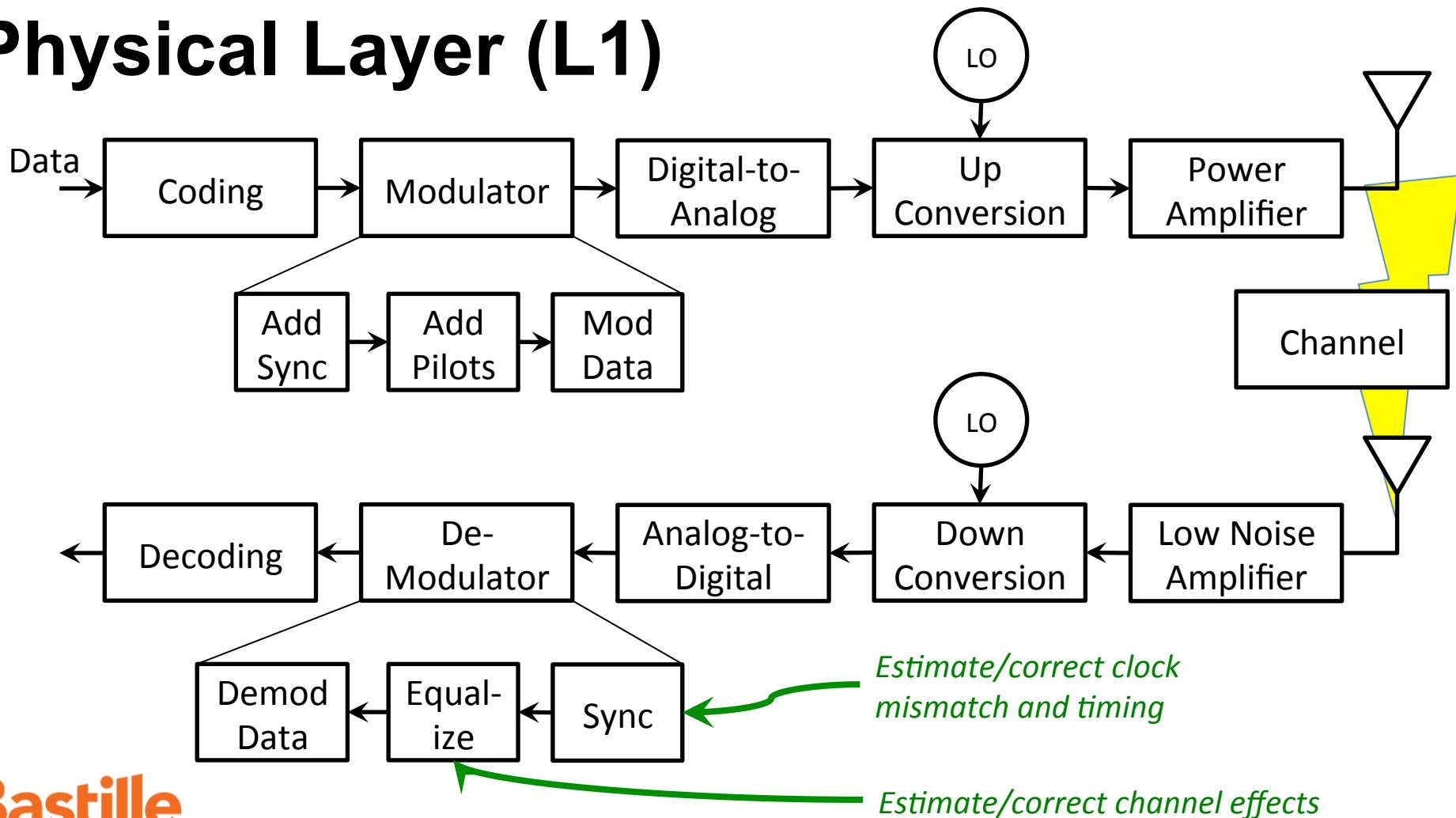
Layer	Application/Example	Central Device/Protocols	DOD4 Model
Application (7) Serves as the window for users and application processes to access the network services. <small>Formats the data to be presented to the user.</small>	End User layer Program that opens what was sent or creates what is to be sent Resource sharing • Remote file access • Remote printer access • Directory services • Network management	User Applications SMTP	Process
Presentation (6) <small>Format the data to be presented to the user.</small>	Syntax layer encrypt & decrypt (if needed) conversion • Data compression • Translation	JPEG/ASCII EBDIC/TIFF/GIF PICT	
	Logical ports (logical ports)	Logical Ports	
	Session establishment, maintenance and termination • Session support - perform security, name recognition, logging, etc.	RPC/SQL/NFS NetBIOS names	
Transport (4) Ensures that messages are delivered error-free, in sequence, and with no losses or duplications.	TCP Host to Host, Flow Control Message segmentation • Message acknowledgement • Message traffic control • Session multiplexing	F I L T E R I N G TCP/SPX/UDP	Host to Host
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Physical (1) Concerned with the transmission and reception of the unstructured raw bit stream over the physical medium.	Physical structure Cables, hubs, etc. Data Encoding • Physical medium attachment • Transmission technique - Baseband or Broadband • Physical medium transmission Bits & Volts	Hub	Layers

This talk is focused on security for L1

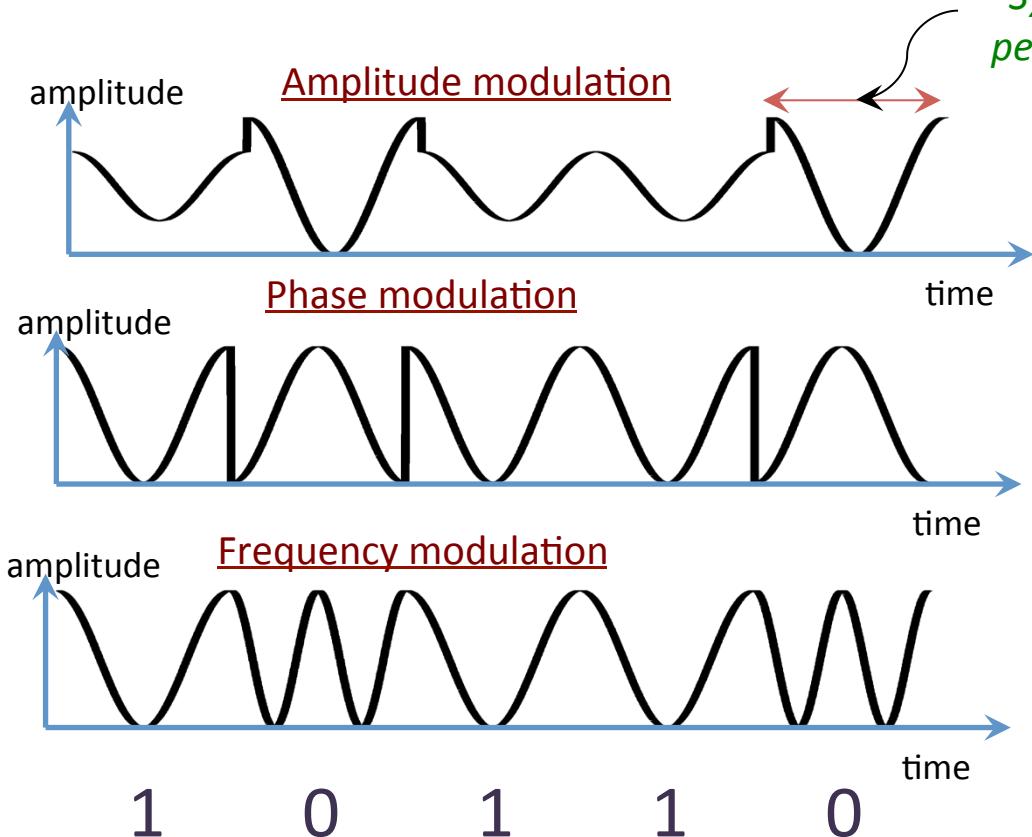
Wireless

Bastille

Physical Layer (L1)

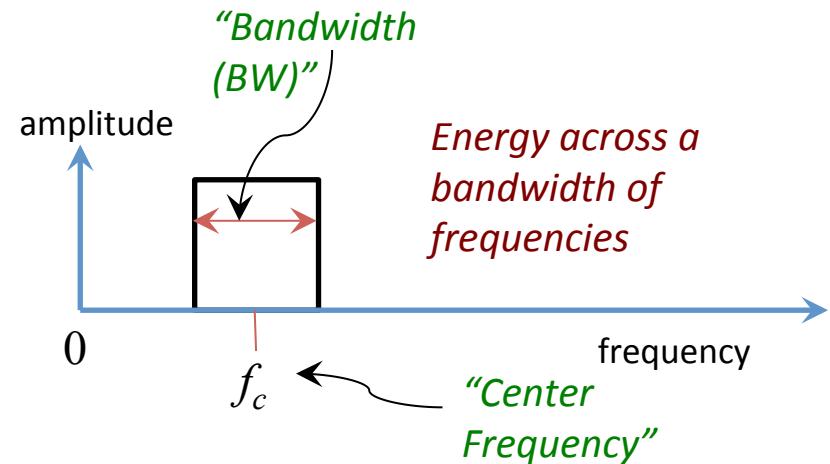


Data Modulation (L1)



"Symbol period" = $1/BW$

More bandwidth = more data throughput

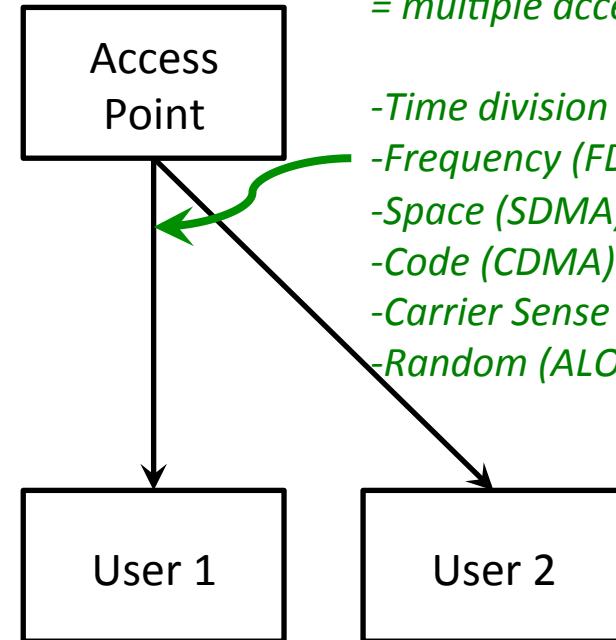
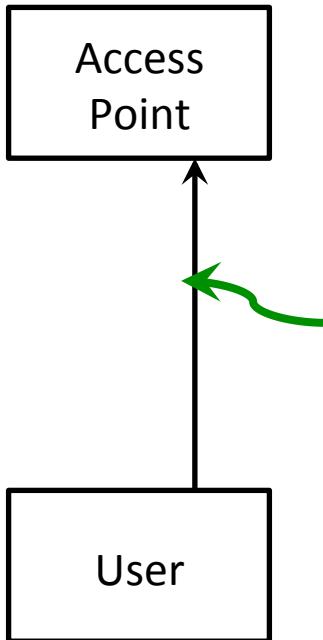


LTE (US): {5,10,20}MHz
Uplink is single carrier (phase+amp mod)
Downlink is OFDM (frequency)

Wifi: OFDM – 20MHz channels

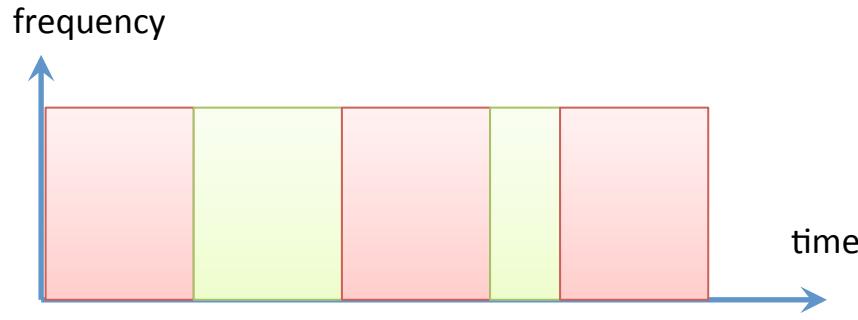
Bluetooth: Frequency shift keying (GFSK) – 1MHz channels

MAC in Wireless Comms (L2)



Medium Options (L2)

Time Division



Frequency Division



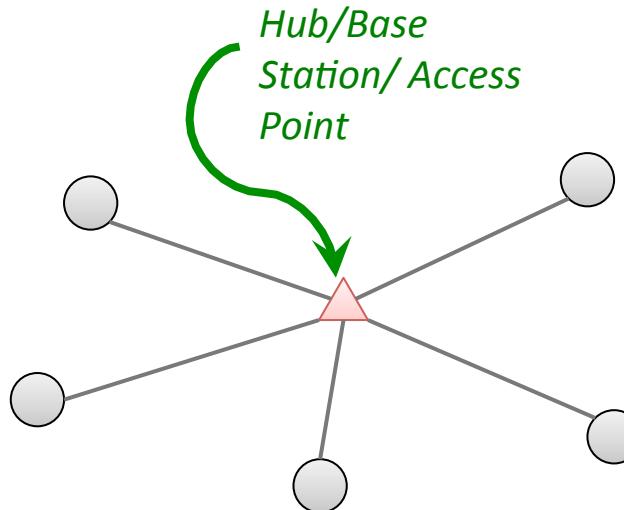
LTE (US): FDD
Up link is FDMA
Downlink is T/FDMA

Wifi: TDD/CSMA

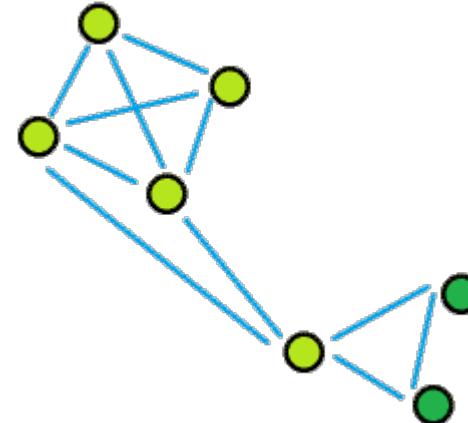
Bluetooth: TDD/FHSS-MA

Wireless Networks (L3)

Hub & Spoke: Brittle but simple



Mesh: robust but complex



Attacks by Layer for Wireless

- **Layer 1**
 - Interference (jamming)
 - Impersonation
- **Layer 2**
 - Protocol cheating (not carrier sensing, not waiting between channel access)
 - Impersonation
 - False advertisement (changing identity, capabilities, etc.)
 - Wireless De-Auths
 - Promiscuous beaconing
- **Layer 3**
 - Protocol cheating (Sybil attack, wormholes/sinkholes, badmouthing, selective forwarding)
 - Impersonation

Information Theory

Brief History of Wireless Communications

<http://wireless.ece.ufl.edu/jshea/HistoryOfWirelessCommunication.html>

Long time ago: Optical (smoke signals)



1867: Maxwell predicts EM



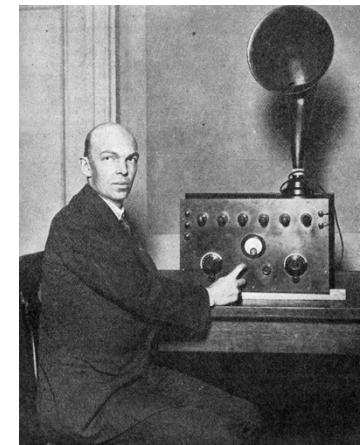
1887: Hertz proves EM waves exist



1896: Marconi demonstrates Wireless Telegraph



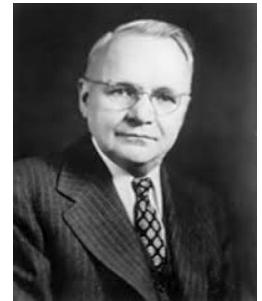
1925: Detroit starts using Radio for dispatch



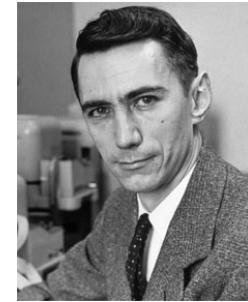
1935: Armstrong demonstrates FM

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Brief History of Wireless Communications Theory



1928: Nyquist showed we don't lose information by sampling.
But the values of the signaling was still continuous.



1948: Shannon creates theory of information quantization--Bits!

1959: BCH and Reed-Solomon channel codes (error correction)

1977: Lempel-Ziv source coding (compression)

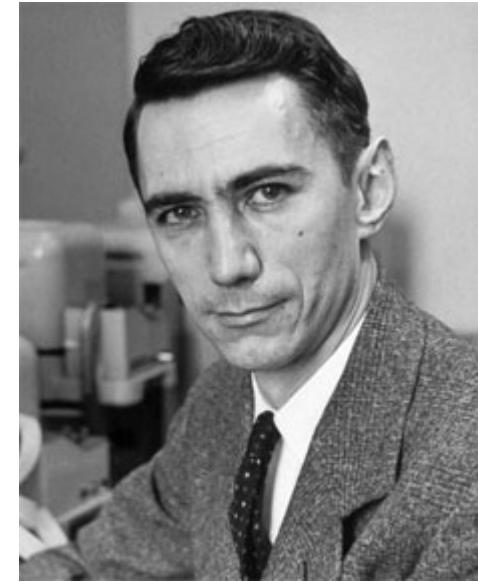
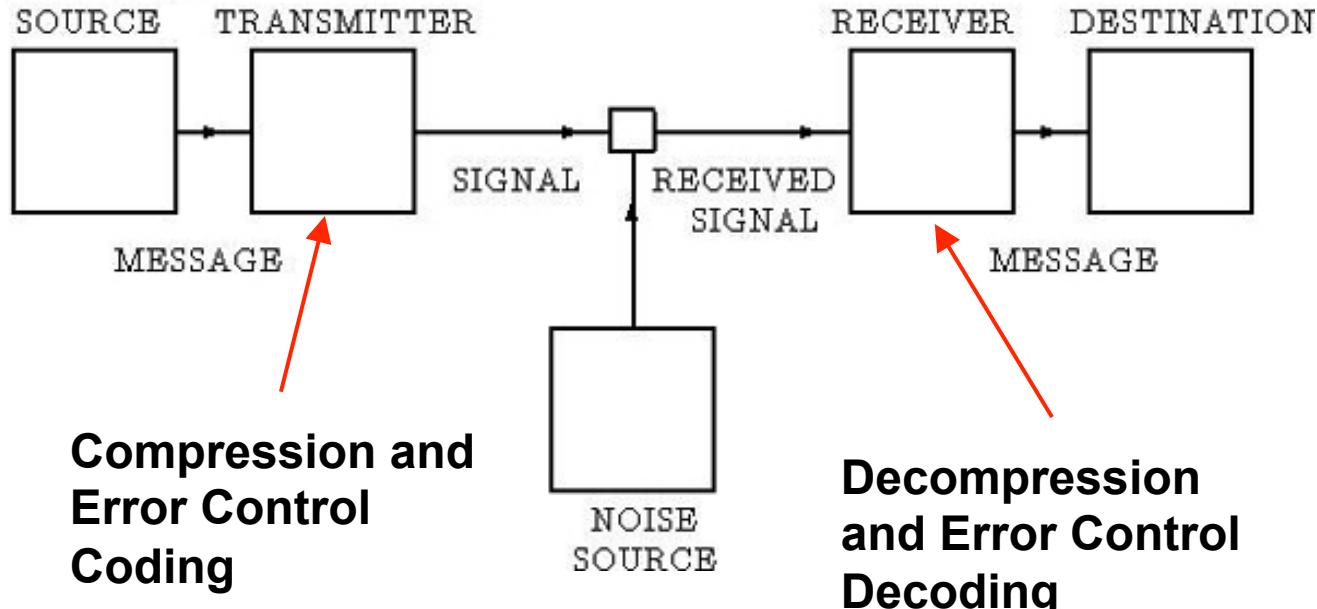


1993: Turbo codes; followed shortly by LDPC code rediscovery

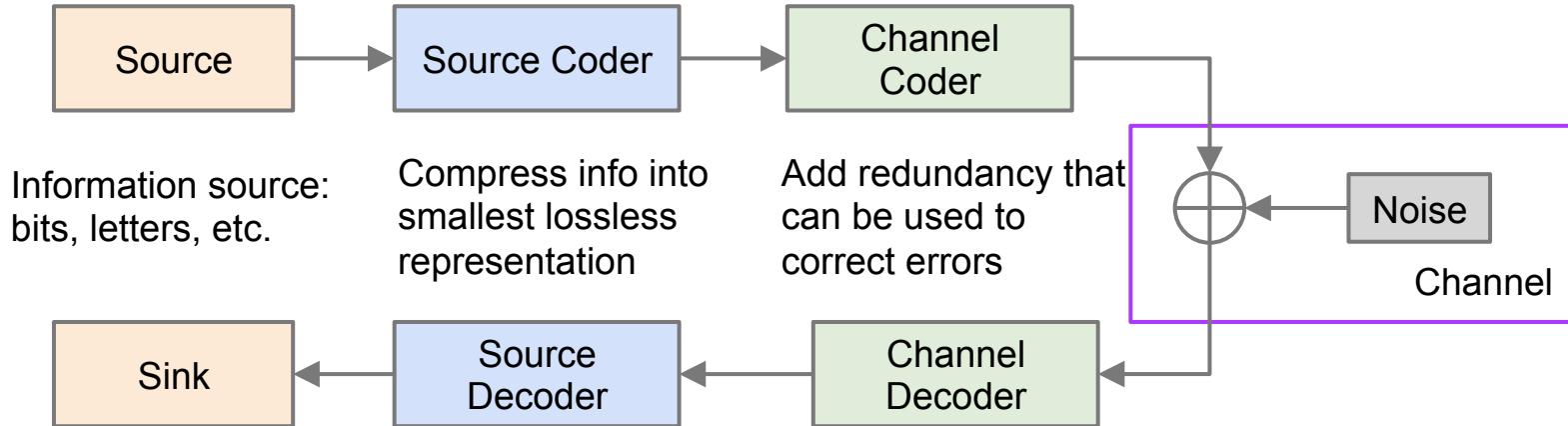
Pre-Shannon

- Things were analog
- Communications systems were special purpose
- No comprehensive theory to dictate performance limits

Shannon's System



Source Channel Separation

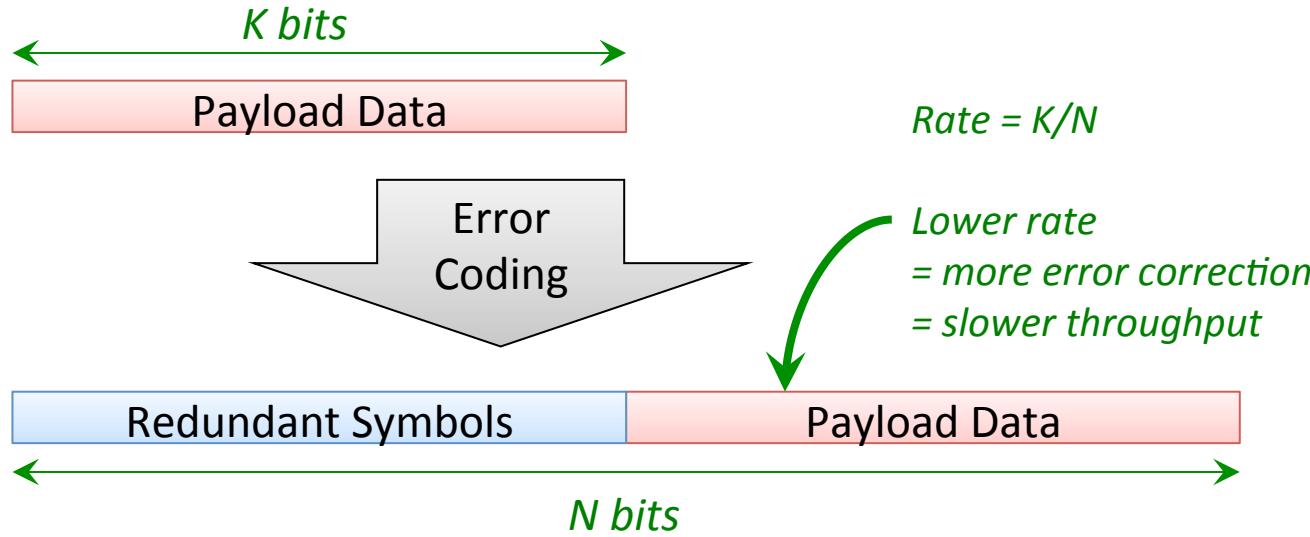


Source-Channel Separation Theorem: No performance loss by independently designing the source code and channel code.

This is a very nice result:

- Compression theorists can design compression algorithms without worrying about the channel.
- Error coding theorist can design codes assuming maximal entropy sources (i.e. compressed source)

Redundancy and Error Correction



Is it possible to communicate with zero errors using a non-zero rate?

Big Result: Channel Capacity

Pre-Shannon: In order to have zero errors, we need infinite redundancy ($R \rightarrow 0$)

Shannon (1948): We can achieve zero errors with finite redundancy ($R \neq 0$) . The required rate for zero errors is called the “*channel capacity*”

Turbo codes and LDPC codes ~2003 were first to achieve capacity



Max error free rate
= Channel Capacity
= $BW * \log(1 + SNR)$

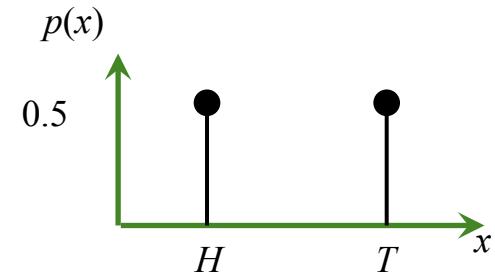
Information Theory Building Block: Entropy

Entropy is a measure of the average “randomness” or “uncertainty” of a random variable

If the random variable has a distribution $p(x)$, then entropy is defined by

$$H(x) = - \sum_x p(x) \log_2(p(x))$$

Coin flip example: $x \in \{H, T\}$



i	a_i	p_i	
1	a	0.0575	a
2	b	0.0128	b
3	c	0.0263	c
4	d	0.0285	d
5	e	0.0913	e
6	f	0.0173	f
7	g	0.0133	g
8	h	0.0313	h
9	i	0.0599	i
10	j	0.0006	j
11	k	0.0084	k
12	l	0.0335	l
13	m	0.0235	m
14	n	0.0596	n
15	o	0.0689	o
16	p	0.0192	p
17	q	0.0008	q
18	r	0.0508	r
19	s	0.0567	s
20	t	0.0706	t
21	u	0.0334	u
22	v	0.0069	v
23	w	0.0119	w
24	x	0.0073	x
25	y	0.0164	y
26	z	0.0007	z
27	-	0.1928	-

Entropy Properties

Entropy is measured in bits (or nats)

Source Coding Theorem: It is impossible to compress data to less than the entropy of the source

Entropy of a source with M states is at most $\log_2(M)$; this value is reached when the M states are equally likely

Example: English; 26 states $\rightarrow \max H(X) = 4.7$ bits

Estimates of English entropy are ~ 1.3 bits per character

3.4 bits of redundancy per character in English!

Entropy and Language

Language	Original Size (bytes)	Ratio (Original)	Compressed Size (bytes)	Ratio (Compressed)
English	1936473	1	363288	1
Spanish	1804756	0.932	360535	0.992
French	1896459	0.979	359903	0.991
Chinese	884860	0.457	341850	0.941
Korean	1259920	0.651	352440	0.970
Arabic	1875204	0.968	395242	1.09
Japanese	1519224	0.785	438135	1.206
Russian	1506920	0.778	362207	0.997

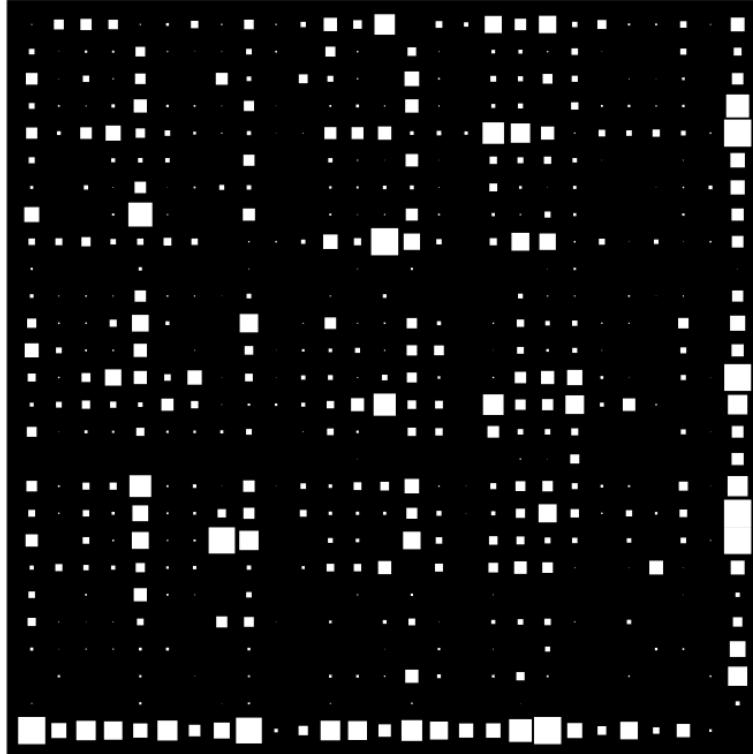
Table 3: Results for the Bible using the PPMZ compression algorithm

From: <http://www.liafa.univ-paris-diderot.fr/~dxiao/docs/entropy.pdf>

Entropy and Language II

x

a
b
c
d
e
f
g
h
i
j
k
l
m
n
o
p
q
r
s
t
u
v
w
x
y
z



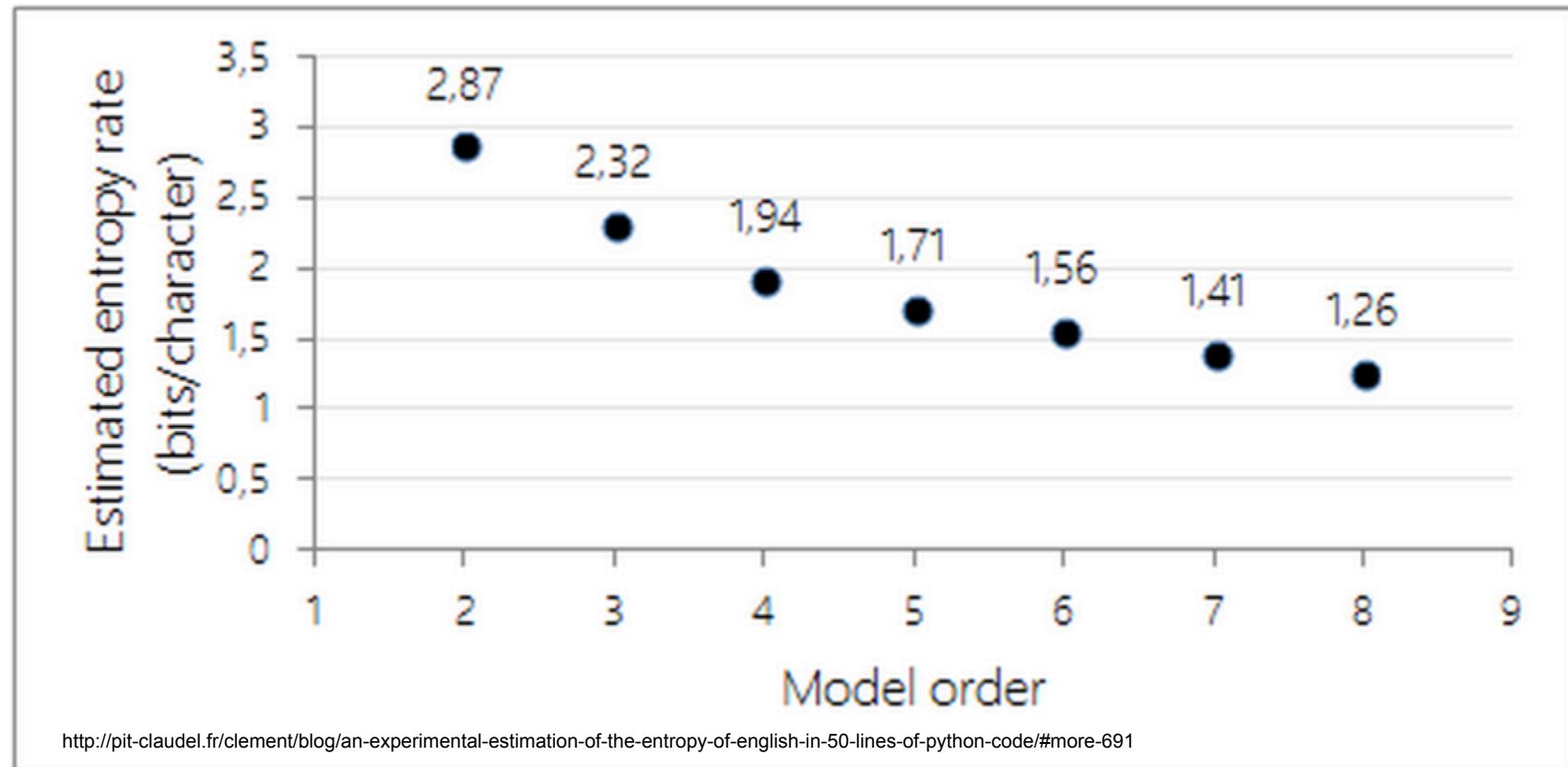
a b c d e f g h i j k l m n o p q r s t u v w x y z - *y*

From McKay, 2003

<i>i</i>	<i>a_i</i>	<i>p_i</i>
1	a	0.0575
2	b	0.0128
3	c	0.0263
4	d	0.0285
5	e	0.0913
6	f	0.0173
7	g	0.0133
8	h	0.0313
9	i	0.0599
10	j	0.0006
11	k	0.0084
12	l	0.0335
13	m	0.0235
14	n	0.0596
15	o	0.0689
16	p	0.0192
17	q	0.0008
18	r	0.0508
19	s	0.0567
20	t	0.0706
21	u	0.0334
22	v	0.0069
23	w	0.0119
24	x	0.0073
25	y	0.0164
26	z	0.0007
27	-	0.1928



English by Model Order



<http://pit-claudel.fr/clement/blog/an-experimental-estimation-of-the-entropy-of-english-in-50-lines-of-python-code/#more-691>

Information Theory Building Block: Mutual Information

$$I(X;Y) = H(X) - H(Y|X)$$

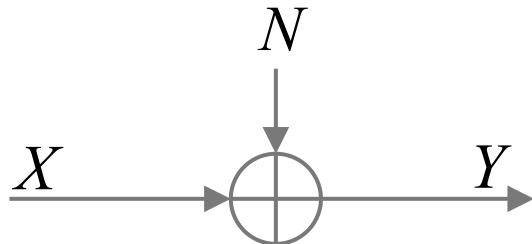
Mutual Information is the reduction in the uncertainty about X due to knowledge of Y

If knowing Y tells you a lot about X, then $I(X;Y)$ is high

If Y is independent of X, then $I(X;Y) = 0$

The value of $I(X;Y)$ is completely determined by the joint distribution of X and Y

Channel Capacity



Shannon showed that the maximum error-free rate of communications (the channel capacity) can be calculated by:

$$C = \max_{p(x)} I(X;Y)$$

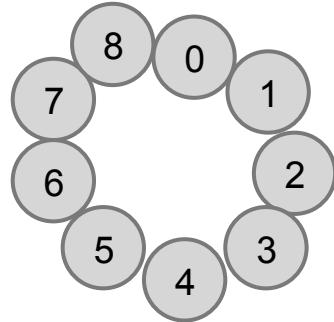
I.e. Pick codeword distribution that maximizes MI

Example: When N is Gaussian Noise, maximizing the mutual information requires that X have maximum entropy. The entropy maximizing distribution is Gaussian; so the optimal $p(x)$ is Gaussian.

$$C_{AWGN} = B \log(1 + SNR)$$

Example

Source Alphabet

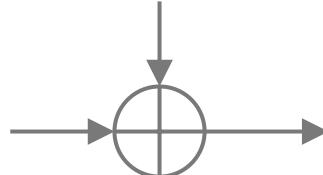
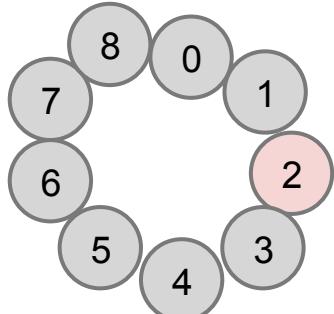


Noise Alphabet (mod 9)

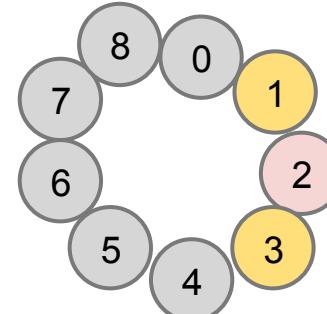


Trial
Transmission:

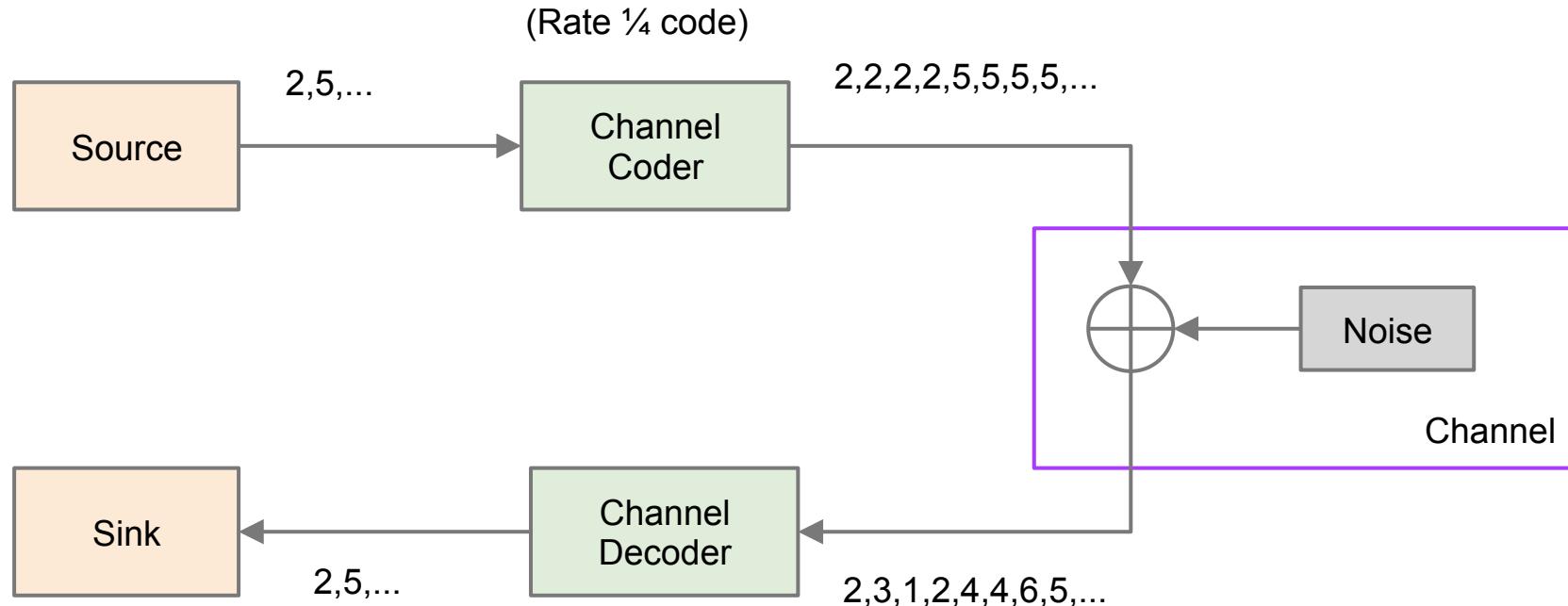
Transmit "2"



Receive one of {1,2,3}

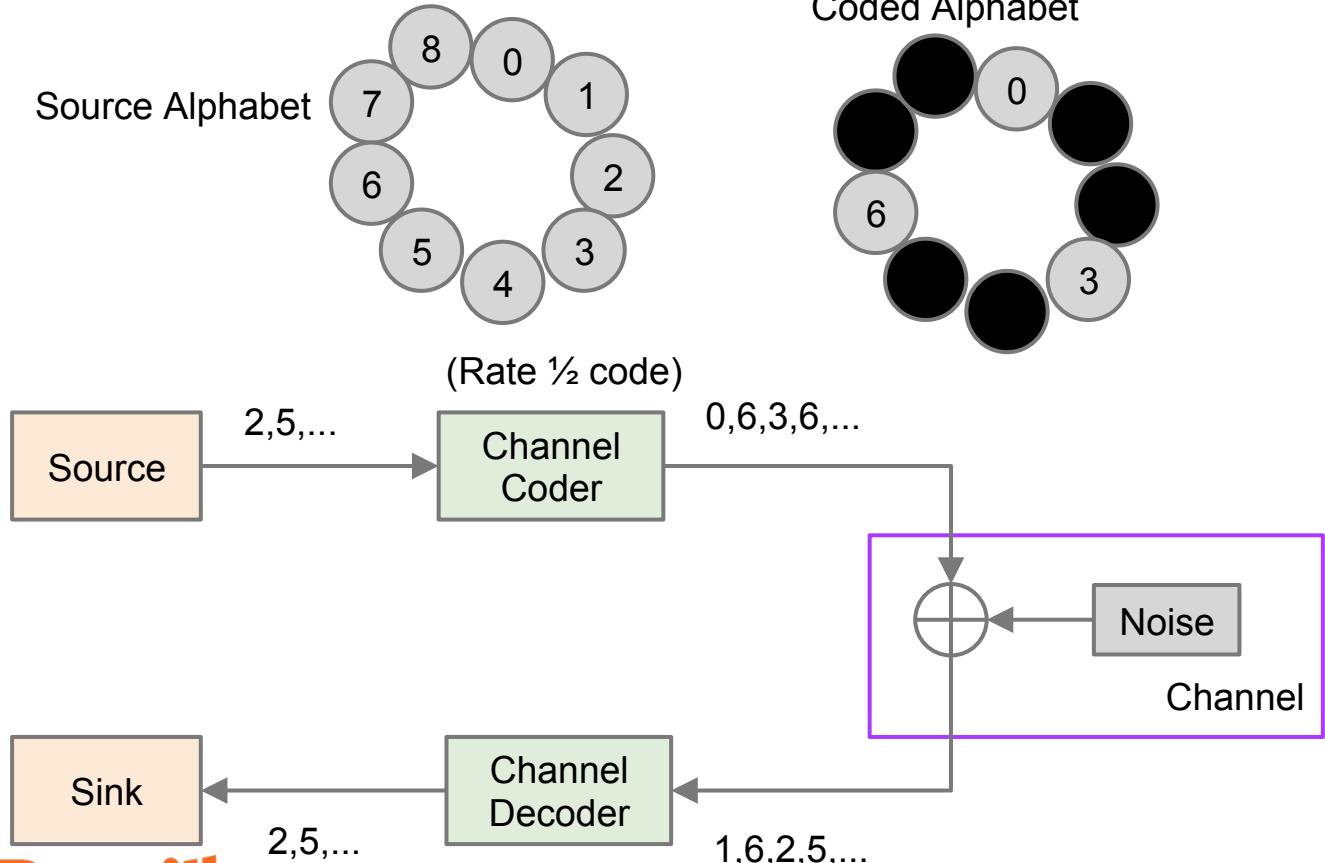


Repetition Code



The problem: no matter the rate, there will be some probability that we will have a symbol error

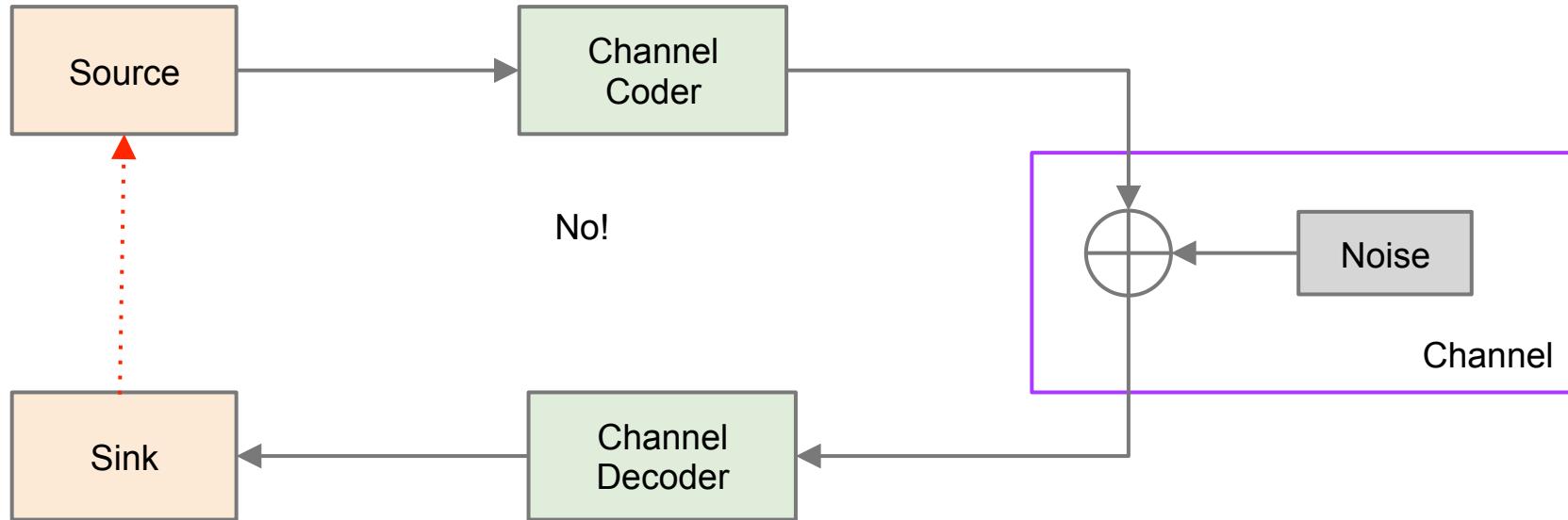
Better Code



Source	Coded
0	00
1	03
2	06
3	30
4	33
5	36
6	60
7	63
8	66

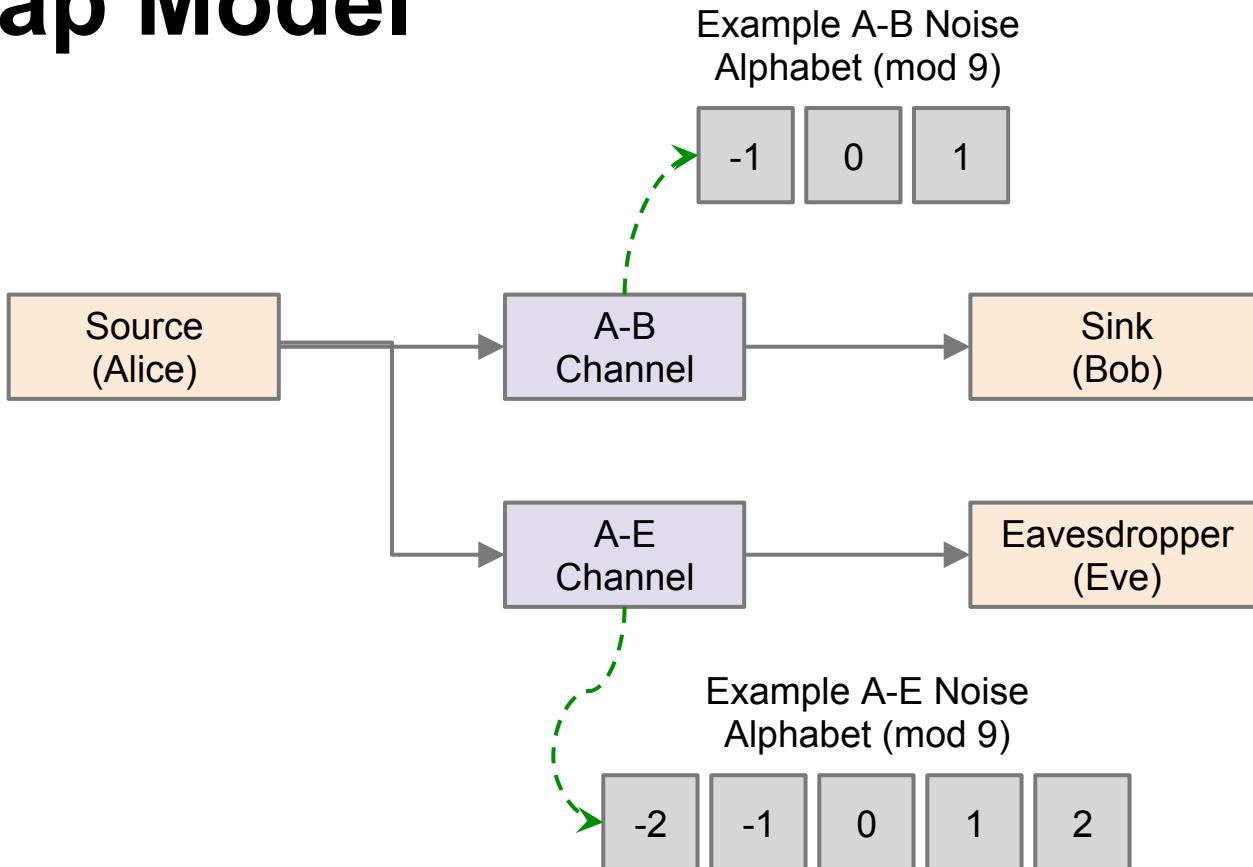
Neat Result

Does Feedback increase the capacity?

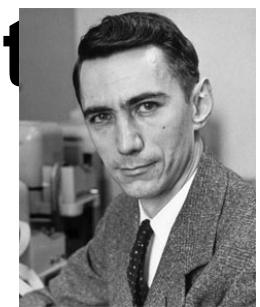


Physical-Layer Security

Wiretap Model



Brief History of Information Theory Security



1948: Shannon presents concept of *perfect secrecy* using a one-time pad.

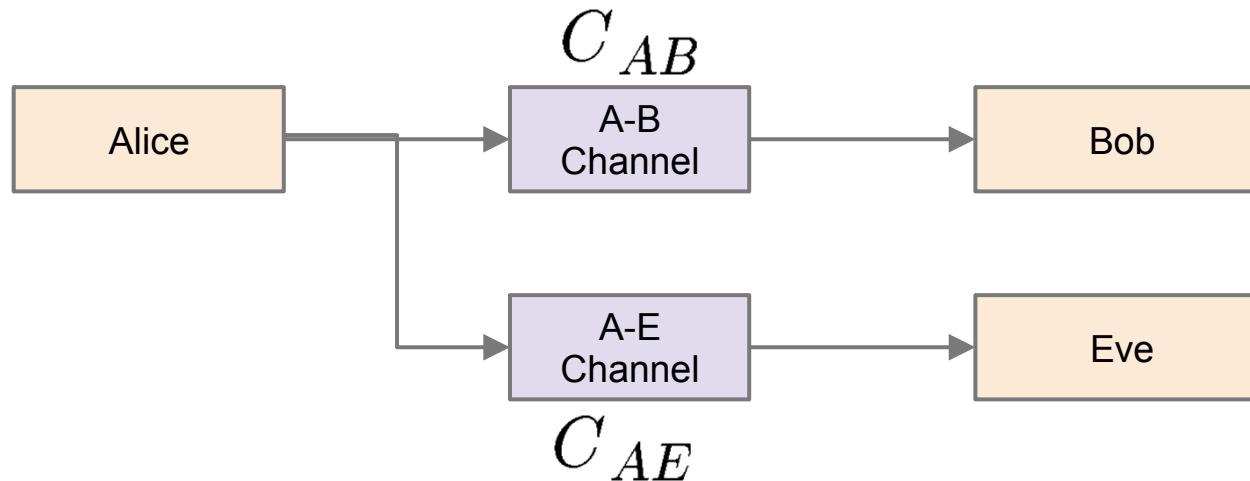
1975/1978: Wyner/Csiszár and Körner - channel codes exist that provide error robustness and confidentiality

1976: Diffie-Hellman public-key encryption

1993: Maurer: *Secret key agreement by public discussion from common information*

Secrecy Capacity a la Wyner/Csiszár and Körner

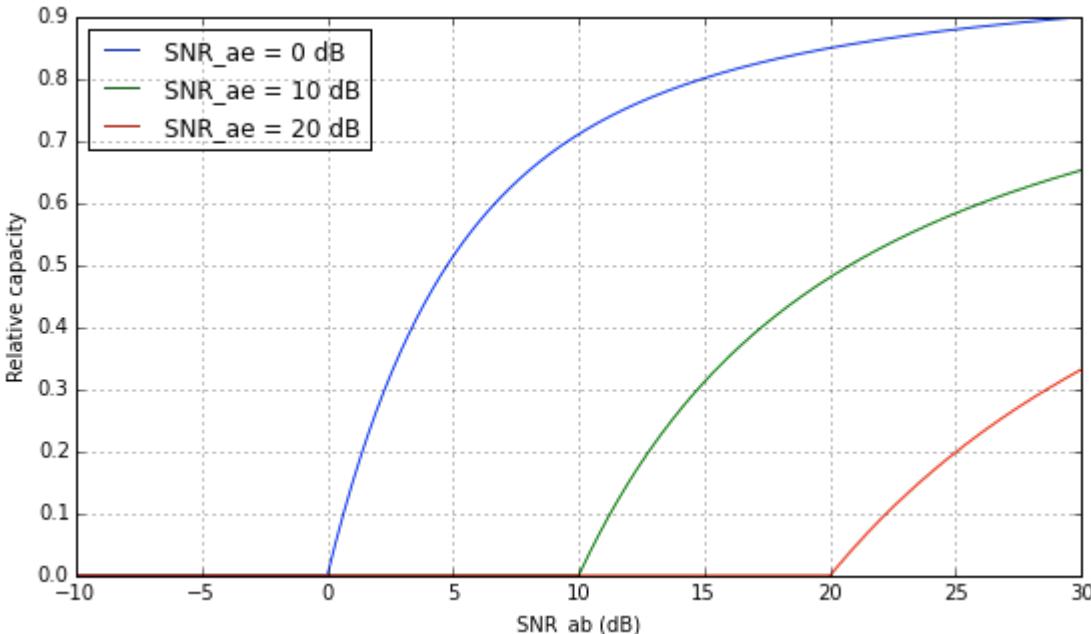
Recall, the capacity of a noisy channel is $C_{AWGN} = B \log(1 + SNR)$



The *secrecy capacity* is simply the capacity advantage Bob has over Eve:

$$C_S = \max(0, C_{AB} - C_{AE})$$

Secrecy Capacity

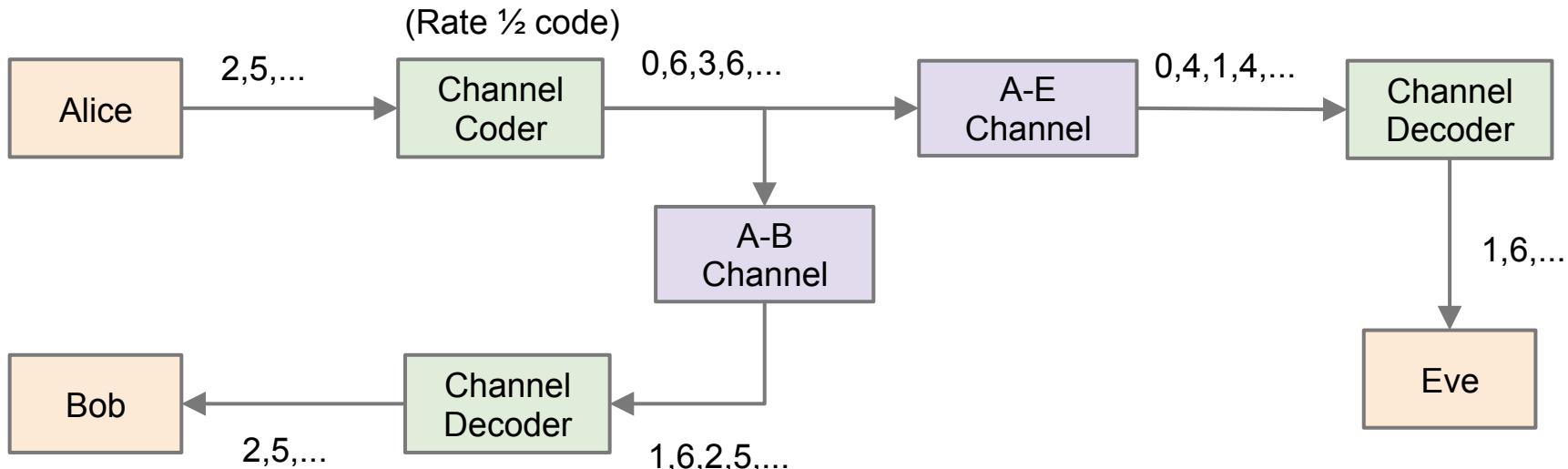


Limited applicability
in practice:

- Alice and Bob have to estimate Eve's SNR
- Then encode the data based on that estimate
- If they are wrong, then the secrecy no longer exists

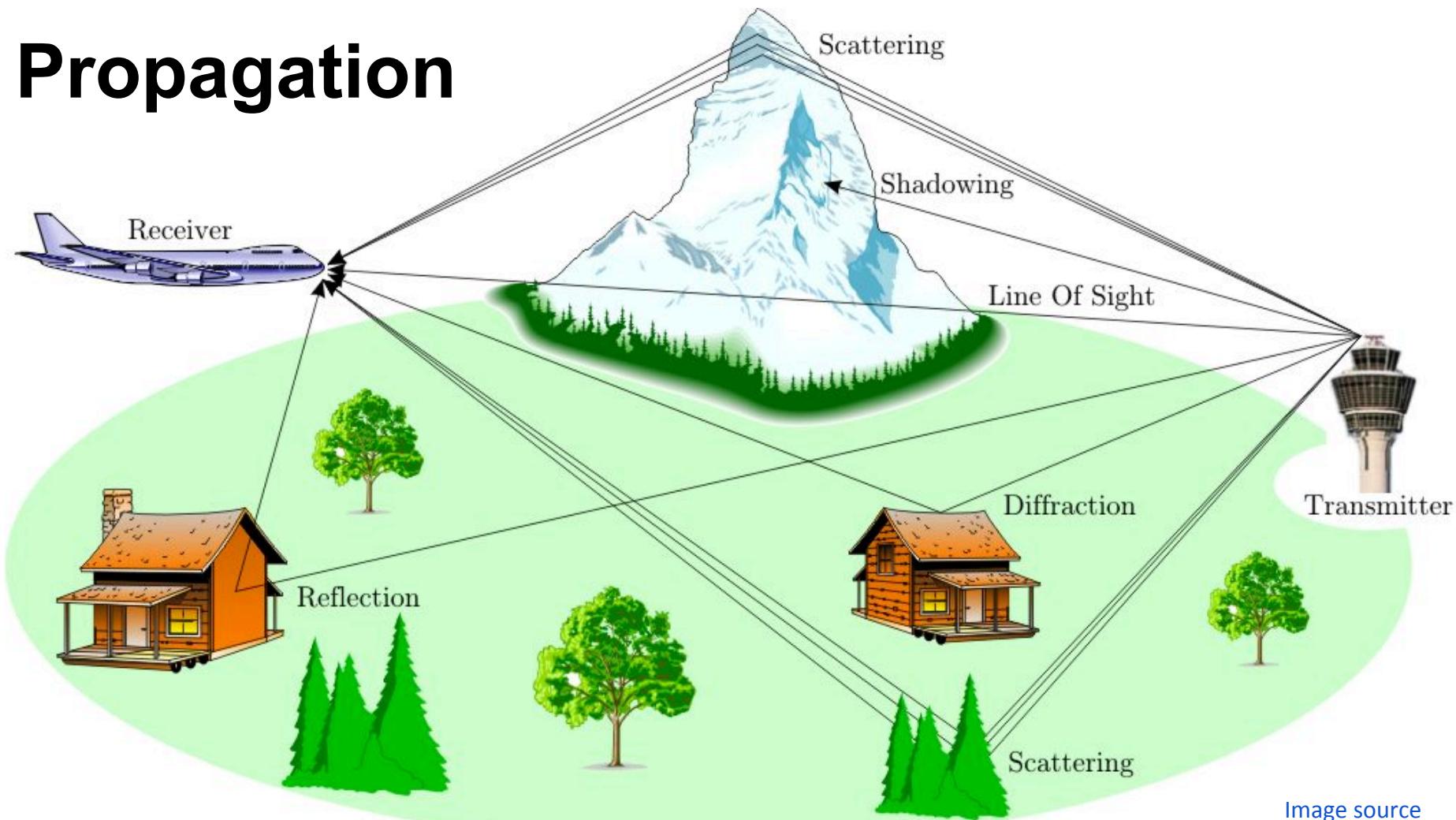
Take Away

We can make codes that “encrypt” and correct channel errors



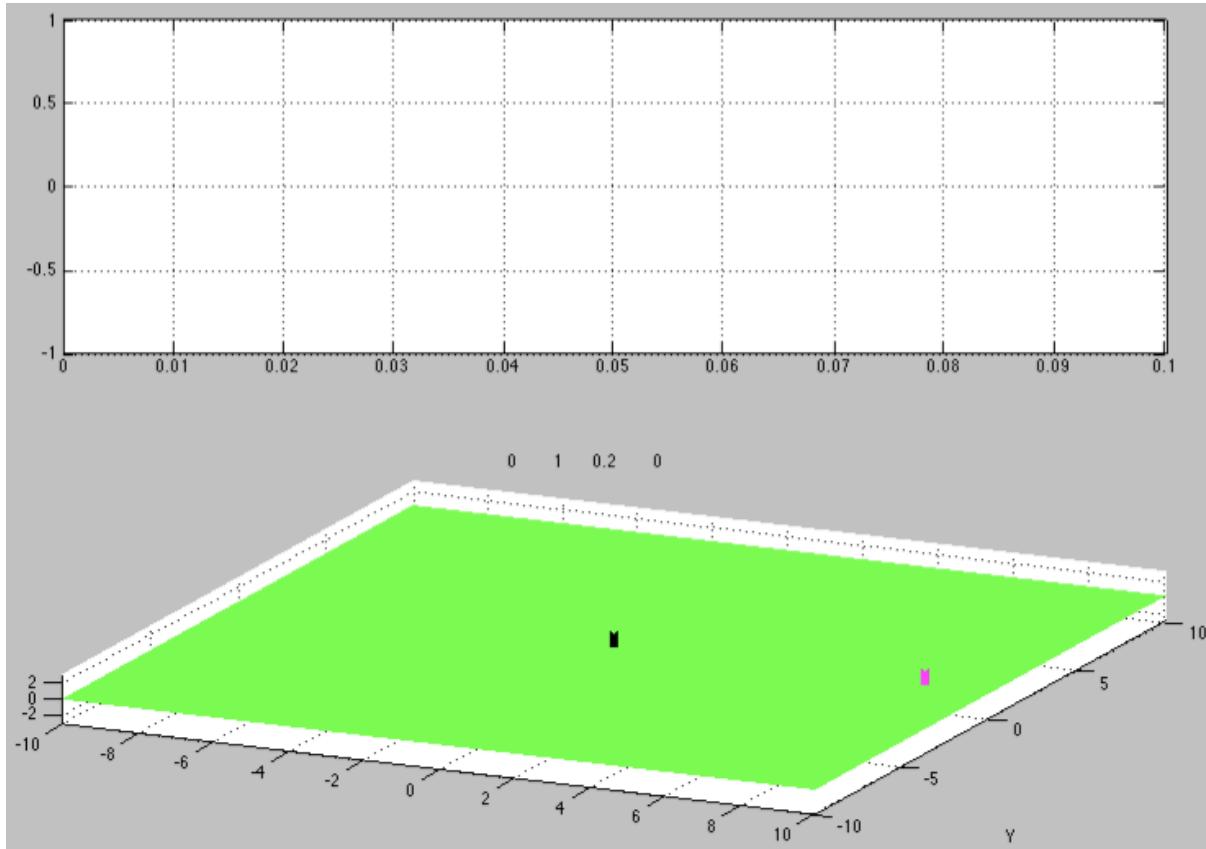
Physical-Layer Security with Channel Variations

Propagation

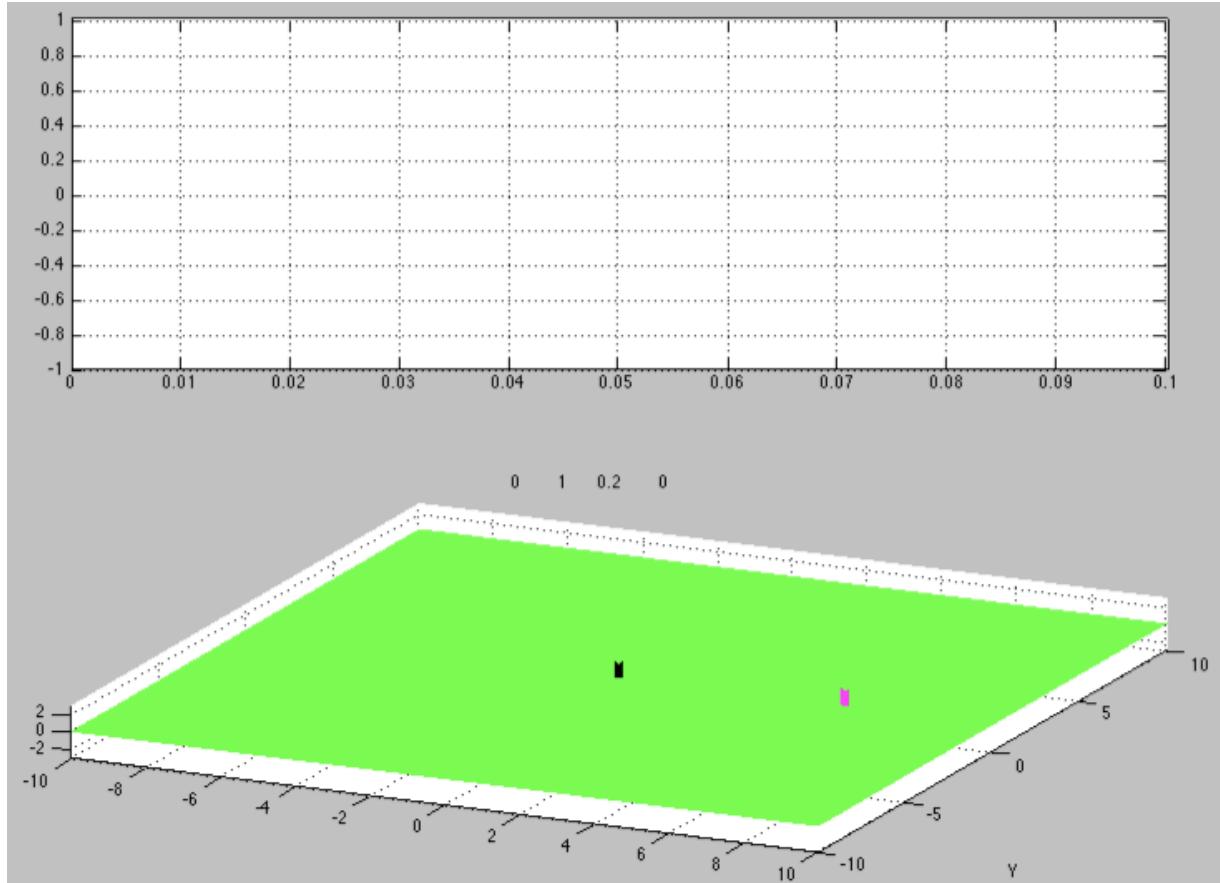


[Image source](#)

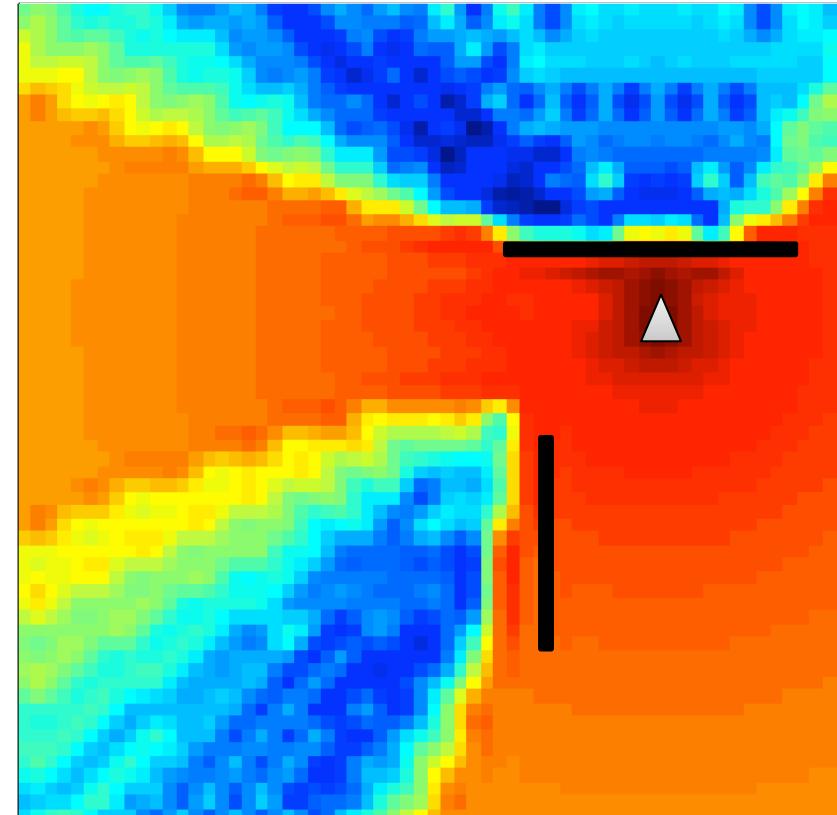
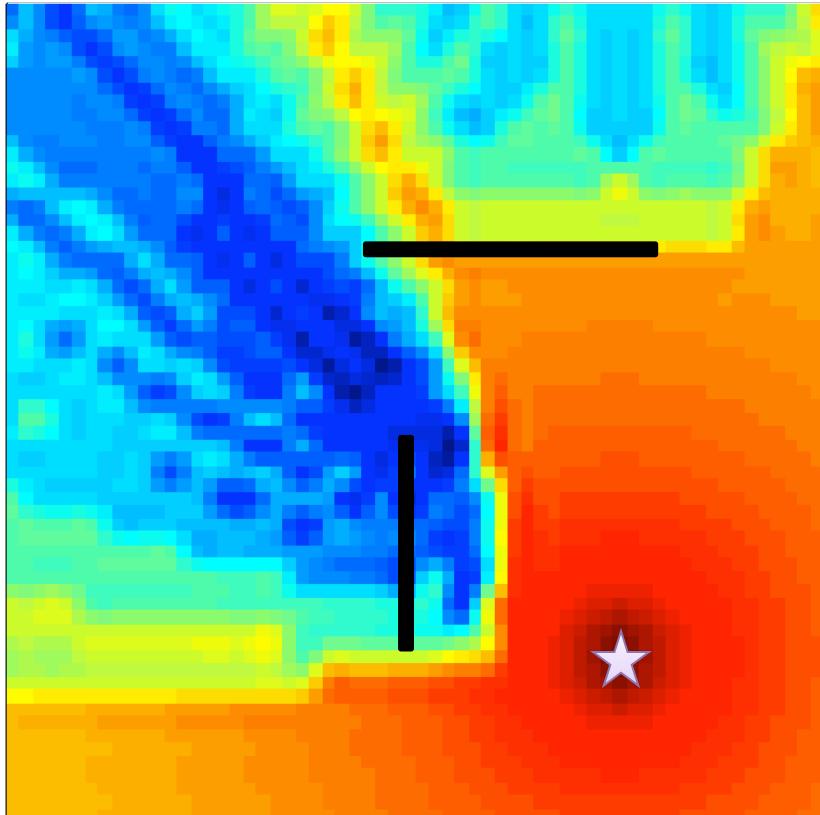
Constructive Channel Effect



Destructive Channel Effect

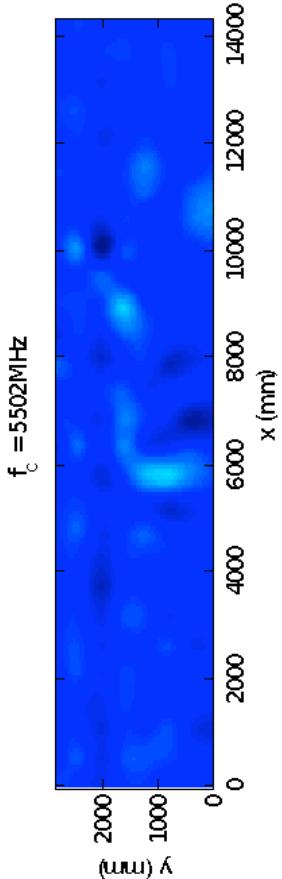
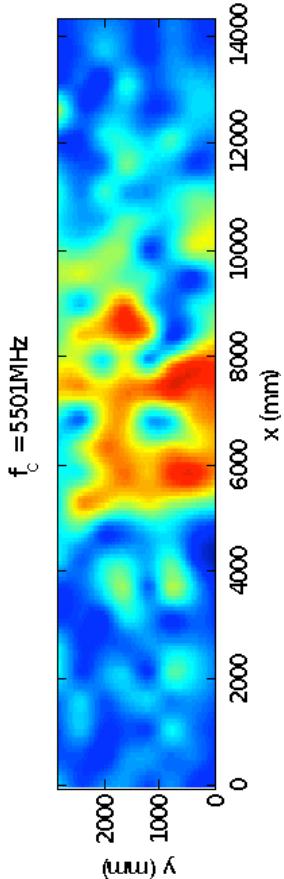
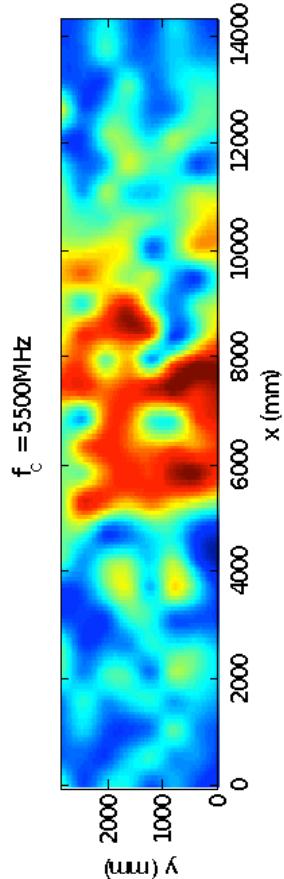
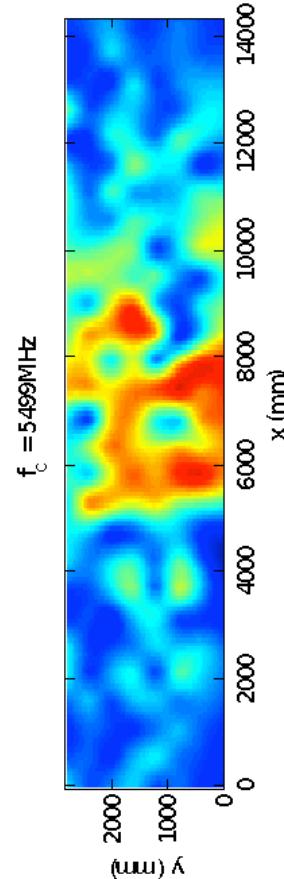
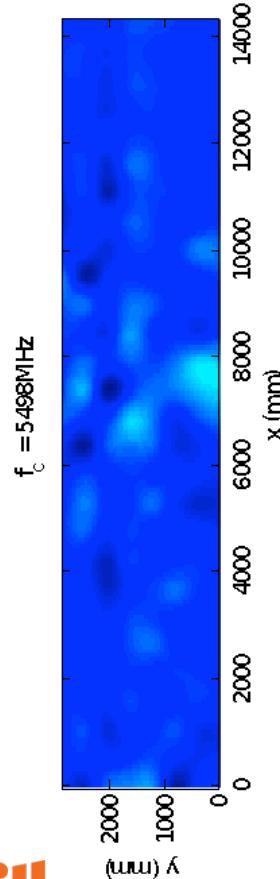


Shadowing Loss

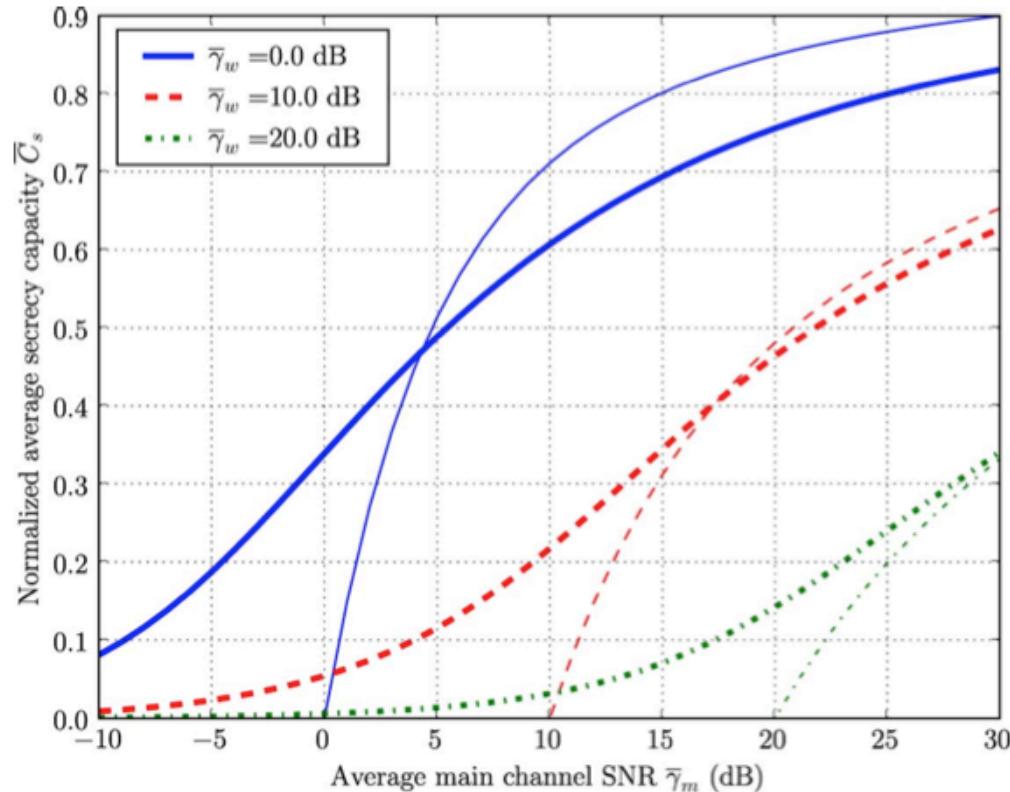


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Gain Map Example



Secrecy Capacity in Fading Channels



In real channels positive secrecy rate is always possible!
(i.e. the thicker lines never go below zero)

Alice only transmits when she has an SNR advantage.

Encryption Derived from Common Randomness

One-Time Pad Encryption

History

1882: Frank Miller described one-time pad for telegraphy



1917: Gilbert Vernam implemented and patented working system

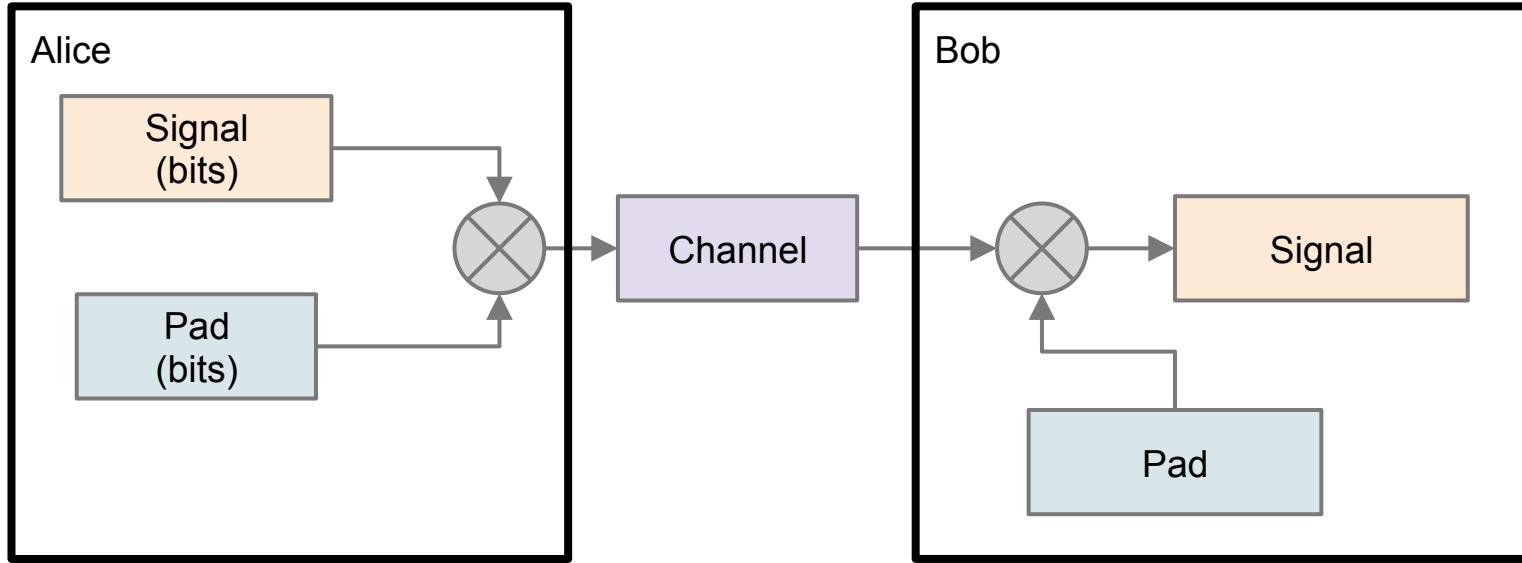


1920s: Various implementations using dictionaries of one-time pads

1948: Claude Shannon proved that one-time pad achieves perfect secrecy



One-Time Pad



One-Time Pad Keys

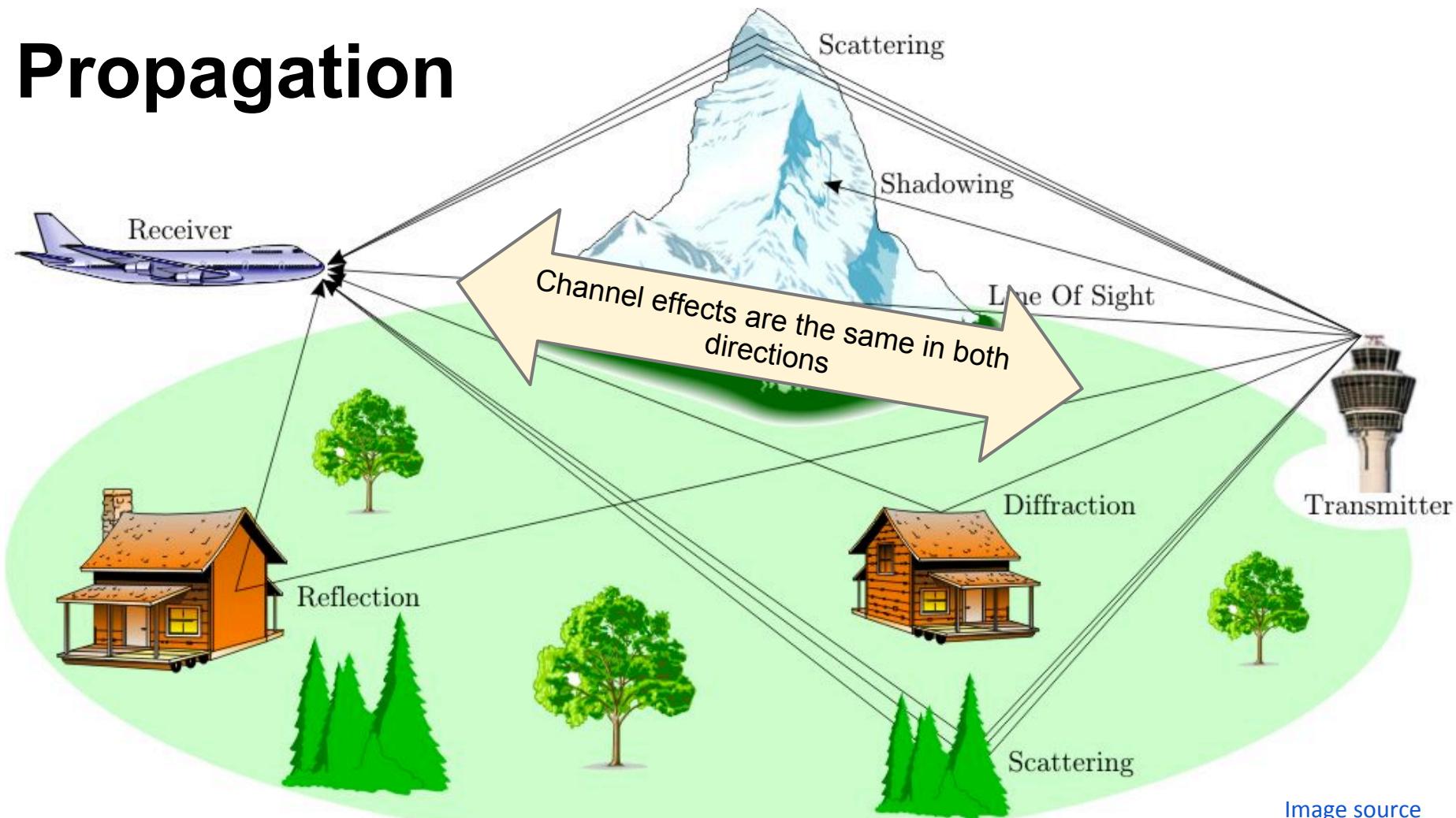
- One large challenge in encryption is key exchange
- If an attack compromises the key-exchange side channel, the encryption is defeated

A source of common randomness that is unique to two communicators would be ideal!

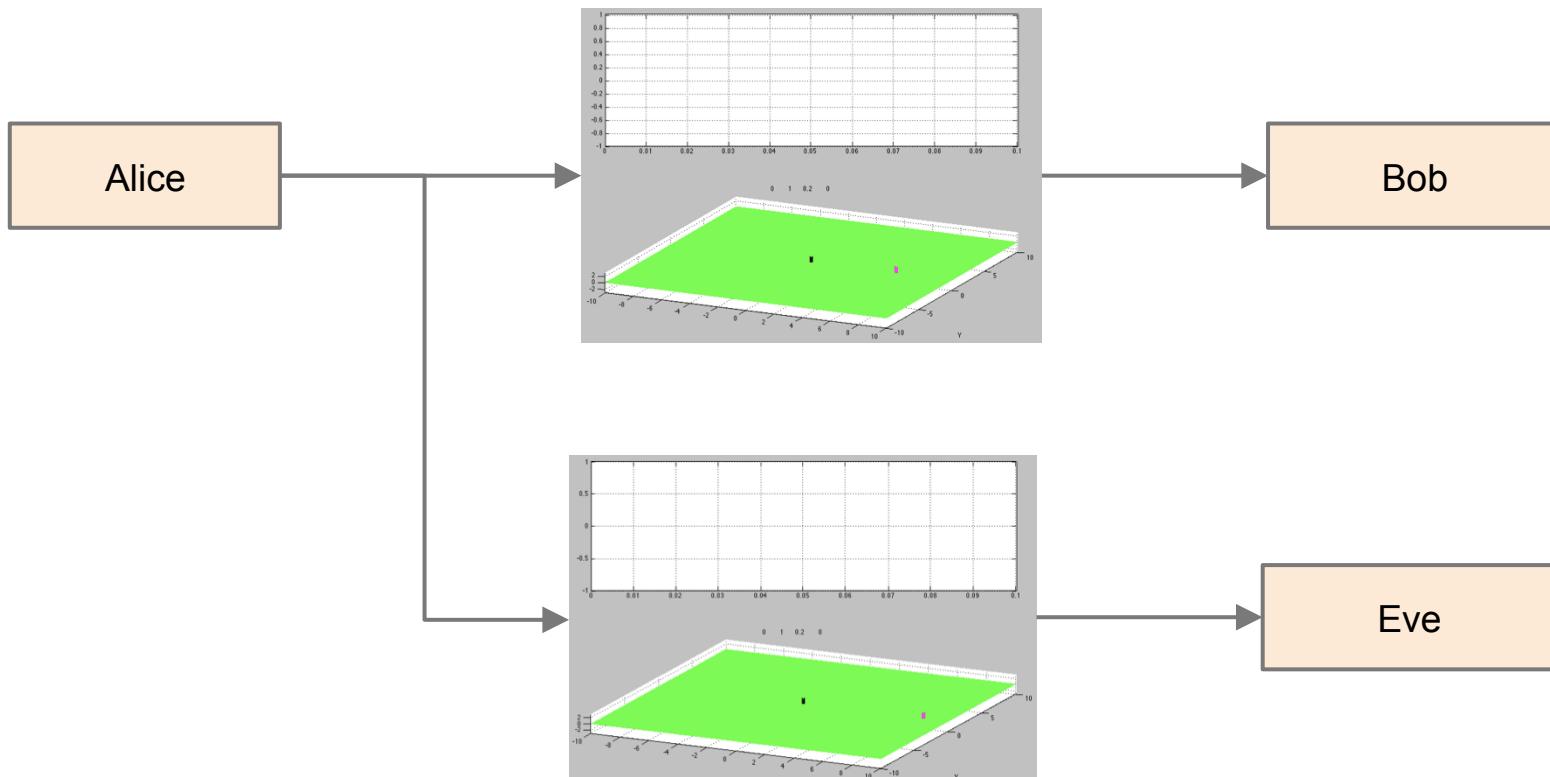
Wireless Channels Gains are
random and reciprocal--perfect!

1993: Maurer: *Secret key agreement by public
discussion from common information*

Propagation

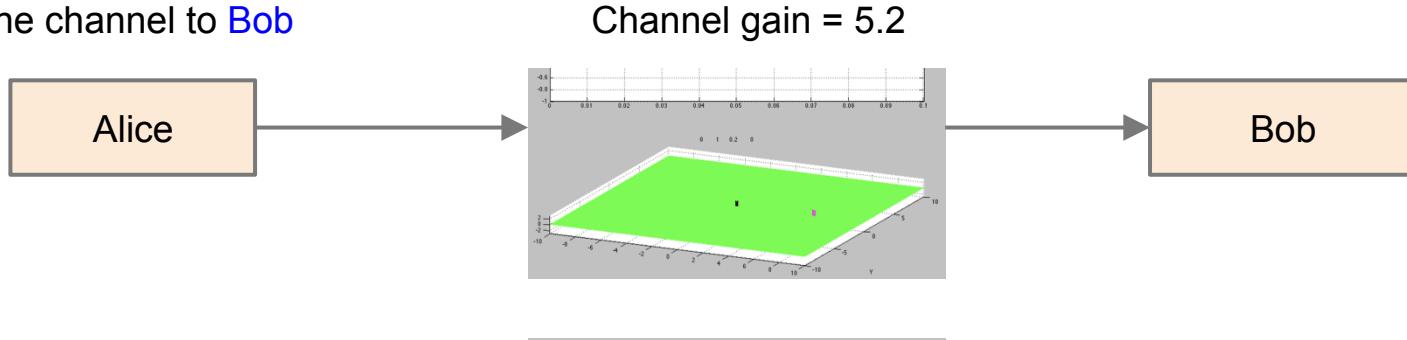


Channels are Random and Change by Location

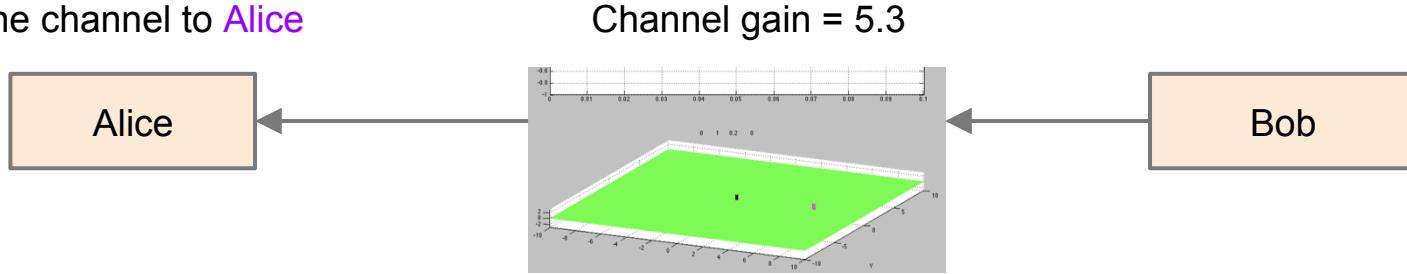


Common Randomness Procedure

Alice sounds the channel to Bob

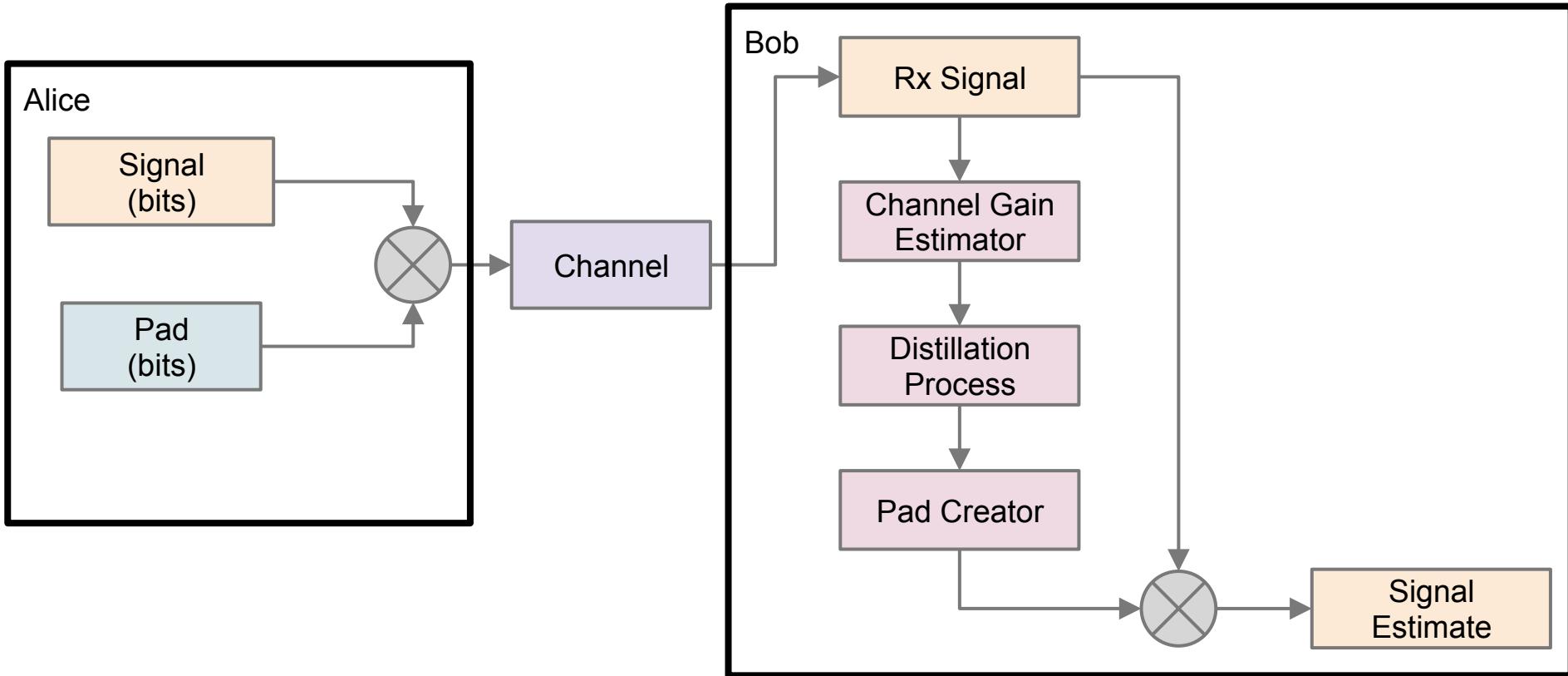


Bob sounds the channel to Alice



- If Eve is sufficiently far away, she will calculate a completely different channel gain in this process
- Alice and Bob *reconcile* their estimates and *condense* them into a common encryption key

Channel Gains to Pad Bits



Summary

- Wireless security for L1-L3
- Information theory primer
- Physical layer security
- Encryption derived from physical layer

Thanks!

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